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# Cellular and cell-free studies of catalytic DNA cleavage by ruthenium polypyridyl complexes containing redox-active intercalating ligands†

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The ruthenium(II) polypyridyl complexes (RPCs), [(phen)<sub>2</sub>Ru(tatpp)]<sup>2+</sup> (**3**<sup>2+</sup>) and [(phen)<sub>2</sub>Ru(tatpp)Ru(phen)<sub>2</sub>]<sup>4+</sup> (**4**<sup>4+</sup>) are shown to cleave DNA in cell-free studies in the presence of a mild reducing agent, *i.e.* glutathione (GSH), in a manner that is enhanced upon lowering the [O<sub>2</sub>]. Reactive oxygen species (ROS) are involved in the cleavage process as hydroxy radical scavengers attenuate the cleavage activity. Cleavage experiments in the presence of superoxide dismutase (SOD) and catalase reveal a central role for H<sub>2</sub>O<sub>2</sub> as the immediate precursor for hydroxy radicals. A mechanism is proposed which explains the inverse [O<sub>2</sub>] dependence and ROS data and involves redox cycling between three DNA-bound redox isomers of **3**<sup>2+</sup> or **4**<sup>4+</sup>. Cultured non-small cell lung cancer cells (H358) are sensitive to **3**<sup>2+</sup> and **4**<sup>4+</sup> with IC<sub>50</sub> values of 13 and 15 μM, respectively, and xenograft H358 tumors in nude mice show substantial (~80%) regression relative to untreated tumors when the mice are treated with enantiopure versions of **3**<sup>2+</sup> and **4**<sup>4+</sup> (Yadav *et al.* *Mol Cancer Res*, 2013, 12, 643). Fluorescence microscopy of H358 cells treated with 15 μM **4**<sup>4+</sup> reveals enhanced intracellular ROS production in as little as 2 h post treatment. Detection of phosphorylated ATM *via* immunofluorescence within 2 h of treatment with **4**<sup>4+</sup> reveals initiation of the DNA damage repair machinery due to the ROS insult and DNA double strand breaks (DSBs) in the nuclei of H358 cells and is confirmed using the γH2AX assay. The cell data for **3**<sup>2+</sup> is less clear but DNA damage occurs. Notably, cells treated with [Ru(diphenylphen)<sub>3</sub>]<sup>2+</sup> (IC<sub>50</sub> 1.7 μM) show no extra ROS production and no DNA damage by either the pATM or γH2AX even after 22 h. The enhanced DNA cleavage under low [O<sub>2</sub>] (4 μM) seen in cell-free cleavage assays of **3**<sup>2+</sup> and **4**<sup>4+</sup> is only partially reflected in the cytotoxicity of **3**<sup>2+</sup> and **4**<sup>4+</sup> in H358, HCC2998, HOP-62 and Hs766t under hypoxia (1.1% O<sub>2</sub>) relative to normoxia (18% O<sub>2</sub>). Cells treated with RPC **3**<sup>2+</sup> show up to a two-fold enhancement in the IC<sub>50</sub> under hypoxia whereas cells treated with RPC **4**<sup>4+</sup> gave the same IC<sub>50</sub> whether under hypoxia or normoxia.

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## Introduction

The use of transition-metal complexes in medicine has enjoyed extensive attention given the tremendous success of cisplatin (cis-Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>) as a chemotherapeutic agent and the ability of many metal complexes to interact with and damage cellular structures, particularly DNA.<sup>1–3</sup> While research in metallopharmaceuticals

continues to focus on platinum complexes, ruthenium complexes with labile chloride ligands are also explored as their substitution kinetics are similar to that of platinum. The promise of such compounds being their potential applicability to a wider-range of tumors and less severe toxicity relative to cisplatin. The anti-tumor agents NAMI-A (imidazolium [*trans*-imidazoledimethylsulfoxide-tetrachlororuthenate(III)], KP1019 (indazolium [*trans*-tetrachlorobis(1*H*-indazole) ruthenate(III)]), RDC11 ([*cis*-bis(acetonitrile)-1,10-phenanthroline-2-phenylpyridineruthenium(II)] hexafluorophosphate),<sup>4</sup> and ruthenium-aryl-X complexes,<sup>5</sup> such as RAPTA-C,<sup>6</sup> all contain labile ligands and the loss of these ligands and subsequent binding of the ruthenium to biological substrates is implicated in their biological activity. As many of these complexes contain Ru(III) ions, activation by bioreduction to Ru(II) is known to be essential to their mode of action.<sup>7,8</sup> NAMI-A and KP1019 are reported to have advanced to stage I and II clinical trials.<sup>9–14</sup>

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† Electronic supplementary information (ESI) available: Experimental details and figures for T4 ligase assay; HPLC analysis of small molecule scission products; agarose gel figures with RPCs and inhibitors sodium benzoate, sodium formate, mannitol, ethanol, DMSO, sodium pyruvate, deferoxamine, and SOD. A table containing the redox potentials and literature references of the RPCs used herein is also included. See DOI: 10.1039/c6sc04094b

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Ruthenium polypyridyl complexes (RPCs), which we will constrain to Ru(II) complexes that are coordinatively saturated with polypyridyl ligands, constitute a fundamentally different class of metallo-drugs than those with labile ligands. RPCs have enjoyed the most attention in chemotherapeutic applications as photodynamic therapy (PDT) agents, some of which show demonstrable tumor reduction *in vivo*.<sup>15–17</sup> Photoexcitation of the RPC in the metal to ligand charge transfer (MLCT) region generates long-lived triplet species which can activate O<sub>2</sub> to form ROS,<sup>18–24</sup> directly oxidize DNA,<sup>25,26</sup> or induce ligand loss,<sup>27–31</sup> such that the released ligand or resulting complex is damaging to the DNA. Because the metal ion in a RPC is coordinatively saturated and substitutionally inert, it is generally unable to directly form bonds with biological targets, unless activated with light, which is in contrast to complexes such as NAMI-A, RAPTA-C, and KP1019. That said, RPCs do show some interesting biological activity even without light activation.

The homoleptic complexes, such as the trisphenanthroline complex (**1**<sup>2+</sup>) and the trisbipyridine complex shown in Fig. 1, were extensively studied by the groups of Dwyer and Shulman in the 1950's and 1960's.<sup>32</sup> RPCs **1**<sup>2+</sup> and **2**<sup>2+</sup> are modestly cytotoxic (IC<sub>50</sub>'s between 10<sup>-3</sup> and 10<sup>-4</sup> M) with enhanced cytotoxicity generally observed by increasing the lipophilicity of the complex.<sup>33,34</sup> The 3,4,7,8-tetramethyl-1,10-phenanthroline derivative of **1**<sup>2+</sup> was shown to inhibit the growth of dispersed tumor

cells (Landshultz ascites) in mice.<sup>35</sup> Early studies in which radiolabeled [<sup>106</sup>Ru(phen)<sub>3</sub>]<sup>2+</sup> was injected intraperitoneally into mice and rats showed that the intact complex cation was the bioactive unit, it was not metabolized *in vivo*, it did not accumulate in any organ in amounts greater than blood, and nearly all the complex was recovered in the urine.<sup>36</sup>

More recently, the DNA-binding and molecular-light switch behavior of [Ru(phen)<sub>2</sub>(dppz)]<sup>2+</sup> (**6**<sup>2+</sup>)<sup>37</sup> and [Ru(phen)<sub>2</sub>(tpphz)]<sup>2+</sup> (**7**<sup>2+</sup>)<sup>38,39</sup> has led to a resurgence in this area, with numerous studies of their uses as biological probes<sup>40–45</sup> and the inherent (non-light activated) cytotoxicity of RPCs.<sup>34,39,43,46–54</sup> Because of the tendency of RPCs to bind DNA, it is often assumed that this is the biological target,<sup>55–58</sup> although recent data reveal that other cellular organelles are sometimes targeted, including the mitochondria,<sup>46,52,54,59–64</sup> endoplasmic reticulum,<sup>42</sup> ribosomes<sup>65</sup> and cell membrane.<sup>34,66–70</sup> It is not known how these RPCs act on the molecular targets but given their chemical inertness, it is postulated they non-covalently bind at specific sites disrupting important cellular processes.

Given the extensive attention of RPCs binding to DNA, it is somewhat surprising that very few cause observable damage unless they are activated by an external factor, such a light irradiation.<sup>17,18,20,66–68</sup> We have shown that the two ruthenium(II)-tatpp complexes, **3**<sup>2+</sup> and **4**<sup>4+</sup> (shown in Fig. 1) are effective DNA cleaving agents upon *in situ* reduction by common

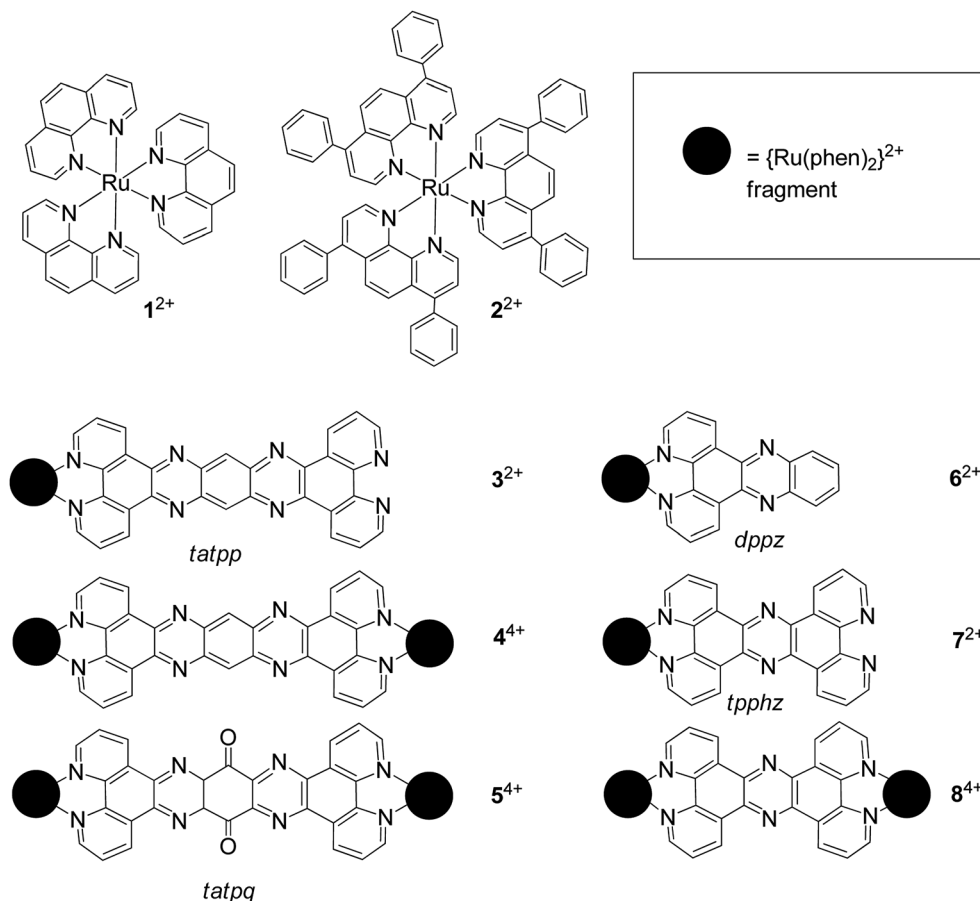


Fig. 1 Chemical structures of RPCs and reference numbering scheme. All of these cations are soluble in water as the chloride salts.



biological reducing agents, such as glutathione.<sup>69,70</sup> This is not a light activated process and thus these complexes have potential as systemic chemotherapeutics which can seek out and kill micro-metastases whose presence and location are unknown. In contrast, PDT requires knowing the location of the metastases for effective treatment, although there is now evidence that such treatments can sometimes boost the systemic immune response.<sup>71</sup> The DNA cleavage activity of  $3^{2+}$  and  $4^{4+}$  in cell-free assays is enhanced under low oxygen conditions which is both unusual and potentially beneficial as many tumors possess hypoxic regions.<sup>69,70</sup> Their anti-tumor efficacy has been demonstrated in mouse models in which nude mice bearing xenograph H358 tumors in their thighs showed an ~83% regression of tumor growth and more than doubling survival time upon intraperitoneal treatment with enantiopure  $\Delta$ - $3^{2+}$  and  $\Delta\Delta$ - $4^{4+}$ .<sup>70</sup> While direct proof was lacking, we postulated that the *in vivo* activity was also due to DNA damage.

In this paper, we demonstrate that these two RPCs catalytically induce DNA single-strand breaks (SSBs) by activation of  $O_2$  through a multi-stage redox-cycling mechanism which generates not only superoxide, but the more potent reactive oxygen species (ROS),  $H_2O_2$ . Significantly, at lower  $[O_2]$  there is a greater build-up of a doubly-reduced versions of  $3^{2+}$  and  $4^{4+}$ , which are competent for direct  $H_2O_2$  formation upon reaction with  $O_2$ . Thus a lower  $[O_2]$  favors enhanced catalytic formation of  $H_2O_2$  over superoxide, the former being more effectively converted into the highly toxic hydroxyl radical species. Significantly, we can show that ROS is generated in the nuclei of cultured human non-small cell lung carcinoma (NSCLC) cells (H358) within 2 h of treatment with  $3^{2+}$  or  $4^{4+}$ . Moreover, multiple DNA double strand breaks (DSBs) are also detected using immunofluorescent techniques to reveal the presence of pATM, an early marker of ROS induced DNA DSB damage, and  $\gamma$ H2AX, a downstream marker of DNA DSBs. For cells treated with  $4^{4+}$  this is evident within 2 hours of treatment whereas for  $3^{2+}$ , the induction period is considerably longer, on the order of 8 h. To the best of our knowledge, this is the first time that the cell-free DNA cleavage activity of a ruthenium-based drug has been directly correlated with nuclear DNA damage in live human cancer cells.

## Experimental

### Chemicals

RPCs [1]Cl<sub>2</sub>,<sup>72</sup> [2]Cl<sub>2</sub>,<sup>73</sup> [3]Cl<sub>2</sub>,<sup>74</sup> [4]Cl<sub>4</sub>,<sup>75</sup> [5]Cl<sub>4</sub>,<sup>75</sup> [6]Cl<sub>2</sub>, [7]Cl<sub>2</sub>,<sup>76,77</sup> [8]Cl<sub>4</sub>,<sup>77</sup> were prepared as described in the literature and were used as the chloride salts. All RPCs were used as racemates or diastereotopic mixtures. Furfural standard was purchased from Sigma Aldrich. 5-Methylene furanone (5MF) was synthesized according to literature<sup>78</sup> using the modified procedure described below. The intermediate 3,5-di-*O*-*p*-toluoyl-2-deoxy-D-ribo-1,4-lactone was converted to 5 MF using Schlenk line techniques under a  $N_2$  atmosphere. The final product structure was confirmed using GCMS for which the MS pattern matched that reported for 5 MF.<sup>79</sup> All reagents for the 5 MF synthesis were purchased from Sigma Aldrich.

### *In vitro* DNA cleavage assays

All chemicals for buffers and related *in vitro* DNA experiments were purchased commercially and used without further purification unless otherwise noted. Millipore water was used for all buffers and reactions that required water. All plasmid DNA (pUC18 and pUC19) and DNA ladders were purchased from Bayou Biolabs. Chemicals needed for the DNA electrophoresis assay, ethidium bromide, GSH, trizma base, mono and dibasic phosphates, ethylenediaminetetraacetic acid (EDTA) and agarose were purchased from Sigma Aldrich. Chemicals for the T4 ligase assay, T4 DNA ligase high concentration (HC), T4 DNA ligase 10× buffer, acetylated bovine serum albumin, EcoRI, and buffer HC 10× buffer were purchased from Promega.

DNA cleavage experiments were conducted with pUC18 or pUC19 DNA at room temperature in air and low light for all reactions. The concentration of complex used and conditions of the experiment are given in the figure legends. A buffer solution (50 mM phosphate and 10 mM NaCl, pH 7.2) was used to bring the total volume to 40  $\mu$ L. As a rule, buffer was added first, then the reagents and RPC, and finally the plasmid DNA. Reactions were quenched by placing the samples in an ice bath (dry ice and acetone). Plasmid reaction products were analyzed by addition of 10  $\mu$ L of 6× loading buffer (30% glycerol in water with 0.1% w/v bromophenol blue) to the sample, vortexing, and loading 10  $\mu$ L per well. The 1% agarose gels, containing ethidium bromide, were run in TAE buffer (40 mM Tris-acetate, 1 mM EDTA, pH 8) at 5 V  $cm^{-1}$  (60 V) for 2 h and imaged using a Bio Rad Gel Doc™ XR+. Experiments in which scavengers, SOD, catalase, or other modifiers were used, the concentration is noted in the figure caption.

### DNA scission products assay

Reactions were conducted under the following conditions, (45.5 mL) 700  $\mu$ M ctDNA, (4.1 mL) 58.3  $\mu$ M ( $4^{4+}$ ), (19.9 mL) 5.8 mM GSH, (30.5 mL) 50 mM phosphate, 10 mM NaCl buffer at pH 7.4. Samples were digested at room temperature in air overnight, then heated at 90 °C for 1 h in a GC oven. The reaction was quenched with ice bath (dry ice and acetone), extracted with 20 mL dichloromethane (DCM) 3×, dried with  $MgSO_4$  and concentrated. Samples were resuspended in pure MeCN for HPLC analysis. The mobile phase of for HPLC was 0.1 TFA/MeCN 90/10, flow rate: 0.1 mL  $min^{-1}$ , injection vol: 10  $\mu$ L, stationary phase: Zorbax Eclipse XDB-C18 4.6 × 150 column. The same method was conducted for  $3^{2+}$ .

### Cultured cells, materials and methods

All solvents were reagent and cell culture grade. All reagents and work environments were maintained sterile. H358, HOP-62 and HCC2998 cells were purchased from The National Cancer Institute (NCI) at Frederick Central Repository. Hs766T cells were purchased from American Type Culture Collection (ATCC). RPMI-1640 and DMEM medium, penicillin/streptomycin, fetal bovine serum (FBS), 100× BME vitamin solution, bovine serum albumin (BSA), para-formaldehyde, methanol, sodium azide, dimethyl sulfoxide (DMSO), 0.04% trypan blue



and 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) were purchased from Sigma Aldrich. Phosphate buffered saline 10× was purchased from Biorad. The DNA double strand break (DSB)  $\gamma$ H2AX monoclonal antibody was purchased from EMD millipore and goat anti-mouse IgG (H + L) secondary antibody Alexa Fluor488 (ABCAM) and Pro-gold antifade mounting agent were purchased from Invitrogen.

### Cell culturing and experimentation

Cell incubation was maintained by a ThermoFisher HeriCell CO<sub>2</sub> Incubator. Hypoxic incubation was maintained by a New Brunswick Galaxy 14s Dual Channel CO<sub>2</sub>/N<sub>2</sub> incubator. Confocal microscopy was performed using a Zeiss Axio-Plane 540 with mercury lamp and argon laser. Absorbance readings were obtained using a BMG Labtech FLUOstar Omega plate reader.

### Cell culture

H358, HOP-62 and HCC-2998 cells were grown in RPMI-1640 medium supplemented with 10% FBS, 2 mM L-glutamine, 1 mM sodium pyruvate, 1.1% penicillin/streptomycin and 1× BME vitamin complex solution. Hs766T cells were grown in DMEM medium supplemented with 10% FBS, 2 mM L-glutamine, 1 mM sodium pyruvate, 1.1% penicillin/streptomycin and 1× BME vitamin complex solution. Cells were grown and passaged in T-25 and T-75 Corning culture flasks at 37 °C under 5% CO<sub>2</sub> and humidified atmosphere. Cells grown in hypoxic induced stress environments were grown and passaged in T-25 and T-75 Corning culture flasks at 37 °C under 5% CO<sub>2</sub>, 1.1% O<sub>2</sub> and humidified atmosphere.

### Hypoxic and normoxic cell viability

Cytotoxic effects of complexes 3<sup>2+</sup> and 4<sup>4+</sup> were tested on cell growth populations of H358, HCC-2998, HOP-62 and Hs766T. Normoxic [O<sub>2</sub>] levels were adjusted to 18% in atmosphere and hypoxic [O<sub>2</sub>] levels were adjusted to 1.1% in atmosphere in two separate incubation environments, the latter using a New Brunswick Galaxy 14s Dual Channel CO<sub>2</sub>/N<sub>2</sub> incubator. Under aerobic conditions, cells were passaged and seeded onto 96 well plates. Plates were then placed in either a normoxic or hypoxic incubator and left to grow for 24 h. At this time, cells were inoculated with complexes 3<sup>2+</sup> and 4<sup>4+</sup> at titrating doses and placed back in their respective incubators for 72 h, after which the plates were removed and immediately assayed with MTT (5 mg mL<sup>-1</sup>) for 3.5 h. Plates were read at 570 nm for absorbance of formazan production in the supernatant.

### Measurement of intracellular ROS

The generation of ROS in H358 cells was measured using a ROS sensitive fluorescent probe, 2,7-dichlorodihydrofluorescein diacetate (DCFH-DA, ThermoFisher). DCFH-DA can be oxidized to 2',7'-dichlorofluorescein (DCF) by ROS and exhibits green fluorescence intensity.<sup>80</sup> H358 cells were seeded on 25 × 25 mm microscope cover glass slips in BD Falcon 60 × 60 mm tissue culture dishes for 72 h. Untreated

cells were maintained as the negative controls whereas 10, 20 and 30% H<sub>2</sub>O<sub>2</sub> solution in PBS was administered to cells for 15 minutes as positive controls.<sup>54</sup> H358 cells were also dosed with IC<sub>50</sub> values of various complex as follows: 4<sup>4+</sup> (15 μM), 3<sup>3+</sup> (13 μM) and 2<sup>2+</sup> (1.7 μM) for 3 time periods of 2, 8, and 22 h. The cells were passaged and washed 3× in ice cold PBS then suspended in 10 mM DCFH-DA in PBS and incubated in the dark for 30 min. The levels of intracellular ROS were examined by confocal microscopy using long pass light filters and a 1.3 airy unit pinhole at 488/529 nm with a Zeiss axio-plane inverted fluorescence microscope.

### ATM pathway response assay

H358 cells were seeded on 25 × 25 mm microscope cover glass slips in BD Falcon 60 × 60 mm tissue culture dishes for 72 h. Cells were then treated with: etoposide (6 μM), 3<sup>2+</sup> (13 μM) and 4<sup>4+</sup> (15 μM) at their respective IC<sub>50</sub>'s for 2, 8, and 22 h. The cover slips were removed and washed 3× in ice-cold phosphate-buffered saline (PBS) to remove residual drug. Cells were fixed with 4% para-formaldehyde solution, permeabilized with 0.25% Triton and blocked with 3% BSA, anti-phospho ATM (phospho s1981, ABCAM) (1 : 1000) in 3% BSA/1% sodium azide was administered for 1.5 h in the dark at room temperature. Cells were then washed 3× in ice-cold PBS and goat anti-rabbit IgG (H + L) secondary antibody Alexa Fluor488 (1 : 2000) in 3% BSA/1% sodium azide was administered for 3 h in the dark at room temperature. Cells were then treated with propidium iodide (5 mg mL<sup>-1</sup>) for 5 min. After washing 3× with ice-cold PBS, the cells were fixed on microscope slide with Pro-gold antifade reagent. Confocal microscopy was performed using long pass light filters and a 1.3 airy unit pinhole at 488/514 nm. 60× oil immersion objectives were used and digital camera images (DCIM) were captured using ZEN software.

### $\gamma$ H2AX double strand break assay

H358 cells were seeded on 25 × 25 mm microscope cover glass slips in BD Falcon 60 × 60 mm tissue culture dishes for 72 h. Cells were then treated with complexes: etoposide, 3<sup>2+</sup> and 4<sup>4+</sup> at their respective IC<sub>50</sub>'s for 2, 8, and 22 h. The cover slips was removed and washed 3× in ice-cold phosphate-buffered saline (PBS) to remove residual drug. Cells were fixed with 4% para-formaldehyde solution, permeabilized with 0.25% Triton and blocked with 3% BSA, anti-phospho-histone (Ser139)  $\gamma$ H2AX (ABCAM) (1 : 1000) in 3% BSA/1% sodium azide was administered for 1 h in the dark at room temperature. Cells were then washed 3× in ice-cold PBS and goat anti-mouse IgG (H + L) secondary antibody Alexa Fluor488 (1 : 2000) in 3% BSA/1% sodium azide was administered for 2 h in the dark at room temperature. Cells again were washed 3× in ice-cold PBS and then fixed on microscope slide with Pro-gold antifade reagent. Confocal microscopy was performed using long pass light filters and a 1.3 airy unit pinhole at 488/519 nm. 60× oil immersion objectives were used and digital camera images (DCIM) were captured using ZEN software. Cell sorting and foci count were analyzed with Image J software for an average of 25 cells per image count.



## Results

### DNA-binding and cleavage activity

RPCs  $1^{2+}$  and  $2^{2+}$  bind predominantly *via* electrostatics with a binding constant on the order of  $10^3 \text{ M}^{-1}$ , the remainder  $3^{2+}$ – $8^{4+}$ , with large planar aromatic units: dppz(dipyrido[3,2-*a*:2',3'-*c*]phenazine), tpphz(tetrapyrrodo[3,2-*a*:2',3'-*c*:3'',2''-*h*:2''',3'''-*j*]phenazine), tatpp(9,11,20,22-tetraazatetrapyrrodo[3,2-*a*:2',3'-*c*:3'',2''-1:2''',3'''-*n*]pentacene), tatpq(9,11,20,22-tetraazatetrapyrrodo[3,2-*a*:2',3'-*c*:3'',2''-1:2''',3'''-*n*]pentacene-10,21-quinone), bind more tightly due to intercalation and exhibit binding constants in the range of  $10^5$  to  $10^8 \text{ M}^{-1}$ .<sup>81,82</sup>

RPC binding to DNA does not generally equate with RPCs causing damage. In the absence of deliberate irradiation to access the excited state chemistry of these complexes, the vast majority do not cause any DNA damage after binding. In Fig. 2, we assay the DNA cleaving activity of RPCs  $1^{2+}$ ,  $4^{4+}$ ,  $5^{4+}$ ,  $6^{2+}$ , and  $8^{4+}$  using a plasmid cleavage assay under physiologically relevant conditions (50 mM phosphate, 10 mM NaCl, 5.8 mM GSH, pH 7.2, aerobic). In this experiment, we monitored the conversion of supercoiled plasmid DNA (Form I) to nicked, open circular (Form II) and double-strand cleaved linear (Form III) DNA by agarose gel electrophoresis. As seen in Fig. 2, we contrast the cleavage activity of the tatpp complex  $4^{4+}$  and the tatpq complex  $5^{4+}$ , with a number of structurally related RPCs. RPC  $3^{2+}$  also cleaves DNA under these conditions.<sup>70</sup> Control experiments lacking GSH showed no cleavage activity by any complex. The presence of circular over linear DNA indicates SSBs are prevalent. Hydrolytic cleavage of the DNA was ruled out by treating samples of RPC/GSH cleaved DNA with the T4 ligase repair enzyme to see if the cleavage was reversible; the presumption being that hydrolytic cleavage is reversible whereas DNA damaged by oxidation is not easily relegated. The cleavage induced by RPC  $3^{2+}$ ,  $4^{4+}$ , or  $5^{4+}$ /GSH combinations was not reversible (see ESI, Fig. S1 and S2<sup>†</sup>), supporting oxidative DNA damage.

Activation by reduction is a common mechanism by which transition metal complexes activate  $\text{O}_2$  to form ROS, but the redox processes typical for RPCs are generally not accessible by common cellular reductants or oxidants. For virtually all RPCs, the first oxidative process is the  $\text{Ru}^{2+/3+}$  couple which occurs at

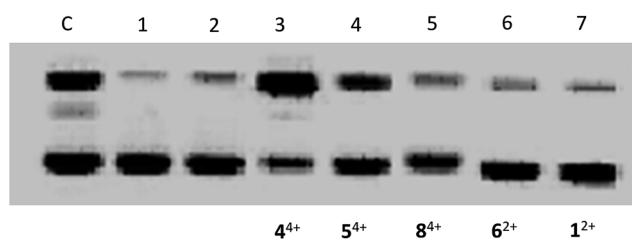


Fig. 2 Agarose gel showing DNA cleavage products of pUC19 after treatment with RPCs 1–8 in the presence of GSH under aerobic conditions. Lane C, control showing open circular (Form II, top), linear (Form III, middle) and supercoiled (Form I, bottom) plasmid DNA. Lane 1, supercoiled plasmid DNA (144  $\mu\text{M}$  DNA-bp) after 2 h incubation. Lane 2, supercoiled DNA (144  $\mu\text{M}$  DNA-bp) with 120  $\mu\text{M}$  GSH present after 2 h incubation. Lanes 3–7 supercoiled DNA (144  $\mu\text{M}$  DNA-bp) with 12  $\mu\text{M}$  RPC indicated and 120  $\mu\text{M}$  GSH after 2 h incubation.

about 1.5 V vs. NHE and is far too positive to be accessed in water or more pointedly *in vivo* (*via* non-photochemical pathways).<sup>83</sup> Reductions in RPCs are generally associated with ligand couples, such as the  $[\text{Ru}^{\text{II}}(\text{phen})_3]^{2+}/[\text{Ru}^{\text{II}}(\text{phen})_2(\text{phen}^-)]^+$  couple in which the electron is localized in one of the low-lying acceptor orbitals on the polypyridyl ligands, usually the LUMO. The potentials for these ‘ligand-based’ redox couples can vary dramatically with ligand structure. Fig. 3 (bottom) shows a line graph of the observed first reduction potential for  $1^{2+}$ – $8^{4+}$  as obtained in acetonitrile (see Table S1 in the ESI<sup>†</sup>). From this data, we observe that the phen and bpy ligands in RPCs  $1^{2+}$  and  $2^{2+}$  are the hardest to reduce at  $-1.1$  V, followed by the dppz and tpphz ligands in  $6^{2+}$ ,  $7^{2+}$ , and  $8^{4+}$  in the  $-0.76$  to  $-0.5$  V potential range, and finally the tatpp and tatpq ligands in  $3^{2+}$ ,  $4^{4+}$  and  $5^{4+}$  in the respective  $-0.1$  to  $0.05$  V range (all potential are quoted vs. NHE). It is clear that the RPCs with DNA cleavage activity, which are indicated by the circles filled with black dots (Fig. 3, bottom), possess the most positive reduction potentials ( $> -0.2$  V vs. NHE). With a reduction potential of  $-0.24$  V (vs. NHE, pH 7) for the glutathione disulfide/glutathione couple,<sup>84</sup> GSH can only reduce  $3^{2+}$ ,  $4^{4+}$  and  $5^{4+}$  of all the RPCs examined ( $1^{2+}$ – $8^{4+}$ ) and it is no coincidence that these are the only RPCs observed to cleave DNA.

Cleavage by  $\text{O}_2$  activation could then be explained by GSH reduction of the RPC to a ligand radical species, shown for  $4^{4+}$  in reaction (1), which then reacts with  $\text{O}_2$  to form superoxide, as

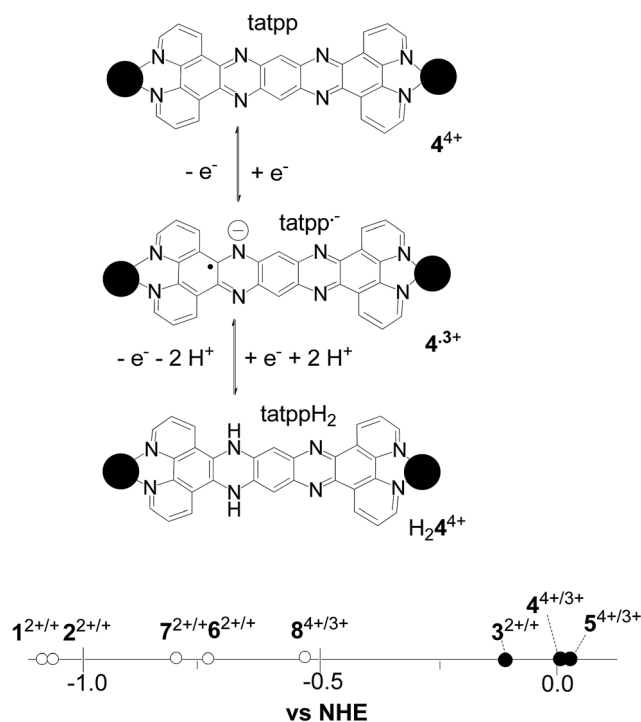
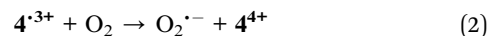
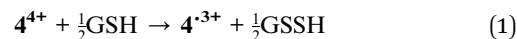


Fig. 3 Top: Relevant redox isomers of  $4^{4+}$  in aqueous solution (pH 7.2). Black ball represents the  $[\text{Ru}(\text{phen})_2]^{2+}$  fragment. Equivalent redox isomers exist for  $3^{2+}$  with respect to the tatpp ligand. Bottom: Plot of the first reduction potential for the RPCs  $1^{2+}$ – $8^{4+}$  in MeCN solvent. Open circles indicate complexes that are inactive for DNA cleavage and partially filled circles indicate complexes which cleave DNA in the presence of GSH.



shown in reaction (2). As  $4^{4+}$  is regenerated in reaction (2), it could then redox-cycle much like the related quinone-based anticancer drugs doxorubicin and daunorubicin to generate more ROS.<sup>85–87</sup> Superoxide is not potent enough to directly attack DNA but can form more potent ROS including  $H_2O_2$  and hydroxyl radical through subsequent reductions. The involvement of hydroxyl radical in DNA cleavage was assessed by adding a number of  $OH^\cdot$  scavengers all of which attenuate the cleavage activity. Specifically, addition of sodium benzoate, sodium formate, mannitol, ethanol, or DMSO were all observed to inhibit the cleavage activity in a dose dependent manner for both  $3^{2+}$  and  $4^{4+}$  (see ESI, Fig. S3–7†).<sup>88,89</sup> The gels (see ESI† Fig. 3–7) were scanned by densitometry and the data reporting the relative amount of Form II nicked DNA are tabulated in Table 1. In addition to the observation of nicked DNA in agarose gels, large scale DNA cleavage reactions were extracted with dichloromethane and the characteristic small molecule by-products of deoxyribose degradation, 5 MF and furfural, could be identified by HPLC analyses (see ESI Fig. S11†).<sup>90,91</sup> 5 MF and

furfural are characteristic neutral byproducts of hydrogen atom abstraction from the C1 and C5 deoxyribose positions, respectively. Their presence indicates non-specific H atom abstraction and is supporting of hydroxyl radical as the active agent.

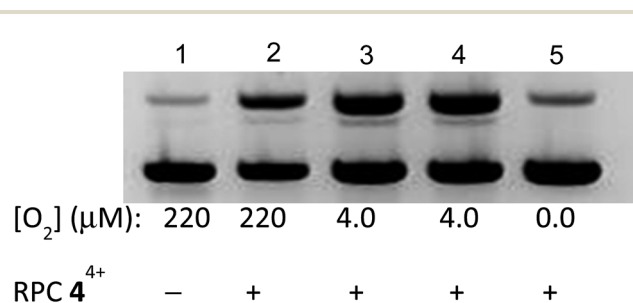


Cleavage reactions in the presence of SOD and/or catalase revealed a central role for  $H_2O_2$  over superoxide. As shown in Fig. 5, addition of SOD attenuates but does not completely stop the cleavage activity of  $4^{4+}/GSH$  or  $3^{2+}/GSH$  aerobic mixtures whereas catalase does. The cleavage was also strongly attenuated upon addition of sodium pyruvate (see ESI Fig. S9†), which is a selective scavenger for  $H_2O_2$ , further revealing  $H_2O_2$  as an integral intermediate in the cleavage mechanism.<sup>92,93</sup> As SOD scrubs out superoxide and produces  $O_2$  and  $H_2O_2$ , a basal level of cleavage activity would be expected if  $H_2O_2$  were generated *via* this pathway and if  $H_2O_2$  is the primary precursor to hydroxy radical. Catalase, which decomposes  $H_2O_2$  to water and  $O_2$ , would completely arrest cleavage if  $H_2O_2$  were the necessary precursor to hydroxy radical, as is observed.

Given the requirement for  $H_2O_2$ , reactions (1) and (2) do not explain the observed catalase inhibition, as reaction (2) only yields  $H_2O_2$  indirectly, by either disproportionation, reaction (3), or by superoxide reduction by some other substrate. This latter reaction is limited, however, by the modest reduction potential of superoxide (+0.36 V at pH 7 and 25 C).<sup>94</sup> Disproportionation (rxn 2) should become slow at low  $[O_2]$  as this would lead to low  $[O_2^{\cdot-}]$ , but as reported previously, DNA cleavage by  $4^{4+}$  was enhanced as the  $[O_2]$  was lowered.<sup>95</sup> Oxygen concentrations were not quantitated in this previous study, so we examined the DNA cleavage activity of  $4^{4+}/GSH$  mixtures at three  $[O_2]$ . Aerobic solutions had a measured  $[O_2]$  of 220  $\mu M$ , as determined by an  $O_2$  sensitive electrode. Solutions prepared in a nitrogen-filled glove box measured 4.0  $\mu M [O_2]$  and solutions in the nitrogen glove box and which were internally scrubbed by addition of protocatechuate 3,4-dioxygenase, and its substrate,

**Table 1** Effect of cleavage activity with and without inhibitors at varying concentrations in the cleavage activity of  $4^{4+}$  and  $3^{2+}$  with GSH and plasmid DNA under aerobic conditions after 24 h incubation

Inhibitor		% Form II cleavage from $4^{4+}$ digestion	% Form II cleavage from $3^{2+}$ digestion
Benzoate (mM)	0	74	74
	2	44	53
	4	33	40
	6	30	36
Formate (mM)	0	62	75
	2	44	57
	4	30	43
	6	27	39
Mannitol (mM)	0	67	71
	2	55	53
	4	39	49
	6	39	36
EtOH (mM)	0	71	79
	2	54	55
	4	39	46
	6	39	45
Pyruvate (mM)	0	74	78
	2	30	34
	4	31	28
	6	28	23
DMSO (mM)	0	64	74
	2	43	50
	4	34	37
	6	31	31
DEF (mM)	0	65	50
	2	26	19
	4	22	17
	6	22	17
SOD ( $\mu g mL^{-1}$ )	0	64	77
	15	39	50
	15	19	18
Catalase ( $\mu g mL^{-1}$ )	0	67	75
	15	19	18
	15	19	18
SOD and catalase ( $\mu g mL^{-1}$ )	0	67	75
	15	20	20
	15	20	20



**Fig. 4** *In vitro* DNA plasmid cleavage assay in which pUC19 DNA (154  $\mu M$  DNA-bp) was incubated with  $4^{4+}$  (31  $\mu M$ ) in PBS buffer (pH 7.2) and 1.0 mM GSH at varying  $[O_2]$ . Lane 1: control, no  $4^{4+}$ , 220 mM  $O_2$ . Lane 2–5: DNA,  $4^{4+}$ , and varying amounts of  $O_2$ . Lane 4 also contains 30  $\mu M$  3,4-dihydroxybenzoate to show that this does not interfere with the assay; lane 5 contains 30  $\mu M$  3,4-dihydroxybenzoate and 5 units of protocatechuate dioxygenase.



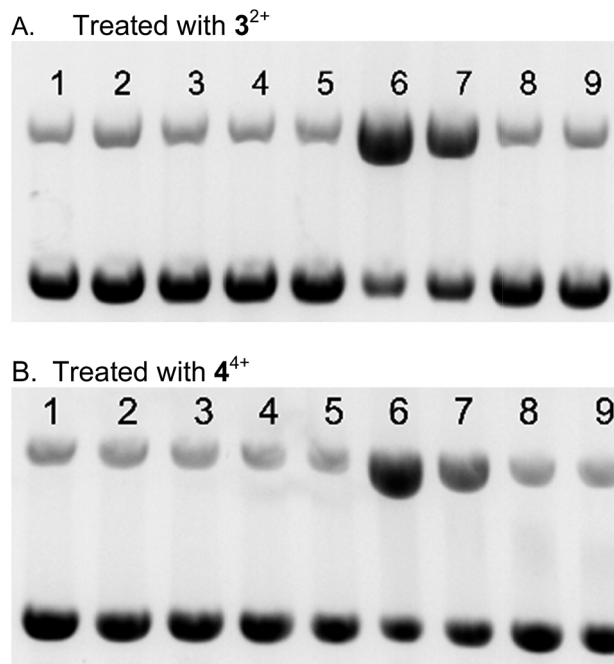


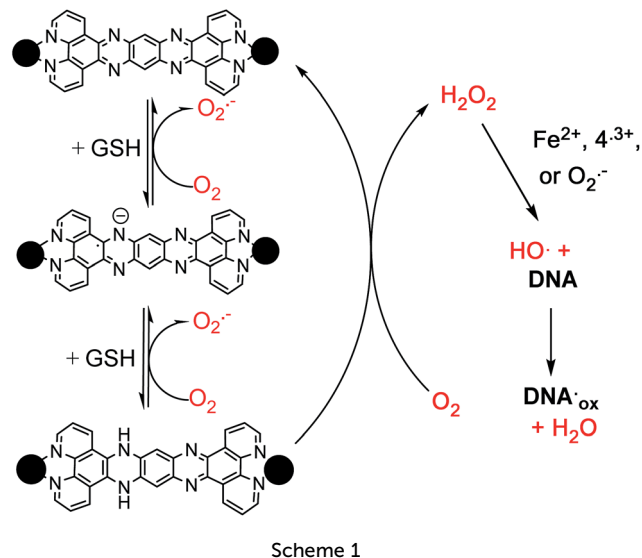
Fig. 5 Effect of varying concentrations of SOD and catalase on the DNA cleavage activity of 3<sup>2+</sup> (A) top gel) and 4<sup>4+</sup> (B) bottom gel). Agarose gel (1%) stained with ethidium bromide of supercoiled pUC18 DNA (154 μM) cleavage products after incubation at 25 °C for 48 h with RPC (12.8 μM), GSH (256 μM) in 50 mM Na<sub>3</sub>PO<sub>4</sub>/10 mM buffer (pH 7.2). Lane 1: DNA control; lane 2: GSH and DNA; lane 3: DNA and RPC; lane 4: SOD (15 μg mL<sup>-1</sup>) DNA; lane 5: catalase (15 μg mL<sup>-1</sup>) and DNA; lane 6: RPC, GSH and DNA; lane 7: RPC, GSH, SOD (15 μg mL<sup>-1</sup>) and DNA; lane 8: RPC, GSH, catalase (15 μg mL<sup>-1</sup>) and DNA; lane 9: RPC, GSH, SOD (15 μg mL<sup>-1</sup>), catalase (15 μg mL<sup>-1</sup>) and DNA. All reactions were carried out under aerobic conditions.

3,4-dihydroxybenzoate<sup>96</sup> showed no measurable [O<sub>2</sub>] by the O<sub>2</sub> sensitive electrode. As shown in Fig. 4, considerably greater cleavage is observed for samples with 4.0 μM O<sub>2</sub> (lanes 3 and 4), compared to that under normoxic conditions (220 μM O<sub>2</sub>, lane 2). However, no cleavage is seen in the absence of O<sub>2</sub> (lane 5). Lane 4 is a control in which 3,4-dihydroxybenzoate is present, and reveals this additive does not affect the DNA cleavage, nor does the protocatechuate 3,4-dioxygenase protein (data not shown).

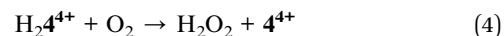


With these data the question becomes, how does low [O<sub>2</sub>] paradoxically favor enhanced DNA cleavage by ROS and how is H<sub>2</sub>O<sub>2</sub> produced? Both of these questions can be explained by consideration of the multiple accessible and reversible redox states present in RPCs 3<sup>2+</sup>, 4<sup>4+</sup> and 5<sup>4+</sup>. As shown in Fig. 3 (top), 4<sup>4+</sup> can undergo a single reduction to form the radical complex 4<sup>•3+</sup>, or two reductions, accompanied by protonation at pH 7.2, to yield the diamagnetic complex H<sub>2</sub>4<sup>4+</sup> (shown as the benzoid tautomer).<sup>97</sup> These three isomers are analogous to the quinone, semiquinone radical, and hydroquinone isomers seen in the anthracyclines above, but based on reversible imine/amine couples.

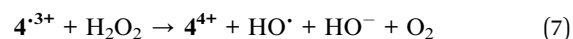
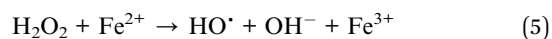
A mechanistic pathway consistent with these results is shown in Scheme 1, which details a multistep pathway by which



O<sub>2</sub> is activated to form superoxide and hydrogen peroxide upon redox cycling by the RPC 4<sup>4+</sup> (or 3<sup>2+</sup>). As indicated in the column on the left, RPC 4<sup>4+</sup> can bind to DNA and be interconverted between three redox states by reaction with GSH and O<sub>2</sub>. The relative amount of a given redox isomer being dictated by the GSH/O<sub>2</sub> ratio under steady-state conditions. At low GSH/O<sub>2</sub>, the steady-state concentrations are shifted to the more oxidized isomers whereas at low GSH/O<sub>2</sub> the opposite occurs. As H<sub>2</sub>4<sup>4+</sup> (or H<sub>2</sub>3<sup>2+</sup>) can directly produce H<sub>2</sub>O<sub>2</sub> in a single step *via* a 2-electron, 2-proton transfer to O<sub>2</sub> (reaction (4)), circumstances which favor a greater steady state concentration of [H<sub>2</sub>4<sup>4+</sup>] also favor H<sub>2</sub>O<sub>2</sub> production. Now the enhanced DNA cleavage activity under low [O<sub>2</sub>] can be rationalized in terms of the enhanced efficiency at producing H<sub>2</sub>O<sub>2</sub> relative to superoxide under hypoxia-like conditions. The direct production of H<sub>2</sub>O<sub>2</sub> from the oxidation of hydroquinones,<sup>98,99</sup> polyphenols,<sup>100</sup> dihydroflavins,<sup>101,102</sup> and even dihydropyrazines<sup>103</sup> is well established.



Given the situation in which H<sub>2</sub>O<sub>2</sub> production increases as the [O<sub>2</sub>] is lowered, enhanced DNA cleavage is explained by the H<sub>2</sub>O<sub>2</sub> activation *via* Fenton chemistry (reaction (5))<sup>104</sup> or reactions with other reductants, such as reactions (6) and (7), to yield the hydroxy radical. Adventitious Fe<sup>2+</sup> is frequently observed to play a significant role in the activation of H<sub>2</sub>O<sub>2</sub> (ref. 104) and appears to play a role here. Addition of the iron chelator, deferoxamine,<sup>105</sup> to cleavage solutions of 4<sup>4+</sup> or 3<sup>2+</sup> and GSH and air mostly quenches the cleavage reaction (see ESI Fig. 10†), suggests that trace Fe<sup>2+</sup> is involved in the hydroxy radical production.



Reaction (7) shows that the radical  $4^{3+}$  (or  $3^{2+}$ ) can also activate  $H_2O_2$  in a manner analogous to the semiquinone radical of anthracyclines.<sup>89,106,107</sup> Assuming these RPCs are DNA bound, this would generate the hydroxy radical in the immediate vicinity of the DNA, possibly increasing potency.

It is important to emphasize the difference in this redox activity with that of other known ruthenium-based drugs which are activated by reduction. A number of Ru(III) drugs, such as KP-1019 and NAMI-A, are bio-reduced *in situ* to form the 'active' Ru(II) drug.<sup>7,8</sup> This reduction is irreversible and the resulting Ru(II) is thought to form adducts with many cellular structures, resulting in apoptosis, however even today the exact cellular targets and mechanism of action are not fully understood.<sup>6,108,109</sup> In contrast, the ruthenium(II) center in RPC  $3^{2+}$  and  $4^{4+}$ , are essentially inert throughout the process. Instead, the tatpp ligand is the redox-active unit which redox cycles with GSH and  $O_2$  to catalytically generate ROS, which is conceptually related to the redox-cycling of Cu(II)phen and Fe-bleomycin.<sup>8,110-112</sup> However, again an important distinction remains in that Cu(II)phen and Fe-bleomycin show a directly proportional relationship between the observed DNA cleavage activity and the  $[O_2]$ , whereas RPC  $3^{2+}$  and  $4^{4+}$  show an inverse proportionality. This is due to the presence of two ligand-based redox couples, the doubly-reduced form being increasingly accessed as the GSH/ $O_2$  ratio climbs and which can then react with the remaining  $O_2$  to directly produce  $H_2O_2$  with better efficiency. This unusual  $[O_2]$  dependence could have utility in enhancing the treatment in hypoxic regions of tumors.

As a point of clarification, we have previously reported that the doubly-reduced  $[H_2A]Cl_4$  cleaved DNA in the absence of both GSH and  $O_2$ .<sup>69</sup> Moreover, added 2,2,6,6-tetramethyl-1-piperidinyloxy (TEMPO) attenuated this cleavage while added DMSO did not. TEMPO can quench carbon-based radical species<sup>113,114</sup> whereas DMSO is primarily a scavenger for ROS.<sup>105,115</sup> At that time, we were using a nitrogen glove box for 'anerobic' work for which we now know leads to solutions with measurable  $[O_2]$ . Once this was understood, we assumed that ROS were responsible for the observed cleavage, however, this is at odds with the cleavage activity observed in the presence of DMSO. Another possibility, that we still need to demonstrate, is oxidation of  $H_2A^{4+}$  by  $O_2$  leads to some DNA-bound  $4^{3+}$ . This radical persists for long periods in intimate contact with the DNA (and we know this radical is remarkably stable<sup>116,117</sup>) and possibly can directly abstract a H-atom from the deoxyribose unit. Such dual cleavage mechanisms ( $O_2$  dependent and  $O_2$  independent) are preceded in the related antibiotic anthraquinones, daunorubicin and doxorubicin,<sup>86,118</sup> and DNA cleaving-dihydropyrazines,<sup>103,119-122</sup> both which form DNA bound radical species that can either activate  $O_2$  or directly attack the DNA.

### ROS production and DNA cleavage activity in cultured human cancer cells

The inhibitory concentrations 50% ( $IC_{50}$ ) for many of the RPCs in cultured human NSCLC H358 cells are reported in Table 2 and were determined using the MTT assay. In general, RPCs that are not redox-active and which do not induce DNA cleavage

Table 2  $IC_{50}$  values for RPCs against H358 cells (chloride salts)

Compound (RPC)	Abbr	H358 $IC_{50}$ ( $\mu M$ )	Ref.
$[Ru(phen)_3]^{2+}$	$1^{2+}$	$86.7 \pm 4.1$	75
$[Ru(Ph_2phen)_3]^{2+}$	$2^{2+}$	$1.7 \pm 0.1$	This work
$[(phen)_2Ru(tatpp)]^{2+}$	$3^{2+}$	$13.2 \pm 1.8$	75
$[(phen)_2Ru(tatpp)Ru(phen)_2]^{4+}$	$4^{4+}$	$15.2 \pm 1.8$	75
$[(phen)_2Ru(dppz)]^{2+}$	$6^{2+}$	$35.1 \pm 0.71$	This work
$[(phen)_2Ru(tpphz)]^{2+}$	$7^{2+}$	$44.0 \pm 3.0$	75
$[(phen)_2Ru(tpphz)Ru(phen)_2]^{4+}$	$8^{4+}$	$41.8 \pm 2.7$	75

in cell-free assays, as described previously, are less cytotoxic. The clear exception being RPC  $2^{2+}$ , which is the most potent of all those examined, with an  $IC_{50}$  of 1.7  $\mu M$ . RPCs  $3^{2+}$  and  $4^{4+}$  were the next most potent at 13–15  $\mu M$ . We have previously shown that H358 cells treated with  $3^{2+}$  or  $4^{4+}$  (5  $\mu M$ ) for as little as 1 hour have appreciable quantities of ruthenium in both the whole cell and nuclear fractions, as detected by graphite furnace atomic absorption spectroscopy, revealing facile transport into the cells and nucleus.<sup>75</sup> RPC  $2^{2+}$  is well-known for its cytotoxic properties and is found to localize in lysosomes and mitochondria.<sup>24</sup> Its cytotoxicity is largely been attributed to mitochondrial poisoning.<sup>24</sup>

H358 cells treated with RPC  $4^{4+}$  (15  $\mu M$ ) and to a lesser extent,  $3^{2+}$ , (13  $\mu M$ ) show significantly elevated ROS levels in within 2 hours of treatment as observed using a fluorescent ROS-sensitive dye, DCFH-DA,<sup>123</sup> and fluorescent microscopy. DCFH-DA is an oxidation sensitive dye that fluoresces brightly and is measured in the green when intracellular ROS is generating in a cell.<sup>54,80,124</sup> DCFH-DA was commonly and inaccurately thought to be a  $H_2O_2$  specific marker but more recently shown to be a more general ROS detection dye, as it is also sensitive to superoxide ion.<sup>80,125</sup> As shown in Fig. 6, the green fluorescence image tracks indicate ROS production within the H358 cells treated with  $H_2O_2$ , RPCs  $3^{2+}$ ,  $4^{4+}$  and  $2^{2+}$ , or untreated cells. The negative control shows the basal levels of ROS whereas the positive control shows the dye activity in the presence of  $H_2O_2$ , principally in the cytoplasm. Cells treated with RPC  $2^{2+}$  (1.7  $\mu M$ ) represent a negative control in that this RPC, while quite cytotoxic, does not redox-cycle nor generate ROS unless specifically irradiated with light,<sup>17,24,126</sup> which is avoided here. Comparisons of the columns in which RPCs  $3^{2+}$ ,  $4^{4+}$  and  $2^{2+}$  were used show that RPC  $4^{4+}$  clearly promotes significant ROS production in cells after only 2 h incubation, whereas RPC  $3^{2+}$  does elevate ROS production also, but less dramatically so. At longer incubation periods (22 h), both  $3^{2+}$  and  $4^{4+}$  generate substantial amounts of ROS intracellularly. RPC  $2^{2+}$  does not result ROS production over the basal level at 2 or 8 h. At 22 h, the increase can be largely attributed to indirect pathways to ROS production as the cytotoxic activity results in activation of apoptotic pathways. Most significantly, the ROS activity seen in the gel-shift assays for  $3^{2+}$  and  $4^{4+}$  and the lack of activity for  $2^{2+}$  are clearly mirrored here.

### DNA cleavage activity in cultured human cancer cells

The single strand DNA cleavage observed cell-free assays and the ROS activity seen in cells, is observed to lead to DSBs in H358 cells. Oxidative DNA damage in the form of DSBs could be



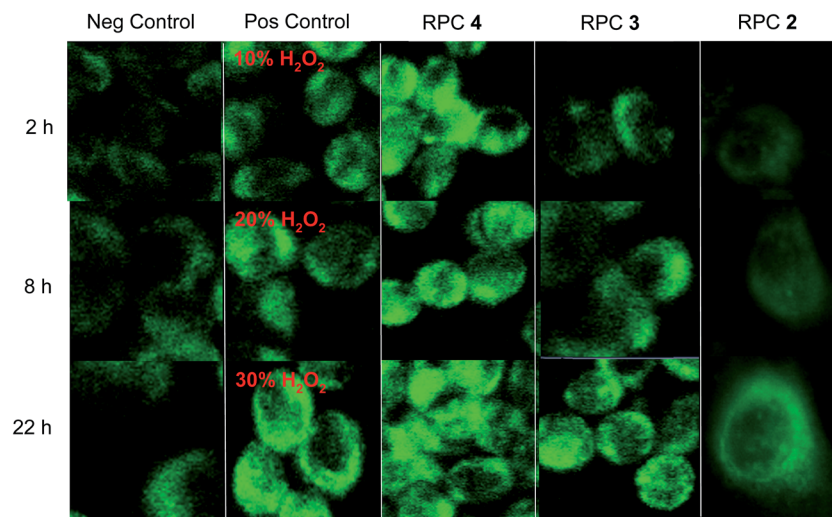


Fig. 6 H358 cells stained with DCFH-DA to image ROS production. First column is untreated cells as a negative control. Second column is the positive control where cells were dosed with 10, 20, and 30% solutions of  $\text{H}_2\text{O}_2$  for 15 min and imaged with DCFH-DA. Third, fourth, and fifth columns show H358 cells dosed with relative  $\text{IC}_{50}$  values of various complex as follows:  $4^{4+}$  ( $15 \mu\text{M}$ ),  $3^{3+}$  ( $13 \mu\text{M}$ ) and  $2^{2+}$  ( $1.7 \mu\text{M}$ ) for the 3 time periods indicated. DCFH-DA was then administered for 30 min and imaged using confocal microscopy (488/519 nm).

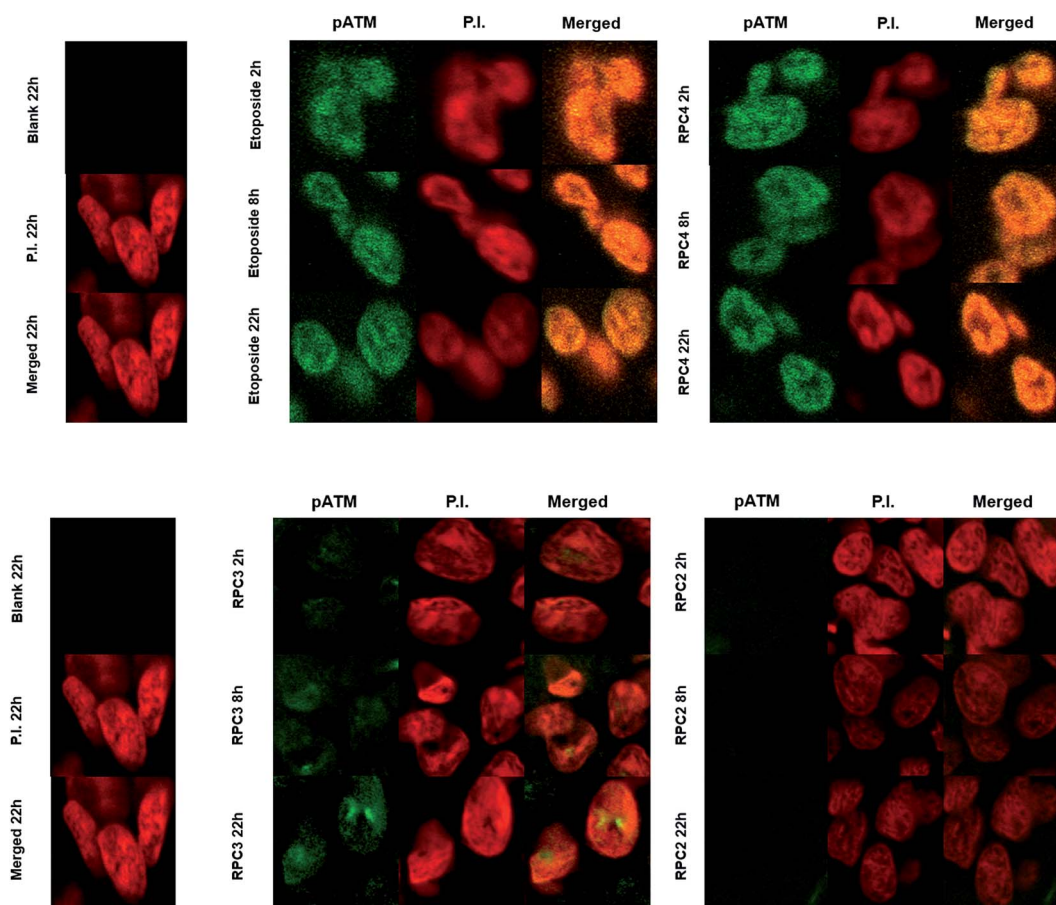


Fig. 7 Immunofluorescence staining of pATM foci in H358 cell line. The cells were fixed stained and imaged at 2, 8, and 22 h post treatment with the  $\text{IC}_{50}$  values for  $4^{4+}$  and  $3^{3+}$ .

detected by monitoring the appearance of the phosphorylated protein, ataxia-telangiectasia mutated (pATM) and its downstream effect,  $\gamma\text{H2AX}$ , in the nuclei of treated cells using

immunofluorescent (IF) stains.<sup>127–129</sup> DSBs induced by a number of causes including ionizing radiation and ROS are known to activate-ATM.<sup>130</sup> As seen in Fig. 7, nuclear DSBs show up as



green foci upon fixing and staining cells with ATM primary antibody where the nucleus of each cell is stained with propidium iodide (PI). Each track in the series shows the merged signals of ATM and PI. Etoposide was used as a positive control, as it is known to stabilize transient covalent complexes between topo 2 and DNA, ultimately converting them to DSBs in the S-phase cell cycle.<sup>131,132</sup> As seen in Fig. 7, cells treated with the IC<sub>50</sub> dose of 4<sup>4+</sup> and etoposide (1 μM) show numerous foci representing DSBs recruiting ATM at 2 h which become ever more present at longer time periods, 8 and 22 h, respectively. Cells treated with the IC<sub>50</sub> dose of 2<sup>2+</sup> and 3<sup>2+</sup> show no or little pATM foci at 2 h, respectively, and while foci become apparent for 3<sup>2+</sup> at 8 and 22 h, they are never observed for cells treated with 2<sup>2+</sup> (up to 22 h). Again we observe distinctly different outcome for redox-active *versus* redox-inactive RPCs, with a noticeable lag in the activity in 3<sup>2+</sup> compared to 4<sup>4+</sup>.

DSBs also cause damage at associated loci on the histone cause the histone ser-139 residues in mammalian cells to be phosphorylated which can be directly detected using the γH2AX assay.<sup>131</sup> DSBs after activation of ATM recruits the downstream phosphorylation of γH2AX and show up as yellow-green foci upon fixing and staining H358 cells with γH2AX primary antibody. For a positive control, H358 cells were irradiated (IR) with 1.8 Gy and show numerous DSB foci within 30 minutes<sup>132–136</sup> and is compared to the negative control of untreated H358 cells, which is dark (Fig. 8, left). As in our ATM assay, etoposide was used again as a positive control for DSB formation and in Fig. 8, cells treated with the IC<sub>50</sub> dose (1.0 μM etoposide) show again

numerous DSBs at 2 h which become substantial at longer time periods, 8 and 22 h, respectively.<sup>131,132</sup> The next two columns in Fig. 8 show similar nuclear effects upon treating H358 cells with 4<sup>4+</sup> (15 μM) and 3<sup>2+</sup> (13 μM) at their IC<sub>50</sub> dose. Cells treated with 4<sup>4+</sup> mimicked etoposide with numerous DSBs evident after the 2 h time period and even greater increases seen in the foci count with increasing time. In tandem with the ATM assay, RPC 3<sup>2+</sup> showed substantially fewer DSBs at the 2 and 8 h time points, than seen for etoposide or 4<sup>4+</sup>. At 22 h, the appearance of numerous DSBs could be attributed to an indirect mechanism and an apoptotic cascade, however it is notable that no DSBs are seen in the nuclei of cells treated with 2<sup>2+</sup>, even at 22 h. This data reveal very different mechanisms of action for 4<sup>4+</sup> *versus* 2<sup>2+</sup> and hint that the mechanism of action for 3<sup>2+</sup> may deviate from 4<sup>4+</sup>. It is hard to attribute the strong 2 h DSB response seen in cells treated with 4<sup>4+</sup> to anything other than a direct response, which is mirrored by other agents directly acting on the nuclear DNA (etoposide and radiation) and these DSBs represent the primary event responsible for apoptosis.<sup>135,137</sup>

As shown in Fig. 9, quantitation of the γH2AX foci (using the Image J software package with gives a count of the foci per 25 cells<sup>138</sup>) reveals that etoposide and 4<sup>4+</sup> show an equal response after 2 h, whereas 3<sup>2+</sup> is only slightly above the negative control. At 8 h, 4<sup>4+</sup> shows more foci than etoposide and almost 5 fold more foci than cells treated with 3<sup>2+</sup>. Only after 22 h, do the foci count become near equal and the extensive number of foci (over 250 each) indicative of apoptosis. RPC 4<sup>4+</sup> clearly shows mechanistic similarities with other DNA cleavage agents and is

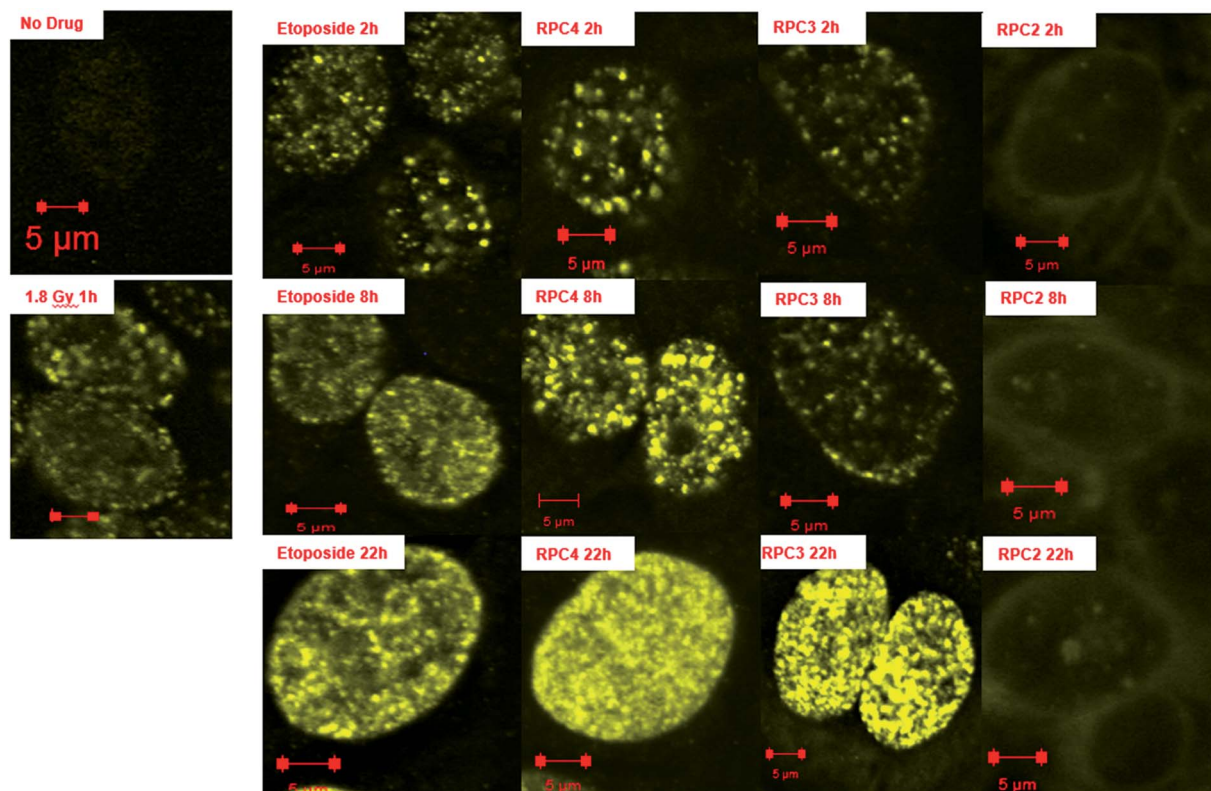


Fig. 8 Immunofluorescence staining of γH2AX foci in H358 cell line. The cells were fixed stained and imaged at 2, 8, and 22 h post treatment with the IC<sub>50</sub> values for 4<sup>4+</sup> and 3<sup>2+</sup>.



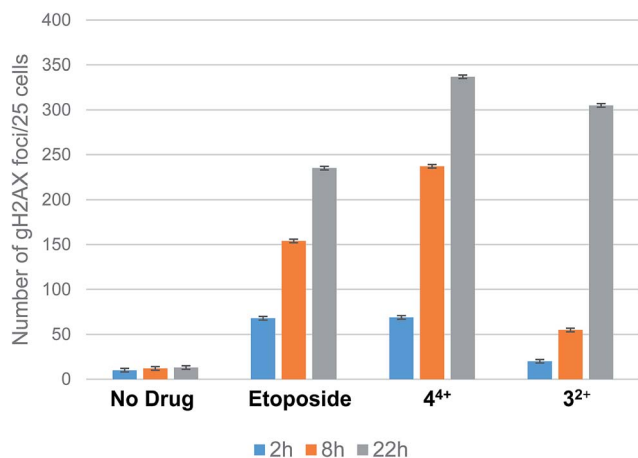


Fig. 9 Quantitative analysis of  $\gamma$ -H2AX foci in H358 cell line for etoposide, 4<sup>4+</sup>, and 3<sup>2+</sup> using image J software. An average of 25 cells per count were used in tandem with double phase light contrast particle count.

competitive with etoposide. The DSBs for 3<sup>2+</sup>, on the other hand, indicate a potential divergence in their mechanistic pathway in cells, despite near identical behavior cell-free studies. This last result is particularly intriguing as both 3<sup>2+</sup> and 4<sup>4+</sup> are essentially equitoxic as measured by IC<sub>50</sub> values to H358 cells, and show similar tumor growth inhibition in mouse tumor models.<sup>70</sup> Additional studies must be performed with 3<sup>2+</sup> and 4<sup>4+</sup> to determine if these divergent results are due to different transport rates and pathways, cellular localization, or simply different pro-apoptotic cascade pathways.

It is curious that SSBs are observed in cell-free assays, while DSBs are observed in cells. It is possible that the catalytic ROS activity of 3<sup>2+</sup> and 4<sup>4+</sup> leads to multiple SSBs resulting in DSBs, but it would be odd that this only occurs in cells. As these RPCs are known to intercalate, it could be that these RPCs induce other injuries to the cellular DNA, such as topoisomerase inhibition. In combination with the ROS generation, DSBs are effectively produced. The low cytotoxicity of other known metallointercalators with very similar structures, but lacking the redox cycling functionality (*i.e.*, RPCs 6<sup>2+</sup>, 7<sup>2+</sup>, and 8<sup>4+</sup>; see Table 2) suggest that intercalation in the absence of ROS production is not sufficient for a substantial cytotoxic effect. Further supporting this, fluorescent imaging of MCF7 cells treated with RPC 8<sup>4+</sup> reveals this RPC does accumulate in the cell nuclei, yet it is relatively non-cytotoxic (MCF7 IC<sub>50</sub> 138  $\mu$ M).<sup>42,43</sup> We postulate that the combination of efficient ROS production in the immediate vicinity of the DNA and inhibition of normal nuclear DNA functions by intercalation result in DSBs in cells, whereas only SSBs are seen in cell-free assays where the family of DNA associated proteins are absent.

#### Effects of RPCs in cancer cells within a hypoxic environment

An examination of the cytotoxicity of 3<sup>2+</sup> and 4<sup>4+</sup> in H358, HCC2998, HOP-62, Hs766t was conducted under normoxia (18% O<sub>2</sub>) and hypoxia (1.1% O<sub>2</sub>), to see if the O<sub>2</sub> sensitivity seen *in vitro* is observed in cells. The bar graphs in Fig. 10 compare

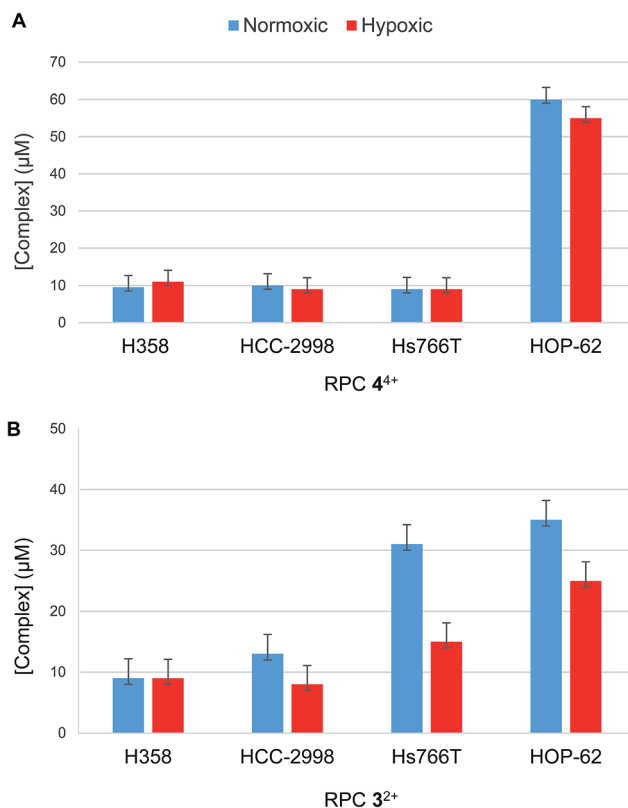


Fig. 10 IC<sub>50</sub> of human malignant cell lines treated with RPCs 3<sup>2+</sup> and 4<sup>4+</sup> under normoxia (18% O<sub>2</sub>) and hypoxia (1.1% O<sub>2</sub>) represented by the blue and red bars respectively. In this case the enantiopure  $\Delta$ -3<sup>2+</sup> and  $\Delta$ -4<sup>4+</sup> were used, which is why the IC<sub>50</sub>'s reported under normoxia are lower. IC<sub>50</sub>'s were determined using the MTT assay. Error bars indicate the standard deviation of IC<sub>50</sub> as measured from three 96 well plates. Each plate contained six replicates at each concentration to determine the IC<sub>50</sub>.

the IC<sub>50</sub>, as measured by MTT assay, of 3<sup>2+</sup> and 4<sup>4+</sup> when the cells are incubated under normoxic conditions (blue) and hypoxic conditions (red). A two-fold enhancement in cytotoxicity is seen for 3<sup>2+</sup> in Hs766t and HOP-62 under hypoxia compared to normoxia, however, the remaining cell lines, H358 and HCC2998, showed little difference. Surprisingly, no difference was observed in the cytotoxicity of 4<sup>4+</sup> in all four cell lines between normoxic and hypoxic conditions. Ultimately, it appears that even though DNA cleavage is enhanced in cell-free assays under hypoxic relative to normoxic conditions, the DNA damage done in cells under normoxic conditions is sufficient to trigger the same apoptotic response as seen under hypoxia. While this suggests the RPC 4<sup>4+</sup> is not selective for hypoxic cell populations, the fact that the activity of 4<sup>4+</sup> is not diminished under hypoxia is still quite attractive, as many anticancer drugs become less effective when cells are under hypoxic stress.<sup>139,140</sup>

## Conclusions

The cell-free data support a mechanistic model in which single-strand cleavage activity of DNA-bound 3<sup>2+</sup> and 4<sup>4+</sup> is observed due to redox-cycling mediated by the [GSH] and [O<sub>2</sub>].



The [GSH]/[O<sub>2</sub>] ratio dictates the steady state concentration of the three redox isomers of 3<sup>2+</sup> and 4<sup>4+</sup> in such a manner that at low [O<sub>2</sub>] and high [GSH], a pathway favorable to H<sub>2</sub>O<sub>2</sub> production becomes increasingly favorable. The relative efficiency by which H<sub>2</sub>O<sub>2</sub> can be activated to form hydroxy radicals over superoxide results in enhanced DNA cleavage under low O<sub>2</sub> conditions (assuming [GSH] is held relatively constant). This redox-cycling means that the RPCs are catalytic with respect to DNA cleavage. The tatpp ligand is key to this functionality and bears some resemblance to the intercalating anthraquinone anti-cancer drugs, which also show DNA damage *via* redox cycling. The metal fragments impart a similar DNA binding affinity to the RPCs as the anthraquinones and the tatpp ligand imparts the redox-cycling activity. It remains to be seen how these two classes of intercalators overlap in terms of specificity, toxicity, and spectrum of use and where they diverge.

The DNA cleavage activity of RPC 4<sup>4+</sup> and to a lesser extent 3<sup>2+</sup> is observed in the nuclei of H358 cells, however this time as DSBs. Within 2 h of treatment with an IC<sub>50</sub> dose of 4<sup>4+</sup>, H358 cells show elevated levels of ROS as detected by the fluorescent ROS-sensitive dye, DCFH-DA, marked phosphorylation of the ATM signalling protein in the nuclei indicating DNA damage in response to ROS, and direct observation of DSBs in the nuclei using the γH2AX assay. Cells treated with 3<sup>2+</sup> also show these responses, but with a 3 to 6 h temporal delay that could indicate an indirect cleavage mechanism and which could suggest divergent reaction mechanisms for 3<sup>2+</sup> and 4<sup>4+</sup> in live cells. Cells treated with the nonredox-active RPC 2<sup>2+</sup>, which is even more cytotoxic towards H358, show none of these behaviors even after 22 h treatment, suggesting the redox cycling in 3<sup>2+</sup> and 4<sup>4+</sup> is integral towards their function.

This correlation in activity between cell-free and cell studies is a first with ruthenium polypyridyl-based drugs, to our knowledge. It is interesting that the enhancement in cytotoxicity seen for RPC 3<sup>2+</sup> under hypoxia over normoxia is more pronounced than for 4<sup>4+</sup>. While neither 3<sup>2+</sup> or 4<sup>4+</sup> is dramatically more cytotoxic under hypoxia if at all compared to normoxia, it is promising to note that they are not less effective under hypoxia, which is common to many O<sub>2</sub> activating drugs.

This work also demonstrates the importance of analyzing the temporal cellular effects of treating cells with RPCs. There are numerous reports explaining how RPCs, including RPC 2<sup>2+</sup>, poison mitochondria or disrupt other cellular functions, however the conclusions are based on a single time point, meaning that the observed effect could be due to apoptotic cascades induced by the RPC at any number of locations.

## Notes

MacDonnell is a co-founder of Tuevol Therapeutics of Fort Worth, TX which has licensed portions of this technology from the University of Texas at Arlington.

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