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# Carbazole-based compounds containing aldehyde and cyanoacetic acid: optical properties and applications in photopolymerization†

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Carbazole-based dyes containing aldehyde and cyanoacetic acid groups were synthesized through Sonogashira coupling and Knoevenagel reactions. These compounds included 6-((4-(diphenylamino)phenyl)ethynyl)-9-ethyl-9*H*-carbazole-3-carbaldehyde (CCC), 9-ethyl-6-((9-ethyl-9*H*-carbazol-3-yl)ethynyl)-9*H*-carbazole-3-carbaldehyde (TCC), (*E*)-2-cyano-3-(9-ethyl-6-((9-ethyl-9*H*-carbazol-3-yl)ethynyl)-9*H*-carbazol-3-yl)acrylic acid (CCN) and (*E*)-2-cyano-3-(6-((4-(diphenylamino)phenyl)ethynyl)-9-ethyl-9*H*-carbazol-3-yl)acrylic acid (TCN). Density functional theory (DFT) calculations revealed these structures to be coplanar-conjugated molecular and uniform in shape. The UV spectra showed three main absorption peaks from 240 nm to 400 nm, indicating  $\pi$ - $\pi^*$  and  $n$ - $\pi^*$  electron transitions. The calculated vertical singlet excitation energy was within the range of  $n$ - $\pi^*$ . This finding was confirmed based on the acceptor results in reduced highest occupied molecular orbital-lowest unoccupied molecular orbital gap by launching cyanoacetic acid. These functional compounds could be used as dyes/photosensitizers.

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## Introduction

Dye photosensitized photopolymerization reactions have been largely known for many years and especially encountered in photopolymerization enabled by soft-light emitting LEDs.<sup>1-3</sup> Dye molecules that are ideal for this purpose should show efficient photoinduced electron-transfer properties, so extended-conjugated chromophores decorated with electron donating and accepting groups are frequently employed as the design motifs for dyes. Aryl amine derivatives are well known electron-rich compounds that are widely used in hole transporting materials and light-emitting diodes, organic field-effect transistors, non-linear materials and organic solar cells.<sup>4,5</sup> Typically, aryl amine donors include triphenylamine, carbazole, phenothiazine and *N,N*-dialkylaniline. Of particular note is that the amino groups in these molecules can be readily oxidized to form stable cations, which in turn enhances the ability to transport positive charges.<sup>6-8</sup> Cyanoacrylic acid is most widely employed as an electron accepting group, especially for its use

in dye-sensitized solar cells to attach the organic dye to the surface of titania nanocrystals.<sup>9-15</sup>

Dye molecules containing carbazole and phenothiazine moieties have been known for a good photosensitizing performance in photopolymerization.<sup>16-18</sup> It was believed that these dyes carried out electron-transfer photosensitization to onium salts. Research has been reported by several groups including Crivello and co-workers, Yagci and co-workers, and Jacques Lalevée and co-workers.<sup>19-27</sup> Photolysis of onium salts is photosensitized by various monomeric and polymeric compounds containing the carbazole, triphenylamine and phenothiazine.

Density functional theory (DFT) is a very convenient and known technique used to predict experimental data. DFT involves a wide range of methods to determine the geometries of synthesized structures, their vertical excitation energies, and the highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO) energies.<sup>28-31</sup> Furthermore, quantum chemical calculations have been increasingly used in the design and preselection of candidate molecules to minimize experimental efforts.<sup>32-35</sup> Theoretical works have been conducted to elucidate the role of these properties in certain chemical and physical phenomena, such as laser technology, which require materials with specific optical properties.<sup>36-39</sup>

In this study, we prepared four carbazole-based compounds containing aldehyde and cyanoacetic acid as their side chains to bridge ethynyl to triphenylamine and ethylcarbazole. The synthesized compounds exhibit distinct structure and physical properties and have great potential in applications like

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† Electronic supplementary information (ESI) available: ESI contains information about compounds used in particular work, <sup>1</sup>H NMR, <sup>13</sup>C NMR and IR spectrum for synthesized compounds, and Table S1 contains data about synthetic conditions. See DOI: 10.1039/c7ra11887b



photopolymerization as photosensitizers for the free radical polymerization of acrylates and ring-opening cationic polymerization of epoxides upon exposure to the very soft irradiation of laser diodes. Moreover, we compared the UV absorption spectra with vertical excitation energies based on their geometrical structures with the WB97×D/functional, and the triple- $\zeta$  basis set 6-311G (d,p) to determine the interrelation of absorption and excitation energy.

## Experimental

### Materials and methods

Epichlorohydrin (ECH), *N*-methylpyrrolidone (NMP), and triethylamine (TEA) were purchased from Beijing Chemical Works (Beijing, China) and deprived of additional purification and were used directly. 6-Bromo-9-ethyl-9*H*-carbazole-3-carbaldehyde (BCC), monocarbon ethylcarbazole (MCE), and triphenylamine acetylene (TC) were prepared as suggested in the literature.<sup>40–42</sup> Tripropylene glycol diacrylate (TPGDA, from Eternal Chemical Co. Ltd, Zhuhai, China) and the Epoxy resin E51 (from Jiangsu Sanmu Company China) were chosen as the monomer and oligomer for this study. All other reagents and chemicals were used as purchased without any additional purification. Fig. S1† illustrates the structures of BCC, MCE, TC, TEA, NMP, ECH, CQ, photoinitiator bis(4-methylphenyl) iodonium hexafluorophosphate (ONI), monomer TPGDA, and E51.

### Characterization

A UV-5200 (UNICO) UV-vis spectrophotometer was set for UV-vis absorption bands. IR spectroscopy was arranged by a Nicolet Avatar 370 MCT with the use of KBr pellets. <sup>1</sup>H NMR and <sup>13</sup>C NMR spectra were chronicled by a Bruker AV400 (400 MHz) NMR spectrometer. Fluorescence excited spectra were found by an F-4500 (Hitachi High-Technologies Corporation). HRMS extents were carried out on an LC/MSD TOF mass spectrometer. By an XT-4 microscopic melting point tool, the melting points (MP) of the composites were found. Chromatographic decontamination of the materials was achieved with 200–400 mesh chromatography silica. Thin-layer chromatography (TLC) was fixed on 0.20 mm silica gel plates.

### Computational details

Quantum chemical calculations were carried out by means of the Gaussian-09 software package. The ground state geometries of different conformations of these dyes were optimized in the gas phase with the B3LYP/6-31G (d,p) method. Density functional theory (DFT) at the Becke 3-Lee-Yang-Parr (B3LYP/6-31G (d,p)) level and visual inspection using the Gaussview program (version 5.0) were used for the ground state optimizations of the molecular structures for all the dyes. Vertical excitations were calculated with the WB97×D/functional and the triple- $\zeta$  basis set 6-311G (d,p). The solvent tetrahydrofuran (THF) was included *via* the polarizable continuum model in its integral evaluation formalism (IEF-PCM).

### Synthetic procedures

**Synthesis of CCC.** Pd(PPh<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub> (10.53 mg, 0.015 mmol), CuI (10.00 mg, 0.05 mmol), PPh<sub>3</sub> (13.00 g, 0.05 mmol), and BCC (300 mg, 1.00 mmol) were added in a 50 mL three-necked flask along with DMF (10 mL) and TEA (1 mL) and purged with nitrogen. The mixture was homogenized using a magnetic stirrer. The reaction temperature was gradually raised to 55 °C for 30 minutes, followed by rapid rise to 85 °C. MCE (0.24 g, 1.10 mmol) was added dropwise after temperature reached to stable state. The reaction progress was continuously monitored by TLC. At the end of reaction, TEA was removed and the reaction solution was allowed to cool at room temperature. The reaction solution was added portion-wise to the NH<sub>4</sub>Cl solution, extracted with DCM (3 × 20 mL), and dried over a rotary evaporator. Purification was done by loading column chromatography (PE : DCM = 4 : 1) to give a pale yellow solid of CCC. Yield is 85%. Mp = 170–171 °C. <sup>1</sup>H NMR (400 MHz, chloroform-*d*)  $\delta$  10.13 (s, 1H), 8.61 (d, *J* = 1.6 Hz, 1H), 8.39 (dd, *J* = 8.3, 1.5 Hz, 2H), 8.15 (d, *J* = 7.7 Hz, 1H), 8.05 (dd, *J* = 8.5, 1.6 Hz, 1H), 7.75 (ddd, *J* = 18.0, 8.4, 1.6 Hz, 2H), 7.53 (ddd, *J* = 8.2, 7.0, 1.2 Hz, 1H), 7.50–7.38 (m, 4H), 7.31 (d, *J* = 7.9 Hz, 1H), 4.40 (q, *J* = 7.2 Hz, 4H), 1.49 (q, *J* = 7.1 Hz, 6H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  191.65, 143.88, 140.34, 139.99, 139.49, 130.22, 129.25, 128.90, 127.25, 126.10, 124.36, 124.07, 123.95, 123.13, 123.01, 122.81, 122.60, 120.60, 119.34, 115.76, 113.58, 109.19, 108.96, 108.70, 108.53, 89.68, 88.19, 38.09, 37.69, 13.89, 13.86. IR (KBr;  $\nu$  (cm<sup>-1</sup>)): 3049 (Ar-H), 2205 (–C≡C–), 1681 (O=CH), 2814, 2731 (OC-H). HRMS (MALDI-TOF, *m/z*): [M + H<sup>+</sup>] calcd for (C<sub>31</sub>H<sub>25</sub>N<sub>2</sub>O<sup>+</sup>): 441.1961; found: 441.1954.

**Synthesis of CCN.** CCC (220 mg, 0.5 mmol) was dissolved in chloroform (20 mL) in a 50 mL bottle, quickly weighed and cyanoacetic acid (210 mg, 2.5 mmol) was added to the solution. Piperidine (0.5 mL) was added while stirring and later kept heating to reflux. TLC were used to monitor the reaction. After cooling at room temperature, the solvent was removed using a rotary evaporator and the residue was recrystallized from methanol. For further purification, dry loaded column chromatography was used at different ratios of two solvents (CH<sub>2</sub>-Cl<sub>2</sub> : MeOH = 5 : 1), to get CCN as a reddish yellow solid, yield 65%. Mp = 236–238 °C. <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>)  $\delta$  8.79 (d, *J* = 1.7 Hz, 1H), 8.47 (d, *J* = 1.4 Hz, 1H), 8.40 (s, 1H), 8.35 (s, 1H), 8.26 (d, *J* = 7.9 Hz, 2H), 7.85–7.71 (m, 3H), 7.71–7.62 (m, 3H), 7.51 (ddd, *J* = 8.3, 7.1, 1.2 Hz, 1H), 7.25 (t, *J* = 7.4 Hz, 1H), 4.50 (dq, *J* = 14.4, 7.0 Hz, 4H), 1.35 (q, *J* = 7.0 Hz, 6H). <sup>13</sup>C NMR (101 MHz, DMSO-*d*<sub>6</sub>)  $\delta$  141.79, 139.93, 139.59, 139.05, 129.69, 128.87, 127.51, 126.25, 124.44, 123.80, 123.61, 123.42, 122.35, 122.28, 122.03, 121.73, 120.76, 119.24, 118.83, 114.29, 112.68, 110.31, 110.13, 109.47, 109.32, 89.49, 88.37, 37.49, 37.08, 13.77, 13.69. IR (KBr;  $\nu$  (cm<sup>-1</sup>)): 3029, 2913 (Ar-H), 2222 (–C≡N–), 1730 (O=C). HRMS (MALDI-TOF, *m/z*): [M + H<sup>+</sup>] calcd for (C<sub>34</sub>H<sub>26</sub>N<sub>3</sub>O<sub>2</sub><sup>+</sup>): 508.2020; found: 508.2012.

**Synthesis of TCC.** For the synthesis of TCC with CCC, a similar technique was adopted, except replacing TC by MCE. A light yellow solid with 88% yield was obtained. Mp = 217–218 °C. <sup>1</sup>H NMR (400 MHz, Chloroform-*d*)  $\delta$  10.13 (s, 1H), 8.58 (dt, *J* = 3.3, 1.7 Hz, 1H), 8.31 (q, *J* = 1.8 Hz, 1H), 8.03 (dq, *J* = 8.6,



1.5 Hz, 1H), 7.67 (dt,  $J = 8.5, 1.2$  Hz, 1H), 7.50–7.38 (m, 4H), 7.32–7.26 (m, 4H), 7.13 (dt,  $J = 8.5, 1.3$  Hz, 4H), 7.10–7.01 (m, 4H), 4.39 (tq,  $J = 7.5, 4.9, 4.2$  Hz, 2H), 1.52–1.44 (m, 3H).  $^{13}\text{C}$  NMR (101 MHz,  $\text{CDCl}_3$ )  $\delta$  191.60, 147.72, 147.27, 143.90, 140.09, 132.44, 130.22, 129.39, 128.95, 127.34, 124.92, 124.33, 124.13, 123.48, 123.12, 122.80, 122.52, 116.54, 115.43, 109.18, 108.99, 89.34, 88.50, 77.24, 38.12, 13.88. IR (KBr;  $\nu$  ( $\text{cm}^{-1}$ )): 3058 (Ar-H), 2205 ( $-\text{C}\equiv\text{C}-$ ), 1682 ( $\text{O}=\text{C}-\text{H}$ ), 2804, 2736 ( $\text{O}-\text{C}-\text{H}$ ). HRMS (MALDI-TOF,  $m/z$ ):  $[\text{M} + \text{H}^+]$  calcd for ( $\text{C}_{35}\text{H}_{27}\text{N}_2\text{O}^+$ ): 491.2118; found: 491.2125.

**Synthesis of TCN.** For TCN synthesis, the same method as for CCN was applied by replacing TCC with CCC. A reddish yellow solid with a yield of 68% was obtained. Mp = 230–232 °C.  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO}-d_6$ )  $\delta$  8.89 (d,  $J = 1.7$  Hz, 1H), 8.45 (s, 1H), 8.34 (dd,  $J = 9.0, 1.7$  Hz, 2H), 7.89 (d,  $J = 8.9$  Hz, 1H), 7.78 (d,  $J = 8.6$  Hz, 1H), 7.69 (dd,  $J = 8.5, 1.6$  Hz, 1H), 7.54–7.42 (m, 2H), 7.38 (t,  $J = 7.9$  Hz, 4H), 7.22–7.04 (m, 6H), 6.99–6.91 (m, 2H), 4.55 (q,  $J = 7.0$  Hz, 2H), 1.37 (t,  $J = 7.1$  Hz, 3H).  $^{13}\text{C}$  NMR (101 MHz,  $\text{DMSO}$ )  $\delta$  147.34, 146.50, 142.12, 139.73, 132.37, 129.73, 127.63, 124.90, 123.94, 123.57, 123.43, 122.27, 122.05, 121.41, 115.35, 114.05, 110.42, 110.30, 89.49, 88.26, 37.56, 13.78. IR (KBr;  $\nu$  ( $\text{cm}^{-1}$ )): 2955, 2908 (Ar-H), 2223 ( $-\text{C}\equiv\text{N}-$ ), 1744 ( $\text{O}=\text{C}$ ). HRMS (MALDI-TOF,  $m/z$ ):  $[\text{M} + \text{H}^+]$  calcd for ( $\text{C}_{38}\text{H}_{28}\text{N}_3\text{O}_2^+$ ): 558.2176; found: 558.2185.

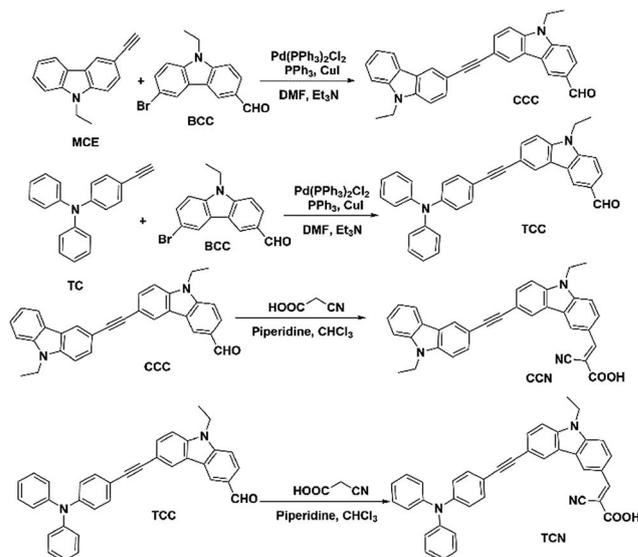
## Results and discussion

### Synthesis

The Sonogashira coupling reaction was used to synthesize aldehyde-containing carbazole in the presence of ethynylated carbazole or ethynylated triphenylamine with 9-ethyl-6-iodo-9H-carbazole-3-carbaldehyde to obtain CCC and TCC. Knoevenagel reactions using piperidine as a base were carried out to synthesize CCN and TCN by further processing CCC and TCC. Scheme 1 shows the synthetic routes for all the reactions. All these compounds possess large conjugated  $\pi$  bonds, and CCC was found the best coplanar compound. Table 1 shows two benzene rings in the structure in all the compounds connected by acetylene groups. Upon achieving a coplanar-conjugated molecular structure, these conjugated compounds promote intramolecular charge transfer. During optimization, these structures were found to be very undeviating and uniform in shape. Table 1 shows the structural parameters of all the dye compounds.

The Knoevenagel reaction is a very useful nucleophilic technique in organic synthesis to produce alkenes from molecules containing carbonyl groups.<sup>10,12,43,44</sup> It was found that the reactions from CCC to CCN and from TCC to TCN are easy to carry out and the substituent has little effect on the yield. The low yield of CCN and TCN obtained was mainly from the loss during purification because of their low solubility in many solvents.

To optimize the conditions and the best yield of the synthetic compound, TCC was taken as an example. The optimized conditions for reaction parameters like material ratio, catalyst concentration, temperature, reaction time, and yield percent are shown in Table S1.† It can be seen that the optimum



Scheme 1 Synthetic route for all the carbazole-based compounds.

conditions were with a material ratio of 1.1, and the catalyst  $\text{Pd}(\text{PPh}_3)_2\text{Cl}_2$  with a molar ratio of 0.015 was found as an excellent choice to get the best yield percent for TCC for a coupling reaction.

The required compounds were successfully synthesized and characterized. The  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR signals (Fig. S2–S9†) show diverse types of protons at particular positions. Due to differences in solubility, two types of solvents were used to dissolve the sample for NMR.

Among these dyes, the active hydrogen with a chemical shift of  $\delta = 1\text{--}2$  ppm is assigned to  $\text{CH}_3$ ,  $\delta = 3\text{--}5$  ppm belongs to the N–H (aliphatic) proton, and the chemical shifts of the aldehydes (CHO) are approximately 9.80–10.3 ppm. The chemical shifts  $\delta$  from 6 to 9 ppm show the presence of conjugated protons. For cyanoacetic acid containing dyes, the chemical shift  $\delta$  of the hydrogen on the  $\text{HC}=\text{C}$  group ranged between 8.2 and 8.9 ppm. The IR spectra (ESI Fig. S10–S13†) of the dyes indicated a feeble peak at 2200–2225  $\text{cm}^{-1}$ , which was attributed to a  $\text{C}\equiv\text{C}/\text{N}$  stretching mode, and an effective peak at approximately 1750–1680  $\text{cm}^{-1}$ , which was due to  $\text{O}=\text{C}$  vibration.

### UV-vis spectra

In order to investigate the optical properties, UV-vis spectra of these functional compounds were tested, and are shown in Fig. 1(a). Tetrahydrofuran (THF) at a concentration of  $1 \times 10^{-5}$   $\text{mol L}^{-1}$  was used as the solvent. The details of the UV-vis absorption spectra of existing carbazole-based compounds are presented in Table 2. The maximum absorption of CCN was 362 nm, which was greater than 356 nm, 342 nm, and 340 nm of TCC, TCN, CCC, respectively. Three main absorption peaks were observed in the range between 240 and 365 nm for all compounds, at 240–260 nm, 290–310 nm, and 340–365 nm respectively.

The absorption peak at 290–305 nm is mainly denoted to the  $\pi\text{--}\pi^*$  electron transition in the whole conjugated molecule.



Table 1 The structural parameters of the carbazole-based compounds

Product	Bond length		Bond angle		Dihedral angle	
CCC	R(1,38)	1.424	A(2,1,38)	119.993	D(3,2,38,39)	179.922
	R(32,39)	1.424	A(33,32,39)	120.628	D(34,33,39,38)	-179.943
	R(49,51)	1.219	A(20,49,51)	120.051	D(22,21,49,51)	179.988
CCN	R(11,16)	1.424	A(10,11,16)	120.595	D(7,10,16,17)	-179.542
	R(18,17)	1.424	A(17,18,19)	120.659	D(16,17,19,20)	179.704
	R(32,34)	1.368	A(35,34,38)	114.039	D(27,28,32,34)	-179.845
TCC	R(31,34)	1.422	A(27,31,34)	121.044	D(41,47,35,34)	-179.725
	R(51,35)	1.424	A(47,51,35)	120.589	D(35,35,27,25)	-179.499
	R(62,63)	1.219	A(48,62,63)	125.015	D(42,48,62,63)	-179.963
TCN	R(17,20)	1.422	A(16,17,20)	121.094	D(15,16,20,21)	179.394
	R(2,22)	1.423	A(21,22,23)	120.630	D(20,21,23,24)	179.683
	R(37,38)	1.368	A(42,38,39)	114.050	D(28,31,32,37)	179.999

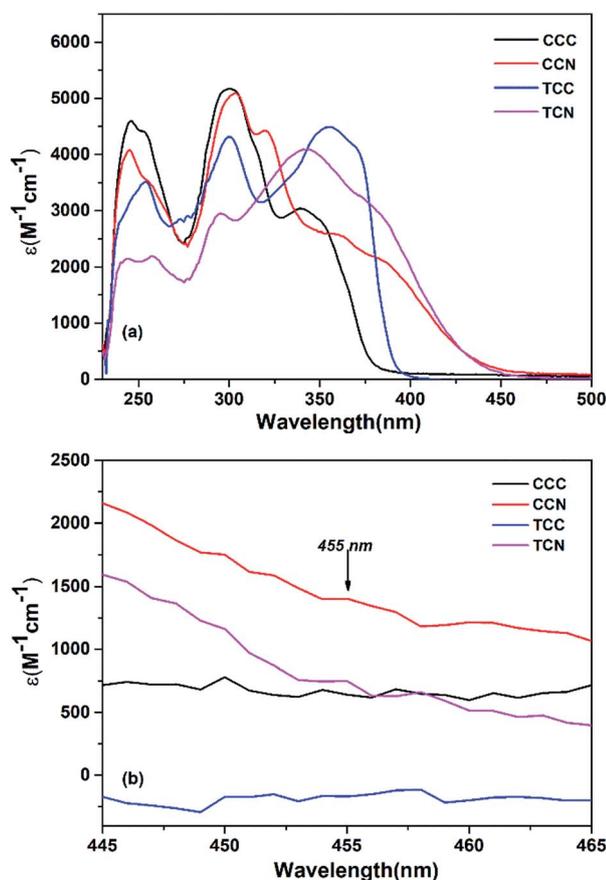


Fig. 1 (a) The UV spectra of carbazole-based compounds at a concentration of  $1 \times 10^{-5}$  mol L $^{-1}$  in THF. (b) The visible range of dyes at the wavelength of 455 nm.

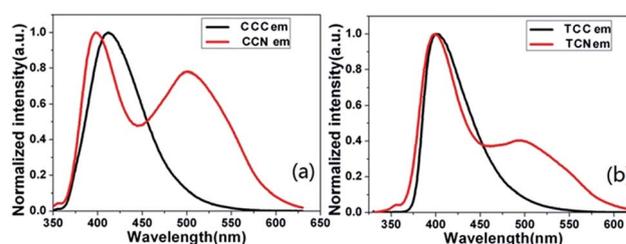


Fig. 2 Fluorescence spectra for carbazole-based compounds.

Because of its large conjugated structure, the absorption of these dye compounds in the 340–365 nm range is mainly due to the transition of the  $n-\pi^*$  electrons in the whole conjugated molecule. Fig. 1(a) also reveals that cyanoacetic acid containing compounds were slightly redshifted than compounds containing aldehyde functionalized groups.

Additionally, in Fig. 1(b) cyanoacetic acid functionalized compounds show a slightly higher efficiency than aldehyde functionalized compounds, specifically in the range of visible light used in the present work. This may be regarded as that these compounds are useful in the field of photopolymerization.

### Fluorescence spectroscopic study

Fluorescence activity of the synthesized carbazole molecules was studied using a single-photon fluorescence test in a  $1.0 \times 10^{-6}$  mol L $^{-1}$  THF solution. Fig. 2 reveals the comparison of compounds containing aldehyde and cyanoacetic acid. Table 3 represents the quantum yields of carbazole-based compounds. The CCN was found to possess the strongest

Table 2 UV-vis spectra of carbazole-based compounds in THF of  $1 \times 10^{-5}$  mol L $^{-1}$

Synthesized compounds	$\lambda_{\max,1}$ (nm)	$\epsilon \times 10^4$ (L mol $^{-1}$ cm $^{-1}$ )	$\lambda_{\max,2}$ (nm)	$\epsilon \times 10^4$ (L mol $^{-1}$ cm $^{-1}$ )	$\lambda_{\max,3}$ (nm)	$\epsilon \times 10^4$ (L mol $^{-1}$ cm $^{-1}$ )
CCC	246	4.60	302	5.17	340	3.04
TCC	254	3.51	300	4.30	356	4.50
CCN	245	4.08	304	5.12	362	2.58
TCN	258	2.20	294	2.94	342	4.09



**Table 3** The single-photon fluorescence spectra of carbazole-based compounds in THF ( $1.0 \times 10^{-6}$  mol L $^{-1}$ )

Compounds	Excitation wavelength ( $\lambda_{\text{ex}}$ ) nm	Emission wavelength ( $\lambda_{\text{em}}$ ) nm	Stokes shift (cm $^{-1}$ )	Quantum yield ( $\Phi$ )
CCC	302	413	8899	0.68
TCC	300	402	8458	0.89
CCN	304	501	12 935	0.61
TCN	294	499	13 975	0.65

fluorescence emission peak at 501 nm, followed by TCN, CCC, and TCC, and which were detected at 499, 413, and 402 nm, respectively, showing the main emission peaks red-shifted from CCC to CCN and from TCC to TCN. This redshift phenomenon may be due to the formation of a stronger cyanoacetic acid group than the aldehyde group and the growth of intra-molecular conjugated chains. These were in agreement with the results of UV spectra (Fig. 1). The synthesized compounds exhibit a larger Stokes shift, indicating that the excited state of the dye molecules has greater polarity and redistribution in the excited state of the intra-molecular charge, thereby confirming the stronger molecular charge transfer ability. Moreover, the quantum yield of cyanoacetic acid functionalized compounds was lower than that of the aldehyde functionalized compounds, possibly because of their different molecular structure (Table 4).

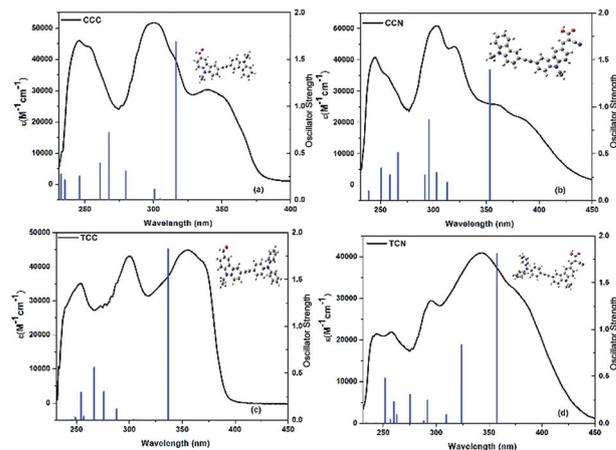
### DFT calculations

Fig. 3 shows a comparison of experimental UV-vis spectra with theoretical vertical singlet excitation energies of the carbazole-based compounds. The predicted values for theoretical vertical singlet excitation energies were found at an over-estimated range within  $n-\pi^*$  for all the compounds, except TCN. Moreover, the predicted excitation energy values were higher for cyanoacetic acid functionalized compounds than for the aldehyde functionalized compounds. The experimental values of UV spectra were in agreement with the predicted values. However, the UV spectra showed a significant increase than the predicted vertical-excitation energies.

Similarly, the ground state structure and charge distributions of these compounds in both the ground and excited states, along with their corresponding molecular structures, were analyzed and optimized through DFT calculations in the gas phase, by using the B3LYP/6-31G (d,p) method. Fig. 4 shows the

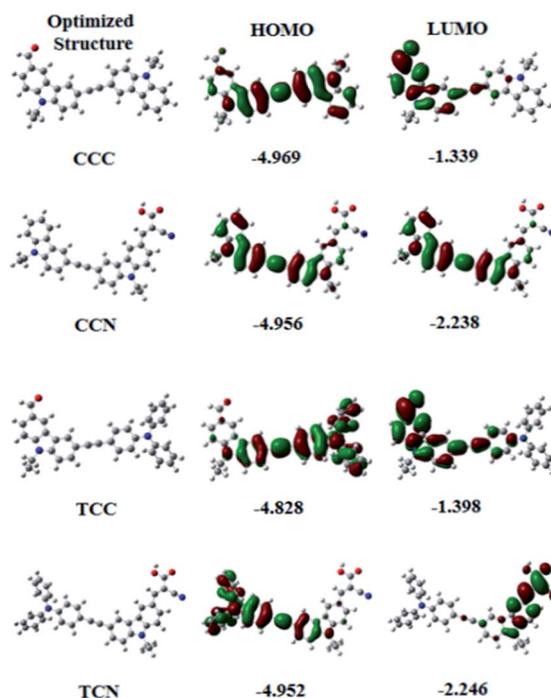
**Table 4** The energy values of carbazole-based compounds on the basis of the HOMO–LUMO gap

Product	HOMO (eV)	LUMO (eV)	Energy gap (eV)
CCC	−4.969	−1.339	3.63
CCN	−4.956	−2.238	2.718
TCC	−4.828	−1.398	3.430
TCN	−4.952	−2.246	2.706



**Fig. 3** Comparison of UV-vis spectra and theoretical vertical singlet excitation energy. (a) CCC, (b) CCN, (c) TCC, (d) TCN. (The UV spectra in black, calculated excitation energies in blue vertical lines).

HOMO and LUMO orbitals and orbital energies of the carbazole-based compounds containing aldehyde and cyanoacetic acid. All the compounds were below the apparent intra-molecular charge-transfer (ICT) phenomenon. Moreover, the charge transfer of aldehyde based compounds was found stronger than that of cyanoacetic acid functionalized compounds. The LUMO level of compounds containing the cyanoacetic structure was lower, and their energy level was smaller than the aldehyde functionalized compounds. These results show the electron-withdrawing ability of cyanoacetic acid during the reaction. Hence, introducing cyanoacetic acid



**Fig. 4** The HOMO and LUMO structures of carbazole-based compounds.



as an acceptor may have reduced the HOMO–LUMO gap. The energy gap values were sequenced as CCC > TCC > CCN > TCN.

In contrast to the theoretical data, fluorescence spectroscopy data revealed an increased excitation and enhanced emission of the synthesized compounds. CCN and TCN showed a higher emission than CCC and TCC, validating our theoretical results.

### Photopolymerization

To verify the use of synthesized compounds as dyes in photopolymerization, we employed the dye/iodonium bis(4-methylphenyl) hexafluorophosphate (ONI) photoinitiation system under irradiation at 455 nm with a light-emitting diode (LED) as the light source. The double bond conversion curves of the TPGDA monomer for free radical photopolymerization and the epoxy conversion curves of the E51 monomer for the cationic photopolymerization were studied. A commercial camphorquinone/triethanolamine (CQ/TEA) photoinitiation system was also used for comparison with changes in the illumination time.

As shown in Fig. 5(a), both dye/ONI and CQ/TEA systems could initiate TPGDA photopolymerization under the irradiation of a 455 nm LED light source. The conversion rates of TCN/ONI, CCC/ONI, CCN/ONI, and TCC/ONI in TPGDA were 78.5%, 75.5%, 71%, and 70%, respectively, whereas that of TPGDA in the system initiated by CQ/TEA could reach only 17.9%. The TPGDA photopolymerization of CCN/ONI, TCN/ONI, CCC/ONI, and TCN/ONI successfully reached the curing end point, indicating the high activity of the photoinitiating systems under the irradiation of a 455 nm LED light source. In general, the dyes with a greater light absorption capacity at 455 nm could be considered effective for photopolymerization.

The epoxy conversion rate curve of the E51 photopolymerization induced by the dye/ONI systems under a 455 nm LED light-source irradiation, shown in Fig. 5(b), indicates that the photoinitiation system composed of these dye molecules and ONI had great potential to initiate cationic photopolymerization. The final epoxy conversion rates of E51 were 75.5%, 71%, 69.8%, and 69.6% for CCC/ONI, CCN/ONI, TCC/ONI, and TCN/ONI, respectively, and the durations required to achieve the final conversion rate were 50, 50, 200, and 240 s, respectively. Based on the experimental results, these

Table 5 Photopolymerization conversion of TPGDA for free radical photopolymerization and E51 for cationic photopolymerization obtained by exposure to 455 nm LED light for 500 second

Products	Double bond conversion (%)	Epoxy conversion (%)
CCC	75.5	75.5
CCN	71.0	71.0
TCC	70.0	69.8
TCN	78.5	69.6

photoinitiation systems were characterized with a high cationic photoinitiation activity under the irradiation of a 455 nm excitation light source. The results revealed that TCN, CCN, TCC, and CCC show a weak UV absorbance at 455 nm. Moreover, the sequence of the free radical initiating activity was not consistent with that of the cationic initiating activity, which may be due to the fact that the sensitizer and ONI formed different active species, such as a free radical and cationic pathway after the interaction of the free radical system and cationic system, and their photoinduced activity differences.

Table 5 shows the overall yield for all the dyes applied in photopolymerization. It can be observed that all the dyes showed efficient and similar values in both types of conversions, except TCN, which showed a significant difference between free radical photopolymerization and cationic photopolymerization.

## Conclusions

Four carbazole-based compounds (CCC, CCN, TCC, and TCN) containing aldehyde and cyanoacetic acid groups were synthesized. Analysis of the structural properties using DFT calculation, UV spectroscopy, and fluorescence spectroscopy indicated that these carbazole-based compounds displayed a highly linear and coplanar-conjugated-molecular structure. Comparison of compounds containing cyanoacetic acid and aldehyde showed a positive red shifted for the former based on emission peaks. When evaluated using an LED light source at 455 nm, the sequence of the free radical initiating activity was inconsistent with that of the cationic initiating activity. The discrepant results could be due to photoinduced activity differences and the fact that the sensitizer and ONI formed different unidentified active species, such as free radicals, and cationic pathways after the interaction between the free radical and the cationic systems.

## Conflicts of interest

There are no conflicts to declare.

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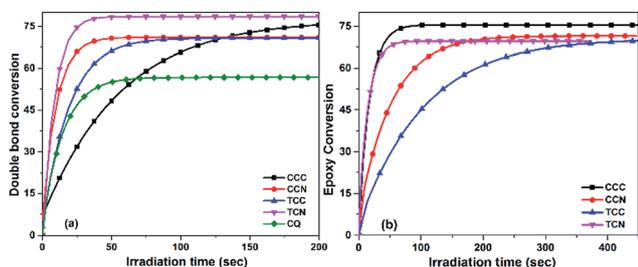


Fig. 5 (a) Photopolymerization of TPGDA initiated by 0.2 wt% dye/2 wt% ONI mTPGDA : mCQ : mTEA = 100 : 0.2 : 2. (b) 0.3 wt% dye molecules with 2 wt% dye molecules and 2 wt% ONI initiates E51 photopolymerization (LED light source wavelength 455 nm,  $I = 30 \text{ mW cm}^{-2}$ ).



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