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# First-principles study of a new structure and oxidation mechanism of Pt<sub>3</sub>Zr

Yong Pan, 10 \* Shuanglun Wang, Linhu Jia and Xi Zhang

Zirconia ( $ZrO_2$ )—metal interfaces are interesting for solid oxide fuel cells. Although the oxidation of  $Pt_3Zr$  provides a new route for the formation of  $ZrO_2$ —Pt interfaces, the crystal structure of  $Pt_3Zr$  remains controversial and the oxidation mechanism of  $Pt_3Zr$  is unclear. To solve these problems, we use first-principles calculations to explore the crystal structure of  $Pt_3Zr$ . We demonstrate a stable structure of  $Pt_3Zr$  based on phonon dispersion. Importantly, two new  $Pt_3Zr$  structures,  $Ti_3Pt$ -type ( $Pm\bar{S}m$ ) and  $Fe_3Al$ -type ( $Fm\bar{S}m$ ), are predicted. To study the oxidation mechanism, two possible doped models are considered. The calculated results show that the O atom prefers to occupy the tetrahedral interstitial site (TI) in comparison to the octahedral interstitial site (OI). We find that the oxidizing capacity of the  $Fe_3Al$ -type cubic structure is stronger than that of other structures. In particular, we predict that  $Pt_3Zr$  exhibits better oxidation capacity in comparison to other metals because of the strong localized hybridization between Zr and Zr

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## 1. Introduction

Zirconia (ZrO<sub>2</sub>)-metal interfaces have widely used in solid oxide fuel cells (SOFCs), heterogeneous catalysis, gas sensors, *etc.*<sup>1-9</sup> Although ZrO<sub>2</sub> shows excellent chemical and thermal stabilities, the poor electrical conductivity hinders the application because of the requirement of sensitive probing techniques.<sup>10,11</sup> To solve this problem, an effective method is to oxidize Zr on an appropriate metal substrate. However, Zr deposited on a metal substrate should meet three conditions: oxygen reduction reaction (ORR), growth of the oxide layer and better catalytic activity of the metal substrate. In particular, the ORR clearly confirms the degree of oxidation between Zr and the metal substrate. Therefore, high ORR catalytic activity strongly depends on the d-state of the transition metal.<sup>12-14</sup>

Platinum-group-metals are important catalysts because of their excellent chemical and physical properties. <sup>15-18</sup> In particular, Pt is a fascinating catalyst because it effectively promotes the process of ORR. <sup>19-21</sup> Recently, the oxidation behavior of Pt<sub>3</sub>Zr (0001) surface was studied by the experiment and first-principles calculations. <sup>22</sup> They found that the weak localized hybridization between Pt and Zr is beneficial to oxidation of Zr metal. Note that the metal addition will accelerate the growth of ZrO<sub>2</sub> film on the Pt<sub>3</sub>Zr (0001) surface. <sup>23</sup> Therefore, structural configuration plays a crucial role in ORR and the formation of ZrO<sub>2</sub>–Pt interface. Unfortunately, the crystal structure of Pt<sub>3</sub>Zr remains controversial. Earlier work suggested that Pt<sub>3</sub>Zr is a Au<sub>3</sub>Cu-type cubic structure. <sup>24,25</sup> On the contrary, Stalick *et al.* 

School of Materials Science and Engineering, Southwest Petroleum University, Chengdu 610500, China. E-mail: panyong10@mails.jlu.edu.cn; Fax: +86-028-83037406; Tel: +86-028-83037401

found that Pt<sub>3</sub>Zr belongs to a Ni<sub>3</sub>Ti-type hexagonal structure,<sup>26</sup> which is different from the previous viewpoint. As a result, the nature of oxidation mechanism of Pt<sub>3</sub>Zr is unclear.

To explore the catalytic activity of  $Pt_3Zr$  and improve the formation of  $ZrO_2$ –Pt interface, in our works, we investigate the crystal structure and oxidation mechanism of  $Pt_3Zr$  by using the first-principles calculations. According to the structural feature, we predict two possible cubic structures. Importantly, the structural stability of  $Pt_3Zr$  is estimated by the formation enthalpy and phonon dispersion. To examine the oxidation mechanism and oxidation capacity of  $Pt_3Zr$ , we calculate and compare the oxygen doped formation energy between O-doped  $Pt_3Zr$  and many metals. In particular, we examine the possible adsorption site of  $Pt_3Zr$ . Finally, we predict that  $Pt_3Zr$  shows better oxidation capacity in comparison to many metals.

### 2. Model and methods

To explore the oxidation mechanism, we firstly study the crystal structure of  $Pt_3Zr$ . To our knowledge, one is that  $Pt_3Zr$  is a  $Ni_3Ti-type$  hexagonal structure with space group of  $P6_3/mmc$ . The lattice parameters of hexagonal structure are a=5.653 Å and c=9.347 Å, respectively. Another is that  $Pt_3Zr$  belongs to the  $Au_3Cu$ -type cubic structure with space group of  $Pm\bar{3}m$ , and the lattice parameter is a=4.051 Å. In comparison to hexagonal structure, we suggest that  $Pt_3Zr$  with cubic structure can promote the oxidation of  $Pt_3Zr$  because of the localized hybridization between oxygen and  $Pt_3Zr$ . Therefore, we further design two possible structures:  $Pt_3Zr$  the structure and  $Pt_3Zr$  are shown in  $Pt_3Zr$ . The structural models of  $Pt_3Zr$  are shown in  $Pt_3Zr$ .

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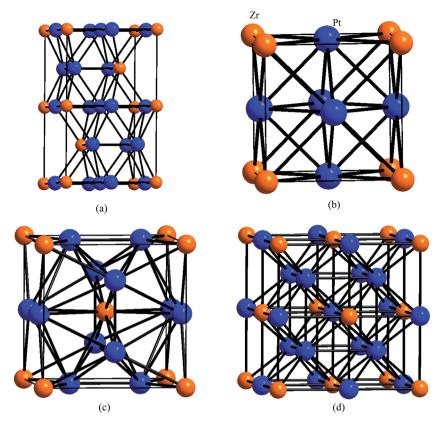


Fig. 1 Structural model of Pt<sub>3</sub>Zr, (a) Ni<sub>3</sub>Ti-type with hexagonal structure, (b) Au<sub>3</sub>Cu-type with cubic structure, (c) Ti<sub>3</sub>Pt-type with cubic structure and (d) Fe<sub>3</sub>Al-type with cubic structure, respectively.

In this paper, the total energy, structural information, electronic structure and chemical bonding of Pt<sub>3</sub>Zr and O-doped Pt<sub>3</sub>Zr were calculated by using the first-principles calculations, as implemented in the CASTEP code.27 The exchangecorrelation-functional was calculated by using the generalized gradient approximation (GGA) within PW91 functional. 28,29 To treat the interaction between electrons and the ions, the atomic configurations of O, Pt and Zr were 2s<sup>2</sup>2p<sup>4</sup>, 5p<sup>6</sup>5d<sup>9</sup>6s<sup>1</sup> and 4p<sup>6</sup>4d<sup>2</sup>5s<sup>2</sup>, respectively. Based on the convergence test, the plane-wave basis set for electron wave function with cutoff energy of 400 eV was used. The k-point grids of  $10 \times 10 \times 5$  for  $Ni_3$ Ti-type structure,  $12 \times 12 \times 12$  for  $Au_3$ Cu-type structure, 10 $\times$  10  $\times$  10 for Ti<sub>3</sub>Pt-type structure, 11  $\times$  11  $\times$  11 for Fe<sub>3</sub>Al-type structure, 14  $\times$  14  $\times$  8 for Zr and 17  $\times$  17  $\times$  17 for Pt were treated, respectively. To examine the dynamically stable, the phonon calculation was carried out by using the supercell method within the PHONON code.30

#### 3. Results and discussions

#### Structural prediction

The structural stability of Pt<sub>3</sub>Zr is measured by the formation enthalpy and phonon dispersion, respectively. The equation of formation enthalpy ( $\Delta H$ ) is given by:

$$\Delta H = E_{\text{Pt,Zr}} - 3E_{\text{Pt}} - E_{\text{Zr}} \tag{1}$$

where  $E_{Pt,Zr}$ ,  $E_{Pt}$  and  $E_{Zr}$  are the total energy of  $Pt_3Zr$ , isolated Ptatom and isolated Zr atom, respectively.

Table 1 lists the calculated lattice parameters, density, and formation enthalpy of Pt<sub>3</sub>Zr with four structures. It can be seen that these structures are thermodynamically stable at the ground state because the calculated formation enthalpy of these structures is smaller than zero. Our predicted two structures (Ti<sub>3</sub>Pt-type and Fe<sub>3</sub>Al-type) are also thermodynamically stable. Importantly, the calculated formation enthalpy of Ni<sub>3</sub>Ti-type structure is -8.188 eV per atom, which is smaller than that of Au<sub>3</sub>Cu-type structure. The slight difference implies that external condition is easy to result in phase transition from Ni<sub>3</sub>Ti-type structure to Au<sub>3</sub>Cu-type structure. This result is similar to the Fairbank's viewpoint.24

Table 1 Calculated equilibrium lattice parameters, a-axis and c-axis (Å), density,  $\rho$  (g cm<sup>-3</sup>) and formation enthalpy,  $\Delta H$  (eV per atom) of  $Pt_3Zr$ 

Structure	Method	а	c	ρ	$\Delta H$
Ni <sub>3</sub> Ti-type	GGA	5.742	9.398	16.75	-8.188
	$Exp^{24}$	5.653	9.347		
Au₃Cu-type	GGA	4.061		17.77	-8.178
	Theo <sup>25</sup>	3.980			
	$Exp^{26}$	4.051			
Ti₃Pt-type	GGA	5.198		16.00	-7.918
Fe <sub>3</sub> Al-type	GGA	6.513		16.26	-7.871

In addition to thermodynamically stable, the dynamically stable of Pt<sub>3</sub>Zr should examine follow. To study the dynamically stable, Fig. 2 displays the calculated phonon dispersion curves of Pt<sub>3</sub>Zr with four structures. It is clear that Pt<sub>3</sub>Zr with Ni<sub>3</sub>Ti-type structure is a dynamically unstable because the imaginary phonon frequency is observed in this structure. However, we find that there is no imaginary phonon frequency in Au<sub>3</sub>Cu-type structure, indicating that this structure is a dynamically stable at the ground state. Based on the first-principles calculations, we demonstrate the Stalick's viewpoint. Importantly, we predict two new Pt<sub>3</sub>Zr structures (Ti<sub>3</sub>Pt-type and Fe<sub>3</sub>Al-type) because no imaginary phonon frequencies are found in these structures.

To further insight into the nature of dynamically stable, Fig. 3 shows the calculated phonon density of state (PhDOS) of Pt<sub>3</sub>Zr with four structures. It can be seen that negative frequencies are found in Ni<sub>3</sub>Ti-type structure, indicating that this structure is a mechanically unstable at the ground state. The calculated PhDOS profile reveals that the mechanically unstable of Ni<sub>3</sub>Ti-type is attributed to the vibration of Pt atom at low frequency region. However, Au<sub>3</sub>Cu-type, Ti<sub>3</sub>Pt-type and Fe<sub>3</sub>Al-type structures are mechanically stable because no negative frequencies are observed in these structures. In particular, the whole low frequency model of Au<sub>3</sub>Cu-type structure derives from the vibration of Pt atom and Zr atom. With increasing frequency, Zr's vibration plays an important role in thermodynamic properties. Therefore, it is concluded that the structural stability of Pt<sub>3</sub>Zr is markedly influenced by Pt-Zr bond.

To further reveal the structural stability, the structural information of Pt<sub>3</sub>Zr is discussed. As listed in Table 1, the calculated lattice parameters of Ni<sub>3</sub>Ti-type structure (space group: P6<sub>3</sub>/mmc, no: 194) are a = 5.742 Å and c = 9.398 Å, which are in good agreement with the experimental data.24 In this structure (see Fig. 1(a)), the alternative Pt layer and Pt-Zr layer can be viewed along the c-axis. In particular, each Zr atom is surrounded by 4 Pt atoms at Pt-Zr layer. Therefore, Pt-Zr bond (2.601 Å) can improve the structural stability of Pt<sub>3</sub>Zr with Ni<sub>3</sub>Ti-type structure. However, the cohesive force between layers is determined by the bond strength of Pt-Pt bond and part of Pt-Zr bond. In other words, Pt-Pt and Pt-Zr bonds play a key role in structural stability. The calculated bond length of Pt-Pt and Pt-Zr bonds is 2.924 Å and 2.968 Å, respectively.

For Au<sub>3</sub>Cu-type structure (see Fig. 1(b)), the calculated lattice parameter is a = 4.061 Å, which is in excellent agreement with the experimental data and theoretical results. 25,26 Note that the symmetrical Pt-Zr bonds effectively improve it's structural stability. The calculated bond length of Pt-Zr bond is 2.871 Å, which is similar to the previous theoretical result.31 Note that the bond length of Pt-Zr bond of Au<sub>3</sub>Cu-type structure is slightly smaller than the corresponding bond for Ni<sub>3</sub>Ti-type structure, indicating that the structural feature of the former can obviously improve the structural stability in comparison to the latter. Thus, we should consider the cubic structure to oxidize Zr.

The calculated lattice parameter of Ti<sub>3</sub>Pt-type and Fe<sub>3</sub>Al-type structures is a = 5.198 Å and a = 6.513 Å, respectively. From Fig. 1, Ti<sub>3</sub>Pt-type structure is similar to Nb<sub>3</sub>Si-type structure. In comparison to Au<sub>3</sub>Cu-type structure, Pt atom in Ti<sub>3</sub>Pt-type structure occurs migration from (0.50, 0, 0.50) site to (0.25, 0, 0.50) site. As a result, the variation of atomic position changes the localized hybridization between Pt and Zr, which forms two different Pt-Zr bonds. The calculated bond length of Pt-Zr bond is 2.599 Å and 2.906 Å, respectively. In particular, the atomic configuration can provide a large number of interstitial sites to adsorb oxygen. In addition, we find that the calculated lattice parameter of Ti<sub>3</sub>Pt-type and Fe<sub>3</sub>Al-type structures is larger than that of Au<sub>3</sub>Cu-type structure.

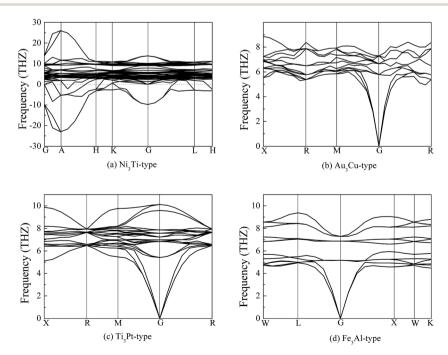


Fig. 2 Calculated phonon dispersion curves of Pt<sub>3</sub>Zr, (a) Ni<sub>3</sub>Ti-type structure, (b) Au<sub>3</sub>Cu-type structure, (c) Ti<sub>3</sub>Pt-type structure and (d) Fe<sub>3</sub>Al-type structure, respectively.

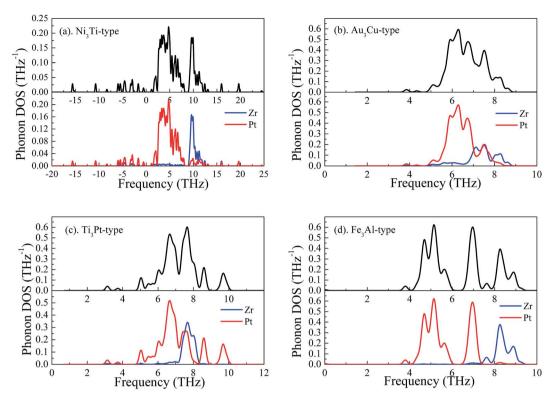


Fig. 3 Phonon density of state of  $Pt_3Zr$ , (a)  $Ni_3Ti$ -type structure, (b)  $Au_3Cu$ -type structure, (c)  $Ti_3Pt$ -type structure and (d)  $Fe_3Al$ -type structure, respectively.

For Fe<sub>3</sub>Al-type structure, Pt atom migrates from (0.50, 0, 0.50) site to (0.25, 0.25, 0.25) site. Each Pt atom is surrounded by 4 Zr atoms and 8 Pt atoms. The calculated bond length of Pt–Zr bond is 2.820 Å. Importantly, the network Pt–Zr bonds can improve the structural stability of Pt<sub>3</sub>Zr.

To reveal the nature of chemical bonding, Fig. 4 shows the calculated density of state (DOS) of  $Pt_3Zr$  with four structures. We can see that the DOS profile of  $Pt_3Zr$  is composed of Pt-5d state and Zr-4d state. The strong localized hybridization between Pt and Zr forms the Pt-Zr bond. It is worth noticing

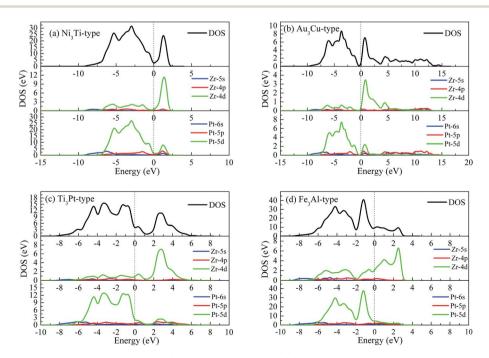


Fig. 4 Total and partial density of state (DOS) of  $Pt_3Zr$ , (a)  $Ni_3Ti$ -type structure, (b)  $Au_3Cu$ -type structure, (c)  $Ti_3Pt$ -type structure and (d)  $Fe_3Al$ -type structure, respectively.

that the DOS profile of Ni<sub>3</sub>Ti-type structure is similar to Au<sub>3</sub>Cutype structure. From Fig. 4, there is a deep valley near Fermi level  $(E_{\rm F})$ , which separates the bonding state and antibonding state. For Ti<sub>3</sub>Pt-type and Fe<sub>3</sub>Al-type structures, however, the Zr-PDOS profile right shifts from  $E_{\rm F}$  to high energy region. That is to say, Pt's migration weakens the localized hybridization between Pt and Zr. As a result, Pt-Pt bond plays an important role in structural stability, particularly for catalytic properties.

#### 3.2 Oxidation mechanism

We suggest that the formation of ZrO<sub>2</sub>-Pt interface strongly depends on the interaction between Pt<sub>3</sub>Zr and O atom. To examine the formation of ZrO2-Pt interface, we calculate the oxygen doped formation energy of O-doped Pt<sub>3</sub>Zr. In particular, we discuss and analyze the chemical bonding of O-doped Pt<sub>3</sub>Zr to reveal the formation of ZrO2-Pt interface. It must be mentioned that the formation of ZrO2 film is related to the interstice radius of Pt<sub>3</sub>Zr, which is determined by the atomic configuration of Pt<sub>3</sub>Zr. Therefore, it is necessary to insight into the oxidation mechanism of Pt<sub>3</sub>Zr.

According to the first-principles calculations, we consider the oxidation behavior of three stable Pt<sub>3</sub>Zr structures: Au<sub>3</sub>Cutype, Ti<sub>3</sub>Pt-type and Fe<sub>3</sub>Al-type, respectively. To reveal the oxidation mechanism, we design the possible interstice models: tetrahedral interstice site (TI) and octahedral interstice site (OI), respectively. Oxygen mechanism of Pt<sub>3</sub>Zr is measured by the oxygen doped formation energy  $(E_{ad})$ , which is given by:

$$E_{\rm ad} = E_{\rm Pt_3Zr}^{\rm O} - E_{\rm Pt_3Zr} - \mu_{\rm O} \tag{2}$$

where  $E_{\text{Pt}_2\text{Zr}}^{\text{O}}$  and  $E_{\text{Pt}_3\text{Zr}}$  are the total energy of O-doped Pt<sub>3</sub>Zr and parent  $Pt_3Zr$  at the ground state, respectively.  $\mu_O$  is the chemical potential of O atom. Generally, the negative oxygen doped formation energy indicates the thermodynamically stable at the ground state.

To explore the catalytic activity of Pt<sub>3</sub>Zr, we calculate and compare the capacity of oxygen between Pt<sub>3</sub>Zr and many metals. Firstly, we explore the oxidation mechanism of Pt<sub>3</sub>Zr. From Fig. 1, Au<sub>3</sub>Cu-type is a typical cubic structure. Therefore, we design two possible occupied sites: TI site and OI site, respectively. However, the structural feature of Ti<sub>3</sub>Pt-type structure is more complex than that of Au<sub>3</sub>Cu-type structure. According to the structural feature, we design two different OI sites and one TI site. Although the doped model of Fe<sub>3</sub>Al-type structure is similar to Au<sub>3</sub>Cu-type structure, the atomic interaction of the former is stronger than the latter. That is to say, Fe<sub>3</sub>Al-type structure is easily to adsorb a large number of oxygen.

Fig. 5 shows the calculated oxygen doped formation energy of O-doped Pt<sub>3</sub>Zr and many metals. We can conclude that TI model is more thermodynamically stable than that of OI model because the calculated oxygen doped formation energy of the former is lower than the latter. This discrepancy is attributed to the localized hybridization between O and Zr. For Pt<sub>3</sub>Zr, TI site can effectively improve the charge interaction between Zr and O, which forms the Zr-O bond. In particular, O atom prefers to occupy the TI site of Fe<sub>3</sub>Al-type structure in comparison to other structures. Therefore, we can adjust the crystal structure of

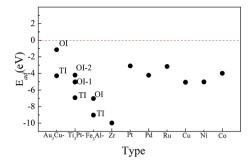


Fig. 5 Calculated oxygen adsorption energy of O-doped Pt<sub>3</sub>Zr at tetrahedral interstice site (TI) and octahedral interstice site (OI), together with many metals.

Pt<sub>3</sub>Zr to promote the formation of ZrO<sub>2</sub>. In particular, the calculated oxygen doped formation energy of Fe<sub>3</sub>Al-type with TI site is about -9.029 eV, which is smaller than that of other structures. This result indicates that Pt<sub>3</sub>Zr with Fe<sub>3</sub>Al-type structure shows the better catalytic activity in comparison to other structures. This reason is attributed to the atomic configuration of Pt<sub>3</sub>Zr.

As we know, many metals such as Pt, Cu, Ni and Co etc. are important parts of solid oxide fuel cells.32-35 To estimate the catalytic activity of Pt3Zr, we compare the oxygen doped formation energy between Pt<sub>3</sub>Zr and many metals. As shown in Fig. 5, the calculated oxygen doped formation energy of metal Zr is -10.068 eV, which is smaller than that of Pt<sub>3</sub>Zr. This result indicates that metal Zr shows better oxidation capacity in comparison to Pt<sub>3</sub>Zr. This is why ZrO<sub>2</sub> has been widely investigated over the last years. Importantly, although the oxygen doped formation energy of Pt3Zr is larger than that of metal Zr, the oxygen doped formation energy of Pt<sub>3</sub>Zr is smaller than that of other metals (see Fig. 5). The calculated electronic structure shows that the better oxidation capacity of Pt<sub>3</sub>Zr derives from the strong localized hybridization between O and metal Zr. As mentioned above, we can predict that Pt<sub>3</sub>Zr is expected to have better catalytic activity in comparison to other metals.

To reveal the nature of the oxidation mechanism, we further analyze the chemical bonding of O-doped Pt<sub>3</sub>Zr. The firstprinciple calculations show that the bond length of Zr-O bond for Au<sub>3</sub>Cu-type structure and Ti<sub>3</sub>Pt-type structure is 2.026 Å and 2.014 Å, respectively, which are smaller than the corresponding Zr-O bond (2.05 Å) of ZrO2.36,37 However, the calculated bond length of Zr-O bond of Fe<sub>3</sub>Al-type structure is 2.076 Å, which is close to the bond length of Zr-O bond for ZrO<sub>2</sub>. On the other hand, the calculated electronic localization density shows that there is a strong localized hybridization between Pt and O for Au<sub>3</sub>Cu-type structure. The calculated bond length of Pt-O bond is 2.117 Å. However, Pt-O antibonding state in Ti<sub>3</sub>Pttype and Fe<sub>3</sub>Al-type structures is found. In other words, Ti<sub>3</sub>Pttype and Fe<sub>3</sub>Al-type structures are beneficial to the formation of ZrO<sub>2</sub>. The oxidation mechanism of Pt<sub>3</sub>Zr with three structures is shown in Fig. 6. As mentioned above, we predict that Pt<sub>3</sub>Zr with Fe<sub>3</sub>Al-type structure is beneficial to the formation of ZrO<sub>2</sub>.

To gain insight the nature of oxidation behavior, Fig. 7 shows the calculated DOS of O-doped Pt<sub>3</sub>Zr with three structures. We Paper

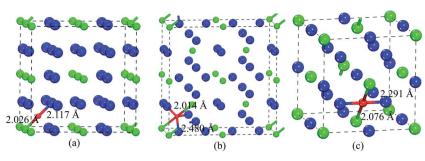


Fig. 6 Oxygen adsorption mechanism of Pt<sub>3</sub>Zr, (a) Au<sub>3</sub>Cu-type structure, (b) Ti<sub>3</sub>Pt-type structure and (c) Fe<sub>3</sub>Al-type structure, respectively.

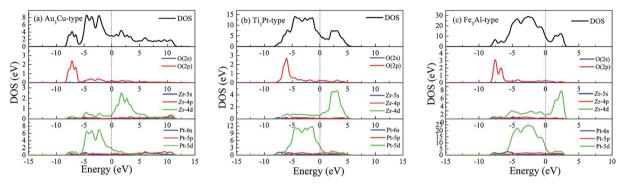


Fig. 7 Total and partial density of state (DOS) of O-doped  $Pt_3Zr$ , (a)  $Au_3Cu$ -type structure, (b)  $Ti_3Pt$ -type structure and (c)  $Fe_3Al$ -type structure, respectively.

observe that the DOS profile of  $Pt_3Zr$  is mainly contributed by  $Pt_5d$  state and Zr-4d state. The strong localized hybridization between Pt and Pt-2r bond. However, oxygen addition can improve the charge equilibrium between Pt and Pt-2r. As shown in Fig. 7, we can see that the charge interaction between Pt-3 and Pt-4r forms the Pt-4D bond, which demonstrates the existence of Pt-4D bond.

## Conclusions

In summary, we apply first-principles calculations to study the crystal structure and oxidation mechanism of  $Pt_3Zr$ . To explore the stable structure, we calculate the formation enthalpy, phonon dispersion, lattice parameters and electronic structure of  $Pt_3Zr$ . In addition, we predict two possible new  $Pt_3Zr$  structures:  $Ti_3Pt$ -type (space group:  $Pm\bar{3}m$ , no. 223) and  $Fe_3Al$ -type (space group:  $Fm\bar{3}m$ , no. 225). To investigate the oxidation mechanism, we calculate the oxygen doped formation energy and chemical bonding of O-doped  $Pt_3Zr$ . In particular, we compare the oxygen doped formation energy of  $Pt_3Zr$  and many metals.

The calculated results show that although Pt<sub>3</sub>Zr with hexagonal structure is more thermodynamically stable than that of cubic structure, hexagonal structure is a dynamically unstable at the ground state. The calculated oxygen doped formation energy of TI site is smaller than that of OI site. The calculated oxygen doped formation energy of Pt<sub>3</sub>Zr with Fe<sub>3</sub>Al-type structure is smaller than that of other structures. The calculated chemical bonding shows that Pt<sub>3</sub>Zr with Fe<sub>3</sub>Al-type

structure is easy to form the ZrO<sub>2</sub> because of the formation of Zr–O bond. In particular, the calculated oxygen doped formation energy of Fe<sub>3</sub>Al-type with TI site is smaller than that of other metals, indicating that Pt<sub>3</sub>Zr shows the good catalytic activity in comparison to metals.

## Conflicts of interest

There are no conflicts to declare.

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