Tetraphenylethylene- and fluorene-functionalized near-infrared aza-BODIPY dyes for living cell imaging†

Li Zhu, a Wensheng Xie, b Lingyun Zhao, b Yongjie Zhang a and Zhijian Chen a,∗ a

Four near-infrared (NIR) boron-azadipyrromethene (aza-BODIPY) dyes 5a–d bearing tetraphenylethylene- and fluorenyl substituents at the 1,7-position or 3,5-position were synthesized and characterized by 1H NMR, 13C NMR and HRMS. The single crystal structure of compound 5c was studied by X-ray crystallography. UV/vis and fluorescence spectroscopic studies of the aza-BODIPY dyes 5a–d indicated that the absorption and emission maxima of these dyes were bathochromically shifted up to 48 nm in comparison with that of the 1,3,5,7-tetraphenyl aza-BODIPY. Moreover, fluorescence quantum yields up to 0.45 were observed for the new dyes. The redox properties of these dyes were investigated by cyclic voltammetry. The observed optical and electrochemical properties of these dyes were further elucidated by the DFT calculation of the frontier molecular orbitals. Owing to their outstanding fluorescence properties, these dyes can be applied as fluorescence imaging probes for living cells.

Introduction

In recent years, the development of new near infrared (NIR) dyes have been an attractive topic owing to the potential application of these dyes in biomedical imaging and therapy technique.1 NIR absorbing chromophores, such as cyanines,2 porphyrins,3 squaraines,4 rylene,5 diketopyrrolopyrrole derivatives,6 hydroazahptacenes,7 and others, have been synthesized and characterized. Boron-azadipyrromethene8 (aza-BODIPY) dyes constitute one of the most interesting classes of NIR dyes. In comparison with the well-known BODIPY dyes, aza-BODIPY dyes possess a core with similar structure to that of the BODIPYs except that a nitrogen atom replaces the carbon atom at the meso-position of the core.8 With their optical absorption and emission in the red-NIR region, the aza-BODIPY dyes have been applied widely for fluorescent probes9 and sensors,10 cell imaging,11 photodynamic therapy (PDT),12 as well as photovoltaics.13 To improve the application properties of aza-BODIPY dyes, the development of new chromophores with absorption above 750 nm and appreciable fluorescence quantum yields is in demand as so far the numbers of dyes with such desired properties are still limited. Recent efforts have been focused on tuning the absorption and emission wavelength of the aza-BODIPYs by attaching strong electron-donating groups,14 rigidifying the structure,15 or extending the conjugation length of the π-systems.16 Such strategy of chemical modifications can provide substantial shift of the absorption and emission maximum of the dyes to longer wavelength.

In the current work, we report the synthesis and characterization of new aza-BODIPY dyes bearing tetraphenylethylene or fluorenyl substituents attached at 1,7- or 3,5-positions of the aza-BODIPY core. In comparison with the common aza-BODIPYs with 1,3,5,7-tetraphenyl substituents, these new aza-BODIPY dyes possess more extended π-conjugated systems. Thus, bathochromic shifts of the absorption and emission wavelength of these dyes with respect to the tetraphenyl-substituted aza-BODIPYs could be expected. In our studies, the optical absorption and emission properties of these new NIR dyes were investigated by spectroscopic methods. Moreover, the application potential of these new dyes as fluorescent probes in living cell imaging was explored.

Results and discussion

The synthetic route of the new aza-BODIPYs functionalized with fluorene and tetraphenylethylene is shown in Scheme 1. The starting chalcone type compounds 3a–d were prepared through an aldol/dehydration reaction of corresponding aldehydes and ketones. In order to improve the yields of 3a–d, an extended reaction time over 36 h was applied for the reactions. The precursors 4a–d for the aza-BODIPYs were obtained by a Michael addition reaction with nitromethane to the chalcones.
The dihedral angle associated with atoms C4–C5–C6 and C4–N2–C5–N3 in 5c is 15.7° while for 1,3,5,7-tetraphenyl aza-BODIPY this dihedral angle is only 0.7°. These results indicate that the boron-dipyrromethene scaffold of the dye 5c is highly distorted in the solid state.

In addition, it can be also observed that the two fluorenyls at 3,5-positions in 5c are not co-planar with the aza-BODIPY core. Nevertheless, the free rotation of the two fluorenyl in 5c could be hindered by the intramolecular C–H···F hydrogen-bonding interaction between the protons on the two ortho-carbon atoms (C29 and C14) in the 3,5-substituents and the two fluorene atoms, which has been proposed for other aza-BODIPY dyes and BODIPY.20 For aza-BODIPY 5c, the C29–H···F distance of 2.17 Å and C14–H···F2 of 2.36 Å were observed in the crystal structure, which are obviously smaller than the sum of van der Waals radii for hydrogen and fluorine atoms (2.67 Å),21 confirming the intramolecular hydrogen-bonding interactions.

The optical properties of the new aza-BODIPYs 5a–d in toluene were investigated by UV/vis absorption and fluorescence spectroscopy and the results are summarized in Table 1. All the aza-BODIPY dyes exhibit intense S0 → S1 absorption bands between 600 nm and 800 nm (Fig. 2) with molar absorption coefficients comparable with that reported for other aza-BODIPYs. Both dyes 5a and 5c exhibit an absorption maximum at 708 nm. Meanwhile, absorption maxima at 682 nm and 677 nm were observed for the dyes 5b and 5d, respectively. In comparison with 1,3,5,7-tetraphenyl aza-BODIPY (λabs = 654 nm, λem = 684 nm in toluene),22 substantial bathochromic shifts up to ca. 54 nm of the absorption bands...
absorption spectroscopic properties of the aza-BODIPY dyes 5a–d

<table>
<thead>
<tr>
<th>Dye</th>
<th>$\lambda_{\text{abs}}$/nm</th>
<th>$\varepsilon$/M$^{-1}$cm$^{-1}$</th>
<th>$\lambda_{\text{em}}$/nm</th>
<th>Stokes shift/nm</th>
<th>$\Phi$</th>
<th>$\tau$/ns</th>
</tr>
</thead>
<tbody>
<tr>
<td>5a</td>
<td>708</td>
<td>77 500</td>
<td>754</td>
<td>46</td>
<td>0.45</td>
<td>4.75</td>
</tr>
<tr>
<td>5b</td>
<td>682</td>
<td>77 100</td>
<td>730</td>
<td>48</td>
<td>0.15</td>
<td>2.47</td>
</tr>
<tr>
<td>5c</td>
<td>708</td>
<td>92 400</td>
<td>742</td>
<td>34</td>
<td>0.42</td>
<td>4.58</td>
</tr>
<tr>
<td>5d</td>
<td>677</td>
<td>86 700</td>
<td>713</td>
<td>36</td>
<td>0.19</td>
<td>2.17</td>
</tr>
</tbody>
</table>

*a* In toluene ($c = 4 \times 10^{-6}$ M). *b* In toluene ($c = 4 \times 10^{-7}$ M, $\lambda_{\text{ex}} = 520$ nm). *c* Fluorescence quantum yields determined by using zinc phthalocyanine ($\Phi = 0.30$ in $N,N$-dimethylformamide) as standard. *d* Fluorescence lifetimes.

An image of a table showing absorption and emission spectroscopic properties of the aza-BODIPY dyes 5a–d.

**Table 2**  Electrochemical properties of aza-BODIPY 5a–d

<table>
<thead>
<tr>
<th>Dye</th>
<th>$E_{\text{ox}}^{1/2}$/V</th>
<th>$E_{\text{red}}^{1/2}$/V</th>
<th>HOMO$^b$/eV</th>
<th>LUMO$^b$/eV</th>
<th>$\Delta E_{\text{el}}$/eV</th>
</tr>
</thead>
<tbody>
<tr>
<td>5a</td>
<td>−0.87</td>
<td>0.68</td>
<td>−5.46</td>
<td>−3.91</td>
<td>1.55</td>
</tr>
<tr>
<td>5b</td>
<td>−0.87</td>
<td>0.78</td>
<td>−5.56</td>
<td>−3.91</td>
<td>1.65</td>
</tr>
<tr>
<td>5c</td>
<td>−0.88</td>
<td>0.65</td>
<td>−5.43</td>
<td>−3.90</td>
<td>1.53</td>
</tr>
<tr>
<td>5d</td>
<td>−0.86</td>
<td>0.77</td>
<td>−5.55</td>
<td>−3.92</td>
<td>1.63</td>
</tr>
</tbody>
</table>

*a* First reduction/oxidation potential vs. Fe/Fe$^2+$. *b* Calculated from $E_{\text{red}}^{1/2}$/$E_{\text{ox}}^{1/2}$ using $-4.78$ eV as potential for ferrocene. $\Delta E_{\text{el}} = \text{LUMO} - \text{HOMO}$.

**Table 1**

was obtained for the new aza-BODIPY dyes 5a–d by introducing conjugated fluorene- or tetraphenylethylene-substituents. Moreover, larger bathochromic shifts of the absorption maxima were observed for the dyes 5a, 5c bearing the substituents at 3,5-positions than that for the dyes 5b, 5d bearing 1,7-substituents, implying that the 3,5-substituents have stronger electronic conjugation effect. For all the dyes 5a–d, second absorption bands located between 400 nm and 550 nm were observed, which are significantly weaker than the S$_0$–S$_1$ bands and could be attributed to the S$_0$–S$_2$ transition of these dyes.

The fluorescence spectra (Fig. 3) of all these new aza-BODIPYs are approximately the mirror image of their S$_0$–S$_1$ absorption bands. In toluene, the longest wavelength of the emission maximum (754 nm) was observed for the dye 5a while emission maxima of 713–742 nm were observed for dyes 5b–d. It is noteworthy that high fluorescent quantum yields of 0.45 and 0.42 were measured for the dye 5a and 5c, respectively, which are comparable with that reported for 1,3,5,7-tetraphenyl substituted aza-BODIPY ($\Phi = 0.44$ in toluene). On the other hand, the fluorescent quantum yields of 3,5-substituted dyes 5a, 5c are much higher than that of 1,7-substituted 5b, 5d, reflecting the effect of the fluorene and tetraphenylethylene substituents at different positions. Meanwhile, the fluorescence lifetimes of the dyes 5a and 5c are about the twice of that of 5b and 5d. These results could be explained by the rigidification of 3,5-fluorenyl and tetraphenylethylene substituents in 5a and 5c due to the C–H⋯F interactions (*vide supra*). Such rigidification of the molecular skeleton could be beneficial for the fluorescence since the nonradiative de-excitation pathways through the rotation of the large substituents are restricted.

The electrochemical properties of aza-BODIPYs 5a–d were studied with cyclic voltammetry (CV). According to the resultant CV curves (Fig. S1†), all these dyes exhibit at least one reversible reduction and oxidation wave. As shown in Table 2, the first reduction potentials (vs. Fe/Fe$^2+$) of ca. −0.87 V and the first oxidation potentials of 0.65–0.78 V were observed for the dyes 5a–d. In comparison with the 1,3,5,7-tetraphenyl aza-BODIPY ($E_{\text{ox}}^{1/2} = 0.84$ V, $E_{\text{red}}^{1/2} = −0.84$ V),$^{19}$ the first oxidation potentials of aza-BODIPYs with fluorene- or tetraphenylethylene-substituents were cathodically shifted about 0.06–0.19 V. Based on the measured oxidation and reduction potentials, the HOMO and LUMO energies of the aza-BODIPY 5a–d were estimated by applying the widely-used energy level of Fe/Fe$^2+$ (−4.78 eV vs. the vacuum level) as reference.$^{25}$ Electrochemical bandgaps between 1.53 eV and 1.65 eV were obtained for dyes 5a–d, which are lower than that for 1,3,5,7-tetraphenyl aza-BODIPY. The two 3,5-substituted dyes 5a and 5c exhibit smaller electrochemical bandgaps with respect to that for dyes 5b and 5d. Accordingly, the substitution at 3,5-positions by tetraphenylethylene or fluorenyl groups is more effective in reducing the HOMO–LUMO energy gaps of these dyes than 1,7-substitution.

**Table 2**  Electrochemical properties of aza-BODIPY 5a–d

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**Fig. 2**  UV/vis absorption spectra of the aza-BODIPY dyes 5a–d in toluene.

**Fig. 3**  Fluorescence spectra of the aza-BODIPY dyes 5a–d in toluene.

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To gain further insight into the structure–property relationship for the aza-BODIPY dyes 5a–d, DFT calculations were performed at the B3LYP/6-31G (d) level and the resultant frontier molecular orbitals of these dyes were illustrated in Fig. 4. The geometry optimization for these dyes gives a planar structure of the azadipyrrin core. All the calculated HOMO and LUMO orbitals exhibit π-conjugated characteristics. The meso-nitrogen in the aza-BODIPY dyes displays essential contribution to all the calculated LUMO orbitals while a node plane is observed at this atom for all the HOMO orbitals. The calculated LUMO levels for 5a–d are nearly identical while the HOMO levels are varied from −5.05 to −5.25 eV. In addition, the π-conjugation of the dyes 5a–d are more extensive as compared with 1,3,5,7-tetraphenylaza-BODIPY. The calculated HOMO–LUMO gaps of 5a–d are obviously smaller than that for 1,3,5,7-tetraphenylaza-BODIPY. Accordingly, the optical and electrochemical bandgaps of these aza-BODIPY dyes are effectively reduced by incorporating the tetraphenylethylene or fluorenyl moieties into the dye molecules.

The red-NIR emission properties of these new aza-BODIPYs are beneficial for their bioimaging applications. To evaluate the fluorescence imaging performance of the aza-BODIPYs in living cells, MCF-7 cells were incubated with aza-BODIPY dyes 5a–d (500 μg mL⁻¹) for 6 h at 37 °C, respectively. The fluorescence images were shown in Fig. 5. The MCF-7 cells untreated with dyes showed no background fluorescence. However, after incubation with the culture medium containing 500 μg mL⁻¹ of the aza-BODIPY dyes 5a–d, significant intracellular luminescence was observed. Meanwhile, the overlay of confocal fluorescence images showed that the luminescence was evident in the cytoplasm, not in the nucleus and membrane, which indicated that aza-BODIPY dye 5a–d were cell membrane permeable. These observations are in agreement with the results in literatures for other aza-BODIPY imaging probes. Moreover, considering that a long excitation wavelength is essentially important for luminescent imaging of living cells, aza-BODIPY dye 5a–d, which showed intense intracellular near-IR luminescence (Table 1), are promising as fluorescent probes for specific living cell imaging.

Conclusions
In summary, four new NIR fluorescent aza-BODIPY dyes 5a–d bearing tetraphenylethylene and 9,9-dimethylfluorenyl moieties at 1,7-position or 3,5-position have been successfully synthesized and characterized. The crystal structure of 5e revealed an unusual non-planar nature of the core for this dye. In their toluene solution, the absorption and emission bands for the new aza-BODIPY dyes were bathochromically shifted (up to 54 nm for absorption and 70 nm for emission) in comparison to the tetraphenyl-substituted analogue. Further electrochemical studies and DFT calculation indicated that the introduction of tetraphenylethylene and fluorenyl units can effectively extend the π-conjugation length and lower the HOMO–LUMO gaps of these dyes. Based on their red-NIR fluorescence properties, the application prospect of these dyes in living cell imaging was demonstrated.

Experimental section
Materials and methods
All starting reagents and materials, unless otherwise specified, were purchased from commercial sources and use without
further purification. The starting materials 1a-d and 2a-d were synthesized according to the literature.\textsuperscript{25} \textsuperscript{1}H NMR spectra were recorded on a Bruker AVANCE III at 400 MHz in CDCl\textsubscript{3} with tetramethylsilane (TMS) as the internal standard at room temperature and \textsuperscript{13}C NMR spectra is at 101 MHz with a solvent signal (CDCl\textsubscript{3}, 77.16 ppm) as a reference. High-resolution mass spectra (HRMS) was performed on a miorOTOF-QII mass spectrometer. UV/vis absorption spectra were recorded on an Agilent Cary 300 UV/vis spectrometer. The steady-state and the time-resolved photoluminescence spectra were measured on an Edinburgh Instruments FL980 fluorescence spectrometer equipped a NanoLED laser excitation source and a picosecond photon detection module. The fluorescence quantum yields (Φ) of the dyes were determined by using zinc phthalocyanine (Φ = 0.30 in N,N-dimethylformamide)\textsuperscript{36} as standard. The given quantum yield is the averaged value of data obtained at three different excitation wavelengths. The cyclic voltammetrical measurement was performed with an Ivium potentiostat in a three-electrode single compartment cell using dichloroethane as solvent and tetrabutylammonium hexafluorophosphate as supporting electrolyte (0.1 M).

X-ray crystal structure analysis

The single crystals of aza-BODIPY 5c were slowly grown from a solution of the dye using mixed solvent (ethanol/dichloromethane, 3:1, v/v) at ambient conditions over one month. The crystal investigated by XRD (crystal size 0.2 × 0.12 mm) belongs to the monoclinic system with cell parameters of a = 17.671(2), b = 11.6716(11), c = 18.464(2) Å, α = 90°, β = 103.739(2), γ = 90° and V = 3695.7(7) Å\textsuperscript{3}. The space group was P2\textsubscript{1}/c and Z = 4. The empirical formula is C\textsubscript{50}H\textsubscript{38}BF\textsubscript{2}N\textsubscript{3} and the molar mass was 729.64. The calculated density was 1.311 g cm\textsuperscript{-3}. The three-dimensional X-ray data were collected at 113(2) K with graphite-monochromated Mo K\textsubscript{α} radiation (λ = 0.71073 pm) in the range θ\textsubscript{min} = 3.3° to θ\textsubscript{max} = 27.6° using R-axis rapid IP diffractometer. The intensity data of 46 302 independent reflections were collected, and 8498 unique reflections ([F\textsubscript{hkl}] > 2σ[F\textsubscript{hkl}]) were solved by direct methods and refined on F\textsuperscript{2} using the full matrix least-square methods of SHELXL 97. No absorption correction was performed. Hydrogen atoms were calculated and included in the refinement. Non-hydrogen atoms were assigned anisotropic and hydrogen atoms isotropic temperature factors, converging to a final R factor of 0.0375. The crystal structure data have been deposited with the Cambridge Crystallographic Data Center and allocated the deposition number: CCDC 1547271.

Cell imaging studies

MCF-7 cells (human breast adenocarcinoma cell line) were originally obtained from the American Type Culture Collection (ATCC) and culture in Dulbecco’s Modified Eagle Medium (DMEM) supplemented with 10% fetal bovine serum and 1% of penicillin-streptomycin solution under 37 °C with 5% CO\textsubscript{2} atmosphere. Briefly, MCF-7 cells were seeded in 48-well plate with a concentration of 10\textsuperscript{4} cells per well and allowed to grow overnight prior to the incubation with culture medium containing 500 μg mL\textsuperscript{-1} aza-BODIPY 5a-d for 6 h, respectively. The cells in control group routinely cultured. All cells were washed three times with phosphate buffered saline and then fixed by 0.5 mL glutaraldehyde with cell nuclei stained by 4’,6-diamidino-2-phenylindole (DAPI). Confocal fluorescence imaging of cells was then performed using a Zeiss LSM780 laser scanning confocal microscopy. The fluorescence of aza-BODIPY 5a-d was excited by using a 633 nm laser.

General procedures for the synthesis of aza-BODIPY 5a–d

Compounds 4a–d (0.5 g, 0.96–1.36 mmol), and ammonium acetate (12.9 g, 167.2 mmol) were mixed and heated for 24 h. The reaction mixture was cooled and extracted with CH\textsubscript{2}Cl\textsubscript{2} (3 × 50 mL). The combined organic phase was dried over anhydrous MgSO\textsubscript{4} and the solvent was evaporated under reduced pressure. The residue (crude azadipyrromethene) was dissolved in dry CH\textsubscript{2}Cl\textsubscript{2} (60 mL) under N\textsubscript{2} atmosphere and DIEA (0.7 g, 5.2 mmol) was added and stirred. After 30 minutes, BF\textsubscript{3}·OEt\textsubscript{2} (1.025 g, 7.224 mmol) was added and the mixture was continuously stirred for 24 h at room temperature. Then the reaction mixture was washed with NH\textsubscript{4}Cl saturated solution (50 mL), brine (50 mL) and water (3 × 50 mL), and the organic layer was dried over anhydrous MgSO\textsubscript{4}. After removing the solvents by evaporation, the crude product was purified by silica gel column chromatography (n-hexane/CH\textsubscript{2}Cl\textsubscript{2} = 8/5) to give the target compounds 5a–d.

1.7-Diphenyl-3,5-bis(4-(1,2,3-triphenylpyrrolyl)phenyl)-borondiuroide-azadipyrromethene (5a). Yield: 71.1%. \textsuperscript{1}H NMR (400 MHz, CDCl\textsubscript{3}, ppm): δ = 8.03 (dd, J = 8.0, 1.4 Hz, 4H), 7.86 (d, J = 8.5 Hz, 4H), 7.50–7.37 (m, 36H); 13C NMR (101 MHz, CDCl\textsubscript{3}, ppm): δ = 158.24, 146.66, 145.70, 143.55, 143.39, 143.34, 142.59, 140.30, 132.40, 131.64, 131.55, 131.35, 129.29, 129.12, 128.56, 127.90, 127.84, 127.66, 126.93, 126.69, 126.65, 119.04; HRMS (ESI): calculated for C\textsubscript{72}H\textsubscript{50}BF\textsubscript{2}N\textsubscript{3} [M + Na\textsuperscript{+}], M\textsubscript{r} = 1028.3964 m/z, found 1028.3908 m/z.

1.7-Bis(4-(1,2,3-triphenylpyrrolyl)phenyl)-3,5-diphenyl-borondiuroide-azadipyrromethene (5b). Yield: 61.1%. \textsuperscript{1}H NMR (400 MHz, CDCl\textsubscript{3}, ppm): δ = 8.03–7.95 (m, 4H), 7.77 (d, J = 8.4 Hz, 4H), 7.46 (s, 6H), 7.15–7.04 (m, 30H), 7.00 (d, J = 8.4 Hz, 4H), 6.94 (s, 2H); 13C NMR (101 MHz, CDCl\textsubscript{3}, ppm): δ = 159.32, 145.63, 145.43, 143.58, 143.46, 143.38, 141.92, 140.44, 131.73, 131.47, 131.41, 131.37, 130.74, 130.44, 129.52, 128.53, 127.83, 127.78, 127.73, 126.83, 126.72, 126.67, 118.53; HRMS (ESI): calculated for C\textsubscript{72}H\textsubscript{50}BF\textsubscript{2}N\textsubscript{3} [M + Na\textsuperscript{+}], M\textsubscript{r} = 1028.3964 m/z, found 1028.3979 m/z.

1.7-Diphenyl-3,5-bis(9,9-dimethyl-9H-fluoren-2-yl)-borondiuroide-azadipyrromethene (5c). Yield: 75.8%. \textsuperscript{1}H NMR (400 MHz, CDCl\textsubscript{3}, ppm): δ = 8.27 (s, 2H), 8.09 (dd, J = 15.7, 7.6 Hz, 6H), 7.87–7.74 (m, 4H), 7.48 (d, J = 7.8 Hz, 8H), 7.37 (d, J = 3.0 Hz, 4H), 7.17 (s, 2H), 1.53 (s, 12H); 13C NMR (101 MHz, CDCl\textsubscript{3}, ppm): δ = 159.24, 154.75, 153.84, 145.75, 143.47, 142.15, 138.39, 132.50, 130.48, 129.37, 128.63, 128.30, 127.21, 124.27, 122.76, 120.80, 120.72, 120.11, 119.34, 47.13, 27.17; HRMS (ESI): calculated for C\textsubscript{59}H\textsubscript{48}BF\textsubscript{2}N\textsubscript{3} [M + Na\textsuperscript{+}], M\textsubscript{r} = 752.3025 m/z, found 752.3029 m/z.
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