Annealing effect on the photoelectrochemical and photocatalytic performance of TiO₂ nanorod arrays

Zheng Xie, Shuang Shuang, Lingwei Ma, Fei Zhu, Xiangxuan Liu and Zhengjun Zhang

TiO₂ nanorod arrays (NRAs) with 540 nm length and an amorphous porous structure, were fabricated by oblique angle deposition method. The as-prepared amorphous TiO₂ NRAs transform gradually into anatase phase after annealing with a temperature higher than 350 °C, whereas the specific surface area decreases due to the better crystallinity. The intensity of hydroxyl groups increases with enhancing annealing temperature, then decreases above 450 °C. The photocatalytic and photo-conversion properties benefit from the high crystallinity of TiO₂ NRAs and high density of hydroxyl groups on the surface. It shows that TiO₂ NRAs annealed at 450 °C exhibit the highest photoconversion efficiency and maximum degradation rate of MO, which can be ascribed to the excellent crystallization and the optimum density of hydroxyl groups on the surface of TiO₂. The TiO₂ NRAs with enhanced crystallinity and fine structures are very promising for photocatalyst materials for environmental protection.

1. Introduction

Since the first report of the generation of hydrogen with water splitting using titanium dioxide (TiO₂) as a photoanode by Fujishima, and Honda in 1972, TiO₂ has been extensively studied and been considered as the most promising candidate for solving environmental concerns due to its cheapness, photo-stability, chemical inertness, nontoxicity, and excellent photocatalytic activity. In the past decades, the powder system of TiO₂ has been employed intensively for water splitting and pollution degradation. However, the practical applications of powder photocatalysts have been limited due to the separation and recovery of photocatalysts in the aqueous solution, and the high recombination rate of photogenerated electrons and holes in the suspension. Therefore, the immobilization of TiO₂ in the form of films has been widely investigated for hydrogen production and pollution degradation using solar energy.

To enhance the energy conversion efficiency, one of the strategies is to extend its photo response activity to the visible light, which is the main component of the solar spectrum. Accordingly, various attempts have been carried out such as doping with impurities, coupling with dyes, and sensitizing with narrow band gap semiconductors. On the other hand, fabrication of one dimensional (1-D) nanostructures, such as nanotubes, nanowires, nanobelts and nanorods, has been proved to be a promising way to enhance the solar energy conversion efficiency of TiO₂, considering the fact that some desirable characteristics, e.g., large surface areas, longer charge carrier diffusion lengths and low reflectivity, can be obtained readily. Additionally, 1-D nanostructures can be grown by the oblique angle deposition (OAD), which is a simple way to produce large area, uniform and aligned nanorod arrays (NRAs) with controlled porosity.

Among the three crystalline polymorphs of TiO₂, anatase and rutile have been widely used in photocatalytic applications. The photoactivity of the two crystalline phase is reported inconsistently, which can be ascribed to the difference in the crystal size, specific surface area, and the synthesis method. These studies on the effect of crystalline phases have mostly been reported for photocatalysis using nanoparticles and nanotubes. It has been found that the as grown TiO₂ films or columns by physical vapor deposition method under room temperature were typically amorphous and annealing at a temperature ranging from 300 to 700 °C in air caused the formation of single anatase crystalline phase, and also annealing at 400 and 500 °C under O₂ flow varied the porosity and oxygen defect. However, the photoelectrochemical and photocatalytic properties were not been discussed.

As we know, heat treatment of TiO₂ has a great effect on its grain size, surface morphology, crystalline phase composition, and photoelectrochemical and photocatalytic properties. Therefore, it is necessary to further study the effect of annealing temperature on TiO₂ NRAs. On one hand, the relationship between annealing temperature and morphology, structure et al. should be demonstrated in order to indicate the revolution of TiO₂ NRAs by annealing. On the other hand, it is urgent need...
to determining optimum parameters to obtain excellent photocatalytic and photoconversion. To the best of our knowledge, there is few report focus on the effect of annealing temperature for the photoelectrochemical and photocatalytic properties in TiO$_2$ NRAs prepared by oblique angle deposition.

For this purpose, TiO$_2$ NRAs annealed at different temperatures has been studied in this work. The effects of annealing temperature on the crystallization, morphology structures, photoelectrochemical properties, and photocatalytic activity are discussed in order to obtain TiO$_2$ NRAs with higher photocatalytic activity and then to enhance the solar conversion efficiency.

2. Experimental details

2.1 Preparation and heat treatment of TiO$_2$ NRAs

The TiO$_2$ NRAs were deposited on quartz and F-doped SnO$_2$ (FTO) substrates using oblique angle deposition technique (OAD) described elsewhere, where the OAD system was built by the electron beam evaporation (DZS-500, SKY Technology Development Co., Ltd. CAS). The substrates were ultrasonically cleaned sequentially in acetone and alcohol, respectively, and then rinsed in deionized water for 5 minutes. Prior to deposition the system was pumped down to a vacuum level of $2 \times 10^{-5}$ Pa, and then TiO$_2$ NRAs were deposited at a deposition rate of 0.5 nm s$^{-1}$, of which the thickness was monitored by a quartz crystal microbalance. In order to produce aligned TiO$_2$ NRAs, the incident beam of TiO$_2$ flux was set at 85$^\circ$ from the normal of the substrate, and the substrate temperature was controlled at $-20$ $^\circ$C using liquid nitrogen to maintain the temperature. The substrate remained stationary during deposition. The TiO$_2$ NRAs were annealed in a tube furnace under atmosphere at different temperature (i.e., 300 $^\circ$C, 350 $^\circ$C, 450 $^\circ$C, 550 $^\circ$C, and 650 $^\circ$C) for 2 hours, the temperature was carried out at a ramping rate of 5 $^\circ$C min$^{-1}$. Note that no annealing temperature higher than 650 $^\circ$C was used in the experiment, since the glass transition temperature of the glass substrate is approximately 650 $^\circ$C. For the sake of convenience, we mark the TiO$_2$ NRAs annealed for 300 $^\circ$C, 350 $^\circ$C, 450 $^\circ$C, 550 $^\circ$C, and 650 $^\circ$C as 300-TiO$_2$ NRAs, 350-TiO$_2$ NRAs, 450-TiO$_2$ NRAs, 550-TiO$_2$ NRAs and 650-TiO$_2$ NRAs, respectively.

2.2 Characterizations

The crystal structure of the TiO$_2$ NRAs was characterized by X-ray diffraction (XRD Rigaku 2500) using Cu K$\alpha$ radiation ($\lambda = 0.15406$ nm). The morphology was characterized by using a field emission scanning electron microscopy (SEM JEOL-7001F). The microstructures of prepared samples were also characterized with a transmission electron microscope (TEM JEOL-2010F). The refractive index ($n_r$) of the TiO$_2$ NRAs films deposited at various oblique angles was measured by spectroscopic ellipsometry (J.A. Woollam, Co., Inc., Lincoln, NE, USA). Transmittance spectra were recorded using a UV-vis spectrophotometer (PerkinElmer Lambda 35). The XPS experiments were performed on the samples with PHI 5300 (Perkin Elmer). The binding energy of the XPS spectra was calibrated with reference to the C 1s peak at 284.6 eV.

Photoelectrochemical measurements were performed in a 250 mL quartz cell using a three electrode configuration, which are composed of the prepared samples as a working electrode, a Pt foil as a counter electrode, a KCl-saturated Ag/AgCl as a reference electrode, and 1 M KOH as an electrolyte. The photocurrent intensity versus potential ($I-V$ curve) measurements were performed by an electrochemistry workstation (CHI 660, Chenhua Instrument). The working electrode were tested at the illumination intensity of 250 mW cm$^{-2}$ using a 300 W Xe lamp without any light filter (CEL-HXF300, Ailight Co., Ltd). The photocurrent dynamics of the working electrode were recorded according to the response to sudden switching on and off at 0 V bias vs. Ag/AgCl electrode. In order to test the photocatalytic activity, methyl orange (MO) was considered as a model contaminant in the purification of dye waste water. The prepared samples (15 mm $\times$ 15 mm) were placed in a quartz cell containing 10 mL of MO (5 $\mu$M) aqueous solution. The photocatalyst was 5 cm away from the quartz window. The relative concentration of MO in the solution was determined by comparing its UV-vis absorption intensity with that of the initial MO solution at 462 nm.

3. Results and discussion

Fig. 1 displays the XRD patterns of the as-deposited TiO$_2$ NRAs and the TiO$_2$ NRAs annealed in air for different temperature. It can be seen that the as-prepared TiO$_2$ NRAs and 300-TiO$_2$ NRAs exhibit amorphous structure, and after annealing above 350 $^\circ$C, the amorphous films crystallized to form anatase phase, which peaks are well defined and matched with (JCPDS 21-1272). Pure anatase TiO$_2$ films were obtained with diffractions reflection of (101), (112) and weak (200) peak as shown in Fig. 1. No secondary phases were observed within the detection limit of XRD, which is in agreement with the phase transformation temperature from anatase to rutile in previous literature.

The (101) is the most prevalent face for anatase nanocrystals, the (101) peak of annealed TiO$_2$ NRAs becomes...
stronger and sharper with increasing the annealing temperature from 350 to 650 °C, indicative of better crystallinity. To investigate the influence of crystallite size on the behavior of annealing, the grain size was evaluated using the full width at half maximum (FWHM) of the intense (101) diffraction peak of TiO₂ according to the Scherer equation:\(^{32}\)

\[
D = \frac{K\lambda}{\beta \cos \theta}
\]

where \(D\) is the crystallite size in nm, \(\lambda\) is the wavelength of the incident radiation in nm, \(K = 0.9\) which is a constant, \(\theta\) is the Bragg angle taken in radians, and \(\beta\) is the FWHM in radians. The estimated crystallite size of the TiO₂ NRAs annealed at 350 °C is 13.4 nm, and increased to 21.2 nm after annealing at 650 °C. It is reasonable to speculate that the nanostructures of TiO₂ NRAs reconstruct along with the increase of crystallite size and crystallinity. In other word, the internal surface area of the TiO₂ NRAs decreases with enhancing the annealing temperature, which is consistent with previous reports.\(^{26,34}\) The decrease in the internal surface area of TiO₂ NRAs film can also be confirmed by the increasing index of refraction, which will be discussed further in the following section.

Fig. 2 presents the SEM images of TiO₂ NRAs annealed at various annealing temperature. Top-view image in Fig. 2(a) shows that the as prepared TiO₂ NRAs exhibits porous structure consisted of nanorods with diameter of ca. 80–200 nm, and the gap between the nanorods is ca. 25–35 nm. The nanorods are found to be fairly uniform with length of ca. 540 nm shown in the inset of Fig. 2(a), moreover, one can see that the nanorods are tilted with an angle of approximately 22° with respect to the substrate normal due to the high angle of the incident adatom plume to the substrate (\(\alpha = 85°\)).\(^{35}\) The porous structure was formed during the deposition process due to the self-shadowing effects and the limited mobility of the deposited atoms.\(^{36}\) The pore size of the TiO₂ NRAs becomes larger with increase in gaps between nanorods by annealing at 300 °C which can contributed to the shrink during the annealing process. Further increasing annealing temperature, the morphology of TiO₂ NRAs does not change much as shown in Fig. 2(b)–(f).

---

Fig. 2  SEM images of samples with various annealing temperature: (a) as prepared, (b) 300 °C, (c) 350 °C, (d) 450 °C, (e) 550 °C and (f) 650 °C, inset images are the cross section view according to (a) and (f), respectively.
In order to further investigate the microstructures of the as-prepared TiO$_2$ NRAs and the annealed one, TEM was performed. Fig. 3(a) shows the low resolution TEM image of the as-prepared TiO$_2$ nanorod. One can see that the nanorod is of length of ca. 540 nm and diameter of ca. 190 nm, which is in agreement with the SEM results. The nanorod exhibits a pine needle structure, leading to a significant enhancement in the overall surface area. This microstructure can facilitate the performance of photoelectrochemistry due to the 1-D structure with high specific surface area.\(^\text{37}\) Fig. 3(b) displays the high-resolution TEM (HRTEM) image of the as-prepared TiO$_2$ NRAs. There is no lattice fringe in the area as one can see, and the selection area electron diffraction (SAED) as shown in the set of Fig. 3(b) consists of a diffuse halo, indicating that the as-prepared TiO$_2$ NRAs is amorphous.\(^\text{38}\) The HRTEM images of the sample annealed at 450 and 550 °C are presented in Fig. 3(c) and (d), respectively. According to the images, TiO$_2$ crystalline grains can be seen clearly with interplanar lattice spacing of 0.352 nm, corresponding well with that of (101) plane of anatase. Furthermore, the inset images in Fig. 3(c) and (d) show the SAED of two samples, respectively. The SAED patterns display diffraction rings composed of some separate diffraction spots, exhibiting a polycrystalline feature, which is in agreement with the XRD results.

The refractive index ($n_e$) of the as-prepared and annealed TiO$_2$ NRAs films was measured by spectroscopic ellipsometry at wavelengths from 500 nm to 900 nm. For a clear demonstration, we plot the variation of $n_e$ at 600 nm as a function of the deposition angle, which is illustrated in Fig. 4. As the annealing temperature increases from room temperature to 650 °C, $n_e$
increases from 1.493 to 1.673, which is the result of the reconstruction of nanostructure as was discussed above. For two non-absorbing components with volume fractions \( f_i \) and refractive indices \( n_i \), the Bruggemann effective medium approximation gives:\(^{38}\)

\[
f_{1i}[(n_i^2 - n_e^2)/(n_i^2 + 2n_e^2)] + f_{2i}[(n_i^2 - n_e^2)/(n_i^2 + 2n_e^2)] = 0.
\]

Herein, \( n_e \) of a porous film is given by an average of air and material when the pore size is much smaller than the wavelength. The \( n \) of dense TiO\(_2\) film prepared by e-beam evaporation is 2.3 according to previous report.\(^{39}\) Using the \( n_e \) at 600 nm, the porosity of the TiO\(_2\) NRAs films is calculated using the Bruggemann approximation, and the result is displayed in Fig. 4. When the annealing temperature is increased, the porosity decreases and reaches the minimum at 650 °C. The decrease in specific surface may be related to inter-crystallite sintering and intra-cluster densification into larger clusters,\(^{26}\) annealing leads to elimination of small inter-crystallite and results in increase in nanocrystallites size and decrease in the specific surface area.

Fig. 5(a) shows the UV-visible light transmittance spectra of the TiO\(_2\) NRAs annealed for different temperature. The spectra are characterized by a good regularity of the interference fringes and a systematic increment in wavelength in which the film practically ceases to be transparent in the visible range. This behavior has been previously observed in previous report.\(^{40}\)

With increase in annealing temperature, the transmittance decreases gradually at the wavelength of 250 nm to 550 nm, in the other hand, the absorption edge exhibits red shifts. The optical gap (\( E_g \)) of the semiconductor with large band gap can be determined from the absorption coefficient \( \alpha \). If scattering effect is neglected, the absorption coefficient can be expressed by \( \alpha E_g = A(E_g - h\nu) \), where \( n = 1/2 \) for an indirect transmission.\(^{41}\) The Tauc\(^{42}\) plot of \( \alpha E_g^{1/2} \) vs. photon energy (\( E = h\nu \)) is shown in Fig. 5(b). Usually, the band gap can be obtained by extrapolating the linear region to \( \alpha E_g^{1/2} = 0 \). The band gap of the as-deposited TiO\(_2\) NRAs (amorphous) is ca. 3.45 eV and it decreases to 3.34 eV after annealing at 650 °C. The higher values of band gap observed in our experiment can be associated to the nanocrystalline nature of the TiO\(_2\) NRAs which similar to previous report.\(^{43}\) The decrease in the optical band gap of TiO\(_2\) NRAs with increase in annealing temperature might be the result of the change in film density and augmentation of size of scattering center.\(^{44}\) During the annealing process, the nanocrystalline grains gradually grow from amorphous, and then the crystalline grains grow larger with increasing annealing temperature and duration.\(^{45}\) In addition, the oxygen vacancies...
occur on the surface of TiO2 NRAs. It is reported that the visible absorption is positively related to oxygen vacancy in TiO2. With increasing annealing temperature and duration, the number of oxygen defects further decreases along with the crystallization, while the size of nanocrystals grows bigger. The former process will increase the band gap, while the latter process will decrease the band gap. The two processes compete with each other, and the latter is the dominant progress in this case, resulting in a slight decrease in the band gap with increasing annealing temperature.

In order to identify the chemical state of TiO2 NRAs, XPS was carried out. As shown in Fig. 6(a), it was found that the two characteristic peaks of Ti 2p1/2 was at ~465 eV and Ti 2p3/2 was at ~459 eV in the as-prepared TiO2 NRAs, but there was obvious shift to lower binding energy in the two peak positions binding energy peaks of the annealed TiO2 NRAs. This strongly suggested that Ti4+ had trends into transform to lower valance, it might suggest migration of electrons bound to oxygen and titanium ions toward oxygen vacancies. The surface oxygen vacancies can trap the photogenerated electrons thus reducing the electron–hole recombination. Fig. 6(b) shows the O 1s XPS spectra core level of the as-prepared TiO2 NRAs and the ones annealed at 350, 450, 550, and 650 °C, respectively. For the as-prepared TiO2 NRAs, there is a peak at ~530.1 eV, which is characteristic of intrinsic oxygen corresponding to TiO2, and the other peak at ~531.6 eV can be ascribed to surface hydroxyl groups in TiO2. The signals of hydroxyl groups observed should be due to hydroxyl groups, strongly bound to surface defects on TiO2 NRAs annealed at different temperatures, since the physically absorbed hydroxyl groups on TiO2 NRAs can be easily removed under ultrahigh vacuum condition of the XPS system. That is, the hydroxyl groups in XPS are associated with surface defects and the visible hydroxyl groups should indicate the existence of surface oxygen defects on TiO2 samples. Chemisorbed surface hydroxyl groups can enhance photocatalysis by trapping photoinduced holes resulting in an increase in the formation of highly oxidizing OH radicals. It is reported that the number of surface hydroxyl groups per surface area of anatase TiO2 increases with increasing annealing temperatures. In the present case, a similar trend can be concluded for TiO2 NRAs based on XPS results. The rate of O in hydroxyl groups to intrinsic O (O531.6/O530.4) on TiO2 surface increases from 43.17% to 74.1%, with increase in annealing temperature, and obtains the maximum at 450 °C. However, further increasing annealing temperature to 550 and 650 °C, it decreases to 50.3% and 45.7%, respectively. This phenomenon can be ascribed to the grain boundary reduction on surface with the formation of large nanocrystallites by further enhancing the annealing temperature, which results in decrease in surface area. Thus, it is reasonable to expect that a suitable increased number of defects on surface of TiO2 NRAs would result in improved charge transportation and enhancement of photocurrent density.

Photocurrent intensity has been regarded as one of the most efficient method to evaluate the photo-catalytic activity of photocatalyst, and it has been recognized that a high photocurrent intensity suggests a high efficiency for electrons and holes generation and separation, and thus a high photo-catalytic activity. I–V curves of TiO2 NRAs annealed at different temperature are shown in Fig. 7(a). Upon illumination, the current gradually increases with increasing applied potential.
from −0.86 to 0 V, indicating photogenerated electron–hole separation induced by the electric field. At higher potentials (E > −0.2 V), a saturation of the photoresponse is observed for all the samples which is ascribed to the field distribution on the nanorods. Theoretically, the open circuit potential corresponds to the difference between the apparent Fermi level of the TiO2 NRAs. For the annealed samples, there is a negative shift in the open circuit potential with increase in annealing temperature from 350 to 650 °C, which suggests a better charge separation and electron accumulation in TiO2 NRAs due to the better crystallization after annealing.

To further test the photoelectrochemical properties of the TiO2 NRAs annealed for different temperature under irradiation, photocurrent densities were measured in the light on–off process with a pulse of 30 s by the potentiostatic technique. The plots of current density vs. potential are shown in Fig. 7(b). The photocurrent intensity of as-prepared TiO2 NRAs and the one annealed at 300 °C can be neglected due to their amorphous structure. For all TiO2 NRAs annealed above 350 °C, the photocurrent value goes down to zero as soon as the light is turned off, and the photocurrent intensity back to the original value as soon as the light is turned on again. This result indicates that the charge transportation process from TiO2 NRAs to FTO substrate is very rapid.

Among annealed TiO2 NRAs, the photocurrent intensity increase with increasing temperature due to the formation of anatase phase and the enhancement of crystallization. TiO2 NRAs annealed at 450 °C exhibit a maximum photocurrent density of ca. 0.36 ± 0.01 mA cm⁻². Beyond this temperature, a decrease of photocurrent intensity is observed. When TiO2 illuminated by the photons with energy higher than 3.2 eV, photogenerated electrons and holes form in the conduction band and valance band, respectively. According to literatures, electrons will transfer in bulk traps and surface states. Electrons transfer of bulk traps only occur in between the bulk traps and the conduction band of TiO2 (ref. 65) whereas the surface states can transfer electrons to the acceptor species in solution in an addition to the electron exchanges with conduction band of TiO2. In the present condition, the bulk traps can be regarded as the grain boundary and the amorphous domains between the crystallites within the TiO2 NRAs, whereas the surface states are mainly from the surface oxygen defects on TiO2 NRAs.

Annealing can lead to decrease in the grain boundary and amorphous domains which act as the recombination center, and results in enhancement in photocurrent density. In the meantime, the surface states appear on the surface of TiO2 NRAs and its density enhances first then decreases with increasing annealing temperature. However, the specific surface area of TiO2 NRAs decreases gradually after annealing in air which has been discussed in Fig. 4, which will cause the drop in photocurrent response. As discussed above all, the crystallization, surface states and specific surface area contribute together to the photocurrent density, and it exhibit the maximum at 450 °C.

EIS provides a powerful method for the study of charge transfer and recombination processes at the semiconductor–electrolyte interfaces. A typical Nyquist plot obtained at the open-circle potentials for the illuminated as-prepared and annealed TiO2 NRAs is shown in Fig. 7(c). It is reported that smaller size of the semicircle arc diameter indicates a more effective separation of the photogenerated pair or a faster interfacial charge transfer to the electron donor acceptor. All the annealed TiO2 NRAs have a smaller arc diameter than the as-prepared one, and the arc diameter of the TiO2 NRAs become smaller when the annealing temperature increases to 450 °C. Further increasing temperature will make the arc larger. It indicates that the charge carriers separate and transfer more effectively in 450-TiO2 NRAs than the rest of samples, which is in accordance with the I–V and I–T curves mentioned above.

Fig. 7(d) plots the degradation rate of methyl orange after 1 h irradiation under Xe lamp with the intensity of 250 mW cm⁻², using TiO2 NRAs as photocatalyst. It is found that the degradation rate is only 1.6% using the as-prepared TiO2 NRAs, which can be attributed to the photobleaching. Enhancement of degradation rate is observed by annealed TiO2 NRAs. For example, the degradation rate is 5.9% for 300-TiO2 NRAs, and it increases to 53.4% immediately, the phenomenon can be ascribed to the transition from amorphous to crystal and the increase of hydroxyl group. Further increasing annealed temperature, the degradation rate decreases, the phenomenon is in agreement with the variation of photocurrent intensity.

4. Conclusions

TiO2 nanorod arrays (NRAs) were fabricated by oblique angle deposition technique using e-beam evaporation system. The as-prepared TiO2 NRAs are amorphous and exhibit porous structures made up of nanorods with length of 540 nm. The amorphous TiO2 NRAs transform gradually into anatase phase after annealing temperature is higher than 350 °C. The growth of the crystalline grains is induced by annealing, resulting in shrinkage of optical gaps after annealing. According to XPS analysis, the oxygen defects is detected, and the intensity of hydroxyl groups increase with enhancing annealing temperature, then it decreases when temperature above 450 °C. The photoelectrochemical and photocatalytic results show that TiO2 NRAs annealed at 450 °C exhibit the highest photoconversion efficiency and maximum degradation rate of MO, which can be ascribed to the excellent crystallization and the optimum density of hydroxyl groups of surface of TiO2. The enhanced crystallinity and fine structures of obliquely deposited TiO2 NRAs are very promising for the applications such as photocatalyst material for environmental protection.

Author contributions

Zhengjun Zhang and Zheng Xie conceived and designed the experiments; Shuang Shuang and Lingwei Ma contributed to characterization; Zheng Xie and Fei Zhu performed the experiments; Zheng Xie and Xiangxuan Liu analyzed the data. Zheng Xie wrote the manuscript. All authors read and approved the final manuscript.
Conflicts of interest

There are no conflicts to declare.

Acknowledgements

The authors are grateful to the financial support by the National Natural Science Foundation of China (grant No. 51701103, 51531006 and 51572148), Research Project by Chinese Ministry of Science and Technology (grant No. 2016YFE0104000) and China Postdoctoral Science Foundation (grant No. 2016M600084).

References


