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# Tunable high- $\kappa$ $Zr_xAl_{1-x}O_y$ thin film dielectrics from all-inorganic aqueous precursor solutions†

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Zirconium oxide has received considerable attention as a dielectric component for microelectronic applications. However, crystallization at relatively low temperatures results in the formation of grain boundaries and high leakage current densities. Doping  $ZrO_y$  with Al is known to suppress crystallization, but the effects of Al incorporation on dielectric properties has not been investigated over the complete range of Zr : Al compositions in  $Zr_xAl_{1-x}O_y$  (ZAO). Herein, we report an aqueous, all-inorganic route to amorphous high- $\kappa$  ZAO thin film dielectrics with varying Zr : Al composition. ZAO thin films were spin-cast and annealed at temperatures between 200 and 600 °C to produce dense, uniform, and smooth films with sub-nm roughness. In general, Zr-rich films had higher dielectric constants, ranging from 18.6 for pure  $ZrO_y$  to 5.4 for pure  $AlO_y$  (1 kHz), while Al-rich films had lower leakage current densities. Of the compositions studied,  $Zr_{0.75}Al_{0.25}O_y$  films displayed the optimal balance of dielectric properties and low leakage current densities, making them promising candidates for microelectronic devices.

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## Introduction

Metal oxide thin films function as critical components in a number of technological applications, including as gate dielectrics in transistors.<sup>1,2</sup> For these applications, amorphous high- $\kappa$  materials are desirable for lowering power consumption and decreasing leakage current through the dielectric layer.<sup>3</sup> Zirconium oxide ( $ZrO_y$ )<sup>4–14</sup> and aluminum oxide ( $AlO_y$ )<sup>15–33</sup> are two high- $\kappa$  materials that have been investigated as alternatives to  $SiO_2$  gate dielectrics.  $ZrO_y$  has a high dielectric constant ( $\sim 25$ ) but is incompatible with the processing temperatures required for integrated circuit manufacturing.<sup>3</sup> This stems from an inherently low crystallization temperature ( $< 500$  °C), which leads to grain boundaries and undesirable leakage currents.<sup>34</sup> Additionally, facile diffusion of oxygen through  $ZrO_y$  at elevated temperatures can result in uncontrolled interfacial growth at  $ZrO_2$ -Si interfaces.<sup>3</sup> Although  $AlO_y$  has a lower dielectric constant ( $\sim 10$ ), it remains amorphous until much higher temperatures ( $\sim 800$  °C) and exhibits high thermodynamic stability on Si.<sup>3</sup>

Previous reports of high- $\kappa$  zirconium aluminum oxide ( $ZrAlO_y$ , ZAO) thin film dielectrics indicate that Al incorporation can effectively suppress the crystallization of  $ZrO_y$ .<sup>35–42</sup> These reports utilized both vapor phase deposition routes (pulsed laser deposition<sup>35,36</sup> and atomic layer deposition<sup>37,38</sup>) and

solution deposition routes (spray pyrolysis<sup>39,40</sup> and sol-gel<sup>41,42</sup>). Solution deposition routes are attractive because they generally employ inexpensive precursors and equipment, allow for facile variation of metal composition, and offer the promise of low-temperature processing.<sup>43,44</sup> However, the previously reported solution deposition routes to ZAO films make use of organic ligands and solvents, which are often difficult to remove and can result in carbon impurities that can adversely affect dielectric properties.<sup>45,46</sup> Only one previous study has investigated the effects of Zr : Al composition on dielectric properties. This study examined a limited composition range using a pyrosol method, but the authors were unable to establish a relationship between composition and dielectric properties.<sup>39</sup>

Herein, we report an all-inorganic aqueous solution deposition route to  $Zr_xAl_{1-x}O_y$  (ZAO,  $0 \leq x \leq 1$ ) thin films. This route is distinct from the previously employed solution deposition routes in that no organic ligands or additives are employed. This all-inorganic aqueous synthetic approach has previously produced dense, ultra-smooth metal oxide dielectric films with a variety of metal components.<sup>34,47–57</sup> Aqueous solution deposition allows for precise control of film composition through the facile manipulation of precursor stoichiometry,<sup>58</sup> which we exploit to investigate a complete range of Zr : Al compositions. The ZAO films prepared *via* this aqueous route are smooth, dense, and defect-free. Films annealed at temperatures  $\leq 500$  °C are amorphous when the Al content is  $\geq 10\%$ . Device testing of ZAO-based metal-insulator-semiconductor (MIS) capacitors shows that the dielectric and leakage current density characteristics can be systematically tuned by varying the Zr : Al composition. The facile control of film composition and corresponding electrical properties

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make ZAO films promising functional components for micro-electronic applications.

## Experimental

### Precursor solution preparation

A 1.0 M Zr stock solution was prepared by adding  $\text{ZrO}(\text{NO}_3)_2 \cdot x\text{H}_2\text{O}$  (Sigma Aldrich, 99%) to 18.2 M $\Omega$  cm Millipore  $\text{H}_2\text{O}$  heated to  $\sim 70$  °C. The solution was stirred vigorously for 1 h at this temperature, then stirred overnight at RT to produce a stable solution. A separate 1.0 M Al stock solution was prepared by dissolving  $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$  (Alfa Aesar, 98.0–102.0%) in 18.2 M $\Omega$  cm  $\text{H}_2\text{O}$  at RT and stirring vigorously for 1 h. Mixed ratio precursor solutions were prepared from the 1.0 M stock solutions for a range of Zr : Al ratios. The total metal concentration for all Zr : Al ratios was 1.0 M, and all solutions were filtered through a 0.45  $\mu\text{m}$  PTFE syringe filter.

### Thin film preparation

Si substrates ( $2 \times 2$  cm<sup>2</sup> squares) were sonicated in 5% Contrad-70 solution (Decon Laboratories) at RT for 1 h followed by rinsing with 18.2 M $\Omega$  cm  $\text{H}_2\text{O}$ . Precursor solutions were deposited onto treated Si substrates through 0.2  $\mu\text{m}$  PTFE filters and then spun at 3000 rpm for 30 s. For samples annealed at or below 500 °C, samples were immediately transferred to a hotplate at 50 °C. Samples were then ramped to 200, 300, 400, or 500 °C (12.5 °C min<sup>-1</sup>) and held for 1 h. Stacked, two-coat films (used for FTIR, SEM, and impedance measurements) were annealed to 200, 300, 400, or 500 °C for 10 min between coats with a final anneal at the desired temperature for 1 h. The maximum temperature that the hotplates could achieve was 500 °C. Therefore, samples with a final annealing temperature >500 °C were first ramped to 500 °C for 10 min on a hotplate (for each layer), cooled to RT, and then transferred to a box furnace, which was then ramped to the desired final annealing temperature and held for 1 h.

In the case of Al-rich ZAO films ( $\text{Zr}_x\text{Al}_{1-x}\text{O}_y$  where  $x \leq 0.15$ ), sonicated Si substrates were also subjected to a 10 min  $\text{O}_2/\text{N}_2$  plasma etch using a PE-50 Benchtop Plasma Cleaner (Plasma Etch, Inc.) set to maximum power, followed by rinsing with 18.2 M $\Omega$  cm  $\text{H}_2\text{O}$ . Following deposition, these Al-rich samples were transferred to hotplates preheated to 150 °C instead of 50 °C. These modifications were necessary to ensure good substrate wettability and to prepare high-quality, Al-rich ZAO films. All other annealing parameters (ramp rate, final annealing time) were kept the same.

### Precursor solution characterization

Bulk powders were prepared for thermogravimetric analysis (TGA) from 1.0 M precursor solutions that were dried at 50 °C for 12 h. TGA was done using a TA Instruments Q500A with a ramp rate of 5 °C min<sup>-1</sup> under an  $\text{N}_2$  atmosphere.

### Thin film characterization

Fourier transform infrared (FTIR) spectra were collected using a Nicolet 6700 spectrometer in transmission mode. Samples

were deposited on lightly doped double-sided polished p-type Si substrates and background subtraction was done using a spectrum collected from a blank Si substrate (heated to match the thermal processing of each measured sample).

X-ray reflectivity (XRR) and grazing incidence X-ray diffraction (GIXRD) measurements were performed using a Rigaku SmartLab diffractometer with a Cu K $\alpha$  radiation source (40 kV, 44 mA). XRR data were modeled using BedeREFS v4.00 software package,<sup>59</sup> and film thickness and density were extracted from best fit models (see ESI†).

Atomic force microscopy (AFM) images were collected using a Dimension ICON AFM (Bruker, USA) in tapping mode. Standard tapping mode probes were used (42 N m<sup>-1</sup>, 320 kHz). Images were collected at a scan rate of 1 Hz with 384 lines and 384 measurements per line. Image processing was performed using NanoScope Analysis 1.50 software, and a first order flattening compensated for any sample tilt in each image.

Cross-sectional scanning electron microscopy (SEM) was done using a FEI Helios Dual Beam FIB (5.0 keV accelerating voltage, 86 pA current, immersion mode). Samples were coated with thermally evaporated Al prior to imaging to prevent charging effects.<sup>60</sup>

### MIS device fabrication and testing

Metal-insulator-semiconductor (MIS) devices were prepared by thermally evaporating Al top contacts (0.013 cm<sup>2</sup>, 100 nm thick) onto ZAO films deposited on degenerately-doped n-type Si substrates (<0.005  $\Omega$  cm). Electrical contact to the Si substrate was achieved by physically scratching the film with a scribe and applying an In/Ga eutectic. Impedance measurements were taken using an Agilent 4284A Precision LCR meter at 0.1, 1, and 10 kHz with 500 mV oscillation amplitude. Batch-to-batch variations were evaluated by making three separate samples per annealing temperature and measuring impedance of five MIS devices per sample. A Keithley 2400 SourceMeter (0.2 V steps, 0.2 s delay) was used for current density–electric field ( $J$ – $E$ ) characterization. All MIS device testing was performed in ambient atmosphere in a dark environment.

## Results and discussion

The decomposition of bulk precursor powders to the corresponding oxides was investigated by TGA (Fig. 1). Mass loss events can be related to the elimination of water and nitrate counterions from the bulk precursor powders (Fig. 1a). The temperatures at which significant mass loss occurs can be better visualized by examining the mass loss derivatives (Fig. 1b). In the case of the  $\text{ZrO}_y$  precursor, gradual mass loss is observed between 50 and 150 °C (predominately water loss) followed by two large mass loss events between 150 °C and 250 °C (predominantly nitrate loss). Above 250 °C there is gradual mass loss (further water and nitrate loss) until  $\sim 500$  °C when final densification and crystallization occurs. In contrast, the  $\text{AlO}_y$  precursor fully condenses and densifies at a much lower temperature, and mass loss appears to be complete by



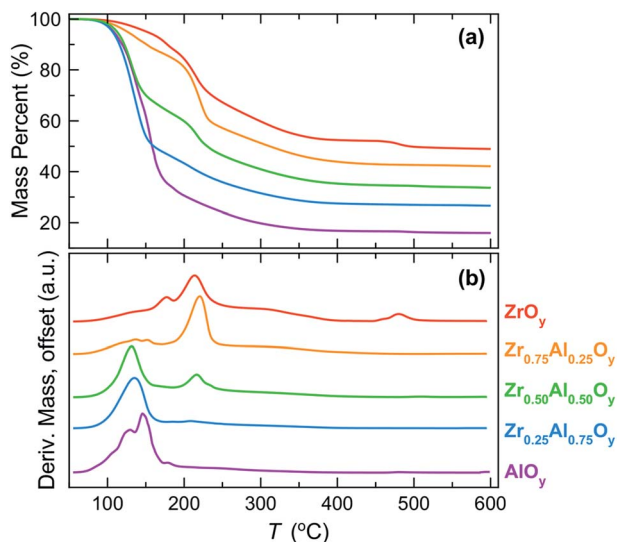


Fig. 1 (a) Mass loss percent and (b) derivative of mass loss (absolute values) as a function of annealing temperature for bulk ZAO precursor powders.

~350 °C with the largest mass losses occurring between 50 and 200 °C.

For the mixed ZAO precursor powders, increasing the Al content decreases both the decomposition temperature and the resulting oxide formation temperature. This trend is not surprising as it is known that metal nitrate decomposition temperatures decrease with increasing metal cation charge density.<sup>64</sup> The higher charge density of  $\text{Al}^{3+}$  polarizes the nitrate ions, weakening N–O bond strengths and lowering decomposition temperatures for the Al-rich films.

TGA provides insight into the decomposition of the bulk precursor powders, but these measurements do not necessarily relate to the corresponding evolution of thin films due to differences in sample geometry, mass transport, and evaporation rates.<sup>62,63</sup> Nevertheless, TGA is useful for providing approximate temperature ranges at which decomposition events take place and for correlating how these change with metal composition.

FTIR allows a direct measurement of water and nitrate content in ZAO films as a function of annealing temperature (Fig. 2). These spectra indicate that significant water ( $\sim 3500\text{ cm}^{-1}$ )<sup>64</sup> and nitrate ( $\sim 1280$  and  $1460\text{ cm}^{-1}$ )<sup>31,65</sup> are retained in films annealed at 200 °C (Fig. 2a). Al-rich films generally contain less nitrate, consistent with TGA data of bulk powders. Water is fully removed from films of all compositions by 400 °C (Fig. S1†), and nitrate is effectively removed by 500 °C (Fig. 2b).

Peaks indicative of Al–O ( $\sim 600$  to  $900\text{ cm}^{-1}$ )<sup>31</sup> and Zr–O ( $\sim 415\text{ cm}^{-1}$ )<sup>41</sup> are observed for the pure  $\text{AlO}_y$  and  $\text{ZrO}_y$  films, respectively. The intensity of these peaks increases for films annealed at higher temperatures, indicating oxide formation is more complete. Significant overlap and broadening of the metal oxide peaks makes identification of Al–O and Zr–O peaks in the mixed composition samples difficult.<sup>41</sup> The loss of water and nitrate, coincident with growth of the oxide peak intensities, suggest that condensation is largely complete by 500 °C. XRR studies

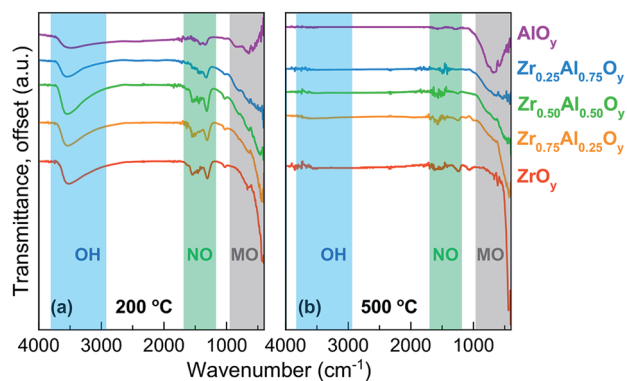


Fig. 2 FTIR spectra of two-coat ZAO films annealed at (a) 200 °C and (b) 500 °C. FTIR spectra of ZAO films annealed at 300 and 400 °C can be found in Fig. S1.†

(which allow measurement of film thickness and density) also show that densification is complete by 500 °C (Fig. S2 and S3†).

As discussed above, amorphous metal oxides are preferred for gate oxide applications because grain boundaries in polycrystalline films negatively impact device performance.<sup>3,34</sup> The extent of crystallization as a function of Zr : Al composition and annealing temperature was investigated using GIXRD (Fig. 3a and b). All compositions were amorphous at 400 °C, while  $\text{ZrO}_y$  is crystalline by 500 °C. ZAO films with compositions of  $\geq 25\%$  Al are amorphous at 500 °C and remain so up to 600 °C. The minimum amount of Al needed to prevent crystallization at 500 °C was 10% Al, although these films crystallize by 600 °C.

For many microelectronic applications, subnanometer surface roughness is essential for optimum device functionality.<sup>66–68</sup> AFM shows ZAO films annealed at 500 °C (Fig. 4) are remarkably smooth (root-mean-square roughness ( $R_{\text{rms}}$ ) < 1 nm).  $\text{AlO}_y$  films, in particular, are exceptionally smooth with

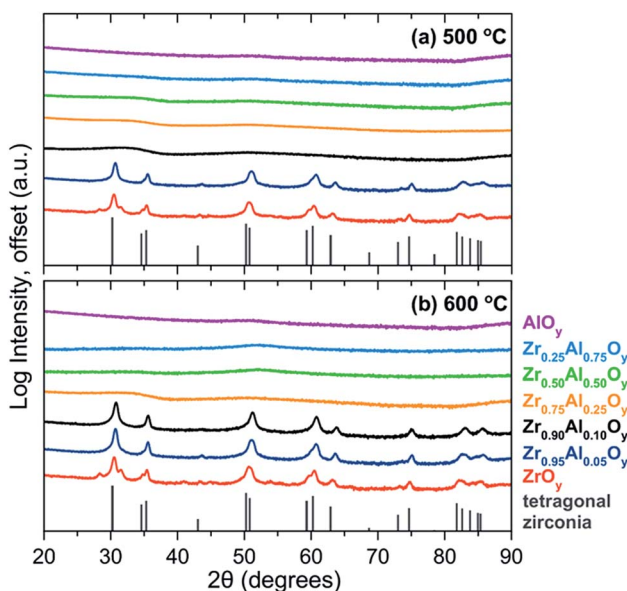


Fig. 3 GIXRD of single-coat ZAO films annealed at (a) 500 °C and (b) 600 °C. The tetragonal zirconia phase (ICSD 66781)<sup>69</sup> is plotted for reference.



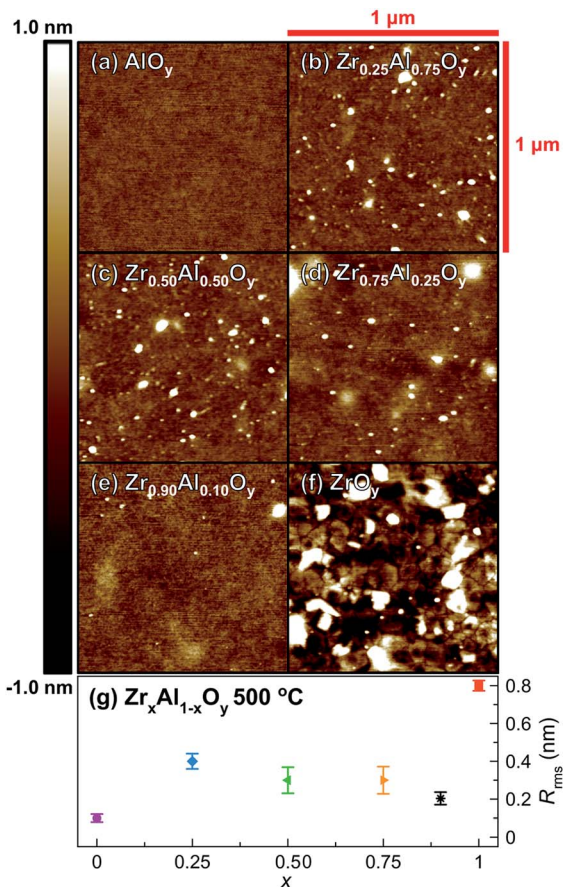


Fig. 4 Representative AFM images of single-coat (a)  $\text{AlO}_y$ , (b)  $\text{Zr}_{0.25}\text{Al}_{0.75}\text{O}_y$ , (c)  $\text{Zr}_{0.50}\text{Al}_{0.50}\text{O}_y$ , (d)  $\text{Zr}_{0.75}\text{Al}_{0.25}\text{O}_y$ , (e)  $\text{Zr}_{0.90}\text{Al}_{0.10}\text{O}_y$ , and (f)  $\text{ZrO}_y$  films annealed at  $500\text{ }^\circ\text{C}$  ( $1\text{ }\mu\text{m}^2$  area) and (g) corresponding surface roughness values. Error bars were determined using measurements taken from three separate  $1\text{ }\mu\text{m}^2$  areas on the same film.

$R_{\text{rms}} \approx 0.1\text{ nm}$  (Fig. 4a). ZAO films with varying Zr : Al ratios are still quite smooth with  $R_{\text{rms}} \approx 0.2\text{--}0.4\text{ nm}$  (Fig. 4b–e). In contrast,  $\text{ZrO}_y$  films are significantly rougher ( $R_{\text{rms}} \approx 0.8\text{ nm}$ ). This increased roughness is likely associated with crystallization observed by GIXRD; indeed, crystallites are apparent in AFM images (Fig. 4f).

Cross-sectional SEM shows the morphology of stacked, two-coat ZAO films incorporated into MIS devices (Fig. 5). Amorphous ZAO films are dense and homogeneous, with no signs of interfacial roughness (Fig. 5a–c). In the case of crystalline  $\text{ZrO}_y$ , large columnar grains are observed (Fig. 5d).

MIS devices were fabricated from two-coat ZAO films, and dielectric properties were examined by impedance spectroscopy (Fig. 6 and S4†). Dielectric constants (1 kHz) increase with increasing Zr content, ranging from 5.4 ( $\text{AlO}_y$ ) to 18.6 ( $\text{ZrO}_y$ ) for films annealed at  $600\text{ }^\circ\text{C}$ . We attribute the large increases in dielectric constants and batch-to-batch variations for  $\text{ZrO}_y$  films annealed at  $500\text{ }^\circ\text{C}$  and  $\text{Zr}_{0.90}\text{Al}_{0.10}\text{O}_y$  films annealed at  $600\text{ }^\circ\text{C}$  to the onset of crystallization (see Fig. 4). Generally, loss tangents were low, indicating minimal deviation from ideal capacitance (Fig. 6b).

Although it is difficult to directly compare properties of materials deposited using different precursors and thermal

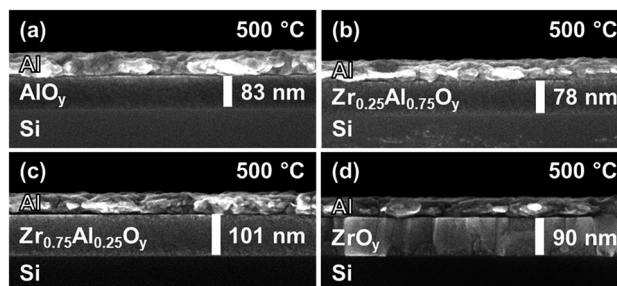


Fig. 5 SEM cross-sectional images of two-coat (a)  $\text{ZrO}_y$ , (b)  $\text{Zr}_{0.75}\text{Al}_{0.25}\text{O}_y$ , (c)  $\text{Zr}_{0.25}\text{Al}_{0.75}\text{O}_y$ , and (d)  $\text{AlO}_y$  films annealed at  $500\text{ }^\circ\text{C}$ .

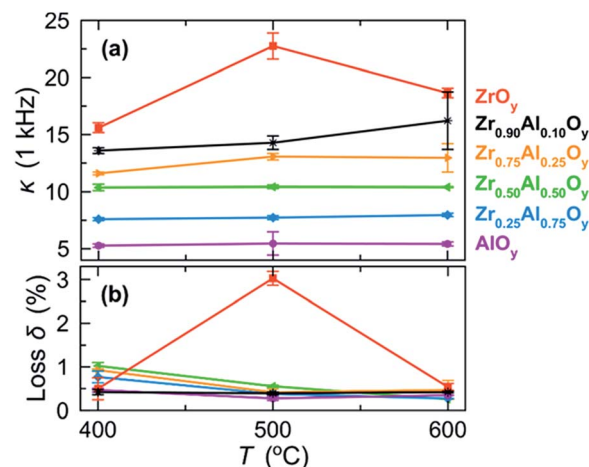


Fig. 6 (a) Dielectric constants ( $\kappa$ ) measured at 1 kHz and (b) corresponding loss tangents (loss  $\delta$ ) for MIS devices fabricated from two-coat ( $\sim 100\text{ nm}$ ) ZAO films annealed at various temperatures. Error bars were determined using measurements taken from three separate batches of ZAO-based MIS devices. A plot of  $\kappa$  and loss  $\delta$  as a function of frequency for ZAO-based MIS devices fabricated at  $500\text{ }^\circ\text{C}$  can be found in Fig. S4†

treatments,<sup>63</sup> the dielectric constants reported here are within the range of values reported in the literature. In a previous sol-gel study,  $\text{Zr}_{0.10}\text{Al}_{0.90}\text{O}_y$  films annealed between  $250$  and  $350\text{ }^\circ\text{C}$  for 2 h exhibited dielectric constants of 8.4 to 11.8.<sup>42</sup> Although these values are larger than those reported here, they compare well to dielectric constant of  $\text{Zr}_{0.25}\text{Al}_{0.75}\text{O}_y$  films we prepared at  $200\text{ }^\circ\text{C}$  ( $\kappa \approx 12$ ) and  $300\text{ }^\circ\text{C}$  ( $\kappa \approx 10$ ). We do not include data for samples annealed at these low temperatures in Fig. 6 because FTIR data (Fig. 2 and S1†) indicate that these films contain residual polarizable water and nitrate, which can inflate dielectric constant values and lead to sample-to-sample variations.<sup>53</sup> In a separate ultrasonic spray pyrolysis study, ZAO films with similar compositions and annealing temperatures ( $475\text{ }^\circ\text{C}$ ) are also in the range of those reported here.<sup>39</sup>

$J$ - $E$  measurements were conducted to assess the effects of Zr : Al composition on breakdown fields and leakage current densities of ZAO-based MIS devices processed at  $500\text{ }^\circ\text{C}$  (Fig. 7). In general,  $\text{Zr}_x\text{Al}_{1-x}\text{O}_y$  devices experience catastrophic breakdown (defined as  $I > 100\text{ mA}$ ) and loss of insulating behaviour at lower



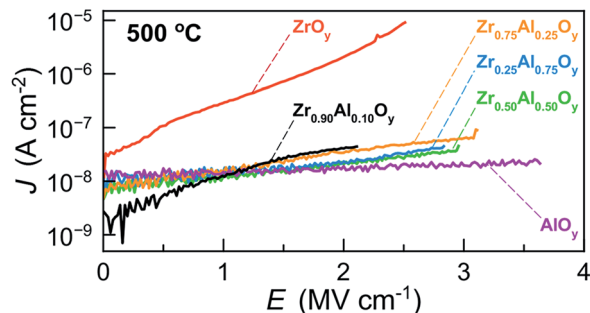


Fig. 7 Representative  $JE$  data for MIS devices made from two-coat ZAO films ( $\sim 100$  nm) annealed at  $500$  °C.

electric fields when  $x \geq 0.90$  ( $2.0$  to  $2.5$   $\text{MV cm}^{-1}$ ). In addition to lower breakdown voltages, batch-to-batch variation for  $\text{Zr}_{0.90}\text{Al}_{0.10}\text{O}_y$  devices was high, suggesting the formation of microcrystallites beneath the detection limit of GIXRD for these films. In contrast, devices fabricated from more Al-rich  $\text{Zr}_x\text{Al}_{1-x}\text{O}_y$  films ( $x \leq 0.25$ ) experience breakdown at higher electric fields ( $\sim 3$   $\text{MV cm}^{-1}$ ), with  $\text{AlO}_y$  devices breaking down at  $\sim 3.7$   $\text{MV cm}^{-1}$ .

Additionally,  $\text{ZrO}_y$ -based MIS devices display the highest leakage current densities at all electric fields, in agreement with the relatively high loss  $\delta$  measured for  $\text{ZrO}_y$ -based devices annealed at  $500$  °C (Fig. 6b). We attribute this to the formation of crystallites and the presence of grain boundaries in films annealed at this temperature.<sup>34</sup> Notably, incorporation of Al significantly decreases the leakage current densities of ZAO-based devices at all electric fields.  $\text{Zr}_x\text{Al}_{1-x}\text{O}_y$  films with  $0.25 \leq x \leq 0.90$  show similar low leakage current densities, while  $\text{AlO}_y$  films exhibit the lowest leakage current densities. Together, the MIS characterization suggests that  $\text{Zr}_{0.75}\text{Al}_{0.25}\text{O}_y$  displays the optimal balance of a high dielectric constant and low leakage current densities.

## Conclusions

We report an all-inorganic aqueous deposition route to variable composition high- $\kappa$  zirconium aluminium oxide films with sub-nm surface roughness and tunable properties. Residual nitrate and water are fully removed from ZAO films by  $500$  °C, and incorporation of Al  $\geq 10\%$  effectively suppresses crystallization of the tetragonal zirconia phase up to  $500$  °C. Dielectric constants increase with increasing Zr content, and films with Al  $> 10\%$  exhibit low leakage current densities. For the composition range studied, the combination of high dielectric constant and low leakage current densities makes  $\text{Zr}_{0.75}\text{Al}_{0.25}\text{O}_y$  a promising candidate for microelectronic applications.

## Conflicts of interest

There are no conflicts of interest to declare.

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