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## Effect of Ti compositions for efficiency enhancement of $\text{CaTiO}_3:\text{Er}^{3+},\text{Ni}^{2+}$ broadband-sensitive upconverters†

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Improving the efficiency of upconversion (UC) materials is a hot topic in recent days due to the important applications of UC materials in photovoltaics, photonics devices, photocatalysts, sensors, biological imaging, and therapeutics. Recently, we have reported a broadband-sensitive UC emission in  $\text{Ni}^{2+}$ ,  $\text{Er}^{3+}$ -codoped perovskites. However, the applications of these perovskites are limited due to their low conversion efficiency. Herein, we realized highly improved UC efficiency in the  $\text{CaTiO}_3:\text{Er}^{3+},\text{Ni}^{2+}$  upconverter as compared to those of the previously reported  $\text{CaZrO}_3$  and  $\text{La}(\text{Ga},\text{Sc})\text{O}_3$  upconverters. Ti composition plays important roles in stabilizing divalent nickel ( $\text{Ni}^{2+}$ ) in an octahedral coordination, which is the key point for sensitization to  $\text{Er}^{3+}$  emitters. Furthermore, oxygen vacancies and consequently tetrahedral  $\text{Ni}^{2+}$  ions, which kill the luminescence, are suppressed, and as a result, the UC emission intensity is dramatically increased. The 0.1 mole Ti-deficient sample with the  $(\text{Ca}_{0.8}\text{Er}_{0.10}\text{Li}_{0.10})(\text{Ti}_{0.894}\text{Ni}_{0.002}\text{Nb}_{0.004})\text{O}_{2.8}$  composition exhibited the most intense broadband-sensitive UC emission, which was 264-fold stronger than that of the stoichiometric sample and more than 12 folds as compared to that of the previously reported  $\text{CaZrO}_3:\text{Er},\text{Ni}$  and  $\text{La}(\text{Ga},\text{Sc})\text{O}_3$  upconverters. The highest UC quantum yield of ~2.53% was realized in the optimized  $\text{CaTiO}_3:\text{Er}^{3+},\text{Ni}^{2+}$  upconverter under 1490 nm laser excitation of  $\sim 1000 \text{ W m}^{-2}$ .

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### 1. Introduction

Utilization of solar radiation is one of the hot topics of the present research for sustainable technology. The mainstream of the research is focussed on engineering materials that can convert sunlight into electricity *via* the photovoltaic effect although there is significant interest in photochemical hydrogen production and photocatalytic decomposition of contaminations using solar radiation.<sup>1,2</sup> Efficiency improvement of photovoltaics is of utmost importance to fulfil the energy demand of the present society. Semiconductors used in solar cell devices cannot absorb photons of energies below the bandgap of these semiconductors; this makes a large fraction of the solar radiation unused. Even with the optimized silicon semiconductor, 30% of the incident photons are not absorbed, but simply transmitted through a present silicon solar cell; this limits the maximum conversion efficiency of single-junction silicon solar cells to nearly 33%. The limiting conversion efficiency of a single-junction silicon solar cell, which is the mainstream of the present photovoltaics, can be surpassed if

the NIR solar radiation above the absorption edge is properly utilized.<sup>3</sup> Upconverters that absorb two or more low-energy photons with subsequent emission of a single higher-energy photon are good candidates for improving the conversion efficiency of the present solar cells.<sup>3,4</sup> An upconversion (UC) layer can be placed at the back of a bifacial solar cell, and by converting a part of the transmitted NIR photons to the wavelengths that can be efficiently absorbed by the solar cell, a remarkable enhancement of the solar cell efficiency is possible.

Due to the ladder-like discrete energy levels arising in  $4f^n$  electrons of rare-earth ions, these ion-doped upconverters exhibit a superior UC performance.<sup>5</sup> Thus, rare-earth ion-doped UC materials with a strong luminescence in the NIR to visible ranges have been extensively investigated in recent years due to their various potential applications.<sup>4,6–11</sup> However, the discreteness inevitably leads to sharp absorption peaks, leading to narrow sensitivity ranges; hence, only a small fraction of solar radiation can be utilized for the present solar cells.<sup>12,13</sup> For example,  $\text{Er}^{3+}$ -doped upconverters absorb photons of 1450–1600 nm wavelength and upconvert to the emission wavelength of 980 nm, which is perfectly suitable for c-Si solar cells.<sup>13</sup> However, a broad excitation range above the absorption edge of silicon is highly desirable such that a sufficiently large amount of solar radiation can be utilized.

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Recently, we have successfully demonstrated a broadband-sensitive UC using  $\text{Ni}^{2+}$  sensitizers and  $\text{Er}^{3+}$  emitters in the  $\text{ABO}_3$  type perovskites, such as  $\text{CaZrO}_3$  and  $\text{La}(\text{Ga},\text{Sc})\text{O}_3$ , that can absorb photons at 1100–1600 nm wavelengths range and efficiently upconvert to the c-Si solar cell absorption range.<sup>14–16</sup> Moreover, six-coordinated  $\text{Ni}^{2+}$  ions located at the centre of the  $\text{BO}_6$  octahedra of the  $\text{ABO}_3$  type perovskites harvest photons of 1100–1400 nm wavelength range and transfer the energies to the nearby  $\text{Er}^{3+}$  ions. Consequently,  $\text{Er}^{3+}$  ions upconvert to 980 nm that is within the c-Si absorption range. In addition,  $\text{Er}^{3+}$  ions themselves absorb 1450–1600 nm photons and upconvert at 980 nm. Thus, the combination of  $\text{Er}^{3+}$  and  $\text{Ni}^{2+}$  in these perovskites covers a wide sensitivity range (1100–1600 nm) as compared to the conventional  $\text{Er}^{3+}$ -only doped upconverters. If 1100–1600 nm photons are perfectly upconverted to 980 nm, about  $6.3 \text{ mA cm}^{-2}$  current density gain for a c-Si solar cell is possible that accounts for  $\sim 3.2\%$  efficiency improvement considering the  $\sim 26\%$  conversion efficiency of the optimised c-Si solar cells.<sup>17</sup>

However, the efficiency of the abovementioned broadband-sensitive upconverters is quite low at present. To improve the solar cell performance, a highly efficient upconverter is essential to realize UC under non-coherent sunlight. Recently, we have reported the guidelines for the efficient broadband-sensitive UC in the  $\text{Ni}^{2+}$ ,  $\text{Er}^{3+}$ -codoped perovskites.<sup>18,19</sup> In brief, the efficiency of these upconverters depends on the absorption extent of the active ions ( $\text{Ni}^{2+}$  and  $\text{Er}^{3+}$ ), ET extent from the  $\text{Ni}^{2+}$  sensitizers to the  $\text{Er}^{3+}$  emitters, and UC efficiency of  $\text{Er}^{3+}$  itself. We have realized the efficient  $\text{Ni}^{2+} \rightarrow \text{Er}^{3+}$  ET in the  $\text{CaZrO}_3$  and  $\text{La}(\text{Ga},\text{Sc})\text{O}_3$  perovskites by optimizing the active ion concentrations and tuning the Ni–O bond length; this remarkably intensified the UC emission.<sup>15,18</sup> The absorption extent of the active ions used to harvest the excitation energy is highly dependent on the host matrix. When the host crystal structure is more distorted, the forbidden f-f transitions of the  $4f^n$  configuration are partially allowed; this makes the absorption and emission more intense.<sup>5</sup> Herein, we selected a  $\text{CaTiO}_3$  host that is a member of the  $\text{ABO}_3$  type perovskites with a distorted orthorhombic crystal structure. The  $\text{CaTiO}_3$  crystal structure is more distorted as compared to those of  $\text{CaZrO}_3$  and  $\text{LaGaO}_3$  due to a larger A/B ionic radius ratio resulting in tilted  $\text{TiO}_6$  octahedra to fit in the dodecahedral  $\text{CaO}_8$  cavities.<sup>20,21</sup> Thus, more pronounced f-f transitions of the  $4f^n$  configuration of  $\text{Er}^{3+}$  ions are expected.

Herein, we report many folds enhanced UC emission intensity in the  $\text{CaTiO}_3:\text{Ni}^{2+},\text{Er}^{3+}$  as compared to that of the previously reported upconverters, and it can be explained on the basis of (1) increased absorption of active ions in the more distorted  $\text{CaTiO}_3$  host as compared to that in the previously reported  $\text{CaZrO}_3$  and  $\text{LaGaO}_3$  hosts, (2) a narrow  $\text{Ni}^{2+}$  emission band with larger Stokes shift in  $\text{CaTiO}_3$ , which facilitates forward ET from  $\text{Ni}^{2+}$  to  $\text{Er}^{3+}$  and suppresses the energy back transfer (EBT), *i.e.* unidirectional ET, and (3) increased emission efficiency of  $\text{Er}^{3+}$  itself in the more distorted  $\text{CaTiO}_3$  host. Importantly, when Ti-deficiency was introduced, the emission efficiencies of  $\text{Ni}^{2+}$  and  $\text{Er}^{3+}$  dramatically increased. Ni valence, Ni-associated defects, and  $\text{Er}^{3+}$  emission efficiency played

significant roles in the improvement of the UC emission intensity. The detailed mechanism of the UC emission intensification with the Ti-compositions has been discussed.

## 2. Experiments

### 2.1 Synthesis of samples

We synthesized the powder samples of  $\text{CaTiO}_3$  codoped with Er and Ni, and the control samples doped with Ni or Er only. Because  $\text{Er}^{3+}$  ions substitute the  $\text{Ca}^{2+}$  sites and  $\text{Ni}^{2+}$  ions substitute the  $\text{Ti}^{4+}$  sites, the monovalent  $\text{Li}^{+}$  ions with equivalent amount to  $\text{Er}^{3+}$  ions and  $\text{Nb}^{5+}$  ions in a double amount to  $\text{Ni}^{2+}$  ions were incorporated, unless otherwise stated. All the doped ions were subtracted from the host ions to be substituted. We varied Ti contents in a range  $0.8 \leq \text{Ti} \leq 1.15$  to prepare various Ti-compositions, while keeping all other parameters constant. The samples are termed as Ti-deficient when the ratio of the B-site ions ( $\text{Ti} + \text{Ni} + \text{Nb}$ ) to the A-site ions ( $\text{Ca} + \text{Er} + \text{Li}$ ) is lower than unity, whereas if the ratio is higher than unity, the samples are termed as Ti-excess compositions. The powder samples were synthesized using metal-oxide or carbonate precursor powders using a solid state reaction method. Appropriate amounts of oxide/carbonate (Kojundo Kagaku, Japan) precursor powders were mixed well using a small amount of ethanol and dried at room temperature to evaporate the solvent. Then, the dry powders were heat-treated at  $1300^\circ\text{C}$  for 6 h in air for reaction and crystallization. Thus, the powders of the target materials were synthesized. As the synthesized powders might contain a mixture of  $\text{Ni}^{2+}$  and  $\text{Ni}^{3+}$ , post-annealing of the powder samples was carried out at  $800^\circ\text{C}$  in a  $\text{N}_2$  gas flow to convert  $\text{Ni}^{3+}$  ions to  $\text{Ni}^{2+}$  ions. We did not observe  $\text{Ni}^{3+}$ -related absorption bands in all the samples after  $\text{N}_2$ -reduction process; this confirmed that most of the doped Ni stabilized as  $\text{Ni}^{2+}$  ions.

### 2.2 Material characterization and optical measurements

The crystalline structure was identified by XRD using  $\text{Cu-K}\alpha$  line and a  $\theta$ - $2\theta$  method. The JADE software was used for structural identifications and refinements. XANES spectra were obtained to identify the valence of the host ions and their structural geometries in the Toyota beamline BL33XU at SPring-8 light sources.<sup>22,23</sup>

The samples with a sandwich structure of silica glass/powder (0.5 mm in thickness)/silica glass were prepared for optical measurements. The absorption spectra of  $\text{Er}^{3+}$  and  $\text{Ni}^{2+}$  were investigated by measuring the diffuse reflectance spectra of the samples using an integrating sphere. Stokes and UC emission spectra were obtained under continuous wave (CW) laser diode (emitting at 1180 and 1490 nm) excitations using a suitable bandpass and cut-off filters. An integrating sphere and Si- and InGaAs charged-coupled devices (CCDs) were used to determine the internal quantum yield (QY). The detail of the QY measurements is summarized in the ESI.† To evaluate the ET rates, time-resolved measurements of the emission intensity were carried out. An optical parametric oscillator (OPO) pumped by the third harmonic of a Nd-YAG laser (7 ns pulse



duration) was employed for this purpose. Wavelength-dependent UC sensitivities were measured using the same OPO by varying the excitation wavelengths at desired values. Si and InGaAs photodiodes and suitable bandpass filters were used to obtain the emitted photons, and the output signal was accumulated using a storage oscilloscope.

### 3. Results and discussion

UC emission spectra of  $\text{CaTiO}_3$ : $x$  mol% Er, 0.2 mol% Ni excited at 1490 nm (direct  $\text{Er}^{3+}$  excitation) and 1180 nm (through indirect  $\text{Ni}^{2+}$  excitation) are shown in Fig. 1(a) and (b), respectively. A clear UC emission at around 980 nm, which is the characteristic of  $\text{Er}^{3+}$ , was observed under both the  $\text{Er}^{3+}$  and  $\text{Ni}^{2+}$  excitations. Under the 1180 nm excitation, the excitation energies were absorbed by  $\text{Ni}^{2+}$  ions and then transferred to  $\text{Er}^{3+}$  ions, followed by  $\text{Er}^{3+}$  UC at 980 nm.<sup>14,15</sup> ET mechanisms have been discussed in details elsewhere in very similar  $\text{Er}^{3+}$ ,  $\text{Ni}^{2+}$ -codoped upconverters.<sup>19,24</sup> The dependence of the UC emission intensity on the  $\text{Er}^{3+}$  concentration is also presented in the insets of Fig. 1. It shows that the intensity increased super-quadratically with the  $\text{Er}^{3+}$  concentration when the sample was excited at 1490 nm. The absorbance increased with the  $\text{Er}^{3+}$  concentration, as shown in Fig. S1 (ESI†), which was equivalent to more intense excitation. Since UC is a two photon process, as clearly seen in Fig. 1(c), the UC intensity would be proportional to the square of the  $\text{Er}^{3+}$  concentration. In addition, the probability of the energy transfer UC (ETU) also increases with the

$\text{Er}^{3+}$  concentration because energy migration among  $\text{Er}^{3+}$  ions ( ${}^4\text{I}_{11/2}$  states) is more significant.<sup>25,26</sup> The UC emission intensity exhibited super-quadratic relation on the  $\text{Er}^{3+}$  concentration; this suggested that the ETU was the dominant process.<sup>24</sup> On the other hand, the absorbance was almost constant under the 1180 nm excitation (since  $\text{Ni}^{2+}$  concentration was constant). The increased UC intensity with the  $\text{Er}^{3+}$  concentration is due to increased  $\text{Ni} \rightarrow \text{Er}$  ET efficiency (see ESI,† Fig. S2 for the ET efficiency with the  $\text{Er}^{3+}$  concentrations) and ETU probability.<sup>19</sup> Both were increasing as a function of the  $\text{Er}^{3+}$  concentration. Thus, the dependence of the UC emission intensity on the  $\text{Er}^{3+}$  concentration was weaker than that under the 1490 nm excitation; hence, the UC emission intensity exhibited the maximum at a lower  $\text{Er}^{3+}$  concentration (10 mol%) than that under the 1490 nm excitation (15 mol%). The UC emission intensity also increased with the  $\text{Ni}^{2+}$  concentration when the sample was excited at the  $\text{Ni}^{2+}$  absorption band, as shown in the ESI, Fig. S3.† It is obvious that the absorbance increases with the  $\text{Ni}^{2+}$  concentration (ESI, Fig. S3(c)†); this leads to an increase in the energies transferred to  $\text{Er}^{3+}$  ions and hence stronger  $\text{Er}^{3+}$  UC emissions. However,  $\text{Ni} \leftarrow \text{Er}$  EBT and energy dissipation through defects increased at higher doping concentrations that reduced the UC emission. Thus, these trade-off relationships determined the overall UC emission intensity. We have optimized the doping concentrations of Er and Ni for the most intense UC emission intensity and found  $\sim$ 15 mol% Er and  $\sim$ 0.20 mol% Ni for the  $\text{CaTiO}_3$  host.

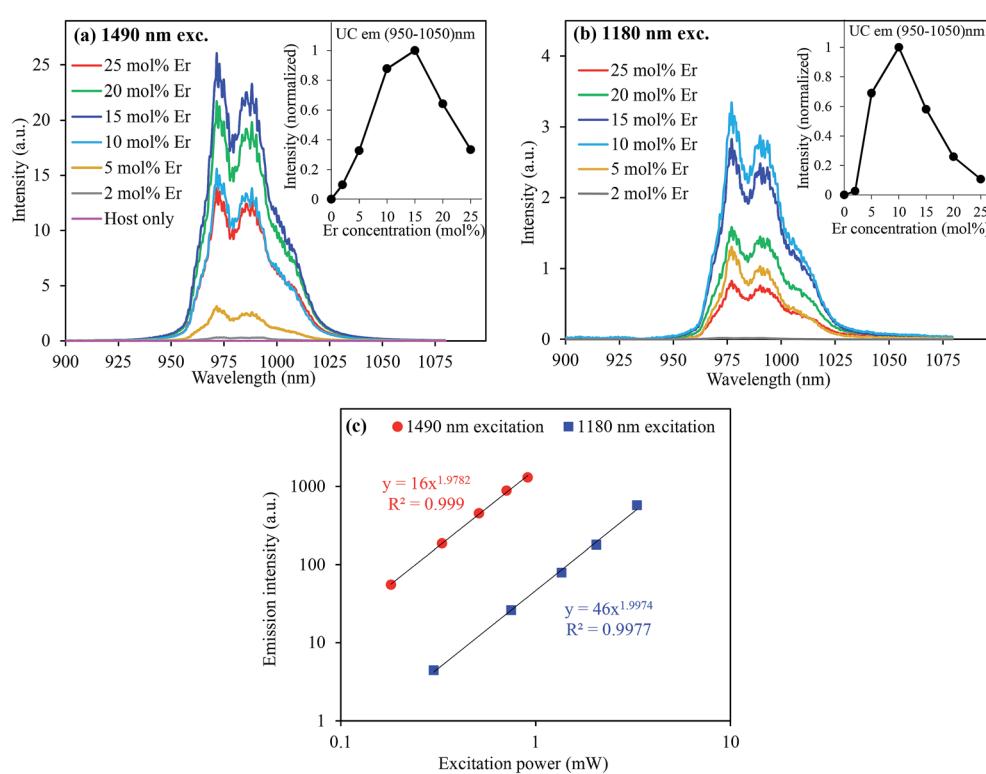


Fig. 1 UC emission spectra of  $\text{CaTiO}_3$ : $x$  mol% Er, 0.2 mol% Ni excited at (a) 1490 nm and (b) 1180 nm. The insets show the integrated UC emission intensity dependency on the Er concentrations. (c) Excitation power-dependent UC emission intensities.



When the tetravalent  $Ti^{4+}$  ions were substituted by the divalent  $Ni^{2+}$  ions (the substitution of  $Ti^{4+}$  by  $Ni^{2+}$  was confirmed by the XRD data of various Ni-substituted samples where the increased lattice parameters and cell volume were observed when the bigger  $Ni^{2+}$  ions ( $\sim 0.69$  Å) substituted the smaller  $Ti^{4+}$  ions (0.60 Å), as shown in Fig. S4 (ESI†)), oxygen vacancies were created to maintain charge neutrality, as illustrated in the scheme in Fig. 2 ( $Ti^{4+} = Ni^{2+} + V_O'$ ). These oxygen vacancies would cause parasitic absorption and non-radiative relaxation of the excited energies. If the amount of oxygen vacancies is quite large, clustering occurs<sup>27</sup> and tetrahedral  $Ni^{2+}$  ions are formed, as shown in Fig. 2(a). Alternatively, to minimize the oxygen vacancies,  $Ni^{2+}$  ions would change to  $Ni^{3+}$  ions.<sup>15</sup> The energy levels of  $Ni^{3+}$  and tetrahedral  $Ni^{2+}$  ions are low lying than the  $^4I_{13/2}$  level of  $Er^{3+}$  ions.<sup>28,29</sup> This favours rapid relaxation of the excited energies to the ground state within  $Ni^{2+}$  ions rather than the ET to  $Er^{3+}$  ions. Only the energy levels of  $Ni^{2+}$  ions with an octahedral coordination are higher than the  $I_{13/2}$  level of  $Er^{3+}$  ions; hence,  $Ni^{2+}$  ions can transfer the energies to  $Er^{3+}$  ions and contribute to the UC process.<sup>15</sup> The appearance of a broad absorption band in the visible range (Fig. 3(a)) for the Er, Ni-codoped samples confirmed that these defects existed. Furthermore, a quite broad absorption band in the entire NIR range suggests the existence of tetrahedral  $Ni^{2+}$  and/or Ni-associated defects.<sup>29</sup>

When multivalent charge compensators such as  $Nb^{5+}$  were codoped with  $Ni^{2+}$  ions, these defect-related broad absorption band in the visible range remarkably reduced, as shown in Fig. 3(a); this indicated the depletion of the oxygen vacancies ( $Ti^{4+} + 2Ti^{4+} = Ni^{2+} + 2Nb^{5+}$ ).<sup>30</sup> It has been reported that substitution with cations of a valency higher than that of  $Ti^{4+}$  in  $SrTiO_3$  creates  $Ti^{3+}$  species in the vicinity of the multivalent dopants.<sup>30</sup> Since  $Ti^{3+}$  ions are electron donor species, their presence in the vicinity of the doped Ni ions stabilizes Ni in its divalent state; furthermore, electrons donated by  $Ti^{3+}$  species occupy the oxygen vacancy sites; this retains the octahedral coordination environment for  $Ni^{2+}$  ions, and  $Ti^{4+}$  ions remain in their original position; otherwise, Ni would change to tetrahedral and other symmetries. The continuous decrease of the absorption bands intensity in the NIR range (Fig. 3(a)) confirmed the suppression of the tetrahedral  $Ni^{2+}$  ions and the associated defects. As a result of the decreased defects, the UC emission was intensified by more than 20 folds, as depicted in Fig. 3(b). However, absorption related to the oxygen vacancies, the tetrahedral  $Ni^{2+}$ , and/or Ni-associated defects could not be completely depleted even *via* codoping with sufficiently large amounts of  $Nb^{5+}$  ions. As seen in Fig. 3(a), the defect-related absorption around 400–750 nm and longer wavelengths remained significant even by codoping 1.6 mol% Nb, which was 8 times larger as compared to that of the doped Ni. Indeed, the UC emission intensity decreased at higher  $Nb^{5+}$  concentrations, exhibiting an adverse

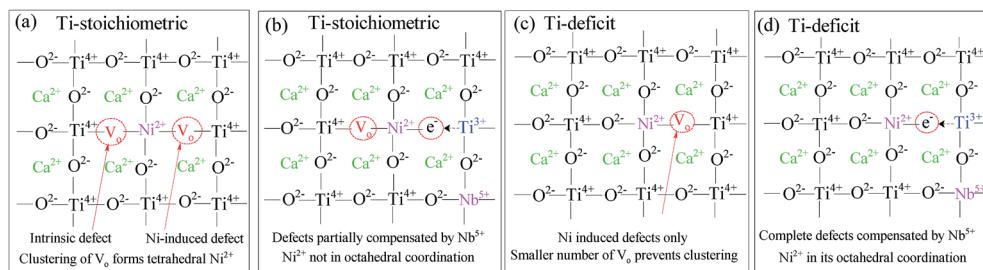


Fig. 2 Planar view of the  $CaTiO_3$  perovskite illustrating (a) the existence of the tetrahedral  $Ni^{2+}$  ions due to clustering of a large number of oxygen vacancies created intrinsically and by  $Ni^{2+}$  doping, (b) partial removal of the oxygen vacancies by  $Nb^{5+}$  codoping, (c) the oxygen vacancies created by  $Ni^{2+}$  doping alone, and (d) the complete removal of oxygen vacancies by  $Nb^{5+}$  codoping with the retention of  $Ni^{2+}$  at its octahedral coordination.

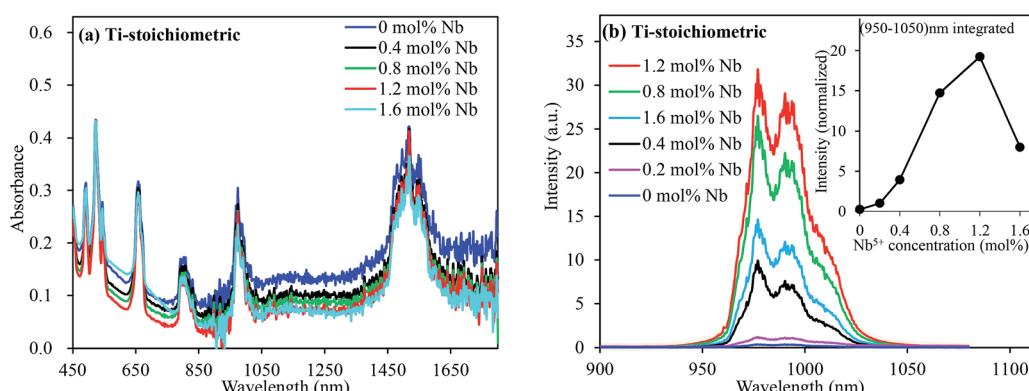


Fig. 3 (a) Absorption and (b) UC emission spectra of the  $CaTiO_3$ :15 mol% Er, 0.2 mol% Ni codoped with various concentration of  $Nb^{5+}$  as charge compensators. Inset in (b) shows the variation of integrated UC intensities with the  $Nb^{5+}$  concentrations.



effect, as shown in the inset of Fig. 3(b). It is obvious that the segregation and/or pairing of  $\text{Nb}^{5+}$  is possible; this leads to other types of defects, as observed by the pronounced absorption in the visible range for the 1.6 mol% Nb-codoped sample, as shown in Fig. 3(a), and undesired Nb-related products (Fig. S5, ESI†).<sup>31</sup> Thus, it is essential to find ways to stabilize  $\text{Ni}^{2+}$  in its octahedral coordination and completely remove the defects in the  $\text{Er}^{3+}$ ,  $\text{Ni}^{2+}$ -codoped perovskites to enhance the broadband-sensitive UC emission efficiency.

It has been well reported that alkaline earth titanates, such as  $\text{SrTiO}_3$ , contain oxygen vacancies because they are intrinsic nonstoichiometric compounds, slightly deficient in oxygen as compared to their stoichiometric composition.<sup>30,32</sup> A small amount of lattice oxygen is released to the gas phase due to the dissociation of the Ti–O bonds; this leads to the creation of oxygen vacancies along with the release of free electrons in the lattice. In the  $\text{CaTiO}_3$  host, without any aliovalent ion dopants, a clear appearance of a broad absorption band from around 400 to 800 nm confirmed the existence of oxygen vacancies (ESI, Fig. S6(a)†). The concentration of the oxygen vacancies, to which the absorbance is proportional, remarkably reduces in the Ti-deficit compositions. The low concentration of the oxygen vacancies in the Ti-deficit sample was further confirmed by a smaller weight gain during temperature increase in air, which was at least 7 times smaller than those in the Ti-stoichiometric and Ti-excess samples (ESI, Fig. S6(b)†). In the Ti-deficit

compositions, the Ti–O bond becomes stronger, as observed by a shorter Ti–O bond length, as compared to that in the Ti-stoichiometric sample, as shown in Fig. S7 (ESI†). As a result of the stronger Ti–O bond in the Ti-deficit  $\text{CaTiO}_3$  compositions, it is difficult to dissociate and release oxygen from the  $\text{CaTiO}_3$  lattice to create oxygen vacancies. Thus, we prepared  $\text{CaTiO}_3:\text{Er},\text{Ni}$  samples with various Ti-compositions to remove the intrinsic oxygen vacancies and/or related defects, and their optical properties were studied.

Fig. 4 shows the UC emission spectra of the  $\text{CaTiO}_3:\text{Er},\text{Ni}$  samples with various Ti compositions excited at 1490 nm and 1180 nm. With the decreasing Ti content, the UC emission intensity gradually increased up to  $\sim 0.10$  mole of Ti deficiency (0.90 Ti); afterwards, it started decreasing. On the other hand, the UC emission intensity remained almost unchanged for the Ti-excess compositions ( $\text{Ti} \geq 1.00$ ). The maximum UC intensity was observed for the samples with  $\sim 0.10$  moles of Ti-deficiency under both the 1490- and 1180 nm excitations. We measured the XRD patterns of these samples with various Ti-compositions, as presented in Fig. S8 (ESI†). No remarkable phase change was observed except the appearance of  $\text{Er}_2\text{Ti}_2\text{O}_7$  pyrochlore phase for the Ti-deficit compositions and a small amount of unreacted  $\text{TiO}_2$  for the Ti-excess compositions.<sup>33,34</sup> Furthermore, with the decreasing Ti content, the  $\text{Er}_2\text{Ti}_2\text{O}_7$  phase gradually increased. It suggested that the  $\text{CaTiO}_3$  phase remained stable in the wide compositions compensated by the

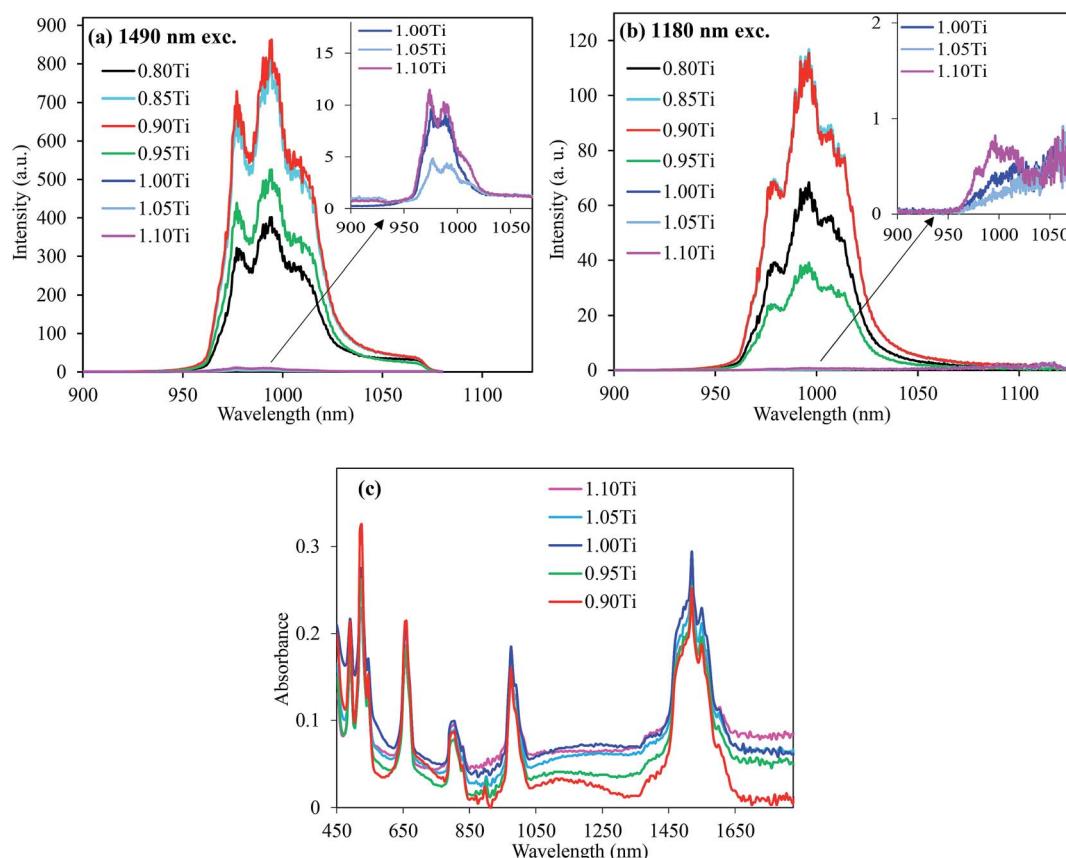


Fig. 4 UC emission spectra of the  $\text{CaTiO}_3:15$  mol% Er, 0.2 mol% Ni, 0.4 mol% Nb excited at (a) 1490 nm and (b) 1180 nm with different Ti compositions and (c) absorption spectra of the selected samples.

formation of the oxygen rich  $\text{Er}_2\text{Ti}_2\text{O}_7$  pyrochlore phase. We separately prepared  $\text{Gd}_2\text{Ti}_2\text{O}_7$  and  $\text{TiO}_2$  samples containing 15 mol% Er and  $\text{Er}_2\text{Ti}_2\text{O}_7$  sample to see the effects of these phases on the  $\text{Er}^{3+}$  UC emission intensity. All of them exhibited poor  $\text{Er}^{3+}$  UC emission intensity (weaker than that of  $\text{CaTiO}_3$ ); this indicated that the increased UC emission of Ti-deficit samples was not directly originated from these secondary phases. We also measured the XANES spectra of the Ti-deficit and Ti-stoichiometric samples and compared these with those of the standard  $\text{TiO}_2$  and  $\text{Ti}_2\text{O}_3$  samples, as shown in the Fig. S7 (ESI†). The absorption edge of the titanium atoms in both the Ti-deficit and Ti-stoichiometric samples is very similar to that of the  $\text{TiO}_2$  standard sample; this confirms that all Ti-atoms exist as  $\text{Ti}^{4+}$  ions irrespective of the small variation in Ti-compositions. Thus, the difference in the UC emission intensities of  $\text{CaTiO}_3:\text{Er},\text{Ni}$  samples with various Ti compositions was not originated due to the structural variation of the host lattice as well.

As abovementioned, large concentrations of the intrinsic oxygen vacancies exist in the Ti-stoichiometric and Ti-excess compositions (Fig. S6, ESI†).  $\text{Ni}^{2+}$  doping further increased their concentration ( $\text{CaTi}_{1-x}\text{Ni}_x\text{O}_{3-\delta}(\text{V}_\text{O})_{\delta+x}$ ). As a result of a larger concentration of the oxygen vacancies, the pairing of the vacancies around  $\text{Ni}^{2+}$  ions was preferred and the concentration of the tetrahedral  $\text{Ni}^{2+}$  ions increased. In other words, a fraction of  $\text{Ni}^{2+}$  ions with an octahedral coordination decreased. Strong absorption bands in the NIR range for the Ti-stoichiometric and Ti-excess samples in Fig. 4(c) also confirmed the existence of tetrahedral  $\text{Ni}^{2+}$  ions.<sup>29</sup> As abovementioned, the energy levels of  $\text{Ni}^{2+}$  ions in the tetrahedral and other symmetries are low lying than the  $^4\text{I}_{13/2}$  energy level of  $\text{Er}^{3+}$  ions and do not contribute to the  $\text{Er}^{3+}$  UC. On the other hand, the intrinsic defect concentration was quite low (less than 7 folds) in the Ti-deficit compositions, as discussed earlier. Indeed,  $\text{Ni}^{2+}$  doping created the oxygen vacancies and might generate the tetrahedral  $\text{Ni}^{2+}$  ions. Codoping with a small amount of  $\text{Nb}^{5+}$  ions (as charge compensator for the  $\text{Ni}^{2+}$  dopant) was enough for the complete removal of the defects; hence, no more tetrahedral  $\text{Ni}^{2+}$ /defects were observed, as seen by the complete depletion of the NIR absorption band for the 0.4 mol%  $\text{Nb}^{5+}$  ion-doped sample (ESI, Fig. S9†). Fig S10 (ESI†) shows the comparison of the UC emission intensities as a function of the  $\text{Nb}^{5+}$  concentrations for the Ti-stoichiometric and Ti-deficit samples; the saturation of the UC intensity at smaller doping concentration of  $\text{Nb}^{5+}$  for the Ti-deficit samples further suggested that no more defects were present. On the contrary, UC emission monotonically increased with  $\text{Nb}^{5+}$  concentrations for the Ti-stoichiometric sample up to 1.2 mol%, and afterwards, it decreased. Furthermore, the UC emission intensity for the Ti-deficit samples was more than 264 folds stronger than that of the Ti-stoichiometric sample (Fig. 5); this suggests that energy dissipation through the Ni-related defects and/or the tetrahedral  $\text{Ni}^{2+}$  ions still dominated even after a sufficient amount of  $\text{Nb}^{5+}$  was doped in the Ti-stoichiometric and Ti-excess samples. A quite low concentration of these defects in the Ti-deficit samples reduced the defect-related quenching and increased the UC intensity.

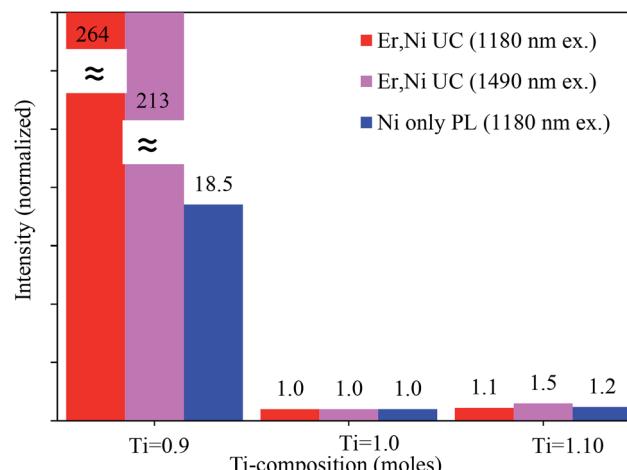


Fig. 5 Comparison of the  $\text{Er}^{3+}$  UC and  $\text{Ni}^{2+}$  Stokes emission intensities of various Ti compositions. The values for each condition are separately normalized with the stoichiometric (Ti = 1.0) sample.

We measured the  $\text{Ni}^{2+}$  Stokes emission intensities for these three samples containing 0.2 mol%  $\text{Ni}^{2+}$  and 0.4 mol%  $\text{Nb}^{5+}$  (without  $\text{Er}^{3+}$  codoping), and the integrated emission intensities are presented in Fig. 5 (see Fig. 6 for the  $\text{Ni}^{2+}$  emission spectra). It was clear that the  $\text{Ni}^{2+}$  emission (only  $\text{Ni}^{2+}$  ions with octahedral coordination contributed to 1200–1600 nm emission) was also intensified by more than 18 folds in the Ti-deficit sample as compared to those in the others. This further confirmed that a large fraction of  $\text{Ni}^{2+}$  ions in the Ti-stoichiometric and Ti-excess compositions existed as the tetrahedral or other coordination. Furthermore, the defects, which kill the luminescence, decreased not only the  $\text{Er}^{3+}$  emission but also the  $\text{Ni}^{2+}$  emission.<sup>35</sup> Moreover, Fig. 5 shows the comparison of the UC emission intensity of the Er, Ni-codoped samples when they were directly excited at the  $\text{Er}^{3+}$  absorption band wavelength (1490 nm). The UC emission for the Ti-deficit sample was 213 folds intense than that of the Ti-stoichiometric and Ti-excess samples; this revealed a very similar trend to that of the  $\text{Ni}^{2+}$  excitation at 1180 nm.

Fig. 6 shows the comparison of the  $\text{Ni}^{2+}$  Stokes emission for the Ni-only doped and Ni, Er-codoped samples for different Ti compositions under excitation at 1180 nm. For the Ti-deficit composition, the  $\text{Ni}^{2+}$  emission completely disappeared upon introducing  $\text{Er}^{3+}$ ; this confirmed that almost all the energy absorbed by  $\text{Ni}^{2+}$  transferred to  $\text{Er}^{3+}$ , as observed in the time decay measurements in the ESI, Fig. S2.† For the Ti-stoichiometric and Ti-excess compositions, a weak  $\text{Ni}^{2+}$  emission still remained, indicating that a small fraction of the energy absorbed by  $\text{Ni}^{2+}$  was not transferred to  $\text{Er}^{3+}$  ions even with a sufficiently large amount of  $\text{Er}^{3+}$  codoping (15 mol%). From the ratio of the integrated emission intensities of the Ni-only doped samples and Er, Ni-codoped samples, the ET efficiencies were estimated and are presented in Fig. 6(d). Nearly 100% Ni  $\rightarrow$  Er ET was realized in the Ti-deficit composition, whereas the ET efficiencies were  $\sim 95\%$  and  $\sim 93\%$  for the Ti-stoichiometric and Ti-excess compositions, respectively. However, these incremental differences in the ET efficiency cannot explain more than 260 folds increased UC emission for the Ti-deficit sample.

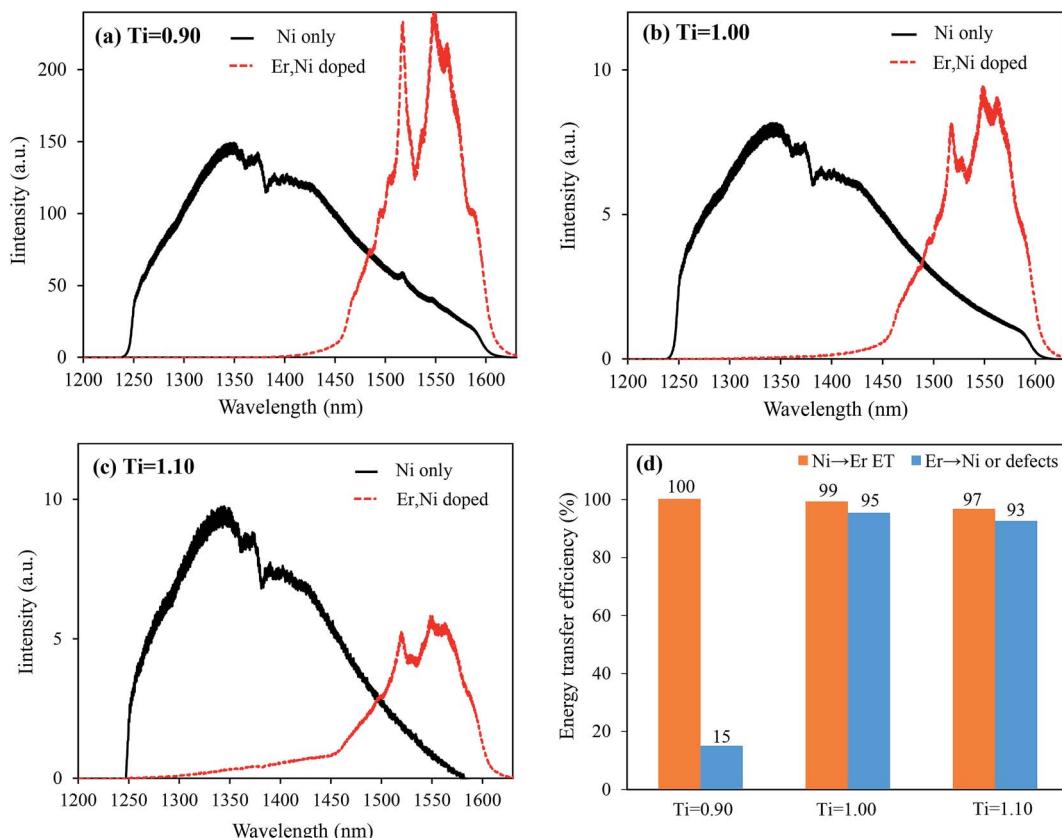


Fig. 6 (a)–(c) Comparison of the Stokes emission spectra of the Ni-only doped samples (black solid lines) to the Er, Ni codoped samples (red dotted lines) under the  $\text{Ni}^{2+}$  excitation at 1180 nm. A 1250 nm short-cut filter was used to eliminate the excitation light. (d)  $\text{Ni} \rightarrow \text{Er}$  and  $\text{Er} \rightarrow \text{Ni}$  or defect ET efficiencies estimated from the emission profiles.

As discussed in our previous reports,<sup>19,24</sup> the  $\text{Ni} \leftarrow \text{Er}$  EBT and other energy dissipation processes, such as  $\text{Er}^{3+} \rightarrow$  defects energy migration, should influence the UC performance. Fig. 7 shows the Stokes emission spectra of the Er-only doped samples in comparison with those of the Ni, Er-codoped samples under the direct  $\text{Er}^{3+}$  excitation at 1490 nm. The  $\text{Er}^{3+}$  emission intensities at around 1550 nm were comparable for all the Er-only doped samples. However, by Ni-codoping, they were reduced by different extents. For the Ti-deficit sample, the reduction of the  $\text{Er}^{3+}$  emission intensity was as low as 15%, whereas the emission intensities decreased by more than 90% for the Ti-stoichiometric and Ti-excess samples. It suggests that most of the energy stored in the  $\text{Er}^{3+}$  emitters (either transferred from the  $\text{Ni}^{2+}$  or directly absorbed by themselves) dissipates non-radiatively by Ni-codoping in the Ti-stoichiometric and Ti-excess samples because of the existence of a larger number of oxygen vacancies, which creates larger numbers of tetrahedral  $\text{Ni}^{2+}$  ions having lower energy levels. Very similar EBT from  $\text{Er}^{3+}$  to  $\text{Ni}^{2+}$  is expected in the Ti-deficit composition too, but due to quite low concentration of the oxygen vacancies and, more importantly, due to the absence of tetrahedral  $\text{Ni}^{2+}$  ions, marginal energy dissipation occurs.

We have determined the energy dissipation efficiency ( $\eta_{\text{Er} \rightarrow \text{Ni or defects}}$ ), which is defined as the ratio of  $\text{Er}^{3+}$  emission in the Er-only doped samples to that of the Er, Ni-codoped

samples, where ET to the Ni and/or Ni-associated defects are possible, that can be expressed as follows:

$$n_{\text{Er} \rightarrow \text{Ni or defects}} = 1 - \int d\lambda I_{\text{Er}(\text{Ni-codoped})} / \int d\lambda I_{\text{Er}(\text{Er-only})} \quad (1)$$

where  $I_{\text{Er}(\text{Ni-codoped})}$  and  $I_{\text{Er}(\text{Er-only})}$  are the emission intensities of the Er, Ni-codoped and Er-only doped samples, respectively. The results were compared, as shown in Fig. 6(d), and suggest that the energy dissipation through defects and/or tetrahedral  $\text{Ni}^{2+}$  was as low as 15% for the Ti-deficit sample, whereas it was more than 90% for the other samples. Thus, it was concluded that the removal of the defect-related luminescence killers and the stabilization of  $\text{Ni}^{2+}$  species in the octahedral coordination environment enhanced the overall UC emission intensities for the Ti-deficit compositions.

We compared the relative emission intensities of the broadband-sensitive upconverters that have been recently reported,<sup>14,24</sup> and the results are presented in Fig. 8. It is clear that the optimized  $\text{CaTiO}_3:\text{Er},\text{Ni}$  upconverter is more than 12 folds superior when excited at the  $\text{Ni}^{2+}$  absorption band and almost 4 folds stronger when directly excited at the Er absorption band. The increased UC intensity of the  $\text{CaTiO}_3:\text{Er},\text{Ni}$  upconverter is due to the following three factors: (1) the increased absorption of the active ions in the more distorted  $\text{CaTiO}_3$  crystal structure than that in  $\text{CaZrO}_3$ , as shown in the Fig. S11 ESI.† The  $\text{Er}^{3+}$



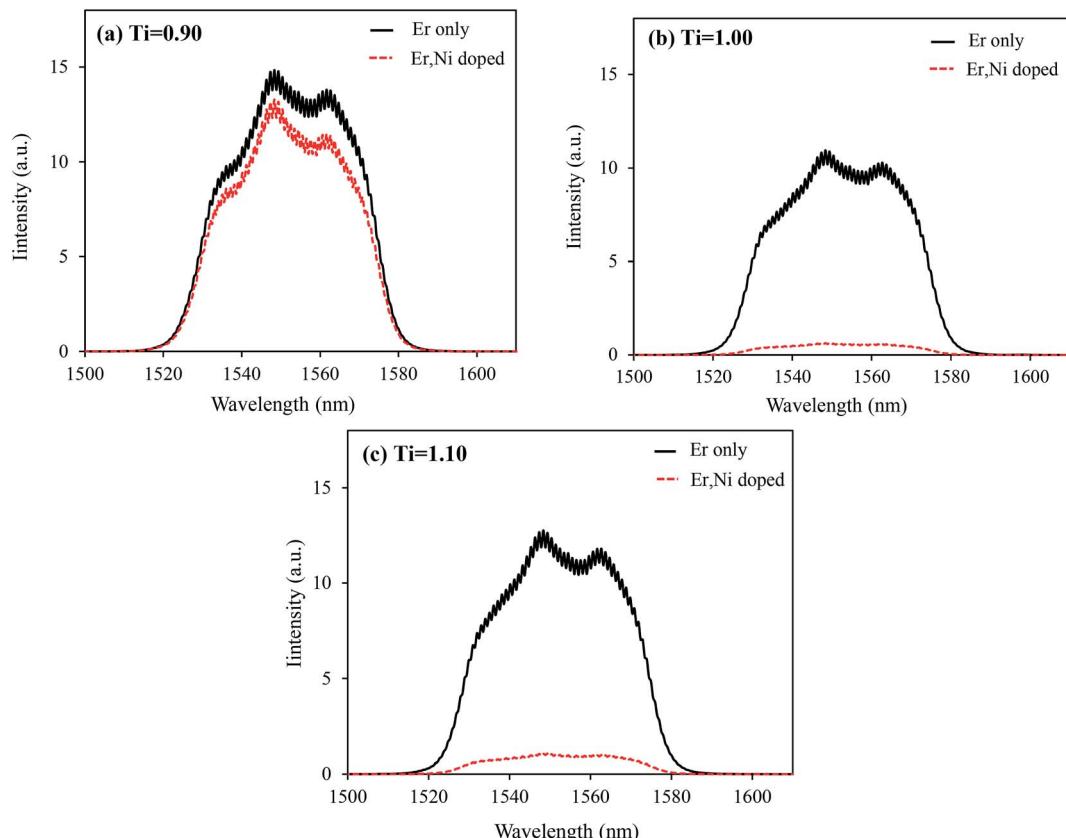


Fig. 7 Comparison of the  $\text{Er}^{3+}$  Stokes emission in the Er-only (black solid lines) and Er, Ni-codoped samples (red dotted lines) for different Ti compositions under the 1490 nm excitation (direct  $\text{Er}^{3+}$  excitation). Herein, 1525–1575 nm bandpass filter was used to eliminate the 1490 nm excitation light.

absorption at  $\sim$ 1490 nm increased by almost two folds in the  $\text{CaTiO}_3$  sample as compared to that in the  $\text{CaZrO}_3$ ; this is due to the higher f-f transitions probabilities of  $\text{Er}^{3+}$  ions in the more distorted  $\text{CaTiO}_3$  host.<sup>5</sup> Since UC is a two photon process, increase in absorption increases the UC emission in a quadratic way that results in 4-fold increased UC emission intensity. (2) The larger ET extent from  $\text{Ni}^{2+}$  to  $\text{Er}^{3+}$  that reached nearly 100% in the optimized  $\text{CaTiO}_3$ :Er,Ni upconverter as compared to only  $\sim$ 86% in the  $\text{CaZrO}_3$ :Er,Ni sample<sup>14</sup> and the minimum energy

dissipation through the EBT to  $\text{Ni}^{2+}$  and/or the defects.<sup>18</sup> (3) The enhanced  $\text{Er}^{3+}$  UC efficiency itself in the more distorted  $\text{CaTiO}_3$  sample as compared to those of  $\text{CaZrO}_3$  and  $\text{LaGaO}_3$ . The f-f transition probabilities in the emission state ( $^4\text{I}_{11/2}$  to  $^4\text{I}_{15/2}$  transition) of  $\text{Er}^{3+}$  ions also intensified in the more distorted hosts. Thus, further higher UC emission intensity is expected in the  $\text{CaTiO}_3$  sample.

Fig. 9 presents the absorption, the excitation, and the upconverted emission spectra of the  $\text{CaTiO}_3$ :15 mol% Er,0.2 mol% Ni sample. The excitation spectrum was obtained from the integrated UC intensities normalized by the square of the excitation intensities. The upconverter developed herein exhibited a broadband sensitivity and could efficiently upconvert the energies of 1060–1630 nm range to 980 nm, which was efficiently absorbed by c-Si solar cells. If all photons in the  $\text{Er}^{3+}$  absorption band ranging from 1450 to 1630 nm are perfectly upconverted, the improvement in the short-circuit current density ( $J_{\text{SC}}$ ) is  $2.4 \text{ mA cm}^{-2}$  under the AM1.5G 1 sun illumination.<sup>36</sup> The introduction of  $\text{Ni}^{2+}$  (absorption range 1060 to 1450 nm) contributes an additional gain of  $5.4 \text{ mA cm}^{-2}$  that is nearly 3 times larger than that originating from the  $\text{Er}^{3+}$  absorption alone. The present optimal c-Si solar cell conversion efficiency is  $\sim$ 26% with a  $J_{\text{SC}} \sim 40 \text{ mA cm}^{-2}$ .<sup>17</sup> Speculating a perfect UC, the newly developed  $\text{CaTiO}_3$ : $\text{Ni}^{2+}$ , $\text{Er}^{3+}$  broadband-sensitive upconverter can improve the conversion efficiency by

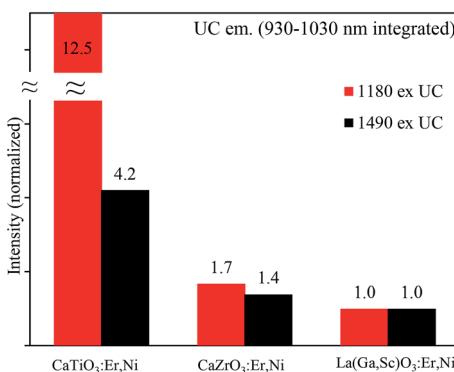


Fig. 8 Comparison of the integrated UC emission intensities for various broadband-sensitive upconverters developed.

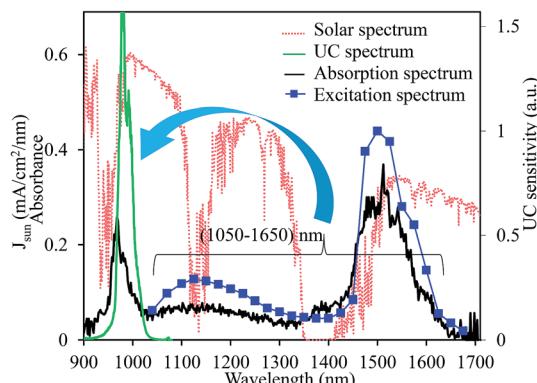


Fig. 9 Schematic of the broadband-sensitive UC in the  $\text{CaTiO}_3\text{:Ni}^{2+},\text{Er}^{3+}$  upconverter. Red dotted line shows the AM1.5G solar spectrum.

~4.8% (absolute) for the present c-Si solar cells. We measured the absolute quantum yield of the optimized  $\text{CaTiO}_3\text{:Er,Ni}$  sample excited at 1490 nm (direct  $\text{Er}^{3+}$  excitation) and 1180 nm (indirect  $\text{Ni}^{2+}$  excitation), and it was estimated to be 2.53% and 0.86%, respectively. As abovementioned, the lower value for the  $\text{Ni}^{2+}$  excitation is due to the dissipation of excitation energies through the Ni-related defects. The detail of the UC QY measurements is summarized in Fig. S12 and S13 (ESI†) and also explained in the ESI.† Although quite high QY values (5–8%) have been reported in the fluoride-based upconverters,<sup>37–39</sup> this is the highest value known to date for the oxide-based upconverters. However, further improvement of UC efficiency is essential to realize the increased c-Si solar cell efficiency, and it can be achieved by engineering suitable host structures such that  $\text{Ni}^{2+}$  absorption and emission band positions can be well tuned to surpass the reabsorption of the emitted photons at 980 nm. The absorption of  $\text{Ni}^{2+}$  and  $\text{Er}^{3+}$  ions and the UC emission efficiency of  $\text{Er}^{3+}$  itself can be improved in these engineered crystal structures that definitely improve the overall UC efficiencies. Another promising approach of realising efficient upconverters is through coupling with the novel plasmonic nanoparticles. The transition probabilities of the active ions at the absorption and emission bands can be increased by many folds through the increased electric field effects of the plasmonic nanoparticles,<sup>40</sup> and these experiments are under progress.

## 4. Conclusions

We realized a greater than 12-fold improvement in the broadband-sensitive UC emission intensity of the optimized  $\text{CaTiO}_3\text{:Ni}^{2+},\text{Er}^{3+}$  as compared to that of the previously reported  $\text{CaZrO}_3\text{:Ni}^{2+},\text{Er}^{3+}$  and  $\text{La}(\text{Ga},\text{Sc})\text{O}_3\text{:Ni}^{2+},\text{Er}^{3+}$  upconverters. The effect of Ti compositions played important roles in the improvement of UC emission. The Ti-deficient (less than 0.1 mole Ti) composition exhibited 264-fold increase in UC emission as compared to the Ti-stoichiometric and Ti-excess compositions. The increased UC emission is explained on the basis of the removal of defects (the oxygen vacancies) and the

stabilization of  $\text{Ni}^{2+}$  ions in their octahedral coordination environments such that the efficient  $\text{Ni} \rightarrow \text{Er}$  ET and the minimum energy dissipation through the tetrahedral  $\text{Ni}^{2+}$  and/or defects are possible. A UC QY of ~2.53% was achieved in the optimized upconverter while it was excited at 1490 nm, which was the highest value reported to date for the oxide-based upconverters. The broadband-sensitive upconverters absorb photons in a broad solar radiation range, *viz.* 1060–1600 nm, and emit photons at 980 nm, which is efficiently absorbed by c-Si solar cells. If all photons of 1060–1600 nm range are utilized properly, nearly  $7.8 \text{ mA cm}^{-2}$  current density gain of the present c-Si solar cells ( $\sim 40 \text{ mA cm}^{-2}$ ) is possible, which accounts for 4.8% (absolute) increased gain. However, to realize these high solar cell efficiencies, significant improvement in the conversion efficiency of these upconverters is essential.

## Conflicts of interest

There are no conflicts to declare.

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