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# Band gap opening of graphene by forming a graphene/PtSe<sub>2</sub> van der Waals heterojunction†

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Opening a band gap and finding a suitable substrate for graphene are two challenges for constructing graphene based nano-electronic devices. Recently, a new two-dimensional layered crystal PtSe2 with novel electronic properties has been efficiently synthesized by direct "selenization". In this work, we demonstrate that PtSe2 can be used as a suitable substrate for graphene by forming a graphene/PtSe2 van der Waals (vdW) heterojunction. Hybrid density functional calculations show that PtSe<sub>2</sub> as a substrate could introduce a sizeable gap of 0.264 eV into graphene, which is sufficiently large enough for overcoming the thermal excitation of electrons at room temperature. The underlying mechanism for the band gap opening of graphene is that the PtSe2 substrate can produce inhomogeneous electrostatic potential to break the symmetry of the A and B sub-lattices of graphene. By applying a vertical strain to the graphene/PtSe2 vdW heterojunction, the electronic properties of the heterojunction can be effectively tuned. As the vertical strain increases, the band gap monotonously increases and can reach as large as 0.781 eV. The tunable band gap, together with the high carrier mobility of both graphene and PtSe<sub>2</sub>, suggests the great potential of the PtSe<sub>2</sub>/graphene heterojunction in high performance field effect transistors

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### Introduction

Graphene, a single layer of carbon atoms arranged in a twodimensional (2D) honeycomb lattice,1 has attracted considerable attention for its wide range of extraordinary properties,2-6 including fantastic electronic properties, 2.3% absorption in the white light spectrum, high specific surface area, high Young's modulus, excellent thermal conductivity and so on. One of the most interesting characteristics of graphene is the massless low-energy excitations, Dirac fermions.7 Compared with ordinary electrons, Dirac fermions behave in an unusual way when subjected to a magnetic field, leading to new physical phenomena, such as the quantum Hall effect (QHE).7,8

Unfortunately, the lack of a finite band gap in graphene implies that the current can never be tuned off completely, which is a major obstacle for using graphene in logic and high speed switching devices. 9,10 The methods of opening the band gap of graphene<sup>11-21</sup> include cutting the graphene into many kinds of chiral nano-ribbon, rolling the graphene into singlewall nanotubes, 11,12 chemical functionalization and strain engineering.17 In addition, applying an external electric field to a bilayer graphene could also open a band gap,18-20 but the field strength required is too strong to be realized and controlled in the experiments.9,18,21

On the other hand, for graphene based electronic devices, the hybrid graphene/substrate vdW heterojunctions are easy to be fabricated, and the electronic properties of the graphene could be tuned by the substrate.<sup>22-37</sup> Moreover, some excellent intrinsic characteristics such as high carrier mobility could be effectively preserved. 22-30,33-36 So it is quite necessary to find out the proper substrate for graphene.<sup>22</sup> In experiments, the most commonly used substrates are SiC23-25 and SiO2,26, metals such as Cu27 and Ni28, and semiconducting MoS2,29 but they may not be the ideal substrates because of surface roughness or the smaller opening band gaps of graphene when using them. For example, it was predicted that MoS2 could open quite a small band gap.30,31 Although SiC and SiO2 are widely adopted, their surfaces are too rough.23-26

In early work, g-C<sub>3</sub>N<sub>4</sub> was proposed as a suitable substrate by forming a graphene/g-C<sub>3</sub>N<sub>4</sub> vdW heterojunction,<sup>32</sup> but g-C<sub>3</sub>N<sub>4</sub> opened the band gap of the graphene as small as 0.07 eV. Graphite was also used as the substrate in experiment, but it could only open the band gap at about 0.03 eV,33 which suggests a probable low on/off ratio for graphene. Compared with the above mentioned substrates, hexagonal boron nitride (h-BN) with a rather smooth surface showed a small lattice mismatch with graphene.34,35 However, the electronic properties of the

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graphene/h-BN vdW heterojunction strongly depend on its stacking order, which cannot be easily controlled experimentally.34-37

Very recently, a PtSe2 monolayer has been successfully synthesized through an epitaxial growth on a Pt substrate by direct "selenization". 38-40 In the unit cell of PtSe2, two Se atoms are related to each other by inversion symmetry, and one Pt atom layer is sandwiched between two Se layers, with the space group P3m1. The PtSe2 monolayer is an indirect semiconductor with a band gap of 1.96 eV. Bulk PtSe<sub>2</sub> has shown great potential in gas sensors, 39,40 high powered electronic devices (such as field-effect transistors (FETs)) with high electron mobility, 39,41,42 electrocatalysis,43 spin-layer locking materials,44 and type-II Dirac fermion materials.<sup>45</sup> The carrier mobility of graphene is as high as  $2.0 \times 10^5$  cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>, <sup>2,3</sup> and the value for PtSe<sub>2</sub> is 210 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>, which is comparable to that of MoS<sub>2</sub>. 41,42 From this point, both graphene and PtSe2 are suitable for constructing FETs. So it is interesting to know: (i) can PtSe<sub>2</sub> be used as a substrate to open a large band gap of graphene for a high on/ off ratio? (ii) Can the electronic properties of the formed graphene/PtSe2 vdW heterojunction be modulated by changing the interlayer interaction?

In this article, using a first-principles method with longrange dispersion correction,46,47 we calculated the structural and electronic properties of the graphene/PtSe2 vdW heterojunction. We found that PtSe2 can open the band gap of graphene to be as large as 0.264 eV (calculated by hybrid functionals) for the first time. The underlying mechanism for the band gap opening of graphene could be that the electrostatic potential produced by PtSe<sub>2</sub> breaks the symmetry of the A and B sub-lattices of the graphene sheet. Due to the vdW interaction between graphene and PtSe2, about 0.070e electrons are transferred from PtSe<sub>2</sub> to graphene. By changing the interlayer interaction, the vertical strain could efficiently tune the electronic properties of the graphene/PtSe<sub>2</sub> heterojunction. As the compressive vertical strains increase, the charge transfer  $(\Delta q)$  is monotonically enhanced, and the band gap at the  $\Gamma$ point can be increased to 0.781 eV because of the enhancement of the interaction between graphene and the PtSe<sub>2</sub> sheets.

### Computational methods

The calculations were performed using the first-principles framework with a plane-wave basis-set, as implemented in the Vienna Ab initio Simulation Package (VASP) code, 48,49 based on density functional theory under the generalized gradient approximation (GGA) with the Perdew-Burke-Ernzerhof (PBE) exchange-correlation functional.50 In order to well describe the weak van der Waals interactions, a van der Waals correction (DFT-D2 with pair approximation)46 developed by Grimme has been adopted, which could provide a good description of longrange vdW interactions.46,51 The calculated interlayer distance for the bilayer graphene is 3.25 Å, and the binding energy per carbon atom is about -25 meV, which are consistent with previous experimental measurements53,54 and theoretical studies55 (the binding energy, calculated by the adiabatic connection fluctuation dissipation theorem within random

phase approximation (RPA), is also -25 meV).56 The RPA method considers many body effects, which should play an important role in describing the interaction between graphene and 2D layered materials.57,58 Although the RPA method is quite accurate for describing vdW interactions, the corresponding computational cost is very high (O(N6)).59 Considering the balance between accuracy and computational cost, DFT-D2 and DFT-D3 are widely used to study the vdW heterojunction.32,46,52,60,61 Furthermore, as for bilayer graphene, DFT-D2 and DFT-D3 have given similar results with the RPA method. 52,56 For the graphene/PtSe2 heterojunction studied here, the RPA is unaffordable (30 atoms in the supercell) due to our resources limit. So we mainly use DFT-D2 and DFT-D3 in the following calculations.

The vacuum space in the z-direction is set at 15 Å to avoid the artificial interaction between the periodic images. The kinetic energy cutoff for the plane waves is set at 520 eV. Brillouin zone integration is performed using  $3 \times 3 \times 1$  and  $6 \times 6 \times 1$  Monkhorst-Pack k-grids for the structure optimization and energy calculation, respectively. A more accurate Heyd-Scuseria-Ernzerhof (HSE06) hybrid functional<sup>62,63</sup> is employed to calculate the electronic structure of the graphene/PtSe<sub>2</sub> heterojunction. In addition, 240 uniform distributed k-points, which include  $\Gamma$ , M, and K points, along the two-dimensional Brillouin zone are adopted to obtain the band structure. The geometric structures are fully relaxed until the energy and forces are converged to  $10^{-6}$  eV and 0.01 eV  $\text{Å}^{-1}$ , respectively.

#### 3 Results and discussion

The lattice parameters of the PtSe<sub>2</sub> monolayer and graphene are 3.747 Å and 2.460 Å, respectively. Herein we used a supercell model to describe the graphene/PtSe<sub>2</sub> heterojunction. We used the CellMatch program developed by Predrag<sup>64</sup> to build the geometry (more details and discussions about stacking orders can be found in Fig. S1 in the ESI†). In this model, a supercell containing a 3  $\times$  3 graphene supercell and a 2  $\times$  2 PtSe<sub>2</sub> supercell is employed, where the lattice mismatch between graphene and PtSe2 is reduced to only 1.5%. To further compensate for the lattice mismatch, the lattice of PtSe2 is compressed with 1.5% biaxial strain, while that of graphene is fixed, given the quite high Young's modulus of graphene (more details can be found in Fig. S2 in the ESI†). It is noted that the artificial strain introduced to match the lattice parameters will not affect the main conclusions.

#### 3.1 Geometric structure and electronic properties of the PtSe<sub>2</sub> monolayer

First, we calculated the electronic properties of the PtSe<sub>2</sub> monolayer as a benchmark. The optimized geometry and band structure of the PtSe2 monolayer are shown in Fig. 1. The Pt-Se bond length was 2.53 Å, and the spacing between the Se sublayers in the Se-Pt-Se sandwich was found to be 2.62 Å, which are in agreement with experimental and theoretical values. 38,44,45 The band structure calculated by the PBE functional is plotted in Fig. 1(c), which shows an indirect gap of 1.40 eV in Paper

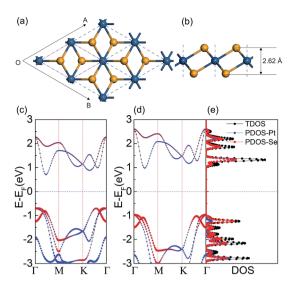


Fig. 1 (a) Top and (b) side views of the optimized structure of the PtSe<sub>2</sub> monolayer shown in a  $2 \times 2$  supercell, where the blue and orange balls represent the Pt and Se atoms, respectively. (c-e) Band structure and density of states of the PtSe<sub>2</sub> monolayer calculated by (c) PBE and (d and e) the HSE06 functional. The Fermi level ( $E_{\rm F}$ ) was set to 0 eV. The size of the red and blue dots represents the weights of the Se and Pt atoms, respectively. The  $\Gamma$ , M, and K points are (0, 0, 0), (0, 1/2, 0), and (1/3, 1/3, 0), respectively.

accordance with previous results.38,45 Considering that the commonly used PBE functional could not give reliable band gap values for the semiconductors, the hybrid functional (HSE06) was adopted to calculate the electronic structure, which gives a band gap value of 1.96 eV for the PtSe2 monolayer. From Fig. 1(d), we can see that the valence band maximum (VBM) is located at the  $\Gamma$  point, while the conduction band minimum (CBM) is located between the  $\Gamma$  and M points, which is consistent with the quasiparticle calculations by the GW approach. 65 The calculated partial density of states clearly shows that the VBM of PtSe<sub>2</sub> is mainly contributed to by the  $p_x$  orbital of the Se and Pt atoms, while the CBM is composed of the  $d_{x^2-y^2}$  and  $d_{xy}$ orbitals of Pt and  $p_x$  orbital of the Se atoms (more details are shown in Fig. S3 in the ESI†). In order to test the reliability of the HSE06 functional, the band structure of graphene was also calculated using the HSE06 functional. The graphene was still 0 eV semimetal, and there was still a Dirac cone at the K point (more details can be found in Fig. S4 in the ESI†).

#### The geometries of the graphene/PtSe<sub>2</sub> heterojunction

In the graphene/PtSe<sub>2</sub> vdW heterojunction, as shown in Fig. 2(a) and (b), the calculated equilibrium distance between the graphene and PtSe<sub>2</sub> layers with the PBE functional is 3.29 Å, which is larger than the corresponding values for graphene on Cu (3.26 Å), 30 Ni (2.05 Å), 30 and  $C_3N_4$  (3.03 Å), 32 but slightly smaller than those for graphene/MoS<sub>2</sub> (3.32 Å)<sup>31</sup> and graphene/h-BN (3.40 Å) heterojunctions<sup>35</sup> (see Table 1). The total binding energy  $(E_b)$  is given by the following equation:

$$E_{\rm b} = E_{\rm Graphene/PtSe_2} - E_{\rm Graphene} - E_{\rm PtSe_2}$$

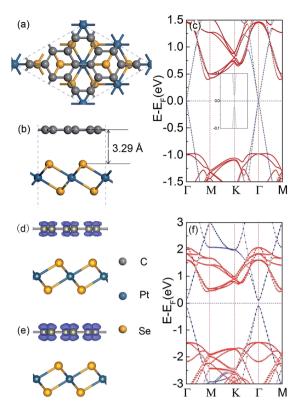


Fig. 2 (a) Top and (b) side views of the optimized geometry of the graphene/PtSe2 vdW heterojunction with pattern i. Band structure of graphene/PtSe2 vdW heterojunction calculated by the (c) PBE functional and (f) HSE06. Grey, blue and orange balls represent C atoms of the graphene and Pt and Se atoms of the PtSe2 monolayer, respectively. The size of the red and blue dots represents the weights of PtSe2 and graphene, respectively. The inset in (c) presents the magnified band structure at the  $\Gamma$  point. (d and e) Side views of the charge density of the (d) CBM and (e) VBM of the graphene/PtSe2 heterojunction. The isovalue is  $0.02 e Å^{-3}$ 

where  $E_{\text{Graphene/PtSe}_2}$ ,  $E_{\text{Graphene}}$ , and  $E_{\text{PtSe}_2}$  are the total energies of the optimized graphene/PtSe2 heterojunction, isolated graphene and  $PtSe_2$  monolayers, respectively. A negative  $E_b$  of -0.940 eV (corresponding to -31.3 meV per atom) is obtained,

Table 1 Geometric and electronic properties of graphene related heterojunctions, including the distance between the two sheets, binding energy per atom  $(E_b)$ , and energy band gap  $(E_{gap}$  under PBE) of graphene opened by the substrate. The  $E_{gap}$  of graphene/PtSe<sub>2</sub> calculated under HSE06 is shown in brackets

System	Distance (Å)	$E_{\rm b}$ (meV)	$E_{\rm gap}$ (eV)
H-SiO <sub>2</sub> <sup>a</sup>	2.91	-12.8	0.023
$O-SiO_2^a$	2.89	-14.6	0.044
$Cu^b$	3.26	-33.0	0
$\mathrm{Ni}^c$	2.05	-125.0	0
$MoS_2^{d}$	3.32	-23.0	0.002
$C_3N_4^{e}$	3.30	-34.0	0.070
h-BN <sup>f</sup>	3.22	-14.0	0.030
$PtSe_2$	3.29	-31.3	$0.038\ (0.264)$

<sup>&</sup>lt;sup>a</sup> Ref. 56. <sup>b</sup> Ref. 26. <sup>c</sup> Ref. 27. <sup>d</sup> Ref. 30. <sup>e</sup> Ref. 31. <sup>f</sup> Ref. 33.

**RSC Advances** 

indicating that the formation of the heterojunction is energetically favorable. The interlayer interaction is stronger than that in the graphene/ $MoS_2$  heterojunction (-23.0 meV),<sup>31</sup> but weaker than that in the graphene/ $C_3N_4$  heterojunction (-34.0 meV).<sup>32</sup>

#### 3.3 Electronic structure, gap-opening and charge transfer

We next investigated the electronic properties of the graphene/  $PtSe_2$  heterojunction. The calculated band structure, as shown in Fig. 2(c), suggests that the graphene/ $PtSe_2$  heterojunction is a semiconductor with a direct band gap of 0.038 eV at the  $\Gamma$  point (calculated by PBE). This is in conformity with the result of the DFT-D3 method by  $Grimme^{47}$  (see Fig. S5†). Note that both the VBM and CBM mainly consist of the  $p_z$  orbital of graphene (see Fig. 2(d) and (e)).

It is well known that in isolated graphene, linear-dispersion Dirac cones are located at K (1/3, 1/3, 0) and K' (-1/3, 1/3, 0) in the momentum space. Within the 3  $\times$  3 supercell, the original K point in graphene is folded to the  $\Gamma$  point. Compared with the isolated graphene monolayer, the linear dispersion relation near the Dirac point is not preserved in the graphene/PtSe<sub>2</sub> heterojunction, indicating an electronic transition of graphene from semimetal to semiconductor. In order to investigate the physical origin of the band opening of graphene, we examined the electrostatic potential of PtSe<sub>2</sub>. PtSe<sub>2</sub> creates an inhomogeneous electrostatic potential over the graphene layer, thus breaking the symmetry of the original equal A and B sub-lattices. This removes the degeneracy of the  $\pi$  and  $\pi^*$  bands at the  $\Gamma$  point.

The opened gap in graphene on PtSe2 is 0.038 eV (calculated by PBE), which is comparable with those in graphene on hydrogen (0.023 eV) and oxygen-terminated (0.044 eV) SiO<sub>2</sub>,66 and MoS<sub>2</sub> (0.002 eV), <sup>31</sup> (calculated by PBE), as shown in Table 1. It is widely known that the band gap values of semiconductors are usually underestimated by the PBE functional.38,63,67 To address this issue, the hybrid functional HSE06 was used to calculate the electronic properties of the graphene/PtSe2 heterojunction. The calculated band structure under HSE06 is shown in Fig. 2(f) with weights of graphene and PtSe2. The HSE06 band gap of the heterojunction (0.264 eV) is much larger than the gaps of graphene on graphite (0.03 eV)33 and h-BN substrates (0.03 eV), observed in experiments. 34,68-70 Furthermore, the band gap opening under equilibrium conditions is very robust. As for the shorter distances of 3.19 and 3.24 Å, as well as the longer distances of 3.34 and 3.39 Å, the corresponding energy gap calculated by HSE06 is 0.269, 0.267, 0.258 and 0.252 eV, respectively. This indicates that the slight variation in equilibrium distances obtained by different methods would not affect the large band gap opening. This large and robust gap, in combination with the high carrier mobility of graphene and PtSe<sub>2</sub>, suggests that the graphene/PtSe<sub>2</sub> heterojunction could be a promising candidate material for FET applications.71

To investigate the interlayer coupling between graphene and PtSe<sub>2</sub>, we also calculated the differential charge density between graphene and PtSe<sub>2</sub>. The *xy*-plane-averaged differential charge density is defined according to the following formula:

$$\Delta \rho(z) = \rho_{\text{graphene/PtSe}_2}(z) - \rho_{\text{graphene}}(z) - \rho_{\text{PtSe}_2}(z)$$

where  $\rho_{\rm graphene/PtSe_2}(z)$ ,  $\rho_{\rm graphene}(z)$ , and  $\rho_{\rm PtSe_2}(z)$  are the plane-averaged charge densities of the graphene/PtSe<sub>2</sub> heterojunction, the isolated graphene layer, and the isolated PtSe<sub>2</sub> layer, respectively. The top and side views of the differential charge density are shown in Fig. 3(a) and (b). From these figures, we find that the charge density is redistributed by forming electron-rich and hole-rich regions between the graphene and PtSe<sub>2</sub> sheets. The electron-rich hexagonal and circular-shaped regions locate near the graphene sheet, while hole-rich circular-shaped regions locate near the PtSe<sub>2</sub> sheet. Graphene gets 0.070e electrons from PtSe<sub>2</sub> by integrating the plane-averaged differential charge density (shown in Fig. 3(c))<sup>52</sup> using the following formula:

$$\begin{split} \Delta q &= \int_0^{R_{\rm cut}} \Delta \rho(z) \mathrm{d}z \\ &= \int_0^{R_{\rm cut}} \left[ \rho_{\rm graphene/PtSe_2}(z) - \rho_{\rm graphene}(z) - \rho_{\rm PtSe_2}(z) \right] \mathrm{d}z \end{split}$$

where  $R_{\rm cut}$  is defined as a graphene-PtSe<sub>2</sub> cutoff distance from graphene to the point between graphene and PtSe<sub>2</sub>, at which charge depletion changes to charge accumulation. More details can be found in Fig. S6 in the ESI.† Similar electron-transfer phenomena also appear in the graphene/ $C_3N_4$  (ref. 32) and graphene/h-BN heterojunctions.<sup>68</sup>

#### 3.4 Effect of the vertical strain

The vertical strains which can be easily achieved in the vdW heterojunctions experimentally by the technique of diamond anvil cells<sup>72</sup> have been widely used to modulate the electronic properties of the vdW heterojunctions.<sup>31,52,73,74</sup> So the strain effect on the geometric and electronic properties of the graphene/PtSe<sub>2</sub> heterojunction is also investigated. Given that the gap opening of graphene comes from the break of the sublattice

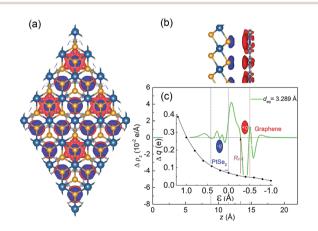


Fig. 3 Charge transfer at the graphene/PtSe<sub>2</sub> interface: (a) top and (b) side views of the charge density difference of the graphene/PtSe<sub>2</sub> heterojunction. The blue and red represent the electron depletion and accumulation with respect to the isolated graphene and PtSe<sub>2</sub>, respectively. The isovalue chosen to plot the isosurfaces is set at 0.06 e Å<sup>-3</sup>. (c) Plane-averaged differential charge density. Charge transfer  $\Delta q$  is calculated by integrating  $\Delta \rho(z)$  from 0 Å to the  $R_{\rm cut}$  labeled by the arrow, and the inset presents the changes of  $\Delta q$  with the vertical strains.  $R_{\rm cut}$  is defined as the critical point.

symmetry of graphene by the different electronic potentials acting on graphene, the vertical strain, which is supposed to be able to effectively tune the onsite energy, may further increase the size of the gap in the graphene/PtSe<sub>2</sub> heterojunction, achieving a high on/off ratio. Here, the vertical strain is defined as  $\varepsilon = d_0 - d$ , where  $d_0$  is the equilibrium interlayer distance between the graphene and PtSe<sub>2</sub> sheets, and d is the actual interlayer distance after applying the vertical strain. Clearly,  $\varepsilon < 0$  corresponds to enlarged strain, while  $\varepsilon > 0$  corresponds to compressive strain. As the interlayer distance d increases, the binding energy decreases slowly, as shown in Fig. 4(a).

For enlarged  $\varepsilon = -0.2$ , -0.4, -0.6, -0.8, and -1.0 Å, the corresponding  $E_{\rm b}$  values are -0.030, -0.027, -0.024, -0.020 and -0.018 eV, respectively. Under compressive strain,  $E_{\rm b}$  quickly increases to 0 eV when the distance between the PtSe<sub>2</sub> and graphene sheets approaches 2.69 Å ( $\varepsilon = 0.6$  Å). When  $\varepsilon > 0.6$  Å,  $E_{\rm b}$  becomes positive, implying that the interaction between graphene and PtSe<sub>2</sub> becomes repulsive. For  $\varepsilon = 0.8$ , 1.0, and 1.2 Å, the corresponding  $E_{\rm b}$  values are as large as 0.972, 2.428 and 4.469 eV, respectively, indicating that the repulsive interaction is rapidly enhanced.

The change in the interaction between the PtSe<sub>2</sub> and graphene layers caused by the orbital hybridization between them would be reflected by the intensity of the charge transfer between them. To explore the charge transfer process, the differential charge density of the graphene/PtSe<sub>2</sub> heterojunction was calculated, as shown in Fig. 4(b)–(e) for the selected strains. For  $\varepsilon=0$  Å ( $d_{\rm eq}=3.29$  Å), there is about a 0.070e amount of charge transferred from PtSe<sub>2</sub> to graphene. As the distance between the graphene and PtSe<sub>2</sub> sheets decreases, the charge transfer is obviously intensified due to the enhanced hybridization between the p<sub>z</sub> orbital of graphene and the p orbital of PtSe<sub>2</sub>, as shown in Fig. S7.† Similar phenomena also appear in other vdW heterojunctions, such as in the C<sub>2</sub>N/MoS<sub>2</sub> vdW

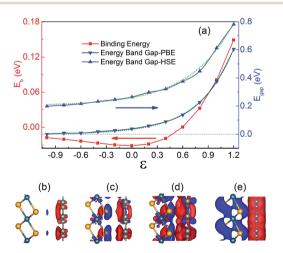


Fig. 4 (a)  $E_{\rm b}$  and  $E_{\rm gap}$  of the graphene/PtSe<sub>2</sub> heterojunction as a function of vertical strains. The olive and green dots represent the fitted exponential curves calculated by PBE and HSE06, respectively. The side views of the differential charge density of the heterojunction under vertical strains of (b) -0.4, (c) 0.4, (d) 0.8 and (e) 1.2 Å. The isovalue chosen to plot the isosurfaces is set at 0.06 e Å<sup>-3</sup>.

heterojunction.<sup>52</sup> We also evaluated  $\Delta q$  as a function of strains, as shown in the inset of Fig. 3(c). For  $\varepsilon=0.4$ , 0.8, and 1.2 Å, the corresponding  $\Delta q$  was 0.108, 0.196, and 0.387e, respectively. Such enhanced charge transfer under compressive vertical strain is expected to efficiently tune the electronic properties of the graphene/PtSe<sub>2</sub> heterojunction.

The calculated electronic band gap at the  $\Gamma$  point ( $E_{\rm gap}$ ) of the graphene/PtSe<sub>2</sub> heterojunction as a function of the vertical strain is shown in Fig. 4(a). As the compressive vertical strain increases, the corresponding  $E_{\rm gap}$  monotonously increases, and the physical picture is founded on symmetry-breaking caused by the substrate potential.

For the  $\varepsilon$  values from -1.0 Å to 1.2 Å, the  $E_{\rm gap}$  under PBE as a function of strain is found to follow an exponential relationship:  $E_{\rm gap} = 0.003 + 0.032 \times \exp(2.451\varepsilon)$ , while under HSE06, a different exponential relationship:  $E_{\mathrm{gap}} = 0.200 + 0.057 \times$  $\exp(1.936\varepsilon)$  results, and a similar relationship also appears in the graphene/h-BN vdW heterojunction.75 We also calculated the relationship between  $E_{\rm gap}$  and vertical strains with DFT + D3, and a similar exponential relationship is also found, as shown in Fig. S8 in the ESI.† The corresponding band structures calculated by HSE06 are shown in Fig. 5. For  $\varepsilon = 0.2$ , 0.6 and 1.0 Å, the  $E_{\rm gap}$  values calculated by HSE06 are 0.297, 0.376, and 0.613 eV, respectively. As the compressive strain increases, the corresponding energies of the VBM at the K point are always increased. When  $\varepsilon = 1.0$  Å, the energy of the VBM at the K point (-0.309 eV) is just a little lower than that of the VBM at the  $\Gamma$ point (-0.307 eV). When  $\varepsilon$  approaches 1.2 Å, the corresponding  $E_{\rm gap}$  value is as large as 0.781 eV at the  $\Gamma$  point. Meanwhile, the VBM is shifted from the original  $\Gamma$  point to the K point, so the graphene/PtSe<sub>2</sub> heterojunction undergoes a direct-to-indirect band-gap transition, and the indirect gap is 0.467 eV. This is caused by the strong interaction between the sheets and the enhancement of the spin-orbital coupling (SOC) effect, and a similar phenomenon is also observed in the PtSe<sub>2</sub> bilayer.<sup>76</sup> More details and discussions can be found in Fig. S9 in the ESI.† As the enlarged vertical strain increases, the interlayer interaction becomes weak. So the band gap value of the graphene opened by PtSe<sub>2</sub> decreases slowly. As  $\varepsilon$  is increased from -0.6 to -1.0 Å, the corresponding  $E_{\rm gap}$  value monotonously decreases to 0.216 and 0.199 eV, respectively. For larger enlarged vertical strains, graphene should be semimetal for the weak interaction

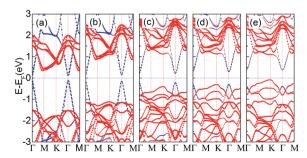


Fig. 5 The evolution of the band structures in the graphene/PtSe<sub>2</sub> heterojunction calculated by HSE06 as a function of the vertical strains: (a) -0.4, (b) 0.4, (c) 0.8, (d) 1.0, and (e) 1.2 Å.

between the sheets. So the vertical strains can effectively tune the electronic properties of the graphene/PtSe<sub>2</sub> heterojunction.

#### 4 Conclusions

We have comprehensively investigated the structural and electronic properties of the graphene/PtSe2 heterojunction for the first time. Using first-principle calculations, energy-gap engineering has been studied. It is shown that under the inhomogenous electrostatic potential generated by PtSe2, the band gap of graphene is opened by PtSe2, which is as large as 0.264 eV, and sufficient enough to overcome the thermal excitation of electrons at room temperature. At the graphene/PtSe<sub>2</sub> interface, a small amount of charge is transferred from PtSe2 to graphene. By applying vertical strain, the interface charge transfer and interlayer interaction in the graphene/PtSe<sub>2</sub> heterojunction can be effectively modulated, which means that the band gap of graphene can be enlarged to as large as 0.781 eV. The graphene/PtSe<sub>2</sub> heterojunction with a finite band gap and high carrier mobility has high potential to be used as a FET, promoting the application of graphene in nano-electronics.

### Conflicts of interest

There are no conflicts to declare.

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Paper

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