

Cite this: *RSC Adv.*, 2017, 7, 38834

# Pressure-induced changes in the electronic structure and enhancement of the thermoelectric performance of SnS<sub>2</sub>: a first principles study†

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The thermoelectric properties of SnS<sub>2</sub> have been studied using *ab initio* calculations with a full potential linearized augmented plane-wave technique and semi classical Boltzmann theory. We studied the thermoelectric properties of SnS<sub>2</sub> at 300 K, 500 K and 800 K and hydrostatic pressures of 0 GPa, 10 GPa and 20 GPa. The transport properties were found to be anisotropic owing to the layered structure of SnS<sub>2</sub>. The electrical conductivity particularly shows strong anisotropy at 0 GPa pressure, presenting a larger value in the *a* direction than the *c* direction. Hydrostatic pressure causes the lattice constants to be decreased and induces changes in the electronic structure. There is reduction in the band gap and, as a result, the thermoelectric coefficients are affected. The thermopower becomes nearly isotropic at higher pressures. At 20 GPa we found that electrical conductivity as well as the power factor show a change in anisotropy by presenting a higher value in the *c* direction than in the *a* direction, which is obvious at all temperatures. The power factor exhibits an increase at higher pressures and higher temperatures. At 0 GPa and 800 K the power factor is calculated to be  $11.89 \times 10^{-4} \text{ W K}^{-2} \text{ m}^{-1}$  and  $3.15 \times 10^{-4} \text{ W K}^{-2} \text{ m}^{-1}$  in the *a* and *c* directions, respectively. At 20 GPa and 800 K the maximum value of the power factor is observed in the *c* direction, which is computed to be  $12.10 \times 10^{-4} \text{ W K}^{-2} \text{ m}^{-1}$  at a carrier concentration of  $4 \times 10^{20} \text{ cm}^{-3}$ . By comparing power factor in the *c* direction at 800 K calculated at 0 GPa and 20 GPa, we observed an enhancement by  $\sim 3.8$  times. It is due to this large enhancement that by increasing the pressure to 20 GPa at 800 K the average power factor was also found to increase by 33%. At 20 GPa and 800 K the value of the figure of merit (*ZT*) was found to be greater than 1 in the *c* direction. We hope that this study will provide useful information in further enhancing the thermoelectric properties of SnS<sub>2</sub>.

Received 9th June 2017

Accepted 28th July 2017

DOI: 10.1039/c7ra06455a

rsc.li/rsc-advances

## Introduction

Thermoelectricity has been an important research area for a long time. Renewed interest has recently been generated due to the implications in the development of renewable energy sources.<sup>1</sup> Thermoelectric (TE) generators have many advantages, like being noiseless and having no moving parts or working fluids. These factors are expanding their fields of application. They are now used in the aerospace and military industries as well. Several materials have been explored for thermoelectric generation, *e.g.* lead, antimony, tellurium, and selenium. These materials have proved to be more efficient thermoelectric materials but as they are toxic in nature they are

not safe to handle. Apart from this, these materials are expensive and less abundant. Therefore, it is a challenge to search for non-toxic, low cost and environment friendly materials for thermoelectric applications. Metal chalcogenides like SnS<sub>2</sub> are earth-abundant, non-toxic and environmental friendly, hence their use in various applications is of due importance.<sup>2</sup>

The efficiency of a TE material is measured by the figure of merit,  $ZT = S^2\sigma T/\kappa$ , which depends on the Seebeck coefficient (*S*), electrical conductivity ( $\sigma$ ), and thermal conductivity ( $\kappa$ ).<sup>1</sup> The thermal conductivity consists of electronic ( $\kappa_e$ ) and lattice ( $\kappa_l$ ) contributions. The quantity  $S^2\sigma$  is termed as the power factor. In general, the figure of merit can be enhanced either by increasing the power factor ( $S^2\sigma$ ) or by decreasing the thermal conductivity. Therefore, thermoelectricity research focuses on minimizing the thermal conductivity or maximizing the power factor.<sup>3</sup>

Previous TE studies suggest that SnS<sub>2</sub> possesses a high power factor at room temperature and even higher values at higher temperatures. The best *ZT* values for SnS<sub>2</sub> are comparable to that of Bi<sub>2</sub>Te<sub>3</sub> (0.8), a typical thermoelectric material.<sup>4</sup> SnS<sub>2</sub> possesses a layered structure and therefore exhibits anisotropic

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† Electronic supplementary information (ESI) available. See DOI: 10.1039/c7ra06455a



character. Owing to their anisotropic behavior, layered compounds appear to be attractive materials for TE applications.<sup>3,5–8</sup> The outstanding high  $ZT$  value of  $\sim 2.6$  for SnSe at 923 K is especially due to this property.<sup>9</sup> This is also well evidenced in the layered  $\text{Bi}_2\text{Te}_3$ -based materials, which are the best TE materials around room temperature.<sup>10</sup> In layered structures, an interesting feature is that we can often modify the spacing of the layers and interlayers independently, hence leading to the possibility of tuning the electronic and thermal transport properties, which is a beneficial condition for thermoelectrics.<sup>4</sup>

Various methods have been suggested for the improvement of  $ZT$  for energy storage and conversion applications, *e.g.* synthesizing new materials and utilizing quantum effects by using materials with complex superlattices, by decreasing the thermal conductivity with induced disorder and nanostructured systems.<sup>11,12</sup>

Pressure has many potential applications in electronics. For example, pressure can induce semiconductor-to-metal transitions,<sup>13</sup> superconductivity in topological insulators,<sup>14</sup> conventional insulator-to-topological insulator transitions<sup>15</sup> and  $s$ -band ferromagnetism in alkali metals.<sup>16</sup> Li *et al.*<sup>17</sup> studied the effects of pressure on the dynamical, electronic and transport properties of  $\text{AuX}_2$  ( $X = \text{Al, Ga, and In}$ ), and found that pressure influenced the band structure close to the Fermi surface and caused changes in the transport properties. The changes in resistivity and phase transformation of  $\text{HgTe}$  under the influence of pressure have been studied by Blair *et al.*<sup>18</sup>

High pressure as well as temperature has a strong influence on a material's density of states (DOS), especially in the vicinity of the electronic gap. Since most TE materials are narrow band gap semiconductors, this pressure dependence of the energy gap allows the optimization of TE properties. Zhurav *et al.*<sup>19</sup> found the energy band gap of  $\text{PbSe}$  and  $\text{CdSe}$  to decrease with pressure. Ovsyannikov *et al.*<sup>20</sup> in his studies on  $\text{Bi}_2\text{Te}_3$  and  $\text{PbTe}$  suggested high pressure as a powerful tool for improvement of the performance of thermoelectrics that can open new outlooks in this field. Ovsyannikov *et al.*<sup>21</sup> further found that the application of pressure led to a large improvement of the TE performance of  $\text{PbTe}$ -based crystals. Shchennikov *et al.*<sup>22</sup> investigated the electrical and thermoelectric properties of  $\text{Sn}_2\text{P}_2\text{S}_6$  under strong compression up to 20 GPa and observed an insulator-to-metal type transition by a lowering of electrical resistivity.

Many studies have been conducted on TE materials for investigation of transport properties under pressure, such as  $\text{AgSbTe}_2$ ,<sup>23</sup>  $\text{Sb}_2\text{Te}_3$ ,<sup>24</sup>  $\text{Bi}_2\text{Sr}_2\text{Co}_2\text{O}_9$ ,<sup>25</sup>  $\text{Fe}_2\text{VAl}$ ,<sup>26</sup>  $\text{CoSb}_3$ ,<sup>27</sup> and  $\text{SnSe}$ ,<sup>28</sup> and it has been observed that under applied pressure they exhibit a remarkable enhancement of thermoelectric properties. Guo *et al.*<sup>29</sup> carried out a comprehensive investigation on the effect of high pressure on the crystal structure, electronic structure, and transport properties of  $\text{MoS}_2$  (a similar layered material) and found pressure-enhanced electrical conductivities and significant values of the thermoelectric figure of merit over a wide range of temperatures.

Xu *et al.*<sup>30</sup> observed that  $\text{SnTe}$  under intermediate pressure and  $\text{PbTe}$  under high pressure show enhanced thermoelectric performance. In one study it was found that pressure can induce a significantly enhanced power factor in  $\text{BiTeI}$ , turning

an ordinary insulator into a topological insulator.<sup>31</sup> Calculated results on  $\text{MgSn}_2$  show that pressure can lead to a significantly enhanced power factor in  $n$ -type doping at the critical pressure, which can be understood by the fact that pressure can induce accidental degeneracies of the conduction band minimum (CBM) at the critical pressure.<sup>32</sup> Zou *et al.*<sup>33</sup> studied the effect of hydrostatic pressure on the TE properties of  $\text{BiCuSeO}$  by first principles and discovered that band structure is modified near the Fermi level by the application of pressure, due to which the electrical conductivity is enhanced. It was concluded that pressure induced changes in the electronic structure as a result of which the TE efficiency of  $\text{BiCuSeO}$  can be enhanced by suitable doping under external pressure. In a study of  $\text{SnSe-Pnma}$  by Zhang *et al.*<sup>34</sup> it was observed that pressure boosts the TE properties of  $\text{SnSe-Pnma}$ . Pressure significantly enhances the TE transport properties along all three directions ( $a$ ,  $b$  and  $c$ ) of the crystal and makes this material efficient in a moderate temperature range.

Therefore, it is essential to build an understanding of how pressure influences the electronic structure and hence enhances the transport properties. It is also of interest to find out the origins of TE coefficients under pressure. In this study we investigate the change in electronic structure of  $\text{SnS}_2$  under hydrostatic pressure from first principles. The transport properties are calculated using the semi-classical Boltzmann transport theory and the influence of hydrostatic pressure on the transport properties at various temperatures is studied. The anisotropy of the electric conductivity, thermopower and power factor has been investigated at different pressures and temperatures. The effect of pressure on the transport properties is understood by the change of the electronic structure near the Fermi level. It is hence predicted that hydrostatic pressure can be a useful tool for the enhancement of the thermoelectric properties of  $\text{SnS}_2$ .

## Computational details

Structural and electronic calculations were performed within the density functional theory (DFT)<sup>35,36</sup> frame work using the all electron, full potential code WIEN2k.<sup>37</sup> This package is based on the linearized augmented plane-waves plus local orbitals (LAPW + lo) method.<sup>38</sup> Different correlation functionals<sup>39–42</sup> are used to calculate the structural and electronic properties. The muffin tin radii ( $R_{\text{MT}}$ ) used (in a.u.) are 2.50 for Sn and 2.06 for S atoms.

In the LAPW method, the plane wave cut-off within the interstitial region is controlled by  $R_{\text{MTmin}} \times K_{\text{max}}$  ( $R_{\text{MTmin}}$  is the smallest muffin-tin sphere radius and  $K_{\text{max}}$  is the largest plane wave momentum vector).  $R_{\text{MTmin}} \times K_{\text{max}} = 7.0$  is chosen for calculations to be converged for the magnitudes presented. To separate the core and valence states, the energy cut-off is set as  $-7.5$  Ry. The reciprocal space integration is performed with a mesh of  $12 \times 12 \times 6$  using the modified tetrahedron method,<sup>43</sup> which represents 100  $k$ -points in the irreducible Brillouin zone (IBZ). For the computation of band gaps and density of states (DOS), a denser grid is selected.

The unit cell volume is optimized using the LDA functional<sup>39</sup> (which gives the most suitable lattice constants), after which the



atomic positions are relaxed using a highly efficient algorithm based on simultaneous fixed-point optimization of density and atomic positions.<sup>44</sup> The equilibrium cell shape and volume are determined by optimization of all internal degrees of freedom. Self-consistency criteria are set as  $10^{-4}$  Ry for energy convergence,  $10^{-3}$  e for charge convergence and 1 mRy a.u.<sup>-1</sup> for force convergence. The force criterion for structure relaxation is set at less than 1 mRy per atom.

For electronic calculations, DOS and band structures are calculated using all the exchange correlations incorporated in the code. The band gap is underestimated due to presence of artificial self-interaction and absence of derivative discontinuity in the exchange–correlation potential.<sup>45,46</sup> Accurate band gaps are obtained by employing the Tran–Blaha modified functional of Becke–Johnson (TB-mBJ).<sup>47,48</sup>

The thermoelectric coefficients are calculated using the semi-classical Boltzmann theory<sup>49,50</sup> as incorporated in BOLTZTRAP code.<sup>51</sup> This scheme employs Fourier expansion of the band energies, provided by first principles electronic structure calculations. It is a rigid band and constant relaxation time approximation. In rigid band approximation (RBA), it is assumed that with doping concentration only the chemical potential changes but the band structure remains unchanged whereas in the constant relaxation time approximation, the relaxation time ( $\tau$ ) is assumed to be energy independent. The advantage is that the Seebeck coefficient can be calculated without adjustable parameters by using this approximation.  $\tau$  is actually influenced by temperature depending on different scattering mechanisms. For the sake of convenience  $\tau$  is usually treated as a constant as the calculation of  $\tau$  is a difficult task.<sup>52,53</sup> Choosing  $\tau$  as a constant is an approximation for the real scattering mechanisms and the main advantage is that it is not necessary to know the detailed scattering processes. This approach is used successfully in predicting the optimal doping level of many materials and has been used to select potential thermoelectric materials.

To calculate the transport properties a denser  $k$ -mesh of  $40 \times 40 \times 21$  representing 3024  $k$ -points in IBZ is required for the Fermi surface integrals to reach convergence. For the structures under hydrostatic pressures an even denser  $k$ -mesh is used.

## Results and discussion

### Structure

The physical appearance of SnS<sub>2</sub> is found to be composed of yellow transparent flakes and crystals having the standard hexagonal crystal structure. SnS<sub>2</sub> crystallizes in CdI<sub>2</sub>-type structure. Within one plane Sn is sandwiched in between two planes of S in such a way that each Sn is octahedrally surrounded by six S atoms. There are three atoms per unit cell.<sup>54</sup> S–Sn–S atoms form a trilayer, which is internally covalently bonded in the  $ab$  plane and held together by van der Waals forces along the  $c$  axis. Fig. 1 shows the SnS<sub>2</sub> structure. A comparison of the calculated lattice constants using different correlation functional and experimental values is given in Table 1.

Fig. 2 shows the effect of hydrostatic pressure on the normalized volume and lattice constants of SnS<sub>2</sub>. It shows the volume reduction under pressure. Moreover, the contraction of

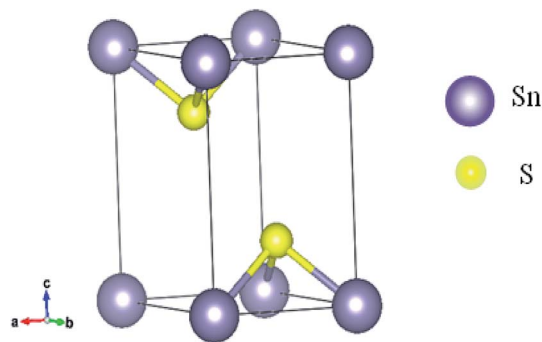


Fig. 1 Crystal structure of SnS<sub>2</sub> (space group  $P3m1$ ).

Table 1 Comparison of experimental<sup>55</sup> lattice constants and lattice constants calculated by different correlation functionals in present study

Lattice constant (Å)	Experimental <sup>55</sup>	Present study			
		LDA	PBE-GGA	PBEsol	WC-GGA
$a$	3.65	3.636	3.709	3.657	3.661
$c$	5.88	5.859	5.985	5.923	5.925

the unit cell is highly anisotropic, as can be seen from the variation of lattice constants with applied pressure. The unit cell axis parallel to the atomic layers is chosen as ' $a$ ' and the axis oriented perpendicular to the layers as ' $c$ '. For SnS<sub>2</sub> contraction along the  $c$  axis is higher as compared to that along the  $a$  axis. It suggests that compression along the  $c$  axis is more because of interlayer van der Waals forces, while compression of the covalently bonded S–Sn–S within the layer is minimal, as can be seen from Table 2. Similar anisotropic contraction has been observed in MoS<sub>2</sub>,<sup>56</sup> boron nitride and graphite.<sup>57</sup> The contraction with hydrostatic pressure agrees with the previously reported results.<sup>58</sup> On comparison with experimental values, a lesser anisotropy is observed which may be due to the non-hydrostatic effects in the experiment.<sup>59</sup>

### Electronic structure

Fig. 3 presents the total DOS for SnS<sub>2</sub> at different hydrostatic pressures. The valence band maximum (VBM) is set as zero for the sake of comparison. From the total DOS plot we can see that the valence states are separated from the conduction states by a small energy gap. The value of the energy gap matches with the experimental value of 2.17 eV at 0 GPa.<sup>60</sup> At higher pressures there is a reduction in band gap (Table 2), indicating a higher electrical conductivity at higher pressures. From Fig. 3 it can be seen that the conduction band (CB) is more delocalized as compared to the valence band (VB) near the Fermi level, which is an indication that n-type doping will show higher electrical conductivity.<sup>4</sup> This is also supported by the experimental studies<sup>61,62</sup> according to which SnS<sub>2</sub> usually exhibits n-type conduction. Therefore, we have considered only n-type doping in our studies.



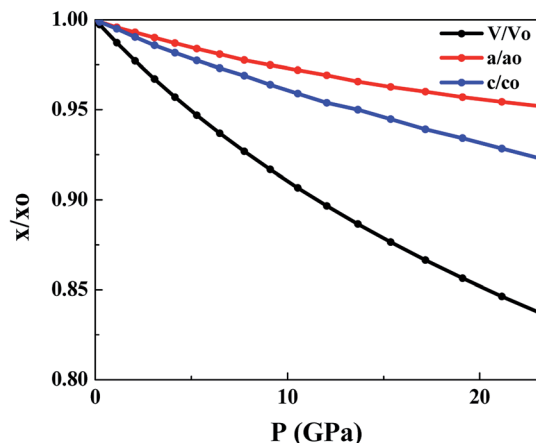


Fig. 2 Change in unit cell parameters with pressure. 'x' represents a parameter at different pressures and 'x<sub>0</sub>' represents a parameter at 0 GPa.

Table 2 The energy gap and bond lengths of SnS<sub>2</sub> at different hydrostatic pressures

P (GPa)	Energy gap (eV)	S–S (Å)	Sn–S (Å)
0	2.15	3.5903	2.5646
10	1.94	3.3169	2.5319
20	1.61	3.1472	2.5100

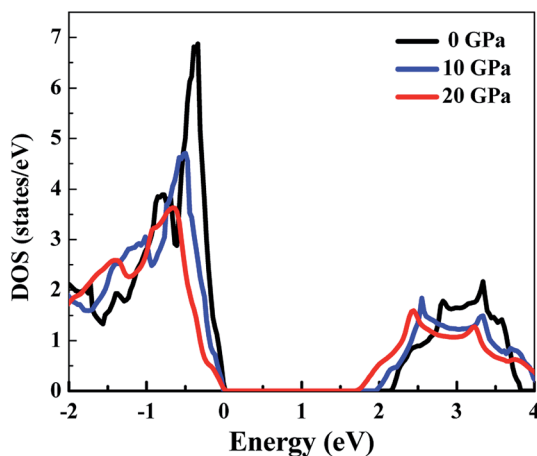


Fig. 3 The total DOS of SnS<sub>2</sub> at different hydrostatic pressures.

In Fig. 4, we can see that near the Fermi level the conduction bands are mainly contributed from Sn-5s and S-3p states while the valence bands are primarily composed of Sn-5p and S-3p states. The Fermi level will shift with pressure, and therefore transport properties will be closely related to the electronic states near the VBM or conduction band minimum (CBM). As the pressure is increased, the slope in DOS reduces and a spread is observed. Flatness of the DOS near band edges is an indication of an increase in electrical conductivity.<sup>33</sup>

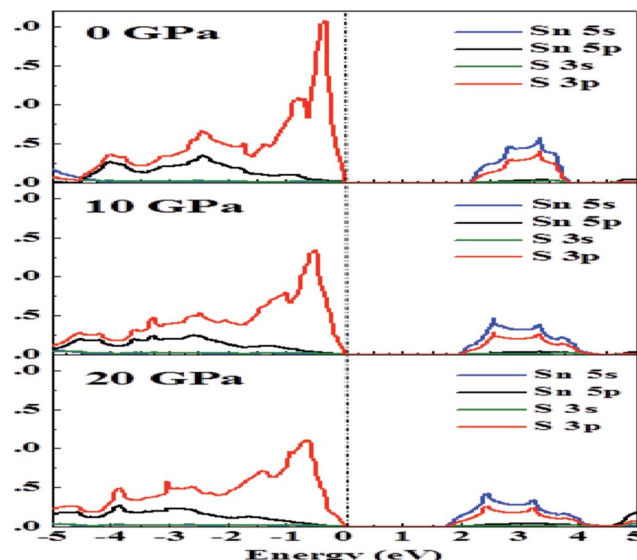


Fig. 4 The effect of hydrostatic pressure on the DOS of Sn and S atoms.

**Band structure.** Fig. 5(a)–(c) show the band structure of SnS<sub>2</sub> at different applied pressures. At 0 GPa (Fig. 5(a)) the CB is flatter, which is an indication of a heavy band. We expect that due to this type of behavior the thermopower will show a large value.<sup>63</sup> At higher pressures we notice that the flatness is reducing and dispersion in the band is observed, which indicates a light band. The larger dispersion is directly related to mobility; therefore, carrier mobility and electrical conductivity should be increased at higher pressures.

In Fig. 5(b) and (c), as the hydrostatic pressure is increased it is seen that the pockets in the conduction band near the Fermi level become deeper, which is an indication that the effective electron mass becomes smaller, and this will cause the electrical conductivity to increase. It means that by increasing pressure there is a decrease of the effective mass in the conduction band, as a result of which a decrease of thermopower and an increase of the electrical conductivity in n-type materials can be observed.<sup>63</sup> We can see more obvious multi-valleys at higher hydrostatic pressures, which have been found to be favorable for TE applications.<sup>64</sup>

### Thermoelectric coefficients

**Thermopower.** Variation of thermopower with carrier concentration at different temperatures under pressures of 0 GPa, 10 GPa and 20 GPa is shown in Fig. 6(a), (b) and (c), respectively. The thermopower and electrical conductivity with respect to relaxation time ( $\sigma/\tau$ ) are obtained from first principles calculations and the canonical Boltzmann transport expressions.<sup>50</sup>

It is a general rule in doped semiconductors and metals that thermopower has an inverse relationship with logarithmic carrier concentration as suggested in a previous study on bulk thermoelectric materials.<sup>65</sup> At 0 GPa we notice that at 300 K and 500 K Pisarenko-type relationship at low doping is obeyed,





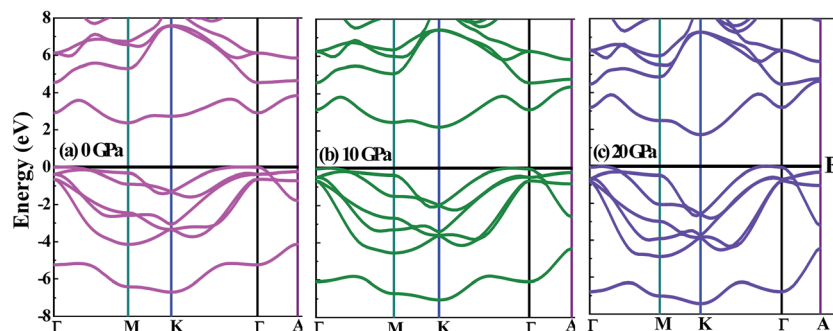


Fig. 5 Band structure of SnS<sub>2</sub> at (a) 0 GPa, (b) 10 GPa and (c) 20 GPa.

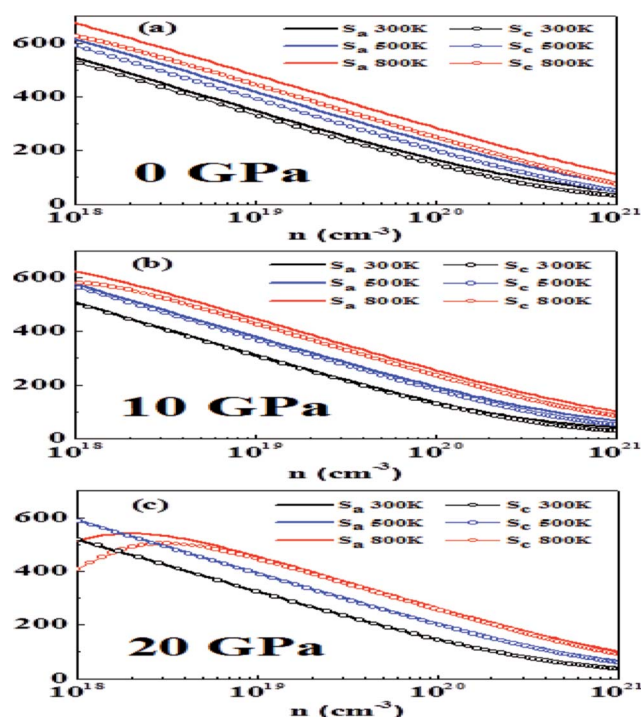


Fig. 6 The variation of thermopower ( $S$ ) in the  $a$  and  $c$  directions with carrier concentration, temperature and hydrostatic pressure: (a) 0 GPa, (b) 10 GPa and (c) 20 GPa.

which is an indication of single band (non-degenerate) transport. However, at higher doping, a slight deviation from this behavior is observed, which may be attributed to two-band transport and approaching of the degenerate limit.<sup>23</sup> At 800 K bipolar conduction is observed. In this case thermopower initially increases with an increase in carrier concentration, reaches a maximum value and then decreases with an increase in concentration.<sup>66</sup> As the band gap is decreasing by increasing the pressure a more pronounced bipolar conduction is observed, which acts to reduce the thermopower.<sup>67</sup>

Another point observed is that the anisotropy in thermopower vanishes with an increase in pressure. This may be attributed to the fact that at higher pressures the conduction bands are lowered and the band that is close to CBM also becomes operative and yields isotropic behavior. A similar

behavior was reported earlier in p-type materials where at heavier doping the band whose maximum is just below VBM becomes operative and more isotropic behavior is observed.<sup>23</sup>

In general, high-performance thermoelectric materials have absolute values of thermopower between 200 and 300  $\mu\text{V K}^{-1}$  at the relevant temperature.<sup>68</sup> At 0 GPa and 300 K the optimum doping levels in the  $a$  direction are  $1.78 \times 10^{19}$  to  $6.27 \times 10^{19}$  per  $\text{cm}^3$  and in the  $c$  direction  $1.48 \times 10^{19}$  to  $5.05 \times 10^{19}$  per  $\text{cm}^3$ .

At 500 K the optimum doping levels in the  $a$  direction and the  $c$  direction are  $4.06 \times 10^{19}$  to  $1.42 \times 10^{20}$  per  $\text{cm}^3$  and  $2.98 \times 10^{19}$  to  $1.00 \times 10^{20}$  per  $\text{cm}^3$ , respectively. At 800 K, bipolar conduction begins to appear, and the optimum doping levels at this temperature are  $8.41 \times 10^{19}$  to  $2.93 \times 10^{20}$  per  $\text{cm}^3$  in the  $a$  direction and  $5.51 \times 10^{19}$  to  $1.84 \times 10^{20}$  per  $\text{cm}^3$  in the  $c$  direction.

Now we study the effect of hydrostatic pressure of 10 GPa on thermopower. At 300 K the optimum doping levels are  $1.12 \times 10^{19}$  to  $3.97 \times 10^{19}$  per  $\text{cm}^3$  in the  $a$  direction and  $1.09 \times 10^{19}$  to  $3.81 \times 10^{19}$  per  $\text{cm}^3$  in the  $c$  direction. At 500 K the optimum doping levels are  $2.61 \times 10^{19}$  to  $9.21 \times 10^{19}$  in the  $a$  direction and  $2.62 \times 10^{19}$  to  $7.86 \times 10^{19}$  in the  $c$  direction. At 800 K the optimum doping levels are  $5.80 \times 10^{19}$  to  $2.07 \times 10^{20}$  per  $\text{cm}^3$  and  $4.63 \times 10^{19}$  to  $1.57 \times 10^{20}$  per  $\text{cm}^3$  in the  $a$  direction and the  $c$  direction, respectively.

At 20 GPa and 300 K the optimum doping levels are  $1.33 \times 10^{19}$  to  $4.75 \times 10^{19}$  per  $\text{cm}^3$  in the  $a$  direction and  $1.33 \times 10^{19}$  to  $4.72 \times 10^{19}$  per  $\text{cm}^3$  in the  $c$  direction. The optimum levels at 500 K in the  $a$  direction are  $3.04 \times 10^{19}$  to  $1.07 \times 10^{20}$  per  $\text{cm}^3$  and in the  $c$  direction  $2.94 \times 10^{19}$  to  $1.02 \times 10^{20}$  per  $\text{cm}^3$ . At 800 K in the  $a$  direction  $6.44 \times 10^{19}$  to  $2.26 \times 10^{20}$  per  $\text{cm}^3$  and in the  $c$  direction  $5.93 \times 10^{19}$  to  $2.04 \times 10^{20}$  per  $\text{cm}^3$  are the optimum doping levels.

We find that more metallicity is induced with pressure and the value of thermopower decreases with pressure. A similar trend has been previously observed for other metal chalcogenides.<sup>24</sup> Our results here show that bipolar conduction can be avoided by a higher amount of doping while maintaining high thermopower, which is in accordance with previous work.<sup>23</sup>

**Electrical conductivity.** The results calculated for electrical conductivity ( $\sigma/\tau$ ) also contain relaxation time. In order to find out the value of electrical conductivity ( $\sigma$ ), the computed  $\sigma/\tau$  values are compared with experimental values of  $\sigma$ , which are calculated at room temperature and at a fixed doping level.<sup>54</sup> By comparison we



obtain a value of  $\tau = 1.31 \times 10^{-15}$  s, which is in good agreement with the typical relaxation time of semiconductors.  $\sigma$  is then calculated by  $\sigma/\tau \times \tau$ . Here we assume that  $\tau$  is independent of different structures, doping levels and temperatures.<sup>69,70</sup>

Fig. 7(a), (b) and (c) show that electrical conductivity increases as the carrier concentration increases at 0 GPa, 10 GPa, and 20 GPa, respectively. From Fig. 7(a), we can see that  $\sigma$  in the  $a$  direction ( $\sigma_a$ ) is higher than  $\sigma$  in the  $c$  direction ( $\sigma_c$ ) for all temperatures at the specified carrier concentrations. The anisotropy of  $\sigma$  has also been observed in experimental studies.<sup>61</sup> Further,  $\sigma_a$  does not show any large variation with temperature, whereas  $\sigma_c$  shows a more obvious variation at different temperatures. At 0 GPa,  $\sigma_c$  possesses a higher value at lower temperatures for the same carrier concentration. From Fig. 7(b), we can see that the anisotropy in  $\sigma$  at 10 GPa is almost vanished. Both  $\sigma_a$

and  $\sigma_c$  have very close values in the entire carrier concentration range and at a particular temperature. The anisotropy ratio  $\sigma_a/\sigma_c$  is close to 1 for all temperatures and carrier concentrations at this pressure. From Fig. 7(c), we see that at 20 GPa reversal of anisotropy in  $\sigma$  is observed. At all temperatures  $\sigma_c$  has a larger value than that of  $\sigma_a$ . At 300 K and 500 K the values of  $\sigma_a$  and  $\sigma_c$  are nearly temperature-independent over the carrier concentration range studied. At lower carrier concentration, noticeably larger values of  $\sigma$  can be seen in both directions at 800 K as compared to at lower temperatures. The anisotropy in electrical conductivity is observed at all temperatures and over the entire carrier concentration range. The larger electrical conductivity at higher pressures in the  $c$  direction is due to the band dispersion in the  $\Gamma$ -A direction (Fig. 5).

It has also been found experimentally that under pressure the conductivity has different anisotropic behavior in layered materials.<sup>71</sup> An identical reversal in electrical conductivity has also been observed in  $\text{WS}_2$  (a similar layered compound) where the electrical conductivity exhibited a change in anisotropy by doping.<sup>72</sup> In first principles study, under the effect of hydrostatic pressure a similar behavior has been reported for  $\text{SnSe}$ , where pressure significantly enhanced the electrical conductivity along the  $b$  direction compared to in the  $c$  direction, which has similar values in both directions at 0 GPa.<sup>34</sup>

**Power factor.** The power factor (PF), which is the ability of a material to produce useful electrical power under a temperature gradient, is given by  $S^2\sigma$ . PF calculated at 0 GPa, 10 GPa and 20 GPa as a function of carrier concentration is shown in Fig. 8(a), (b) and (c), respectively. The calculations were performed at temperatures of 300 K, 500 K and 800 K. At all pressures and temperatures, PF increases with an increase in carrier concentration, reaches a maximum value and then decreases. Fig. 8(a) shows that for a given carrier concentration PF increases as the temperature increases and obtains a maximum value at 800 K. At 0 GPa the maximum value of power factor along the  $a$  direction ( $\text{PF}_a$ ) is calculated to be  $11.89 \times 10^{-4} \text{ W K}^{-2} \text{ m}^{-1}$  at a carrier concentration of  $6.30 \times 10^{20} \text{ cm}^{-3}$  and for the power factor along the  $c$  direction ( $\text{PF}_c$ ) a maximum value of  $3.15 \times 10^{-4} \text{ W K}^{-2} \text{ m}^{-1}$  at a carrier concentration of  $2.43 \times 10^{20} \text{ cm}^{-3}$  has been observed. It is also seen that strong anisotropy is exhibited at 0 GPa with  $\text{PF}_a$  having a larger value than  $\text{PF}_c$  at all studied temperatures.

At a pressure of 10 GPa (Fig. 8(b)) we can see that PF follows a similar carrier concentration and temperature dependency with the largest value at 800 K. The maximum value of  $\text{PF}_a$  has been observed to be  $9.86 \times 10^{-4} \text{ W K}^{-2} \text{ m}^{-1}$  at a carrier concentration of  $6.69 \times 10^{20} \text{ cm}^{-3}$  and for  $\text{PF}_c$  the maximum value is  $6.33 \times 10^{-4} \text{ W K}^{-2} \text{ m}^{-1}$  at a carrier concentration of  $2.79 \times 10^{20} \text{ cm}^{-3}$ . By comparing with 0 GPa, we can see that  $\text{PF}_a$  is reducing but  $\text{PF}_c$  is increasing for all temperatures. It is because of this reason that PF is more isotropic at this pressure. The anisotropy ratio,  $\text{PF}_a/\text{PF}_c$  is closer to 1 in this regime, especially at lower carrier concentrations and lower temperatures.

At 20 GPa (Fig. 8(c)) anisotropy in PF reverses as compared to anisotropy at lower pressures. Here  $\text{PF}_c$  is greater than  $\text{PF}_a$  at all temperatures. However, in this case anisotropy is almost temperature-independent over the entire carrier concentration

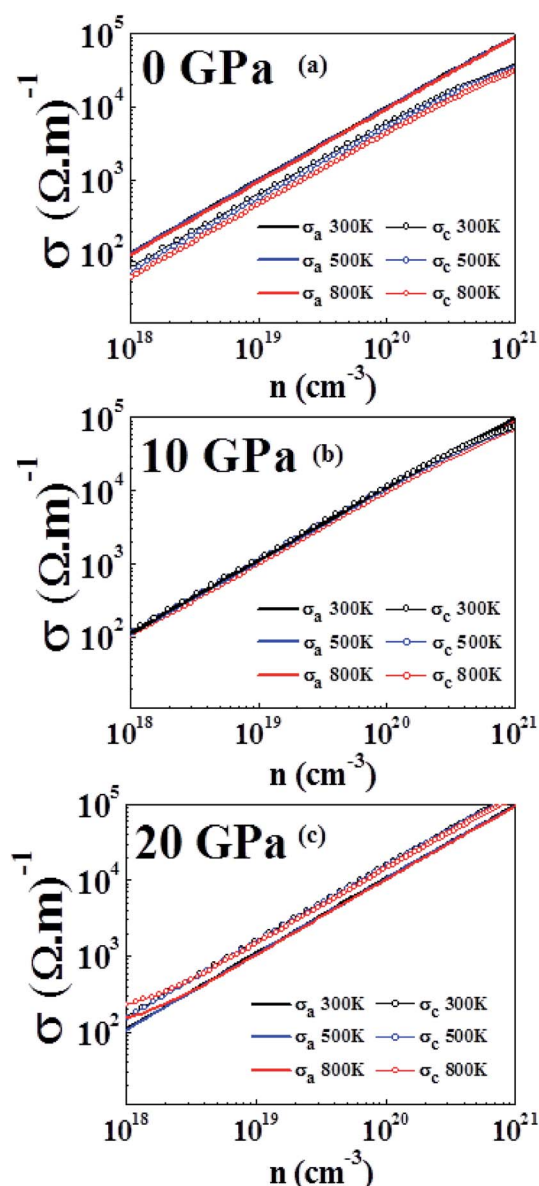


Fig. 7 Electrical conductivity computed at 300 K, 500 K and 800 K at (a) 0 GPa, (b) 10 GPa and (c) 20 GPa.



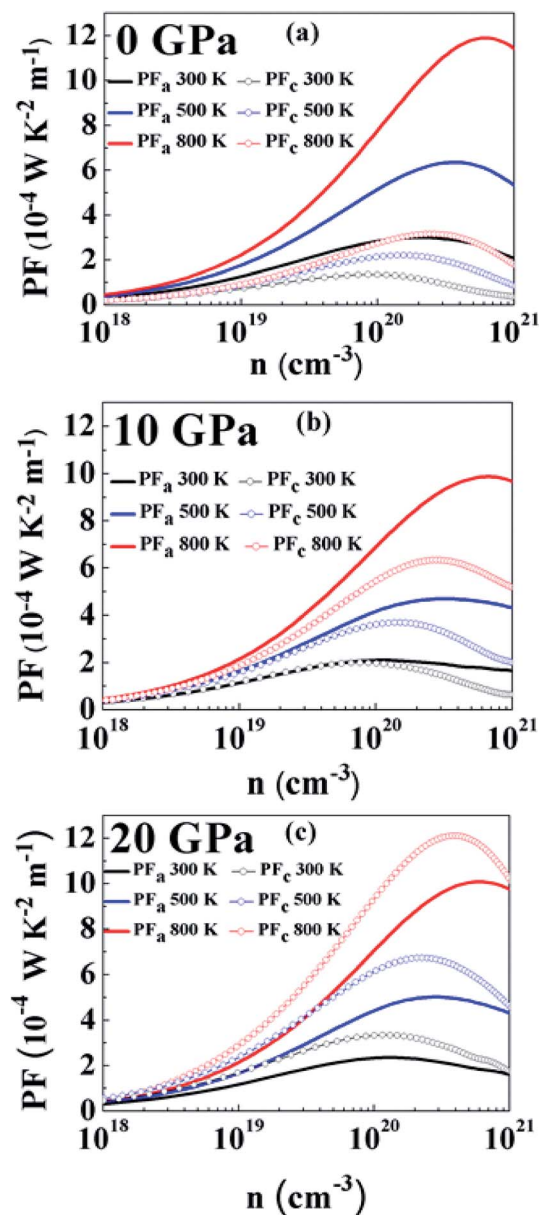


Fig. 8 Power factor at 300 K, 500 K and 800 K at (a) 0 GPa, (b) 10 GPa and (c) 20 GPa.

range. At 800 K,  $PF_a$  shows a maximum value of  $10.07 \times 10^{-4} \text{ W K}^{-2} \text{ m}^{-1}$  at a carrier concentration of  $6.12 \times 10^{20} \text{ cm}^{-3}$  and the maximum value of  $PF_c$  is calculated to be  $12.10 \times 10^{-4} \text{ W K}^{-2} \text{ m}^{-1}$  at a carrier concentration of  $4 \times 10^{20} \text{ cm}^{-3}$ . Even though the thermopower is nearly isotropic, the distinct anisotropy of electrical conductivity leads to anisotropy of the power factor.

Fig. 9(a) and (b) show the variation of PF with temperature and pressure in the  $a$  and  $c$  directions. We can see that PF exhibits a different variation in both directions. In Fig. 9(a) it can be seen that temperature causes  $PF_a$  to increase but pressure steadily decreases the PF value in this direction. At 800 K,  $PF_a$  exhibits a decrease of 15% at a pressure of 20 GPa as compared to 0 GPa. On looking at the variation of PF in the  $c$  direction (Fig. 9(b)) we can immediately observe the enhancement at higher temperatures and pressures. By comparing  $PF_c$  at 800 K calculated at 0 GPa and 20 GPa we observe an enhancement by  $\sim 3.8$  times. Therefore, keeping the temperature constant and increasing the pressure causes  $PF_c$  to be enhanced. Furthermore, the enhancement in  $PF_c$  is more pronounced as compared to the reduction in  $PF_a$  at higher pressures; therefore, the average PF is assumed to be increased.

The average power factor is studied and a strong pressure dependency is observed at a given temperature.  $\text{SnS}_2$  has been seen to exhibit enhanced TE performance at high temperatures. Therefore, we analyzed PF change with carrier concentration at 800 K for different pressures, as shown in Fig. 10. The largest value of PF at all pressures was found at 20 GPa which is computed to be  $10.77 \times 10^{-4} \text{ W K}^{-2} \text{ m}^{-1}$  at a carrier concentration of  $5 \times 10^{20} \text{ cm}^{-3}$ . The maximum value at 0 GPa is  $8.07 \times 10^{-4} \text{ W K}^{-2} \text{ m}^{-1}$  at a carrier concentration of  $4.46 \times 10^{20} \text{ cm}^{-3}$ . Therefore, at 800 K, by increasing the pressure to 20 GPa the average power factor increases by 33%. It is observed that although the thermopower decreases with pressure, PF still increases because the electrical conductivity of the system is increasing.<sup>73</sup> Even if anisotropy changes with pressure, an overall effect is an increase in the power factor of  $\text{SnS}_2$ . Therefore, it could be utilized for more efficient TE applications.

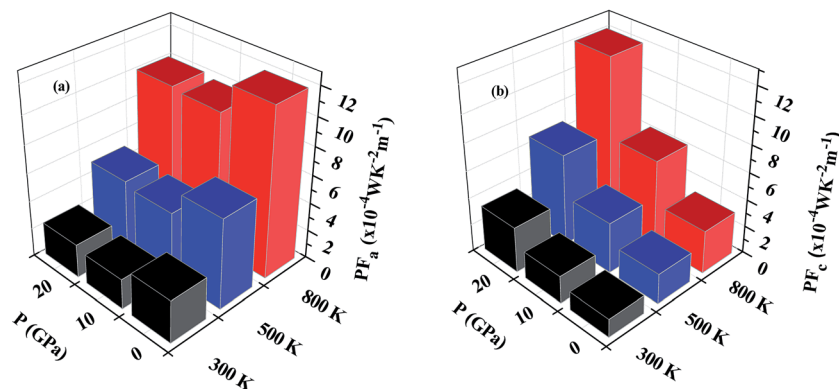


Fig. 9 Variation of power factor with temperature and pressure in (a) the  $a$  direction and (b) the  $c$  direction.





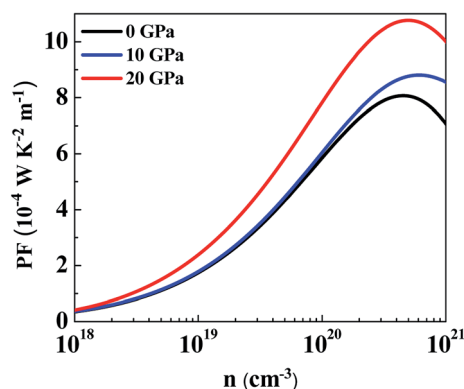


Fig. 10 Average power factor at pressures of 0 GPa, 10 GPa and 20 GPa at 800 K.

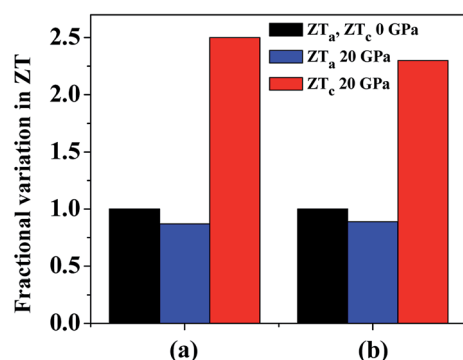


Fig. 11 Variation of  $ZT$  at 20 GPa and 800 K in the  $a$  and  $c$  directions compared with normalized  $ZT$  values in both directions at 0 GPa.  $\kappa_1$  values are taken from (a) ref. 4 and (b) ref. 74.

In order to calculate the figure of merit ( $ZT$ ), the relation  $ZT = \frac{S^2}{L_0 + (\kappa_1/\sigma T)}$  is used, where  $S$ ,  $\kappa_1$ ,  $\sigma$ ,  $T$  and  $L_0$  are the thermopower, lattice thermal conductivity, electrical conductivity, temperature and Lorenz number  $\left(L_0 = \frac{\pi^2}{3} \left(\frac{k_B}{e}\right)^2 = 2.45 \times 10^{-8} \text{ W } \Omega \text{ K}^{-2}\right)$ , respectively. To proceed further, we require the lattice thermal conductivity ( $\kappa_1$ ). In the present study it is assumed that  $\kappa_1$  does not vary with structure and doping level.<sup>67,69</sup>

The value of  $\kappa_1$  has been previously computed for  $\text{SnS}_2$ .<sup>4,74</sup> The value of  $\kappa_{\min}$  (minimum lattice thermal conductivity) calculated by Sun *et al.*<sup>4</sup> in the  $a$  direction is  $0.67 \text{ W m}^{-1} \text{ K}^{-1}$  at 300 K and it varies very modestly with temperature. In the  $c$  direction the value of  $\kappa_{\min} = 0.47 \text{ W m}^{-1} \text{ K}^{-1}$  has been calculated and it has been observed to exhibit temperature independence.

At 800 K we observe that  $ZT$  in the  $a$  direction ( $ZT_a$ ) has a maximum value of 0.76 at 0 GPa. Although this value is underestimated as compared to previous study,<sup>4</sup> it is comparable to  $\text{Bi}_2\text{Te}_3$  ( $\sim 0.8$ ), which is a commercial TE material. By the application of hydrostatic pressure we see that  $ZT_a$  value is decreased and at 20 GPa it gives a value of 0.66, which shows

a reduction in  $ZT_a$  by 13%. On the other hand, under hydrostatic pressure we can immediately observe an increase in  $ZT$  in the  $c$  direction ( $ZT_c$ ). At 0 GPa the maximum value of  $ZT_c$  is 0.40, which is overestimated,<sup>4</sup> but the same behaviour is followed, *i.e.*  $ZT_c$  having a lesser value than  $ZT_a$ . At 20 GPa the value of  $ZT_c$  approaches 1. Thus, by increasing the hydrostatic pressure from 0 GPa to 20 GPa at 800 K,  $ZT_c$  increases by  $\sim 2.5$  times. A comparison of the variation in  $ZT_a$  and  $ZT_c$  at 800 K and pressures of 0 GPa and 20 GPa is revealed in Fig. 11(a).

In a recent detailed study of the lattice thermal conductivity of  $\text{SnS}_2$  by Wang *et al.*<sup>74</sup> the values of  $\kappa_1$  are quite different from those cited earlier. The  $\kappa_1$  value in the  $a$  direction ( $12.10 \text{ W m}^{-1} \text{ K}^{-1}$  at 300 K with strong temperature dependency) is much larger than  $c$  direction ( $0.78 \text{ W m}^{-1} \text{ K}^{-1}$  at 300 K with weaker temperature dependency). The value of  $\kappa_1$  in the  $a$  direction is also much greater than the values presented in previous work whereas the values in the  $c$  direction are in better agreement. By using the  $\kappa_1$  values at 800 K we get maximum  $ZT_a$  equal to 0.18 and 0.16 at 0 GPa and 20 GPa, respectively. This is obvious due to the large difference in the  $\kappa_1$  values calculated in these studies. The reduction in  $ZT_a$  at 800 K at 20 GPa pressure is equal to 11%. The maximum  $ZT_c$  calculated by  $\kappa_1$  values in this study are 0.57 and 1.32 at 0 GPa and 20 GPa, respectively, which is an enhancement by  $\sim 2.3$  times. Fig. 11(b) presents a comparison of this variation in  $ZT_a$  and  $ZT_c$  at 800 K and pressures of 0 GPa and 20 GPa. The variation of  $ZT$  at 800 K with carrier concentration at all studied pressures is given in Fig. S1† by using the different values of  $\kappa_1$ . By looking at the results from the two studies, we can find a good agreement in the amount of variation in  $ZT$  in both directions. Hence, by investigating both the cases we can observe that by increasing pressure there is an overall enhancement in  $ZT$  as the rise in the  $c$  direction is much more prominent than the decline in the  $a$  direction.

## Conclusions

In this study we investigated the effect of hydrostatic pressure on the thermoelectric properties of  $\text{SnS}_2$  at various temperatures. There are changes caused in the electronic structure, which are evident from band gap and band dispersion under pressure. It is because of the layered structure that  $\text{SnS}_2$  has anisotropy in transport properties, which appears to change under the application of pressure. The anisotropy in thermopower is reduced at higher pressures and an almost isotropic behaviour is observed at 20 GPa for all temperatures and carrier concentration. Electrical conductivity behaves in a different way; at 0 GPa it has a higher value in the  $a$  direction than the  $c$  direction. For all temperatures and carrier concentrations, the anisotropy is decreased with pressure and an almost isotropic trend is seen at 10 GPa. At 20 GPa it is observed that the electrical conductivity in the  $c$  direction is greater than that in the  $a$  direction, hence, an inversion in anisotropy is exhibited at 20 GPa and all studied temperatures. The power factor also follows the same sort of behaviour as electrical conductivity and exhibits inverse anisotropy at 20 GPa. The maximum value of power factor in the  $c$  direction at 800 K and 20 GPa increases by  $\sim 3.8$  times, which is

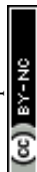




calculated to be  $12.10 \times 10^{-4} \text{ W K}^{-2} \text{ m}^{-1}$ . The figure of merit ( $ZT$ ) was shown to be affected by hydrostatic pressure. In the  $a$  direction at 800 K,  $ZT$  shows a maximum value of 0.76 at 0 GPa and an 11–13% reduction at 20 GPa. In the  $c$  direction  $ZT$  calculated at 800 K shows large improvements at higher pressures. According to our calculations, at 20 GPa a value of  $ZT > 1$  is obtained, which shows an enhancement by 2.3–2.5 times as compared to 0 GPa. Hence, hydrostatic pressure can be a useful tool to tune the TE properties of  $\text{SnS}_2$  for better performance.

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