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A real-time decoding sequencing technology—new possibility for high throughput sequencing†

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A real-time decoding sequencing developed by our group offers long read length, high sequencing accuracy and high compatibility, making it have great potential in high throughput sequencing (HTS) platforms. Here, we first discuss its potential advantages in HTS in terms of read length, sequencing accuracy, and turnaround time. We then discuss its disadvantages including homopolymers and chain decoding mistakes. How to handle these two major disadvantages is also discussed with respect to resequencing and *de novo* sequencing. We also provide the characteristics of this technology for HTS in terms of error-correcting and discriminating SNP/deletion/insertion. Finally, the existing sequencing platforms with which this technology is compatible are discussed. This technology is not only compatible with the first-generation sequencing platform, but also the second-generation and even the third-generation sequencing platforms. It will further improve the advantages of existing sequencing platforms (read length of PGM and 454 system) and compensate some disadvantages of other next generation sequencing (NGS) platforms (sequencing accuracy of PGM sequencer). We fully hope it will provide a new promising technology for researchers and customers to extend applications of the current and upcoming platforms in almost every area in life and biomedical sciences.

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Introduction

Over the past ten years, the cost of human genome sequencing has dramatically reduced from \$100 000 000 to \$1000 because of extraordinary advancements in DNA sequencing technologies.^{1,2} The road to this milestone involved many sequencing technologies and these sequencing technologies have profoundly altered our understanding of biology, human diversity, and disease. Emerging sequencing technologies can be grouped into first-, second-, and third-generation sequencing. The automated Sanger method is considered as a 'first-generation' technology.³ Although the first human genome sequence was interrogated by this technology, limitations of low throughput and high cost of this technology showed a need for new and improved technologies to sequence large numbers of human genomes in parallel at lower cost.

To ameliorate these limitations, the second-generation sequencing (SGS) was developed. It might be categorized as neither sequencing-by-synthesis (SBS) based nor sequencing-by-ligation (SBL) based.^{3,4} SBS-based strategies, which are achieved by using DNA polymerase to extend many DNA strands in

parallel, may be real-time or synchronous-controlled.⁵ The real-time SBS strategy identifies newly incorporated nucleotide 'on the fly' without interrupting the synthesis process (*e.g.*, Roche/454,⁶ Ion PGM⁷). Synchronous-controlled approaches are achieved by using nucleotide substrates that are reversibly blocked or by simply adding only one kind of nucleotide at a time. These strategies include Illumina⁸ and Fluorogenic DNA sequencing in PDMS microreactors.⁹ SBL-based strategies use ligase enzymes to extend DNA strands instead of DNA polymerases. These strategies contain AB SOLiD¹⁰ and Polonator.¹¹ Currently, due to longer read length and higher throughput of SBS-based platforms, the sequencing market is dominated by SBS-based platforms (*e.g.*, Illumina HiSeq 2000 (Illumina), 454 GLS FLEX (Roche), and Ion PGMTM sequencer (Ion Torrent)) instead of SBL-based platforms (*e.g.*, SOLiD 2.0 (Applied Biosystem)). One of the hallmark features of SGS technologies is their massive throughput at a modest cost, with hundreds of gigabases of sequencing now possible in a single run for several thousand dollars.³ However, the complexity associated with DNA library preparation and the biases introduced by polymerase chain reaction (PCR) amplification may limit broad applications to human genome resequencing.

Single-molecule sequencing (SMS) approaches, which are referred as the third-generation sequencing (TGS), were then proposed to ameliorate these limitations.¹² These technologies can roughly be binned into three different categories: (i) SBS technologies in which single molecules of DNA polymerase are observed as they synthesize a single molecule of DNA (SMRT

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sequencing (Pacific Biosciences) and HeliScope (Helicos BioSciences)); (ii) nanopore-sequencing technologies (MinION (Oxford Nanopore Technologies)); and (iii) direct imaging of individual DNA molecules using advanced microscopy techniques (sequencing using fluorescence resonance energy transfer (FRET) (VisiGen Biotechnologies)).¹³ These technologies have advantages over current SGS in terms of throughput, turnaround time, and read length. However, while cost and time have been greatly reduced, the error rates of TGS reach up to 4–11%^{13–15} and are relatively higher than SGS. Furthermore, signal acquisition is still a challenge in TGS.

In addition to the improvements of current technologies, other promising technologies which can offer higher throughput, longer read length, and lower error rate are eager to be proposed. Not long ago, our group proposed a real-time SBS-based decoding sequencing technology in which a template is determined without directly measuring base sequence, but by decoding two sets of encodings obtained from two parallel sequencing runs. Although both this decoding sequencing and the SOLiD system are achieved by decoding the codes (or encodings in the decoding sequencing) into base sequence instead of by directly obtaining base sequence, this decoding sequencing is different from the SOLiD system in terms of sequencing chemistries and decoding procedures. As for the SOLiD system, it is based on sequencing-by-ligation (SBL), and 8-mer probe which contains ligation site (the first base), cleavage site (the fifth base), and 4 different fluorescent dyes (linked to the last base) which are applied to interrogate the libraries. The SOLiD cycle of hybridization and ligation, imaging, and probe cleavage is repeated ten times to yield ten color calls spaced in five-base intervals. The extended primer is then stripped from the immobilized templates and another ligation round is performed with a ' $n - 1$ ' primer. After 5 rounds of sequencing, color codes from the five ligation rounds are aligned to a reference genome to decode the inquired sequence.³ Decoding is achieved by prepending the leading base to result in k -mer color codes from which the base sequence can be reconstructed from the first to the last.

However, decoding sequencing is based on real-time SBS instead of SBL. In addition, natural nucleotides instead of fluorescent dyes labeled probes are used; thus, there is no need to image and cleave probes, requiring many fewer steps in each cycle. This technology applies any two of the three sets of dual mononucleotide additions AG/CT, AC/GT, and AT/CG to interrogate a fragment in two parallel runs, and two sets of encodings, which contain information about the possible types and numbers of incorporated base(s) in each cycle, can be acquired. The template sequence can be reconstructed by decoding the two sets of encodings using a decoding scheme in which all the encodings and their degraded encodings from the two sets of encodings are compared with each other from the first to the last. The identical nucleotide between the two compared encodings is right the incorporated nucleotide. This strategy can increase read length and reduce error rate.¹⁶ Until now, this technology was successfully applied to simultaneously genotype several SNPs in a single run.¹⁷ Additionally, when it is applied to quantitatively analyze SNPs, it allows for the detection of alleles

with frequencies as low as 3%, which is more sensitive than the ~5% to ~7% level detected by conventional pyrosequencing.¹⁸

Here, we first discuss the potential advantages of this technology for HTS in terms of read length, sequencing time, and accuracy. We then discuss two major disadvantages containing chain decoding mistakes and homopolymers. How to handle these disadvantages is also proposed in terms of resequencing and *de novo* sequencing in this technology. Finally, we discuss the existing platforms with which this technology is compatible. We fully hope it will provide a new promising technology for researchers and customers.

Results

Mechanism of the real-time decoding sequencing technology

In general, nucleotides A, G, C, and T, can form sixteen combinations of di-bases. These include AA, CC, GG, TT, AC, CA, AG, GA, AT, TA, CG, GC, CT, TC, TG, and GT, six of which are different di-base combinations (AG, CT, AC, GT, AT, and CG). These six combinations can form three sets of dual mononucleotide additions, AG/CT, AC/GT, and AT/CG. This methodology uses information about the type(s) and number of incorporated base(s) to encode for the sequencing signals in each cycle (a reaction is defined as a cycle) at a time. A template can be interrogated in three parallel runs by using the three sets of dual mononucleotide additions AG/CT, AC/GT, and AT/CG, and three sets of encodings which contain the information about the possible type(s) and number of incorporated base(s) in each cycle can be acquired. However, given any two sets of encodings, nucleic acid sequence is allowed to be sequentially reconstructed.¹⁶ Therefore, this technology is able to use any two of the three sets of dual mononucleotide additions AG/CT, AC/GT, and AT/CG to interrogate a template in two parallel runs. Fig. 1A shows a sequence that is interrogated by AG/CT and AC/GT in two sequencing runs, respectively. Take an example to demonstrate how dual mononucleotide addition AG/CT works. When dual mononucleotide addition AG/CT is used, di-base AG (a mixture of dATPaS (α -thio-dATP) and dGTP) is first added into the reaction in the 1st cycle, and then a mixture of dCTP and dTTP (di-base CT) is added in the 2nd cycle. The ratio between the two mixed nucleotides is 1 : 1. In the 3rd and the 4th cycles, a mixture of dATPaS and dGTP (di-base AG) and a mixture of dCTP and dTTP (di-base CT) are added. That is to say, sequencing procedures are carried out by sequentially adding a mixture of dATPaS and dGTP (di-base AG) and a mixture of dCTP and dTTP (di-base CT). After two sequencing runs, the strategy decodes the two sets of encodings using a decoding scheme which is achieved first by degrading all the encodings whose number of the incorporated bases is more than one, and then by comparing the two sets of encodings from the first to the last. The identical nucleotide between the two compared encodings is right the incorporated nucleotide. The decoding procedures are shown in Fig. 1B.

A two-color code matrix is also used to encode sequencing signals. Two-color code(s) which contain(s) the information about the possible type(s) of incorporated base(s) also can be applied to represent sequencing signals in each cycle.¹⁷ Four



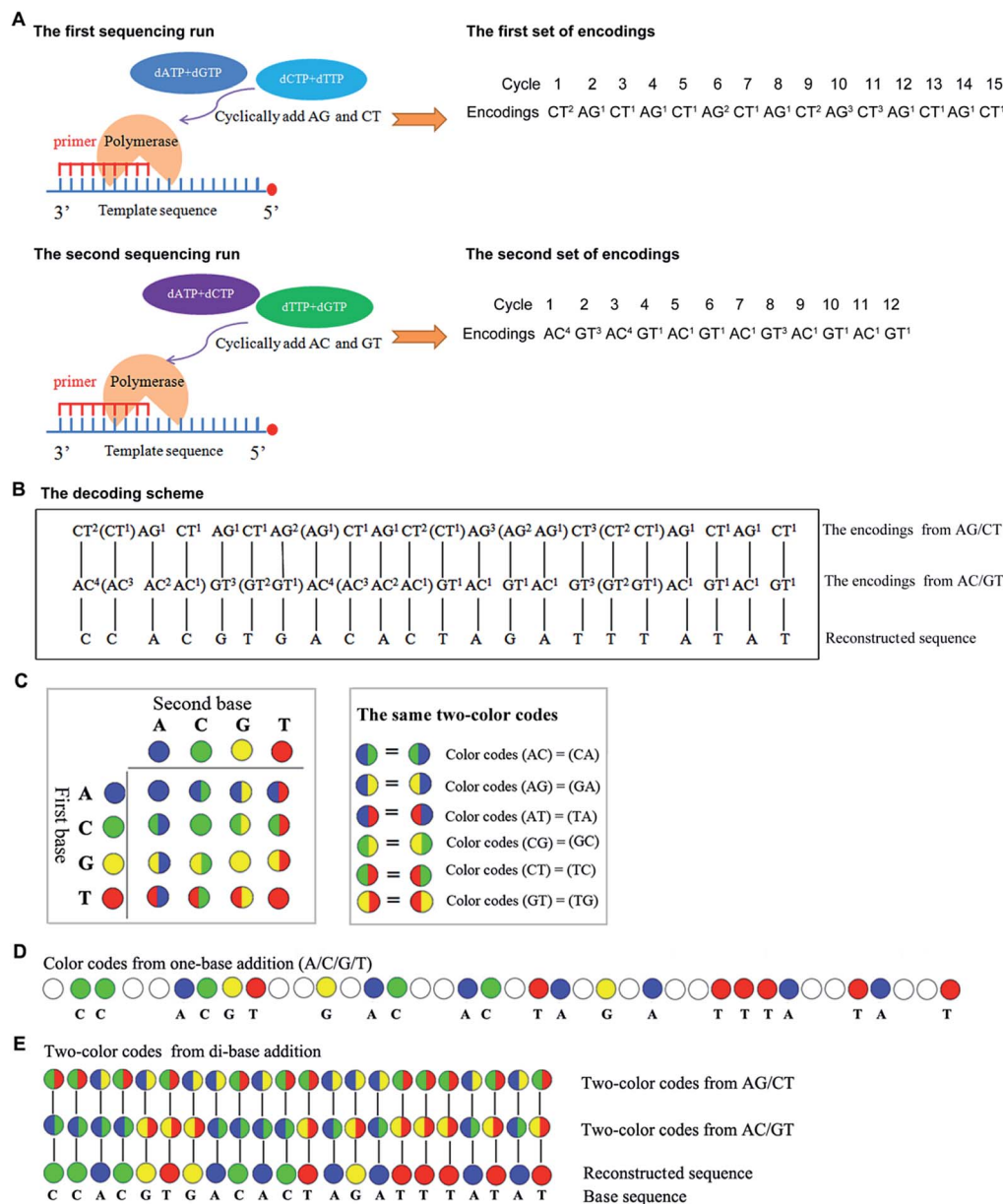


Fig. 1 The scheme of decoding sequencing system in terms of encodings and two-color codes. Sequence (5'-GGTGCACCTGTGATCTAAATATA-3') is interrogated. (A) Sequence is interrogated by using AG/CT and AC/GT in two parallel sequencing runs, and two sets of encodings are obtained. (B) The decoding scheme of this strategy. The encodings in the brackets behind each encoding are the possible degraded encodings of each encoding. (C) The color coding scheme of this technology. A two-color matrix is used to encode sequencing signals (left). The identical color-codes are shown in the right box. (D) Color codes from one-base addition. The nucleotide dispensation order is A/C/G/T. (E) Two-color codes from di-base addition and the decoding procedures. The color codes, blue, green, yellow, and red represent bases A, C, G and T, respectively. The white code represents there is no nucleotide to be incorporated in the cycle. The two-color code ● represents di-base GT while ● represents di-base AC. The two-color code ● represents di-base CT while ● represents di-base AG.

color codes (blue, green, yellow, and red) and twelve two-color codes are applied to encode for the sixteen possible di-bases (Fig. 1C). The color codes, blue, green, yellow, and red, represent 4 monodibases AA, CC, GG, and TT, respectively. The remaining two-color codes are used to encode for the twelve di-bases. Additionally, the number of two-color code(s) represents the number of incorporated nucleotide(s) in each cycle. The two-color codes should satisfy the following requirements: first, two different di-bases that have the same first (or the same

second) base get different two-color codes. For example, two-color codes (AC) ≠ (AT); two-color codes (AC) ≠ (TC). Second, monodibases get different color codes. For example, two-color codes (AA) ≠ (CC). Third, a di-base and its reverse get the same color code. For example, two-color codes (AC) = (CA). All the same two-color codes are shown in Fig. 1C. When a template is sequenced by both one-base addition and di-base addition, the corresponding color codes are shown in Fig. 1D and E. The system can reconstruct the base sequence based on a scheme



that the same color code between the two compared two-color codes is right the incorporated nucleotide (see Fig. 1E). For example, the first two-color code which is half green and half red in the 1st run is compared with the first one which is half blue and half green in the 2nd run, and the same color between the two compared codes is green. Thus, the incorporated nucleotide is base C in this cycle. Continuing in this way, all bases including the last one can be decoded.

Advantages of this technology for HTS

Read length. Read length is a very important indicator in HTS technologies. It is clear that sequencing technologies that can generate longer read lengths will reduce the complexity of sequence reassembly, decrease the coverage required for a complete sequence (and thus cost), and generally improve data quality.^{19,20} Thus, any increase in read length will be welcome.^{21,22} An important advantage of this decoding sequencing is its long read length. In this technology, there is no need to cut and clean the labeling group because of the use of natural nucleotides or terminal phosphate-modified nucleotides. In addition, the read length of SBS is relevant to the sequencing steps in each cycle. When one extra sequencing step is carried out, it is possible to affect the sequencing efficiency in a single run, thereby affecting the read length.

In one-base addition technology, general average read length is about 0.5 bp in a sequencing reaction when homopolymers are taken into account.¹⁶ When the first base is measured, three sequencing reactions are acquired in order to ensure that at least one base is measured in each template. However, in this decoding sequencing, general average read length is about 1.5 bp in a sequencing reaction when homopolymers are considered.¹⁶ Since two nucleotides are simultaneously added into each reaction, two sequencing cycles in a single sequencing run can ensure that at least one base is determined in each template. Therefore, if we assume that the reaction efficiency and time are identical in both the two technologies, the shortest read length of the decoding technology would be three times longer than that of one-base addition technology. This can be corroborated in our previously published work.¹⁶ In Fig. S1,† when a sequence is interrogated by the decoding sequencing, the minimum read length per cycle is 1.583 bp ($57/36 = 1.583$) while a maximum read length per cycle is 2.111 bp ($57/27 = 2.111$). Both the maximum and the minimum read lengths are more than 1.5 bp per cycle. In contrast, in the one base addition technology, average read length is 0.474 bp ($27/57 = 0.474$) per cycle. When the given cycles are greater (e.g., 500 cycles) in both the technologies, read lengths measured in the decoding sequencing (750 bp) are greatly longer than that in the one base addition technology (250 bp). Thus, the decoding sequencing has significantly increased the potential read length.

Sequencing accuracy. Sequencing accuracy plays an important role in HTS. Some methods have been developed to improve sequencing accuracy in sequencing reads (e.g., *a priori* information,²³ Quake,²⁴ de Bruijn graph-based short read assemblers²⁵). Since the released molecules are identical during polymerization, a simple and rigorous quantitative relationship

between the signal intensities of released detection molecules and the number of incorporated nucleotides exists. This quantitative relationship has nothing to do with the specific sequence. Besides, the accuracy in a single sequencing run is related to the technology used. For specific sequencing chemistry, when error rates of multiple sequencing runs are constant, the error rate is determined by the square of error rate in a single sequencing run.¹² Therefore, the error rate of this decoding sequencing is the square of error rate in a single sequencing run, and thus the sequencing accuracy will be dramatically improved. Although the decoding sequencing needs two parallel sequencing runs to interrogate an inquired sequence, two sets of encodings obtained from the two sequencing runs will provide more information to explore nucleotide sequences and to measure sequencing errors.

Turnaround time. Compared to other NGS platforms which take dozens or even hundreds of hours to interrogate an inquired genome, existing real-time SBS sequencing platforms only need a few hours. This is sufficient to explain the advantages of real-time SBS in terms of operation time. We discuss operation time of the decoding sequencing with the assumption that the inquired sequence length or the number of reaction cycles is constant.

First, we assume that the inquired sequence length is a constant N . Suppose that four nucleotides A, G, C, and T appear in a sequencing reaction at random; we compare the expectation value of the inquired sequencing cycles in one-base addition technology and in decoding sequencing, respectively. In the one-base addition technology, nucleotides A, G, C, and T are cyclically added. When the first base is measured and the downstream bases are independent, the test probability of the second base is $1/3$ (without considering homopolymers). Thus, as for one-base addition technology, the expectation value of the required cycles is $E_1 = 1 + 3(N - 1)$. Here, N is segment length to be tested. For the decoding sequencing, two nucleotides are simultaneously added. When the first base is interrogated and the downstream bases are independent, the test probability of the second base is 1. Thus, the expectation value of the required cycles should be $E_2 = 1 + (N - 1)$ in a single run. Since the decoding sequencing technology contains two sequencing runs, the expectation value of the required cycles is $E_3 = (1 + (N - 1)) \times 2$ in the two sequencing runs. When the fragment length is N , the difference of required cycles between the two technologies is $E_1 - E_3 = N - 2$. Thus, the longer inquired sequence is, the fewer number of cycles the decoding sequencing needs. Therefore, time required for the decoding sequencing is much less than that for the one-base addition technology when a much longer sequence is interrogated. Moreover, the two sequencing runs in the decoding sequencing can be parallelly carried out; thus time required for two sequencing runs is the same as that for a single run. When the fragment length is constant, the decoding sequencing needs fewer cycles (and thus less operation time), compared to the one-base addition technology.

Second, we assume the number of cycles is constant in a single run. When the decoding sequencing is carried in two unparallel runs, the operation time which contains degeneration and primer extension is two times that of the one-base



addition technology. However, the time-cost is undoubtedly worthwhile when the truth that read length per cycle is longer than that of the one-base addition technology is considered.

Challenges of the decoding sequencing technology for HTS

Although the technology may provide longer read length and more accurate sequencing data to both researchers and clinicians, two key limitations remain. First, chain decoding mistakes. After sequencing with di-base addition, signals can be encoded into two-color codes (or encodings) according to a two-color code matrix. These original two-color code data can be decoded by comparing the two sets of two-color codes to reconstruct the base sequence from the first to the last. Since the decoding procedures are achieved by comparing the two sets of two-color codes from the first to the last, the front two-color code will directly influence the decoding of its following bases. That is to say, a wrong two-color code will cause a chain of decoding mistakes. Second, another major limitation of this technology relates to homopolymers which include two types. One is consecutive instances of the same base, such as AAA or GGG. The other is consecutive instances of di-bases, such as ACACAC or ACCCAACAA. The two limitations will likely limit the ability of the technology to be applied on NGS platforms. Here, we provide their corresponding resolutions for application on NGS platforms.

Strategies for chain decoding mistakes. Theoretically, no matter how wonderful a high-throughput DNA sequencing technology is, sequencing errors still exist in the original data from sequencing technology. Based on the decoding principle in this technology, a wrong two-color code will cause a chain of decoding mistakes. That is to say, if all encodings match except one, all the encodings downstream the mistaken bases then will be mis-decoded. For example, two given sets of encodings and its alignment with references are shown in Table 1. All encodings in the first set match the reference except the second one while all encodings in the second set fully match the reference. By decoding the first and the second sets of original encoding data, the decoded base sequence is 5'-ACTATACGC-3'. However, by decoding the first and the second sets of encodings from reference, the decoded sequence is 5'-ACGCGCACG-3'. Thus, all the encodings downstream the second encoding are mis-decoded. To avoid this, we apply two-color code similar to SOLiD system to deal with it.

As with re-sequencing, data analysis depends on reference sequences for follow-up data analysis since reference sequences exist. In the decoding sequencing, each template is interrogated

in two sequencing runs, and two sets of two-color codes are obtained. These two-color codes are compared with the two-color codes of the reference instead of the reference base sequence. Therefore, the procedures are as follows: (i) the reference base sequences are translated into two-color code sequences under different dual mononucleotide additions (AG/CT, AC/GT, and AT/CG) by software. (ii) The two-color codes of reference sequences are compared with those of the original data to get information for mapping with a newly developed mapping algorithm. Two situations exist when the two-color codes of original data do not completely align with the two-color codes of references. First, one set of two-color code sequences perfectly match to the corresponding two-color code sequence of reference, but the other has one mismatched two-color code. The mismatched two-color code is referred to as sequencing errors. Second, both the two sets of two-color code sequences have several mismatched two-color codes and a certain number of (such as 18 consecutive two-color codes) matched consecutive two-color code segments. In this case, mismatched fragments are removed, and a certain number of matched consecutive code segments are reserved and used for assembly.

As *de novo* sequencing, there is no reference available, and thus the original sequencing data cannot align to the reference sequence. In general, a template can be interrogated by any two sets of dual mononucleotide additions from AG/CT, AC/GT, or AT/CG, and the sequence is able to be reconstructed by any two sets of two-color codes (or encodings). However, chain decoding mistakes may occur in any sets of two-color codes. In such case, a template should be interrogated by three sets of di-base combinations AG/CT, AC/GT, and AT/CG in three sequencing runs, and three sets of two-color codes (or encodings) will be obtained. The three sets of two-color codes (or encodings) can provide more information to decode the original sequence, reducing the chain decoding errors.

When raw data from high-throughput DNA sequencing are used to assemble *de novo* genome sequence, a corresponding number of coverage is required. Since a template is interrogated by at least two parallel sequencing runs in this decoding technology, each base is independently detected at least twice. This may dramatically reduce or even eliminate the chain decoding errors, just as the error correction in SOLiD system.^{26,27}

Strategies for homopolymers. Generally, if a number of nucleotides (>6 bp) are simultaneously incorporated during extension in existing SBS-based technologies, a major problem related to the homopolymers occurs (such as 454

Table 1 Possible chain decoding mistakes in this strategy^a

	The first set of encodings	The second set of encodings	Decoded bases
Reference	AG ¹ <u>CT</u> ¹ AG ¹ CT ¹ AG ¹ CT ¹ AG ² CT ¹	AC ² GT ¹ AC ¹ GT ¹ AC ² GT ¹ AC ¹	ACGCGCACG
Original data	AG ¹ <u>CT</u> ² AG ¹ CT ¹ AG ¹ CT ¹ AG ² CT ¹	AC ² GT ¹ AC ¹ GT ¹ AC ² GT ¹ AC ¹	ACTATACGC

^a Underlined segments and the bases in the boxes indicate differences between the original data and the reference.



Table 2 Sequences used in the assay^a

Title	Sequence (5'-3')
T1	CCGCTTTCCTCTCTATGGGCGAGTCCGGTGATCTAGTAGCATCGAGACTAGGTGCACTG <u>CGTACCGTACATCCGCCTGGC</u>
T2	CCGCTTTCCTCT[C/T]TATGGGCGAGTCCGGTGATCTAGTAGCATCGAGACTAGGTGCACTG <u>CGTACCGTACATCCGCCTGGC</u>
T3	CCGCTTTCCTCTCTATGGGCGAGTCCGGTGATCTAGTAGCATCGAG[-]CTAGGTGCACTG <u>CGTACCGTACATCCGCCTGGC</u>
T4	CCGCTTTCCTC[A]TCTATGGGCGAGTCCGGTGATCTAGTAGCATCGAGACTAGGTGCACTG <u>CGTACCGTACATCCGCCTGGC</u>
SP	GCCAGGCGGATGTACGGTACG

^a Templates T1–T3 represent the templates used. SP represents sequencing primer. The underlined segments are the hybridization regions with the sequencing primer SP. The segments in the bracket are SNP/deletion/insertion.

technology).^{28,29} As for the decoding technology, however, a total of two kinds of homopolymers may occur and limit its application in HTS. One is consecutive instances of the same base, such as AAA or GGG. The other is consecutive instances of di-bases (which we defined as a similar oligomer), such as ACA-CAC or ACCCAACAA. This is because if two nucleotides are added simultaneously, several identical encodings will be obtained at a time. The decoding technology needs two sets of encodings in two parallel runs to interrogate the base sequence. When similar oligomers (such as ACACAC..., or ACCCA... etc.) exist in one sequencing run, single base information (A/C/A/C/A/C...) will certainly be accurately measured in another parallel run, returning it to 'homopolymer' issue in existing SBS-based technology, such as 454 GS FLX⁶ and Ion PGM.⁷ Here, we discuss how to deal with the two limitations in terms of re-sequencing and *do novo* sequencing using templates T1 shown in Table 2.

For re-sequencing, a reference sequence is available for assembly. The reference base sequence is first converted into two-color code sequence under each di-base addition (AG/CT, AC/GT, and AT/CG) by software. Given a set of two-color codes from any di-base addition, the two-color codes of references are compared with those of original sequencing reads. Between the two sets of two-color codes obtained in two sequencing runs, when one has an ambiguous number of homopolymers in a given fragment, the other must be clear encodings in this fragment. Thus, when the two sets of two-color codes are compared with the two sets of two-color codes of references, one will perfectly match, but the other cannot match only in a given fragment. The solution is to use ambiguous alignment to align the two-color codes of original sequences to those of references by previously designing a dynamic range of base number. Take template T1 as an example, when the template is sequenced by AC/GT and AG/CT, respectively, two sets of two-color codes (or

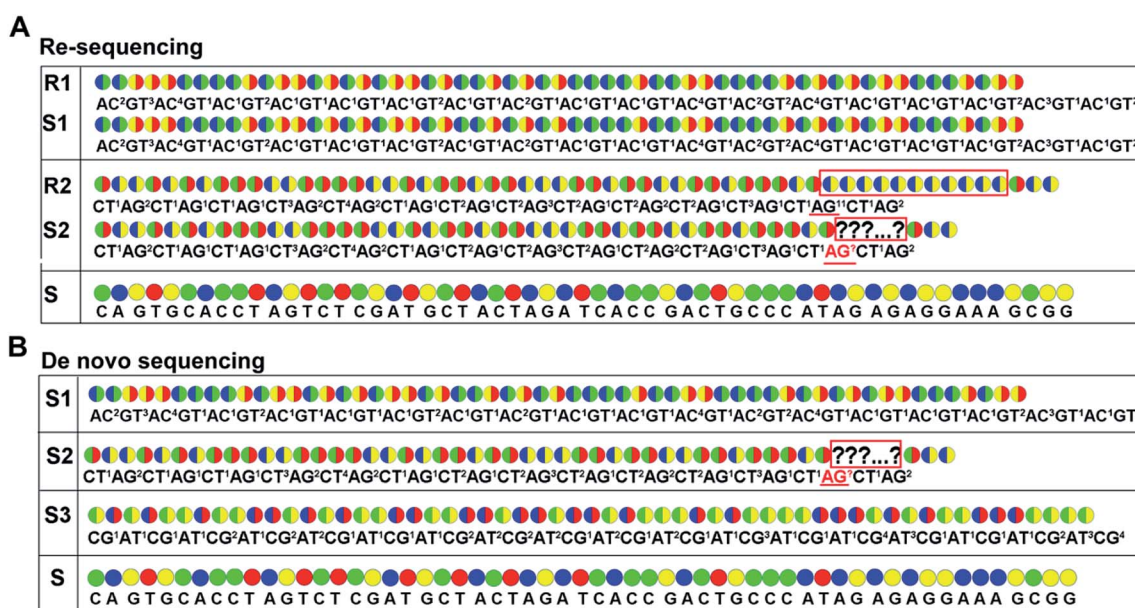


Fig. 2 Encodings and two-color codes from interrogating 57 bp of template T1 by using the decoding sequencing technology. Sequences S1–S3 indicate two-color codes (upper) and encodings (lower) obtained from AC/GT, AT/CG, and AG/CT while sequences R1–R3 indicate two-color codes (upper) and encodings (lower) obtained from reference by using AC/GT, AT/CG, and AG/CT. The two-color codes in the boxes are two-color codes with unknown length. Underlined segments are encodings with unknown length in homopolymer. The color codes, blue, green, yellow, and red, represent bases A, C, G, and T, respectively. The two-color code \bullet represents di-base GT while \bullet represents di-base AC. The two-color code \bullet represents the di-base CT while \bullet represents di-base AG. The two-color code \bullet represents di-base CG while \bullet represents di-base AT. Two-color codes in the red boxes indicate differences between the original data and the reference.



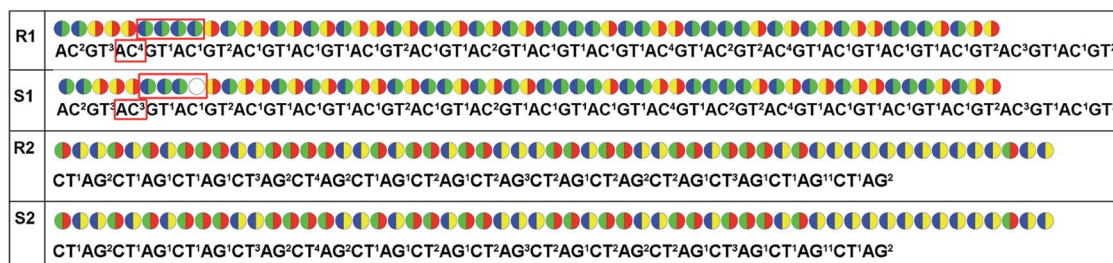


Fig. 3 Sequencing error occurs in the sequencing data. Sequences S1 and S2 indicate two-color codes (upper) and encodings (lower) obtained from AC/GT and AG/CT when template T1 is interrogated. Sequences R1 and R2 indicate two-color codes (upper) and encodings (lower) obtained from reference by using AC/GT and AG/CT. Two-color codes in the red boxes indicate differences between the original data and the reference.

encodings), S1 and S2, are obtained (Fig. 2A). S2 has an ambiguous number of homopolymers in a given fragment while S1 has clear encodings in this fragment. By using 'ignore' strategy, the homopolymer segments are discarded and the remaining parts are applied to decode the base sequence.

As for *de novo* sequencing, reference sequence is not available. Thus, the original encodings cannot align with the reference encoding sequences, making the unambiguous alignment of sequencing reads impossible. In such cases, the template needs to be sequenced using three different di-base additions (AG/CT, AC/GT, and AT/CG) in three sequencing runs, and three sets of encodings will be obtained. Among the three sets of encodings, if homopolymers exist in one set of encodings, the other two sets of encodings in this region must be without homopolymers. Therefore, correct sequence could be decoded by using the two sets of encodings without homopolymers. In Fig. 2B, three sets of encodings (S1, S2, and S3) are obtained. S2 contains homopolymers, but no homopolymer exists in S1 and S3. Thus, the correct sequence could be decoded by using S1 and S3.

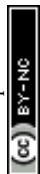
Characteristic of the decoding sequencing technology for HTS

Except for an increase of read length, the decoding sequencing has potential in-error-correcting. That is because, for each fragment, two sets of encodings will be obtained in this technology. These encodings provide much more information for alignment and thus allow for error-correcting and discriminating of SNP/deletion/insertion just like the SOLiD system.

Sequencing errors. With respect to resequencing, the primary requirement is a high-quality reference genome onto which all the short NGS reads can be mapped. After sequencing a genome, the computational task involves aligning millions or billions of reads back to the reference genome using short-read alignment programs. It should be noted that two cases may appear during alignment in this technology. First, between the two sets of two-color codes, one matches the reference well but the other does not. The unmatched set of two-color codes in original data must be different from the corresponding color codes of reference and a 'break' may occur. This may result from sequencing errors. We can set up alignment tools to automatically check both the two sets of two-color codes to find them. Second, both the two sets of two-color codes do not match

references well, which may be deduced from either variants or sequencing errors in the sequence. We may consider two-color codes in a corresponding mismatched position from at least two parallel sequencing runs to determine whether the site is a SNP or not. These two features also reflect important advantages of this strategy for HTS. Here, we take an example to demonstrate how to determine sequencing errors (Fig. 3). The two-color codes (or encodings) of template T1 and its alignment with corresponding references are shown in Fig. 3. When one set of two-color codes can exactly match the reference sequence (S2 vs. R2), the other set of two-color codes is not able to fully match the reference sequence and a 'break' appears (S1 vs. R1); it can be judged the 'break' as a sequencing error (Fig. 3). For *de novo* sequencing of new species, there will be some coverage. The encoding fragments from different sequencing runs can indirectly align among each other to assemble the sequence. The sequencing error-handling can improve sequencing accuracy.¹²

SNP/deletion/insertion. After mapping the reads, the next step in the computational pipeline is to call SNPs using a program. In this strategy, two sets of two-color codes in two parallel runs will provide more information to explore nucleotide sequences and to measure SNP/deletion/insertion. When two sets of two-color codes are aligned with references, several cases may occur. First, when only one set of two-color codes is different from the corresponding references but the other set of two-color codes is not, and there is no 'break' in the unmatched set of two-color codes, a SNP must have appeared. As shown in Fig. 4A, comparing S1 and S2 with references R1 and R2, encodings in R1 changes from AC¹GT¹AC¹ to AC³ in S1 and there is no 'break' in the two-color codes of S1. Besides, S2 perfectly matches reference R2. There must be a SNP in the sequence. Second, if there is a 'break' in both the two sets of two-color codes in the same position, it must be a deletion. For example, in Fig. 4B, if a deletion occurs in the sequence CAG of template T1, the result has to be CG (we define it as template T3). The number of two-color codes from AC/GT will reduce from 2 to 1, and the encodings in R1 and S1 changes from GT² to GT¹. Additionally, when encoding CT⁴ in R2 from the dispensation order of AG/CT changes into encoding CT³ in S2, there must be base A or G deleted in the complementary sequence. Comparing encodings GT¹ in S1 with CT³ in S2, there



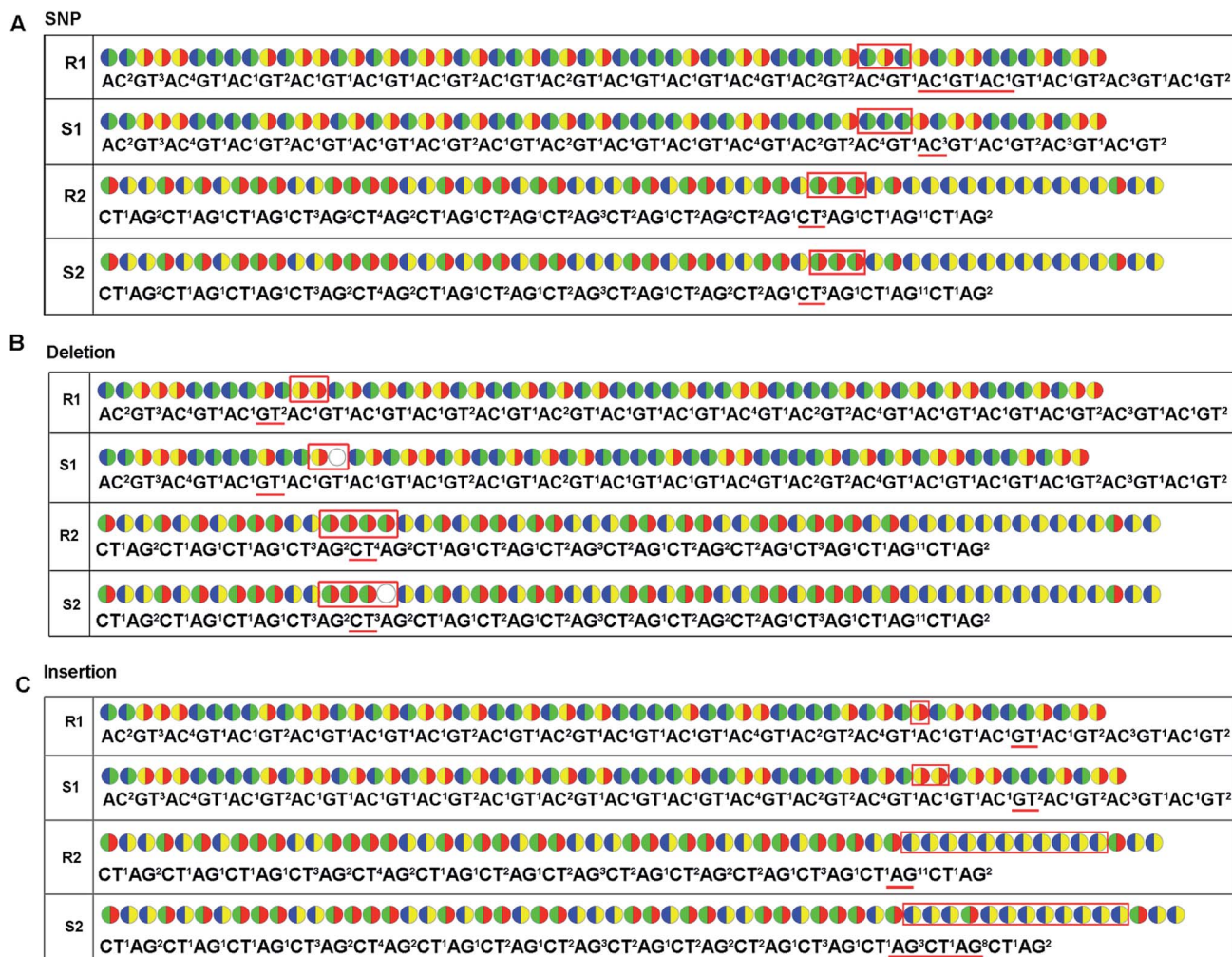


Fig. 4 SNP, deletion or insertion occurs in original sequencing data. Sequences S1 and S2 indicate two-color codes (upper) and encodings (lower) obtained from AC/GT and AG/CT when templates T2–T4 are interrogated. Sequences R1 and R2 indicate two-color codes (upper) and encodings (lower) obtained from reference by using AC/GT and AG/CT. (A) A SNP, C/T is contained in template T2. (B) Deletion is contained in template T3. (C) Insertion is contained in template T4. Underlined segments and the two-color codes in the red boxes indicate differences between the original data and the reference.

must be a deletion of base A in the inquired sequence. Third, if there is an additional encoding that appeared in both the two sets of two-color codes, it should be an insertion. In Fig. 4C, compared S1 and S2 to the references R1 and R2, encoding GT¹ in R1 changes to GT² in S1. Besides, when encoding AG¹¹ in R2 changes into encoding AG³CT¹AG⁸ in S2, there must be base A or G inserted in the complementary sequence. Comparing encodings GT² in S1 with CT¹ in S2, base A must be inserted in the queried sequence.

Possible applications to current sequencing platforms

So far, a number of SBS-based sequencing platforms have emerged in the market. These include Max-Seq (Qiagen), 454 GS FLX/FLX Junior (Roche), Ion PGM/proton (Ion torrent), HeliScope (Helicos biosciences), PacBio RS II (Pacific biosciences), and so on. Based on the principle of the decoding sequencing technology, they will be compatible with existing equipment, such as conventional pyrosequencing, 454 systems, Fluorogenic

DNA sequencing in PDMS microreactors, Ion PGM/proton, and HeliScope.

Conventional pyrosequencing is a real-time SBS technology which is based on adding a limiting amount of dNTP bases one at a time to control DNA synthesis and it yields detectable light by a cascade of enzymatic reactions.³⁰ Since this technique is SBS-based and uses natural nucleotides during synthesis, it is compatible with decoding sequencing technology. Based on the conventional pyrosequencing platform (PSQ 96MA system), we validated this decoding sequencing technology in our previous works (we called it pyrosequencing with di-base addition).¹⁶ We found that it was perfectly compatible with a conventional pyrosequencing platform and that generated visible light was proportional to the number of incorporated nucleotides when the number of identical nucleotides was less than 7 bp.¹⁶ Moreover, the read length of pyrosequencing with di-base was nearly 1.5 times that of conventional pyrosequencing, therefore further improving its read length. Increasing read length will



reduce the cost of DNA analysis and extend its applications. For example, several SNPs were simultaneously examined in a single run which were unable to be examined by conventional pyrosequencing.¹⁷

Roche/454 system uses emulsion PCR³¹ and pyrosequencing technology.^{29,32} Therefore, the decoding sequencing is compatible with Roche 454. The key advantage of the Roche/454 system is its longer sequence reads. If it is combined with the di-base addition, the read length will be further improved and thus reduce its cost. It will be more suited for *de novo* sequencing of new genome. However, similar to conventional pyrosequencing, a major limitation of 454 system relates to homopolymers owing to the lack of a terminating moiety. If the decoding sequencing is used on this platform, the limitation can be remedied by solutions we have proposed.

Ion Personal Genome Machine (PGM) uses semiconductor sequencing technology. When a nucleotide is incorporated into the DNA molecules by the polymerase, a pyrophosphate is released and hydrolyzed to yield a proton. Instead of detecting the light change in pyrosequencing technology, this technology detects the change in pH to recognize whether the nucleotide is added or not. Similar to pyrosequencing technology, the amount of voltage detected is proportional to the number of incorporated nucleotides.^{7,33} Both the decoding technology and the semiconductor sequencing technology use unlabeled nucleotides and are based on real-time SBS technology. Thus, the decoding sequencing is compatible with PGM. When the dispensation orders of AG/CT, AC/GT, and AT/CG are applied, each time the chip is flooded with one di-base after another, and if it is not the correct nucleotide, no voltage will be found; if there are 2 nucleotides incorporated, then double voltage is detected. Based on the amount of the detected voltage, the encoding(s) in each reaction cycle can be obtained. Since the decoding sequencing has potential of increasing read length, the combination of PGM and the decoding sequencing will further improve the read length, making it much more useful for clinical applications and small labs.

Pyrosequencing and semiconductor sequencing use natural nucleotides and do not need chemical cleavage. However, they use instantaneous light emission or detection of the electrochemical signals which need constant monitoring, and each individual reaction requires a separate detector, thus affecting their throughput. In 2011, Sims *et al.* developed Fluorogenic DNA sequencing in PDMS microreactors.⁹ This technology combined the advantages of pyrosequencing and semiconductor sequencing with fluorescent labeled nucleotide monomers (TPLFNs). When a TPLFN is incorporated into the template immobilized in the micro-reactor by a DNA polymerase, a recessive fluorescently labeled pyrophosphate will be released. The pyrophosphate is degraded to yield fluorescent light. Based on the principle of the decoding sequencing, this technology is compatible with the decoding sequencing. It was confirmed that the original accuracy rate of this technology was about 99% and it not only provided the benefits of pyrosequencing, such as fast turnaround and one-color detection, but also had high throughput. When it is combined with this

decoding sequencing, accuracy rate and throughput will be further improved.

The HeliScope from Helicos Biosciences is based on true single molecule sequencing technology and relies on the cyclic interrogation of a dense array of sequencing features.³⁴ It applies a highly sensitive fluorescence detection system to directly interrogate single DNA molecules *via* sequencing by synthesis. Besides, no terminating moiety is present on the labeled nucleotides. Thus, our technology is compatible with this platform. If it is combined with the decoding sequencing, the read length will be further improved and thus reduce the cost of this platform. In addition, sequencing accuracy is the major problem in TGS. A two-pass strategy was developed to improve raw sequencing accuracy.³⁵ However, if it is combined with the decoding sequencing, at least two sequencing runs are carried out, and the sequencing accuracy will be improved without performing two-pass strategy.

Discussion

Though truly novel core techniques emerge from time to time, it is clear that most new sequencing applications have resulted from efforts to combine the building blocks of existing designs and protocols in different ways.³⁶ In 2005, ABI SOLiD sequencing was developed, which did not directly measure the base sequence, but measured DNA bases in pairs and in an encoded form (two-base encoding). In order to compare such data to a reference sequence, the encodings must be decoded into base sequence.²⁶ Owing to a two-base decoding system, SOLiD could reach a high accuracy of 99.85% after filtering.²⁹ However, read length is limited for fluorescently-labeled nucleotides and for large numbers of steps to read one base pair. By combining real-time SBS technology with another kind of two-base encoding, novel decoding sequencing emerges. This technology enables not only ability to increase the read length but also to maintain high accuracy. The longer read length is, the easier genome reassembly is.³⁷ With longer reads, researchers are able to sequence through extended repetitive regions and detect mutations, many of which are associated with diseases.

Another attractive advantage of decoding sequencing is its compatibility. According to its principle, it is not only compatible with the first-generation sequencing platform, but also the NGS and even the TGS platforms. Due to limited conditions in our laboratory, we only validated its feasibility on a conventional pyrosequencing instead of the NGS or TGS platforms. Decoding technology has the potential of further improving the advantages of sequencing platforms, such as read length of PGM and 454 systems. Moreover, it also enables one to compensate some disadvantages of other NGS platforms. For example, HeliScope Genetic Analysis System will compensate the disadvantage of low sequencing accuracy when it is used. Although this technology has been compatible with only a few platforms so far, it will also be compatible with others as new techniques emerge from time-to-time. We expect it will broadly expand applications of current and upcoming sequencing platforms.



Decoding sequencing has shown advantages in some unique niches. However, two major disadvantages including the chain decoding mistakes and homopolymers exist. Similar to other SBS-based technologies, homopolymers have previously prevented it from being applied more broadly since repetitive DNA sequences are abundant in bacteria and mammal human genomes.³⁸ These regions are difficult to sequence even in relatively small genomes. Here, corresponding solutions have been provided to remedy limitations in terms of re-sequencing and *do novo* sequencing.

Current HTS platforms provide a huge variety of sequencing applications to many researchers and projects, and they make it possible for research groups to generate longer read lengths very rapidly at substantially lower costs. When decoding sequencing is applied with compatible platforms, its applications may be further expanded. We fully hope it will increase the applicability of current and upcoming platforms in almost every arena in life and biomedical sciences.

Conclusions

Overall, SBS-based decoding sequencing, using di-base decoding theory, provides long reads with a high error rate and is highly compatible. This decoding sequencing has great potential in HTS in terms of read length, sequencing accuracy, and turnaround time. Moreover, it is highly compatible with most other SBS-based platforms, and also has the ability to compensate limitations of some SBS-based platforms, thus extending its application to researchers and customers. When it is applied to a compatible SBS-based sequencing platform, it will provide longer average read length than the SBS-based SGS platforms, and the sequencing accuracy will be higher than TGS platforms. Two major disadvantages are homopolymers, including chain decoding mistakes and homopolymers. However, corresponding solutions have been provided to remedy these limitations. Decoding sequencing has the potential of providing a new promising technology compatible with existing sequencing platforms thus enabling researchers and customers to extend application of HTS technologies in the fields of biology and biomedicine.

Conflicts of interest

There are no conflicts of interest to declare.

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