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Fabrication of p-ZnO:Na/n-ZnO:Na homojunction by surface pulsed laser irradiation

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An ingenious method of preparation of ZnO homojunctions for on-chip integration purposes is proposed, by local multiple pulse laser irradiating (MPLI) ZnO:Na film (NZO). The importance of this method lies in realization of p- and n-ZnO using only one kind of dopant (Na element) with a single layer of NZO film. The p-NZO as prepared by pulsed laser deposition (PLD) easily changes to n type after a couple of hours. However, more than ~150 times MPLI with laser fluence of 60 mJ cm⁻² can be used to efficiently control the Na dopants to occupy the substitutional (Na_{Zn}) sites to realize a more stable p-NZO. The low temperature (6 K) PL spectra of the p-NZO at excitation power of 6 mW and the first principle calculation show that the acceptor energy level is ~161 meV. The current–voltage (*I*–*V*) curve of the p–n NZO homojunction fabricated by local MPLI shows good rectifying behavior, with turn on voltage at ~2.47 V under forward bias voltage and reverse breakdown voltage bias ~11.22 V. This convenient p–n junction technique could be used to simplify and improve controllability and accuracy of fabrication of microchip electronic devices.

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Introduction

Solid state electronics have attained a level of sophistication and economic importance far beyond the expectations of their inventors. There is continuous demand for better performing devices at lower cost and using novel fabrication techniques. A vital component of solid state electronics is semiconductor p–n junctions. These can be used as rectifiers as one part of DC power supplies and restorers in bracing systems, detector circuits, switchers in advanced rationale circuits, and so on. Current fabrication techniques of p–n junctions mainly comprise alloying or fusion, solid or gaseous diffusion, ion implantation, epitaxial growth, and point contact.^{1–3} However, almost all these mature technologies require complex processes to realize photolithography techniques, epitaxial growth, or high ambient temperatures to achieve material fusion and impurity diffusion, and it is particularly hard to realize precise positioning. These represent obstacles to microchip application of semiconductor junctions for separating the semiconductor doping process from other processes. In recent years, laser irradiation (LI), also called laser annealing (LA), has received much research interest in formation of ultrashallow junctions essential in semiconductor technology.^{4–7} LI typically melts the

surface region of the implanted substrate and causes the dopant to be distributed uniformly,^{6,8} and there is a high concentration of dopant incorporation, exceeding the solid solubility because of solute trapping^{9,10} under the highly nonequilibrium recrystallization process. Currently, however, the LI technique is used only as an annealing process; it has not been used as a fabrication method of semiconductor p–n junctions.

The present study proposes an ingenious method for preparation of homojunctions for on-chip integration purposes by multiple pulse laser irradiating (MPLI) ZnO:Na film (NZO) to control Na dopant to occupy the substitutional (Na_{Zn}) or interstice (Na_i) sites to realize p/n-NZO. This novel technique could be useful for simplifying the working process and improving controllability and accuracy in microchip electronic device fabrication.

Experimental

First, NZO film of thickness ~2.4 μm was deposited by pulsed laser deposition (PLD) technique on glass substrates at a temperature of 550 °C and O₂ pressure of 40 Pa by ablating Zn_{0.95}Na_{0.5}O ceramic targets. The pulsed KrF excimer laser was employed as an ablation source. The distance from target to substrate and the growth duration were 5 cm and 1 h, respectively. Prior to the deposition, the base pressure of the vacuum chamber was evacuated to ~1.1 × 10⁻³ Pa. Then the NZO was repeatedly irradiated by pulse laser. The irradiation spot was oval shaped, with long and minor axes of ~8 and ~3.5 mm, respectively.

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Before and after the MPLI, field emission scanning electron microscopy (SEM) was used to determine the thickness of NZO film *via* cross-section; top SEM imaging was used to determine changes in surface structure. Electrical resistivity, Hall mobility, and carrier concentration were measured by Hall effect and van der Pauw methods. Photoluminescence (PL) measurements were carried out at absolute temperature 6 K and excitation power 6 mW. The PL signals from the samples were dispersed using a Jobin-Yvoni HR 320 monochromator and detected by a thermo-electrical cooled Sy-napse CCD detector. A first principle calculation was performed with CASTEP code to prove the validity of the experiment results, as based on density functional theory.^{11–13} Generalized gradient approximation (GGA) with Perdew–Burke–Ernzerh of scheme was employed for treatment of the exchange–correlation function. The energy cut-off for the plane-wave expansion was set to 380 eV and the valence atomic configurations were $2s^22p^63s^1$ for Na, $3d^54s^2$ for Zn, and $2s^22p^4$ for O. For Brillouin zone integration, a $3 \times 4 \times 3$ *k*-point Monkhorst–Pack mesh was used.

Results and discussion

Fig. 1(a) shows the laser beam path diagram of the MPLI device. The KrF excimer laser (Coherent Inc. USA) has laser pulse duration and emitted wavelength of 25 ns and 248 nm, respectively. The processing parameters (*i.e.*, laser power, laser spot size, and pulse frequency) require careful control to provide an appropriate temperature field on the sample surface. The laser beams are emitted horizontally from the KrF laser source and reflected downward by a reflection. The fluence of the laser spot on the NZO film can be easily adjusted by changing the value of *L*. Fig. 1(b) shows the thickness changes of the NZO film with different numbers of pulsed laser radiation (PLI) in atmospheric atmosphere at room temperature. Here, the laser fluence was adjusted to 60 mJ cm^{-2} and the frequency was set to 5 Hz. After MPLI, the thickness of the NZO was

reduced by the laser ablation effect, as the surface temperature increases with increasing number of pulses.¹⁴ The average reduction rates of the A, B and C zones were 6.0, 1.375, and 3.65 nm per pulse, respectively. The film surface temperature can be expressed as¹²

$$T \propto \eta \frac{p}{Lr^2} \exp\left(-\frac{L_f}{\alpha}\right) \quad (1)$$

where *p* is the mean power of the laser beam, *L_f* the film thickness, *r* the laser beam radius, *α* the thermal diffusivity, and *η* the scaling factor determined by the mixing ratio (*m*) of ZnO single crystal grain with atmospheric air. The *η* values for rough and smooth surfaces are greater and less than 1, respectively.¹⁴ The *p*, *r*, and *α* are considered as constants here. Based on eqn (1), the surface of the as-prepared NZO (a-NZO) shown in Fig. 2(a) was rougher than the laser-irradiated surfaces shown in Fig. 2(b)–(f). Thus, the related *η* should be greater than 1, leading to a higher temperature, corresponding to zone A, with reduction rate higher than in zone B. For zone C, the *L_f* is small enough to make the temperature rise sharply, resulting in the fastest thickness reduction rate of 3.65 nm per pulse.

Fig. 2 shows SEM images of the NZO surface morphologies with different PLI times. Fig. 2(a), the a-NZO, shows an uneven surface, subsidence holes, and nonuniform crystal grain size, leading to *η* greater than 1.¹⁴ Fig. 2(b)–(f) show the laser-irradiated NZO with MPLI (m-NZO) 150, 250, 350, 450, and 650 times, respectively. The increasing numbers of pulses of laser irradiation cause the film surface to become flatter, as seen in Fig. 2(b)–(e). Increasing the MPLI up to 650 times results in NZO with extreme smooth and uniform crystal grain without crystal burrs (Fig. 2(f)). This is attributed to the high temperature, causing the ZnO crystal grains to melt down and recrystallize.¹⁵ The diameter of a crystal grain is $\sim 250 \text{ nm}$, as

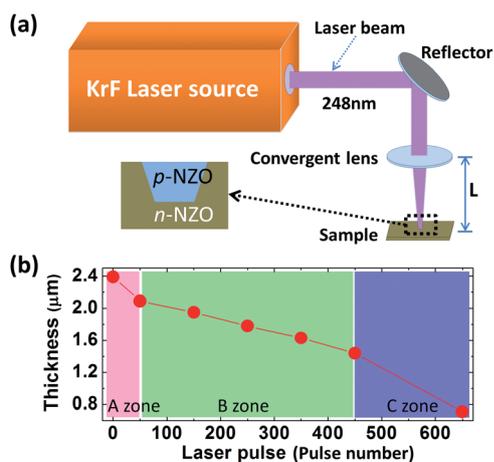


Fig. 1 (a) Schematic of the laser annealing setup; (b) thickness of NZO on quartz substrate with different numbers of pulsed laser radiation at room temperature. p-NZO and n-NZO denote p and n type ZnO:Na, respectively.

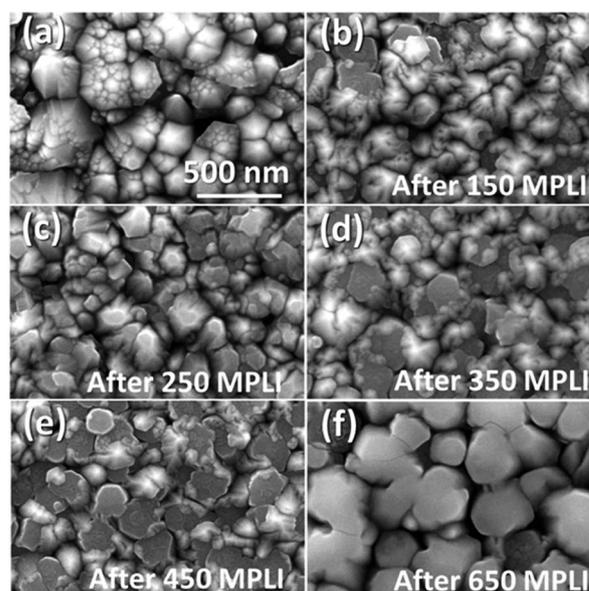


Fig. 2 SEM images of the NZO film. (a) NZO; (b), (c), (d), (e), and (f) m-NZO with MPLI for 150, 250, 350, 450, and 650 times, respectively.



determined by the substrate's thermal conductivity, where higher conductivity gives larger final NZO crystal size.¹⁵

Fig. 3 shows the XRD patterns of a-NZO and m-NZO with MPLI of 150, 250, 350, and 650, respectively. Only the XRD peak corresponding to wurtzite ZnO (002) plane is seen, revealing the high crystallinity and *c*-axis preferential orientation of the NZO films.¹⁶ The mean crystallite size can be calculated using the Scherrer equation with full width at half-maximum (FWHM) of the (002) peak. This gives mean crystallite sizes of a-NZO, and m-NZO with 150, 250, 350, and 650 times MPLI of 12.790, 15.267, 15.055, 16.102, and 16.420 nm, respectively. Thus, the MPLI process increases the crystallite size and improves crystal quality, *via* the previously mentioned process of crystal grain meltdown and recrystallization.

Fig. 4(a) shows the carrier concentration and Hall mobility as a function of standing time of NZO at room temperature. This indicates that the a-NZO is p type; however, its hole concentration drops from 5.06×10^{19} to $9.15 \times 10^{17} \text{ cm}^{-3}$ within 50 minutes without any other treatment than the Hall testing. It then changes to n type within the next 50 minutes. Meanwhile, its carrier (electron) concentration increases up to $3.10 \times 10^{19} \text{ cm}^{-3}$ and the Hall mobility to $6.68 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$. The above mentioned phenomenon demonstrates the unstable p type electrical property of the a-NZO.¹⁷ In fact, there is a high energy barrier (3.4 eV) for Na_{Zn} transformation to Na_i , which is much higher than that (1.56 eV) of Na_i to transform to a substitutional site.¹⁸ That is to say, Na_{Zn} is stable. Therefore, it is presumed that the initial p type conductivity of the a-NZO results from unstable p type defects rather than Na_{Zn} . When the NZO is annealed by MPLI for 150, 250, and 350 times, corresponding to points A, B, and C in Fig. 4(a), the temperature increases on the NZO surface. Meanwhile, the temperature decreases by $\sim 49\%$ of the peak temperature (T_{peak}) of each pulse in 600 ns, after which the next laser pulse comes. It can be calculated that after 30 laser pulses, the T_{peak} would be higher than 1224 K.¹⁵ This is fairly close to the melting point of NZO; therefore, recrystallization of NZO is expected. After 150 pulses at A point in Fig. 4(a), the NZO film has experienced 150 cycles of rapid temperature increase and decrease processes, which provide sufficient

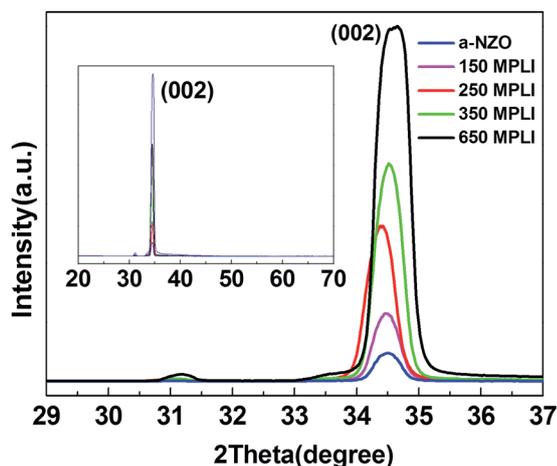


Fig. 3 XRD patterns of a-NZO and m-NZO with different MPLI.

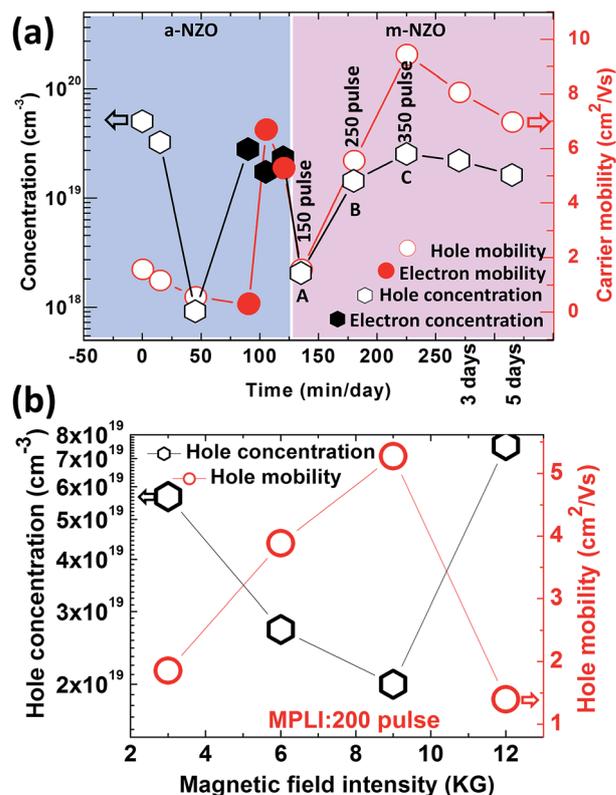


Fig. 4 (a) Carrier concentration and Hall mobility as a function of standing time for NZO tested under 10 kG magnetic field intensity. The PLI times for A, B, and C are 150, 250, and 350, respectively. Red dot, white hexagon, and black filled hexagon denote Hall mobility, hole concentration, and electron concentration; (b) carrier concentration and Hall mobility of m-NZO as a function of magnetic field intensity from 2–12 kG. Red dot and white hexagon denote carrier Hall mobility and the holes concentration, respectively.

thermodynamic energy for Na dopant to overcome the barrier energy from interstice site to substitutional site. The film then converts back to p type, as shown by the A, B, and C points. The hole concentration and Hall mobility reach up to $2.53 \times 10^{19} \text{ cm}^{-3}$ and $9.43 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$, respectively, at C point. That is to say, the Hall mobility is better than that of the a-NZO, resulting in improved crystallographic quality after laser annealing treatment. After 5 days, the hole concentration and Hall mobility are maintained at $1.62 \times 10^{19} \text{ cm}^{-3}$ and $6.97 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$. This demonstrates that the p type m-NZO has improved stability, which may arise from formation of Na_{Zn} . Fig. 4(b) shows the carrier concentration and Hall mobility of the m-NZO as a function of magnetic field intensity from 2 to 12 kG. It demonstrates good p type stability at different magnetic field intensities.

To characterize the optical properties of the NZO, PL was carried out at room temperature and low temperature (Fig. 5). Fig. 5(a) shows the normalized PL spectra at room temperature of a-NZO and m-NZO with MPLI for 0, 150, 250, and 350, and 650 times. All lines exhibit a dominant near-band-edge (NBE) emission, which is identified as the recombination of free exciton (FX).¹⁹ The strong NBE emission and negligible deep-



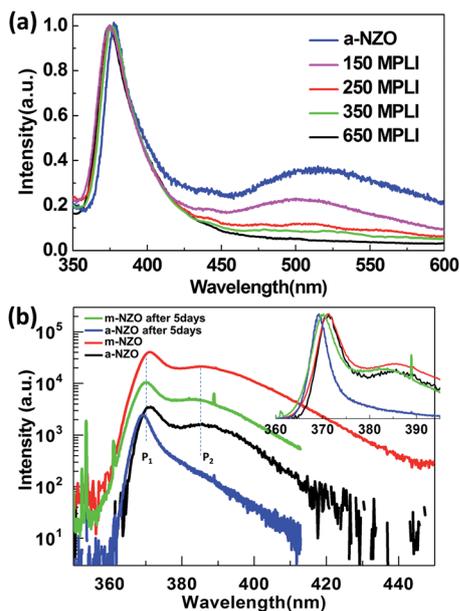


Fig. 5 (a) Room-temperature PL spectra of a-NZO and m-NZO with MPLI for 0, 150, 250, and 350, and 650 times, respectively. (b) The low temperature (6 K) PL spectra of NZO at excitation power of 6 mW. The red, black, green, and blue curves denote m-NZO, a-NZO, m-NZO after 5 days, and a-NZO after 5 days, respectively. The inset shows a normalized PL plot.

level emission indicate that the obtained ZnO film is of high optical quality, which is suitable for application in electronic devices, while a wide deep-level emission (around 510 nm) related to the oxygen vacancies (V_O) or interstitial zinc (Zn_i) is observed.²⁰ As acting as donor will compensate the acceptor, the efficiency of Na doping is therefore reduced. In other words, more efficient p-type NZO film could be obtained by restraining the density of wide deep-level defects. It is noted that increasing the number of MPLI times corresponds to a weakening in intensity of the wide deep-level defect peak located at ~ 510 nm, which demonstrates that the MPLI process improved the crystal quality and decreased the defect (V_O and Zn_i) concentration dramatically. In Fig. 5(b), the red, black, green, and blue curves denote m-NZO, a-NZO, m-NZO after 5 days, and a-NZO after 5 days, respectively. The inset is normalized PL plots. The resulting PL spectra exhibit two dominant peaks centered at ~ 3.35 (P_1) and ~ 3.21 eV (P_2), which are assigned to the acceptor-bound (Na_{Zn}) exciton and donor-acceptor (Na_{Zn}) pairs (DAPs), respectively.^{21,22} The binding energy of an acceptor, E_A , can be approximately calculated using the following eqn (2)²¹ (with the van der Pauw polarization interaction term neglected because of the relatively large value of r):

$$E_A = E_{\text{gap}} - E_D - E_{\text{DAP}} + \frac{e^2}{4\pi\epsilon r} \quad (2)$$

in which ϵ is the dielectric constant. The donor binding energy, E_D , is reported to be 60 meV, and the intrinsic band gap is $E_{\text{gap}} = 3.37$ eV.^{23,24} The pair separation, r , can be estimated as $(3/4\pi N_A)^{1/3}$, where $N_A \approx 2.53 \times 10^{19} \text{ cm}^{-3}$ for m-NZO, the last term of eqn (2) is ~ 140 meV. Therefore, the value of E_A is estimated to

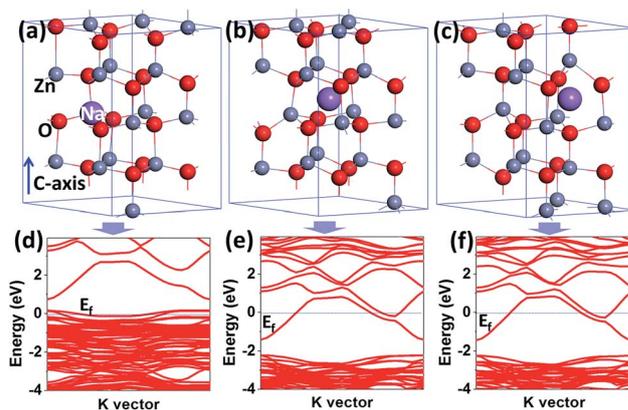


Fig. 6 (a), (b) and (c) are geometry optimized models of NZO with Na at substitutional, tetrahedral interstice, and octahedral interstice sites. (d), (e) and (f) are the corresponding band structures. E_f is Fermi level. Red, grey, and purple balls denote O, Zn, and Na, respectively.

be ~ 161 meV,²⁵ in good agreement with the calculation data 165 meV in Fig. 6(d). It is worth noting that the DAPs peak of the a-NZO disappeared after 5 days; this was proved to be n type by Hall Effect testing. This further corroborates that the P_2 should be assigned to DAPs. When the a-NZO turned into n type after 5 days, the P_2 disappeared. This may be caused by disappearance of the acceptor level. Meanwhile, the disappearance of the acceptor level results in expansion of the band gap, which may be the cause of the blue shift of P_1 . These results offer further proof that the P_2 is related to acceptor Na_{Zn} .

Fig. 6(a)–(f) shows the geometry optimized models and corresponding band structures of NZO crystal. The Na atoms are located at substitutional, octahedral interstice ($Na_{i/o}$), and tetrahedral interstice (Na_{it}) sites, respectively, shown in Fig. 6(a)–(c). The position of the Fermi-level in the band structures indicates that $ZnO:Na_{Zn}$ is p type and $ZnO:Na_{it/o}$ is n type, see Fig. 6(d)–(f).^{26,27} That is to say, p and n-ZnO could be obtained simply by doping Na elements, which gives theoretical support to the present work. The band gap energies are calculated to be 0.575, 0.796, and 0.789 eV for $ZnO:Na_{Zn}$, $ZnO:Na_{i/o}$, and $ZnO:Na_{it}$, respectively. These values are underestimated compared with experimental data, which is a well-known artifact of traditional density functional theory (DFT).^{18,28} The E_A value from the first principle result is 165 meV, in good agreement with the experiment result of 161 meV from eqn (2).

The room temperature current–voltage (I – V) curves of the p/n-NZO homojunction are shown in Fig. 7(a). Good rectifying behavior of the junction is observed from the I – V characteristics. Its turn on voltage appears at ~ 2.47 V under forward bias voltage and the reverse breakdown voltage bias is ~ 11.22 V. The inset I_a shows a photo of the p/n-NZO homojunction on a glass substrate with a laser ablated spot. The insets I_b and I_c demonstrate good ohmic behavior of the contact between A–B and C–D points, which confirms that the rectification arises from the NZO homojunction between A and C points. Fig. 7(b) shows the band structure of the p/n-NZO homojunction. V_B and V_D are the valence band and contact potential difference or



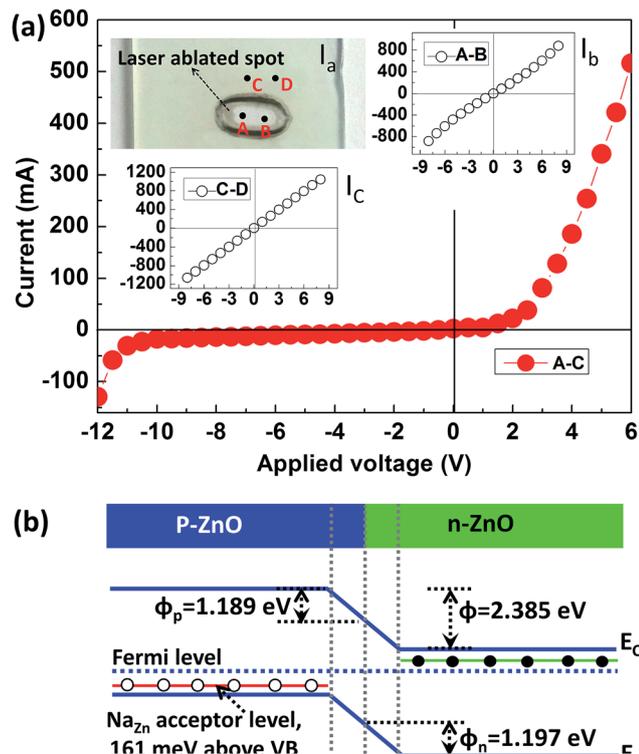


Fig. 7 (a) The I - V curve of the p/n-NZO homojunction (between A and C points in the inset). The insets show a photo of the local laser-irradiated NZO homojunction (I_a), and the I - V curve of A-B and C-D points (I_b and I_c). (b) The band structure of the p/n-NZO homojunction. VB and V_D are the valence band and contact potential difference. The blue dashed line is Fermi level of the p/n-NZO homojunction.

threshold voltage. The V_D of thermal equilibrium p-n junction can be expressed as:

$$V_D = \frac{k_0 T}{q} \left[\ln \frac{N_D N_A}{n_i^2} \right]$$

here, k_0 denotes the Boltzmann constant, T is the room temperature 300 K, n_i is the intrinsic carrier concentration of ZnO ($\sim 1 \times 10^7 \text{ cm}^{-3}$), N_D is the donor concentration of the n-NZO of the homojunction ($3.1 \times 10^{19} \text{ cm}^{-3}$), and N_A is the acceptor concentration of the n-NZO of the homojunction ($2.53 \times 10^{19} \text{ cm}^{-3}$). Thus, a V_D value of 1.49 V is obtained, and the contact potential barrier height ϕ ($=qV_D$) for electron or hole is 2.385 eV. It is known that V_D is the sum of V_n and V_p , and V_n and V_p are the voltage drop of the space charge region of n/p zone of the p-n junction, respectively.

$$V_{n/p} = \frac{k_0 T}{q} \left[\ln \frac{N_{D/A}}{n_i} \right]$$

Thus, V_n and V_p are 0.748 and 0.743 V, respectively. Then the ϕ_n and ϕ_p should be 1.197 and 1.189 eV. It is also noted that the turn on voltage (2.47 V) based on the I - V curve is far bigger than V_D (1.49 V). This may be caused by the high resistance layer

generated from the MPLI process in the interface of n- and p-NZA.

Conclusions

In summary, p/n-NZO homojunctions were prepared for on-chip integration purposes using a MPLI technique. The homojunction contains only Na dopants to realize p/n type conductivity of the ZnO film. The MPLI process can reduce the film thickness at $\sim 1.375 \text{ nm}$ per pulse and improve the crystallinity by high temperature recrystallization. Meanwhile, it can also cause the Na dopants to occupy the substitutional sites of Zn to obtain more stable p type m-NZO than the a-NZO. The hole concentration and Hall mobility of the m-NZO are up to $2.53 \times 10^{19} \text{ cm}^{-3}$ and $9.43 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$, respectively. The low temperature PL spectra at excitation power of 6 mW and the first principle calculation show that the acceptor energy level is $\sim 161 \text{ meV}$. The I - V curve of the p-n NZO homojunction shows good rectifying behavior, with a turn on voltage of $\sim 2.47 \text{ V}$ under forward bias voltage and the reverse breakdown voltage bias $\sim 11.22 \text{ V}$. This convenient technique could be used in simplifying and improving controllability and accuracy in fabrication of microchip electronic devices.

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