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## Enhanced fuel ethanol production from rice straw hydrolysate by an inhibitor-tolerant mutant strain of *Scheffersomyces stipitis*

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The aim of the present study was to develop an inhibitor-tolerant strain of *Scheffersomyces stipitis* and establish an efficient ethanol fermentation process for cost-effective ethanol production from lignocellulosic biomass. By a strategy of three successive rounds of UV mutagenesis following adaptation, we isolated a *S. stipitis* mutant with improved tolerance against ethanol and inhibitors in the form of acetic acid, furfural and vanillin. The mutant strain exhibited excellent ethanol fermentation performance; both the xylose and glucose consumption rate and ethanol productivity were almost two times higher than the parental strain in batch fermentation. To overcome the issue of product inhibition and carbon catabolite repression (CCR) effect, the membrane integrated continuous fermentation system was employed. The maximum ethanol titer of 43.2 g l<sup>-1</sup> and productivity of 2.16 g l<sup>-1</sup> h<sup>-1</sup> was achieved at a dilution rate of 0.05 h<sup>-1</sup>, higher than the relevant studies ever reported. These results suggested the novel process of cell recycling continuous fermentation using *S. stipitis* mutant has great potential for commercial ethanol production from lignocelluloses-based biomass.

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### 1. Introduction

Lignocellulosic biomass is considered as a promising renewable resource for second generation fuel ethanol production due to its advantages of low cost, abundance and renewability.<sup>1–3</sup> The carbohydrate fraction of lignocellulose is composed of mono-saccharides such as hexose (glucose, cellobiose) and pentose (xylose, arabinose) sugars.<sup>4</sup> The hexose sugars can be converted rapidly to ethanol by the yeast *Saccharomyces cerevisiae* or bacterium *Zymomonas mobilis*, but the pentose sugars in terms of xylose and arabinose cannot be metabolized naturally.<sup>5,6</sup> Because xylose is the second most abundant fermentable sugar, conversion of both hexose and pentose sugars to fuel ethanol using an appropriate ethanol producer could theoretically increase the total ethanol yield by 25%.<sup>7,8</sup> Therefore, the xylose-fermenting yeast utilizing both hexose and pentose sugars is

necessary for the economic production of lignocellulosic ethanol.<sup>4,9</sup>

*Scheffersomyces stipitis* (formerly known as *Pichia stipitis*) is capable of fermenting a wide range of sugars to ethanol.<sup>10,11</sup> Among the naturally occurring xylose-fermenting yeasts such as *Candida shehatae* and *Pachysolen tannophilus*, *S. stipitis* is considered an excellent candidate for ethanol production from lignocelluloses since it ferments xylose with a high ethanol yield and has no absolute vitamin requirements during the fermentation.<sup>12–14</sup> However, the ethanol productivity of the xylose-fermenting yeast on glucose or xylose is much lower than that obtained from *S. cerevisiae* when cultivated on glucose.<sup>15,16</sup> In addition, the xylose-fermenting yeasts generally suffer from hexose repression, end-product of ethanol and toxins formed during pretreatment of lignocellulosic biomass such as acetic acid, furans and phenolic compounds, which can greatly inhibit yeast growth, viability and ethanol production.<sup>17–22</sup> Specially, some studies reveal the inhibitory effect of these by-products is much more severe for xylose fermentation than for glucose fermentation, preventing efficient co-fermentation of lignocellulosic hydrolysates.<sup>23,24</sup> To eliminate the toxic effect, a step of washing and detoxification has been suggested to remove inhibitors before fermentation while it would significantly increase the fuel ethanol production cost.<sup>24</sup> Therefore, from the economic viewpoint, the development of inhibitor-tolerant *S. stipitis* strain is essential to achieve cost-effective conversion of lignocelluloses to ethanol.

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The approach of evolutionary engineering is considered to be useful for acquiring microorganism with desirable phenotypes, such as an expanded substrate range, increased stress tolerance, and efficient substrate utilization.<sup>25</sup> Nigam<sup>20</sup> reported an adapted *S. stipitis* strain fermenting the acid prehydrolysate to ethanol at lower initial pH values. Bajwa *et al.*<sup>22</sup> obtained a *S. stipitis* strain with improved tolerance to inhibitors in hardwood spent sulfite liquor, and Hughes *et al.*<sup>26</sup> isolated a strain capable of growing anaerobically on xylose/glucose substrate with high ethanol production after UV mutagenesis. Except for the specific stress against capability, these mutant strains also produced higher ethanol titer and productivity than the parent strains under the stress conditions. Moreover, Watanabe *et al.*<sup>21</sup> yielded an ethanol-tolerant *S. stipitis* strain PET41 by repeated exposure a UV induced strain PFX 58 to increasing ethanol concentrations. PET41 presented higher ethanol tolerance and ethanol production capability than PFX 58, thus suggested that a combination of UV mutagenesis and adaption might be a useful strategy to acquire yeast strain with constitutively high-level tolerance towards inhibitors and improved ethanol production ability, which would absolutely be preferable for industrial applications.

In the present study, we isolated a mutant strain of *S. stipitis* using a strategy of UV induced random mutagenesis following repeated exposure to increasing ethanol or/and clotrimazole (CTZ) concentrations. It had been reported that CTZ-resistant mutant of sake yeast showed enhanced ethanol production rate and produced higher amounts of alcohol than the parent strain during the sake fermentation.<sup>27</sup> On the other hand, CTZ-resistant mutant displayed pleiotropic drug resistance (PDR) phenotypes. They were resistant to not only a number of azole fungicides but also a wide range of unrelated cytotoxic compounds including cycloheximide, cerulenin, 4-nitroquinoline-*N*-oxide (4-NQO), oligomycin and anionic drugs such as reveromycin A and acetic acid.<sup>27</sup> Therefore, the mutant isolated by means of UV mutagenesis following adaption in CTZ was expected to acquire the improved fermentative activity and constitutive tolerance to major fermentative inhibitors in terms of acetic acid, furan and vanillin. The ethanol fermentation performance of selected mutant and parent strain in inhibitors absent and present model substrate was examined. In addition, the carbon catabolite repression (CCR) effect on the mutant was analyzed under different glucose/xylose ratios in model substrate as well. Furthermore, a continuous fermentation with a cell recycle system was adopted to examine the possibility of improving fuel ethanol production from lignocellulosic hydrolysate without any detoxification. To the best of our knowledge, there are few reports with regard to membrane cell-recycle techniques for the continuous fermentation of ethanol from sugar mixture of hexose and pentose derived from lignocellulosic hydrolysate using *S. stipitis*.

## 2. Materials and methods

### 2.1. Microorganism and cultivation

*Scheffersomyces stipitis* (formerly *Pichia stipitis*) NBRC1687 wild type (WT) strain was purchased from the culture collection of

the NITE Biological Resource Center (NBRC, Japan). *S. stipitis* strain MA301 was obtained by UV mutagenesis of the WT followed by adaptation.

*S. stipitis* WT and mutant strains were maintained individually on YPX agar plates at 4 °C and subcultured at monthly intervals. YPX agar consisted of 10 g l<sup>-1</sup> yeast extract, 20 g l<sup>-1</sup> peptone, 20 g l<sup>-1</sup> xylose and 15 g l<sup>-1</sup> agar. For inoculation, one loop of cells from an isolated colony on YPX agar plate was transferred to 50 ml of YPX broth in a 250 ml Erlenmeyer flask and incubated for 24 h at 30 °C with agitation at 200 rpm on a rotary shaker.

### 2.2. UV mutation and adaptation

Yeast strains cultivated in YPX medium with shaking for 24 h were collected and washed twice, then diluted by sterilized water to reach OD<sub>600</sub> around 1.0. The mixture was transferred to plastic Petri dishes and exposed to UV light at a distance of 50 cm for 30 s. 100 µl of the UV-irradiated mixture was spread on YPX agar plates containing 30 g l<sup>-1</sup> ethanol then incubated at 28 °C for 7 days. The larger colonies were picked up and growing them in YPX broth containing 50 g l<sup>-1</sup> ethanol. Any surviving microorganisms were then transferred into a fresh adaptation medium containing increasing concentrations of ethanol (30, 40, 50 and 60 g l<sup>-1</sup>). The mutants with improved ethanol tolerance were subjected to ethanol fermentation, and the most efficient ethanol producer was designated as the first generation mutant. In the second round of mutagenesis, the first generation mutant was exposed to UV light as described above, then spread on YPX agar plate with 20 mg l<sup>-1</sup> of clotrimazole (CTZ) and incubated at 28 °C for 7 days. The colonies appeared were selected and cultured in YPX broth with 20 mg l<sup>-1</sup> CTZ first, then sequentially transferred to YPX broth containing increasing concentration of CTZ (20, 30, 40 and 50 mg l<sup>-1</sup>). The mutants growing in YPX broth with higher CTZ concentration were subjected to ethanol fermentation, and the highest ethanol producer was designated as the second generation mutant. In the third round of mutagenesis, the second generation mutant was exposed to UV light then spread on YPX agar plate comprising 40 mg l<sup>-1</sup> CTZ. After incubation for 7 days, the colonies were collected and inoculated in YPX broth with 40 mg l<sup>-1</sup> CTZ and 60 g l<sup>-1</sup> ethanol. The adaption was performed with the ethanol concentration in YPX broth gradually increased to 70 g l<sup>-1</sup> and 80 g l<sup>-1</sup>. The mutants obtained from YPX broth containing 40 mg l<sup>-1</sup> CTZ and 80 g l<sup>-1</sup> ethanol were subjected to ethanol fermentation, and the highest ethanol producer was the third generation mutant and named as *S. stipitis* MA301.

### 2.3. Preparation of rice straw hydrolysate (RSH)

The rice straw was collected from a local farm near Zhuanghe (Dalian, China) in fall 2015. The raw straw material was milled into a size between 0.5 mm to 0.9 mm and stored in air tight container for further experiments. Dilute sulfuric acid (1%, w/v) pretreatment and enzymatic hydrolysis of RS was carried out by the procedure described previously.<sup>1</sup> The RSH after concentration normally contained 59.3 g l<sup>-1</sup> of glucose, 43.7 g l<sup>-1</sup> of xylose



and 4.7 g l<sup>-1</sup> arabinose with inhibitors including 2.01 g l<sup>-1</sup> of acetic acid, 0.87 g l<sup>-1</sup> of furfural and 0.96 g l<sup>-1</sup> of phenolics.

## 2.4. Fermentation

**2.4.1. Batch fermentation.** The batch fermentation was performed in a 3 l bioreactor (BIOSTAT B., B. Braun Biotech International GmbH, Melsungen, Germany) containing 0.9 l of model fermentation medium inoculated with 0.1 l of seed culture. Fermentation was maintained at 30 °C, agitation rate of 700 rpm and aeration rate was 0.1 l min<sup>-1</sup>. The pH of the culture was monitored but not regulated. The model fermentation medium was composed of 100 g l<sup>-1</sup> sugar, 10 g l<sup>-1</sup> yeast extract, 20 g l<sup>-1</sup> peptone. Antifoaming agent (0.01% v/v) Antifoam SI (Wako Pure Chemical Industries, Ltd., Osaka, Japan) was added to fermentation medium during the experiment.

To evaluate the sole sugar or mixed sugar conversion rate of wild type strain and mutant strain, the initial sugars in model medium were 100 g l<sup>-1</sup> glucose or 100 g l<sup>-1</sup> xylose or 100 g l<sup>-1</sup> mixed sugar (glucose/xylose = 1 : 1), respectively. In the experiments of examining the influence of glucose and xylose proportion in the sugar mixtures on ethanol production of mutant, the medium were prepared as follows: 80 g l<sup>-1</sup> glucose/20 g l<sup>-1</sup> xylose (G80X20), 60 g l<sup>-1</sup> glucose/40 g l<sup>-1</sup> xylose (G60X40), 40 g l<sup>-1</sup> glucose/60 g l<sup>-1</sup> xylose (G40X60) and 20 g l<sup>-1</sup> glucose/80 g l<sup>-1</sup> xylose (G20X80). To investigate the effects of inhibitors on ethanol production, acetic acid (0.5–3.5 g l<sup>-1</sup>), furfural (0.5–4.0 g l<sup>-1</sup>), and vanillin (0.5–1.5 g l<sup>-1</sup>) was individually added in model medium. The initial pH of each medium was set at 5.0. The fermentation without inhibitors was also carried out as a control.

**2.4.2. Continuous fermentation.** A hollow-fibre micro-filtration module (MICROZA PSP 103, Asahi Kasei, Tokyo, Japan; filtration area: 0.17 m<sup>2</sup>, fibre diameter: 0.7 mm, pore size: 0.1 µm) was used for cell recycling during fermentation. Before use, the module was soaked in 70% ethanol for 24 h, and then washed with sterile deionised water to adjust pH to 7.0. The continuous fermentation was performed as described in our previous study.<sup>28</sup> Briefly, the fermentation was carried out in a hollow-fibre microfiltration module integrated 3 l jar fermentor with 1 l working volume of model medium or RSH containing 100 g l<sup>-1</sup> reducing sugar. The fermentation was initially operated in batch mode at 30 °C, agitation speed of 700 rpm, and aeration rate of 0.1 l min<sup>-1</sup>. After sugar complete depletion, the continuous fermentation was started under the same condition. During the fermentation, the working volume in the bioreactor was maintained at 1 l by feeding the fresh model medium or RSH. The samples were taken aseptically every 6 h for analysis. The continuous fermentation without cell recycling system was used as control.

To evaluate the influence of dilution rate (*D*) on ethanol production, dilution rate of 0.03 h<sup>-1</sup>, 0.05 h<sup>-1</sup>, 0.10 h<sup>-1</sup> and 0.15 h<sup>-1</sup> was used, respectively.

## 2.5. Analytical methods

Glucose, xylose, arabinose, acetic acid, ethanol, hydroxymethyl furfural/HMF and furfural were analyzed on an Agilent 1200

HPLC system (Agilent, Palo Alto, CA, USA) with an Aminex HPX-87H column (300 mm × 7.8 mm, Bio-Rad, Richmond, CA, USA) at 45 °C with 0.6 ml min<sup>-1</sup> eluent of 5 mM sulfuric acid as the mobile phase. The total concentrations of phenolics were measured based on the Folin and Ciocalteu's assay.<sup>29</sup> Cell growth was determined by measuring the optical density at 600 nm and correlated to dry weight curve.

## 3. Results and discussion

### 3.1. Isolation of ethanol and inhibitor tolerant *S. stipitis* mutant

Inhibitor-tolerant yeast strain capable of fermenting all the sugars in non-detoxified lignocellulosic hydrolysates was absolutely required for efficient bioethanol production. A number of *S. stipitis* mutants with desirable phenotypes had been isolated by different selection methods such as UV mutagenesis and continuous adaption. In the present work, a strategy of UV mutagenesis following adaption was used to develop *S. stipitis* mutant with improved ethanol and inhibitor tolerance in addition to excellent ethanol fermentation performance. Wide type strain of *S. stipitis* NBRC1687 was subjected to three rounds of UV mutagenesis following adaption. After the first round of mutagenesis, 28 colonies that grew larger than wild type colonies on YPX 30 g l<sup>-1</sup> ethanol plate were selected. Five of these colonies could survive in YPX 60 g l<sup>-1</sup> ethanol broth and showed similar ethanol production pattern, which were more efficient than the parental. Further mutagenesis of the first generation mutants followed by adaption resulted in the isolation of two second generation mutants. Two of five mutants were lost when CTZ concentration increased to 20 mg l<sup>-1</sup> and 30 mg l<sup>-1</sup>, and one mutant could tolerate and remain viable in YPX 40 mg l<sup>-1</sup> CTZ broth but the ethanol productivity was unideal compared to the other two survivors (data not shown). The second generation mutants were subjected to UV mutagenesis followed by adaption. Both of mutants were capable of growing in YPX broth containing 40 mg l<sup>-1</sup> CTZ and 80 g l<sup>-1</sup> ethanol, while one mutant showed rapid growth and slight higher ethanol production capability than the other one, thus was chosen and designated as *S. stipitis* MA301 for the further study.

### 3.2. Fermentation on model medium

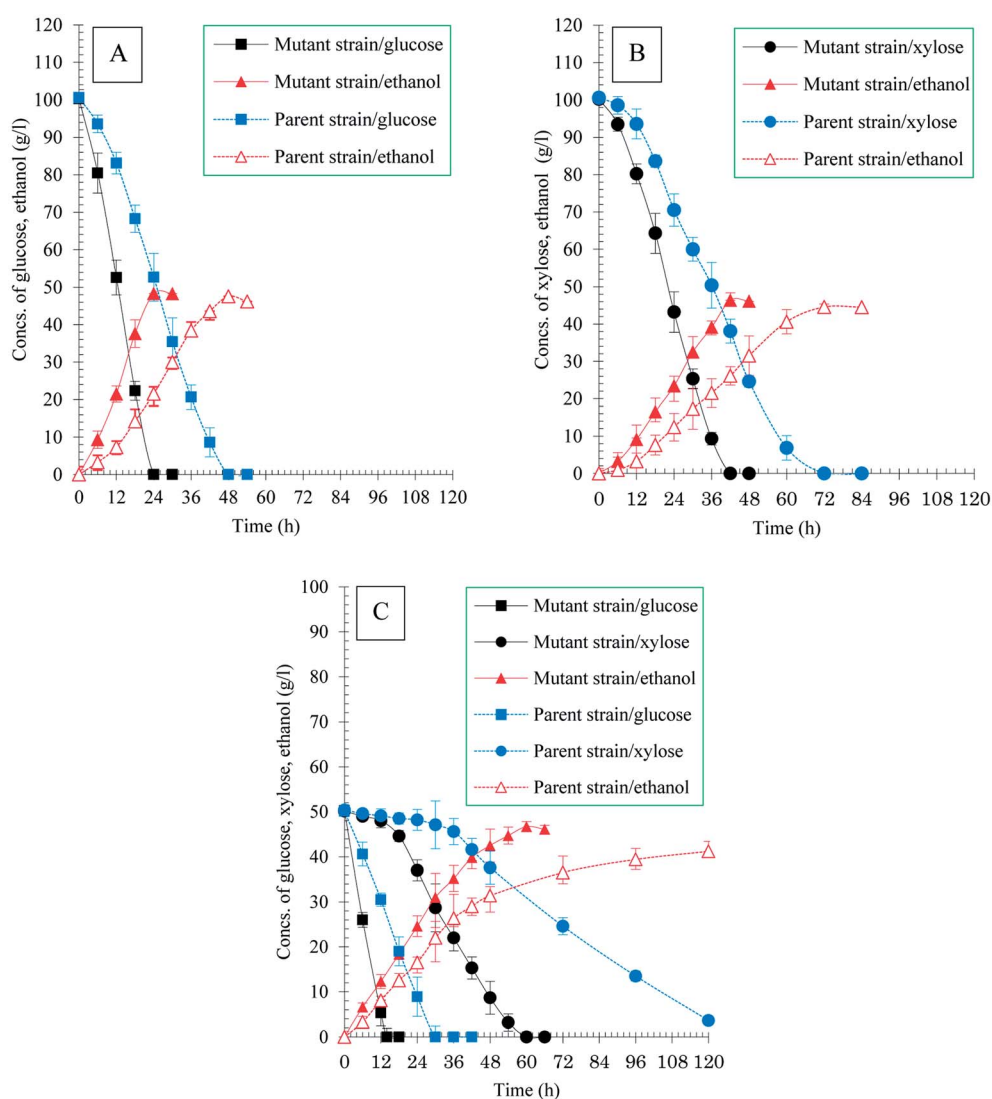
The profile of sugar consumption and ethanol fermentation of *S. stipitis* MA301 in sole sugar and mixed sugars was examined and compared with parent strain using model fermentation medium. In the case of fermentation using sole sugar, it was found that *S. stipitis* MA301 used glucose and xylose at a faster rate compared to the parental (Table 1, Fig. 1A and B). Around 100 g l<sup>-1</sup> of glucose and xylose was completely consumed after 24 h and 42 h, resulted in ethanol titer of 48.31 g l<sup>-1</sup> and 46.36 g l<sup>-1</sup>, respectively. However, under the same condition, the parental strain required 48 h and 72 h to completely convert the glucose and xylose into 47.56 g l<sup>-1</sup> and 44.56 g l<sup>-1</sup> ethanol. The xylose and glucose consumption rate of the mutant was 2.09 g l<sup>-1</sup> h<sup>-1</sup> and 1.4 g l<sup>-1</sup> h<sup>-1</sup>, both were almost 2 times higher than those of parental. In addition to the increased glucose and



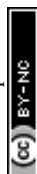
**Table 1** Fermentation performance of the parent and mutant strain in model medium with sole sugar and mixed sugar (G50/X50)

| Parameters   | <i>S. stipitis</i> NBRC1687 |        |                     |        | <i>S. stipitis</i> MA301 |        |                     |        |
|--|-----------------------------|--------|---------------------|--------|--------------------------|--------|---------------------|--------|
|  | Sole carbon source          |        | Mixed carbon source |        | Sole carbon source       |        | Mixed carbon source |        |
|  | Glucose                     | Xylose | Glucose             | Xylose | Glucose                  | Xylose | Glucose             | Xylose |
| <b>Substrate consumption</b>                             |                             |        |                     |        |                          |        |                     |        |
| Substrate concentration ( $\text{g l}^{-1}$ )            | 100.27                      | 100.56 | 50.12               | 50.40  | 100.32                   | 100.23 | 50.27               | 50.85  |
| Fermentation time (h)                                    | 48                          | 72     | 30                  | 120    | 24                       | 42     | 14                  | 60     |
| Residual substrate ( $\text{g l}^{-1}$ )                 | 0                           | 0      | 0                   | 3.6    | 0                        | 0      | 0                   | 0      |
| Consumption rate ( $\text{g l}^{-1} \text{h}^{-1}$ )     | 2.09                        | 1.40   | 1.67                | 0.39   | 4.18                     | 2.39   | 3.59                | 0.85   |
| <b>Ethanol production</b>                                |                             |        |                     |        |                          |        |                     |        |
| Ethanol titer ( $\text{g l}^{-1}$ )                      | 47.56                       | 44.56  | 41.21               |        | 48.31                    | 46.36  | 45.72               |        |
| Ethanol productivity ( $\text{g l}^{-1} \text{h}^{-1}$ ) | 0.99                        | 0.62   | 0.34                |        | 2.01                     | 1.10   | 0.76                |        |
| Ethanol yield ( $Y_E$ ) ( $\text{g}_p \text{g}_s^{-1}$ ) | 0.47                        | 0.44   | 0.43                |        | 0.48                     | 0.46   | 0.45                |        |
| Theoretical yield (%) <sup>a</sup>                       | 92.82                       | 86.71  | 82.62               |        | 94.43                    | 90.69  | 88.48               |        |

<sup>a</sup> Theoretical yield of ethanol from glucose or xylose is  $0.51 \text{ g}_p \text{g}_s^{-1}$ ; % theoretical yield is calculated as ethanol yield  $\times 100$  divided by 0.51.



**Fig. 1** The sugar consumption and ethanol production of the parent and mutant strain of *S. stipitis* in model medium. (A) Sole glucose, (B) sole xylose, (C) mixed sugar. The error bars in the figure indicate the standard deviations of three parallel replicates.





xylose consumption rate, the ethanol productivity of the mutant was also improved by 2.0 and 1.8 fold compared to the parental strain, indicating that the approach of UV mutagenesis following adaption significantly improved the ethanol fermentation performance of *S. stipitis* strain.

In the case of fermentation in the mixture of glucose and xylose (1 : 1), the sugar utilization rates of both mutant and parent strain in co-fermentation were lower than that when glucose or xylose was used as sole substrate (Table 1, Fig. 1C). However, the mutant still exhibited efficient fermentation performance compared to the parental. *S. stipitis* MA301 completely consumed the sugars within 78 h resulting in 45.72 g l<sup>-1</sup> ethanol, while for the parental, around 3.6 g l<sup>-1</sup> of xylose residual was detected in the medium after 120 h fermentation. As a result, the ethanol productivity (0.34 g l<sup>-1</sup> h<sup>-1</sup>) and the theoretical yield (0.43 g<sub>p</sub> g<sub>s</sub><sup>-1</sup>) were both lower than that of MA301 (0.78 g l<sup>-1</sup> h<sup>-1</sup> and 0.45 g<sub>p</sub> g<sub>s</sub><sup>-1</sup>). The lower sugar consumption rate in co-fermentation for both strains could be contributed to the glucose repression or carbon catabolite repression (CCR). As showed in Fig. 1C, xylose consumption commenced only when almost no glucose left in the medium.

The similar phenomenon was also observed in mixed sugar fermentation in G60X40 (Fig. 2B) and G40X60 (Fig. 2C) mixture. However, in the case of G80X20, the xylose utilization initiated when glucose concentration in medium was below to 10 g l<sup>-1</sup> (Fig. 2A). Furthermore, glucose and xylose was used simultaneously, with 92.35% of xylose consumed linearly at a constant consumption rate in the case of G20X80 (Fig. 2D). In these mixed sugar fermentations, complete utilization of glucose occurred within 20 h at similar consumption rates, while xylose utilization was quite different, indicating that the initial concentration of glucose was a key factor determining xylose utilization. In addition, CCR effect might be reduced by maintaining the glucose concentration at less than 20 g l<sup>-1</sup> in mixed sugars fermentation. Table 2 showed a summary of kinetic parameters of ethanol fermentation in mixtures with different glucose and xylose ratio. The maximum xylose consumption rate of 1.91 g l<sup>-1</sup> h<sup>-1</sup> was achieved in the case of fermentation in G20X80 mixture, higher than that of G80X20, G60X40, and G40X60, at 0.69 g l<sup>-1</sup> h<sup>-1</sup>, 0.81 g l<sup>-1</sup> h<sup>-1</sup> and 0.84 g l<sup>-1</sup> h<sup>-1</sup>, respectively. In addition, the fermentation was finished after 30 h in G80X20 mixture, yielding the maximum ethanol titer,

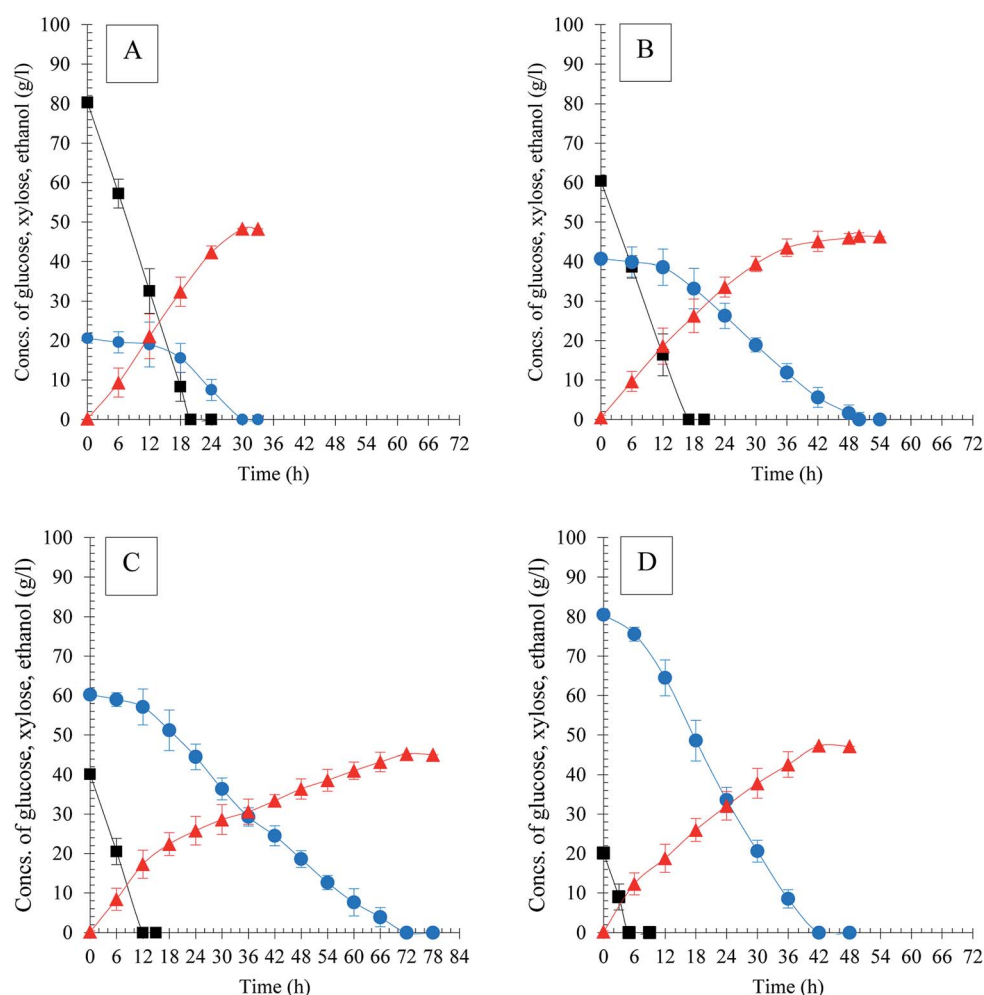


Fig. 2 Ethanol production of *S. stipitis* MA301 in model medium with different ratios of glucose and xylose. (A) G80X20; (B) G60X40; (C) G40X60; (D) G20X80. Symbols (■): glucose (●); xylose (▲); ethanol.



Table 2 Fermentation performance of mutant strain in model medium with various glucose/xylose ratios

| Parameters   | Carbon source |        |        |        |
|--|---------------|--------|--------|--------|
|  | G80X20        | G60X40 | G40X60 | G20X80 |
| Xylose consumption time (h)                                  | 30            | 50     | 72     | 42     |
| Glucose consumption time (h)                                 | 20            | 17     | 12     | 5      |
| Glucose consumption rate ( $\text{g l}^{-1} \text{h}^{-1}$ ) | 4.01          | 3.55   | 3.34   | 4.02   |
| Xylose consumption rate ( $\text{g l}^{-1} \text{h}^{-1}$ )  | 0.69          | 0.81   | 0.84   | 1.91   |
| Xylose utilization ratio (%)                                 | 100           | 100    | 100    | 100    |
| Ethanol titer ( $\text{g l}^{-1}$ )                          | 48.32         | 46.46  | 45.21  | 47.27  |
| Ethanol productivity ( $\text{g l}^{-1} \text{h}^{-1}$ )     | 1.61          | 0.93   | 0.63   | 1.13   |
| Ethanol yield ( $Y_E$ ) ( $\text{g}_p \text{g}_s^{-1}$ )     | 0.48          | 0.46   | 0.45   | 0.47   |
| Theoretical yield (%) <sup>a</sup>                           | 93.68         | 90.02  | 88.15  | 92.35  |

<sup>a</sup> Theoretical yield of ethanol from glucose or xylose is  $0.51 \text{ g}_p \text{g}_s^{-1}$ ; % theoretical yield is calculated as ethanol yield  $\times$  100 divided by 0.51.

ethanol productivity of  $48.32 \text{ g l}^{-1}$  and  $1.61 \text{ g l}^{-1} \text{h}^{-1}$ , corresponding to theoretical yield of 93.68%. This was in accordance with the studies by Sanchez *et al.*,<sup>30</sup> which showed that the best ratios of glucose and xylose concentration for high ethanol yields were between 20/5 and 24/1. The fermentation in G20X80 mixture produced a final ethanol titer and ethanol yield of  $47.27 \text{ g l}^{-1}$  and  $0.47 \text{ g g}^{-1}$ , which is slightly lower than that of G80X20, but higher than other cases. The results obtained on high glucose or xylose ration in mixed sugar showed similar pattern with that in the sole sugar fermentation.

### 3.3. Inhibitor-supplemented fermentation

During the pretreatment process, a range of toxic by-products were generated, which inhibited subsequent enzymatic hydrolysis and fermentation. These inhibitors were generally classified into three major groups: weak acid, furans and phenolic compounds.<sup>31</sup> To better understand the influence of acetic acid, furfural and vanillin, three major inhibitors presented in the hydrolysates on *S. stipitis* ethanol fermentation performance, they were added individually to the medium at various concentrations. As shown in Table 3, *S. stipitis* MA301 could tolerate up to  $3.5 \text{ g l}^{-1}$  acetic acid,  $4.0 \text{ g l}^{-1}$  furfural and  $1.5 \text{ g l}^{-1}$  vanillin. The ethanol production of *S. stipitis* MA301 in medium containing  $1.5 \text{ g l}^{-1}$  acetic acid,  $2.0 \text{ g l}^{-1}$  furfural and  $1.0 \text{ g l}^{-1}$  vanillin showed little change compared to that of the control. The increase of inhibitor concentration in medium arose the lag phase of cell grow, but caused slight decrease of ethanol titer and ethanol yield. In contrast, the parental could grow only up to  $1.5 \text{ g l}^{-1}$  acetic acid,  $2.0 \text{ g l}^{-1}$  furfural and  $1.0 \text{ g l}^{-1}$  vanillin after a lag period of 48 h. The ethanol production decreased significantly along with the increase of inhibitor concentration, and was completely inhibited in the presence of  $2.5 \text{ g l}^{-1}$  acetic acid,  $3.0 \text{ g l}^{-1}$  furfural and  $1.5 \text{ g l}^{-1}$  vanillin, respectively. The different profiles of cell growth and ethanol production of mutant and parental strain under stress condition indicated the high level inhibitor tolerance of the mutant strain. This might due to the approach of UV mutagenesis following adaption in CTZ.

It was well known that CTZ-resistant mutant exhibited pleiotropic drug resistance (PDR) phenotypes by overexpression

of ATP-binding cassette (ABC) transporters. ABC transporters encoded by *PDR5/STSL/YDR1*, *YOR1/YRS1*, and *SNQ2* constituted the majority of drug efflux pumps, responsible for exporting of both xenobiotic compounds such as toxic substances and antibiotics, and endogenous toxic metabolites. Cui *et al.*<sup>32</sup> reported that the overexpression of Yorlp in wild type cells of *S. cerevisiae* not only conferred increased resistance to reveromycin A, but also involved in the detoxification of a wide range of the organic anions containing carboxyl group such as acetic acid. In the recent study of investigating the mechanism of *S. cerevisiae* tolerance to HMF at the genome level revealed that the ATP binding efflux pumps *PDR5* and *YOR1* were significant expressed during the lag phase in response to the HMF challenge, consequently promoted cellular adaptation and survival by transport either HMF and furfural, or their corresponding alcohols, out of the cell.<sup>32</sup> From these results we speculated that the induction of *PDR5* and *YOR1* expression by repeated exposure in high concentration of CTZ might be one of the possible reasons of conferring ethanol fermentable inhibitors tolerance to yeast. The hypothesis would be examined on genome level and the mechanism for vanillin resistance of *S. stipitis* MA301 would be explored in our future study.

### 3.4. Continuous fermentation

In addition to pursuing appropriate ethanol producer for ethanol production, ethanol production process optimization was also intensively focused on in order to improve ethanol production from lignocellulosic hydrolysate. A membrane integrated continuous fermentation process could significantly improve lactic acid productivity had been reported in our previous study.<sup>33</sup> Hence, continuous fermentation with membrane cell-recycle system using *S. stipitis* MA301 for ethanol production from rice straw hydrolysate (RSH) without detoxification was evaluated in present study.

The effect of different dilution rate (0.03, 0.05, 0.10 and  $0.15 \text{ h}^{-1}$ ) on sugar consumption rates, residual sugars, ethanol titer and yield was examined in model medium and the result was illustrated in Fig. 3. In the case of dilution rate less than  $0.05 \text{ h}^{-1}$ , the glucose was consumed completely, and the xylose utilization rate was maintained at a high level of over 97.5%.



Table 3 Fermentation performance of the parent and mutant strain in inhibitor-supplemented model medium<sup>a</sup>

| Parameters                        | <i>S. stipitis</i> NBRC1687 |                       |                                    |                                    | <i>S. stipitis</i> MA301           |               |                       |                                    |
|-----------------------------------|-----------------------------|-----------------------|------------------------------------|------------------------------------|------------------------------------|---------------|-----------------------|------------------------------------|
|                                   | Lag phase (h)               | Fermentation time (h) | Ethanol titer (g l <sup>-1</sup> ) | Ethanol yield (g g <sup>-1</sup> ) | Theoretical yield <sup>b</sup> (%) | Lag phase (h) | Fermentation time (h) | Ethanol titer (g l <sup>-1</sup> ) |
| Inhibitor                         |                             |                       |                                    |                                    |                                    |               |                       |                                    |
| No inhibitor                      | 0                           | 120                   | 44.35                              | 0.44                               | 86.49                              | 0             | 60                    | 46.17                              |
| 0.5 g l <sup>-1</sup> acetic acid | 12                          | 126                   | 39.37                              | 0.39                               | 76.58                              | 0             | 60                    | 45.32                              |
| 1.5 g l <sup>-1</sup> acetic acid | 48                          | 174                   | 36.11                              | 0.36                               | 70.53                              | 0             | 60                    | 46.05                              |
| 2.5 g l <sup>-1</sup> acetic acid | —                           | —                     | —                                  | —                                  | —                                  | 12            | 66                    | 45.16                              |
| 3.5 g l <sup>-1</sup> acetic acid | —                           | —                     | —                                  | —                                  | —                                  | 24            | 86                    | 42.21                              |
| 0.5 g l <sup>-1</sup> furfural    | 0                           | 120                   | 43.12                              | 0.43                               | 84.12                              | 0             | 60                    | 45.75                              |
| 1.0 g l <sup>-1</sup> furfural    | 24                          | 138                   | 36.8                               | 0.37                               | 72.38                              | 0             | 60                    | 45.43                              |
| 2.0 g l <sup>-1</sup> furfural    | 48                          | 174                   | 30.65                              | 0.31                               | 60.49                              | 0             | 60                    | 45.14                              |
| 3.0 g l <sup>-1</sup> furfural    | —                           | —                     | —                                  | —                                  | —                                  | 12            | 66                    | 41.25                              |
| 4.0 g l <sup>-1</sup> furfural    | —                           | —                     | —                                  | —                                  | —                                  | 24            | 82                    | 36.65                              |
| 0.5 g l <sup>-1</sup> vanillin    | 0                           | 120                   | 40.15                              | 0.41                               | 80.20                              | 0             | 60                    | 45.67                              |
| 1.0 g l <sup>-1</sup> vanillin    | 48                          | 168                   | 30.26                              | 0.31                               | 60.52                              | 6             | 60                    | 44.31                              |
| 1.5 g l <sup>-1</sup> vanillin    | —                           | —                     | —                                  | —                                  | —                                  | 24            | 80                    | 38.56                              |

<sup>a</sup> Medium: 10 g l<sup>-1</sup> yeast extract, 20 g l<sup>-1</sup> peptone, 50 g l<sup>-1</sup> glucose, 50 g l<sup>-1</sup> xylose; the initial cell concentration was set at 0.50 g l<sup>-1</sup>. <sup>b</sup> Theoretical yield of ethanol from glucose or xylose is 0.51 g g<sup>-1</sup>; % theoretical yield is calculated as ethanol yield × 100 divided by 0.51.

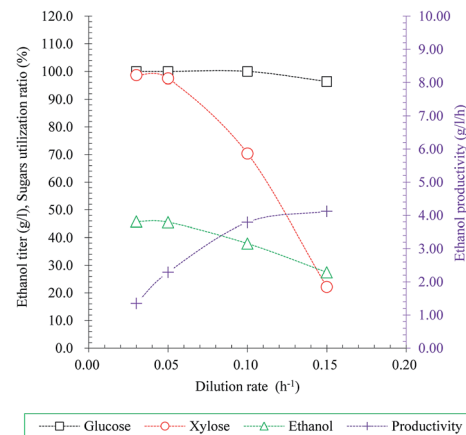


Fig. 3 Effect of dilution rate on ethanol production in continuous fermentation with cell recycling using *S. stipitis* MA301.

However, higher dilution rate caused increase of residual sugars. The consumption rate of glucose and xylose was 96.37% and 22.19% at the highest dilution rate, resulted in decrease of ethanol titer, from 45.78 g l<sup>-1</sup> to 27.46 g l<sup>-1</sup> obtained at 0.03 h<sup>-1</sup> and 0.15 h<sup>-1</sup>, respectively. From these observations, the dilution rate 0.05 h<sup>-1</sup> was considered as ideal condition for further study.

The continuous culture fermentation with or without membrane cell-recycle system was performed at dilution rate 0.05 h<sup>-1</sup> in model medium, and the ethanol production parameters were compared in Table 4. After 310 h and 300 h cultivation, the glucose was completely depleted in both cases, while residual xylose of 16 g and 217 g was detected in cell recycling and conventional fermentation system, resulting in xylose conversion rate of 97.6% and 64.3%, ethanol total production and productivity was 732.1 g and 2.36 g l<sup>-1</sup> h<sup>-1</sup>, 418.2 g and 1.39 g l<sup>-1</sup> h<sup>-1</sup>, respectively. The different ethanol fermentation profiles observed in two types of continuous fermentation could be attributed to the use of cell recycling system, which prevented the cell loss and the improved cell mass accordingly enhanced sugar conversion rate, ethanol titer and yield. In the experiment of ethanol fermentation in RSH medium by membrane cell-recycle system, 38 g residual xylose, 648 g ethanol total production and 2.16 g l<sup>-1</sup> h<sup>-1</sup> ethanol productivity was obtained after 300 h cultivation, which was close to that obtained in model medium. The similar fermentation pattern exhibited in artificial medium and inhibitor present RS hydrolysate could be contributed to the synergistic effect of mutant strain and cell recycling continuous fermentation system. The continuous cultivation might reduce the product inhibition, maintaining cells at a constant physiological state and growth rate, and the cell reuse provided the potential of overcoming the carbon catabolite repression (CCR) effect due to cell acclimation, accordingly led to low residual xylose, high ethanol yield and production rate.

Table 5 listed the recent studies on ethanol fermentation from mixed sugars derived from different lignocelluloses biomass by *S. stipitis* strains. It was notable that the

**Table 4** Comparison of ethanol production in continuous fermentation with or without cell-recycle using *S. stipitis* MA301<sup>a</sup>

| Parameters   | Mode of fermentation               |                    |                                   |
|--|------------------------------------|--------------------|-----------------------------------|
|  | Continuous w/o cell-recycle system |                    | Continuous w/ cell-recycle system |
|  | Run 1 <sup>b</sup>                 | Run 2 <sup>b</sup> | Run 3 <sup>c</sup>                |
| Fermentation time (h)  | 300                                | 310                | 300                               |
| Total feeding glucose (g)  | 914.4                              | 985.8              | 902.0                             |
| Total feeding xylose (g)   | 609.6                              | 657.2              | 643.0                             |
| Residual glucose (g)   | 0                                  | 0                  | 0                                 |
| Residual xylose (g)  | 217.0                              | 16.0               | 38.0                              |
| Residual xylose (g l <sup>-1</sup> )   | 14.5                               | 1.03               | 2.5                               |
| Xylose utilization ratio (%)   | 64.3                               | 97.57              | 94.09                             |
| Ethanol total production (g)   | 418.2                              | 732.1              | 648.0                             |
| Ethanol productivity (g l <sup>-1</sup> h <sup>-1</sup> ) <sup>d</sup>         | 1.39                               | 2.36               | 2.16                              |
| Ethanol yield (Y <sub>E</sub> ) (g <sub>P</sub> g <sub>S</sub> <sup>-1</sup> ) | 0.32                               | 0.45               | 0.43                              |
| Theoretical yield (%)  | 62.58                              | 87.45              | 84.11                             |

<sup>a</sup> The dilution rate were set at 0.05 h<sup>-1</sup>. <sup>b</sup> Glucose and xylose in the model substrate medium. <sup>c</sup> Glucose and xylose in the rice straw hydrolyzate. <sup>d</sup> Ethanol productivity, calculated as ethanol concentration (g l<sup>-1</sup>) multiply by *D*, where *D* is the dilution rate (h<sup>-1</sup>).

fermentations in all those studies were performed in batch fermentation mode. Among those reports, the maximum ethanol titer of 43.4 g l<sup>-1</sup> and ethanol yield of 0.47 g g<sup>-1</sup> was

obtained in the study of fermentation from non-detoxified corn stalk hydrolysate using a adapted *S. stipitis* CBS6054.<sup>31</sup> However, the comparable results obtained in that study were mainly due to the glucose and xylose ratio (17 : 1) in hydrolysate, which almost diminished the CCR effect. Moreover, the ethanol productivity of 0.90 g l<sup>-1</sup> h<sup>-1</sup> was almost half of our study. In the study of ethanol fermentation from yellow poplar (DYPH) using *S. stipitis* KCCM 12009,<sup>29</sup> the glucose and xylose proportion in non-detoxified hydrolysate was 2 : 1, close to our mixed sugar ratio of 1.4 : 1, but the ethanol titer, yield and productivity was more lower than our study. For lignocellulosic ethanol production to be economically viable on an industrial scale, high ethanol titer (above 40–50 g l<sup>-1</sup>) and high ethanol productivity (over 1 g l<sup>-1</sup> h<sup>-1</sup>) were required.<sup>34</sup> By developing high inhibitor tolerant mutant strain and employing membrane integrated continuous fermentation system, 43.2 g l<sup>-1</sup> ethanol titer and 2.16 g l<sup>-1</sup> h<sup>-1</sup> ethanol productivity was achieved, indicating the obtained strain and fermentation process established in this study had great potential for industrial scale bioethanol production in the future.

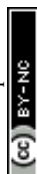
## 4. Conclusions

We successfully isolated a mutant strain of *S. stipitis* by strategy of UV mutagenesis following adaptation. The mutant MA301 could tolerate up to 3.5 g l<sup>-1</sup> acetic acid, 4.0 g l<sup>-1</sup> furfural and 1.5 g l<sup>-1</sup> vanillin, and showed excellent ethanol fermentation performance than the parental. Moreover, the cell-recycling continuous fermentation system was first employed in ethanol production from non-detoxified lignocellulosic

**Table 5** Summaries of the recent published studies on ethanol production from different lignocellulose hydrolysate using *S. stipitis* strains<sup>a</sup>

| Feedstock            | <i>Scheffersomyces stipitis</i> strains | Initial sugar (g l <sup>-1</sup> ) |                    | Ethanol                       |                               |   | Fermentation mode | Detoxification | Ref.       |
|----------------------|---|------------------------------------|--------------------|-------------------------------|-------------------------------|---|-------------------|----------------|------------|
|                      |   | Glucose                            | Xylose             | <i>C</i> (g l <sup>-1</sup> ) | <i>Y</i> (g g <sup>-1</sup> ) | <i>Q<sub>P</sub></i> (g l <sup>-1</sup> h <sup>-1</sup> ) |                   |                |            |
| Corn cob             | NRRL Y-7124                             | 35.9 <sup>e</sup>                  | n.r.               | 10.4                          | 0.34                          | 0.11  | Batch             | Y              | 35         |
| Wheat straw          | NRRL Y-7124                             | 6.4                                | 45.0               | 12.9                          | 0.36                          | 0.30  | Batch             | Y              | 7          |
| Hardwood SSL         | NRRL Y-7124 <sup>b</sup>                | 5.4                                | 40.2               | 14.5                          | 0.41                          | 0.21  | Batch             | N              | 20         |
| Water-hyacinth       | NRRL Y-7124                             | 3.5                                | 54.0               | 18.0                          | 0.35                          | 0.18  | Batch             | Y              | 36         |
| Paja brava           | CBS 6054                                | 2.9                                | 19.8               | n.r.                          | 0.20                          | n.r.  | Batch             | N              | 30         |
| Corn stover          | CBS 6054                                | 6.3–8.2                            | 24.5–33.5          | 15.0                          | 0.37–0.44                     | 0.21  | Batch             | N              | 37         |
| Corn stover          | CBS 6054 <sup>b</sup>                   | 40.0                               | 25.0               | 25.1                          | 0.41                          | 0.35  | Batch             | N              | 15         |
| Sunflower seed hull  | NRRL Y-7124                             | 48.0 <sup>e</sup>                  | n.r.               | 11.0                          | 0.32                          | 0.07  | Batch             | Y              | 38         |
| Rice straw           | BCRC 21777 <sup>b</sup>                 | 3.5–6.4                            | 17.5–26.4          | 10.3                          | 0.44                          | 0.25  | Batch             | N              | 19         |
| Water-hyacinth       | NCIM 3497                               | 3.5                                | 54                 | 18.5                          | 0.42                          | 0.18  | Batch             | Y              | 39         |
| Yellow poplar (DYPH) | KCCM 12009                              | 59.5                               | 29.7               | 28.7                          | 0.48                          | 0.40  | Batch             | N              | 29         |
| Hazelnut shell       | NRRL Y-7124                             | 50.0 <sup>e</sup>                  | 36.39 <sup>f</sup> | 16.8                          | 0.43                          | 0.19  | Batch             | Y              | 40         |
| Poplar               | GS301 <sup>c</sup>                      | 33.0                               | 30.0               | 14.0                          | 0.24                          | 0.10  | Batch             | N              | 41         |
| Corn stalk           | CBS 6054 <sup>b</sup>                   | 92.34                              | 5.4                | 43.4                          | 0.47                          | 0.90  | Batch             | N              | 31         |
| Wheat straw (WH-SF)  | DSM 3651                                | 23.5                               | 6.2                | 12.2                          | 0.45                          | n.r.  | Batch             | N              | 10         |
| Rice straw           | BCRC 2177 <sup>b</sup>                  | 4.8                                | 17.0               | 10.0                          | 0.44                          | 0.25  | Batch             | Y              | 14         |
| Wheat straw          | DSM 3651                                | 18.5                               | 9.23               | 11.6                          | 0.41                          | n.r.  | Batch             | Y              | 42         |
| Sugarcane bagasse    | NRRL Y-7124                             | 5.0                                | 50.0               | 7.3                           | 0.16                          | 0.10  | Batch             | Y              | 43         |
| Sugarcane bagasse    | NRRL Y-7124                             | 5.0                                | 50.0               | 15.0                          | 0.37                          | 0.30  | Batch             | Y              | 11         |
| Rice straw           | MA301 <sup>d</sup>                      | 59.7                               | 43.3               | 43.2                          | 0.43                          | 2.16  | Continuous        | N              | This study |

<sup>a</sup> Note: *C* (g l<sup>-1</sup>), concentration; *Y* (g g<sup>-1</sup>), yield; *Q<sub>P</sub>* (g l<sup>-1</sup> h<sup>-1</sup>), productivity; n.r., not reported. <sup>b</sup> Adapted *S. stipitis*. <sup>c</sup> Engineered strain. <sup>d</sup> UV mutagenesis and adaptation. <sup>e</sup> Total reducing sugar. <sup>f</sup> Xylose, added externally.





hydrolysate, aiming to reduce the inhibitory influence, overcome CCR effect and improve ethanol productivity. The maximum ethanol titer of 43.2 g l<sup>-1</sup> and productivity of 2.16 g l<sup>-1</sup> h<sup>-1</sup> was achieved, suggesting the process reported was promising for commercial production of bioethanol from lignocelluloses biomass.

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