Graphene-based CO$_2$ sensing and its cross-sensitivity with humidity

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We present graphene-based CO$_2$ sensing and analyze its cross-sensitivity with humidity. In order to assess the selectivity of graphene-based gas sensing to various gases, measurements are performed in argon (Ar), nitrogen (N$_2$), oxygen (O$_2$), carbon dioxide (CO$_2$), and air by selectively venting the desired gas from compressed gas bottles into an evacuated vacuum chamber. The sensors provide a direct electrical readout in response to changes in high concentrations, from these bottles, of CO$_2$, O$_2$, nitrogen and argon, as well as changes in humidity from venting atmospheric air. From the signal response to each gas species, the relative graphene sensitivity to each gas is extracted as a relationship between the percentage-change in graphene’s resistance response to changes in vacuum chamber pressure. Although there is virtually no response from O$_2$, N$_2$ and Ar, there is a sizeable cross-sensitivity between CO$_2$ and humidity occurring at high CO$_2$ concentrations. However, under atmospheric concentrations of CO$_2$, this cross-sensitivity effect is negligible – allowing for the use of graphene-based humidity sensing in atmospheric environments. Finally, charge density difference calculations, computed using density functional theory (DFT) are presented in order to illustrate the bonding of CO$_2$ and water molecules on graphene and the alterations of the graphene electronic structure due to the interactions with the substrate and the molecules.

Introduction

The low cost and general scalability of solid state gas sensors has led to their increasing usage in a number of applications from breath sensors to smoke detectors. Previous and current gas sensor research has been primarily focused on sensors based on metal oxides, nanowires, nanotubes, graphene and graphene oxide. Its extraordinary electronic properties make graphene an attractive sensing material, which has demonstrated the ultimate level of sensitivity – the ability to detect a single molecule. Graphene’s high electrical conductivity is formed by its dangling π-orbitals. These π-orbitals interact with the orbitals of neighboring atoms forming conduction bands and lead to high carrier mobility. However, these delocalized electrons are highly sensitive to changes in the local environment – allowing graphene to have a high sensitivity to a number of gases. When molecules adsorb onto the graphene surface, the bonding causes changes in the electronic structure of graphene. This correspondingly may change its effective carrier density or electron mobility, or both. There are numerous studies which have been performed on graphene’s humidity sensing properties. Further, CO$_2$ sensitivity has been both theorized and previously reported in graphene and carbon nanotubes. However, the possibility of cross-sensitivity of humidity with CO$_2$ has not been addressed in graphene. This work analyzes the sensitivity and selectivity of both humidity and CO$_2$ sensing for a graphene gas sensor and demonstrates that, while a cross-sensitivity between the two gases exists, the sensitivity of graphene to CO$_2$ is negligible for typical CO$_2$ concentration conditions in air.

Experimental setup

The graphene device fabrication begins with a p-type doped silicon substrate chip which is diced to dimensions of 0.92 cm
by 0.96 cm. SiO₂ is then thermally grown to a thickness of 300 nm. 20 nm of titanium and 80 nm of gold are deposited onto the SiO₂ using e-beam metal evaporation. This contact layer is then patterned using a lift-off process. By patterning contacts prior to the graphene transfer, the number of subsequent post-graphene transfer process steps is limited – thereby mitigating process-induced damage to the graphene layer. Next, graphene is transferred using a standard wet transfer technique.³⁷–⁴⁰ Commercially available chemical vapor deposited (CVD) graphene from Graphenea Inc., Spain is used. During the CVD growth process, monolayer graphene is uniformly grown on one side of a finely polished copper foil. Though the CVD process deposits carbon on both sides of the foil, the back side of the foil is lower quality and consists of primarily amorphous carbon rather than graphene. A layer of poly(bisphenol A) carbonate (PC) is applied to the side of the foil containing the high quality graphene. This acts as a protective layer for the graphene during its transfer from the copper onto the device substrate.³⁷–⁴¹ Residues on the back side of the foil are subsequently removed using O₂ plasma. The copper foil is then placed, graphene side up, into a solution of FeCl₃ etches the copper layer leaving only the polymer/graphene stack. Afterwards, the graphene is transferred from the FeCl₃ solution into water followed by placing it in 8 vol% HCl in order to remove residues of FeCl₃. Then, the graphene is transferred again to water as a final cleaning step. After cleaning, the graphene and supporting polymer layer are manually transferred onto a target chip and let dry on a hotplate for about 10 minutes at 45 °C. Once dried, the devices are placed into chloroform for about 12 hours in order to strip/dissolve the protective polymer layer.

The transferred graphene layer is patterned using standard photolithography and O₂ plasma etching. Devices are then wire bonded and housed inside a dual-in-line-package (DIP). The packaged devices are electrically characterized inside a vacuum chamber. CO₂ in the chamber is controlled by venting and pumping the desired gas into the evacuated chamber through the devices at 200 mV square wave pulses for durations of 500 μs in order to ensure that the effects of self-heating are minimal.⁴²

**Computational details**

Ground-state density functional theory (DFT) calculations for graphene exposed to both humid and CO₂-rich conditions have been performed in order to further understand the underlying sensing mechanism. The substrate configurations consist of a (111) plane cut in SiO₂ cristobalite (with a Q₂⁻ defect in the surface atom). The first configuration is a monolayer of a 2 × 2 graphene supercell on top of the defected SiO₂ substrate. The effect of the molecule on the graphene electronic structure is localized to the part of the graphene sheet close to the molecule and a 4 × 4 graphene supercell was seen to give virtually identical results to the 2 × 2 matrix with regard to bonding distances and charge density differences. The second configuration introduces a H₂O molecule whose oxygen component is located mid-way between two carbon atoms within the graphene hexagon. Similarly, the third configuration is formed by adding a CO₂ molecule in place of the H₂O molecule (the carbon in the CO₂ molecule has the same coordinates as the oxygen in the H₂O molecule). Hamann, Schluter, Chiang and Vanderbilt (HSCV)⁴³–⁴⁴ norm-conserving pseudo-potentials were used for all the atoms together with the Quantum Espresso (QE)⁴⁵ simulation package, with a plane-wave basis set and a kinetic energy cutoff of 130 Ry for the wave function. The Brillouin zone was sampled using a 16 × 16 × 1 Monkhorst-Pack⁴⁶ k-point grid and a Methfessel–Paxton smearing of 0.0037 Ry. The Perdew, Burke and Ernzerhof (PBE) functional⁴⁷ of the exchange-correlation part of the density functional was used with semi empirical Grimme’s corrections⁴⁸–⁴⁹ for the van der Waals corrections. All calculations are spin polarized. The geometries for the substrates and graphene were converted from CIF formats to Quantum Espresso input files using the CIF2Cell code⁵⁰ downloaded from ref. 51. The used CIF files (for graphene,⁵¹ cristobalite and quartz⁵²) were downloaded from the materials project repositories,⁵³–⁵⁴ where they were fetched originally from the ICSD library⁵⁵–⁵⁷ then further relaxed as bulk structures via the materials project servers.

**Results and discussion**

The sensing device is composed of a silicon substrate with a layer of SiO₂ thermally grown on top (Fig. 1a). Contact pads are shown in gold and graphene is represented by a dark strip over the contacts. The active sensing region of the device consists of the region between the two innermost contacts. A close-up of the active region is represented by the area within the orange dash rectangle. The devices are packaged in order to ensure a reliable operation inside the vacuum chamber as shown in a color-enhanced scanning electron microscope (SEM) image (Fig. 1b). The graphene region is shaded blue, the contact pads are shaded yellow and wire bonds are shaded orange. After packaging, the devices are placed inside a vacuum chamber for characterization.
CO₂ is vented into the chamber from a compressed CO₂ bottle allowing the CO₂ molecules to bind with the graphene surface as they diffuse into the chamber (Fig. 2a). By controlling the chamber pressure through a combination of a pump and an inlet valve for the gas, the CO₂ molar volume in the chamber can likewise be controlled and the device response monitored. In the case of the device response shown in Fig. 2b, as the chamber pressure is modulated by the pump (changing the CO₂ molar volume), there is a corresponding resistance response. During this pumping process, the chamber humidity is also closely monitored by the HIH-4000 commercial humidity sensor to ensure that the humidity is maintained at a near constant value. Chamber humidity is controlled by first evacuating the chamber to less than 100 mbar and then venting the chamber with argon. This is repeated 3 to 4 times until the chamber humidity is less than 1% relative humidity. This is done in order to mitigate humidity effects during evaluation of graphene’s CO₂ sensing properties. The chamber is then evacuated to low pressure (less than 100 mbar) before the initial venting of CO₂ gas. The CO₂ is then kept in the chamber in order to allow the device response to reach a steady state value before pumping the CO₂ from the chamber and monitoring the device response (initial response characteristics will be discussed in a following section). The graphene resistance response to changes in CO₂ molar volume during pumping is linear with a coefficient of determination ($R^2$) value of 0.9661. The $R^2$ value estimates how linear the data is. A high $R^2$ (close to 1) indicates highly linear behavior (Fig. 2b). In our case, the $R^2$ value suggests that the data is highly linear. The CO₂ resistance response of the graphene is very stable (Fig. 2c) when the chamber pressure is held constant. Further, the device has a fast response time (of less than 3 seconds) measured from the time it takes for the device to go from 10% to 90% of its final resistance change (Fig. 2d). As mentioned, the chamber pressure is used to estimate the chamber’s CO₂ molar volume using the ideal gas law (Fig. 2e). The molar volume is determined by first calculating the molar amount ($n_{CO₂}$) of CO₂ from the ideal gas law (eqn (1)) where $P$ is the pressure, $V$ is the chamber volume, $R$ is the gas constant and $T$ is the temperature. The molar volume ($\rho_{molf}$) is then calculated by dividing the chamber volume by the molar amount (eqn (2)).

$$n_{CO₂} = \frac{PV}{RT} \quad (1)$$

$$\rho_{molf} = \frac{V}{n_{CO₂}} \quad (2)$$

As mentioned, by relating the pressure to device resistance, a comparison of the relative sensitivity of various gases (including air) to changes in pressure is performed (Fig. 2f). Graphene’s resistance response to each individual gas, including CO₂, is small relative to air. This suggests that the dominant effect is humidity sensing and not sensing of any constituent gases of air. Graphene’s high selectivity to humidity is more apparent when considering the relative concentrations of each gas species in air (Fig. 2g). In both cases, the combined device resistance responses to all gases are insignificant in comparison to the resistance response in air – suggesting that the concentration of CO₂ present in air has negligible impact on the device sensitivity to H₂O. As a consequence, graphene-based humidity sensors may be operated in environmental conditions without concern for cross-sensitivity effects to atmospheric gases at typical concentrations.

The effect of CO₂ and H₂O molecules on graphene has been studied both experimentally3,13,17,18,29,30,32,35,36,58 and theoretically.31,4,59,64 Specifically, the influence of defects in the SiO₂
substrate was analyzed by Wehling et al.\textsuperscript{63} in an H\textsubscript{2}O-rich environment. For both H\textsubscript{2}O and CO\textsubscript{2}, a change in resistance as the concentration of the gases in the chamber changes has been observed. This suggests a doping of the graphene layer by both CO\textsubscript{2} and H\textsubscript{2}O, possibly facilitated by the substrate’s atomic configuration. A control case with only graphene and the defective substrate is performed for comparison. In order to qualitatively demonstrate the effect of the two gas species, we performed DFT calculations for different cases of H\textsubscript{2}O and CO\textsubscript{2} coverage with Q\textsubscript{0} substrate defects\textsuperscript{55,56} present on the surface of the cristobalite SiO\textsubscript{2} substrate for one case. An undercoordinated silicon at the surface of the α-quartz substrate is simulated for another case. The Q\textsubscript{0} defect is chosen for the purpose of computational simplicity and being a well-established defect in SiO\textsubscript{2} surfaces. In the calculations, the substrate defects give rise to an impurity band, similar to the results of Wehling et al.\textsuperscript{63,64} The electrostatic dipole moment of the H\textsubscript{2}O molecules may now shift this impurity band, leading to an effective doping and increased conductivity in the graphene layer, which complies with experimental observations.

Our calculated results are summarized in Fig. 3 for the case of cristobalite SiO\textsubscript{2} substrate where the graphene/substrate distance is relaxed and found to be 3.40 Å above the substrate at the lowest total energy. Similarly, graphene/H\textsubscript{2}O and graphene/CO\textsubscript{2} distances are found to have the lowest total energies at 3.21 Å and 3.20 Å respectively. Different orientations and configurations for the CO\textsubscript{2} and H\textsubscript{2}O cases are examined and the lowest energy occurs when each adsorbate molecule lies parallel...
to the graphene sheet. The shown configurations (adsorbate atoms positioning relative to the graphene sheet and the substrate) give the lowest energies compared to other examined different cases. Fig. 3a shows graphene on top of the SiO$_2$ substrates. The top Si atoms are displayed in green. Fig. 3b and c present the same graphene/SiO$_2$ system in the presence of a H$_2$O molecule and CO$_2$ molecule respectively. The charge density difference (CDD) is defined according to eqn (3) where the (sc, s, g, ads) abbreviations stands for the supercell, substrate, graphene and adsorbates respectively. The charge density difference is displayed as a contour map with a 2D cut through the carbon dioxide molecule plane in each of the three unit cells, as indicated by the dashed lines in Fig. 3g–i.

$$\Delta \rho = \rho_{sc} - \rho_s - \rho_g - \rho_{ads}$$  \hspace{1cm} (3)

Thus, with our electronic structure computations, it is possible to see how the charge density in and around the graphene sheet is altered in comparison to a pristine graphene sheet due to the presence of the substrate surface and the molecules. In Fig. 3d, we show how the charge density of graphene is affected by the silica substrate. Fig. 3e shows in addition the effect on the graphene charge density from an adsorbed water molecule, and finally Fig. 3f shows the corresponding effect from an adsorbed carbon dioxide molecule. Comparing Fig. 3d with Fig. 3e and f, we see that the physisorbed molecules clearly affect the charge density of the graphene sheet. For instance, in the cut shown, new charge depletion and accumulation regions around the graphene sheet develop. The changes in the graphene charge density result in corresponding changes of the Kohn–Sham eigenvalues, (i.e., the band structure in a single-particle picture of the electronic structure) and therefore band-structure related properties such as the band dispersion, the position of the Fermi level or the charge carrier effective mass may also change. Since it is well established that the transport properties of charge carriers in a material depend on the above mentioned band-structure related properties, it is reasonable to expect that the charge carrier transport properties will be affected by the observed changes in the charge density of the graphene sheet induced by the molecules. The effect on the graphene charge density from an adsorbed molecule is localized to the part of the graphene sheet in close proximity of the molecule. Therefore, a change in the concentration of adsorbed molecules (as long as saturation is not reached) will result in a change of how much of the graphene sheet that is affected by the molecules. It is also reasonable to expect that the transport properties of the graphene sheet will be more affected the higher the concentration of adsorbed molecules is (or,
equivalently, less affected the lower the concentration of adsorbed molecules is) – as verified by experiments (Fig. 2).

Until now, both the data examined experimentally, as well as DFT simulations, focused on the graphene response due to direct CO$_2$ adsorption onto the graphene surface. There is, however, a separate initial response to initial venting of the chamber with CO$_2$ (as presented in Fig. 4a), which cannot be explained by surface adsorption and its implications for cross-sensitivity with the device response to humidity. As the pressure increases due to venting of the chamber with CO$_2$ from a compressed CO$_2$ bottle, the graphene resistance shows a sharp initial decrease (black line). As previously discussed, the humidity is kept below 1% to isolate the device response to CO$_2$. As mentioned, an increase in pressure is analogous to an increase in CO$_2$ molar volume in the chamber. A white to orange gradient in Fig. 4a presents the increase in CO$_2$ density over time in relation to an increase in pressure. After the chamber is filled with CO$_2$ to 0.7 bar of pressure, it is maintained at a steady state pressure. Although the CO$_2$ concentration remains at a stable value, the resistance slowly begins to recover from its initial response to roughly 67% of its original resistance value (Fig. 4b). This suggests a secondary effect, which is not due to direct adsorption of CO$_2$ molecules. Evaluation of the device’s CO$_2$ sensitivity to the initial response is then performed to determine its possible cross-sensitivity effect with humidity. Normalization of device sensitivity is performed with respect to air by dividing the device sensitivity to CO$_2$ (Fig. 4c) by the device sensitivity to air (humidity). The initial response for pure CO$_2$ is much higher than for air. However, CO$_2$ typically is only about 0.039% of the relative concentration of air. Therefore, Fig. 4d shows the normalized sensitivity multiplied by the relative concentration in air. When the concentration of CO$_2$ in air is taken into account, the effect of CO$_2$ cross-sensitivity remains negligible compared to graphene’s humidity response.

In order to further address the possibility of cross-sensitivity of CO$_2$ with humidity, a commercial Engine K30 CO$_2$ sensor (with a detection range of 0 to 2000 ppm) was used for a secondary CO$_2$ reading. Atmospheric CO$_2$ concentration is approximately 400 ppm. If the CO$_2$ value is maintained within this range, and there is no signal response from the sensor for changes in CO$_2$ within this range, the effect of CO$_2$ cross-sensitivity can be neglected under very conservative conditions (over 2000 ppm which over 5 times greater than atmospheric CO$_2$ concentrations). Fig. 4e compares the measurement signal of the commercial CO$_2$ sensor in conjunction with the signal of the graphene sensor. In addition, an LM35 temperature sensor was used to monitor the chamber temperature, demonstrating that the chamber temperature is maintained at room temperature during the measurements. For

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**Fig. 4**  (a) Initial response of the graphene device to pure CO$_2$. As the pressure increases (blue line) the resistance decreases (black line). An increase in pressure is also analogous to an increase in the amount of CO$_2$ in the chamber. A white to orange gradient represents the increase in CO$_2$ molar volume over time in relation to an increase in pressure. (b) After the chamber is filled with CO$_2$, the graphene resistance slowly begins to recover to roughly 67% of its original deviation. (c) Normalized sensitivity of the initial gas response compared to the sensitivity of a humidity sensor. (d) Normalized sensitivity divided by the concentration. When the concentration of CO$_2$ in the air is taken into account, the effect becomes negligible. (e) Signal from the commercial CO$_2$ sensor in conjunction with the signal from the graphene sensor and temperature sensor in concentrations similar to air (roughly 400 ppm). Note that, although the commercial CO$_2$ sensor reading varies from 0 to 2000 ppm as the chamber is evacuated and slightly vented with compressed CO$_2$, there is no corresponding change in the graphene resistance response.
these measurements, humidity and CO₂ concentrations are controlled in the same way as described for the measurements in Fig. 2. As the CO₂ concentration varies from 0 to 2000 ppm (as the chamber is evacuated and slightly vented with high concentration CO₂), there is no corresponding change in the graphene device resistance. This verifies that the response seen in Fig. 4a is suppressed at atmospheric concentrations of CO₂ and confirms that the cross-sensitivity between CO₂ and H₂O is negligible for measuring humidity in air using these graphene devices.

In order to further illustrate this point, Table 1 compares device responsivities for a number of competing CO₂ sensing technologies to our devices in the range from 0 to 2000 ppm. However, the majority of sensors in literature only investigate on CO₂ concentrations ranging below 2000 ppm making comparison difficult at much larger concentrations. Our graphene sensor shows negligible responsivity to CO₂ at these low ranges (the responsivity is below the noise threshold of our instrumentation) and two orders of magnitude below the best range (the responsivity is below the noise threshold of our instrumentation) and two orders of magnitude below the responsivity of competing devices. These devices can therefore be operated as humidity sensors in atmospheric environments without concern for cross-sensitivity with CO₂.

As previously mentioned, the signal response of the graphene device to CO₂ appears to be the result of two different phenomena (Fig. 2 and 4). In Fig. 2, the device behavior can be best explained by adsorption of CO₂ onto the surface of the graphene (as supported by DFT analyses in Fig. 3). In order to further analyze a separate effect caused by the initial CO₂ venting (behavior shown in Fig. 4), the graphene devices were passivated with a 25 nm layer of Al₂O₃ deposited over the entire device surface before packaging the devices. Fig. 5a displays a passivated device where the Al₂O₃ layer prevents CO₂ molecules from being adsorbed directly onto the graphene surface. CO₂ was introduced into the chamber in a series of venting and pumping cycles as shown in Fig. 5b1 in order to observe whether the passivated device shows the same behavior as the non-passivated. In Fig. 5, there remains an initial response when CO₂ is introduced, despite the graphene device passivation. This confirms that the effect observed in Fig. 4 involves a different mechanism than direct surface adsorption. Just as in Fig. 4, after the initial response (red line), there is a recovery period. This recovery is not affected by subsequent removal of the CO₂ during the pumping portion of the cycle (the chamber pressure is denoted by the blue line). In the non-passivated response shown in Fig. 2b (which has already reached a recovery after the initial CO₂ response), the mechanism is due to direct adsorption because the non-passivated device resistance varies linearly as CO₂ is removed from the chamber – an effect which is not present during CO₂ removal from the chamber in the passivated device. During repeated pumping and venting of the chamber with CO₂, the same behavior is present as in Fig. 4a and b. The recovery period follows an exponential decay in each cycle (Fig. 5b1 as black dotted lines). Fig. 5b2 displays the same response and recovery with the exponential fits subtracted. This subtraction provides a more clear demonstration that the behavior of passivated devices is only affected by the initial introduction of CO₂ molecules and not determined by the CO₂ concentration in the chamber (as the direct adsorption case in Fig. 2 with non-passivated devices). The passivation layer thus provides effective isolation from CO₂ adsorption onto the graphene surface.

Although the precise mechanism of the initial graphene resistance response to CO₂ is not presently understood, it may be the result of electrostatic charging of the CO₂ as it is decompressed from the bottle and vented into the chamber. Electrostatic charging of compressed CO₂ has been reported as a common feature of CO₂ compression in other contexts. In addition, there are several reports of capacitive CO₂ sensors which demonstrate a similar exponential decay behavior which we observe coupled with long recovery times. Although there is no consensus on the mechanism at work, these reports suggest that the primary effect is chemisorption with the substrate which changes the material dielectric constant. While this is an interesting possibility, it does not explain, in our case, the recovery of the devices while under a fixed CO₂ concentration.

We therefore speculate that the observed effect is a capacitive coupling effect that causes an increase of charge carriers in the graphene that then diffuses as the CO₂ molecules discharge through collisions with the grounded chamber walls. Such behavior would explain the exponential resistance decay in the

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<th>CO₂ sensitivity comparison of competing technologies*</th>
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<td>Smith et al. [this work]</td>
<td>CVD graphene</td>
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<td>Pristine graphene</td>
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<td>Kim et al.74</td>
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* calculated from reported results, — data not available.
graphene. Fig. 5c combines the data of Fig. 2 and 4. After an initial response and long recovery period, CO$_2$ was pumped from the chamber in 4 steps (close-ups shown in Fig. 2f) in order to demonstrate the distinction between graphene’s initial resistance response to CO$_2$ from its response to direct CO$_2$ adsorption. In devices without passivation layer, there is a definite signal response when CO$_2$ is evacuated from the chamber. This effect is not present in passivated devices—signaling that the response in Fig. 2f is due to direct CO$_2$ adsorption. Since the initial signal response and subsequent exponential decay is present in both passivated and non-passivated devices, this response is possibly due to capacitive coupling from electrostatically charged CO$_2$. If the long recovery is due to a slow discharging of electrostatically charged CO$_2$ molecules, the recovery may be drastically shortened by ensuring that the CO$_2$ molecules are not electrostatically charged, thereby isolating the CO$_2$ sensing effect due only to adsorption—an interesting topic for future investigation.

**Conclusion**

We demonstrate graphene-based CO$_2$ sensing. In addition, we observe two distinct effects associated with the sensor—a resistive effect from direct adsorption onto the graphene surface and a possible capacitive effect. The direct adsorption effect may be understood by a change in the electronic structure. The capacitive effect is, at present, not understood. In addition, we primarily analyze the cross-sensitivity between CO$_2$ and humidity—an important feature for implementing graphene-based humidity sensors under atmospheric conditions. The selectivity of the graphene gas sensor to humidity is determined by comparison of measurements performed in pure concentrations of O$_2$, Ar, N$_2$, CO$_2$, and air. Although the response from O$_2$, Ar, and N$_2$ is minimal, there exists a cross-sensitivity between CO$_2$ and humidity. However, the cross-sensitivity of CO$_2$ becomes negligible at typical CO$_2$ concentrations present in air. This high selectivity to H$_2$O in the presence of other gases is attractive for implementation of graphene-based humidity sensing in ambient air environments.

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