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# A simple synthesis method to prepare a molybdenum oxide hole-transporting layer for efficient polymer solar cells

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We report a simple synthetic method to prepare amorphous molybdenum oxide (p-MoO<sub>3</sub>) using a favorably stable peroxomolybdic acid organosol as the precursor solution prepared by an ultrasonic reaction for the first time. The favorably smooth and dense surface morphology of the p-MoO<sub>3</sub> layers are obtained under 150 °C thermal treatment with good optical properties and a high work function ( $W_F$ ) of 5.26 eV. During the annealing treatment two different oxidation states of Mo ions are observed with increasing the annealing temperature to 150 °C and 200 °C. The best performance of the P3HT:PC<sub>71</sub>BM devices with p-MoO<sub>3</sub> anode buffer layers has been achieved under 150 °C treatment with a power conversion efficiency (PCE) of 4.02%, a  $V_{OC}$  of 0.59 V, a  $J_{SC}$  of 10.70 mA cm<sup>-2</sup>, and a FF of 63.7%, superior to the corresponding PEDOT:PSS modified devices. Furthermore, the performance of the PTB7:PC<sub>71</sub>BM devices with the annealed p-MoO<sub>3</sub> buffer layers has also been dramatically improved with the best performance parameters of a PCE of 8.46%, a  $V_{OC}$  of 0.73, a  $J_{SC}$  of 17.02 mA cm<sup>-2</sup>, a FF of 68.1% for 150 °C. The improved performance of the devices originates from the following factors; (i) the favorable and compact surface morphology of the annealed p-MoO<sub>3</sub> films leading to a higher rectification ratio and lower leakage current. (ii) The formation of oxygen vacancies and the growing Mo<sup>5+</sup> cation leading to the change of  $W_F$  under the annealing treatment. The highest  $W_F$  of 5.26 eV for 150 °C treatment influences the built-in electric field of the devices with the photocurrent being extracted efficiently at a short-circuit.

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## 1. Introduction

In recent years the development of bulk heterojunction (BHJ) polymer solar cells (PSCs) continues to show progress,<sup>1–7</sup> and PSCs have also been a hot research topic due to their advantages of low-cost manufacturing, light weight and good flexibility.<sup>8–11</sup> A BHJ polymer solar cell generally consists of a transparent anode (typically indium tin oxide, ITO), a BHJ active layer (a mixture of donor polymer and fullerene acceptor), and a cathode (*e.g.* Al, Ca/Al or LiF/Al). Anode/cathode interfacial layers are usually used as charge selective contacts between the BHJ active layer and the electrodes. The development of appropriate electron (or hole) charge blocking and opposite transporting charge layers is paramount to the fabrication of more efficient solution processed PSC devices. The role of such layers is also to create asymmetrical interfaces to enable reduced recombination of photogenerated electrons and holes at the electrodes and collect charge effectively.<sup>12</sup>

Nowadays the aqueous poly(3,4-ethylenedioxythiophene)-poly(styrene sulfonate) (PEDOT:PSS) is typically used as the anode buffer layer in PSCs. However, PEDOT:PSS has the hygroscopic and acidic nature with an associated reduction in device stability.<sup>13,14</sup> Therefore, developing a straightforward method to prepare more moderate, stable and effective anode interlayers is highly desirable for the low-cost, high throughput manufacturing of efficient PSC modules. In this regard, transition metal oxide semiconductors including NiO,<sup>15,16</sup> MoO<sub>3</sub>,<sup>17,18</sup> V<sub>2</sub>O<sub>5</sub> (ref. 19) and WO<sub>3</sub> (ref. 20) are emerging as a promising candidates of substitutes for PEDOT:PSS as the hole-transporting layer (HTL), which possess the demonstrable advantages of the wide range of energy level aligning capabilities, high transmittance in the visible region, and excellent ambient stability. Moreover, the developed solution-processed metal oxides semiconductors are compatible with low-cost all-solution high-throughput manufacturing of PSCs. Among these semiconductors, solution-processed MoO<sub>3</sub> thin films have been prepared from different precursors such as ammonium molybdate,<sup>21–23</sup> MoO<sub>2</sub>(acac)<sub>2</sub>,<sup>24,25</sup> Mo(CO)<sub>3</sub>(EtCN)<sub>3</sub> (ref. 26) and dispersed MoO<sub>3</sub> nanoparticles<sup>27,28</sup> and proved to be a promising candidate as efficient hole extraction layers. However, many weak points can be discerned in the above solution-based synthetic methods for MoO<sub>3</sub> films, which may ultimately decrease their hole-

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transporting efficiency when they become part of an organic optoelectronic devices. For instance, the inadequately homogeneous surface of MoO<sub>3</sub> films that are usually formed, and the high temperature of thermal treatment along with additional process steps (such as oxygen plasma treatment) are generally needed after spin-coating the precursor solution. In addition, the sol-gel method involving dissolution of MoO<sub>3</sub> in hydrogen peroxide, and then dispersion with polyethylene glycol and 2-methoxyethanol, resulting in smooth MoO<sub>3</sub> films after the time-consuming reflux and high temperature (275 °C) thermal treatment.<sup>29,30</sup>

In this paper, we report a simply synthetic method to prepare the favorably stable dark blue peroxomolybdic acid organosol by the ultrasonic reaction for the first time. Then the molybdenum oxide (marked as p-MoO<sub>3</sub>) films with varying reduction degrees are prepared using the peroxomolybdic acid organosol as the precursor solution by spin-coating method. The optical characteristic, surface topography and electrical characteristics of p-MoO<sub>3</sub> films annealed in a broad temperature range are compared and discussed in depth. In order to validate the effect of our prepared p-MoO<sub>3</sub> films annealed under various temperature on the OPV devices, the OPV devices with the structures of ITO/HTL/P3HT (or PTB7):PC<sub>71</sub>BM/LiF/Al are fabricated. Finally, the mechanism of the efficiency enhancement upon incorporation of p-MoO<sub>3</sub> films as anode buffer layers under various annealing temperatures is proposed.

## 2. Experimental section

Regioregular electron-donor poly(3-hexylthiophene) (P3HT), poly[[4,8-bis[(2-ethylhexyl)oxy]benzo[1,2-*b*:4,5-*b'*]dithiophene-2,6-diyl] [3-fluoro-2-(2-ethylhexyl) carbonyl]thieno[3,4-*b*]thiophenediyl]] (PTB7) and acceptor [6,6]-phenyl C<sub>71</sub>-butyric acid methyl ester (PC<sub>71</sub>BM) were purchased from Luminescent Technology, Inc. The PEDOT:PSS (Clevios 4083) were purchased from H.C. Starck. The chlorobenzene, 1,2-dichlorobenzene and MoO<sub>3</sub> (99.98%) were purchased from Sigma-Aldrich. All the chemicals were used as received without further purification. The structures of the fabricated PSC devices are ITO/HTL/P3HT (or PTB7):PC<sub>71</sub>BM/LiF/Al. The structures of the PSC devices, chemical structures and energy level diagram of materials used in the PSC devices are shown in Fig. 1.

### 2.1 Preparation of the molybdenum solution

The synthesis was based on the preparation of the peroxomolybdic acid solution with the treatment of the ultrasonic reaction, which was very crucial for the preparation of the peroxomolybdic acid solution. First, ethanediol and isopropanol were mixed to obtain 40 mL complex-solvent by the volume ratio of 1 : 9 (ethanediol to isopropanol), then 400 mg MoO<sub>3</sub> powder reacted with 40 mL complex-solvent, and the 30% aqueous H<sub>2</sub>O<sub>2</sub> was added to the reacted system by the volume ratio of 7 : 93 (H<sub>2</sub>O<sub>2</sub> to complex-solvent). The system was dissolved completely after ultrasonication for 30 min, forming the dark blue peroxomolybdic acid organosol (inserted in Fig. 2). The molybdenum concentration was 10 mg mL<sup>-1</sup> in mother liquor

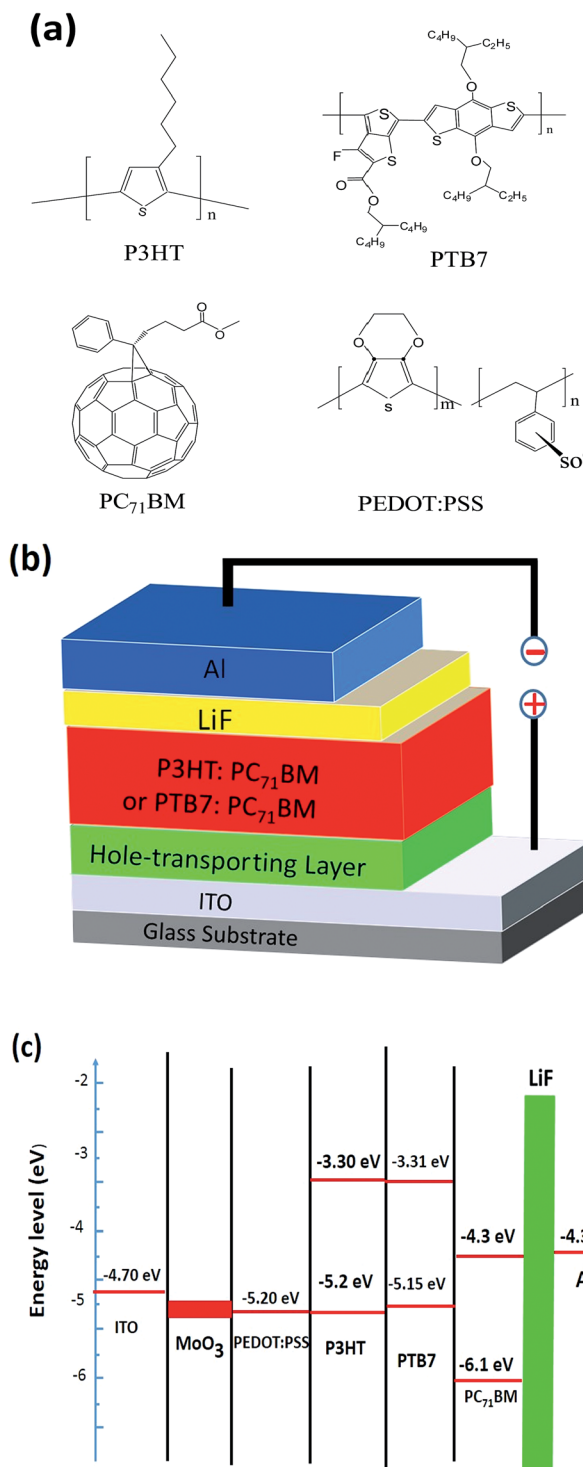


Fig. 1 (a) The molecular structures of P3HT, PTB7, PC<sub>71</sub>BM, p-MoO<sub>3</sub> and PEDOT:PSS. (b) The typical device structure of P3HT:PC<sub>71</sub>BM or PTB7:PC<sub>71</sub>BM polymer solar cell. (c) Energy level diagram of the component materials used.<sup>2,31</sup>

and had good dispersion and stability for several months. For each experimental run, the peroxomolybdic acid organosol was diluted with isopropanol to a certain molybdenum concentration.



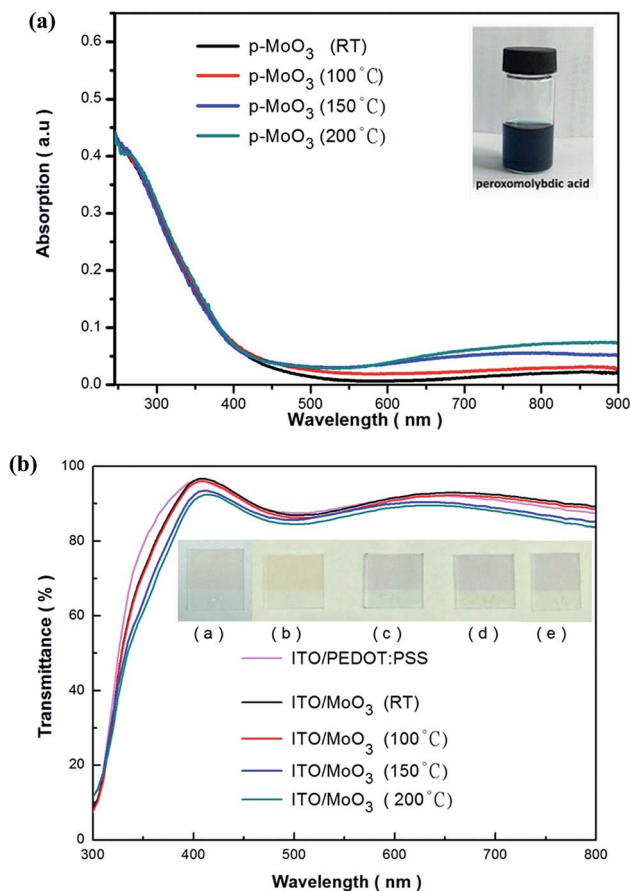


Fig. 2 (a) UV-vis absorption spectra of the p-MoO<sub>3</sub> thin films under various annealing temperature. (b) Transmission spectra of PEDOT:PSS thin film (a) and p-MoO<sub>3</sub> thin films treated with room temperature drying (b), 100 °C (c), 150 °C (d), 200 °C (e).

## 2.2 Fabrication of PSC devices

Prior to device fabrication, the solutions of light-absorbing layer were prepared. Both 1,2-dichlorobenzene solution containing P3HT and PC<sub>71</sub>BM (1 : 0.8, w/w) and a mixed solvent of chlorobenzene and 1,8-diiodooctane (97 : 3, v/v) containing PTB7 : PC<sub>71</sub>BM (1 : 1.5, w/w) were stirred in glovebox at 60 °C overnight. Upon cooling to room temperature, the solutions were filtered through a 0.2 µm polytetrafluoroethylene (PTFE) filter before using. The ITO substrates (CSG Holding Co. Ltd., Shenzhen, China) were cleaned by sonication using detergent, deionized water, acetone and isopropanol sequentially for 15 min. After an oven drying for a few hours, the ITO surface was treated with plasma treatment for 10 min. Then the layers of PEDOT:PSS (or peroxomolybdic acid organosol) were spin-coated onto the cleaned ITO to obtain the PEDOT:PSS films (or p-MoO<sub>3</sub> films) as anode buffer layers at 4000 rpm for 40 s and baked at a certain annealing temperature in glovebox, and the thickness of PEDOT:PSS (or MoO<sub>3</sub>) was about 40 nm (or 10 nm). The molybdenum concentration was diluted with isopropanol to 2 mg mL<sup>-1</sup> using mother liquor to regulate the solution viscosity. And the annealing time to construct device HTL in this study was for 20 min. Next, the active layers were

spin-coated in the glovebox (O<sub>2</sub> < 0.1 ppm, H<sub>2</sub>O < 0.1 ppm) by employing the active layer solutions. The LiF and Al electrode were deposited continuously in a deposition chamber under a basic vacuum pressure lower than  $3 \times 10^{-4}$  Pa. The shadow mask was used during thermal evaporation to define the active area of 0.15 cm<sup>2</sup>. All devices were unencapsulated and measured in air.

## 2.3 Characterization of thin film and PSC devices

All thickness measurements were analysed using alpha-step surface profiler. Structural assessments of the thin film samples under different annealing temperature *via* XRD was performed using a Bruker Discovery 08 with a Hi-Star area detector.

The UV-vis absorption and transmittance spectra of the p-MoO<sub>3</sub> thin films under various annealing temperature and PEDOT:PSS thin film were measured with a HP 8453 UV-vis spectrophotometer.

XPS analysis was carried out on an XPS/ESCA (electron spectroscopy for chemical analysis) instrument (Axis Ultra DLD from Kratos Inc.) utilizing a monochromatized Al K $\alpha$  X-ray source. Spectra were referenced to the residual hydrocarbon contamination at  $284.6 \pm 0.1$  eV. The thin films (*ca.* 40 nm) were deposited on the ITO substrate for XPS analysis.

The work functions of the p-MoO<sub>3</sub> thin film samples under different annealing temperatures were investigated using Kelvin Probe measurements, carried out on a KP Technology SKP5050 in a glove-box filled in a conditioned chamber where the O<sub>2</sub> level is <0.1 ppm.

Scanning electron microscope (SEM) and Tapping-mode atomic force microscope (AFM) images using a NanoScope NS3A system (Digital Instruments) were obtained to observe the surface morphology of the annealed films.

The current density-voltage (*J-V*) characteristics of the devices were performed using a computer controlled Keithley 2400 source-meter under illumination with calibrated AM1.5G (100 mW cm<sup>-2</sup>) at room temperature.

# 3. Result and discussion

## 3.1 Optical characteristics of MoO<sub>3</sub> thin films

Firstly the UV-vis measurement was carried on the spin-coated thin films with the same spin-coating conditions and different annealing temperatures. As clearly shown in Fig. 2(a), all the p-MoO<sub>3</sub> thin films are almost transparent within visible light range, and this will be of benefit for light harvesting. However, a growing small broad absorption ranging from 600 nm to 800 nm is observed for the annealed thin films under 150 °C. Increasing the treated temperature to 200 °C, this small broad absorption becomes more evident. This fascinating phenomenon may be attributed to the free electrons being trapped in oxygen vacancies in these p-MoO<sub>3</sub> thin films.<sup>32</sup>

In accordance with the condition of device fabrication, we further investigated the transmission spectra of the thin films including PEDOT:PSS film to evaluate the transparency of these films in devices (Fig. 2(b)). These films are spin-coated on ITO





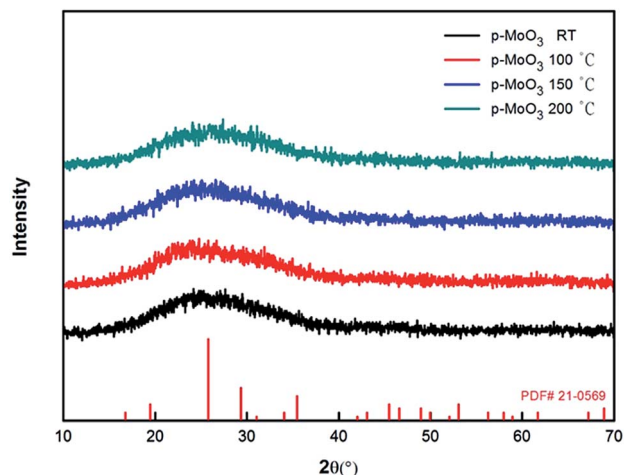


Fig. 3 XRD pattern of the resulting p-MoO<sub>3</sub> thin films annealed under various temperatures.

glasses. It is obvious that transmission values of all the films show good light transmittance except in the wavelength near 500 nm and 750 nm. This phenomenon is attributed to the transparency of ITO glass and small broad absorption mentioned above.<sup>33,34</sup> Nevertheless, the transmission values are above 80% for all films regardless of the annealing temperature, implying that p-MoO<sub>3</sub> thin films are qualified to be good interfacial layer without significant absorption losses.

### 3.2 Morphology of the p-MoO<sub>3</sub> thin film

Then XRD measurements were performed on p-MoO<sub>3</sub> thin films deposited on ITO substrates and annealed in glovebox with different annealing temperatures. Fig. 3 shows the XRD patterns of p-MoO<sub>3</sub> thin films that are formed at various heat treated temperatures, the p-MoO<sub>3</sub> layers do not yield significant diffraction peaks as confirmed by XRD as shown in Fig. 3, which shows that these p-MoO<sub>3</sub> thin films have an amorphous structure. But these p-MoO<sub>3</sub> thin films with amorphous structure have been demonstrated to be effective to the device performance.<sup>24,25,35</sup>

To understand the surface morphology of the annealed films, SEM and AFM were performed to observe the morphology characteristics of the p-MoO<sub>3</sub> films. Fig. 4 shows SEM images of the annealed p-MoO<sub>3</sub> thin films with different annealing temperatures. It is clear that the surface under the condition of room temperature drying is quite uneven and not uniform with some obvious small MoO<sub>3</sub> grains. However, it can also be noted that the surface become much flatter with the increase of heating temperature. We attribute this phenomenon to the evaporation of the solvent and progress of p-MoO<sub>3</sub> thin film formation. When the annealing temperature is increased to 150 °C, surface topography of the p-MoO<sub>3</sub> thin film is the most flat and uniform without any small grains. At this point, the heat treatment makes the film more compact, which prevents the current leakage of the solar cells, and is beneficial to the improvement of the devices. But further raising the annealing temperature to 200 °C, thin film appears critically gathered

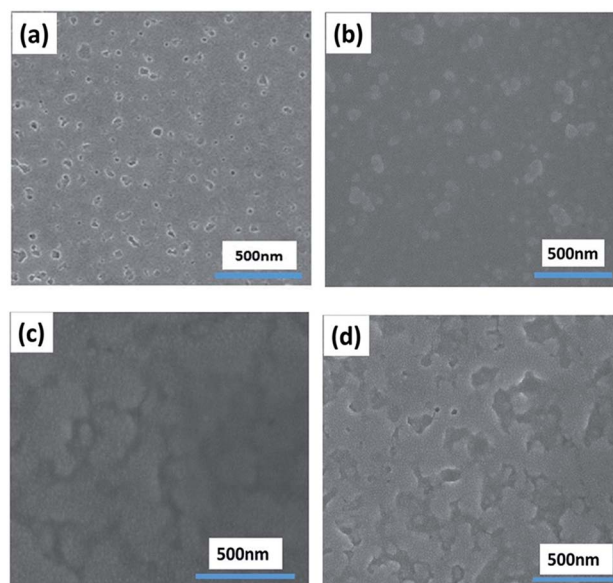


Fig. 4 SEM images of the thin films morphology of p-MoO<sub>3</sub> thin films morphology on ITO treated with room temperature drying (a), 100 °C (b), 150 °C (c), 200 °C (d).

aggregation phenomenon and less uniform. This can be due to enhanced surface diffusion of the species leading to smaller grains joining together to grow into larger grains.

Fig. 5 shows AFM images of the p-MoO<sub>3</sub> thin films with different annealing temperatures. The surface roughness (in Root Mean Square, RMS) of the p-MoO<sub>3</sub> thin film treated with different annealing temperatures is 2.36 nm, 2.12 nm, 1.46 nm and 1.51 nm for room temperature drying, 100 °C, 150 °C and 200 °C, respectively. The p-MoO<sub>3</sub> thin films treated with heat treatment of 150 °C possess the lowest surface roughness, which is quite well consistent with the result of SEM images. Low surface roughness of the p-MoO<sub>3</sub> thin film suggests that they can smoothen the ITO substrate surface effectively to avoid local shorts and at the same time be dense enough to prevent the current leakage, which is prerequisites to guarantee their implementation as effective buffer layer for organic electronics.

### 3.3 Components and electrical characterization of p-MoO<sub>3</sub> thin films

X-ray photoelectron spectroscopy (XPS) shown in Fig. 6 was operated to obtain the evidence for the composition of the p-MoO<sub>3</sub> thin films treated with various annealing temperature. The binding energy (BE) obtained in the XPS analysis was corrected for specimen charge by referencing the C 1s peak to 284.6 eV. Fig. 6(a) compares the full scan spectra of the annealed p-MoO<sub>3</sub> thin films, the characteristic peaks of the O 1s, Mo 3s, Mo 3p and Mo 3d levels are shown in the full spectra of the p-MoO<sub>3</sub> thin films. Moreover, no other peaks of the impurity and ITO substitute are found, which suggests that the synthetic precursor can be spread uniformly and completely decomposes to p-MoO<sub>3</sub> by annealing. In Fig. 6(b), the Mo 3d doublet presents a spin-orbit splitting between the Mo 3d<sub>3/2</sub>



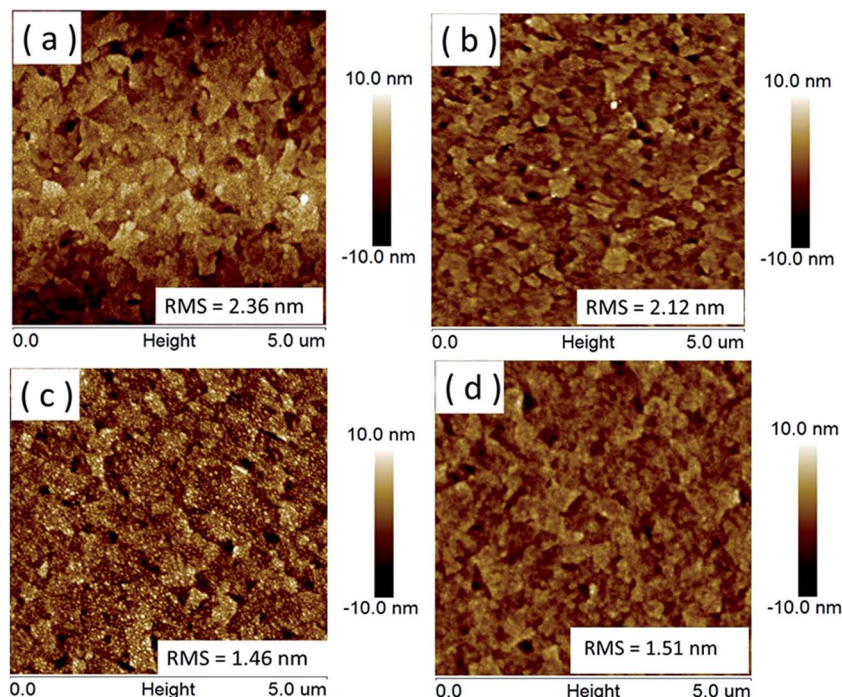


Fig. 5 AFM morphology images of p-MoO<sub>3</sub> thin films on ITO treated with room temperature drying (a), 100 °C (b), 150 °C (c), 200 °C (d).

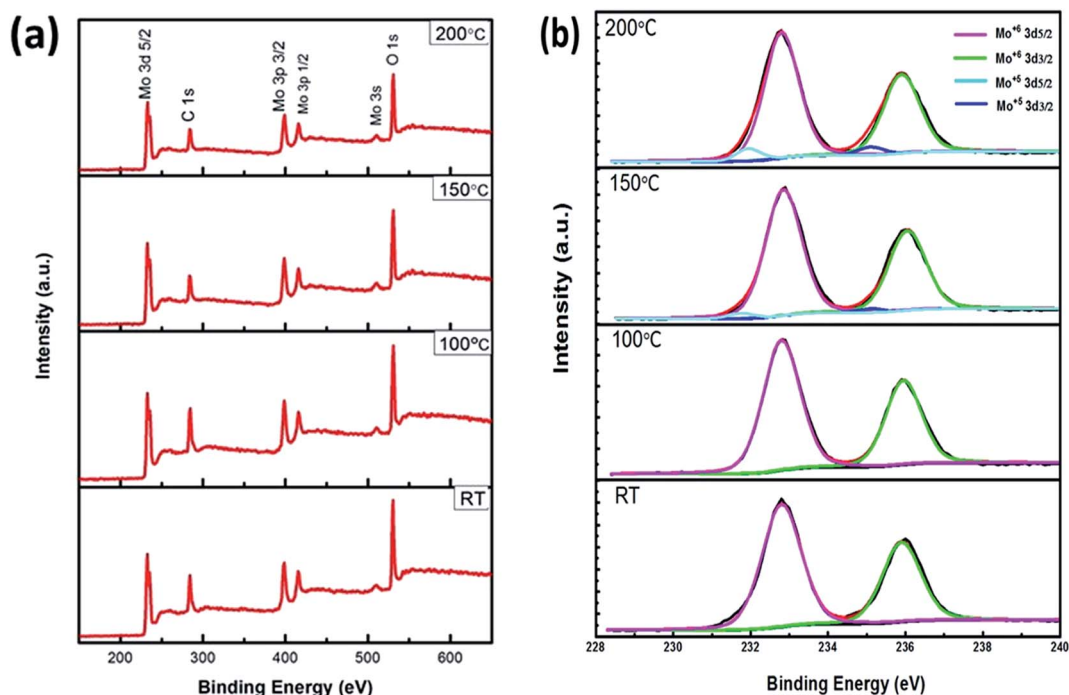


Fig. 6 X-ray photoelectron spectroscopy of p-MoO<sub>3</sub> thin films. (a) Full scanned spectra, (b) Mo 3d core level of thin films treated with various annealing temperature.

and 3d5/2 bands. The Mo 3d3/2 and 3d5/2 peaks of the p-MoO<sub>3</sub> films treated under RT and 100 °C located at 232.8 and 235.9 eV, respectively. The lines of doublet are Gaussian with the d intensity ratio of 2 : 3, separated by 3.1 eV. It is apparent that

the position of the Mo (3d) lines is expected for Mo<sup>6+</sup> ions as in p-MoO<sub>3</sub>.<sup>30,36,37</sup>

However, two different oxidation states of Mo ions are observed with further increasing the annealing temperature to



150 °C and 200 °C, the positions of newly formed Mo<sup>5+</sup> peaks in the 150 °C and 200 °C annealed films fitted by two 3d5/2 and 3d3/2 doublets in the form of a Gaussian function located 231.7 eV and 234.9 eV, respectively, which is identified as Mo<sup>5+</sup>.<sup>38,39</sup> While the positions of Mo<sup>6+</sup> peaks annealed films has not changed with further improve the annealing temperature. The calculated Mo<sup>5+</sup>/Mo<sup>6+</sup> ratios for the 150 °C and 200 °C annealed films are 0.030 and 0.071, respectively. By using the Mo<sup>5+</sup>/Mo<sup>6+</sup> obtained from the Mo 3d core level spectra, the estimated Mo:O stoichiometries of p-MoO<sub>3</sub> films annealed in the 150 °C and 200 °C are 2.99 and 2.96, respectively. It can be ascribed that the concentration of the Mo<sup>5+</sup> component in the p-MoO<sub>3</sub> films is greatly connected with thin film processing conditions, which coincides with the fact that preparation of p-MoO<sub>3</sub> in the oxygen deficient environment (in glovebox) and annealing temperatures led to present Mo<sup>5+</sup> states, corresponding to the electron transfer from O 2p to Mo 4d and generation of oxygen vacancies.<sup>39–42</sup>

To further evaluate the energetics of the solution-processed p-MoO<sub>3</sub> thin films under annealing conditions, Kelvin probe (KP) analysis was performed to obtain the work function ( $W_F$ ) of annealed films in glovebox referring to literature.<sup>26</sup> Kelvin probe analysis of the PEDOT:PSS coated on ITO as a reference gives a  $W_F$  of 5.20 eV, which is in agreement with the literature.<sup>2</sup> The  $W_F$  of annealed p-MoO<sub>3</sub> thin films shows a gradual shift towards the higher values from 4.90 eV to 5.26 eV with increasing annealing temperatures from RT to 150 °C, reaching the highest  $W_F$  value of 5.26 eV with 150 °C. We attribute this phenomenon to the desorption of adsorbates and evaporation of the solvent.<sup>43,44</sup> With further increasing the annealing temperature to 200 °C, the  $W_F$  value of the film decreases slightly to 5.22 eV. The main reason may be linked with the distinctly reduced cation oxidation shown in Fig. 6(b) and occupied oxygen vacancy defect states within the band that played the part of donor levels. The  $W_F$  values are shown in Table 1.

### 3.4 Effect of the p-MoO<sub>3</sub> thin film on the performance of PSCs

To investigate the effect of the p-MoO<sub>3</sub> buffer layer on the photovoltaic performance of PSCs, firstly we selected the P3HT:PC<sub>71</sub>BM blended composite as the light-absorbing layer and constructed some solar cells. Device structures of P3HT:PC<sub>71</sub>BM solar cells fabricated with p-MoO<sub>3</sub> thin films treated with various annealing temperatures are presented as follow:

**Table 1**  $W_F$  values of anode interface coated with PEDOT:PSS and MoO<sub>3</sub> by Kelvin probe evolution

| Anode interface    | $T$ (°C) | $W_F$ (eV) |
|--------------------|----------|------------|
| PEDOT:PSS          | 150      | 5.20       |
| p-MoO <sub>3</sub> | RT       | 4.90       |
| p-MoO <sub>3</sub> | 100      | 5.10       |
| p-MoO <sub>3</sub> | 150      | 5.26       |
| p-MoO <sub>3</sub> | 200      | 5.22       |

- (A) ITO/PEDOT:PSS (150 °C)/P3HT:PC<sub>71</sub>BM/LiF/Al.
- (B) ITO/p-MoO<sub>3</sub> (RT)/P3HT:PC<sub>71</sub>BM/LiF/Al.
- (C) ITO/p-MoO<sub>3</sub> (100 °C)/P3HT:PC<sub>71</sub>BM/LiF/Al.
- (D) ITO/p-MoO<sub>3</sub>/P3HT:PC<sub>71</sub>BM/LiF/Al.
- (E) ITO/p-MoO<sub>3</sub> (200 °C)/P3HT:PC<sub>71</sub>BM/LiF/Al.

Devices A is the control sample with a PEDOT:PSS buffer layer, devices B, C, D and E are samples with the annealed p-MoO<sub>3</sub> layer. The device performance parameters are summarized in Table 2.

$J$ - $V$  curves of devices A–E under the illumination of AM1.5G, 100 mW cm<sup>−2</sup> are depicted in Fig. 7(a). For device A with PEDOT:PSS buffer layer, it shows a PCE of 3.91% with a short-circuit current density ( $J_{SC}$ ) of 10.50 mA cm<sup>−2</sup>, an open-circuit voltage ( $V_{OC}$ ) of 0.59 V, and a fill factor (FF) of 63.1%. In contrast, the four parameters ( $V_{OC}$ ,  $J_{SC}$ , FF, and PCE) of devices with the p-MoO<sub>3</sub> buffer layer exhibit different degrees of growth under the annealing temperature increased from RT to 150 °C. The device with the p-MoO<sub>3</sub> anode buffer layer annealed at 150 °C shows the best PCE of 4.02, with a  $V_{OC}$  of 0.59 V, a  $J_{SC}$  of 10.70 mA cm<sup>−2</sup>, and a FF of 63.7%, which is better than that using the PEDOT:PSS buffer layer (PCE = 3.91%). Further increasing the annealing temperature to 200 °C, the device performance is decreased apparently with a PCE of 3.78%, a  $V_{OC}$  of 0.58 V, a  $J_{SC}$  of 10.36 mA cm<sup>−2</sup>, and a FF of 62.9%.

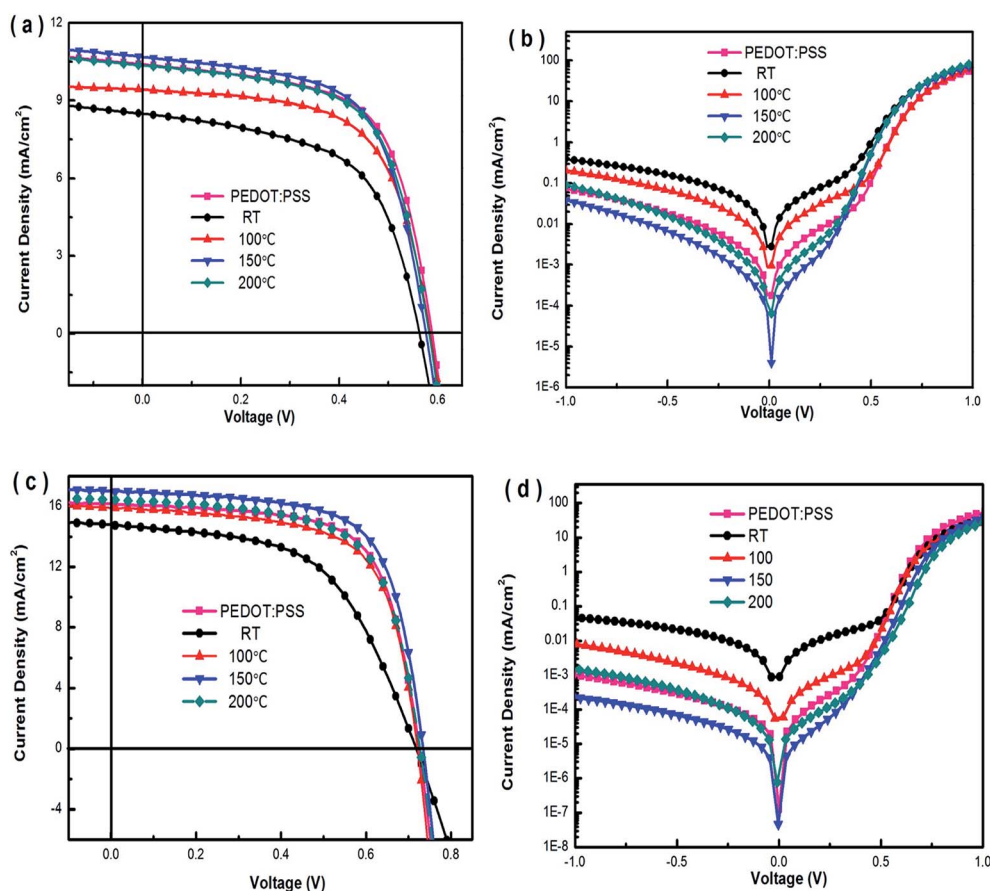
A closer look at the  $J$ - $V$  curves are shown in Fig. 7(b), we can find that the both reverse current density and leakage current of the devices are reduced with the increase of heat treatment p-MoO<sub>3</sub> buffer layer, and the devices for 150 °C possess the lowest reverse current density and leakage current. This is because the 150 °C heat treatment makes the film more compact, which has fewer defects, reduces charge recombination, and is beneficial to the improvement of the devices. Moreover, the rectification ratio of the devices calculated based on the  $J$ - $V$  curves at  $\pm 1.0$  V is also calculated. For devices A, B, C, D and E, the rectification ratio is  $2.2 \times 10^3$ ,  $2.0 \times 10^2$ ,  $5.1 \times 10^2$ ,  $3.1 \times 10^3$  and  $1.1 \times 10^3$ , respectively. Clearly the rectification ratio values of devices D and E with the p-MoO<sub>3</sub> buffer layer annealed under 150 °C and 200 °C are simultaneously higher than that of device B and C with the p-MoO<sub>3</sub> buffer layer under temperature drying and annealed under 100 °C. Series resistance  $R_s$  and shunt resistance  $R_{sh}$  are clear as shown in Table 2.  $R_{sh}$  of P3HT:PC<sub>71</sub>BM based devices with p-MoO<sub>3</sub> buffer layer annealed at 150 °C is 1145.46  $\Omega$  cm<sup>2</sup>, which is much greater than the devices with p-MoO<sub>3</sub> buffer layer without annealing (639.03  $\Omega$  cm<sup>2</sup>), annealed at 100 °C (743.96  $\Omega$  cm<sup>2</sup>) and at 200 °C (921.46  $\Omega$  cm<sup>2</sup>). This is presumably due to the increase of compact density of the film surface (see Fig. 4(c) and 5(c)), leading to the decrease of charge recombination and leakage current, which is also in agreement with the Fig. 7(b). Moreover, the  $R_s$  of the devices decrease with the increase of heat treatment p-MoO<sub>3</sub> buffer layer, and the value of 8.08  $\Omega$  cm<sup>2</sup> for the device with p-MoO<sub>3</sub> layer annealed 150 °C is the lowest among those devices with p-MoO<sub>3</sub> layer other heat treatment temperature. Increase of heat treatment temperature usually causes the reduction of molybdenum oxide, which leads to rise in conductivity of molybdenum oxide. In general, reduced transition metal oxides (TMOs) not only shows an increase in conductivity, but also causes stronger





Table 2 Performance parameters of P3HT:PC<sub>71</sub>BM solar cells fabricated with annealed p-MoO<sub>3</sub> thin films

| Device | <i>T</i> (°C) | <i>J</i> <sub>SC</sub> (mA cm <sup>-2</sup> ) | <i>V</i> <sub>OC</sub> (V) | FF (%) | <i>R</i> <sub>s</sub> (Ω cm <sup>2</sup> ) | <i>R</i> <sub>sh</sub> (Ω cm <sup>2</sup> ) | PCE (%) |
|--------|---------------|---|----------------------------|--------|--|---|---------|
| A      | 150           | 10.50   | 0.59                       | 63.1   | 8.15                                       | 968.57                                      | 3.91    |
| B      | RT            | 8.49  | 0.57                       | 56.9   | 10.50                                      | 639.03                                      | 2.75    |
| C      | 100           | 9.42  | 0.58                       | 62.0   | 8.49                                       | 743.94                                      | 3.38    |
| D      | 150           | 10.70   | 0.59                       | 63.7   | 8.08                                       | 1145.46                                     | 4.02    |
| E      | 200           | 10.36   | 0.58                       | 62.9   | 8.20                                       | 921.46                                      | 3.78    |

Fig. 7 *J*-*V* characteristic curve of different devices fabricated with annealed p-MoO<sub>3</sub> thin films under illumination and in the dark. (a, b) P3HT:PC<sub>71</sub>BM solar cells under illumination and in the dark, (c, d) PTB7:PC<sub>71</sub>BM solar cells under illumination and in the dark.

optical absorption.<sup>45</sup> We attribute this interesting phenomenon to a result of the forming process of p-MoO<sub>3</sub> and a slightly non-stoichiometric composition with some oxygen deficiency leading to reduced oxides.

The improved PCE of devices with annealed p-MoO<sub>3</sub> hole transporting layers (HTL) may origin from the following factors; (i) the favorable surface morphology of the annealed p-MoO<sub>3</sub> HTL. As shown in SEM images (Fig. 4) and AFM images (Fig. 5), the p-MoO<sub>3</sub> HTL spin-coated on ITO substrates without the annealing is quite not smooth and dense compared to substrates, leading to the poor device performance. By increasing the annealing temperature, the p-MoO<sub>3</sub> surface is growing smoother and compacter with the optimum surface and smallest roughness (RMS) of 1.46 nm for 150 °C. This

decreased roughness accounts for the different dark *J*-*V* characteristics (Fig. 7(b)) of the devices with p-MoO<sub>3</sub> layer annealed various temperatures, in which the devices exhibit increasingly excellent diode characteristics with higher rectification ratio and lower leakage current under reverse bias with improved annealing temperature. It means that the annealed p-MoO<sub>3</sub> layer is capable of lowering the leakage current caused by the disagreeable roughness of ITO substrate. Further improving the annealing temperature leads to the slight aggregation and rising back of roughness. Therefore, the contact between the p-MoO<sub>3</sub> layer and the active layer becomes less favorable to the hole extraction.

(ii) The formation of oxygen vacancies and the growing Mo<sup>5+</sup> cation leading to the change of *W*<sub>F</sub> under the annealing. UV-vis



absorption spectra analysis (Fig. 2(a)) indicates the formation of oxygen vacancies of the annealed p-MoO<sub>3</sub> layer started with the annealing temperature 150 °C and the amount of oxygen vacancies further increased with improved temperature to 200 °C, which is all consistent with the XPS analysis as presented in Fig. 6. During annealing treatment, small amount of oxygen vacancies generated owing to the process of dehydration and desorption is capable of causing effective n-type doping for 150 °C, which is greatly conducive to the improved performance of the devices D with a PCE of 4.02%, the further increasing amount of oxygen vacancies with improved temperature to 200 °C leads to a slightly declined PCE of 3.78%. Furthermore, the oxygen ion vacancies of the substoichiometric p-MoO<sub>3</sub> are occupied by two electrons and acted as donor centres with the donor level at 0.27 eV below the conduction band, the charge transfer from oxygen vacancies to Mo ions creates reduced oxidation states of Mo<sup>5+</sup>. It is reported that a general correlation between cation oxidation state and an oxide's  $W_F$  is that metal oxides in their more oxidized forms tend to have higher work functions than their reduced forms.<sup>43</sup> Therefore, the increasing change of the  $W_F$  from 4.90 eV to 5.22 eV under the annealing from RT to 200 °C couples with a fluctuation of the highest  $W_F$  5.26 eV for 150 °C, which influences the built-in electric field of the devices and the photocurrent being extracted efficiently at short-circuit.

In order to further testify the possibility of general usage of the p-MoO<sub>3</sub> layer as a hole-transporting layer under the mild thermal-annealing in polymer solar cells, we also prepare the devices with the structures of PTB7:PC<sub>71</sub>BM solar cells fabricated with PEDOT:PSS and p-MoO<sub>3</sub> thin films treated with various annealing temperatures as following:

(F) ITO/PEDOT:PSS (150 °C)/PTB7:PC<sub>71</sub>BM/LiF/Al.

(G) ITO/p-MoO<sub>3</sub> (RT)/PTB7:PC<sub>71</sub>BM/LiF/Al.

(H) ITO/p-MoO<sub>3</sub> (100 °C)/PTB7:PC<sub>71</sub>BM/LiF/Al.

(I) ITO/p-MoO<sub>3</sub> (150 °C)/PTB7:PC<sub>71</sub>BM/LiF/Al.

(J) ITO/p-MoO<sub>3</sub> (200 °C)/PTB7:PC<sub>71</sub>BM/LiF/Al.

In Fig. 7(c), the  $J$ - $V$  characteristics of the PTB7:PC<sub>71</sub>BM devices under AM1.5G illumination are presented. It is evident that the annealing to p-MoO<sub>3</sub> layer induces large improvement in  $J_{SC}$  from 14.78 mA cm<sup>-2</sup> to 16.43 mA cm<sup>-2</sup> and FF from 54.9% to 65.1% with the annealing temperature from RT to 200 °C. The best  $J_{SC}$ , FF and PCE of the p-MoO<sub>3</sub>-based devices is 17.02 mA cm<sup>-2</sup>, 68.1% and 8.46% for 150 °C, respectively, which apparently surpass the PEDOT-based devices with a  $J_{SC}$  of 16.17 mA cm<sup>-2</sup>, FF of 67.2% and PCE of 7.93%. The performance parameters are summarized in Table 3.

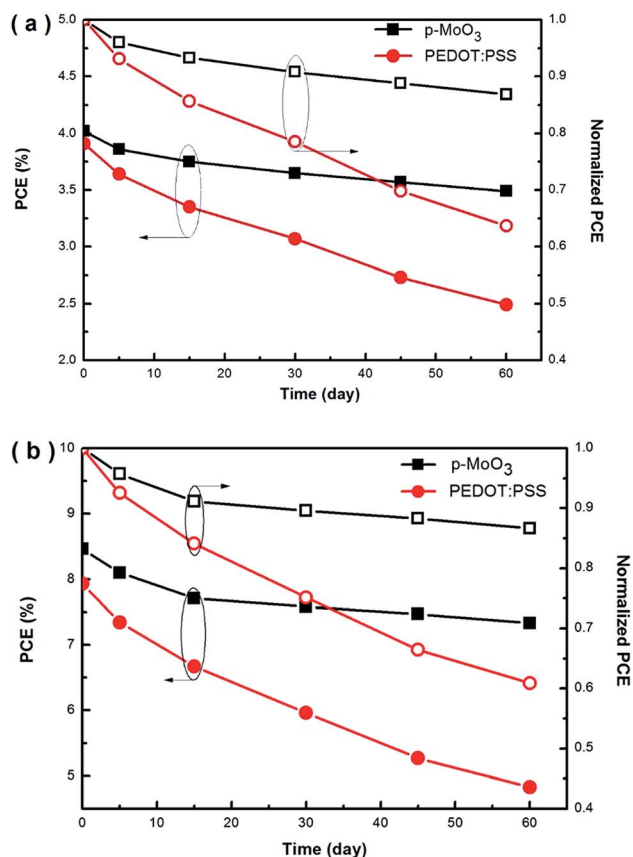


Fig. 8 PCEs and normalized PCEs as functions of aging time of P3HT:PC<sub>71</sub>BM (a) and PTB7:PC<sub>71</sub>BM (b) devices incorporating p-MoO<sub>3</sub> thin films.

As a consequence, the devices with the p-MoO<sub>3</sub> films annealed at 150 °C exhibit the largest increase in performance with the best PCE of 8.46%, further confirming that our prepared p-MoO<sub>3</sub> thin films annealed at moderate temperature are fit for the PTB7:PC<sub>71</sub>BM devices due to the favorable surface morphology and electrical properties as discussed above. Meanwhile, according to the leakage dominated region (<0.5 V) as shown in Fig. 7(d), the dark current of the PTB7:PC<sub>71</sub>BM devices with the annealed p-MoO<sub>3</sub> thin films present the trend toward miniaturization with the minimum at 150 °C, which is much less than that of the device F with PEDOT:PSS. This also indicates that p-MoO<sub>3</sub> thin film is more efficient in hole-collection and electron-blocking when served as a HTL in PTB7:PC<sub>71</sub>BM BHJ devices.

Table 3 Performance parameters of PTB7:PC<sub>71</sub>BM solar cells fabricated with annealed p-MoO<sub>3</sub> thin films

| Device | $T$ (°C) | $J_{SC}$ (mA cm <sup>-2</sup> ) | $V_{OC}$ (V) | FF (%) | $R_s$ (Ω cm <sup>2</sup> ) | $R_{sh}$ (Ω cm <sup>2</sup> ) | PCE (%) |
|--------|----------|---------------------------------|--------------|--------|----------------------------|-------------------------------|---------|
| F      | 150      | 16.17                           | 0.73         | 67.2   | 5.05                       | 1174.06                       | 7.93    |
| G      | RT       | 14.78                           | 0.72         | 54.9   | 13.35                      | 705.19                        | 5.85    |
| H      | 100      | 15.90                           | 0.72         | 66.2   | 5.21                       | 827.53                        | 7.57    |
| I      | 150      | 17.02                           | 0.73         | 68.1   | 4.49                       | 1275.09                       | 8.46    |
| J      | 200      | 16.43                           | 0.73         | 65.1   | 4.82                       | 976.58                        | 7.81    |





Apart from device efficiency, device stability is another important aspect affecting the realization of commercial polymer solar. In this study, we find that the devices with p-MoO<sub>3</sub> thin film exhibit enhanced stability. All of these devices constructed as described in Section 2.2 are not encapsulated and stored in the glovebox filled with nitrogen atmosphere (O<sub>2</sub> < 0.1 ppm, H<sub>2</sub>O < 0.1 ppm). We choose the devices with p-MoO<sub>3</sub> and PEDOT:PSS thin films annealed at optimal annealing temperature 150 °C as tested objects. Fig. 8 displays the PCEs and normalized PCEs as a function of aging time, of P3HT:PC<sub>71</sub>BM and PTB7:PC<sub>71</sub>BM devices incorporated p-MoO<sub>3</sub> thin films. For P3HT:PC<sub>71</sub>BM devices as shown in Fig. 8(a), the PCEs decrease dramatically for the devices fabricated with PEDOT:PSS and reach from 3.91% to 2.40% after 60 days, only keeping about 60% of their initial efficiencies. In comparison, the p-MoO<sub>3</sub>-based P3HT:PC<sub>71</sub>BM devices get PCEs of 3.40% after storage for 60 days, remaining about 85% of their initial efficiencies (from 4.02% to 3.40%). On the other hand, Fig. 8(b) presents the stability of PTB7:PC<sub>71</sub>BM devices with different HTL. The PEDOT-based devices degrade rapidly after 60 days with about 40% decay of PCEs (from 7.93% to 4.83%), whereas the devices incorporating the p-MoO<sub>3</sub> HTLs get only 13.3% decay of PCEs (from 8.46% to 7.33%) after 60 days, revealing the high stability of the p-MoO<sub>3</sub> interfaces. Clearly, it is indicated that the devices incorporating the p-MoO<sub>3</sub> thin films exhibit higher stability.

## 4. Conclusions

The favorably stable dark blue peroxomolybdic acid organosol is simply synthesized by the treatment of the ultrasonic reaction. The prepared p-MoO<sub>3</sub> films were characterized from the spectroscopic measurements, SEM, AFM, XPS analysis, and Kelvin probe analysis. It is found that the favorably smooth and dense surface morphology of the p-MoO<sub>3</sub> layers are obtained under 150 °C with the good optical and electrical properties. Furthermore, the performance of the P3HT:PC<sub>71</sub>BM and PTB7:PC<sub>71</sub>BM devices with p-MoO<sub>3</sub> thin films is found to depend strongly on the annealing and show best performance for 150 °C. The improved performance of devices origin from the factors; (i) the favorable surface morphology of the annealed p-MoO<sub>3</sub> films leading to higher rectification ratio and lower leakage current. (ii) The formation of oxygen vacancies and the growing Mo<sup>5+</sup> cation leading to the change of  $W_F$  under the annealing. Furthermore, the devices containing p-MoO<sub>3</sub> thin film exhibited enhanced environmental stability. Overall, the simple and stable peroxomolybdic acid solution that we have developed is a viable candidate for replacing the PEDOT:PSS anode modifying layers in many organic solar cells including other electronic devices that require an ultrasoft and high  $W_F$  solution-processed hole selective contact.

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