



## Averaging effect on improving signal reproducibility of gap-based and gap-free SERS substrates based on ordered Si nanowire arrays†

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While the surface-enhanced Raman spectroscopy (SERS) technique has the merit of an inherent ultrahigh sensitivity, low signal reproducibility due to nonuniformities in SERS substrates is a challenge to be considered for its practical applications. Utilizing averaging effects to improve the signal reproducibility can be achieved by either increasing hot spot density on the SERS substrates or using large laser spots to enlarge the probing area. However, mechanisms of how the averaging effects overcome the nonuniformities and improve the reproducibility remain unclear. Herein, we use ordered SERS substrates with designed gap-based and gap-free electric fields in the hot spots to systematically study the mechanisms. Our result suggests that the highest reproducibility comes from the gap-free hot spots that depend on the least parameters. In contrast to the gap-based substrate with a trade-off relation between reproducibility and enhancement, the gap-free substrate exhibits both high reproducibility and high enhancement. Our findings clarify the contributions of the SERS substrate to the averaging effect and could pave the way to the design of reproducible SERS substrates for further practical applications.

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### Introduction

Surface enhanced Raman spectroscopy (SERS), as a fast, highly selective and information-rich detection technique, has been widely used in the field of chemical and biological analysis.<sup>1–10</sup> Development of SERS systems used to focus on achieving high enhancement factors and low detection limitation.<sup>11–13</sup> Various hot spot fabrication strategies have been demonstrated for SERS, from random aggregation of noble metal nanoparticles to ordered structures fixed on solid state substrates.<sup>14,15</sup> Conventional gap-based SERS substrates such as silver nanoparticles distributed on a silicon wafer exhibit very high SERS enhancement that depends on hot spots formed by adjacent nanoparticle pairs, but they usually suffer from low signal reproducibility.<sup>15–17</sup> The high SERS enhancement of the gap-based substrates is due to coupling of enhanced electric field of the adjacent nanoparticles in <10 nm inter-particle gap that depend on the nanoparticle size, inter-particle gap distance and molecule distribution inside the gap.<sup>18–20</sup> Therefore, any nonuniformity of the three parameters will lead to field variations and resultant fluctuating SERS signals. Signal reliability

and reproducibility in detection are important factors to be concerned for practical applications, especially in the quantitative detections and multiplexing component detections, because fluctuating signals hinder the evaluation of the molecule type and amount.<sup>14,21</sup> For example, in using the gap-based SERS substrate to image cell membrane dynamics with time, it was difficult to differentiate whether the signal changes was due to the substrate or to membrane lipids.<sup>22,23</sup>

In order to generate reproducible SERS spectra at any positions of a gap-based SERS substrate, in general, three scales of uniformity in the substrate should be achieved: (1) uniform distribution of enhancing nanostructures over the SERS substrate, (2) uniform distribution of the hot spot over all enhancing nanostructures and (3) uniform distribution of analyte molecules over all hot spots. An enhancing nanostructure can be a metal nanocolloid, a metal nanoparticle dimer, or a metal nanotip that can be used for the SERS applications. When the metal nanocolloids are dropped and then dried on a silicon wafer, they will distribute randomly on the wafer depending on solution drying dynamics. The uniform distribution of the enhancing nanostructures requires that the number of the enhancing nanostructures under an excitation spot should remain the same in different positions over the SERS substrate, which could be achieved in SERS systems based on ordered plasmonic structures by top-down fabrications, such as nanosphere lithography-fabricated plasmonic nanosphere array.<sup>24</sup>

In addition, a hot spot can be any enhancing nanostructures as mentioned that exhibit enhanced electric field at surface

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plasmon resonance for molecule excitation.<sup>15,25,26</sup> The field intensity characterizes how “hot” a hot spot can be and depends on the morphology of the enhancing nanostructures, such as size, shape and inter-particle spacing of the metal nanoparticle dimer. The uniform distribution of the hot spot or the field over all metal nanoparticle dimers therefore requires all dimers on the SERS substrate have similar size, shape and the inter-particle spacing. One problem with the gap-based SERS substrates is the strong dependence of electric field on the inter-particle spacing ( $<10$  nm), yet the fabrication of dimer-based substrates with highly precise control of the gap size less than 10 nm is very challenging.<sup>27,28</sup>

As the final piece of the puzzle, the hot spots cannot generate SERS signals without being occupied by analyte molecules. The even distribution of molecules requires that the number of molecules and their positions in the hot spot remain the same throughout the SERS substrate. However, even on the highly-ordered plasmonic nanosphere array with precisely controlled gap size, the homogeneous adsorptions of molecules in the gaps are inherently difficult to achieve. In particular, the high SERS enhancement requires small gap distance, but the narrow gaps make molecules extremely difficult to distribute uniformly on the gaps.<sup>18</sup>

Averaging effect, a common way to improve signal reproducibility in SERS, is based on collecting more SERS signals from either more hot spots or more molecules in one Raman measurement. It can be achieved by modifying either the SERS substrates or Raman measurement settings. For example, in the colloidal-based SERS systems, signal reproducibility can be improved by prolonging signal acquisition time or allowing flowing colloids to enter the collection volume of the Raman measurement objective.<sup>29,30</sup> On solid-state SERS substrates, the averaging effect can be achieved by enlarging excitation laser spots through changing different measurement objectives to cover more hot spots.<sup>31</sup> However, excitation of more hot spots might include more array defects. Furthermore, large laser spot must be obtained by a low-magnification objective which corresponds to low signal-collection efficiency. Although the averaging effect could improve the reproducibility of many gap-based SERS substrates, its relation to the electric fields of the enhancing structures and connection to the three scales of uniformity remain unclear. The vague understanding of the averaging effect actually hampers the development of reproducible SERS systems.

In this paper, we report a systematical investigation of two approaches leading to the averaging effects on solid-state SERS substrates: increasing hot spot density on the SERS substrates or using large laser spots to enlarge probing area. The connection between the averaging effects and the three scales of uniformity were analyzed by studying the SERS reproducibility of three ordered SERS substrates: two gap-based substrates including Ag nanoparticles coated on Si nanowire array (AgNP/SiNW) and continuous Ag film coated on hexagonal-close-packed nanospheres (AgFON), and one gap-free substrate comprising continuous Ag film coated on Si nanowire array (Ag/SiNW). The electric fields of the AgNP/SiNWs depend on particle size and inter-particle spacing. Together with particle size and

inter-particle spacing, the electric field of the AgFON also depends on the nanosphere array defects. In contrary to these gap-based substrates, the gap-free Ag/SiNWs exhibit electric fields that depend only on nanowire size rather than the inter-particle gaps.<sup>32,33</sup> By the designed electric fields of these SERS substrates, we are able to study how averaging effect can take effect through the electric fields to improve the reproducibility. The influence of molecular distribution, molecule type and laser beam size on the averaging effect and reproducibility are also discussed. The results are useful to discriminate contributions of the substrate to the SERS reproducibility and pave a way to the design and optimization of SERS substrates for further practical applications.

## Experimental

### Reagents and materials

Rhodamine 6G (R6G, Sigma-Aldrich 252433), and 4-aminothiophenol (4-ABT, Sigma-Aldrich 422967) were purchased from Sigma-Aldrich Co., LLC. Polystyrene (PS) nanospheres of 300 nm and 470 nm in diameter were purchased from Bangs Laboratories, Inc. and Microparticles GmbH, respectively.

### Fabrication of Ag/SiNWs and AgNP/SiNWs

SiNW arrays were constructed on (111) p-type silicon wafers with resistivity of  $5\text{--}20\ \Omega\text{ cm}^{-2}$ , and nanosphere lithography was carried out to pattern the SiNWs, as described in previous work.<sup>34,35</sup> Briefly, 300 nm PS nanospheres were diluted 1 : 1 (volume) with ethanol and injected slowly onto water surface to form a monolayer. Then, the monolayer was transferred to a clean silicon wafer by lifting up the Si wafer that was placed previously in the water and drying it in the atmosphere.  $\text{O}_2$  plasma etching was carried out in the Plasma-Therm 790 RIE system to reduce the PS diameter to 110 nm. During etching, the  $\text{O}_2$  flow rate was 40 sccm, pressure 37 mTorr, radio frequency power 30 W and duration 5 minutes. Then a 20 nm-thick gold layer was coated by electron beam evaporation. After removing PS in chloroform, metal-assisted chemical etching was performed to form a SiNW array by placing the as-prepared substrate in to a mixed etchant solution of hydrofluoric acid and hydrogen peroxide for a few minutes depending on the wire length.<sup>35,36</sup> For Ag/SiNWs, a  $\sim 40$  nm-thick continuous silver layer was deposited on the SiNWs by high-vacuum magnetron sputtering at a pressure of  $10^{-5}$  mBar. AgNP/SiNWs were fabricated by decorating AgNPs on SiNW in a low-vacuum sputtering coater at a pressure  $\sim 10^{-3}$  mBar. The low vacuum leads to large-sized Ag particles sputtered by the plasma. The deposition time was adjusted from 30 s, 90 s to 180 s to fabricate high-density AgNP/SiNWs samples. Low-density AgNP/SiNWs sample that decorated large AgNP on SiNWs was fabricated by annealing the AgNPs on SiNW samples at 400 °C for 30 min under nitrogen atmosphere at a pressure of 1 Bar.

### Fabrication of AgFON

Silver film on nanospheres was fabricated on a silicon wafer. Firstly, 470 nm PS nanospheres mixed with ethanol (by 1 : 1



volume) were injected slowly onto water surface to form a monolayer. By lifting up the Si wafer from the water the monolayer was transferred to a clean silicon wafer, and dried in the atmosphere. Then the wafer was loaded in the thermal evaporation chamber. A silver film of  $\sim 40$  nm was evaporated on the top of the nanosphere array to form an AgFON structure.

### Raman spectra acquisition

To form a 4-ABT layer on Ag surface, the substrate was soaked for 8 hours in  $10^{-4}$  M 4-ABT, then washed with ethanol and deionized water to remove excessive molecules, and dried with a nitrogen flow. For Raman measurements of R6G, 2  $\mu$ L analyte solutions were dropted on the substrate, dried in atmosphere and measured under a RENISHAW InVia Raman spectrometer with a HeNe laser (17 mW and 632.8 nm). The full laser power was 4.4 mW under a  $20\times$  objective. The exposure time of all mapping processed was 1 s for one point. Each mapping measurement covered 1000 points or more. The step lengths were 3  $\mu$ m, 2  $\mu$ m, and 1  $\mu$ m under  $20\times$ ,  $50\times$ , and  $100\times$  objectives. The numerical apertures of the  $20\times$ ,  $50\times$  and  $100\times$  objectives are 0.4, 0.75 and 0.9, respectively, which correspond to spot sizes of 3  $\mu$ m, 1.5  $\mu$ m and 1  $\mu$ m, respectively.

## Results and discussion

Fig. 1 shows the scanning electron microscope (SEM) images of the samples used in the evaluation of averaging effects: low-density AgNP/SiNWs in Fig. 1a, high-density AgNP/SiNWs in Fig. 1b, Ag/SiNWs in Fig. 1c, and AgFON in Fig. 1d, respectively. The nonuniform AgNPs of low-density AgNP/SiNWs were due to annealing of the deposited AgNPs previously sputtered on the silicon nanowire. During annealing, small AgNPs would migrate on the nanowire surface and gather to form large AgNPs such that the diameters of the AgNPs ranged from 10 to 120 nm. The high-density AgNP/SiNWs sample exhibits much more

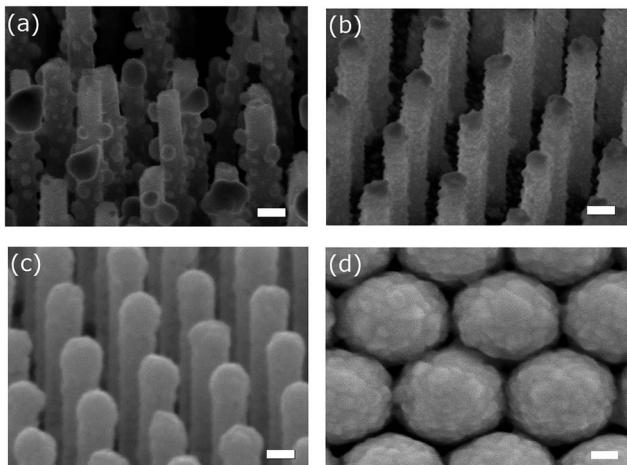


Fig. 1 SEM image of (a) low-density AgNP/SiNWs, fabricated by annealing Ag layer coated SiNW at 400  $^{\circ}$ C for 30 min, (b) high-density AgNP/SiNWs by sputtering Ag for 90 s, (c) Ag/SiNW, and (d) AgFON. All the scale bars indicate 100 nm.

uniform AgNPs both in size and distribution on the wire surface than those of the low-density sample. With prolonged sputtering time, the AgNP amount increased and a continuous Ag layer begins to form on the SiNW.

The change from AgNPs to the formation of Ag film on the SiNW is accompanied by evolution of the electric fields. As shown in Fig. 2a and ESI Fig. S1,<sup>†</sup> the electric field due to localized surface plasmon resonance (LSPR) of the AgNPs is confined on the surface of the nanoparticles and depends on the size when AgNPs are largely separated in low-density AgNP/SiNWs. When the AgNPs are close to each other as in the case of the high-density AgNP/SiNWs, the field will couple to each other to form gap-based field that depends on both the particle size and the gap spacing between neighboring nanoparticles as shown in Fig. 2b.<sup>37-40</sup>

When the sputtered Ag forms a continuous film on the SiNWs as the Ag/SiNWs sample, the electric field becomes widely distributed on the wire surface as shown in Fig. 2c. The wide electric field is due to propagating surface plasmon travelling on the Ag surface and depends on the wire diameter (ESI Fig. S2<sup>†</sup>). The wide field free from influence of inter-particle gaps makes Ag/SiNWs a gap-free SERS substrate.<sup>9,33</sup> Furthermore, due to poor coupling of the illumination light to the localized surface plasmon, the rough Ag film makes negligible contribution to SERS enhancement as proved in ESI Fig. S3.<sup>†</sup> Thus, the SERS performance of the Ag/SiNWs substrate depends mainly on the gap-free field on the wire. Another contribution to the field enhancement could be grating effect of two dimensional arrays of metal nanoparticles due to dipolar interaction among the nanoparticles.<sup>41,42</sup> In the case of Ag/SiNWs sample, the inter-wire spacing is about 150 nm such that the grating effect should be very weak.

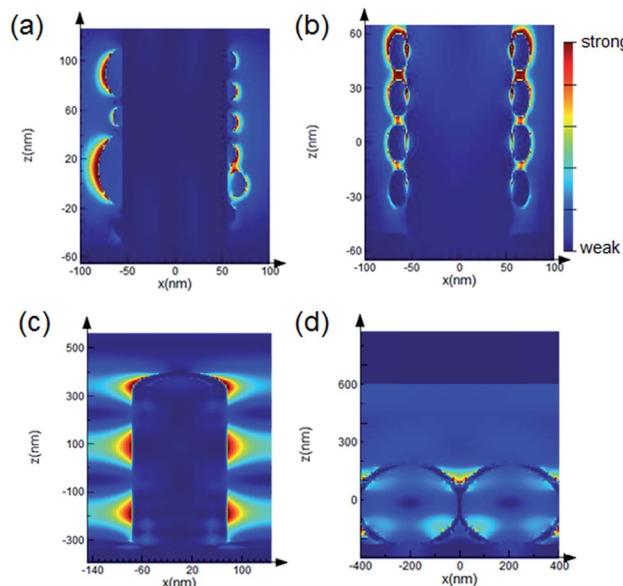


Fig. 2 FDTD-simulated electric fields at surface plasmon resonance: (a) low-density AgNP/SiNWs; (b) high-density AgNP/SiNWs; (c) the gap-free field of Ag/SiNW. (d) The gap-based field of AgFON. The colorbar indicates the field enhancement compared to incident light.



In contrast, AgFON made by coating a continuous Ag film on a hexagonal-close-packed (HCP) monolayer of uniform nanospheres exhibits a gap-based field that depends mainly on the inter-sphere gaps, as shown in Fig. 2d. Similar to Ag/SiNWs, the roughness of the deposited Ag film made no contributions to the SERS enhancement. However, the roughness can lead to variation of the inter-sphere gap spacing, significantly change the gap-based field and thus affect the signal reproducibility. Furthermore, the gap-based field is subject to defects of the HCP nanosphere monolayer such as line defect and inconsistent gap distance as shown in ESI Fig. S4.† With the gap-free field from the Ag/SiNWs and the gap-based field from the AgFON, we will be able to investigate how the field distribution within the hot spot affects the averaging effect on the reproducibility in the following sections.

The signal reproducibility of a SERS substrate is evaluated by the relative standard deviation (RSD, defined as the standard deviation divided by the mean) of enhancement factors (EFs) of selected peaks of 4-ABT SERS spectra obtained by Raman mapping on a  $120\text{ }\mu\text{m} \times 120\text{ }\mu\text{m}$  area on the SERS substrates. The EFs of the gap-based AgNP/SiNWs is at the scale of  $10^4$ , but the EFs of the gap-based AgFON and gap-free Ag/SiNW are as similar as  $7 \times 10^5$ , as calculated in ESI.† The lower the RSD is, the higher the signal reproducibility the SERS substrate will be. In the following sections, the reproducibility by two averaging effects on the SERS substrate will be evaluated and the mechanism of the reproducibility enhancement by the averaging effects will be analyzed based on the three scales of uniformity: uniform distribution of enhancing nanostructures over a SERS substrate, uniform distribution of the hot spot (or electric field) over all enhancing nanostructures and uniform distribution of analyte molecules over all hot spots.

### Increasing hot-spot density

Firstly, we investigated the averaging effect of increasing hot spot density of a SERS substrate on the reproducibility by comparing the RSDs of 4-ABT molecules adsorbed on the AgNP/SiNWs with different AgNP densities. The 4-ABT molecules can form a monolayer on Ag surface even in the AgNP gaps<sup>43,44</sup> such that the molecule distributions are uniform in all AgNP/SiNWs samples. As shown in Fig. 3a, the RSDs of three signature peaks of 4-ABT SERS spectra (ESI Fig. S5†) decrease with increasing density of the AgNPs, achieving less than 20% for the high-density AgNP/SiNW sample C. The mapping of  $1076\text{ cm}^{-1}$  Raman peak in Fig. 3c also shows similar trend of signal distribution across the mapping area. The increase of AgNP density actually corresponds to the increased number of the hot spots, which can improve the signal reproducibility and is in agreement with previous reports.<sup>31,45</sup>

The reproducibility improvement by increasing hot spot density in the high-density AgNP/SiNWs should be due to the uniform electric fields of the AgNPs. The AgNPs exhibit more uniform distribution in particle size and spatial distribution on the wire as the density increase from sample A to C in Fig. 3b, which leads to the increased similarity of the AgNP gap-based fields from wire to wire (Fig. 2b).<sup>46</sup> When the AgNPs are so

dense that they form a continuous Ag film on the SiNW as the AgNP/SiNWs sample D, the RSD approaches to that of the Ag/SiNW and the field is expected to become the gap-free field as in Fig. 2c that is subject only to the nanowire size. Since the SiNWs are uniform in the array, the gap-free field of the AgNP/SiNW sample D is uniform from wire to wire such that the reproducibility is further increased. The small RSD (<15%) of the AgNP/SiNW sample D and the Ag/SiNWs should be due to the gap-free field variation resulted from the Ag film roughness on the wires.

On the other hand, AgFON exhibits the lower density of hot spots compared to the AgNP/SiNWs substrates. Different from the gap-based field of AgNP/SiNW, the AgFON gap-based field would depend on Ag roughness as well as defects in the nanosphere assembly array, such as non-HCP line defect and inconsistent gap distance as shown in ESI Fig. S4.† Therefore, a low reproducibility of AgFON can be expected. As shown in Fig. 4, the RSDs (>40%) of the AgFON are more than two times higher than those on the Ag/SiNW substrate having the same period of 470 nm. The averaging effect on improving the signal reproducibility in AgFON can rely on using small nanospheres or larger probing areas, as described in the following section.

### Influence of probing areas

Changing objectives of different magnification leads to different sizes of probing spots. The  $20\times$  objective corresponds to a  $3\text{ }\mu\text{m}$  spot,  $50\times$  objective to a  $1.5\text{ }\mu\text{m}$  spot and  $100\times$  objective to a  $1\text{ }\mu\text{m}$  spot. In a SERS measurement, large probing area can cover more hot spots of the substrate but does not necessarily leads to collection of more signals because an objective of low magnification usually has a small numerical aperture or collection angle. The averaging effect of increasing probing area replies on a long-range uniform distribution of the hot spots on the substrate that is not uniform at a short range,<sup>31</sup> which is useful to overcome the array defects as described below.

Fig. 5a and b compare the RSDs of three signature peaks of 4-ABT monolayer on the Ag/SiNW and the AgFON substrate with the same period (P) of 470 nm under different probing areas. The RSDs of Ag/SiNW in Fig. 5a decreases by 20% when the objective changes from the  $100\times$  to the  $20\times$ . Since the gap-free field of Ag/SiNW depends only on the nanowire size, the RSD drop is due to the suppression of the size variation of the nanowires by probing a  $3\text{ }\mu\text{m}$  spot of the array. In contrast, the RSD of 4-ABT of AgFON drops by >40% in Fig. 5b. Significantly, the RSD of  $1179\text{ cm}^{-1}$  peak decreases by almost 100%. The  $1179\text{ cm}^{-1}$  peak was attributed to the transformation of 4-ABT molecules to 4,4'-dimercaptoazobenzene (DMAB) under laser excitation.<sup>47</sup> The sharp RSD drop of the  $1179\text{ cm}^{-1}$  peak on AgFON could be due to more 4-ABT molecules transformed to DMAB because the  $100\times$  objective delivered much higher excitation energy for the transformation than the  $20\times$  objective. Due to the nature of the AgFON gap-based field, the RSD drops of other two 4-ABT peaks should correspond to the suppression of defects that the HCP nanoparticle array may have in a  $1\text{ }\mu\text{m}$  spot, including non-uniform sphere size, non-HCP line defect



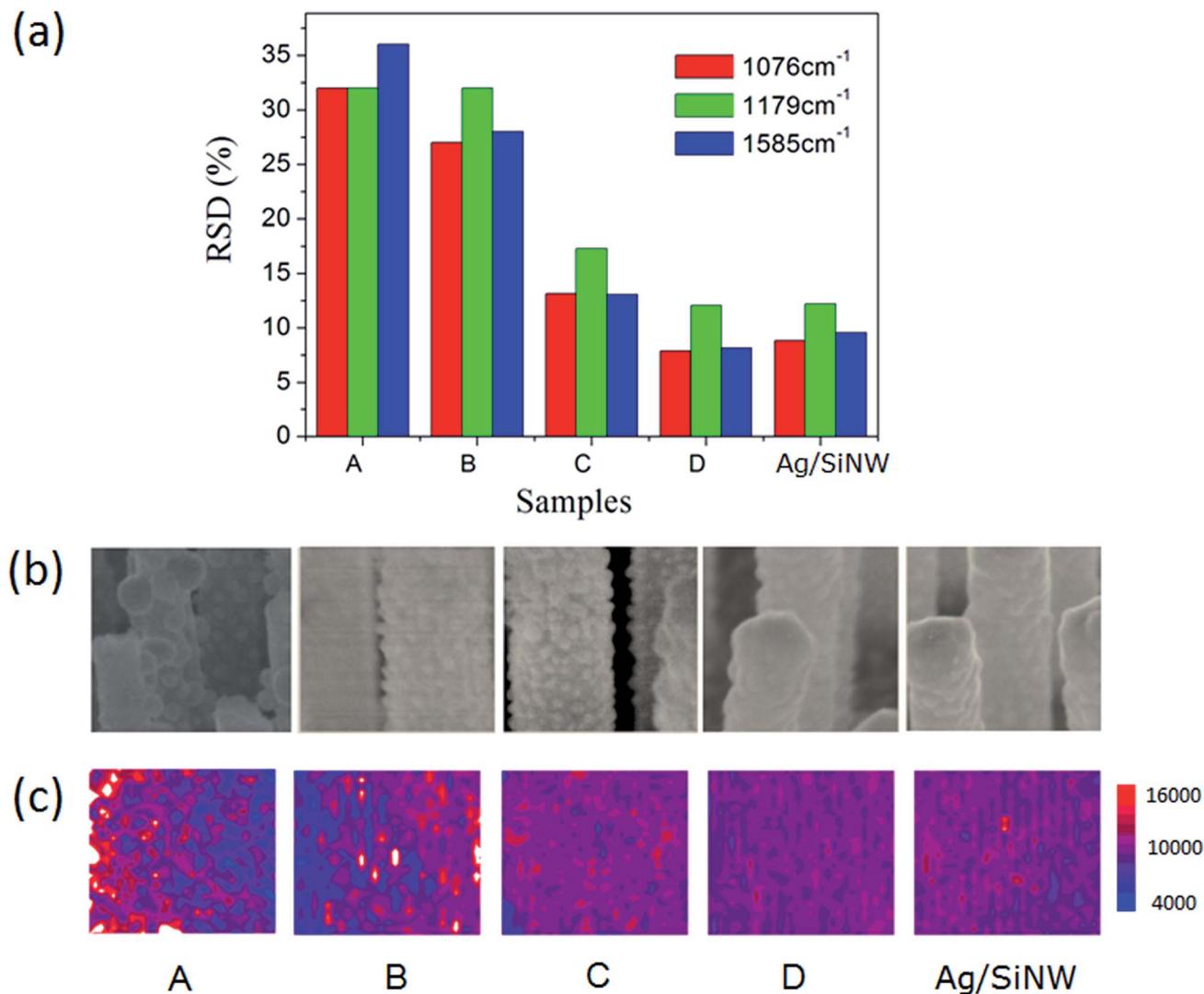


Fig. 3 (a) RSDs of 4-ABT Raman peaks on AgNP/SiNWs samples with different AgNP densities. (b) Magnified SEM image of AgNP/SiNWs samples A–D and Ag/SiNWs. (c) The colored Raman mapping of  $1076\text{ cm}^{-1}$  peak on  $120\text{ }\mu\text{m} \times 120\text{ }\mu\text{m}$  areas on the samples. The intensity has been normalized. The above silicon nanowires all had periodicities of  $300\text{ nm}$ , length around  $500\text{ nm}$  and diameter of  $110\text{ nm}$ . Measurement carried out by a  $20\times$  objective.

and inconsistent gap distance. This implies that the array defects that are different in the scale of  $1\text{ }\mu\text{m}$  become more similar at the scale of  $3\text{ }\mu\text{m}$ .

Similarly, in the Ag/SiNW substrate with a period of  $300\text{ nm}$  in Fig. 5c, the reproducibility enhancement is also about 20% by increasing probing area by changing the  $100\times$  objective to the  $20\times$ , indicating similar size variation of the nanowires. However, the biggest RSD drop of the AgNP/SiNW of  $300\text{ nm}$  period in Fig. 5d is also about 20%, which emphasizes the significant difference between the AgNP gap-based fields and the AgFON gap-based field. The AgNP field depends on the size and gap spacing of AgNPs on an individual nanowire and is not affected by the defects of the nanowire array.

We can summarize how the reproducibility is affected by the substrate uniformity through the electric fields. The reproducibility of the Ag/SiNW is the highest because its gap-free field is

only affected by the nanowire size variation. AgNP/SiNWs exhibit lower reproducibility because the AgNP gap-based field is affected by AgNP size and the inter-particle gap spacing. The reproducibility of the AgFON is the lowest because the AgFON gap-based field depends on many array defects. The best reproducibility of a SERS substrate corresponds to the field that depends on the least parameters.

It is well-known that the signal reproducibility increases at the cost of SERS enhancement,<sup>14</sup> and this is the case to the gap-based AgNP/SiNWs and AgFON substrates. For example in Fig. 5, the RSDs of the  $1076\text{ cm}^{-1}$  peak under  $100\times$  objective are  $\sim 40\%$  for the AgNP/SiNWs and  $\sim 80\%$  for the AgFON, respectively, while the corresponding EFs on the two substrates are  $2.0 \times 10^4$  and  $4.7 \times 10^5$ . The trade-off relation between reproducibility and enhancement for the gap-based substrates is because smaller nanogaps ( $< 10\text{ nm}$ ) in the hot spots required by higher

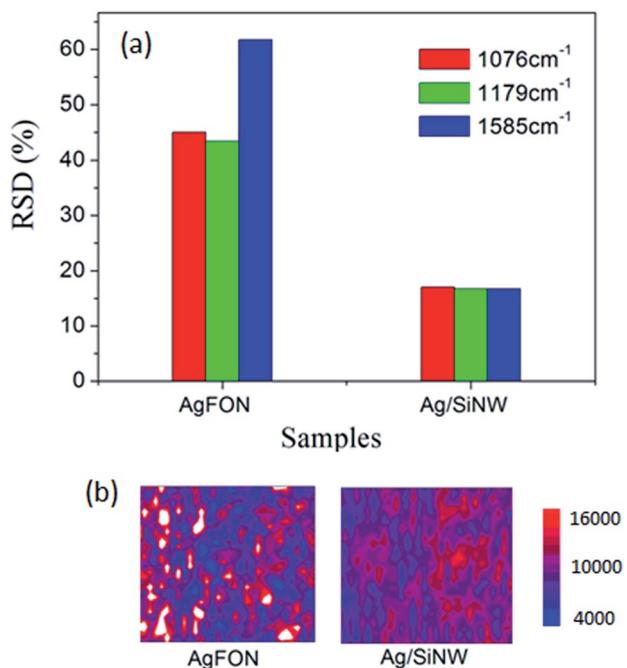


Fig. 4 (a) The RSDs of 4-ABT monolayer on AgFON and Ag/SiNW. (b) The colored Raman mapping of the normalized  $1076\text{ cm}^{-1}$  intensity on  $120\text{ }\mu\text{m} \times 120\text{ }\mu\text{m}$  areas of the corresponding samples.

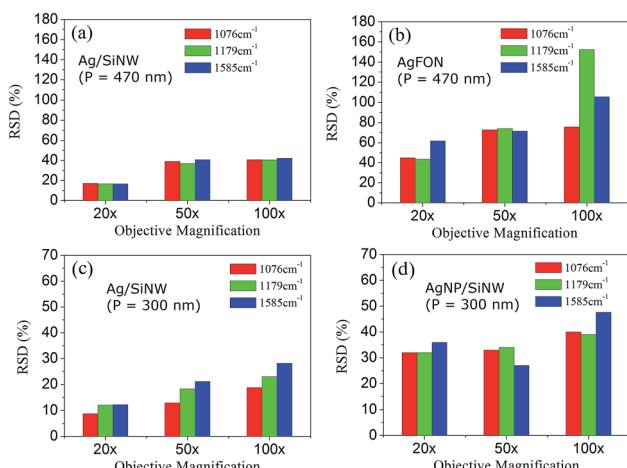


Fig. 5 The influence of objective magnification on the RSD of 4-ABT monolayer on (a) Ag/SiNW with the period as 470 nm, (b) AgFON with the period as 470 nm. (c) Ag/SiNW with the period as 300 nm. (d) AgNP/SiNW, with the period as 300 nm.

enhancement can hardly be fabricated uniformly by current nanotechnologies.

However, the trade-off relation does not apply to the gap-free Ag/SiNWs substrate. In all the cases we discussed above, the Ag/SiNWs exhibit higher reproducibility and higher EFs than the AgNP/SiNWs. Similarly, the Ag/SiNWs demonstrate higher reproducibility than the AgFON and their EFs are at the same level. Although AgFON performance can be increased by other fabrication parameters,<sup>48</sup> the fabrications of the Ag/SiNWs and the AgFON used the same parameters to achieve LSPR at 633 nm

wavelength: same nanosphere array as template and same Ag sputtering time for the continuous Ag layer. It rules out the SERS performance differences being due to different fabrication parameters. The high reproducibility and high enhancement of the Ag/SiNWs is actually due to the gap-free electric field as the hot spots: high enhancement requires high density of the gap-free  $\Phi$  150 nm nanopillars that can be fabricated uniformly over a large area by nanosphere lithography. Furthermore, the gap-free hot spots allow uniform absorption of analytes while the gap-based hot spots do not, as described in the next section.

### Influence of molecule distribution

A hot spot can contribute to the SERS signal only when an analyte molecule is located inside the spot and excited by the field. Therefore, uniform molecule distribution is the final piece of the reproducibility puzzle that deserves us to investigate. In fact, increasing the number of molecules being probed in a microfluidic SERS detection can improve the SERS reproducibility and is also regarded as a averaging effect.<sup>29</sup> In the solid-state SERS substrates, increasing uniform molecule distribution in the hot spots can be done by increasing molecule concentration. In the previous sections, the 4-ABT molecule is used to achieve uniform molecule monolayer on the Ag surface by thiol bonds for the sake of evaluation of the distributions of the hot spots and the electric fields. However in practical SERS applications, most analytes can only physically adsorb on the substrate and their distributions are affected by many parameters, such as the molecule size, conformation and concentration.<sup>49,50</sup> This section will evaluate the signal reproducibility by using different concentration of a typical SERS molecule, R6G, that physically adsorbs on Ag surface. Fig. 6 show the RSDs of three signature Raman peaks of R6G molecules (ESI Fig. S6†) on the three SERS substrates with different periods. The  $614\text{ cm}^{-1}$  peak is associated with C-C-C ring in-plane vibration, and  $1360$  and  $1510\text{ cm}^{-1}$  peaks are assigned to aromatic C-C stretching vibrations of the R6G molecule.<sup>51</sup> The fluctuation as well as the RSD differences of the R6G SERS peaks can be due to different molecular orientations of adsorption and different hot spot geometries though the former might have smaller impact.<sup>52,53</sup>

The RSD difference on the Ag/SiNWs in Fig. 6a decreases with increasing R6G concentration and reaches minimum at 0.02 mM, suggesting that R6G monolayer is formed on Ag surface at 0.02 mM and the peak fluctuation is dominated by hot spot variation. The monolayer formation is supported by calculating the needed amount of R6G molecules for forming a monolayer. In our experiment, a 2 μL droplet of R6G solution spreads out as a 3 mm circle on the SERS substrate. For the Ag/SiNWs with 700 nm height, 150 nm diameter and 470 nm period, the surface area covered by the 5 mm circle of the 2 μL droplet is  $\sim 4.1 \times 10^{13}\text{ nm}^2$ . One R6G molecule occupies  $0.4\text{ nm}^2$  in perpendicular orientation to Ag surface and  $4\text{ nm}^2$  in parallel orientation, respectively.<sup>54,55</sup> Thus the number of R6G molecules to form monolayer on the Ag/SiNW sample is at the scale between  $10^{13}$  and  $10^{14}$ . Therefore, a 2 μL droplet of 0.004 mM R6G solution contains  $10^{12}$  molecules which are not enough to



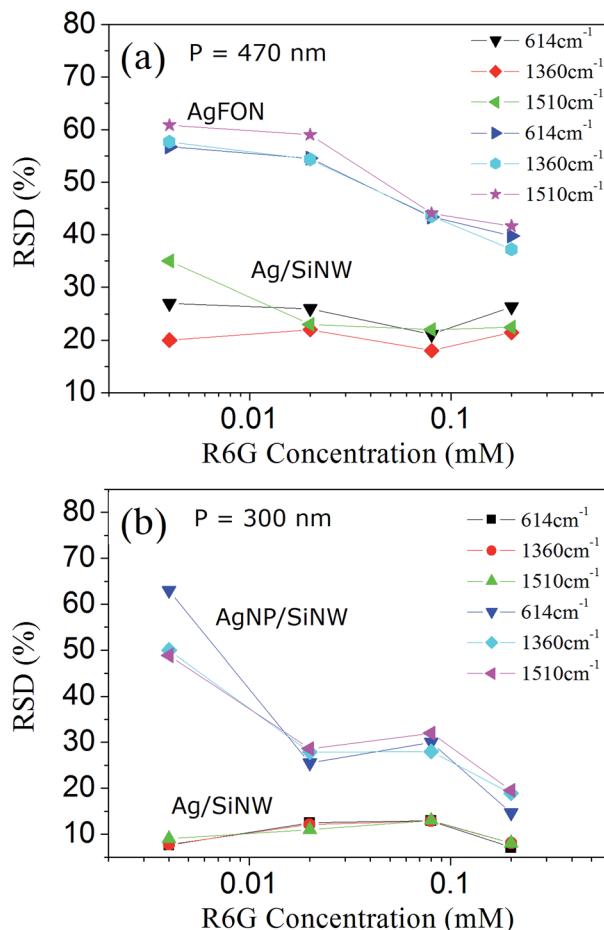


Fig. 6 The influence of R6G concentration on the RSD on (a) AgFON and Ag/SiNW. The periods are 470 nm. (b) Ag/SiNW and AgNP/SiNW, the periods are 300 nm. The objective used was 20×. The concentrations used are 0.004, 0.02, 0.08, 0.2 mM, respectively.

form a monolayer. The obvious RSD difference among the Raman peaks at  $4 \times 10^{-6}$  M in Fig. 6a is likely due to different molecular orientations of adsorption such that different parts of the molecules experience different electric fields at the hot spots. In contrast, the molecule amount of 2  $\mu$ L 0.02 mM R6G solution reaches  $10^{13}$  that can form monolayer. The RSD difference at 0.02 mM of the Ag/SiNWs sample reaches minimum and keep stable in 0.08 and 0.2 mM, indicating that all peaks fluctuate similarly depending mainly on the hot spot field distribution. Similar trend can also be observed in the case of the AgNP/SiNWs sample in Fig. 6b and the same assumption of monolayer formation at 0.02 mM applies.

Although the monolayer is formed, the occupations of nanogaps by the R6G molecules are still not uniform and increases with concentrations. As the R6G concentration increases from 0.02 to 0.2 mM, the RSDs of the AgFON decrease from 55% to 40% in Fig. 6a. The RSD drop should be due to the increased occupation of the AgFON gaps by the R6G molecules of high concentration. The AgFON gaps are too narrow to allow uniform adsorption of the R6G molecules in them.<sup>18</sup> As more R6G molecules are adsorbed on the AgFON, the probability of

the R6G molecule entering the AgFON gaps increases and thus the occupation of the hot spots becomes more uniform. Similar increased occupation of AgNP gaps also accounts for the ~15% drop of RSDs in the AgNP/SiNWs from concentration at 0.02 to 0.2 mM in Fig. 6b. The ~15% RSDs of AgNP/SiNWs at 0.2 mM against the high RSD (40%) of AgFON at the same concentration should be attributed to the averaging effect of increased hot spot density, because the AgNP/SiNWs exhibits much more nanogap hot spots than AgFON.

On the other hand, the RSDs of the gap-free Ag/SiNWs (Fig. 6b) exhibit little changes during the concentration changing, which is due to the gap-free field as well as large wire-to-wire separation. The wire-to-wire separation of the Ag/SiNWs is 150 nm and allows the R6G molecules of low concentration easily adsorb on the wire sidewall and excited by the gap-free field, thus avoiding the occupation problem in the gap-based substrates. In this regard, high R6G concentration will have more R6G molecules adsorb on the wire surface and increase the signal intensity. The molecule distribution in the gap-free field is not changed and thus has little impact to the reproducibility.

## Conclusions

Two approaches leading to averaging effects to improve the SERS signal reproducibility on the gap-based AgNP/SiNWs, AgFON and the gap-free Ag/SiNWs substrates have been evaluated and analysed based on the electric fields of the substrates. The gap-free field of the Ag/SiNWs depends only on the nanowire size. The gap-based fields of AgNP/SiNWs depend on both the AgNP size and the gap spacing. The gap-based field of the AgFON depends on the nanosphere size, the gap spacing as well as nanosphere array defects. With the help of the designed electric fields, the averaging effect of increasing hot spot density in the high-density AgNP/SiNWs is found as a result of the increased similarity of the AgNP fields from wire to wire. The effect of enlarging probing spot on AgFON replies on the long-range uniform distribution of the hot spots. Increasing molecule concentration contributes to the uniform occupation of the gaps in AgFON and AgNP/SiNWs by the analyte molecules. We conclude that the Ag/SiNWs substrate achieves both high reproducibility and high enhancement due to the gap-free electric field that depends on the least parameters. Our finding can be a guide to the design of SERS devices for practical applications.

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