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# Surface-enhanced Raman scattering of pyrazine on Au<sub>5</sub>Al<sub>5</sub> bimetallic nanoclusters†

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In this study, we theoretically investigated the Raman and absorption spectra of pyrazine adsorbed on Au<sub>5</sub>Al<sub>5</sub> bimetallic nanoclusters by a time-dependent density functional theory (TD-DFT) method. The surface-enhanced resonance Raman scattering (SERRS) spectra of pyrazine adsorbed on different isomers and sites of the Au<sub>5</sub>Al<sub>5</sub> cluster were simulated. The visualization of orbital transitions in electronic transitions was used to analyze the enhancement mechanism of SERRS spectroscopy. Compared with those of isolated pyrazine excited at 598 nm, the SERRS of pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>–a excited at the same incident light can be enhanced on the order of 10<sup>4</sup>, which is a typical charge transfer (CT) resonance excitation and charge transfer from substrate to pyrazine. Due to the fact that the intensity of ultraviolet SERRS can be significantly enhanced to 1.2 × 10<sup>6</sup> A<sup>4</sup> per amu for pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>–a model at 280 nm, the Au<sub>5</sub>Al<sub>5</sub> cluster may be a good candidate for research of the ultraviolet SERRS materials. Other key factors that can change the intensity of SERRS include the resonance excitation wavelength, oscillator strength of the electronic excited state, metal–molecule binding site and structure of the substrate cluster. Hence, the optical properties of complexes can be tuned by varying these factors.

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## 1. Introduction

Raman signals can be greatly enhanced when the molecules are absorbed on rough metallic surfaces.<sup>1–4</sup> As a molecular detection tool with high sensitivity, surface-enhanced Raman scattering (SERS) overcomes the disadvantages of conventional Raman spectra, and plays an important role in the studies of spectroscopic properties and electronic structures of molecules.<sup>5–11</sup> There are two generally accepted enhanced mechanisms for SERS: the electromagnetic field enhancement and the charge transfer enhancement. For the electromagnetic field enhancement mechanism, the excitations in the metal cluster can cause collective oscillation of electrons, which results in local surface plasmon resonance (LSPR), and then the molecular Raman signals can be strongly enhanced by a factor of 10<sup>11</sup>.<sup>7,9,12–14</sup> However, for the charge transfer enhancement, the

incident light radiates on the nanostructures causing charge transfer (CT) between the metal clusters and adsorbate molecules, which results in some Raman peaks being selectively enhanced by a factor of 10<sup>5</sup>.<sup>15–18</sup> The method of visualization of orbital transitions in electronic excitations of a molecule–nanostructure system has been developed in recent years,<sup>16,19</sup> where detailed information about the electronic transitions can be easily obtained and analyzed. Then, the contributions of intra-metallic excitation and charge transfer excitation can be distinguished.

In principle, all metals can enhance local electromagnetic fields, and the intensity of SERS mainly depends on the ability of metals to support strong LSPR. Since the discovery of rough silver substrates that strongly enhance signals of Raman spectra, extensive research of SERS has focused on coinage metal.<sup>1,2,5,8,12,15,20,21</sup> With the exploration of metal substrates, transition metal substrates have been theoretically designed and experimentally synthesized to enhance the SERS of adsorbate molecules, which are mainly based on the electromagnetic field enhancement mechanism, such as Pt, Ru, Rh, Pd, Fe, Co, Ni.<sup>3,22–25</sup> In addition, bimetallic nanoparticles have been widely investigated due to their unique properties on spectroscopic and electronic structures. In recent years, numerous studies on SERS have shown that the optical properties of molecules can be affected by the multicomponent substrates. Moreover, the SERS of molecules adsorbed on different bimetallic nanoclusters were simulated and analyzed, such as planar nanocluster

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Ac@Au<sub>7</sub>,<sup>26</sup> and core@shell nanoclusters of Cr@Au<sub>12</sub>, W@Ag<sub>12</sub>, Mo@Ag<sub>12</sub>, and Th@Au<sub>14</sub>.<sup>27–30</sup>

In this study, using a time dependent density functional theory method, we theoretically investigated the enhancement mechanism of surface-enhanced resonance Raman scattering (SERRS) spectroscopy of pyrazine absorbed on Au<sub>5</sub>Al<sub>5</sub> bimetallic nanoclusters. We select the CT excitations and the intra-metallic excitations, which can strongly enhance the SERS of pyrazine in the visible or the ultraviolet regions, to calculate the SERRS of complexes. The electromagnetic enhancements and the chemical enhancements for this model system are consistently treated based on a short-time approximation for the Raman scattering cross-section. Due to the inert and hypo-toxic properties of Au, gold can be used as an appropriate substrate in medical applications. Investigations on gold substrates have shown excellent enhancement of SERRS. A study on the absorption and SERS spectra of pyridine interacting with Au<sub>20</sub> by Schatz *et al.* showed that the Au<sub>20</sub> nanocluster can significantly enhance the intensity of SERS up to about 10<sup>3</sup> to 10<sup>4</sup>.<sup>31</sup> In addition, aluminum is a good metal substrate for ultraviolet SERRS. An investigation on resonance Raman spectroscopy of pyrazine absorbed on two Al<sub>3</sub> clusters or one Al<sub>4</sub> cluster has been reported by Sun *et al.*<sup>32</sup> It revealed that the enhancements can respectively reach up to about 10<sup>4</sup> and 10<sup>5</sup>, compared with the Raman intensity of pyrazine excited at the same incident light, due to intra-metallic excitation and charge transfer excitation. The models of Au<sub>5</sub>Al<sub>5</sub> clusters can be seen in Fig. 1(a) and (b). The structures of Au<sub>5</sub>Al<sub>5</sub>-a and Au<sub>5</sub>Al<sub>5</sub>-b have been demonstrated to be stabilized,<sup>33</sup> and Au<sub>5</sub>Al<sub>5</sub>-a is the lowest energy structure of Au<sub>5</sub>Al<sub>5</sub> clusters. The Au<sub>5</sub>Al<sub>5</sub> bimetallic nanoclusters protected by appropriate ligands are expected to have a favorable influence on the enhancement mechanism of SERRS for probe molecules. Pyrazine is a suitable probe molecule to investigate the SERRS enhancement mechanism of substrates in metal–molecule models<sup>8,32</sup> owing to the bonding interaction

between the nitrogen and metal nanoparticles. The metal–molecule models, used in this study, are shown in Fig. 1(c–f), which can be considered as models of simplified, tip-enhanced Raman scattering.<sup>34,35</sup>

## 2. Methods

All calculations discussed here were performed with the 2014.07 version of the Amsterdam density functional (ADF) suite.<sup>36</sup> The structures of complexes were optimized at the density functional theory (DFT)<sup>37</sup> level of theory using the exchange–correlation functional BP86.<sup>38,39</sup> A triple- $\zeta$  with polarization functions and a (TZP)<sup>40</sup> Slater type basis set were used, where the Au(1s<sup>2</sup>–4f<sup>14</sup>), Al(1s<sup>2</sup>–2p) are the frozen core, and C, N and H are full electrons. Relativistic corrections of elements (Au, Al) at the zeroth order regular approximation (ZORA)<sup>41–44</sup> level have been taken into account. The electronic transitions were calculated by a TD-DFT method,<sup>45</sup> using the same functional and basis sets. The adiabatic local density approximation (ALDA) was employed in all calculations of polarizability. The SERRS intensities were calculated by differential Raman scattering cross-section (DRSC), which is given by eqn (1):<sup>46</sup>

$$I_{\text{Raman}} = \left( \frac{d\sigma}{dQ} \right)_i = \frac{(2\pi)^4}{45} \frac{h}{8\pi^2 c \omega_i} \frac{(\omega_0 - \omega_i)^4}{1 - \exp(-hc\omega_i/k_B T)} \times \left[ 45 \left( \frac{d\sigma}{dQ_i} \right)^2 + 7 \left( \frac{d\gamma}{dQ_i} \right)^2 \right] \quad (1)$$

For the corresponding physical quantities,  $h$  is the Planck constant,  $c$  is the speed of light,  $k_B$  is the Boltzmann constant, and  $T$  is the Kelvin temperature. The terms  $\frac{d\sigma}{dQ_i}$  and  $\frac{d\gamma}{dQ_i}$  are the derivatives of the isotropic and anisotropic polarizability of the  $i$ th vibrational mode, respectively, and  $\omega_0$  and  $\omega_i$  respectively

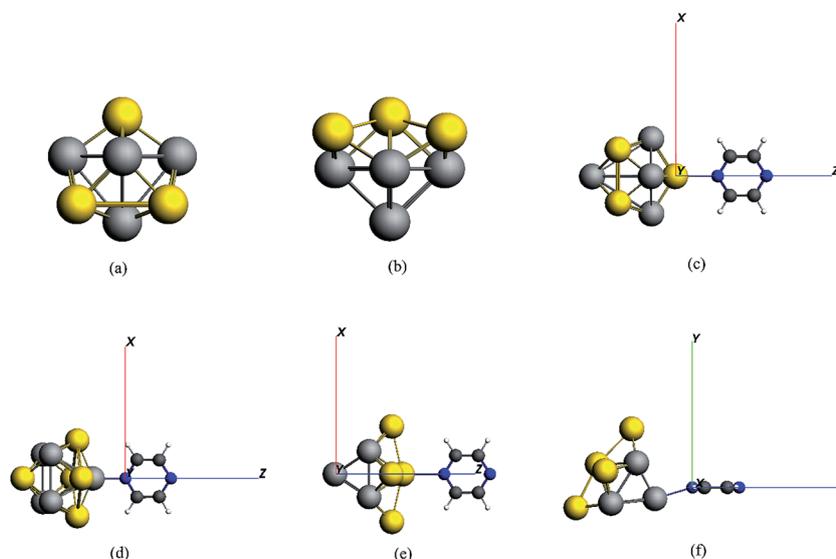


Fig. 1 The models of (a) Au<sub>5</sub>Al<sub>5</sub>-a (C<sub>4v</sub>), (b) Au<sub>5</sub>Al<sub>5</sub>-b (C<sub>4v</sub>), (c) pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-a (C<sub>2v</sub>), (d) pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-a (C<sub>s</sub>), (e) pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-b (C<sub>2v</sub>), (f) pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-b (C<sub>s</sub>).



denote the frequencies of the incident light and the  $i$ th vibrational mode. The finite lifetime was included using a damping parameter of 0.004 a.u., which was determined by the dephasing time of the conduction electrons and reasonable for metal clusters and resonant Raman intensities.<sup>20</sup>

### 3. Results and discussion

#### Raman scattering in ground state

The stabilizations for the structures of Au<sub>5</sub>Al<sub>5</sub>-a (C<sub>4v</sub>) and Au<sub>5</sub>Al<sub>5</sub>-b (C<sub>4v</sub>) have been demonstrated in a recent report,<sup>33</sup> and the calculations have shown that Au<sub>5</sub>Al<sub>5</sub>-a is the lowest energy structure, and Au<sub>5</sub>Al<sub>5</sub>-b is a typical stabilized local minima for the Au<sub>5</sub>Al<sub>5</sub> cluster. The unique structural symmetry of the Au<sub>5</sub>Al<sub>5</sub> cluster allowed us to study two very different tip binding sites, which we denoted as the pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub> model and the pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub> model. The two tip sites are presented in Fig. 1, which have been chosen for their quite different local chemical environments. Similar to most previous studies on pyridine/pyrazine adsorbed on a gold cluster or aluminum cluster,<sup>26,27,31,32</sup> we assumed that pyrazine binds to the Au<sub>5</sub>Al<sub>5</sub> cluster through the nitrogen atom. The structures of complexes were optimized by density functional theory at the BP86/TZP level, and more than ten different initial conformations were designed and optimized for each complex. Herein, the orientation of pyrazine on a cluster was adjusted so that every representative local minimum could be obtained for each complex. The lowest energy structure of each complex was chosen as the representative model (see Fig. 1), and there were no imaginary frequencies for each structure.

Fig. 1(c–f) show the stable configurations of pyridine adsorbed on an Au<sub>5</sub>Al<sub>5</sub> cluster in our calculations, and pyrazine binds to bimetallic cluster through the interaction between the nitrogen atom and the tip atom of the bimetallic electrode. In

order to explore the SERS enhancement mechanism of pyrazine binding to Au<sub>5</sub>Al<sub>5</sub> clusters, the static electronic polarizability components of the four models were calculated (Table 1). These calculated results of complexes were significantly increased compared to those of pyrazine, particularly in the z-direction, which resulted in strong enhancement of the static Raman intensity of complexes. These changes in the polarizabilities are due to the interactions between the molecular and metal clusters. To analyze the charge density redistribution during the formation of the complexes, atomic charges were computed within the Voronoi deformation density (VDD) scheme.<sup>36</sup> The VDD charges correspond to the quantity of electronic charge, which is entering or leaving a region of space around the nucleus. The calculated values of the bonding energy, Al/Au–N bond length, and VDD charge transfer for the complexes are shown in Table 2. The VDD charges denote the electronic charge transfers from molecule to metal, which agrees with the visual graph of the calculated deformation density for each complex (Fig. 2). A visual graphical representation of the calculated deformation density for complexes can be seen in Fig. 2(a–d). It was found that the direction of density flow agrees well with the overall transfer of charge from molecule to substrate. The enhanced, static electronic polarizability and the large

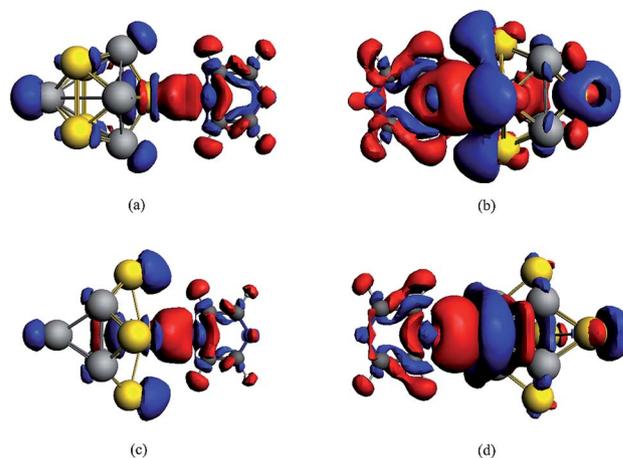


Fig. 2 Calculated deformation density ( $\Delta\rho$ ) isosurfaces and contour plots for complexes. An isosurface value of 0.0004 a.u. with enhanced density is blue and the depletion density is red.  $\Delta\rho = \rho^{\text{total}} - \rho^{\text{pyrazine}} - \rho^{\text{Au}_5\text{Al}_5}$ . (a) Pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-a, (b) pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-a, (c) pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-b, (d) pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-b.

Table 1 Calculated static electronic polarizability components (units in a.u.)

	$\alpha_{xx}$	$\alpha_{yy}$	$\alpha_{zz}$
Pyrazine	72.5	30.9	63.1
Pyrazine–Au–Au <sub>4</sub> Al <sub>5</sub> -a	442.9	412.1	554.9
Pyrazine–Al–Au <sub>5</sub> Al <sub>4</sub> -a	447.2	420.7	768.7
Pyrazine–Au–Au <sub>4</sub> Al <sub>5</sub> -b	469.3	442.5	490.6
Pyrazine–Al–Au <sub>5</sub> Al <sub>4</sub> -b	475.7	425.3	667.1

Table 2 Decomposition of the bonding energy (kcal mol<sup>-1</sup>), Au(Al)–N bond length (Å) and Voronoi deformation density (VDD) charge (e) transferred for the complexes

	Pyrazine–Au–Au <sub>4</sub> Al <sub>5</sub> -a	Pyrazine–Al–Au <sub>5</sub> Al <sub>4</sub> -a	Pyrazine–Au–Au <sub>4</sub> Al <sub>5</sub> -b	Pyrazine–Al–Au <sub>5</sub> Al <sub>4</sub> -b
$\Delta E_{\text{electrostatic}}$	–39.46	–98.44	–31.11	–42.59
$\Delta E_{\text{Pauli}}$	49.7	140.97	42.6	64.34
$\Delta E_{\text{orbital}}$	–18.22	–57.38	–14.58	–24.79
$E_{\text{total binding energy}}$	–7.98	–14.85	–3.08	–3.04
Au–N bond length	2.469	2.034	2.568	2.367
VDD charge (molecule → metal)	0.13	0.128	0.121	0.131



charge transfer resulted in the enhancement of SERS in the ground state.

Then, the static Raman spectra of pyrazine and complexes in the ground state were simulated and analyzed, as shown in Fig. 3 and S5,<sup>†</sup> respectively. The well-known seven vibrational modes of pyrazine at 591, 697, 1006, 1213, 1326, 1519 and 1554  $\text{cm}^{-1}$  have been analyzed in detail, which have significant signals in the prior experiments on SERS. The vibrational motions and frequencies of those important modes are

displayed in Fig. S6.<sup>†</sup> We found that the completely symmetric modes at 591 (ring-stretching), 1006 (ring breathing), 1213 (C–H bending), and 1554  $\text{cm}^{-1}$  (ring-stretching) were strongly enhanced in the static Raman spectra of the four complexes. For the structure of pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-a ( $C_{2v}$ ), the Raman peak of pyrazine at 1554  $\text{cm}^{-1}$  was enhanced 15 times and showed a blue shift of about 6  $\text{cm}^{-1}$ , compared with that of pyrazine alone. In addition, the Raman peak at 1006  $\text{cm}^{-1}$  was separated into two Raman peaks due to the break of molecular vibrational

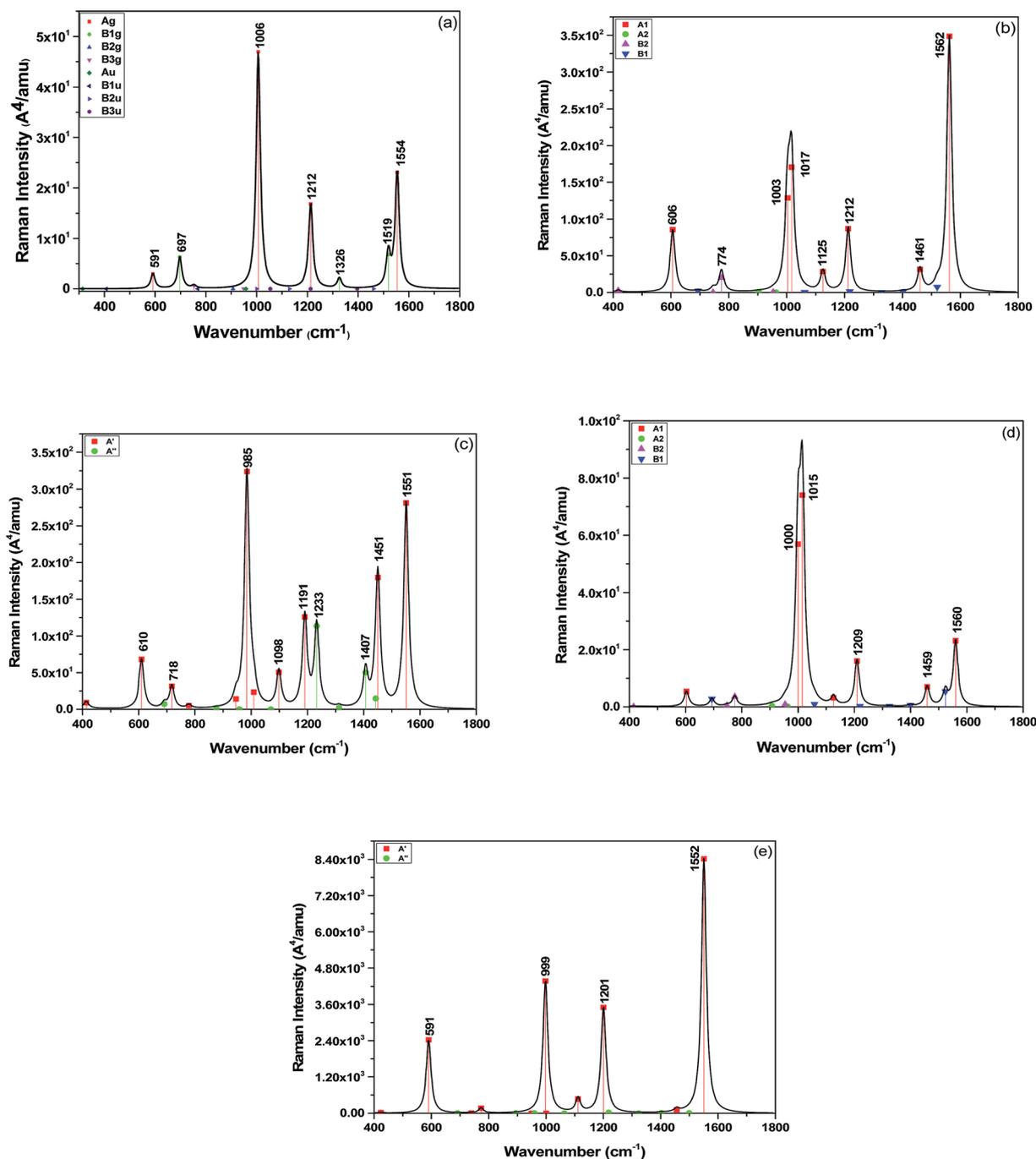


Fig. 3 Static Raman spectra of pyrazine in the ground state. (a) Pyrazine, (b) pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-a, (c) pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-a, (d) pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-b, (e) pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-b. Spectra were broadened by a Lorentzian having a width of 20  $\text{cm}^{-1}$ .



symmetry. For the pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-a (C<sub>s</sub>) structure, the Raman peak of pyrazine at 1006 cm<sup>-1</sup> was enhanced about 7 times than that of pyrazine, and some new vibrational peaks appeared in the spectrum. For the pyrazine–Au–Au<sub>4</sub>Au<sub>5</sub>-b (C<sub>2v</sub>) structure, the Raman peak of pyrazine at 1006 cm<sup>-1</sup> was weakly enhanced about 2 times. Due to the break in molecular vibrational symmetry, this peak was also separated into two Raman peaks. For the pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-b (C<sub>s</sub>) model, the Raman intensity was strongly and selectively enhanced due to charge redistribution between the molecule and substrate. The Raman peak at 1554 cm<sup>-1</sup> was enhanced by a factor of 3.6 × 10<sup>2</sup>, which is the strongest static Raman intensity in these four complexes. All of these results were induced by the charge redistribution of the molecular–metal complex due to non-resonant interaction between the substrate and the adsorbate.

The frequency shifts of Raman peaks were observed for the four complexes, and the different shifts for the complexes were in agreement with the different bonding interactions of the four complexes. The largest shifts were observed for the modes at 591 (ring-stretching), 1006 (ring breathing), and 1554 cm<sup>-1</sup> (ring-stretching). The modes at 1554 cm<sup>-1</sup> mainly involved C–C stretching with the carbon next to the nitrogen atom vibrating towards the metal cluster, and the 591 and 1006 cm<sup>-1</sup> modes consisted of vibrational motion of the N atom along the Al/Au–N bond. Therefore, these shifts are expected to be influenced more by the interaction with the metal cluster. In addition, the structure of the substrate and the binding site can also affect the frequency shift of Raman peaks in complexes.

### Electronic transition properties

Fig. 4 shows the simulated UV-vis absorption spectra of four complexes and two metal clusters in this study. The wavelength range was from 1.7 eV to 5 eV, and the spectra have been broadened by a Lorentzian with a width of 0.1 eV. It was found that the metal clusters Au<sub>5</sub>Al<sub>5</sub>-a and Au<sub>5</sub>Al<sub>5</sub>-b both have strong optical absorption peaks in the ultraviolet region around 4.7 eV, which was not discussed in the prior study.<sup>33</sup> For pyrazine

absorbed on the Au<sub>5</sub>Al<sub>5</sub> cluster, the absorption strength in the ultraviolet region decreased, and several new absorption peaks appeared in the region from 400 to 700 nm, compared with the bare clusters. The detailed electronic excitations of pyrazine are shown in ESI part 1.† It is clear that the electronic transitions of pyrazine were in the region of deep ultraviolet region. The absorption band of complexes can be red shifted to the visual regions, even near the IR region, because of the interaction between the molecule and metal nanoclusters. Therefore, the electronic transitions that can strongly enhance the SERS of pyrazine in the visible and the ultraviolet regions were selected for the four complexes. Table 3 demonstrates the detailed properties of electronic transitions selected in this study.

A method of visualization for electronic transitions was used to analyze the components of electronic transitions shown in Fig. 5 and ESI part 2.† According to the information of electronic transitions and the visual graphics of molecular orbitals in different electronic transitions, we can select the appropriate electronic resonance excitation for SERRS. The excitations which can strongly enhance the SERS of pyrazine in the visible and the ultraviolet regions were selected for the four complexes. The absorption spectrum of pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-a was dominated by a broad peak with a maximum at 280 nm, which was associated with the Au<sub>5</sub>Al<sub>5</sub> cluster. In addition to the excitations associated with Au<sub>5</sub>Al<sub>5</sub> cluster, the presence of pyridine also created a new charge-transfer excitation at 598 nm. The molecular orbitals involved in the two electronic transitions are shown in Fig. 5. It is clearly shown that the electronic resonance excitation at 598 nm was a charge transfer excitation and charge transfer from substrate to pyrazine, while intra-metallic charge redistribution and charge transfer transitions were all included in the excitation at 280 nm. The absorption spectrum of pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-a was dominated by a broad peak with a maximum at 277 nm as shown in Fig. 4(a). For the cases in Fig. S2,† the visual graphics of molecular orbitals in the electronic transition at 277 nm show that the excitation included intra-metallic charge redistribution and charge transfer from metal to molecule, and the dominant contribution was the intra-metallic excitation. The absorption spectrum of pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-b was dominated by two broad peaks with maximum at 266 and 337 nm, respectively, as shown in Fig. 4(b). The electronic resonance excitations at 337 and 266 nm were the mixture of intra-cluster excitation and metal to pyrazine charge transfer excitation, as shown in Fig. S3,† and the dominant contribution was the intra-cluster charge redistribution. For pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-b, Raman spectra excited at the incident wavelengths of 301 and 272 nm were resonance Raman spectra. The electronic transition at 301 nm was an intra-metallic excitation, and the dominant contribution for the electronic transition at 272 nm was also the intra-cluster charge redistribution, as shown in Fig. S4.† As a result, the absorption spectra of four pyrazine–Au<sub>5</sub>Al<sub>5</sub> complexes were all dominated by the intra-cluster electronic transitions. It is expected that the intensity and profile of SERRS can be significantly influenced by the orientation and degree of intra-metallic charge redistribution. If the metal cluster is large enough, intra-metallic excitation and

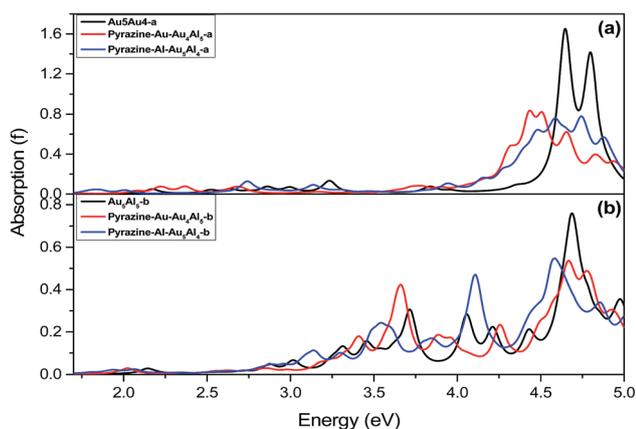


Fig. 4 Simulated UV-vis absorption spectra. (a) The absorption spectra of pyrazine absorbed on Au<sub>5</sub>Al<sub>5</sub>-a, (b) the absorption spectra of pyrazine absorbed on Au<sub>5</sub>Al<sub>5</sub>-b. Spectra have been broadened by a Lorentzian having a width of 0.1 eV.



**Table 3** Electronics transition information of different structures including models, electronic transition energy (eV), oscillator strength (*f*), and components of orbital transitions

Models	<i>E</i>	<i>f</i>	Components of orbital transitions
Pyrazine–Au–Au <sub>4</sub> Al <sub>5</sub> -a	2.07	0.0235	98.6% (15b <sub>2</sub> → 16b <sub>2</sub> )
	4.43	0.5131	22.6% (29a <sub>1</sub> → 35a <sub>1</sub> ), 8.6% (14b <sub>2</sub> → 18b <sub>2</sub> ), 6.6% (19b <sub>1</sub> → 22b <sub>1</sub> ), 6.0% (27a <sub>1</sub> → 32a <sub>1</sub> )
Pyrazine–Al–Au <sub>5</sub> Al <sub>4</sub> -a	4.47	0.2277	78.4% (47a' → 59a'), 2.8% (47a' → 58a'), 2.7% (38a' → 48a')
Pyrazine–Au–Au <sub>4</sub> Al <sub>5</sub> -b	3.68	0.2178	26.5% (20b <sub>1</sub> → 11a <sub>2</sub> ), 12.8% (31a <sub>1</sub> → 19b <sub>2</sub> ), 12.8% (30a <sub>1</sub> → 18b <sub>2</sub> ), 10.5% (15b <sub>2</sub> → 34a <sub>1</sub> )
	4.66	0.2122	27.3% (27a <sub>1</sub> → 33a <sub>1</sub> ), 11.1% (27a <sub>1</sub> → 34a <sub>1</sub> ), 7.0% (14b <sub>2</sub> → 18b <sub>2</sub> ), 6.8% (15b <sub>2</sub> → 19b <sub>2</sub> )
Pyrazine–Al–Au <sub>5</sub> Al <sub>4</sub> -b	4.11	0.1620	28.4% (26a'' → 30a''), 17.4% (40a' → 49a'), 13.1% (39a' → 49a'), 4.6% (27a'' → 30a'')
	4.56	0.1793	23.1% (37a' → 30a''), 11.5% (39a' → 30a''), 4.7% (24a'' → 50a'), 4.4% (35a' → 30a'')

charge transfer excitation will be the mechanisms of electromagnetic and chemical enhancement, respectively.

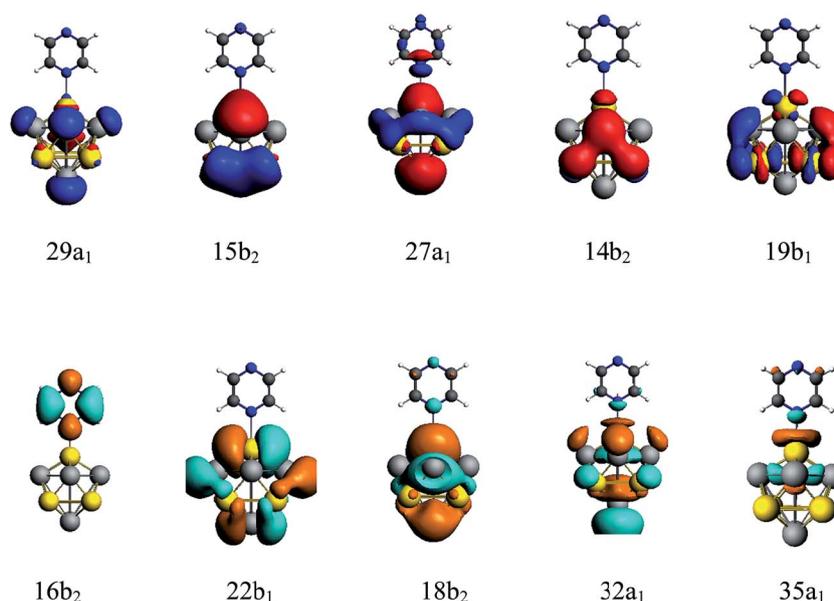
### Resonant Raman scattering

Due to the interaction between the molecular and substrate clusters, there were new CT excitation transitions that involved charge transfer from the bimetallic cluster to pyrazine. The pure CT transitions were mainly limited in the range of 500–800 nm for the models in this study, and the variations in the adsorption site and structure of metal cluster could tune the energy of the CT excitations. In addition, some electronic excitations in the ultraviolet region were the intra-metallic charge redistribution, as seen in Fig. 5 and ESI part 2,<sup>†</sup> and the electromagnetic enhancement mechanism was significant when the incident light was resonated with those excitations. We selected the CT excitations and the intra-metallic excitations, which can strongly enhance the SERS of pyrazine in the visible or the ultraviolet regions, to calculate the SERRS of pyrazine absorbed on the Au<sub>5</sub>Al<sub>5</sub> cluster.

For pyrazine, the absorption peaks were in the deep ultraviolet region as shown in Fig. S1.<sup>†</sup> Therefore, the Raman spectra excited at wavelengths near the absorption peaks of pyrazine

were near-resonant excitation Raman spectra as shown in Fig. S5(b–g).<sup>†</sup> The Raman spectra of pyrazine excited in the visible region were normal Raman spectra, which were almost the same as observed by comparing Fig. 3(a) and S5(a).<sup>†</sup>

We calculated the resonance Raman spectra of pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-a at 598 and 280 nm, as shown in Fig. 6(a) and (b). The Raman intensity at 598 nm was enhanced  $1.2 \times 10^4$  times (see Fig. 6(a)), compared with the static normal Raman spectra of isolated pyrazine excited at 598 nm. There was a weak electronic transition at 598 nm for the pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-a structure, which was a charge transfer absorption peak and the charge transfer from Au<sub>5</sub>Al<sub>5</sub>-a to pyrazine with the oscillator strength of 0.023 a.u., as shown in Fig. 4(a) and 5. Therefore, the SERRS spectrum excited at 598 nm was strongly enhanced by the charge transfer mechanism. The Raman intensity excited at 280 nm was selectively enhanced  $1.6 \times 10^4$  times, compared to the Raman spectra of pyrazine excited at the same wavelength (see Fig. 6(b)). It was found that the electronic transition at 280 nm was the mixture of intra-metallic excitation and charge transfer excitation, but the visual graphics of molecular orbitals in the electronic transition demonstrated that the dominant contribution was the electromagnetic mechanism.

**Fig. 5** Molecular orbitals of pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-a complex in electronic transitions at 598 and 280 nm.

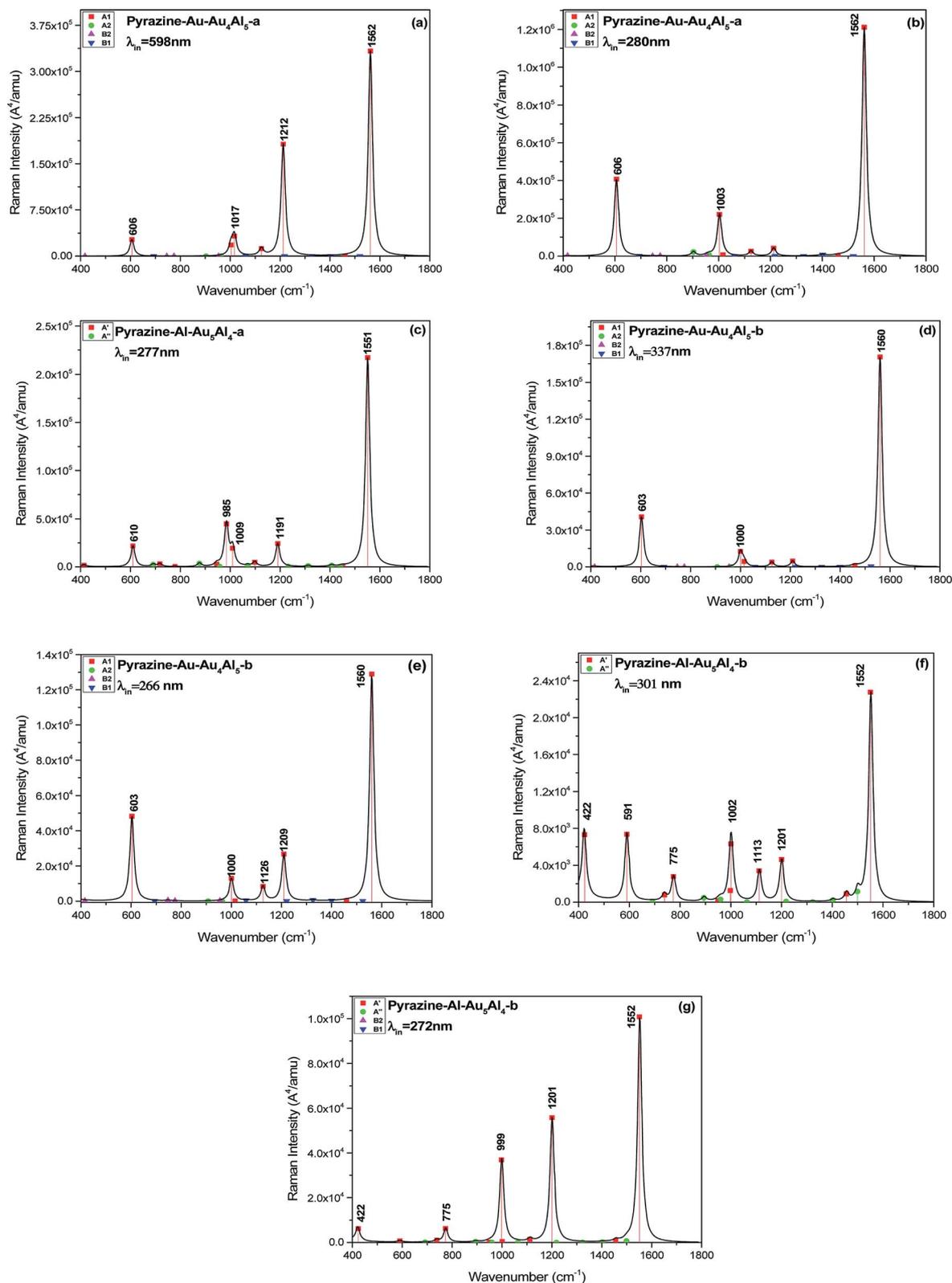


Fig. 6 SERRS of pyrazine adsorbed on different substrates, excited with different wavelength lasers. Spectra were broadened by a Lorentzian having a width of  $20 \text{ cm}^{-1}$ .

For the pyrazine-Al-Au<sub>5</sub>Al<sub>4</sub>-a structure, strong electronic excited state existed at 277 nm, and the resonance Raman spectroscopy excited at this wavelength is presented in Fig. 6(c).

It was found that the intensity of SERRS at 277 nm was selectively enhanced about  $10^4$  times, compared with the corresponding normal Raman spectra of pyrazine. The intra-metallic



excitation and charge transfer excitation were all included in this resonance excitation. The visual graphics of molecular orbitals in the electronic transition at 277 nm denoted that the dominant contribution was the intra-cluster charge redistribution, which was evidence for electromagnetic enhanced mechanism. Hence, according to the results of pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-a and pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-a models, the variation of adsorption sites can greatly affect the intensity of SERRS.

We compared the resonance Raman spectra of the pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-b structure with the static normal Raman spectra of pyrazine excited at 337 and 266 nm as shown in Fig. 6(d) and (e). It was found that the SERRS enhancement can be approximately  $3 \times 10^3$  times from the contributions of the excitation at 337 nm, compared with the normal Raman spectra. The visual graphics of molecular orbitals in electronic resonance excitation at 337 nm are presented in Fig. S3,† which revealed that the Raman spectra excited at 337 nm should be electromagnetically enhanced SERRS. At 266 nm, the SERRS intensity of pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-b was of the order of  $10^6 \text{ A}^4$  per amu, which was  $2 \times 10^3$  times that of the Raman spectrum of pyrazine at 266 nm. In addition, the electronic transition at 266 nm for pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-b included an intra-metallic excitation and charge transfer excitation, and the dominant contribution was the intra-metallic excitation. As a result, the enhancement of Raman spectrum excited at 266 nm was due to the electromagnetic field mechanism.

Fig. 4 and S4† show that the absorption peak at 301 nm for pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-b structure was a strong broad peak, and the dominant contribution was the intra-cluster excitation. The SERRS intensity was enhanced  $3 \times 10^2$  times (see Fig. 6(f)) due to the resonance with the electronic excitation at 301 nm, whose oscillator strength was 0.162. We also compared the SERRS excited at 272 nm (see Fig. 6(g)) with the normal Raman spectrum of pyrazine, and the SERRS intensity was selectively enhanced  $1.3 \times 10^3$  times. Fig. S4† demonstrated that the electronic transition at 272 nm was the intra-metallic excitation, and the visual graphics of molecular orbitals in the electronic transition revealed that the electromagnetic mechanism had a strong effect on the SERRS enhancement of pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-b at 272 nm.

As discussed above, the Au<sub>5</sub>Al<sub>5</sub> clusters can strongly enhance the SERRS spectra of pyrazine in the visible and ultraviolet regions. The selectivity of enhancement is shown in the calculated results of SERRS, as shown in Fig. 6, which is due to the significant contributions of the electromagnetic and CT enhancement mechanism. Pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-a was the best structure to observe the strongest SERS enhancement in the visible and the ultraviolet region. The SERRS intensity of pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-a model excited at 598 and 280 nm was of the order of  $10^5$  and  $10^6 \text{ A}^4$  per amu, and the dominant contribution was the CT enhancement and electromagnetic enhancement mechanisms, respectively. In addition, all the four complexes had strong SERRS in the ultraviolet region, among which Au<sub>5</sub>Al<sub>5</sub> clusters may be good candidates in studies of ultraviolet SERRS materials. These results indicated strong dependence on the incident excitation wavelength and the oscillator strength of the electronic excited state. The metal–molecule binding site of the

adsorbate molecule absorbed on the substrate cluster also had an important effect on the SERRS enhancement, and the different isomers of Au<sub>5</sub>Al<sub>5</sub> were the most important factor that affected the energy and the profile of the absorption peaks. It can be concluded that the energy of the transitions and the intensity of SERRS can be tuned by varying the structure of the substrate and the binding site of the molecule absorbed on the metal cluster.

## 4. Conclusion

In this study, a detailed analysis of the quantum chemical results explained the enhancement mechanism of SERRS for bimetallic nanoclusters, particularly focused on the Au<sub>5</sub>Al<sub>5</sub> clusters. The electromagnetic enhancement and the chemical enhancement for our model systems were consistently treated based on a short-time approximation for the Raman scattering cross-section. The visualization of charge transfer provided conclusive evidence for the enhancement mechanism of SERRS spectroscopy. The static chemical enhancement can reach up to a value that is  $3.6 \times 10^2$  times that for the pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-b complex due to the contributions of charge transfer between the probe molecule and bimetallic nanocluster in the ground state. Due to the interactions between molecular and substrate clusters, new CT excitation transitions appeared in the visible region. We selected the CT and the intra-cluster excitations, which can strongly enhance the SERS of pyrazine in the visible or the ultraviolet regions, to calculate the SERRS of complexes. The intensity of SERRS can be significantly enhanced in the visible region for the pyrazine–Au–Au<sub>4</sub>Al<sub>5</sub>-a model, which can reach the order of magnitude of  $10^6 \text{ A}^4$  per amu at the incident light of 598 nm due to CT-SERRS enhancement mechanism. All the four complexes had strong SERRS in the ultraviolet region, and the SERRS enhancement of pyrazine–Al–Au<sub>5</sub>Al<sub>4</sub>-a is the strongest and can reach  $10^7 \text{ A}^4$  per amu at an incident wavelength of 280 nm. Therefore, Au<sub>5</sub>Al<sub>5</sub> clusters may be good candidates in the search for ultraviolet SERRS material. The results also indicated the key factors that affect the intensity of SERRS, including the incident excitation wavelength, oscillator strength of the electronic excited state, metal–molecule binding site and the structure of substrate cluster. As a result, the optical properties of complexes and the wavelengths of excitation can be tuned by varying these factors. We hope our research will be useful to characterize excellent multi-composition SERS substrates, and promote the understanding of the SERRS enhancement mechanism of bimetallic substrate systems.

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