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Molecular dynamics study of microscopic structures, phase transitions and dynamic crystallization in Ni nanoparticles

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Using molecular dynamics simulations in conjunction with the quantum-corrected Sutton–Chen potential, we studied the influence of the heating and cooling rates, number of particles, temperature and relaxation time on the microscopic structure, phase transitions and dynamics of crystallization in four model systems containing $N = 4000, 5324, 6912$ and 8788 nickel atoms. The simulation results of a representative ensemble of 5324 Ni atoms have shown that the glass transition temperature, T_g , crystallization temperature, T_c , and melting temperature, T_m , are observed with heating and cooling rates in the range of $\Delta T/\Delta t = 2 \times 10^{12} \text{ K s}^{-1}$ to $4 \times 10^{13} \text{ K s}^{-1}$, but T_g or T_c increases while T_m decreases with decreasing $\Delta T/\Delta t$. By applying $\Delta T/\Delta t = 4 \times 10^{12} \text{ K s}^{-1}$ we found that after cooling from 2000 K down to 300 K the Ni atoms form nanoparticles for which the grain size follows the relation $d \propto N^{-1/3}$, and simultaneously the total potential energy of the investigated systems decreases linearly with the number of Ni atoms. With the help of common neighbor analysis, we detected the coexistence of amorphous and crystalline phases during the whole crystallization process. In the solid state, the dominant crystalline phase is characterized by the FCC and HCP structures, although a very small fraction of the BCC structure may occur at 300 K . It was established that the formation of the FCC structure is favored over the HCP one. In particular, lowering the temperature and increasing the relaxation time favour crystallization of the FCC lattice.

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1 Introduction

Metallic nanoparticles have received considerable attention throughout recent decades due to their unique physical, chemical and optical properties associated with quantum mechanical and topological effects.^{1,2} The materials are of great importance not only for basic research, but also primarily for a wide variety of applications in fields like medicine and biology,^{3,4} microelectronics and optoelectronics,^{5–8} and so on. Among metal nanomaterials, nickel nanoparticles are useful systems for various applications, *e.g.* in catalysis,^{9,10} the power industry as fuel cells,¹¹ or for solar energy techniques,¹² just to mention a few.

As a matter of fact, the physical properties of nanomaterials depend strongly on their structural and morphological properties, which as a consequence are intimately related to crystallization aging. This process essentially relies on applied synthesis methods, typically realized by chemical reactions, *i.e.*

with a chemistry route,^{13–15} or with physical methods.^{16,17} It turns out that despite the large amount of experimental data and numerous theoretical investigations, the final physical properties of nanomaterials are not always desirable. As far as we know, the basic reason behind the problem is that there are still various unsolved issues, for instance, the dynamic aspects of phase transitions and instability of surfaces and grain sizes during crystallization/solidification.

To get a better understanding of the factors predisposing the dynamics of the crystallization process, and to elucidate the factors responsible for the change of structure and phase instabilities in the systems, atomistic simulation methods have often been employed as a complementary tool to experimental studies. These studies are important because they may allow us to follow the evolution of physical properties from the atomic scale to the bulk system. In fact, molecular dynamics (MD) simulations have been performed for the metal clusters Ni,^{18–24} Fe,^{25,26} Cu,^{27,28} Pt^{29,30} and Au,^{31,32} and the binary alloy clusters Cu–Ag and Cu–Ni,³³ Cu–Zr,³⁴ Ni–Ag,³⁵ Pt–Pd^{36,37} and Pd–Ag.³⁸

In the present work, we use molecular dynamics simulations to investigate the microscopic structure, phase transitions and dynamics of crystallization during the heating and cooling of four model clusters, which contain $N = 4000, 5324, 6912$ and 8778 Ni atoms. Hereafter, we denote these ensembles as Ni₄₀₀₀, ..., Ni₈₇₇₈. Where possible, our results are compared with

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those reported in the literature. Most notably, the previous MD studies of Ni-based systems showed that the glass transition in the 4000 atom system occurs at 800 K,²¹ whereas the melting temperature, T_m , follows a power law for the number of atoms, N , as $T_m \propto N^{-1/3}$, *i.e.* T_m increases from 980 K in an ensemble with 336 atoms to 1380 K in a system with 8007 atoms.¹⁹ It was also reported that the melting temperatures of the metal nanoclusters are dependent not only on the cluster size but also upon other related factors such as shape and dimensionality.²⁴ As an example, Wen *et al.* showed that the melting temperature, T_m , of Ni nanowires is inversely proportional to their diameter, D , *i.e.* $T_m \propto D^{-1}$.²⁰ Here, we paid particular attention to the effects of both the cooling rates on crystallization and the cluster sizes on grain size and microscopic structures. Moreover, we establish that the crystal structure of the solid Ni nanoparticles is of the multi-domain type, involving mainly face-centered-cubic (FCC) and hexagonal-close-packed (HCP) structures, coexisting with a minor proportion of body-centered-cubic (BCC) structure and amorphous phases.

2 Calculation method

In molecular dynamics simulations, in order to predict the crystal structures and physical properties of materials one needs to define realistically the interactions between atoms or molecules. One of the approaches, which has been used confidently on many-body interactions in metallic systems, is the so-called embedded atom method.^{39,40} Originally, the energy of system is defined as the sum of the electrostatic repulsive potentials from the charged particles and the embedded energy accounting for electron local density. In this work we used the electronic charge and the pair interaction functions as given by Sutton–Chen (SC):⁴¹

$$E_{\text{tot}} = \varepsilon \sum_i \left[\frac{1}{2} \sum_{j \neq i} \Phi(r_{ij}) - C\sqrt{\rho_i} \right], \quad (1)$$

where $\Phi(r_{ij})$ is the pairwise repulse potential between atoms i and j ,

$$\Phi(r_{ij}) = \left(\frac{a_{ij}}{r_{ij}} \right)^n \quad (2)$$

ρ_i is the local electron density associated with atom i ,

$$\rho_i = \sum_{j \neq i} \left(\frac{a_{ij}}{r_{ij}} \right)^m. \quad (3)$$

r_{ij} is the distance between atoms i and j . The parameter ε is the overall energy scale, a is a length parameter, and m and n are positive integers. The values of the parameters used in this study, $\varepsilon = 7.3767$ meV, $c = 84.745$, $m = 5$, $n = 10$, and $a = 0.35157$ nm, were taken from quantum-corrected Sutton–Chen (Q-SC) simulations given in ref. 42. According to Kimura *et al.*⁴³ these parameters have been obtained to attain an accurate physical description of various mechanical and thermal properties. Recall that Q-SC potentials have previously been used to study phase transitions in metallic glass systems.^{30,33,36–38}

The investigated ensembles of nickel atoms were initially arranged in the FCC lattice. Instead of periodic boundary conditions, we used in the calculations a cut-off distance of the interatomic potential, $r_c = 1.996$ nm, assuming that beyond r_c interaction may be safely ignored. We carried out MD simulations in a series of increasing temperatures from 0 to 2000 K. After equilibrating the energy in the liquid phase, we cooled the system from 2000 K down to $T = 0, 300, 500, 700, 800, 900$ and 1100 K. The numeric temperature of the system was controlled using a Nosé–Hoover thermostat.^{44,45} We used four different heating and cooling rates, $\Delta T/\Delta t = 2 \times 10^{12}$ K s⁻¹, 4×10^{12} K s⁻¹, 2×10^{13} K s⁻¹ and 4×10^{13} K s⁻¹. The time step in the simulation was 2 fs. The dynamics of the supercooled liquid and crystallization were considered by simulations with time steps up to 4×10^5 , corresponding to a relaxation time of $t = 800$ ps. To characterize the microscopic structures of the investigated ensembles, we used common neighbor analysis.^{46,47} The calculations presented here were performed using software developed in the Center for Computational Science of Hanoi National University of Education.

3 Results and discussion

3.1 Effects of heating and cooling rates on melting and crystalline state and glass formation

Fig. 1 shows the variation of total potential energy during heating, E_{tot}^h , and cooling, E_{tot}^c , for the model system, Ni₅₃₂₄, as a representative of the studied ensembles. Apparently the phase transition/crossover from the solid to the liquid phase, and the reverse, can be evidenced by an anomaly in the E_{tot} vs. T curves. We assume that the prominent jump in the E_{tot}^h curves is due to melting. The value of the melting temperature, T_m , is defined as the temperature where the derivative of the energy has a maximum $dE_{\text{tot}}/dT|_{\text{max}}$. Our studies reveal that T_m decreases with decreasing $\Delta T/\Delta t$ and locates in the temperature range 1460–1375 K for the applied $\Delta T/\Delta t$. It was noticed that the observed value $T_m = 1375$ K agrees well with the value of 1350 K predicted using the $T_m \propto N^{-1/3}$ rule.¹⁹ It is clear that our study and also the studies by Qi *et al.*¹⁹ and by Wen *et al.*²⁰ provide significantly lower values of extrapolated T_m than expected for bulk Ni (~ 1590 K), compared to the experimental value of 1728 K.⁴⁸ This suggests that the physics of the nanosystems is not applicable for the bulk system.

In the cooling process, a pronounced drop in E_{tot}^c is observed, and the heating and cooling curves approach each other when the heating/cooling rates were applied in the range 2×10^{12} to 4×10^{12} K s⁻¹. Such behaviour of the energy vs. T implies a liquid–crystalline phase transition. Following this, the cooled system changed into the crystalline state below the transition denoted as the crystallization temperature, T_c . Our data indicate that T_c has values of ~ 810 – 830 K for the cooling rates below 1×10^{13} K s⁻¹. It seems that T_c increases with the number of atoms since the observed T_c value for Ni₅₃₂₄ is much larger than $T_c = 750$ K for 1004 atoms.¹⁹ In contrast to the low rates of cooling, a fast quenching, *i.e.* cooling rates higher than 1×10^{13} K s⁻¹, causes the potential energy to decrease smoothly with decreasing temperature. Nevertheless, a transformation from the liquid to



Table 1 Effect of the number of atoms, N , on the grain size, d , potential energy, E_{tot} , and positions of the first $r(1)$ and the second $r(2)$ peaks of the radial distribution function, after the processes of heating from 0 \rightarrow 2000 K and cooling from 2000 K \rightarrow 300 K with a rate of 2×10^{12} K s $^{-1}$

N	Grain size d (nm)	E_{tot} (eV)	$r(1)$ (nm)	$r(2)$ (nm)
4000	13.93	-630.16	0.245	0.34, 0.347
5324	15.53	-841.61	0.245	0.34, 0.348
6912	16.72	-1094.17	0.245	0.34, 0.352
8788	18.11	-1399.20	0.245	0.34, 0.349

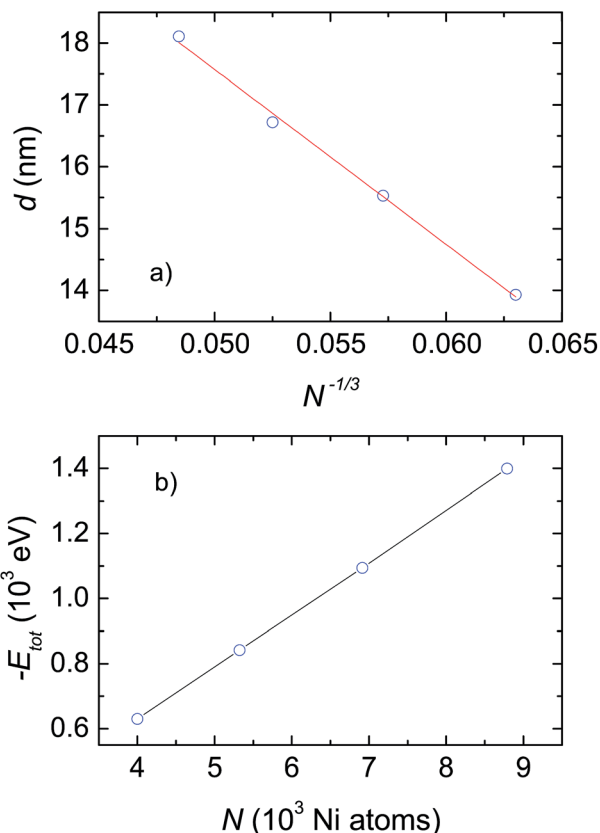


Fig. 3 (a) Dependence of grain size on the cluster size. The solid line shows the fit of d to a linear function of $N^{-1/3}$. (b) Potential energy, E_{tot} , as a function of the number of Ni atoms, N .

a linear dependence, which can be described by the mean of the function, d [nm] = $31.72 - 282.85N^{-1/3}$. Therefore, we are convinced that the linear relationship of both T_m vs. $N^{-1/3}$,¹⁹ and d vs. $N^{-1/3}$ (presented in this study) emphasizes the suggestion that the mechanisms underlying melting and grain growth in Ni nanoclusters have the same origin.

In light of the fact that the four studied ensembles do not have the same grain size, but their grain size is contingent on N , one should make further comparison between them regarding the change in their potential energy. Accordingly, we plot the obtained energy, E_{tot} , as a function of the number of Ni atoms, N , in Fig. 3b. Evidently, the energy, E_{tot} , systematically decreases

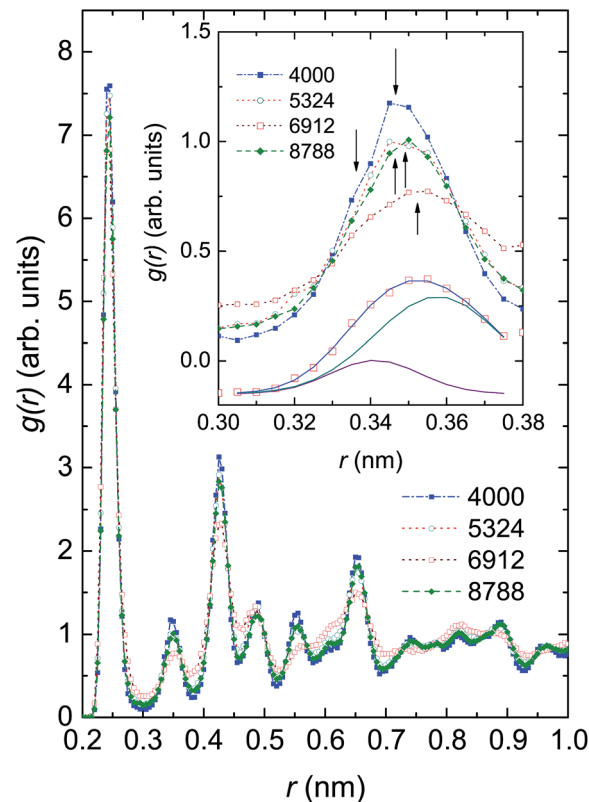


Fig. 4 Radial distribution function, $g(r)$, of Ni ensembles with 4000, 5324, 6912 and 8799 atoms. The function, $g(r)$, around the second peak shown in the inset evidences the coexistence of several phases, denoted by arrows. The $g(r)$ data of Ni₆₉₁₂ atoms shown at the bottom of the inset are described with a double peak Gaussian function. For the sake of clarity, these data are shifted down by 0.4.

with increasing N . The observation conceptualizes, first of all, that clusters with bigger sizes will be more stable than ones with smaller sizes. As a consequence, as the temperature increases the smaller ensemble will melt before the bigger ensemble. This result explicitly explains the reliance of T_m on N , that was documented previously by Qi *et al.*¹⁹

In Fig. 4 we compare the radial distribution function (RDF), $g(r)$, of the investigated ensembles at 300 K. For each of these systems the highest peak is found at $r = 0.245$ nm, corresponding to the nearest-neighbour (NN) distance between Ni atoms, d_{NN} . Because the observed d_{NN} value is approximately twice the covalent radius of 0.121 nm or metallic radius of 0.124 nm,⁵⁰ we may conjecture that the Ni–Ni bonding is of a covalent-metallic type. Considering the change of the height of the first peak in response to the number of Ni atoms, we see that there is no monotonic relationship between $g(r)$ and N ; the largest $g(r)$ value of 7.59 (arb. units) is observed in Ni₄₀₀₀, whereas the smallest $g(r)$ value of 6.82 (arb. units) is observed in Ni₆₉₁₂. The finding reflects the existence of some microscopic structures, of which the local orders compete against one another. The non-homogeneity of the microscopic structures in the ensembles can be strongly supported by the multi-peaked structure of the second peak of $g(r)$. In the inset of Fig. 4 we show $g(r)$ around the second peak. It can be seen that the second



peak involves at least two overlapping maxima located at approximately 0.34 nm and 0.35 nm. As a representative peak analysis, we show the least-squares fit of a double peak Gaussian function to the $g(r)$ of Ni_{6912} atoms (see the solid lines in the bottom of the inset of Fig. 4).

Before considering the microscopic structures of Ni nanoparticles, we would like to recall that nickel metal can undergo transformations between several crystal structures. Normally, Ni metal crystallizes in the FCC structure, but nanosize Ni can adopt the HCP structure,^{51,52} can exist in both FCC and HCP structures,⁵³ and can even form the BCC lattice.⁵⁴ The illustration of crystal structures potentially adopted by Ni ensembles, *i.e.*, FCC, HCP, BCC and amorphous structures, is shown in Fig. 5. However, a remark has to be made for the BCC structure. Brewer showed that the crystal structure of 3d-electron metals depends on the electron configuration of the outermost shell,⁵⁵ and accordingly, the FCC structure is stable for electron configurations in the range $sp^{1.5}-sp^2$, HCP is stable in the range $sp^{0.7}-sp^{1.1}$ and BCC is stable for configurations in the range $sp-sp^{0.5}$. The considerable difference between sp^2 of metallic Ni, and $sp-sp^{0.5}$ expected for the BCC structure, makes the appearance of the BCC structure for nanosize Ni very astonishing.

The results of structural analysis based on the CNA method are shown in Table 2. We state the coexistence of amorphous and crystalline phases. In addition, the amorphous phase plays a minor role, representing about 7 at.% of the crystalline phase, compared to the major fraction of almost 93 at.%. Remarkably, in the latter phase the formation of the face-centered-cubic lattice seems to be favoured over the hexagonal-close-packed structure. At this stage, we must admit that the presence of a dozen or so Ni atoms composing a BCC structure in Ni_{5324} is arguable. We think that future calculations based on other methods, notably the centrosymmetry parameter,^{56,57} bond angle analysis,⁵⁸ bond order analysis,⁵⁹ and so on, should be helpful to solve this conundrum.

Fig. 6 shows snapshots of solid nanoparticles of Ni with (a) 4000, (b) 5324, (c) 6912 and (d) 8799 atoms at 300 K. The visualized ensembles are characterized by a mixture of several microscopic structures. The atoms are coloured according to their crystal structures: red for FCC, blue for HCP, black for BCC and yellow for amorphous as obtained by CNA. It can be seen that there is no even distribution of structures and instead the Ni atoms huddle together to form clusters of defined structures. This observation points to two messages: (i) a new state of Ni ensemble has been formed after cooling from 2000 K to 300 K,

Table 2 Number of atoms in the FCC, HCP, BCC and amorphous structures

<i>N</i>	FCC	HCP	BCC	Amorphous
4000	2606	1174	0	220
5324	3345	1583	13	383
6912	3876	2024	0	1012
8788	6039	2136	0	613

and (ii) there are competing local orders between FCC, HCP and amorphous structures.

3.3 Structural transformations in Ni nanoparticles during cooling

To examine the formation process of microscopic structures, we studied the Ni_{5324} ensemble during cooling from 2000 K to 1100, 900, 700, 500 and 300 K, applying a cooling rate of $4 \times 10^{12} \text{ K s}^{-1}$. Fig. 7 shows the evolution of microscopic structures through the crystallization temperature, T_c , between 700 and 900 K. At 1100 K (Fig. 7a), the fraction of atoms with an amorphous structure dominates, while small clusters of 39 Ni atoms with an HCP structure are created. Approaching T_c , in addition to the crystalline HCP phase, a FCC kernel also settles (Fig. 7c). When the ensemble crosses T_c , the number of atoms with FCC and HCP structures increases sharply (Fig. 7e). The fraction of formed structures becomes larger and larger on further decreasing the temperature (Fig. 7g and j). It is also worth noting that at 300 K a very small fraction consisting of 13 Ni atoms appears only in the BCC structure, indicating an enhanced entropy. This finding may imply that low energy is

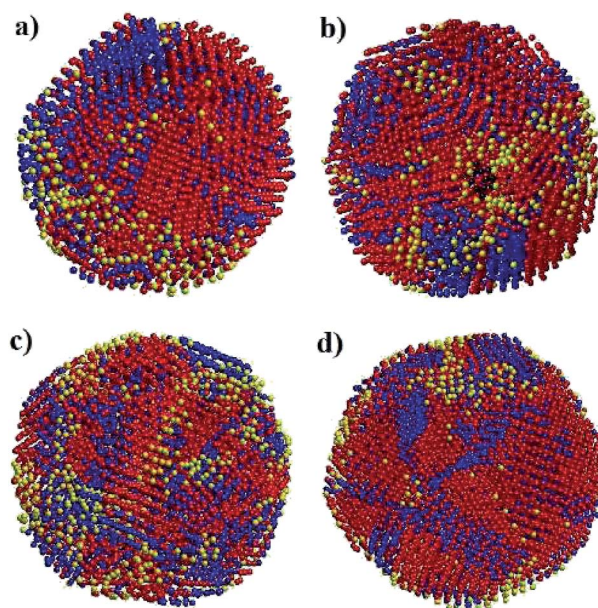


Fig. 6 Snapshot of solid nanoparticles of Ni with (a) 4000, (b) 5324, (c) 6912 and (d) 8799 atoms at 300 K. The atoms are coloured according to their structures: red for FCC, blue for HCP, black for BCC and yellow for amorphous as obtained by CNA.

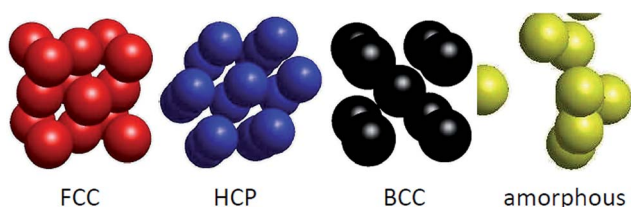


Fig. 5 Illustration of the FCC, HCP, BCC and amorphous structures.



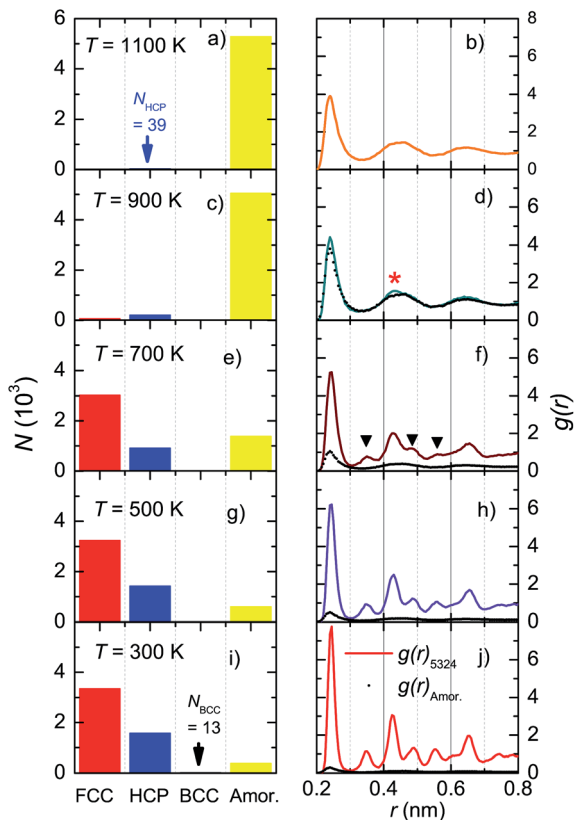


Fig. 7 Structural transformations in the Ni_{5324} ensemble during cooling. The fractions of the observed structures, FCC, HCP, BCC and amorphous (left panels), and radial distribution functions, $g(r)$ (right panels), after cooling from 2000 K to (a and b) 1100 K, (c and d) 900 K, (e and f) 700 K, (g and h) 500 K and (i and j) 300 K. The contribution of the amorphous phase (black points) is compared to the total $g(r)$ (solid line).

not sufficient but entropy must be high enough to enable the equilibrium of the system.

The radial distribution functions corresponding to the structural transformations are shown in the right panels of Fig. 7. In the supercooled liquid region (Fig. 7b and d), the RDF has only the first peak at $r(1) = 0.239$ nm, which is strong and distinguishable. This peak represents the average nearest-neighbour Ni–Ni distance. The remaining peaks are very broad due to the overlapping of individual peaks and their merging into the broad ones. The shape of the RDF demonstrates an oscillating character, thus indicating an amorphous atomic packing. Nonetheless, a comparison of $g(r)$ between the amorphous phase and the total $g(r)$ in Fig. 7d features the presence of a small crystal peak at $r = 0.43$ nm (denoted by *). This behaviour signalizes a nucleation, in agreement with the data shown in Fig. 7c, where a small fraction of Ni atoms forms local microscopic structures of the HCP and FCC lattices. Obviously, the existence of new, additional peaks in $g(r)$ at 700 K (denoted by \blacktriangledown in Fig. 7f) marks the growth of the crystalline FCC and HCP phases. Sharper peaks and their higher intensities seen in Fig. 7j, compared to those in Fig. 7h, indicate a higher degree of crystallinity in the ensemble at 300 K.

3.4 Dynamic crystallization process

The total energy of the Ni_{5324} ensemble at several temperatures as a function of relaxation time, t , is shown in Fig. 8. At 1100 K, E_{tot} is constant during the relaxation. Lowering the temperature to 900 K causes crystallization, which is evidenced by a sudden drop in the total energy after the incubation time, $t_i = 50$ ps. With decreasing temperature, t_i goes down, *i.e.* at 800 K, t_i amounts to 25 ps. Interestingly, at this temperature, $E_{\text{tot}}(t)$ does exhibit a conspicuous, second drop at $t_i = 275$ ps. This feature can be considered the result of a noticeable change in the relative fractions of the existing phases. Finally, as the temperature decreases to 300 K, the E_{tot} value decreases by a very small amount *vs.* t , indicating that the crystalline and amorphous phases are close to equilibrium.

To examine the dynamic crystallization of the Ni_{5324} ensemble in detail, we considered the relaxation time dependencies of the crystalline and amorphous fractions, and also the t -dependence of the respective radial distribution functions for three relaxation times, $t_1 = 40$ ps, $t_2 = 280$ ps and $t_3 = 400$ ps (marked by arrows in Fig. 8) at two temperatures, 900 K and 800 K. The fact that the nucleus for crystallization at 900 K appears before 50 ps (shown in Fig. 8) is now strengthened by the presence of crystalline phases with FCC and HCP structures at $t = 40$ ps (Fig. 9). Furthermore, we see that whilst the fraction of the FCC structure increases firmly, the fraction of the HCP structure slightly rises with an increasing relaxation time. As expected, at the expense of increasing the crystalline quantity, the amorphous fraction strongly reduces. The radial distribution functions shown in the bottom panels of Fig. 9 confirm the structural fraction change. Note the difference between the data for 40 ps and 400 ps – it can be observed that the peaks of $g(r)$ sharpen, and the scission of the third and fourth peaks (and likewise, the pair of fifth and sixth peaks) takes place as t increases.

The evolution of the structural fractions at 800 K upon relaxation time variation is displayed in Fig. 10. For $t = 40$ ps, in a similar manner as at 900 K, in addition to the dominant FCC fraction, there are plentiful fractions of the HCP and

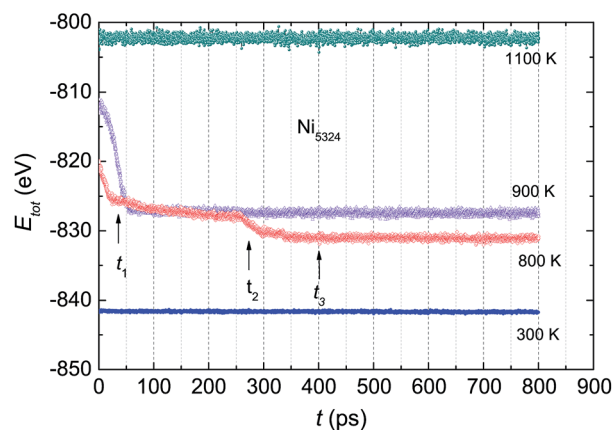


Fig. 8 The total energy E_{tot} of the Ni_{5324} ensemble at various temperatures as a function of relaxation time t .



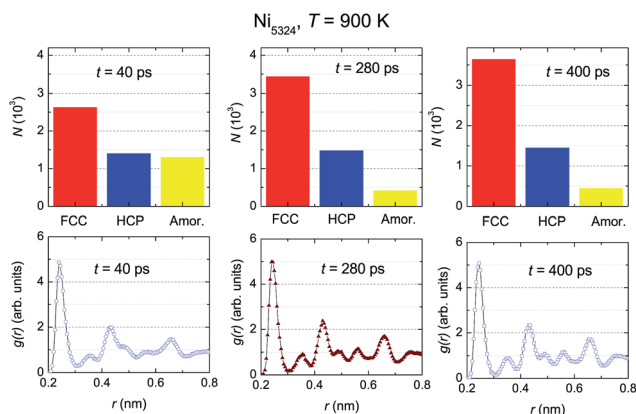


Fig. 9 The relaxation time dependencies of the crystalline and amorphous fractions (upper panels) and of the respective radial distribution functions (bottom panels) of Ni₅₃₂₄ at 900 K for three relaxation times, $t_1 = 40$ ps, $t_2 = 280$ ps and $t_3 = 400$ ps.

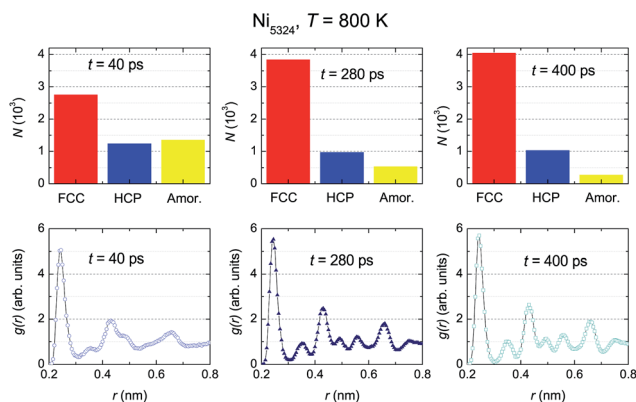


Fig. 10 The relaxation time dependencies of the crystalline and amorphous fractions (upper panels), and of the respective radial distribution functions (bottom panels) of Ni₅₃₂₄ at 800 K for three relaxation times, $t_1 = 40$ ps, $t_2 = 280$ ps and $t_3 = 400$ ps.

amorphous phases. The content of the latter phases in the ensemble is comparable. Further microstructural analysis allows us to infer that as the relaxation time increases, only the FCC structure fraction enhances whereas the contribution of both the HCP and amorphous phases declines. The change in the HCP content certainly accounts for the anomaly of $g(r)$ around t_2 . A comparison between $g(r)$ at two temperatures (Fig. 9 vs. 10) indicates that the developments of the peaks with t , in general, are similar. For small relaxation times, the third and fourth peaks and also the fifth and sixth peaks overlap significantly, giving important information regarding the fraction of the amorphous phase. The increase in height and decrease in width of the peaks when extending the relaxation time assists with the augmentation of the fraction with the FCC lattice, and simultaneously overthrows the amorphous structure. The higher peaks of $g(r)$ observed at 800 K compared to those at 900 K points to a larger degree of crystallinity in the ensemble at the lower temperature.

4 Summary

We have performed molecular dynamics simulations for ensembles containing 4000, 5324, 6912 and 8788 Ni atoms. It is found that the melting temperature increases with heating rate. The effect of the cooling rate on crystallization/solidification is strong. A slow cooling rate favours the formation of crystalline phases, while a fast cooling rate favours the formation of an amorphous structure. This can be understood by assuming the existence of manifold minimum energy structures.

We have established the effects of cluster size on the grain size and microscopic structure of the crystalline phases. We observe that the Ni atoms bound together to form nanoscale grains with sizes, d , between 14 nm and 18 nm. In particular, d follows a power law for the number of Ni atoms, as d vs. $N^{-1/3}$. We ascertained a linear relationship between the total energy and the number of Ni atoms, which confirms that a bigger ensemble is more stable than a smaller one. Below the crystallization temperature, all studied ensembles have a new state as compared to the initial FCC arrangement. The new state is a mixture of amorphous and crystalline phases with FCC, HCP and BCC lattices. Among the crystalline structures, the FCC structure is dominant. It is noted that a very small amount of Ni atoms crystallize in the BCC structure. Presumably, the appearance of the BCC lattice enhances the entropy, which is indispensable for the equilibrium of the system.

From the CNA results, the Ni₅₃₂₄ ensemble is proven to have coexisting FCC, HCP and amorphous structures during crystallization. However, the dynamics of crystal growth of the FCC and HCP phases is different. Remarkably, increasing the relaxation time always assists with the formation of the FCC lattice, and the relaxation time at 900 K and 800 K has opposite effects on crystallization to form the HCP lattice. Finally, the underlying physics of the BCC structure, coexisting with the FCC, HCP and amorphous ones, is not clear yet, and this issue will encourage further study.

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