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# Maghemite–gold core–shell nanostructures ( $\gamma$ - $\text{Fe}_2\text{O}_3$ @Au) surface-functionalized with aluminium phthalocyanine for multi-task imaging and therapy

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In this study we report on the elaboration and characterization of core–shell maghemite–gold nanoparticles with the shell modulated for different thicknesses below 2 nm. Gold-shelled maghemite nanoparticles with an average core size of about 9 nm were developed using a single-step protocol involving reduction of  $\text{Au}^{3+}$  in the presence of citrate-coated maghemite nanoparticles. Additionally, post-functionalization of the core–shell structures with aluminium phthalocyanine was successfully accomplished, aimed at the production of a material platform for photodynamic therapy. The as-produced samples were structurally, morphologically, magnetically and optically characterized and presented long-term colloidal stability at physiological pH. Impressively, we found the as-produced samples showed good X-ray attenuation properties, making them able to be used as nanoprobe for targeted computed tomography. Moreover, *in vitro* nanocytotoxicity tests confirmed a superior biocompatibility of the as-produced samples, making them a very promising multi-task platform for *in vivo* applications.

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## Introduction

Iron oxide nanoparticles, mainly magnetite ( $\text{Fe}_3\text{O}_4$ ) and maghemite ( $\gamma\text{-Fe}_2\text{O}_3$ ), have found numerous applications in the biomedical field, credited to their size-dependent physical (*e.g.* magnetic and optical) and chemical (*e.g.* surface reactivity) properties, which can be used not only to improve biocompatibility and specificity, but also offer a way to achieve the dual goal of theranostics (diagnostics plus therapy).<sup>1</sup> Along this line, co-assembled nanosized magnetic iron oxide and metallic gold in a core–shell heteromaterial has attracted broad interest, aimed at its application as a multifunctional material nano-platform.<sup>2</sup> Magnetism associated with the core iron oxide affords noninvasive manipulation (using gradient of magnetic field) and heating (using AC magnetic field), which are key features for site targeting and magnetohyperthermia,<sup>3</sup> respectively. Additionally, while lowering nanotoxicity the gold-shell increases long-term colloidal stability and presents a versatile

platform for bioconjugation.<sup>4</sup> Furthermore, at the nanoscale surface plasmon in gold is enhanced, which results in significant and tunable optical absorption and emission in the visible (VIS) and near-infrared (NIR) regions, covering the biological window of human tissues while allowing partial transparency to light.<sup>5</sup> Therefore, in addition to magnetohyperthermia, gold-shelled magnetic nanoparticles are useful in photothermal therapy, where localized heating is accomplished *via* light irradiation.<sup>6</sup> Besides, due to their unique optical properties gold-based nanostructures can act as fluorescent probes for *in vivo* imaging.<sup>7</sup> Moreover, fluorophores can easily functionalize gold-terminated surfaces, thus enhancing the optical performance of gold-shelled magnetic iron oxide nanoparticles. Particularly interesting for surface-functionalization are phthalocyanines, which are photosensitizers capable of converting specific light energy into chemical potential and widely used in photodynamic therapy (PDT).<sup>8</sup> However, due to the high hydrophobicity and tendency to self-aggregate in aqueous medium the use of phthalocyanines is quite limited in the bioenvironment. To circumvent this drawback phthalocyanines have been incorporated into nanostructured systems, such as nanoemulsions<sup>9</sup> or linked to magnetic<sup>10</sup> and gold<sup>11</sup> nanoparticle surfaces, resulting in improvement in PDT efficacy. Still in the diagnostics area, while presenting high electron density and strong X-ray attenuation gold-based nanostructures can be used as contrast agents for computed tomography (CT) imaging.<sup>12</sup> Worth mentioning that the conventional iodine-based contrast agents usually

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present severe limitations, such as short imaging time and low specificity.

This study reports on the elaboration and investigation (structural, morphological, magnetic, optical, and biological) of nanostructures comprising a magnetic core (maghemite) surface-shelled with gold, which is further surface-functionalized with aluminium phthalocyanine to act as a nanopatform for multi-therapy and multi-imaging combined techniques. Gold-shelled maghemite nanoparticles, with Au-shell modulated for different thicknesses, were elaborated by borohydride-mediated reduction of  $\text{Au}^{3+}$  in the presence of citrate-capped maghemite nanoparticles, the latter obtained by co-precipitation in aqueous medium. Maghemite-gold core-shell nanostructures surface-functionalized with aluminium phthalocyanine is a novelty in the literature, yielding sols with long-term colloidal stability and biocompatibility. The promising application of the as-elaborated materials as contrast agents in computed tomography imaging was herein evaluated using a commercial microtomograph (SkyScan1076, Bruker). In order to support the bioimaging application of the as-elaborated nanopatform *in vitro* assays were performed to assess the nanocytotoxicity.

## Experimental

All chemicals listed in the present report were of analytical degree and used as received without any further purification. Water used to perform the experiments was purified by a Milli-Q water system (Millipore, USA).

### Samples

**Citrate-capped maghemite nanoparticles (MNP).** As schematically shown in Fig. 1 citrate-capped maghemite ( $\gamma\text{-Fe}_2\text{O}_3$ ) nanoparticles were synthesized using a slightly modified procedure already described in the literature.<sup>13</sup> Briefly, 50 mL of aqueous solution containing 50 mmol of  $\text{Fe}^{2+}$ , 25 mmol of  $\text{Fe}^{3+}$  and 20 mmol of HCl were quickly poured into 250 mL of  $\text{NH}_4\text{OH}$  aqueous solution ( $1 \text{ mol L}^{-1}$ ), under vigorous stirring (1000 rpm) at room temperature. The as-formed black precipitate of magnetite ( $\text{Fe}_3\text{O}_4$ ) was magnetically separated and washed with water several times until the solution reached neutral pH. Then, the precipitate was acidified with  $\text{HNO}_3$  aqueous solution ( $0.5 \text{ mol L}^{-1}$ ) and magnetically separated from the supernatant. Next, the slurry containing magnetite was oxidized to maghemite by boiling the precipitate with  $0.5 \text{ mol L}^{-1} \text{Fe}(\text{NO}_3)_3$  for 30 min. The as-treated precipitate was removed out from the solution by magnetic decantation. Citrate-capped maghemite nanoparticle was prepared from the as-produced bare maghemite using trisodium citrate solution ( $1.0 \text{ mol L}^{-1}$ ) at  $80^\circ\text{C}$  for 30 min (molar ratio of citrate to iron = 0.1). The obtained precipitate was magnetically collected, washed twice with acetone (excess of acetone evaporated), re-suspended in water, adjusted pH to 7.0 and labeled as sample MNP.

**Gold-coated nanoparticles (MNP@Au1 and MNP@Au2).** Gold-shelled maghemite was formed by reduction of  $\text{Au}^{3+}$  (from  $\text{HAuCl}_4$ ) onto citrate-capped maghemite nanoparticles using sodium borohydride ( $\text{NaBH}_4$ ) as reducing agent (see scheme

Fig. 1). Typical Au-coating protocol is as follows: 80  $\mu\text{L}$  of 400  $\text{mg mL}^{-1}$  of the as-produced MNP sample was dispersed in 80 mL of water. Next, under sonication, 180  $\mu\text{L}$  of  $\text{HAuCl}_4$  (1 w/w%) was added. After 10 min, 150  $\mu\text{L}$  of  $\text{NaBH}_4$  ( $0.3 \text{ mol L}^{-1}$ , in ethanol) was added to the reaction medium and the system was sonicated for 10 min more. This  $\text{HAuCl}_4/\text{NaBH}_4$  cycle was repeated four times and the final sample was labeled MNP@Au1. Similarly, sample MNP@Au2 was produced, but the amount of  $\text{HAuCl}_4$  and  $\text{NaBH}_4$  was twice of that used to produce sample MNP@Au1.

**Aluminium phthalocyanine-functionalized nanoparticles (MNP/PTC and MNP@Au/PTC).** In order to attach aluminium phthalocyanine (PTC) to the as-produced nanoparticles 100  $\mu\text{L}$  of aluminum phthalocyanine chloride ( $0.4 \text{ mmol L}^{-1}$  in DMSO) was added to 7.5 mL ( $100 \mu\text{g mL}^{-1}$ ) of sample MNP (or MNP@Au2) to form the phthalocyanine-modified nanoparticles labeled as MNP/PTC (or MNP@Au/PTC). All PTC-functionalized samples were sonicated for 10 min, centrifuged to eliminate supernatant and re-dispersed in water. All the steps performed while preparing the final MNP/PTC, MNP@Au/PTC samples are schematically shown in Fig. 1.

### Characterization

X-ray diffraction (XRD) powder analyses of the samples were carried out in a Miniflex 600 diffractometer (Rigaku) over  $2\theta$  range of  $20\text{--}70^\circ$ , using Cu-K $\alpha$  radiation ( $\lambda = 1.541 \text{ \AA}$ ) and operating at 40 kV and 30 mA. Size and morphology of the as-produced materials were examined by high-resolution transmission electron microscopy (HRTEM) using a JEOL 1100 apparatus. Room temperature magnetization curves were obtained using a vibrating sample magnetometer (VSM) ADE model EV7. Hysteresis loops were recorded in the  $\pm 18 \text{ kOe}$  range. Chemical analyses of the as-produced samples<sup>14</sup> were determined using an inductively coupled plasma optical emission spectrometer (ICP-OES) Perkin Elmer model Optima 8000, with radiofrequency power of 1400 W,  $1.5 \text{ mL min}^{-1}$  sample flux,  $10 \text{ L min}^{-1}$  argon plasma flux, nebulizer flux  $0.7 \text{ L min}^{-1}$  and flux of auxiliary gas (argon) of  $0.2 \text{ L min}^{-1}$ . Hydrodynamic average diameter ( $D_H$ ), polydispersity indexes (PDI) and zeta potential ( $\zeta$ -potential) of the as-produced nanoparticles were assessed from aqueous dispersions using a dynamic light scattering (DLS) Zetasizer nano ZS system (Malvern Instrument). Ultraviolet visible (UV-vis) spectra of aqueous dispersions were recorded on a spectrophotometer Shimadzu UV 2600. The X-ray density of samples was evaluated in plastic microtubes using a microtomograph device (SkyScan1076, Bruker) with the following parameters: 50 kV, 180  $\mu\text{A}$ , 0.5 mm Al filter, 100 ms of exposition and pixels size of 35  $\mu\text{m}$ . NRecon® and CTAn® softwares were employed respectively for reconstruction and image analysis. Slices were analyzed with Dataviewer® software. The Hounsfield scale (HU units) was used to quantify the X-ray density and a 3D image of the samples was prepared for qualitative evaluation. Using surface-enhanced Raman spectroscopy (SERS) technique, spectra were recorded after diluting the samples in distilled water (or ethanol for PTC), dropping them onto nanostructured probing silver films, and drying the wet films under nitrogen gas flux. The samples were illuminated using the 514.5 nm line of an argon ion laser, after



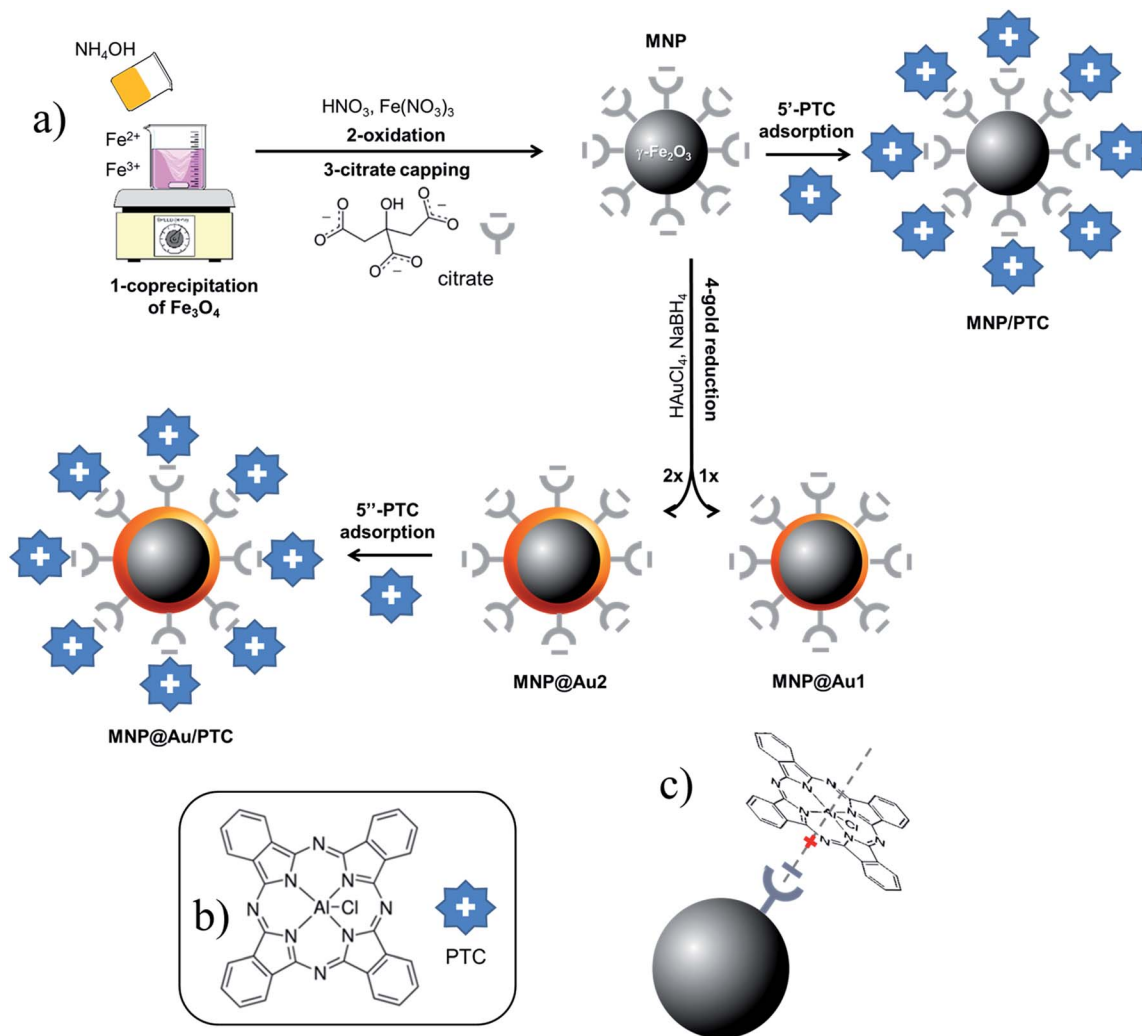


Fig. 1 Scheme used in the preparation of samples MNP, MNP/PTC, MNP@Au1, MNP@Au2 and MNP@Au/PTC.

passing the laser beam through a cylindrical lens and focusing ( $0.1 \text{ W cm}^{-2}$ ) it onto the probed surface containing the samples while setting the acquisition time for 2 min. The scattered light was collected in almost backscattering configuration and analyzed using a triple spectrometer (Jobin Yvon Model T64000; Horiba) equipped with a charge-coupled device detector.

### Cell culture

Murine fibroblast cells (NIH-3T3) and human keratinocyte cells (HaCAT) were acquired from cell bank of Rio de Janeiro (Brazil). Dulbecco's Modified Eagle's Medium (DMEM) (Life, EUA) completed with 10% of fetal bovine serum and 1% of antibiotic solution ( $100 \text{ IU mL}^{-1}$  penicillin to  $100 \mu\text{g mL}^{-1}$  streptomycin, Life, EUA) was used to grow the cells at  $37^\circ\text{C}$  and 5%  $\text{CO}_2$  in humid atmosphere.

### Cell treatment

The cells were grown into polystyrene culture flask of  $75 \text{ cm}^2$ . The cells were counted using a Neubauer chamber and the number of the cells per mL was determined by the equation:

number of cells per mL = (number of counted cells/4)  $\times$  dilution factor  $\times$  104. For each experiment, the cells were seeded into a 96-well culture plates at the density of 3.103 cells per well in DMEM. The plates were incubated at  $37^\circ\text{C}$  and 5%  $\text{CO}_2$  in humid atmosphere overnight.

### Cell-viability assay

Cell-viability assay was realized using MTT (3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyltetrazolium bromide) dry reduction method. After 24 and 72 hours of incubation,  $150 \mu\text{L}$  of the MTT solution ( $0.5 \text{ mg mL}^{-1}$  in DMEM) was added in each well and the plates were incubated for 2 hours at  $37^\circ\text{C}$  and 5%  $\text{CO}_2$  in humid atmosphere. The medium culture was discarded and  $100 \mu\text{L}$  of dimethyl sulfoxide (DMSO) were added in each well. The absorbance was monitored using a spectrophotometer with a micro-plate reader at 595 nm (Molecular Devices, EUA) (Table 1).

### Statistical analysis

The results were expressed as mean  $\pm$  standard error of the mean (SEM). Evaluation of possible significant differences



Table 1 Quantitative data of pool content

Groups	MNP and MNP@Au ( $\mu\text{g mL}^{-1}$ )	MNP and MNP@Au ( $\mu\text{L}$ )	H <sub>2</sub> O ( $\mu\text{L}$ )	Culture medium ( $\mu\text{L}$ )
Control	—	—	50	150
1	100	50	—	150
2	50	25	25	150
3	25	12.5	37.5	150
4	12.5	6.25	43.75	150
5	6.25	3.12	46.88	150

among the groups was determined by analysis of variance (ANOVA) and Bonferroni *post hoc* test using the program Prism 5 (EUA). The significance level was set at  $P < 0.05$ .

## Results and discussion

### Samples

Fig. 2 shows XRD spectra of samples MNP and MNP@Au2. For the employed synthesis route all diffraction peaks observed sample MNP are consistent with the standard data of maghemite (JCPDS card no. 39-1346). The average crystallite size of the magnetic core, calculated from the 311 XRD line broadening of sample MNP using the Scherrer's formula, is about 9.3 nm. Additionally, for the MNP@Au2 sample, the XRD peaks appearing at  $38.4^\circ$  and  $44.6^\circ$  can be respectively assigned to (111) and (200) crystalline plane diffraction peaks of gold, in good agreement with standard data of gold (JCPDS file no. 040784).

Moreover, one can notice a reduction of the maghemite XRD peak intensities after Au-capping, which is more likely due to the heavy atom effect of gold as a result of the formation of Au-coated  $\gamma\text{-Fe}_2\text{O}_3$  nanoparticles.<sup>15</sup> Similar results were found in

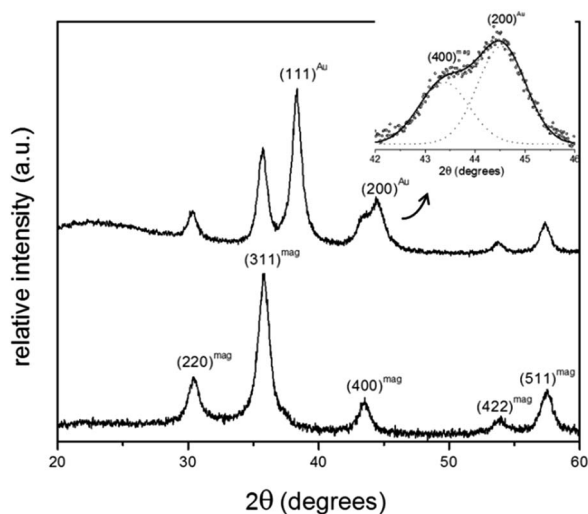


Fig. 2 Diffractograms of samples MNP (lower panel) and MNP@Au2 (upper panel). The inset shows a detail of the XRD spectrum of sample MNP@Au2 in the  $2\theta$  range of about  $42^\circ$  to  $46^\circ$ , emphasizing the deconvolution of the  $44.6^\circ$  XRD feature into two components.

the XRD data of sample MNP@Au1 (XRD data not shown here). Chemical analyses using ICP-OES revealed samples MNP@Au1 and MNP@Au2 with increasing gold content (Au/Fe<sub>2</sub>O<sub>3</sub> ratio) of 7.3% and 12.1% (w/w), in agreement with the preparation protocol. Cross-linking ICP-OES data with XRD analyses strongly supports core-shell ( $\gamma\text{-Fe}_2\text{O}_3\text{-Au}$ ) formation in samples MNP@Au1 and MNP@Au2, which is corroborated by the TEM data. As shown in Fig. 3a, maghemite nanoparticles (MNP) are polydisperse in size, but showing nearly spherical morphology. Moreover, the cubic structure of the maghemite phase is confirmed by fast Fourier transform (FFT) image shown in the inset of Fig. 3b (lower right hand-side), revealing planes (440), (311), (220) and (111) of the spinel phase. Fig. 3c shows a typical TEM image of sample MNP@Au2, with darker  $\gamma\text{-Fe}_2\text{O}_3$  nanoparticle spots (darker than in the MNP sample) due to the Au-shell. Sparse-filled (MNP) and dense-filled (MNP@Au2) histogram patterned bars, assessed from the TEM micrographs, are shown in Fig. 3d, where black solid lines are the best fit using the lognormal size distribution. From this analysis, the average diameters (polydispersity index) of maghemite (MNP) and gold-coated nanoparticles (MNP@Au2) are respectively 12.9 nm ( $\sigma = 0.34$ ) and 12.1 nm ( $\sigma = 0.30$ ). This size-reduction could be associated to leaching of the iron oxide core during gold-coating, induced by the synthesis condition, such as low pH and the presence of reducing (sodium borohydride) and complexing (sodium citrate) agents.<sup>16</sup> However, HRTEM of gold-coated nanoparticles shown in Fig. 3e clearly reveal core-shell formation with Au-shell thickness in the range of 1–2 nm, in good agreement with previous works.<sup>17</sup> Furthermore, Fig. 3f shows HRTEM of an isolated core-shell (Au- $\gamma\text{-Fe}_2\text{O}_3$ ) nanoparticle where the interfringe spacings of the face centered cubic (FCC) gold (111) and (311) reflections are clearly seen in the FFT image (upper left hand-side inset), indicating that the maghemite nanoparticles are coated with a layer of crystalline gold.

Magnetization measurements were performed for evaluating the Au-coating in the magnetic properties of the as-produced nanoparticles. Fig. 4 shows typical room temperature magnetization as a function of applied magnetic field recorded for samples MNP (red data) and MNP@Au2 (black data). We found the saturation magnetization decreasing from  $47.9 \text{ emu g}^{-1}$  (sample MNP) to  $44.6 \text{ emu g}^{-1}$  (sample MNP@Au1) and  $42.4 \text{ emu g}^{-1}$  (sample MNP@Au2), supporting the claim that a magnetic core (maghemite) is coated with a non-magnetic shell (gold).

Thus, considering there is no phase change of the magnetic core after Au-coating a Au/Fe<sub>2</sub>O<sub>3</sub> ratio of 6.6% and 11.3% (w/w) can be estimated from the magnetization data respectively for samples MNP@Au1 and MNP@Au2, in good agreement with the chemical analysis (ICP-OES). Moreover, at room temperature the as-prepared uncoated and gold-coated nanoparticles shows superparamagnetic behavior, with negligible remanence and coercivity as shown in Fig. 4 (see lower right hand-side inset). Besides, saturation magnetization of the as-prepared core maghemite is lower than typical bulk values ( $60\text{--}80 \text{ emu g}^{-1}$ ), credited to the nanometer size<sup>18</sup> and surface functionalization.<sup>19</sup> Likewise, the as-prepared samples functionalized with



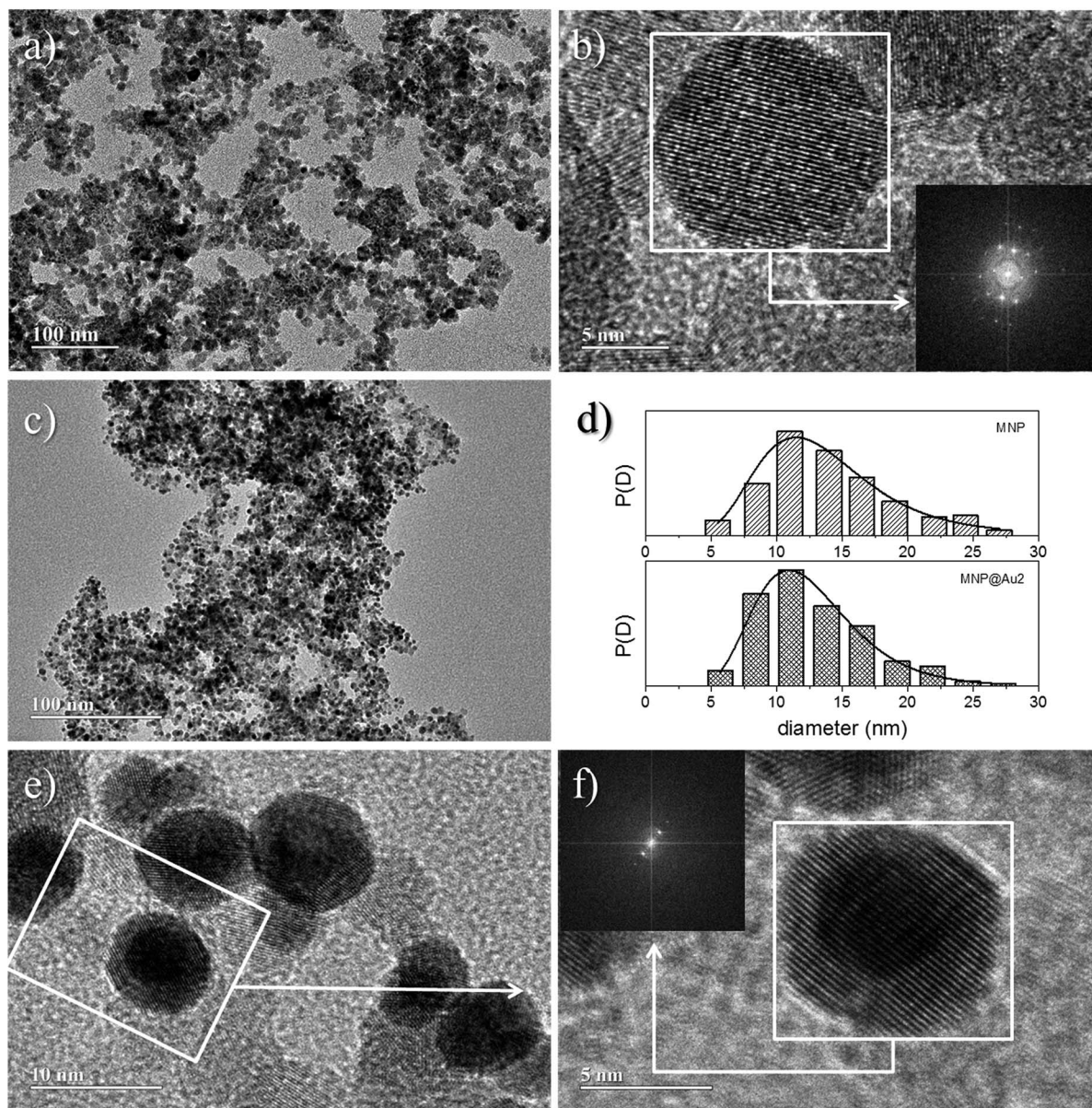


Fig. 3 TEM images of MNP (a) and MNP@Au2 (c) nanoparticles; HRTEM image of selected region in sample MNP (b); histograms of particle diameters for MNP and MNP@Au2 samples (d); HRTEM image of selected region in sample MNP@Au2 (e); magnified TEM image of the boxed region in the left image (f). The insets show the FFT calculated from the areas marked with white squares.

phthalocyanine also presented similar magnetic behavior, with no alteration of saturation magnetization. Moreover, as can be observed in the inset of Fig. 4 (upper left hand-side), separation of the magnetic nanoparticles out from the transparent solvent can be observed while adding acetone to the water-based suspension and keeping a permanent magnet attached to the sample holder for several hours. This finding strongly indicates attachment of gold and phthalocyanine onto maghemite nanoparticles.

The nature of maghemite surface-coating (gold, citrate, and/or aluminum phthalocyanine) changes the interface nanoparticle surface/solution and thus colloidal stability, which indeed affects optical properties. Therefore, DLS and UV-vis

spectroscopy were used to assess surface modification information of bare maghemite nanoparticles. Table 2 lists DLS data for the as-prepared samples. All measurements were performed at pH  $\sim$  7 and 25 °C. The  $\zeta$ -potential of citrate-coated maghemite nanoparticles (sample MNP) is highly negative (about  $-38$  mV) and is likely due to the ionization of attached citrate molecules onto the oxide surface.<sup>20,21</sup> The  $\zeta$ -potential of gold-coated nanoparticles (samples MNP@Au1 and MNP@Au2) are also highly negative, not differing significantly from the value found for citrate-coated nanoparticles in sample MNP (see Table 2). Actually, it is well known that reduction of gold in the presence of sodium citrate yields gold-coated inorganic core nanoparticles with citrate molecules attached onto the surface,



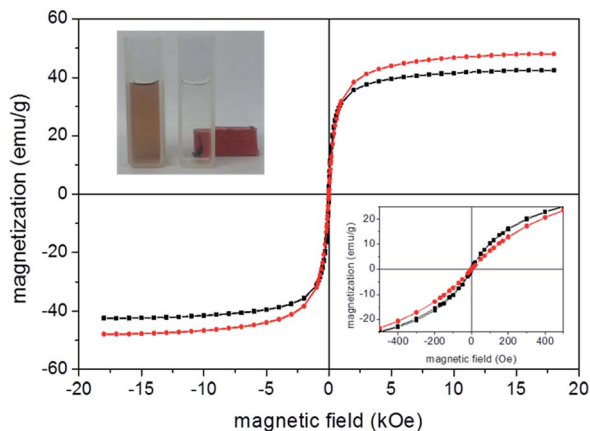


Fig. 4 Magnetization hysteresis loops at room temperature for samples MNP (red) and MNP@Au2 (black); picture of the sample MNP@Au2 under action of a permanent magnet (upper left hand-side inset); magnetization at low field range (lower right hand-side inset).

Table 2 Hydrodynamic average diameter, PDI and  $\zeta$ -potentials of samples

Sample	$D_H$ (nm)	PDI	$\zeta$ -Potential (mV)
MNP	68.1	0.23	-38.6
MNP@Au1	114.3	0.19	-34.3
MNP@Au2	156.6	0.18	-39.6
MNP/PTC	235.9	0.21	-12.6
MNP@Au/PTC	211.1	0.25	-25.5

providing a negatively charged surface for the Au-coated nanoparticle.<sup>22</sup> In the case of our samples, at neutral pH the free carboxyl groups of citrate are fully deprotonated, providing extra colloidal stability to the as-suspended nanoparticles *via* electrostatic repulsion. Magnetic separation of the as-produced sols (see inset of Fig. 4) is only achieved by adding a non-aqueous solvent, such as acetone.

Moreover, the hydrodynamic sizes of citrate-coated maghemite nanoparticles (sample MNP) increased from 68.1 nm to 114.3 nm and 156.6 nm for samples MNP@Au1 and MNP@Au2, respectively. This finding is credited to the increasing thickness of the deposited gold-shell onto the maghemite core. However, possible nanoparticle agglomeration must be considered in this analysis. On the other hand, data listed in Table 2 show that adsorption of aluminium phthalocyanine significantly reduces the  $\zeta$ -potentials of samples MNP/PTC (-12.6 mV) and MNP@Au/PTC (-25.5 mV). The colloidal stability reduces while the  $\zeta$ -potential decreases, reflecting on increasing hydrodynamic diameters after PTC coating (see Table 2). These results indicate that electrostatic interaction between negatively charged citrate-coated nanoparticles and positively charged aluminium phthalocyanine occurs, as schematically shown in Fig. 1c. Previous work<sup>8</sup> showed similar results where zinc tetrasulfonated phthalocyanine (a tetra-anion) was strongly adsorbed onto positively charged surface of maghemite nanoparticles. Furthermore, once PTC presents small charge-to-area

ratio formation of a PTC layer onto the nanoparticle surface is not enough to neutralize all negative nanoparticle surface sites and therefore a net negative surface charge still remains, but smaller than before PTC coating.

Typical UV-vis spectra of surface-coated (citrate, gold, and PTC) maghemite nanoparticles in suspension are shown in Fig. 5a. Citrated-coated maghemite nanoparticles present a wide silent feature in the visible region. On the other hand, gold-coated maghemite nanoparticles exhibit an absorption band centered around 555 nm, which is due to the surface plasmon absorption.<sup>23</sup> In fact, it is reported that the position of this band depends on the core size as well as on the Au-shell thickness.<sup>24</sup> In our case, as the ratio Au/Fe<sub>2</sub>O<sub>3</sub> increases a blue shift of the surface plasmon peak is observed (see the inset of Fig. 5a), in good agreement with previous works.<sup>25</sup> A reddish-pink color was observed in the brown tinged  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> sol after Au-coating, indicating the formation of gold shell onto maghemite nanoparticles (see Fig. 5b). Moreover, after magnetic separation of nanoparticles from suspension of sample MNP@Au2 the supernatant remains colorless (see inset of Fig. 4), supporting this claim.

Fig. 5a also shows the UV-vis spectrum of PTC solution, showing a major band at 680 nm, which is characteristic of

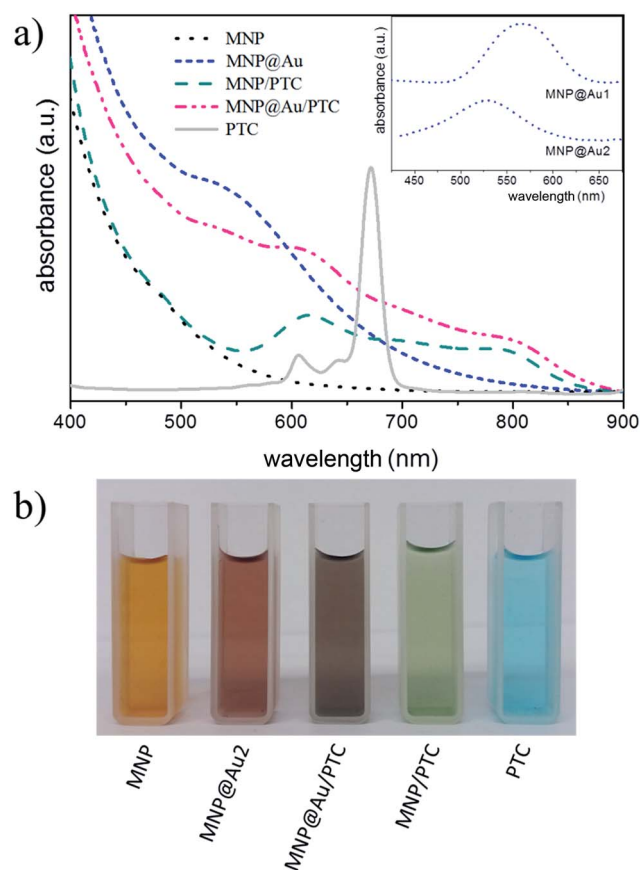


Fig. 5 (a) UV-vis spectra of samples MNP (black/dot), MNP@Au2 (blue/short-dash), PTC (gray/solid), MNP/PTC (green/dash) and MNP@Au/PTC (pink/dash-dot). The inset shows a detail of spectra of samples MNP@Au1 and MNP@Au2 in the wavelength range of about 420 to 680 nm; (b) pictures of diluted sol of synthesized samples.



phthalocyanine monomeric state. Besides, two others bands labeled at 606 nm and 640 nm are present and assigned to vibrational transition.<sup>26</sup> As shown in Fig. 5b after functionalizing maghemite (sample MNP) and gold-coated maghemite (sample MNP@Au2) nanoparticles with PTC to prepare samples MNP/PTC and MNP@Au/PTC the color of formed sols drastically changes to green and violet, respectively. Magnetic separation of suspensions of samples MNP/PTC and MNP@Au/PTC led to colorless supernatants, meaning that adsorption of PTC onto magnetic nanoparticles were effective. A surface plasmon absorption at 530 nm was still observed in sample MNP@Au/PTC, due to the Au-shell contribution.

Nevertheless, for PTC-functionalized samples (MNP/PTC and MNP@Au/PTC) the intense absorption band at 684 nm, typical of pure PTC, was practically suppressed. However, in the 606 nm and 640 nm regions, a broad band with a hypsochromic shift appears in PTC-functionalized nanoparticles (samples MNP/PTC and MNP@Au/PTC). This finding indicates interaction of PTC with magnetic nanoparticles.<sup>27</sup>

SERS was used to investigate the interaction of the PTC molecule with the nanoparticle's surface. The SERS spectra of the PTC-functionalized (MNP@Au/PTC) and alcoholic medium of PTC are displayed in Fig. 6a. For comparison, the conventional Raman spectrum of the PTC powder<sup>28</sup> is also displayed in Fig. 6a. All the spectra were normalized using areas integrated in the range of 400 to 1800  $\text{cm}^{-1}$ . The SERS spectrum of the PTC molecule in both alcoholic medium (Fig. 6a(ii)) and MNP@Au/PTC (Fig. 6a(iii)) differs significantly from that of powder PTC (Fig. 6a(i)). As shown in Fig. 6b (a detail of the spectra in the wavelength range of 1560 to 1700  $\text{cm}^{-1}$ ), the main spectral differences with respect to the PTC powder are firstly associated with the shift of C–N modes (from 1521 to 1502  $\text{cm}^{-1}$  and 1535 to 1525  $\text{cm}^{-1}$ ). Moreover, we observed enhancement of relative intensities of in-plane skeletal modes of PTC (around 1144, 1338, and 1440  $\text{cm}^{-1}$ ), quenching of out-of-plane skeletal modes of PTC (around 750, 783, 1110, and 1305  $\text{cm}^{-1}$ ), and the onset of extra vibrational modes of PTC in the region of 1550–

1750  $\text{cm}^{-1}$ . Taking into account selection rules, which state that a planar-like molecule adsorbed perpendicularly (parallel) to a metal surface shows enhancement of the in-plane (out-of-plane) vibrational modes while compared to the out-of-plane (in-plane) ones,<sup>29</sup> our findings suggest that the PTC molecule in MNP@Au/PTC is likely anchored perpendicularly to the probing silver film. However, extra peaks at 1578 and 1635  $\text{cm}^{-1}$  can be observed only in the SERS spectrum of PTC in alcoholic medium, but not in sample MNP@Au/PTC. This finding strongly suggests that the PTC molecules in alcoholic medium are much more free to interact with the probing silver film, favoring perpendicular anchoring. Differently, in the MNP@Au/PTC sample parallel and perpendicular anchoring of the adsorbed PTC molecules with the probing silver film can be expected, leading to the quenching of totally symmetric modes (1190 and 1210  $\text{cm}^{-1}$ ) and the onset of forbidden ones, such as those observed at 960 and 1270  $\text{cm}^{-1}$ .

### Nanocytotoxicity

The cytotoxicity of the nanoparticles on keratinocyte cells after 24 hours of exposure is illustrated in the Fig. 7. The sample MNP and MNP@Au1 presented significant decrease of cell viability in all concentrations (6.25 to 100  $\mu\text{g mL}^{-1}$ ). However, only MNP at higher concentration showed a significant biologically decrease of 30% of cell viability. At others concentrations, the decrease was about 5 to 10%, which is not considered biologically significant. The nanoparticle MNP@Au2 did not demonstrate cytotoxic activity in any concentration tested, probably due to the presence of gold that turned the nanostructure more biocompatible. In parallel, the nanoparticles associated to phthalocyanine were more cytotoxic.

In fact, the MNP/PTC showed a dose-dependent activity and reduced the cell viability of 20 to 35%. The MNP@Au/PTC also demonstrated cytotoxic activity of 17 to 32%, but independent of the concentration. The effect of the samples on the HaCAT cell viability after 72 h of incubation is shown in Fig. 8. The MNP

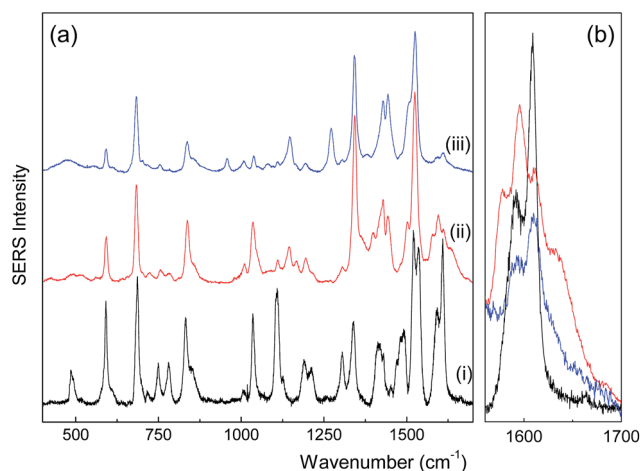


Fig. 6 SERS spectra of (a) solid PTC (i), alcoholic medium of PTC (ii) and sample MNP@Au/PTC (iii) and (b) detail of the SERS spectra in the wavelength range of about 1560 to 1700  $\text{cm}^{-1}$ .

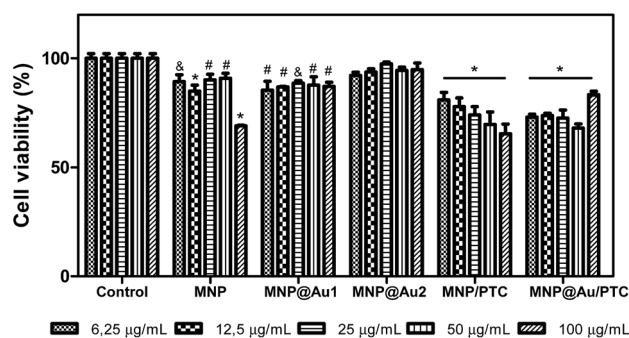


Fig. 7 Percent cells viability of keratinocytes – HaCAT in presence of nanostructures: maghemite (MNP), maghemite coated with gold (MNP@Au1) and maghemite coated with 2X gold (MNP@Au2), MNP conjugated with phthalocyanine of aluminium (MNP/PTC) and MNP@Au conjugated with phthalocyanine of aluminium (MNP@Au/PTC) after 24 hours of incubation. Ultrapure water was used as negative control. Viability assay by MTT. Significantly different from the control: \* $P < 0.001$  – # $: P < 0.01$  – & $: P < 0.05$ .



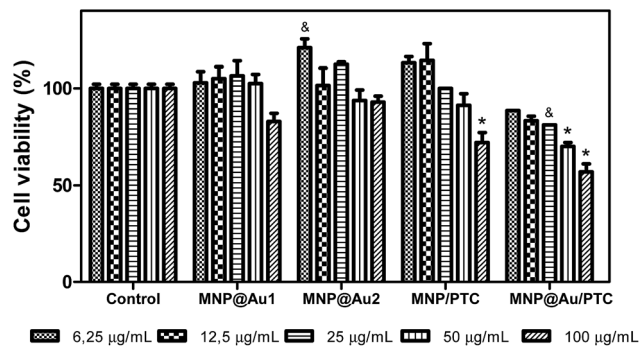


Fig. 8 Percent cells viability of keratinocytes – HaCAT in presence of nanostructures: MNP@Au1, MNP@Au2, MNP/PTC and MNP@Au/PTC after 72 hours of incubation. Ultrapure water was used as negative control. Viability assay by MTT. Significantly different from the control: \* $P < 0.001$  – &:  $P < 0.05$ .

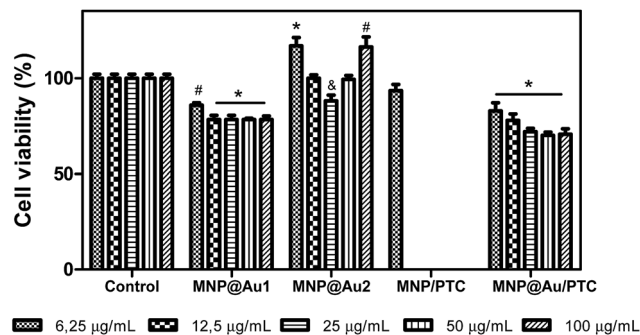


Fig. 10 Percent cells viability of fibroblasts – NIH3T3 in presence of nanostructures: MNP@Au1, MNP@Au2, MNP/PTC and MNP@Au/PTC after 72 hours of incubation. Ultrapure water was used as negative control. Viability assay by MTT. Significantly different from the control: \* $P < 0.001$  – #:  $P < 0.01$  – &:  $P < 0.05$ .

is not represented in the graph because the sample precipitate during the experiment making unfeasible the analysis of the cell viability. It is possible to observe that MNP@Au1 and MNP@Au2 did not show cytotoxic activity. The nanoparticles coated with phthalocyanine showed a dose-dependent activity. The MNP/PTC decreased the cell viability about 30% at higher concentration, similar to the activity observed after 24 hours of exposure. In parallel, the MNP@Au/PTC decreased the cell viability about 45% at  $100 \mu\text{g mL}^{-1}$ , more than the decrease observed after 24 hours of exposure. Therefore, the MNP@Au/PTC was more efficient after 72 hours of exposure than the MNP/PTC. The activity of the nanoparticles on the fibroblast cell viability was also investigated after 24 and 72 hours of exposure.

Fig. 9 shows the result after 24 hours. In this analysis, it is possible to observe that all samples demonstrated significant decrease of cell viability. The MNP and MNP@Au1 presented a decrease of cell viability about 30 to 40% and 20 to 30%, respectively, with the increase of concentrations. The MNP@Au2 demonstrated less activity with reduction of cell viability of only 20%. This reduction of toxicity can be explained by the presence and increment on the gold shell which allowed improving the biocompatibility, in good agreement with

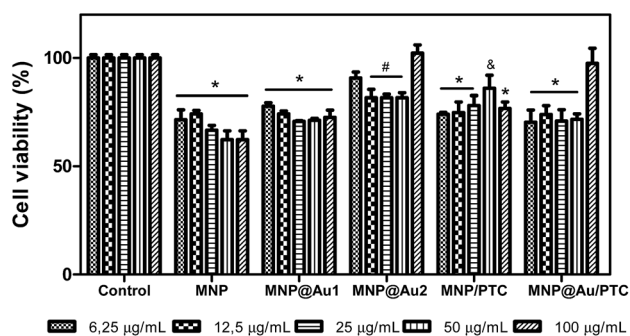


Fig. 9 Percent cells viability of fibroblasts – NIH3T3 in presence of nanostructures: MNP, MNP@Au1, MNP@Au2, MNP/PTC and MNP@Au/PTC after 24 hours of incubation. Ultrapure water was used as negative control. Viability assay by MTT. Significantly different from the control: \* $P < 0.001$  – #:  $P < 0.01$  – &:  $P < 0.05$ .

previous work.<sup>30</sup> The sample with phthalocyanine reduced the cell viability in about 30%. Only the higher concentration of the MNP@Au/PTC did not demonstrated cytotoxic activity. After 72 hours of exposure, MNP in all concentrations and MNP/PTC at  $12.5$  to  $100 \mu\text{g mL}^{-1}$  precipitate during the experiment making unfeasible the analysis of the cell viability. The MNP@Au1 induced reduction of cell viability in about 20%. In parallel, the MNP@Au2 only showed decrease of the cell viability of 10% at  $25 \mu\text{g mL}^{-1}$ , which is not considered biologically significant.

The MNP@Au2 showed biocompatible property in fibroblast cells line NIH3T3. The MNP/PTC did not present cytotoxic activity at  $6.25 \mu\text{g mL}^{-1}$  after 72 hours of exposure. However, MNP@Au/PTC demonstrated a decrease of cell viability with the increase of concentration from 20 to 30%, which is more than after 24 hours of exposure (Fig. 10).

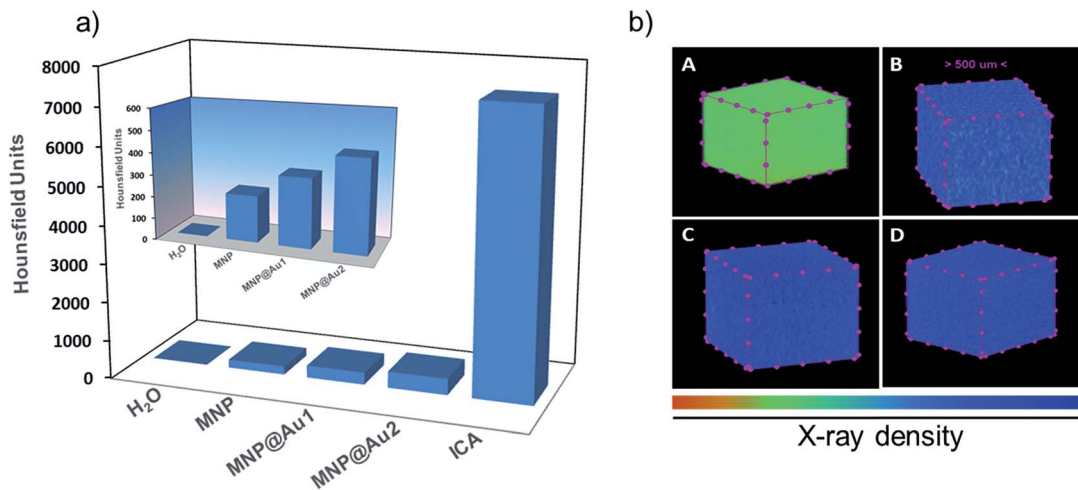
An important remark is that toxicity studies of PTC-conjugated nanoparticles were carried out under light. As a result, radiation excites the light-sensitive PTC, generating cytotoxic species,<sup>31</sup> essential tool for PDT application and result that could explain the deflated biocompatibility of these nanostructures.

### Computed tomography

Fig. 11a shows the CT signal intensity, in HU, for bare maghemite (MNP) and gold-shelled MNP@Au1 and MNP@Au2 sols, compared to water (a negative control) and a positive control, an iodine-based contrast agent (ICA). The inset shows a detail of the CT signal for samples MNP, MNP@Au1 and MNP@Au2, compared to water. Moreover, qualitative images that represent the X-ray cell density of these samples are shown in Fig. 11b.

As already reported,<sup>23</sup> Au-shelled magnetic iron oxide nano-hybrids show high CT attenuation mostly due to the presence of Au-shell, once Fe in the core displays weaker X-ray attenuation than Au in the shell due to the lower atomic mass of the former. Moreover, this attenuation is concentration dependent and increases with the content of the active element (*i.e.* the Au-shell thickness). For the same maghemite content ( $0.4 \text{ mg mL}^{-1}$ ) we found the X-ray attenuation increasing as the thickness of the Au-shell increased in the range of 1–2 nm, in good agreement





**Fig. 11** (a) X-ray attenuation ability of MNP, MNP@Au1, MNP@Au2, water (negative control) and iodine-based contrast agent (positive control). The inset – highlight for samples MNP, MNP@Au1, MNP@Au2 and water; (b) 3D qualitative images obtained for water (A), MNP (B), MNP@Au1 (C) and MNP@Au2 (D) samples, produced in an artificial colored scale presented at the bottom of the figure.

with the literature. While compared to water the CT signals of samples MNP@Au1 and MNP@Au2 are much higher, though smaller than the CT signal of ICA, which was used without dilution whereas the as-produced nanohybrid sols were highly diluted. The CT signal of sample MNP@Au2 (Au concentration  $\sim 0.05 \text{ mg mL}^{-1}$ ) was about 400 HU, which is much higher than the value reported for similar Au-shelled magnetic materials at  $5 \text{ mg mL}^{-1}$  gold concentration: such as  $\sim 48 \text{ nm}$  sized Au-shelled maghemite nanoparticles ( $\sim 240 \text{ HU}$ );<sup>32</sup>  $\sim 35 \text{ nm}$  sized gold-magnetite nanohybrid ( $\sim 190 \text{ HU}$ );<sup>33</sup>  $\sim 20 \text{ nm}$  polymer assembly with multicore Au- $\text{Fe}_3\text{O}_4$  entrapped nanoparticles (280 HU);<sup>12</sup> and  $\sim 150 \text{ nm}$  sized  $\text{Fe}_3\text{O}_4$ -Au core/shell nanostars ( $\sim 200 \text{ HU}$ ).<sup>34</sup> The higher efficient in CT attenuation found in the as-synthesized nanohybrids herein reported is likely due to an effective gold-coating of the magnetic core, reduced size of the nanohybrid and improved colloidal stability of the sols. Actually, aggregation of the nanomaterial strongly influences the X-ray attenuation and therefore the higher the sol stability the stronger the X-ray attenuation effect.<sup>35</sup> Moreover, for the same gold mass the smaller the core size the better the CT response once more attenuation centers will be available in a given volume.<sup>36</sup> Worth mentioning that in all cited works the average diameter of Au-shelled nanoparticles is above 20 nm, which is larger than the values reported in the present study (below 13 nm).

Moreover, despite the high X-ray attenuation, the contrast agents commercially available – which present low molecular weight – are rapidly eliminated by the kidneys, disabling their use in pre-clinical trials. In contrast, the kind of nanoparticles used here present long residence time in the blood stream, overcoming the challenge of pre-clinical tests.

## Conclusions

In conclusion, citrate-coated maghemite nanoparticles were elaborated *via* aqueous co-precipitation, following oxidation and citrate functionalization *via* an improved chemical route herein

described. Gold shells were successfully deposited onto magnetic cores by reducing  $\text{Au}^{3+}$  ions in the presence of  $\gamma\text{-Fe}_2\text{O}_3$  nanoparticles during 2 cycles of borohydride seeding. Moreover, we succeed in modulating the gold shell thickness while increasing the Au/ $\gamma\text{-Fe}_2\text{O}_3$  ratio during seeding. Successful gold-shelling was confirmed by different characterization techniques. Particularly interesting were the optical absorption measurements, showing a blueshift depending on the shell thickness. All samples presented a long-term colloidal stability in physiological pH, even after functionalization with aluminium phthalocyanine (PTC), as confirmed by DLS measurements.

Moreover, according to *in vitro* nanocytotoxicity assays the gold-shelling enhanced the biocompatibility of the  $\gamma\text{-Fe}_2\text{O}_3$  nanoparticles. Differently from previous works, in which functionalization with PTC requires several steps to complete, extra-cappings and/or cross-linking molecules to be covalently attached to nanoparticles, our data indicated strong electrostatic coupling between PTC and the nanoparticle surface, yet accomplished by a single-step process. Impressively, the gold-shelled nanoparticles revealed efficient response as a contrast agent in computed tomography, dependent upon the Au/ $\gamma\text{-Fe}_2\text{O}_3$  ratio. Possible application of the as-elaborated core-shell nanoparticles will derive from the combination of magnetic properties of the core (maghemite) and the optical properties of the shell (gold). From the therapeutic point of view, the as-elaborated samples represent a promising multi-task platform to perform magnetic hyperthermia (core) combined or not with photothermal therapy (shell) and/or photodynamic therapy (PTC functionalization). Taking into account the diagnosis approach, the nanomaterials herein reported can also be used as optical probes and contrast agents in *in vivo* medical imaging techniques.

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