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# Green synthesis, physio-chemical characterization and anti-candidal function of a biocompatible chitosan gold nanocomposite as a promising antifungal therapeutic agent†

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A chitosan gold nanocomposite (CAuNC) was green synthesized using chitosan and gold(III) chloride trihydrate without using a reducing agent. The formation and crystalline nature of the gold nanoparticles (AuNPs) in CAuNC were confirmed by UV-visible spectrum and XRD analysis. The average particle size and zeta potential of CAuNC were 308 nm and +37.45 mV, respectively. The CAuNC contains 13.56% (w/w) of Au, and released 8.56 ppb Au ions into potato dextrose broth (PDB). The CAuNC was evaluated for its antifungal activities using *Candida albicans* as a model organism, and the minimum inhibitory concentration (MIC) and minimum fungicidal concentration (MFC) were recorded as 50 and 75  $\mu\text{g mL}^{-1}$ , respectively. Propidium iodide (PI) uptake and FE-SEM analysis results suggest that CAuNC enhances the permeability and structural changes of *C. albicans* cells in a concentration dependent manner. Exposure of CAuNC increased the mitochondrial membrane potential, and dysfunctions of mitochondria could induce the reactive oxygen species (ROS) level and increase the oxidative stress. Moreover, the expression of some *C. albicans* proteins has decreased after CAuNC treatment. The CAuNC was nontoxic to mammalian A549 and HEK293T cells up to 100  $\mu\text{g mL}^{-1}$  suggesting that it can be applied to *in vivo* tests. *C. albicans* infected zebrafish recovered after CAuNC therapy as a topical treatment, suggesting that CAuNC is a potential antifungal agent against candidiasis. This report illustrates the eco-friendly approach of synthesizing biologically active CAuNC as a potent antifungal agent against *C. albicans*.

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## 1. Introduction

Chitosan is a ubiquitous natural biopolymer, and it has been intensively studied in various industries such as food, pharmaceutical, cosmetic and bioengineering.<sup>1–5</sup> Properties of chitosan can be upturned by changing the chemical structure as well as incorporating external nanoparticles (NPs) with its polymer matrix.<sup>1</sup> Recently, much attention has been devoted to make hybrid materials of nano-sized metals or metal oxide with chitosan, owing to their unique properties, like photo

catalysts<sup>5,6</sup> and antimicrobial agents.<sup>7</sup> Vast arrays of metal-chitosan composites have been synthesized using copper, silver, platinum and palladium.<sup>8</sup> This hybridization could enhance the properties of each single component in composites. The special properties of adopted composites have also been widely investigated. Since, chitosan contains a large number of reactive hydroxyl (–OH) and amino (–NH<sub>2</sub>) groups, it can act as a natural capping agent during the synthesis of NPs, and is also able to prevent the aggregation of synthesized metal NPs.<sup>4,9</sup>

Chitosan nanocomposites such as chitosan nano hydroxyapatite-fucoidan (in bone tissue engineering) and chitosan gel embedded moxifloxacin niosomes (as an antimicrobial hybrid system), are now being widely tested in biomedical fields.<sup>10,11</sup> Several authors reported the antimicrobial properties of chitosan nano-silver and chitosan silver oxide composites.<sup>12,13</sup> Among various inorganic metal NPs, AuNPs have exhibited specific properties, such as high catalytic efficiency, nontoxicity, chemical stability and easy surface functionalization.<sup>14</sup> AuNPs have been extensively used in drug delivery, intracellular gene regulation, bioimaging (as contrast agents), anti-inflammatory and anticancer therapy.<sup>15,16</sup> Furthermore,

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antimicrobial activity of AuNPs has been recently demonstrated against both bacteria and fungi.<sup>17</sup> *C. albicans* is currently considered as the fourth and third-leading causative agent of hospital-acquired bloodstream and urinary tract infections, respectively.<sup>18</sup> More importantly, *C. albicans* causes life-threatening infections in immunocompromised patients who suffer from immune dysfunction.<sup>19</sup> Since last century, *C. albicans* has played an indispensable role in health care-related infections.<sup>20,21</sup> Therefore, it is in higher priority to discover nontoxic as well as nonresistant antifungal agents against *C. albicans*.

Hence, we initiated this study to synthesize the CAuNC using chitosan and gold(III) chloride trihydrate ( $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$ ) without adding a reducing agent. The CAuNC was characterized for particle size, zeta potential, UV-Vis absorption, X-ray diffraction (XRD), field emission transmission electron microscopy (FE-TEM), field emission scanning electron microscopy (FE-SEM), inductively coupled plasma-atomic emission spectroscopy (ICP-AES), inductively coupled plasma atomic mass spectrometry (ICP-MS) and thermal gravimetric analysis (TGA). We investigated *in vitro* and *in vivo* antifungal efficacy of synthesized CAuNC against pathogenic *C. albicans*. In order to discover the *in vitro* antifungal activity of CAuNC, *C. albicans* was tested under various parameters such as MIC, MFC, cell viability, ROS production, mitochondrial membrane potential and change in cell membrane permeability. *In vivo* antifungal activity of CAuNC was tested using zebrafish model. Based on available literature, this is the first report of relatively nontoxic chitosan based Au composite with antifungal activity against *C. albicans*. According to our findings, we conclude that CAuNC has strong anticandidal activity. Therefore, this product will be considered for further investigations as a potential novel antifungal agent against other pathogenic fungi as well.

## 2. Experimental details

### 2.1 Synthesis and characterization of CAuNC

Chitosan solution (0.2% w/v) was prepared by dissolving the chitosan flakes having the molecular weight of 375 kDa (Showa, Japan) in 0.01 M acetic acid (Sigma Aldrich, USA) at 65 °C. Then, 4 mL of 10 mM  $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$  (Sigma Aldrich, USA) was added dropwise into the chitosan solution. The mixture was heated at 90 °C for 15 min while stirring. The color of the mixture was changed from colorless to purple, which indicates the formation of AuNPs. The resulting suspension was filtered and washed 3 times using distilled water and, then dried in vacuum oven at 60 °C for 6 h.

The optical absorption spectrum of the AuNPs was obtained by UV-Vis spectrometer (Mecasys, Republic of Korea). The morphology and particle size of the AuNPs in the CAuNC were evaluated using FE-TEM (Model Tecnai G2 F30 S-Twin, FEI, USA) operating at 300 keV. The XRD of the chitosan and CAuNC was obtained using powder XRD analysis *via* Philips PW 1710 diffractometer with Cu K $\alpha$  radiation ( $\lambda = 1.5406 \text{ \AA}$ ) and graphite monochromator, operated at 45 kV; 30 mA and 25 °C. Additionally, FE-SEM coupled with energy dispersive X-

ray spectroscopy (FE-SEM, JSM 7000F-EDS, USA) was used to confirm the AuNPs in the composite. The amount of Au in the synthesized CAuNC was determined by ICP-AES (Perkin-Elmer Optima, USA). The thermal stability of CAuNC (4–5 mg) was determined by TGA, which was performed on a Mettler TGA/DSC 1 (Mettler Toledo STAR<sup>e</sup> System, UK) thermal analyzer under nitrogen atmosphere at a heating rate of 5 °C min<sup>-1</sup>. Particle size distribution and zeta potential of CAuNC were determined by Zetasizer S-90 Malvern instruments (Malvern, UK). ICP-MS analysis was performed to detect the amount of Au ions released from the CAuNC. Briefly, the CAuNC (MIC level concentration) were suspended in the PDB media and incubated overnight at 30 °C for 24 h. Then, the suspension was centrifuged at 18 000 rpm for 45 min, and 500  $\mu\text{L}$  portion of the supernatant was acidified with 500  $\mu\text{L}$  of ultra-high purity nitric acid. Finally, it was used for elemental analysis by ICP-MS (ICP-MS Agilent 4500, USA).

### 2.2 *C. albicans* and growth conditions

*C. albicans* was obtained from the Korean Collection for Type Culture (KCTC 27242). A single colony from fresh potato dextrose agar (PDA) plate was cultured in PDB under aerobic condition at 30 °C for 24 h in a shaking incubator at 180 rpm. The *C. albicans* cells were centrifuged at 3500 rpm for 10 min to harvest the cells. The harvested cells were washed with phosphate buffered saline (PBS, pH 7.4) followed by re-suspension in PBS (OD<sub>600</sub> 0.1) to adjust the desired concentration of 10<sup>6</sup> colony-forming units per milliliter (CFU mL<sup>-1</sup>), which was calculated using a hemocytometer.

### 2.3 Analysis of *in vitro* anticandidal activity of CAuNC against *C. albicans*

*In vitro* anticandidal activities were assayed to make the growth profile and to determine the MIC and MFC. Briefly, *C. albicans* cells were inoculated in PDB medium containing different concentrations of CAuNC and 0.25% acetic acid (solvent control). The growth inhibition of *C. albicans* was studied with the presence of CAuNC (25, 50, 75 and 100  $\mu\text{g mL}^{-1}$ ) and nystatin (10  $\mu\text{g mL}^{-1}$ ) as a positive control in microtiter plates. Growth level of *C. albicans* was monitored at different time intervals (0, 1, 2, 3, 4, 5, 6, 7, and 8 h) by measuring the absorbance at 600 nm. After 24 h incubation at 30 °C, the lowest concentration that inhibited the visible growth of the *C. albicans* was considered as the MIC of CAuNC. The CAuNC treated *C. albicans* culture samples (100  $\mu\text{L}$ ) from the MIC level or above MIC level were plated on PDA plates and incubated for additional 24 h at 30 °C. Minimum concentration which did not possess *C. albicans* colonies on solid PDA media was considered as the MFC of CAuNC. The number of CFU were calculated according to standard method by counting the colonies on the each plate.

### 2.4 Determination of the viability of *C. albicans* after CAuNC treatment

Effects of CAuNC on the viability of *C. albicans* were tested by MTT assay. *C. albicans* was cultured in PDB for 10<sup>6</sup> CFU mL<sup>-1</sup>



and treated with the different concentrations of CAuNC agents (25, 50, 75 and 100  $\mu\text{g mL}^{-1}$ ) at 30 °C for 24 h shaking incubator at 180 rpm. Then, culture was centrifuged at 3500 rpm for 10 min and cells were washed with PBS. For the assessment of cell viability, cells were allowed to react with 20  $\mu\text{L}$  of MTT (3-(4,5-dimethyl-2-thiazolyl)-2,5-diphenyl-2H-tetrazolium bromide, Sigma Aldrich, USA) solution for 30 min. Then the samples were resuspended with dimethyl sulfoxide (DMSO, Sigma Aldrich, USA), and cell viability was evaluated by measuring the OD at 570 nm using a microplate reader (Bio-Rad, USA).

## 2.5 Analysis of morphological changes of *C. albicans* after CAuNC treatment

Samples were prepared to observe the morphological changes of *C. albicans* upon CAuNC treatment as described by Kumar *et al.*<sup>22</sup> Briefly, FE-SEM analysis was conducted using *C. albicans* cells ( $10^6$  CFU  $\text{mL}^{-1}$ ) which were treated with CAuNC (50 and 75  $\mu\text{g mL}^{-1}$ ) for 6 h. Treated cells were pelleted, washed using PBS, and pre-fixed with 2.5% glutaraldehyde for 30 min. The pre-fixed cells were again washed by PBS, and serially dehydrated using 30, 50, 70, 80, 90 and 100% ethanol. The fixed cells were dried and coated with platinum using ion sputter (E-1030, Hitachi, Japan). Treated samples were observed by FE-SEM (S-4800, Hitachi, Japan).

## 2.6 Analysis of the effect of CAuNC on membrane permeability of *C. albicans*

Changes in membrane permeability was investigated by determining the uptake of PI (Sigma Aldrich, USA). PI is a high affinity nuclear stain that penetrates into compromised cell membranes and fluorescence upon binding to nucleic acids.<sup>23</sup> Briefly, cell suspensions of the control and CAuNC treated samples (MIC and MFC levels) were centrifuged (5000 rpm for 2 min) and the pellets were resuspended in PBS. The treated cells were incubated with PI (5  $\mu\text{g mL}^{-1}$ ) at 30 °C for 15 min in dark. Subsequently, over staining were washed twice with PBS. Finally, one drop of each suspensions was placed on the cover slip and fluorescence images were observed using a Carl Zeiss LSM 5 Live confocal laser scanning microscope (CLSM) scan head integrated with the Axiovert 200 M inverted microscope (Carl Zeiss, Jena, Germany). *C. albicans* cells were observed through a 40  $\times$  1.3 oil objective. PI was excited with the 543 nm laser line and the emission was recorded through a 585 nm long-pass filter.

## 2.7 Effect of CAuNC on ROS production

Accumulation of ROS was quantified using 2',7'-dichlorodihydrofluorescein diacetate ( $\text{H}_2\text{DCFDA}$ ) based flow cytometric analysis (Sigma Aldrich, USA). Briefly, *C. albicans* culture ( $10^6$  CFU  $\text{mL}^{-1}$  in PDB) with different concentrations of CAuNC (0 to 75  $\mu\text{g mL}^{-1}$ ) was kept in a shaking incubator and harvested by centrifugation at 5000 rpm for 2 min. ROS generated cells were stained with  $\text{H}_2\text{DCFDA}$  (30  $\mu\text{g mL}^{-1}$ ) followed by 30 min incubation at room temperature and harvested by centrifugation at 5000 rpm for 2 min. Cells were washed with  $\times 1$  PBS to quantify ROS level by FACSCalibur flow cytometer (Becton Dickinson,

USA). In addition, dichloro-fluorescein (DCF) fluorescence was measured using laser scanning confocal microscopy at an excitation wavelength of 488 nm and an emission wavelength of 535 nm.

## 2.8 Effect of CAuNC on mitochondrial membrane potential

The mitochondrial membrane potential was assessed using a fluorescent probe, rhodamine 123 (Rh-123) (Sigma Aldrich, USA) according to the method described by Chen *et al.*<sup>24</sup> Briefly, *C. albicans* cells at a density of  $10^6$  CFU  $\text{mL}^{-1}$  in PDB were treated with CAuNC (0, 50 and 75  $\mu\text{g mL}^{-1}$ ) for 6 h at 30 °C. After cells were washed and resuspended in PBS, Rh-123 (final concentration, 10  $\mu\text{g mL}^{-1}$ ) was added to the cell suspensions in the dark at room temperature for 30 min. The cells were centrifuged at 3500 rpm for 5 min and the pellet was resuspended in 1 mL PBS. The fluorescence of *C. albicans* cells were measured using laser scanning confocal microscopy (LSM 5 Live, Carl Zeiss, Jena, Germany).

## 2.9 Analysis of CAuNC effect on protein expression by SDS-PAGE

SDS-PAGE was performed to determine the effect of CAuNC on protein expression of *C. albicans* cells. The culture media with *C. albicans* cell suspensions ( $3 \times 10^6$  cells per mL) were treated with 50 and 75  $\mu\text{g mL}^{-1}$  of CAuNC, and incubated for 6 h. Cells were collected by centrifugation (12 000 rpm at 4 °C for 5 min), and supernatant of each media was discarded. Then, the resulted precipitate was rinsed twice with PBS and resuspended in 1 mL of PBS. Cell samples were sonicated (Sonicvibra-cell R-VCX750) at 750 W, 20 kHz for 1 min, and centrifuged at 12 000 rpm at 4 °C for 10 min. Protein concentration was quantified using the Nano Drop 2000 (Thermo Scientific, USA), and 12% SDS-PAGE was run according to the method described by Laemmli.<sup>25</sup> Finally, band polymorphism was analyzed by Coomassie brilliant blue staining.

## 2.10 Analysis of CAuNC cytotoxicity on mammalian cells

To determine the cytotoxic level, A549 (human lung adenocarcinoma epithelial, ATCC-185) and HEK293T (human embryonic kidney, ATCC-11268) cells were treated with CAuNC and determined the cell viability. Both types of cells were maintained in high-glucose Dulbecco's modified Eagle's medium (DMEM, Invitrogen, USA) with 1% antibiotic/antimycotic solution (Gibco, USA) and 10% fetal bovine serum (FBS) (Hyclone, USA). Cells were seeded in 96-well flat bottom microtiter plates at a density of  $1.5 \times 10^4$  cells per well with 100  $\mu\text{L}$  of medium and incubated at 37 °C in 5%  $\text{CO}_2$  atmosphere. After 12 h of culturing, the medium was aspirated out, and cells were washed with PBS. Each well was treated with different CAuNC concentrations (0–100  $\mu\text{g mL}^{-1}$ ), and medium only was used as a control. Ultimately, cell viability was determined after 48 h post treatment using cell counting kit-8 (Dojindo Molecular Technologies, Inc, USA) following the manufacturer's protocol.





### 2.11 *In vivo* efficacy of CAuNC upon *C. albicans* infection in zebrafish model

Effectiveness of CAuNC as antifungal agent upon *C. albicans* infection was tested using the zebrafish model according to the method described previously.<sup>26</sup> Briefly, total of 54 zebrafish (average weight: 0.35 g) were divided into three groups ( $n = 18$ ), consisting each group with 3 replicates. The zebrafish were maintained under standard culturing conditions described previously.<sup>27</sup> The fish were anesthetized in system water containing 0.17 g mL<sup>-1</sup> of buffered tricaine (Sigma, USA). Control and treatment group fish were injected with 3  $\mu$ L spores at a dose of  $3 \times 10^6$  cell per fish by using Hamilton® syringe (10  $\mu$ L). After the infection, the fish were returned to the recovery tanks immediately, and kept in separate 4 L tank. After 12 hour post infection (hpi), all the fish in each treatment were anesthetized, and CAuNC (50  $\mu$ g per fish) was applied at the site of fungal injection, then released back to the aquarium tanks. For the control group PBS (3  $\mu$ L per fish) was applied. Amphotericin B (5  $\mu$ g per fish) was used as positive control. Treatment was carried out in every 12 h, as above until 120 hpi. The cumulative mortality of zebrafish was recorded at 120 hpi. To examine the infection in zebrafish, histological analysis was performed at 72 hpi. For histopathological studies, fish were euthanized using an overdose of tricaine and fixed in 10% neutral buffered formalin for 24 h. The fish were then washed with  $\times 1$  PBS for 12 h and transferred to 0.5% EDTA (50 mL per fish) for decalcification for 3 days followed by washing with  $\times 1$  PBS for 12 h. Sample processing was done for 12 h (Leica® TP1020 Semi-enclosed Benchtop Tissue Processor, Germany), and after processing the site of infection was separated, embedded in paraffin (Leica® EG1150 Tissue Embedding Center, Germany) sectioned into 4  $\mu$ m thickness (Leica® RM2125 microtome, Germany). To identify the fungus in the tissue, serial transverse tissue sections were stained with periodic acid-Schiff stain (PAS). The samples were examined using light microscope (Leica® 3000 LED, Germany). The images were captured by LEICA DCF450-C camera.

### 2.12 Statistical analysis

All the data related to the cell viability were illustrated as means  $\pm$  SD for triplicate reactions. Statistical analysis was performed using unpaired, two-tailed *t*-test to calculate the *P*-value using GraphPad program ver. 6 (GraphPad Software, Inc.). The significant difference was defined at  $P < 0.05$ .

## 3. Results and discussion

### 3.1 Synthesis and characterization of CAuNC

The present study was conducted to synthesize CAuNC aiming to develop it as biodegradable and relatively nontoxic antifungal agent against *C. albicans*. In this synthesis process, we used chitosan as a reducing agent without adding any external chemical reducing source. Therefore, our method could be considered as 'green synthesis' approach for producing CAuNC. As suggested by Twu *et al.*, degraded products of chitosan (*e.g.*, glucosamide) may provide electrons to act as a reducing agent.<sup>28</sup>

The CAuNC was characterized for its physiochemical properties. Initially, formation of CAuNC was confirmed by observing the color change of chitosan–Au solution from colorless to purple (Fig. 1A). UV-Vis spectroscopy analysis was used to confirm the formation AuNPs. UV-Vis spectrophotometry revealed the strong absorption peak at 540 nm (Fig. 1B). Chitosan solution alone did not show any peak in the entire spectral range of 300–700 nm. UV-Vis absorption spectra results showed that characteristic surface plasma resonance (SPR) band in the visible region between 500–600 nm (peak at  $\sim$ 540 nm). This SPR resulting from the photon confinement to a small particle size, and it enhances all the radiative and non-radiative properties of the NPs.<sup>18</sup>

FE-TEM image of the CAuNC was used to assess the shape, size and the uniformity of the AuNPs. According to Fig. 1C, it was clear that formation of spherical and triangle shaped AuNPs with a diameter ranging from 15–30 nm. Moreover, FE-TEM analysis results confirmed that AuNPs in the CAuNC were highly stable with relatively few aggregates of AuNPs. During the process of AuNPs formation, already synthesized Au nanotriangles behaved as nuclei to make further into anisotropic triangular structures. It was described that the excess free energy per unit area for a particular crystallographic face is the surface energy which determines the crystal growth of NPs.<sup>17</sup> A representative XRD profile of the CAuNC which displays the structural information and crystallinity are shown in Fig. 1D. When chitosan reacted with HAuCl<sub>4</sub>·3H<sub>2</sub>O, the diffraction peak at  $2\theta = 38.1^\circ$ ,  $44.5^\circ$ ,  $64.2^\circ$  and  $77.7^\circ$  were shown to be assigned to the (1 1 1), (2 0 0), (2 2 0) and (3 1 1) planes of a face centered cubic (fcc) lattice of Au. The XRD pattern of AuNPs (fcc) was consistent with the previous report of AuNPs data.<sup>18,19</sup> For comparison, XRD pattern of pure chitosan was examined, and results showed there were only two strong peaks at  $2\theta = 10.4^\circ$  and  $21.8^\circ$  (data not shown). The peak at  $2\theta$  about  $22^\circ$  is attributed to the allomorphic tend form of chitosan.<sup>29</sup>

FE-SEM analysis results are given in Fig. 2A and it illustrates that majority of AuNPs were embedded in CAuNC in spherical shape and well dispersed in chitosan matrix. EDX spectrum is displayed in Fig. 2B and it verifies the formation of AuNPs by the transmission of signals at the energy of 2 keV. The size of NPs plays an important role in the determination of antimicrobial activity,<sup>30</sup> due to their penetration ability into the eukaryotic cells *via* active or passive uptake pathways. Passive uptake occurs *via* diffusion, and facilitated the diffusion *via* transport proteins. Moreover, for the active uptake, transmembrane carrier protein and endocytic pathways including receptor-mediated phagocytosis are involved.<sup>30,31</sup> In this study, particle size distribution results clearly came up with the two specific peaks in AuNPs (Fig. 2C). The first minor peak centered on the diameter of 23 nm attributed to the formation of chitosan-capped AuNPs, and the major peak (at 308 nm) suggests the presence of CAuNC in the solution. The particle size of CAuNC could be vary with the synthesis method, however, similar particle size distribution profile of CAuNC has been reported by Komalam *et al.*<sup>32</sup> The particle size of CAuNC obtained by zeta-sizer analysis was considerably higher than that of FE-TEM analysis. This variation could be due to the formation of



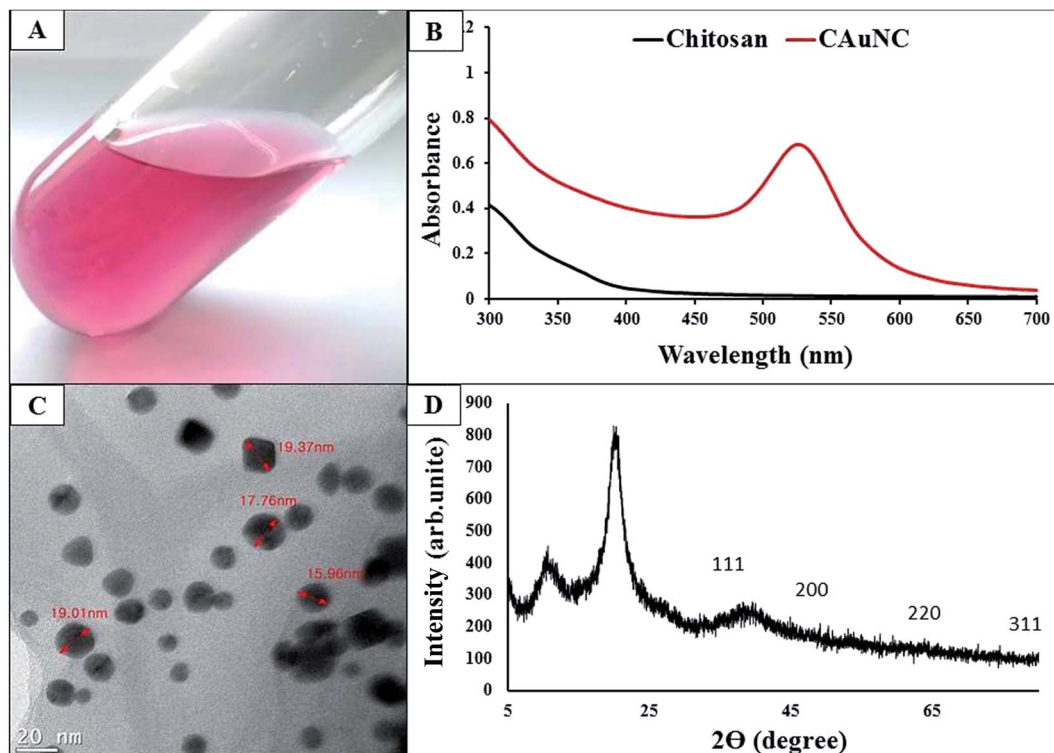


Fig. 1 Physio-chemical characteristics of CAuNC. (A) Purple colour CAuNC solution; (B) UV-Vis spectrum of chitosan and CAuNC; (C) FE-TEM image of CAuNC; (D) the XRD profile of the CAuNC.

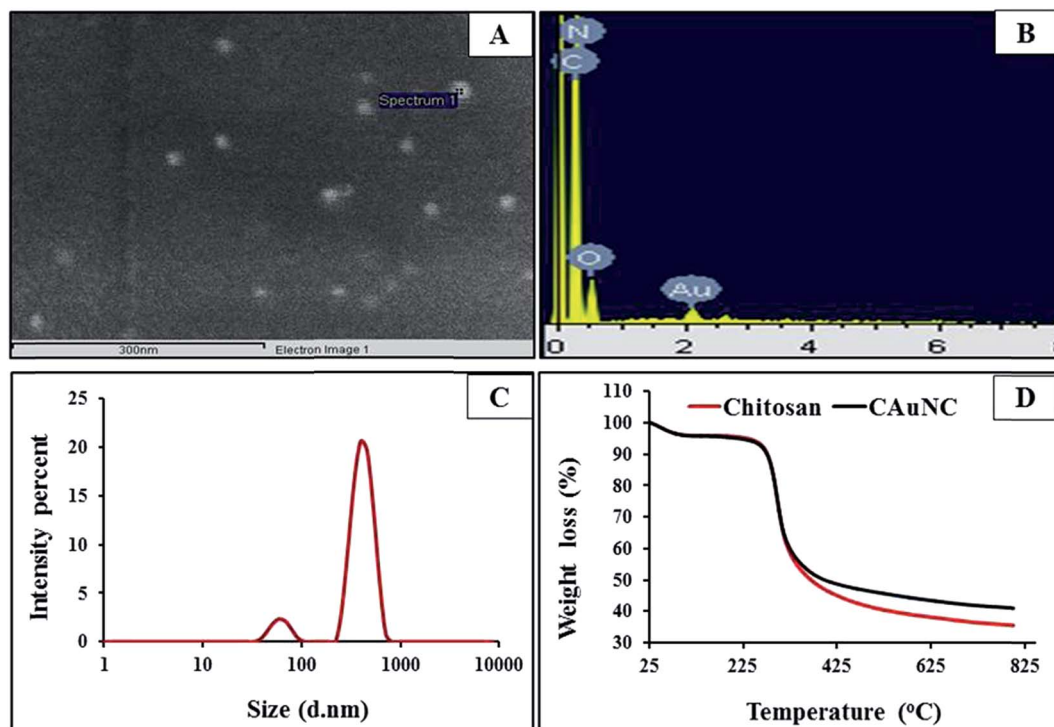


Fig. 2 FE-SEM image, particle size and thermal stability of CAuNC. (A) FE-SEM image; (B) EDX spectra; (C) particle size distributions of CAuNC solution in 0.25% acetic acid; (D) thermograms of chitosan and CAuNC.



chitosan hydrogel shell in the aqueous solution. Zeta potential is crucial parameters for the nanostructure stability in aqueous solution.<sup>33</sup> Meanwhile, zeta potential of  $\pm 30$  mV is required to create the physically stable nano-suspension, which is stabilized by electrostatic repulsion.<sup>32</sup> Zeta potential of CAuNC was  $+37.45 \pm 1.25$  mV, which suggested that the prepared CAuNC was moderately stable. Moreover, zeta potential has been suggested as a key factor that contributes to the antifungal effect of chitosan through the interaction with negatively charged microbial surface.<sup>34</sup> TGA was used to characterize and compare the thermal properties of chitosan and CAuNC (Fig. 2D). The results showed that slight change of the thermal stability of CAuNC compared to that of pure chitosan, and that could be due to the presence of AuNPs. The thermograms of pure chitosan demonstrate that thermal degradation at two stages. First stage is related to mass loss which was observed up to 125 °C. The second stage was detected at range of 270–470 °C which could be due to final degradation of polymer. The maximum decomposition temperatures of both chitosan and CAuNC were 290–292 °C ( $T_{\text{max}}$ ). The residual mass percentage for chitosan and CAuNC at 800 °C was 35.56% and 41.01%, respectively, and the excess residual components indicated the presence of AuNPs in the polymer matrix. In the TGA results, initial mass loss has been described as a consequence of dehydration of saccharide rings, depolymerisation and decomposition of both, acetylated and deacetylation of carbohydrate monomers.<sup>14</sup> The ICP-AES analysis results confirmed that CAuNC contains  $13.56 \pm 1.22\%$  of Au. Moreover, ICP-MS detection limits for the Au elements in the PDB media was  $8.56 \pm 1.36$  ppb. The ICP-MS analysis showed trace amounts of Au ions released from the CAuNC. The leached Au ions are expected to have minor influence on the antifungal activity of CAuNC due to their low concentration.

### 3.2 Effects of CAuNC on growth and cell viability of *C. albicans*

Growth inhibition of *C. albicans* was studied to understand the antifungal effects of CAuNC. All the tested concentrations of CAuNC showed the varying levels of inhibitory effect against *C. albicans* (Fig. 3A). MIC and MFC of CAuNC against *C. albicans* were determined via turbidimetric assay and spread plate technique. Results revealed that MIC was  $50 \mu\text{g mL}^{-1}$  after 24 h of incubation at 30 °C and MFC was  $75 \mu\text{g mL}^{-1}$ . *C. albicans* growth patterns of treated samples below MIC concentrations were slightly lower than the control. In contrast, cells treated above the MIC levels (75 and  $100 \mu\text{g mL}^{-1}$ ) have resulted the complete inhibition of *C. albicans*. Similarly, the complete growth inhibition of *C. albicans* was shown with nystatin ( $10 \mu\text{g mL}^{-1}$ ) as a positive control. Limited number of studies have been conducted to evaluate the anticandidal activities of AuNPs and chitosan based composites. As examples, low molecular weight-chitosan has resulted higher MIC<sub>90</sub> ( $3 \text{ mg mL}^{-1}$ ) compared to CNPs ( $0.25 \text{ mg mL}^{-1}$ ) against *C. albicans*.<sup>35</sup> Furthermore, Jebali *et al.*, has reported that triangular shaped AuNPs conjugated with peptide ligand has MIC<sub>90</sub> ranged from 39 to  $64 \mu\text{g mL}^{-1}$  against *C. albicans*.<sup>36</sup> Furthermore, the AuNPs

conjugate-methylene blue had 31.2 and  $62.5 \mu\text{g mL}^{-1}$  MIC and MFC, respectively.<sup>18</sup> According to the Kulatunga *et al.*, MIC value of chitosan silver nano composite (CAgNC) against *C. albicans* was  $25 \mu\text{g mL}^{-1}$ .<sup>37</sup> However, there is no available data for comparing the MIC and MFC of our CAuNC with *C. albicans*. The amino acid of *C. albicans* can be conjugated with triangular AuNPs by thiol-gold interaction.<sup>36,38</sup> This interaction is useful to inactivate the activity of sap enzyme which is a key virulent factor of *C. albicans*.<sup>38</sup>

*C. albicans* exposed to CAuNC demonstrated a clear growth inhibitory effect by showing the reduction of cell viability by MTT assay. The cell viability of the *C. albicans* significantly decreased ( $P < 0.05$ ) with CAuNC in a concentration dependent manner (Fig. 3B). Meanwhile, highest and lowest cell viability in CAuNC treated *C. albicans* were observed in control and  $100 \mu\text{g mL}^{-1}$  treated groups, respectively. At the MIC concentration ( $50 \mu\text{g mL}^{-1}$ ), cell viability was calculated as 48%, whereas it was decreased up to 19% at  $100 \mu\text{g mL}^{-1}$ . The cell viability for positive control was 16% at the 30 mM  $\text{H}_2\text{O}_2$ .

### 3.3 Effects of CAuNC on morphological and structural changes of *C. albicans*

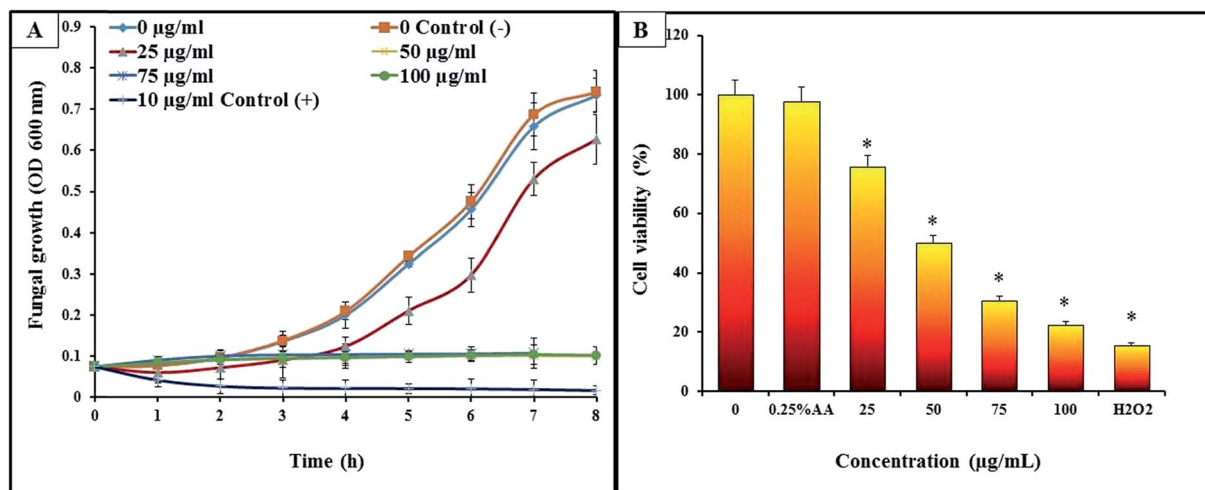
To understand the effects of CAuNC on *C. albicans* cell damage and alterations, FE-SEM analysis was conducted. Ultra-structural analysis results clearly indicated the formal morphological shape in un-treated *C. albicans* cells (Fig. 4A). However, CAuNC treated *C. albicans* cells clearly demonstrated the morphological deformities (Fig. 4B and C).

There were clear cell membrane damage and formation of cellular debris after CAuNC treatment at MIC ( $50 \mu\text{g mL}^{-1}$ ) and MFC ( $75 \mu\text{g mL}^{-1}$ ) levels (Fig. 4B and C). To compare the effects of CAuNC on *C. albicans* cell surface, the characteristics morphology of treated and untreated *C. albicans* were observed under higher magnification ( $\times 100$ – $120\text{k}$ ). As expected untreated *C. albicans* cells displayed a smooth cell surface (Fig. 4D), while swelling and severe cell wall alterations were clearly shown at the MIC level (Fig. 4E). Moreover, CAuNC treated cells at MFC ( $75 \mu\text{g mL}^{-1}$ ) displayed fully disrupted cell walls and cellular debris from cells (Fig. 4F). Similar morphological changes of *C. albicans* cells have been shown by CAgNC treatment at the MIC and MFC level.<sup>37</sup> It has been reported that yeast cells inherently adopt for survival by structural changing and strengthen of the cell walls.<sup>39,40</sup>

The PI uptake is associated with the cell membrane damage which indicates the alteration of cell membrane potential. PI is blocked by the intact membrane of viable cells and penetrates only into dead or damaged *C. albicans* cells and stain the nucleus showing the red fluorescence.<sup>41</sup> PI stained by *C. albicans* cells showed the concentration dependent mortality with CAuNC treatment. The control cells had the least number of PI stained *C. albicans* cells which indicates the least number of cell death (Fig. 5A and D). On the other hand, the most of *C. albicans* cells showed higher red fluorescence at MIC (Fig. 5B and E). Moreover, at the MFC level almost all cells exhibited higher red fluorescence (Fig. 5C and F). Additionally, CAuNC treated cells at MFC and MIC levels induced the formation of characteristic





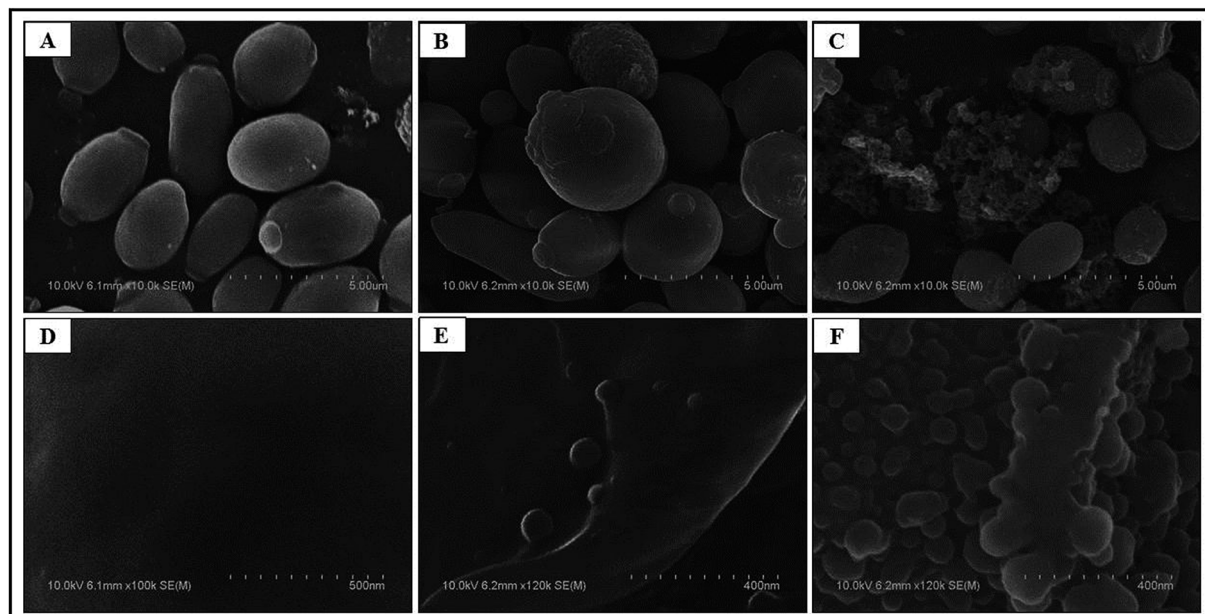


**Fig. 3** Growth performance and cell viability of *C. albicans* exposed to different concentrations of CAuNC. (A) In growth inhibition assay, cell growth was assessed after treatment with CAuNC (0, 25, 50 and 75  $\mu\text{g mL}^{-1}$ ) at 30 °C by measuring the OD at 600 nm at every 1 h intervals. The bars indicate the mean  $\pm$  S.D. ( $n = 4$ ). (B) Cell viability was assessed after treatment with different concentration of CAuNC (25, 50, 75 and 100  $\mu\text{g mL}^{-1}$ ). Significant differences in *C. albicans* cells viability were obtained with respect to untreated controls ( $P < 0.05$ ). The treatments with \* mark represent the significant cell viability (%). Bars without asterisks are not significantly different in cell viability. Acetic acid 0.25% (v/v) and 30 mM  $\text{H}_2\text{O}_2$  were used as negative control and positive control, respectively.

pseudo-hyphae suggesting *C. albicans* cells are under a certain level of stress as reported by Dantas *et al.*<sup>42</sup> Similar pseudo-hyphae formation was observed in our FE-SEM analysis (Fig. S1†). It was discussed that poly cationic chitosan binds with negatively charged *C. albicans* cell walls and help to the displacement of the  $\text{K}^+$  on the cell surface and losing of ionic balance.<sup>43</sup> The efflux of positively charged  $\text{K}^+$  results in hyperpolarization of the plasma membrane, and in this study, it was confirmed by the PI uptake results.

#### 3.4 Effects of CAuNC on intracellular ROS production

To understand the endogenous ROS production in *C. albicans* with CAuNC,  $\text{H}_2\text{DCF-DA}$  staining was conducted.  $\text{H}_2\text{DCF-DA}$  is an indicator of ROS, which is non-fluorescent until the acetate groups are removed by oxidation.<sup>44</sup> The formation of ROS such as superoxide anion ( $\text{O}_2^-$ ), hydroxyl radical ( $\cdot\text{OH}$ ), hydrogen peroxide ( $\text{H}_2\text{O}_2$ ), singlet oxygen ( $^1\text{O}_2$ ) can lead to oxidative stress.<sup>45,46</sup> The ROS level was increased in a concentration dependent manner when *C. albicans* treated with 12.5, 25, 50



**Fig. 4** FE-SEM analysis of the CAuNC treated *C. albicans*. (A) Control (untreated) cells; CAuNC treated cells (B) 50.0  $\mu\text{g mL}^{-1}$ ; (C) 75.0  $\mu\text{g mL}^{-1}$ . (D) Cell wall surface ( $\times 100\text{k}$ ) of control (untreated); cell wall surface of CAuNC treated (E) 50  $\mu\text{g mL}^{-1}$ ; (F) 75  $\mu\text{g mL}^{-1}$ .



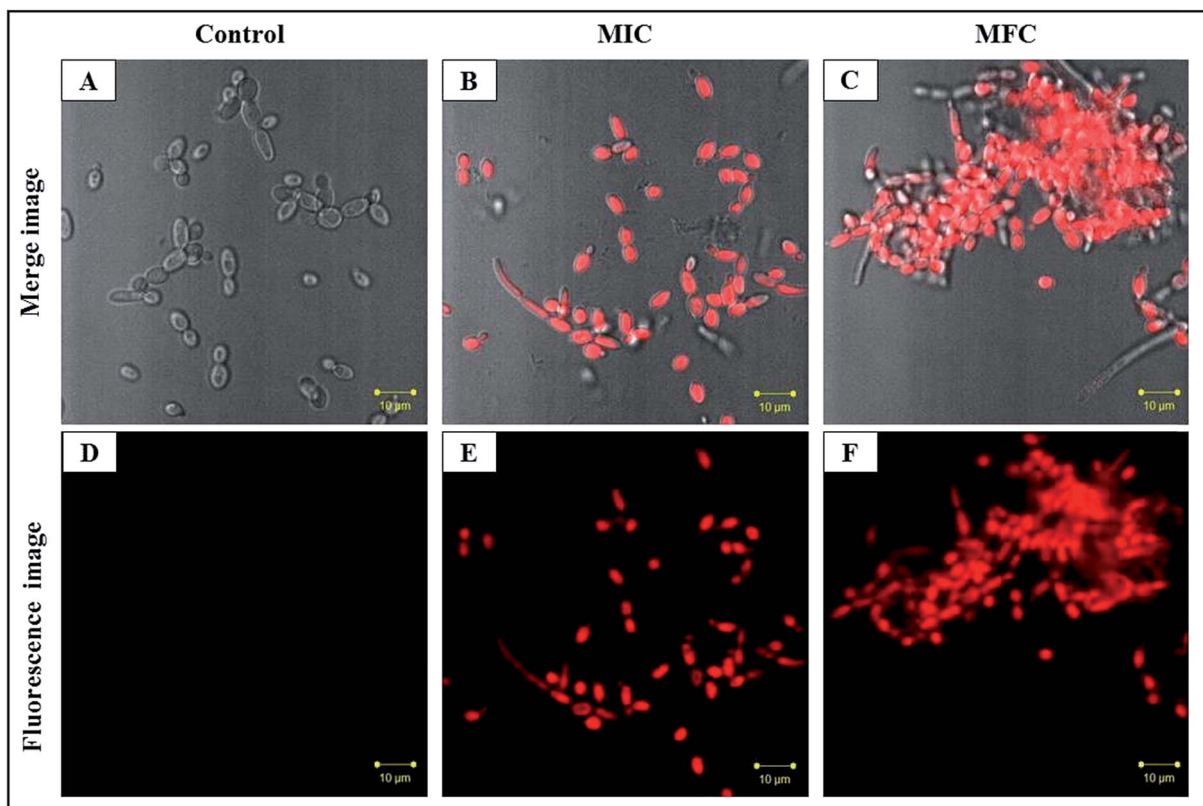


Fig. 5 CLSM merged image and fluorescence image represent the cell membrane permeability by PI staining in *C. albicans* cells with CAuNC treatment. (A and D) untreated; (B and E) treated at MIC ( $50 \mu\text{g mL}^{-1}$ ); (C and F) treated at MFC ( $75 \mu\text{g mL}^{-1}$ ) at  $30^\circ\text{C}$  for 6 h

and  $75 \mu\text{g mL}^{-1}$  of CAuNP (Fig. 6A). Furthermore, *C. albicans* treated with  $50 \mu\text{g mL}^{-1}$  (MIC) and  $75 \mu\text{g mL}^{-1}$  (MFC) of CAuNC have shown the increased ROS fold by 2.5 and 3.7, respectively compared to un-treated cells. Among the CAuNC treated *C. albicans* cells, 55% of the cells showed DCF fluorescence at  $50 \mu\text{g mL}^{-1}$  (MIC) level and more than 96% of the cells showed DCF fluorescence at  $75 \mu\text{g mL}^{-1}$  (MFC) level (Fig. 6B). Comparison of ROS production in *C. albicans* cells with CAuNC has been not reported previously. However, previously we have shown that higher ROS production of *C. albicans* cells with the treatment of low molecular weight chitosan and CAgNC.<sup>47</sup> Oxidative stress can activate the degradation of structural component, cellular membranes, and inactivate their basic functions *via*, increasing the membrane permeability and exposing the cellular contents into the outside. Rosseti *et al.*, have demonstrated the similar phenomenon, suggesting that ROS can promote damage to DNA, proteins and cell membranes, leading to cell death.<sup>48</sup>

### 3.5 Effects of CAuNC on mitochondrial membrane potentials

Our results demonstrated that, in parallel to ROS production, the mitochondrial membrane potential was increased after CAuNC treatment. Rh-123 is a cationic and lipophilic dye that permeates the negatively charged mitochondria.<sup>49</sup> In this study, Rh-123 was used to examine the effect of CAuNC on

mitochondrial membrane potentials of *C. albicans* cell. The different concentrations of CAuNC (MIC and MFC) exposure for 6 h showed significantly higher fluorescence intensity of *C. albicans* cells than the control (Fig. 7). Cells have mitochondrial potential across their inner membranes, as a result of an electrochemical gradient maintained through the electron transport chain.<sup>50</sup> Similar result against *C. albicans* has been reported with the essential oil, which is extracted from *Anethum graveolens* seeds.<sup>24</sup>

### 3.6 Analysis of CAuNC effect on protein expression of *C. albicans* by SDS-PAGE

To investigate the effects of CAuNC on protein expression in *C. albicans*, soluble protein fractions were compared with treated (MIC and MFC level) and untreated samples. Compared to the untreated sample, CAuNC treated cells showed low levels of some *C. albicans* proteins (Fig. S2†). This revealed decreased protein expression in CAuNC ( $50$  and  $75 \mu\text{g mL}^{-1}$ ) treated *C. albicans* cells, and suggesting that CAuNC could effect on protein expression. However, this lower amount of total protein in CAuNC treated *C. albicans* cells could be due to loss of soluble protein as a result of membrane permeability and disrupting cell membranes. Also, most anionic proteins may not come to the soluble fraction of the protein. Since, chitosan component of the CAuNC is a cationic polyelectrolyte which interacts with proteins and form complexes which is insoluble in the





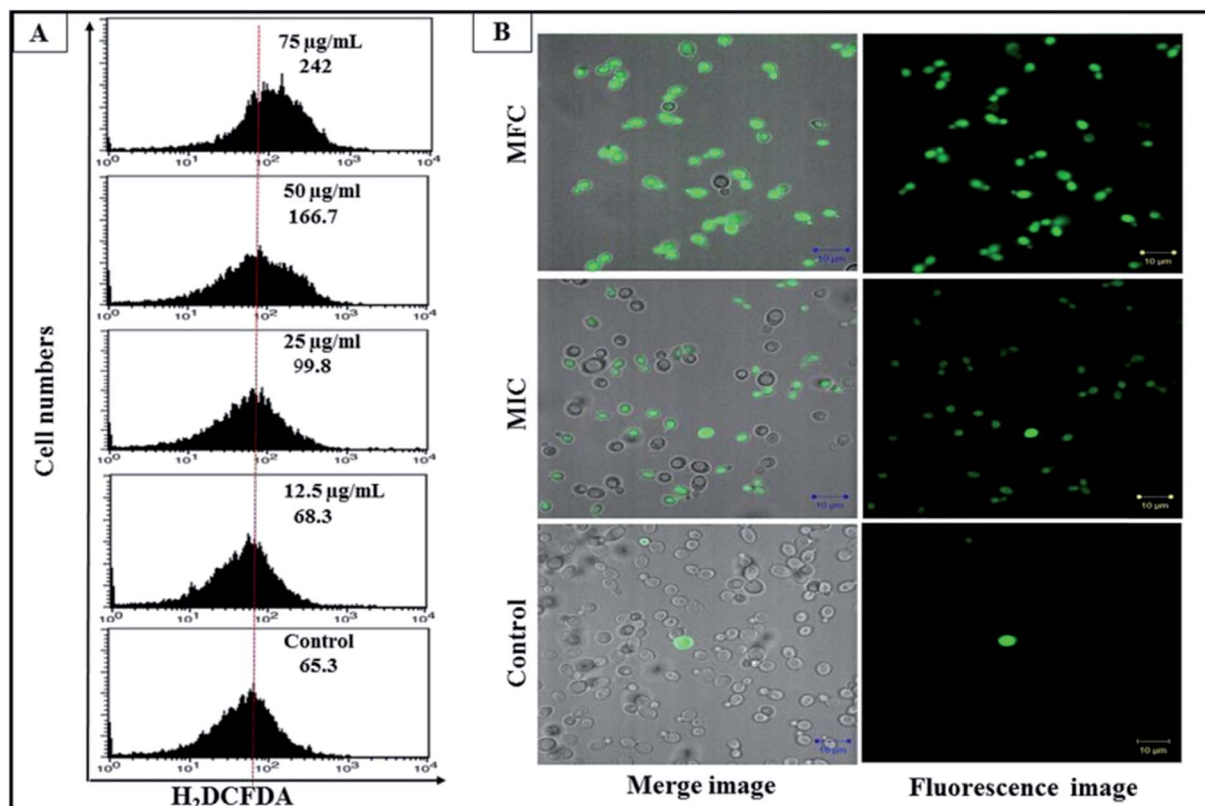


Fig. 6 Effect of CAuNC on ROS production of *C. albicans*. (A) Concentration dependent measurement of intracellular ROS in CAuNC treated *C. albicans* by flow cytometry. (B) CLSM merged and fluorescence image represent the ROS production in *C. albicans* with the CAuNC treatment.

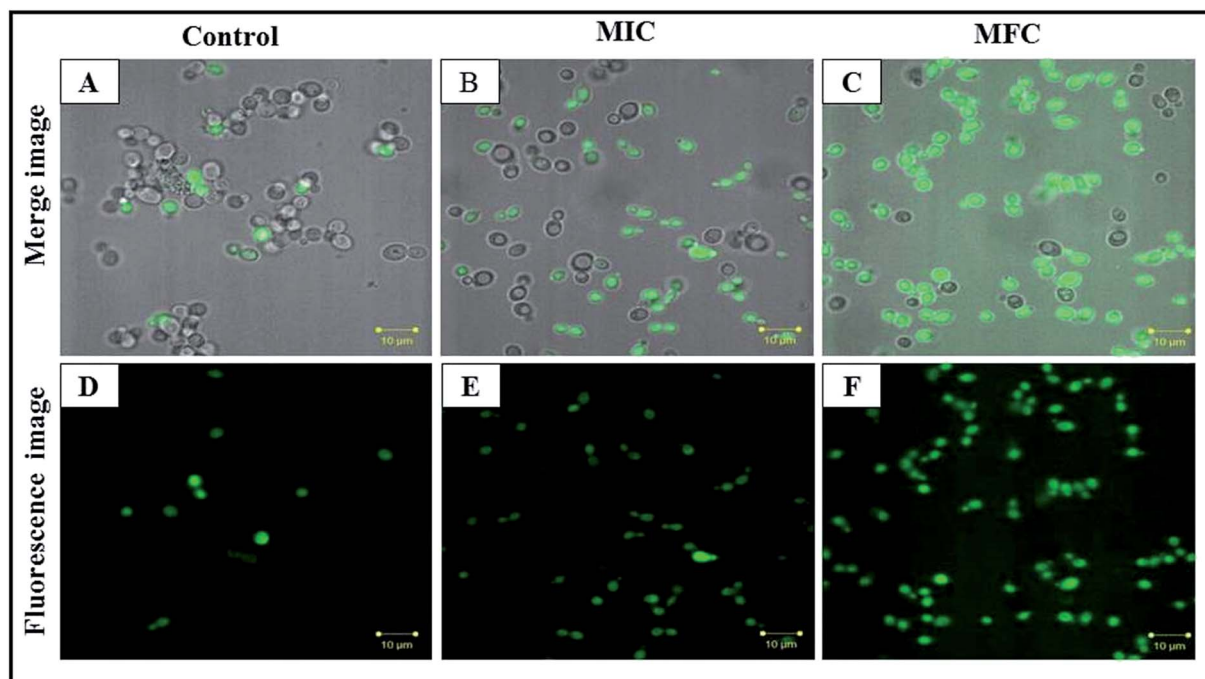


Fig. 7 CLSM merged and fluorescence image represents the mitochondrial membrane potential in *C. albicans* cells with CAuNC treatment (A and D) untreated; (B and E) treated at MIC ( $50 \mu\text{g mL}^{-1}$ ); (C and F) treated at MFC ( $75 \mu\text{g mL}^{-1}$ ) at  $30^\circ\text{C}$  for 6 h.



medium.<sup>51</sup> It has been described that antimicrobial activity of chitosan occurs due to its polycationic nature that can cause damage to the fungal cells and enter the nuclei of bacteria or fungi.<sup>52</sup> Then, it inhibits or slows down the synthesis of messenger RNA (mRNA) and protein by binding with microbial DNA.<sup>53</sup> Based on our results, we propose that CAuNC may also regulate the inhibition of fungal genes or protein expression in *C. albicans* by similar mechanism, which needs to be studied in future.

### 3.7 Cytotoxicity of CAuNC on mammalian cells

For the application of CAuNC as an antifungal agent or any other therapeutic application, toxicity assessment is essential. Therefore, the toxicity level of CAuNC was investigated using mammalian cells. Cytotoxicity assay was carried out to assess

the effect of CAuNC on viability of two mammalian cell types (A549 and HEK293T cells) after 48 h incubation with CAuNC. Fig. 8 presents the data for A549 and HEK293T cells showing that there was no significant differences ( $P < 0.05$ ) in cell viability up to  $100 \mu\text{g mL}^{-1}$  of CAuNC compared to respective controls. However, further studies related to toxicity with different cells are needed; since A549 cells show specific cellular features, such as high level of glutathione and high heme oxygenase-1 (HO-1) gene expression which contribute to the cell responses for the oxidative stress.<sup>54</sup>

### 3.8 Efficacy of CAuNC therapy on *C. albicans* cell infection in zebrafish model

The zebra fish is a valuable tool for studying the pathogenicity of the opportunistic fungal pathogen.<sup>26</sup> *C. albicans* cells were

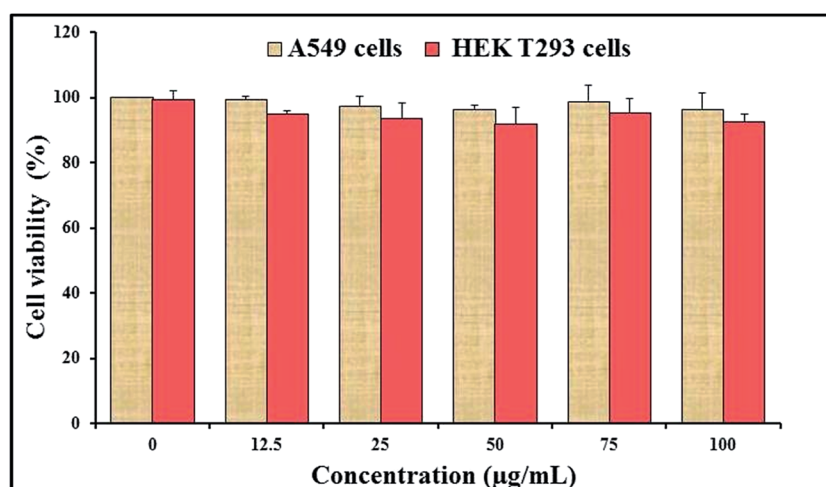


Fig. 8 Effect of CAuNC on the viability of mammalian cells. Viability was determined after treating with different concentrations of CAuNC (0– $100 \mu\text{g mL}^{-1}$ ) to A549 and HEK293T cells. Data are expressed as the mean  $\pm$  standard error ( $n = 6$ ).

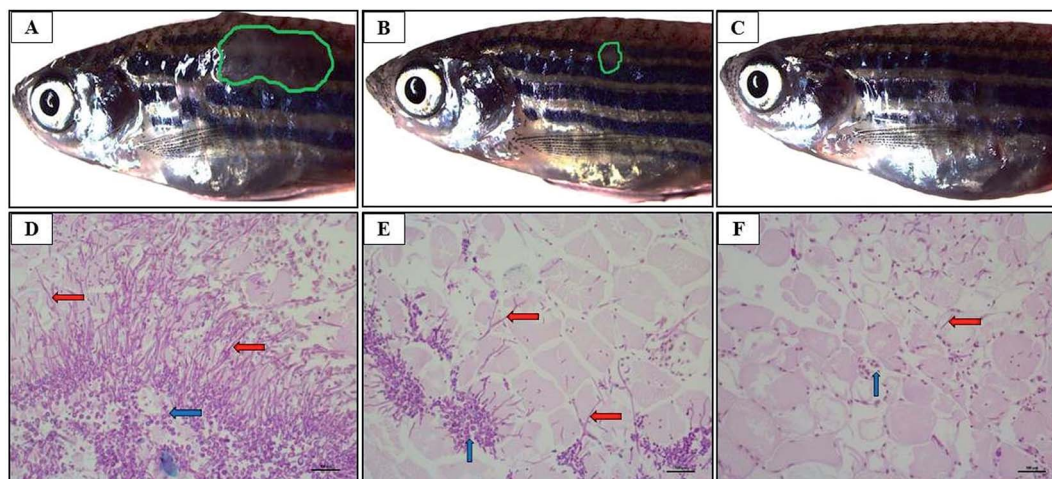


Fig. 9 Efficacy of CAuNC therapy on *C. albicans* infection in zebrafish dorsal muscle at 72 hpi. (A) Control; (B) CAuNC treated fish; (C) amphotericin B treated fish. Histopathologic indications of *C. albicans* infection in zebrafish dorsal muscle tissue at the site of infection showing the invading yeast-hyphae and inflamed tissues with cell infiltration ( $\times 400$ ). (D) Control fish tissue image; (E) CAuNC treated fish tissue image; (F) amphotericin B treated fish tissue image. The blue and red arrows indicate *C. albicans* cells and hyphae, respectively.



injected into the dorsal muscle of adult zebrafish to study the effectiveness of CAuNC therapy under *in vivo* conditions. The cumulative survival rate at day 5 post treatment was 22% and 74% in control and CAuNC treated groups, respectively. The highest survival rate (94%) was reported in amphotericin B (5 µg per fish) treated group. Clear and superficial fungal mycelia were appeared around the injected site (reddish wound) of untreated fish at day 3 (Fig. 9A). There were no prominent mycelia in the CAuNC treated fish, however, it had small inflammatory area (Fig. 9B). Moreover, mycelia growth was completely disappeared in amphotericin B treated fish (Fig. 9C). The histopathological analysis was conducted to compare the level of colonization and invaded fish tissues after the PAS staining. Extended growth of yeast-hyphae was noticed in the *C. albicans* infected fish (Fig. 9D) compared to CAuNC treated fish (Fig. 9E) at 72 h post treatment (hpt). The antifungal drugs (amphotericin B) treated fish tissue showed very limited growth of yeast-hyphae at 72 hpt (Fig. 9F). The results in this study demonstrate the strong effect of amphotericin B as an antifungal drug. Moreover, histological observations of the infected sites were similar with the zebrafish and mouse model as described in the previous reports.<sup>20,26,55</sup>

## 4. Conclusion

Chitosan could be used as an efficient material for the rapid and green synthesis of AuNPs. In this study, CAuNC was synthesized by reduction method without adding a chemical reducing agent. The MIC and MFC values of the synthesized CAuNC were 50 and 75 µg mL<sup>-1</sup>. Results obtained from ROS analysis revealed that the higher ROS level may cause to higher plasma membrane damage of *C. albicans*. Based on these results, CAuNC could be used as an anticandidal dispersion system to control pathogenic *C. albicans*. *In vivo* results revealed that *C. albicans* could invade the muscle tissue of the fish at tested concentration; it has been proved that CAuNC treatment in constant time intervals could inhibit fungal growth and increase the survival rate of zebrafish. However, further studies are needed to explain individual role of composite materials. The exact mechanisms of the antimicrobial activities of CAuNC are still unknown. Therefore, future research will be focused on further understanding of the mode of action of CAuNC with *C. albicans*.

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