

CrossMark
click for updatesCite this: *RSC Adv.*, 2017, 7, 3273

A high-performance transparent graphene/vertically aligned carbon nanotube (VACNT) hybrid electrode for neural interfacing†

Du Won Jeong,^{†a} Gook Hwa Kim,^{‡b} Na Yeon Kim,^c Zonghoon Lee,^c Sang Don Jung^{*b} and Jeong-O. Lee^{*a}

Neural interfaces that do not damage cells or tissues are key to connecting brain functions to neural prosthetics. Here, we designed a transparent graphene/vertically aligned carbon nanotube (VACNT) electrode capable of extracellularly recording spontaneous action potentials in Sprague–Dawley rat primary cortex neurons. Graphene provided the dual function of contacting the VACNTs and visually monitoring the cell viability. The hybrid electrodes exhibited remarkably high peak-to-peak signal amplitudes (1600 μ V) and low noise levels, presumably due to tight junction formation between the cells and the deformed CNTs. Spike simulation and high-resolution transmission electron microscopy (HRTEM) imaging confirmed the excellent interfacial characteristics of the cells and the transparent hybrid electrodes.

Received 15th November 2016
Accepted 9th December 2016

DOI: 10.1039/c6ra26836f

www.rsc.org/advances

Introduction

Recording electrical signals from neuronal cells and assemblies is crucial to understanding brain function and to implementing neural prosthetics.¹ Neural prosthetic devices directly measure neuronal signals and deliver information to the target organs or computerized systems, including robots, to help people who suffer from paralysis. Recent developments in machine learning and the extraction of information from intracortically recorded signals enable successful control over muscle activation in patients with paralysis.² The key to neural prosthetic devices lies in signal measurement, decoding, and delivery to and from a target system. High-performance electrodes for recording and stimulating the brain have achieved major success in signal

measurements over the past decade, with the help of nano-technology. Most electrodes are mounted on a substrate to assist in implanting into the brain, though an endovascular stent–electrode array was recently shown to be useful for recording cortical neural activity.³ Because this method is highly invasive and can cause severe damage to the brain, electrodes must be biocompatible, offer long-term stability, and must be minimally invasive. Minimally invasive electrodes must be small in size and should form conformal contact with the brain tissue or cells.⁴ Unfortunately, an electrode with a diameter of less than 20 μ m typically yields unreliable measurements due to an increase in the impedance, leading to significant thermal noise levels.⁵ Also, high impedance electrode could not provide sufficient charge injection to cells or tissues before encountering irreversible electrochemical reactions that may destroy cells or electrode itself.⁶ Therefore, it is imperative that an electrode material exhibit a high conductivity and rapid electron transfer kinetics to ensure a high signal-to-noise ratio (SNR) and an effective cell stimulation. One of the most widely used methods for improving the SNR involves engineering the surface to present micro-/nano-sized features. A variety of materials and structures have been used to improve the SNR. Metallic electrodes with modified nanoscale surface features,⁷ microelectrodes with non-planar nanostructures,^{8,9} scaffold structures,^{10–12} nanowires,^{13,14} or nanotubes^{15–18} have been investigated with the goal of overcoming the limitations inherent to smooth electrodes.

Among these engineered electrodes, electrodes with modified with non-planar nanostructures; pillars,⁸ gold spines,⁹ nanowires or nanotubes exhibited exceptionally good performance for extracellular recording electrode, due to their high

^aAdvanced Materials Division, Korea Research Institute of Chemical Technology (KRICT), 141 Gajeong-ro, Yuseong-gu, Daejeon 34114, Korea. E-mail: jolee@kRICT.re.kr

^bSynapse Device Creative Research Section, Electronics and Telecommunications Research Institute (ETRI), 218 Gajeong-ro, Yuseong-gu, Daejeon 34129, Korea. E-mail: jungpol@etri.re.kr

^cSchool of Materials Science and Engineering, Ulsan National Institute of Science and Technology (UNIST), 50 UNIST-gil, Ulsan 44919, Korea

† Electronic supplementary information (ESI) available: Raman spectra of graphene electrodes, device fabrication scheme of TGVH device, SEM images that show deformation of VACNT electrodes, optical images of cortical neurons cultured on TGVH device, Home-built MEA systems for recording neuronal signals, action potentials recorded by TGVH electrodes 6–28 DIV, enlarged spontaneous action potential peaks from 3 electrodes at 7 DIV, burst firing activity of the neuron cells, the distance dependence of the spike amplitude, TEM analysis of the interface between VACNT electrodes and the cell, simulation details of extracellular action potential and schematics of neuronal cell-VACNT interfaces. See DOI: 10.1039/c6ra26836f

‡ These authors contributed equally to this work.

surface areas and vertical geometry, which is crucial for electrode–cell coupling.^{19–22} In that regard, vertically aligned carbon nanotubes (VACNTs) show promise as neural interfacing electrode, since carbon nanotubes show excellent electrical conductivity, chemical and mechanical stability, and protruded geometry that could be tightly wrapped around by cells to decrease the membrane–electrode distance and to increase seal resistance. The excellent performances of CNTs as neural electrode materials have been demonstrated in recordings of neural activity *in vivo* and *in vitro*.^{7,16,17} We previously showed that hybrid VACNT–carbon materials derived from a pyrolyzed photoresist displayed better performances compared to smooth electrodes.²³

On the other hand, graphene, a perfect two-dimensional optically transparent crystal of carbon atoms, has emerged as a promising neural electrode material. Graphene is an exceptional material for neural interfacing, because graphene shows excellent electrical conductivity and low noise characteristics, mechanically strong, and one-atom thick graphene may provide flexibility to the device, as well as optical transparency. Simultaneous optical imaging with electrophysiology offers spatio-temporal resolution, which is not attainable with either one of the two techniques. Although transparent neural electrodes have developed based on indium tin oxide previously, yet it is expensive and brittle compared to graphene, not suitable for flexible devices.^{24,25} Kuzum *et al.* developed transparent and flexible graphene electrodes for simultaneous electrophysiology and neuroimaging,²⁵ and Park and colleagues developed graphene-based electrodes for neural imaging and optogenetic applications.²⁴ Here, we assign transparent graphene electrodes with a third functionality of excellent cell–electrode interfaces by hybridizing VACNTs. Hybrid graphene–VACNT electrodes may offer flexibility, transparency for optical monitoring and stimulation, and high SNR originating from excellent electrical (electrochemical) characteristics of graphene–VACNT hybrid and tight cell–VACNT junction interfaces. A seamless three-dimensional CNT–graphene hybrid structure was previously reported by Zhu *et al.*,²⁶ who suggested the use of this structure in energy storage and electronic device applications due to the supercapacitive properties of CNTs. We, ourselves, collected extracellular signal recordings from Cath.-a-differentiated (CAD) cells using hybrid structures comprising VACNTs and a graphene intermediate layer.²⁷ In the present work, transparent graphene electrodes were hybridized with VACNTs to permit optical monitoring of cell viability and to measure spontaneous action potentials from Sprague–Dawley (SD) fetal rat cortex neurons. Cell–electrode coupling was significantly enhanced by further modifying the VACNT surfaces with UV-ozone treatment.

Experimental

Graphene preparation and transfer method

Graphene was grown on a Cu foil (Alfa Aesar, 99.8%) etched slightly with a Ni etchant (Transene, TFB) mixture in DI water (1 : 1) for 10 min to remove surface residues. The Cu foil was heated to 1000 °C under 100 sccm H₂ over 20 min under high vacuum conditions ($<1 \times 10^{-5}$ Torr). The precursor CH₄

(30 sccm) gas carried by H₂ (30 sccm) was introduced over 40 min, and the substrate was cooled to room temperature.

The graphene was directly transferred onto a substrate by coating the as-grown graphene mounted on a Cu foil with a PMMA layer (950 K, 4% volume dissolved in chlorobenzene) at 3000 rpm for 30 s, followed by baking at 60 °C for 5 min to achieve solvent drying. The Cu foil with the PMMA-coated graphene was etched away using a copper etchant solution and then thoroughly washed with DI water.

Device fabrication

The transparent graphene–VACNT hybrid electrodes were fabricated by patterning Cr/Pt (5/30 nm) base electrodes using an internal ground electrode onto a quartz substrate (20 × 20 mm) using photolithography and e-beam evaporation methods. Two layers of graphene were subsequently transferred, to provide a lower sheet resistance compared to single-layer graphene, using a polymethyl methacrylate (PMMA)-assisted wet transfer method. At each step, the graphene transferred using PMMA was maintained under a high vacuum ($\sim 10^{-6}$ Torr) over more than 5 hours to remove water molecules from the space between the graphene and the substrate. The two-layer graphene transferred onto a defined substrate was patterned using photolithography and O₂/Ar (5 : 1 ratio) plasma etching over 20 min. The bottom electrode (graphene) was insulated from the cell culture media by coating the entire sample with a 500 nm thick SiO₂ layer *via* plasma-enhanced chemical vapor deposition (PECVD). The catalyst layer (Al/Fe = 8/1.4 nm) was subsequently deposited thermal and e-beam evaporation methods after opening a window using photolithography and wet etching processes. Finally, the VACNTs were grown *via* low-pressure PECVD at 650 °C over 12 min (detailed in Fig. S1†). The primary neuronal cells were cultured by fabricating a culture chamber using acrylic plastic bonded to the graphene–VACNT device using biocompatible poly-dimethylsiloxane (PDMS).

Dissociation and culture of the cortical neurons from an SD rat

Primary cortical neurons cultures were prepared using explants from an embryonic day 17 Sprague–Dawley (SD) rat. The cerebral cortex regions were dissected in ice-cold Ca²⁺/Mg²⁺-free Hanks' balance salt solution (pH 7.4). The meninges were subsequently removed, and the cells were dissociated by mild trypsinization (0.25%, Invitrogen), followed by gentle blowing. The cell suspension, with a density of 5.0×10^4 cells per cm², was plated onto a graphene–VACNT device coated with poly-DL lysine (PDL). The neurobasal culture medium (Invitrogen) was supplemented with a 2% B27 supplement and 2.0 mM glutamine, 10% FBS, with antibiotics, and incubated at 37 °C under a gas mixture (5% CO₂ and 95% humidified air) in a cell incubator. The culture medium was replaced with fresh medium without FBS after 2 h, and half of the culture medium was replaced with fresh medium every 3 days. The cells were grown and maintained at 37 °C under a gas mixture (5% CO₂ and 95% humidified air) in a cell incubator. The extraction of primary neuronal cells from SD rats was conducted under the approval



of the Animal Care Committee of Wonkwang University in accordance with Korea Animal Protection Law.

Immunofluorescence

Neurons cultured on a TGHV device were labeled with anti- β -tubulin (Covance, Emeryville, CA) at 7 days *in vitro* (DIV). Immunofluorescence studies were conducted by fixing the cultured neurons with Dulbecco's phosphate buffered saline (DPBS) containing 3.7% formaldehyde diluted for 10 min. The neurons were then washed three times with DPBS, permeabilized with 0.2% triton X-100 diluted in DPBS, and incubated for 5 min at room temperature. After washing the cells with DPBS, neurons in DPBS containing 2% bovine serum albumin (BSA) and 0.1% Tween 20 were incubated for 30 min at room temperature. The primary antibody (anti- β -tubulin monoclonal diluted to $4.0 \mu\text{g ml}^{-1}$ in blocking solution) was added and incubated at 37°C for 2 h. The neurons were again washed three times with DPBS containing 0.1% Tween 20. Subsequently, secondary antibodies tagged with Alexa Fluor® 488 goat anti-mouse IgG (2.0 mg ml^{-1} , Invitrogen) diluted to $20 \mu\text{g ml}^{-1}$ in a blocking solution were immobilized and incubated at 37°C for 2 h. After washing three times with DPBS containing 0.1% Tween 20, 4',6-diamidino-2-phenylindole, dihydrochloride (DAPI 1 : 1000 in blocking solution; Invitrogen, Carlsbad, CA) was added and incubated at room temperature for 5 min, followed by washing three times with DPBS containing 0.1% Tween 20. Fluorescence image were captured on a Nikon fluorescence microscope (DS-Ri1 CCD camera).

TEM analysis

The cleft width between cell and VACNTs electrode was investigated using a cross-sectional imaging analysis and the corresponding elemental analysis using EDX. The cross-sectioning process was conducted using a focused ion beam (FEI Quanta 3D FEG). The cell was protected from the ion beam by depositing platinum. The cross-sectioning process was then carried out over the contact area between the cell membrane and the VACNTs. TEM/STEM characterization for imaging and EDX (Energy Dispersive X-ray Spectrometer) analysis was carried out using a probe-corrected JEM-2100F.

Statistical analysis

Statistical differences were analyzed using Student's *t* test. A *P*-value < 0.01 was considered significant.

Results and discussion

Fig. 1 presents images of transparent graphene-VACNT hybrid electrodes (TGVH). As shown in Fig. 1(a), clear and well-defined two-layer graphene was used as the bottom electrodes. Atomic force microscopy (AFM) images of the transferred two-layer graphene, as shown in Fig. 1(b), revealed highly uniform surfaces without visible defects, such as cracks or wrinkles. The thickness of these electrodes was approximately 5 nm. The high-quality two-layer graphene was further confirmed by Raman mapping spectroscopy (Fig. S2(a)†). Fig. 1(c) shows a completed TGVH device consisting of a quartz substrate (2×2

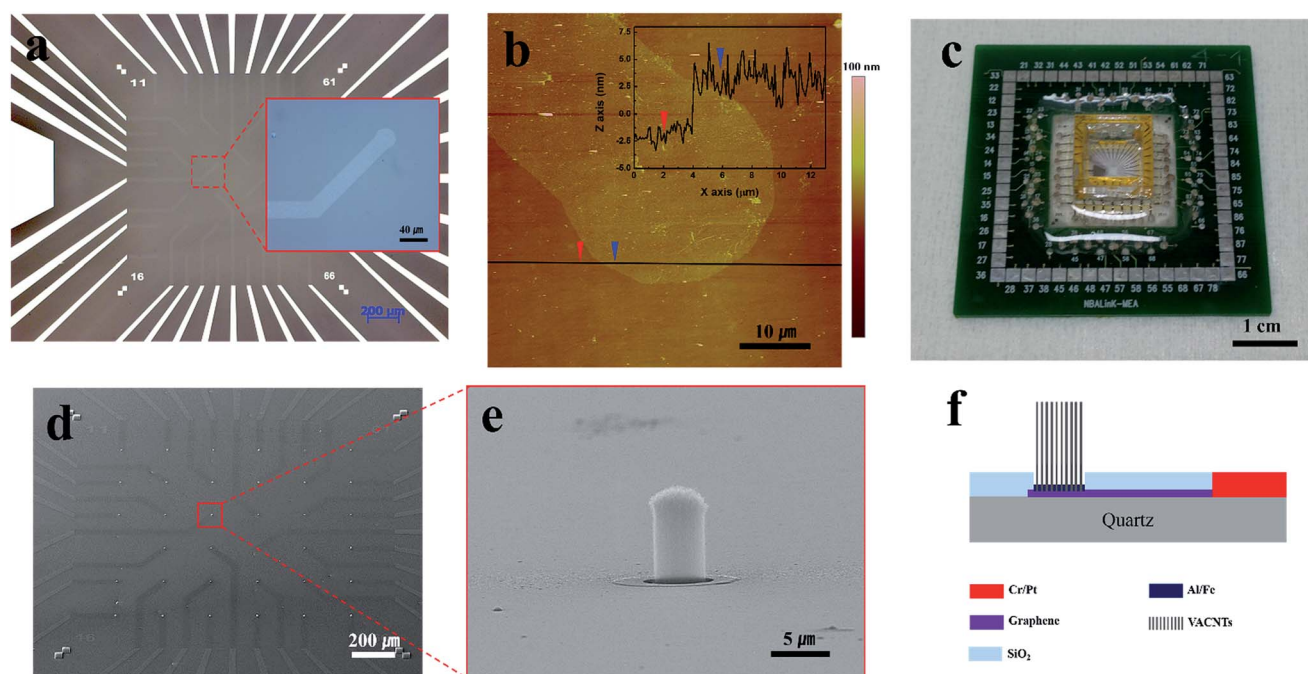


Fig. 1 TGVH device used to record neuronal signals. (a) Optical images of a patterned graphene electrode array. Patterned 35-channels graphene electrodes ($1 \times 1 \text{ mm}$) with an internal ground electrode (2.9 mm^2) on a Cr/Pt base electrode (inset: magnification of a single-channel graphene electrode). (b) Topological AFM image of a patterned two-layer graphene electrode (inset: thickness of the black line in (b)). (c) Completed TGVH device. FE-SEM images of (d) the as-grown VACNT multi-electrode array and (e) a single-VACNT electrode. (f) A schematic diagram TGVH device.



cm) containing TGVH electrodes with an internal flat Pt ground electrode, a plastic well ($1 \times 1 \times 0.8$ cm) for cell culturing and electrochemical experiments, and PCB connections to a readout system. A schematic that shows device fabrication process is in Fig. S1.† The TGVH electrodes included 35 channels in a 6×6 grid layout separated by a $200 \mu\text{m}$ inter-electrode distance. The VACNT array diameter was approximately $5 \mu\text{m}$, as shown in Fig. 1(e).

The as-grown VACNTs exhibited superhydrophobicity, with a water contact angle of 141° . The VACNT surfaces required functionalization to obtain an effective electrochemical reaction in aqueous solutions. As shown in previous studies,²⁷ a 20 min UV-ozone treatment period induced the formation of carbon-oxygen bonds²² on the VACNT surfaces. Although UV-ozone treatment did not introduce structural deformations into the VACNTs, as shown in Fig. S3(b),† immersing the chip in an aqueous solution (deionized (DI) water for 10 min) produced deformations in the VACNT electrodes. The electrodes shrank, and the otherwise smooth top surfaces of the VACNT electrodes became rough after immersing the chip in an aqueous solution, as shown in Fig. S3(c).† These effects were attributed to the capillary forces generated by the drying process.^{19,28} The electrodes that underwent such processes (UV-ozone treatment + aqueous solution) maintained their properties up to 40 days in an aqueous solution, as confirmed in previous work,²⁷ indicating that the modified electrodes were electrochemically stable and mechanically robust. Subsequent O_2 plasma treatment for 5 min, which was necessary for the PDL coating process, did not produce further deformations, as shown in Fig. S3(d).† Overall, these results indicated that the process used in this work was optimized to enhance the interfacial stability between the electrodes and the cells during attachment.^{29–31}

The electrochemical properties of the TGVH electrodes were characterized in an aqueous solution. Fig. 2(a) shows cyclic voltammogram measured at applied voltages that ranged from -0.4 to 0.6 V, with a scan rate of 20 mV s^{-1} in PBS (0.01 M phosphate buffer, 0.0027 M potassium chloride, and 0.137 M sodium chloride, pH 7.4) containing 10 mM $\text{K}_3[\text{Fe}(\text{CN})_6]$. Here, the TGVH electrode acted as a working electrode, and Ag/AgCl and a Pt wire were used as the reference and counter electrode, respectively. Typical microelectrode behavior with a sigmoidal voltammogram were observed because convergent diffusion toward the edges of the TGVH electrode became significant,^{32–34} indicating an improvement in the mass transport rate.

Fig. 2(c) presents representative electrochemical impedance spectra (EIS) evaluated at 166 different frequencies ranging from 100 to $200\,000 \text{ Hz}$ in PBS, where the two-electrode measurements collected used the TGVH electrode as a working electrode and the internal ground Pt electrode acted reference and counter electrodes. The equivalent circuit obtained from Randle's model³⁵ (Fig. 2(b)) was used to fit the impedance spectra in Fig. 2(c), where R_s is the equivalent series resistance, CPE_{dl} is the constant phase element of a double layer capacitance, R_{ct} is the charge transfer resistance, Z_w is the Warburg element, R_L is the leakage resistance, and C_L is the mass capacitance (fitted equivalent circuit elements are detailed

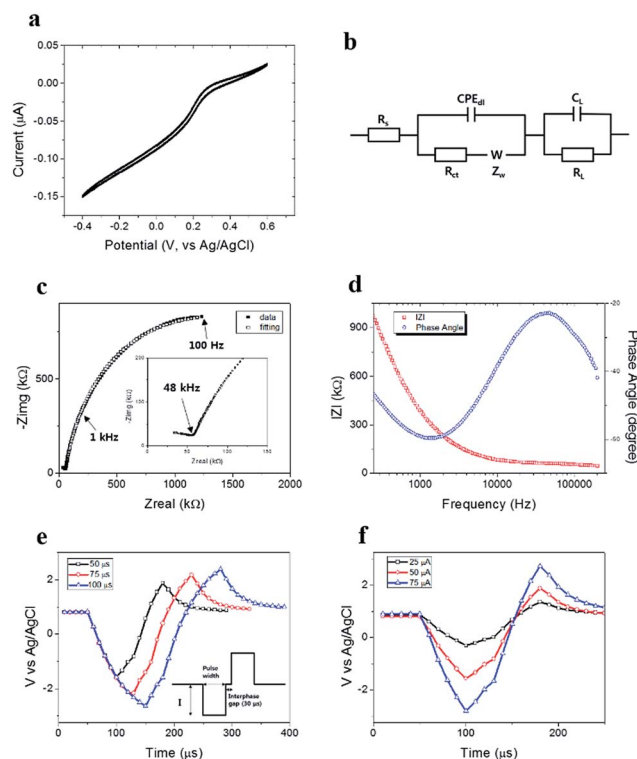


Fig. 2 Electrochemical characterization of the TGVH electrode. (a) Cyclic voltammogram of the TGVH electrode at a scan rate 20 mV s^{-1} in PBS containing 10 mM potassium ferricyanide. (b) Randle's equivalent circuit. (c) Bode plot and (d) Nyquist plot of the impedance spectra obtained from the TGVH electrode, fitted to the equivalent circuit model (b). (e) The charge injection limit varied with (e) the pulse width ($50, 75, 100 \mu\text{s}$) at a fixed current amplitude ($50 \mu\text{A}$) (inset: applied biphasic current pulse with an interphase gap ($30 \mu\text{s}$)) and (f) current amplitudes ($25, 50, 75 \mu\text{A}$) with a fixed pulse width ($50 \mu\text{s}$).

in Table S1†). The double layer capacitance (C_{dl}) was calculated as $850 \mu\text{F cm}^{-2}$ by fitting to $\alpha = 0.8787$. Here, the electrode geometry was considered to be a cylinder, with R_s equal to $2.7 \text{ k}\Omega$, and R_{ct} equal to $1.5 \text{ M}\Omega$. The neural cell signals were effectively recorded using an electrode impedance of 1 kHz (ref. 36–38) because the neural signal frequency is typically on the order of 1 kHz . The impedance value of the TGVH electrode at 1 kHz was $390 \text{ k}\Omega$ in Fig. 2(d), which was suitable for recoding the neural signals.

The TGVH electrodes with the above-measured properties produced low-noise signal measurements¹⁷ and stimulated the neural activity without significant error.³⁹ The charge injection limit of the TGVH electrode was measured using a biphasic current pulse with an interphase gap ($30 \mu\text{s}$) applied between the TGVH electrode and the Ag/AgCl reference electrode in PBS. Fig. 2(e) and (f) show that the charge injection limit varied with the pulse width and current amplitude, respectively. The capacitive reactions were detected by gradually increasing the pulse width at $50 \mu\text{A}$ at a fixed current amplitude, as shown in Fig. 2(e). The capacitance extracted from the linear region of the negative current in Fig. 2(f) was estimated to be approximately $776 \mu\text{F cm}^{-2}$, comparable to the double layer capacitance obtained from the EIS measurement.



Spontaneous action potentials may be recorded by culturing cortex neurons dissociated from embryos of an embryonic day 17 SD rat on the VACNTs electrodes coated with PDL to enhance cellular adhesion to the surface. Fig. S4† shows optical images of the cultured neural cells on the TGVH device as a function of DIV. During all stages of development, cells formed stable adhesions to the substrate, and a homogeneous distribution of cortical neuronal cell bodies and neurites was clearly observed through the transparent TGVH devices. A tangled neuronal network developed beyond 6 DIV, and this network remained healthy for one month, suggesting biocompatibility with the TGVH electrode. The cultured cortical neurons were closely investigated after 6 DIV, in accordance with the electrophysiological characteristics and the synaptic connections.^{40,41} The spontaneous electrical signals of the cortex neurons cultured on the TGVH device were measured using a Home-built MEA System with a 20 kHz sampling rate based on LabVIEW software (National Instruments).^{42,43} All recorded signals were filtered

through a band pass filter with a 300–3000 Hz window, followed by a notch filter to remove 60 Hz noise (as described in the ESI Fig. S5†). Extracellular action potentials with a high amplitude, as shown in Fig. S6,† were reliably recorded from cortical neurons over nearly one month (Fig. S7 and S8†).

The neurons cultured on the TGVH device at 7 DIV were identified by immunofluorescence staining (Fig. 3(a)), which revealed the presence of healthy synaptic connections. The outstanding adhesion between the VACNTs and the axons or dendrites of the neurons is displayed in Fig. 3(b) and (c) and was attributed to the three-dimensional VACNTs electrode with a modified nano-surface.^{20,21} As shown by Xie *et al.*, mesoscale secondary features on a cellular substrate can enhance cell attachment, growth, and cellular activity.¹⁹ A robust interface produces a maximum peak-to-peak amplitude of approximately 1.6 mV with a root mean square (RMS) noise level of 5.92 μ V (E29 in Fig. S7†), where the estimated SNR is approximately 215 (considering the negative amplitude spike). The high-amplitude

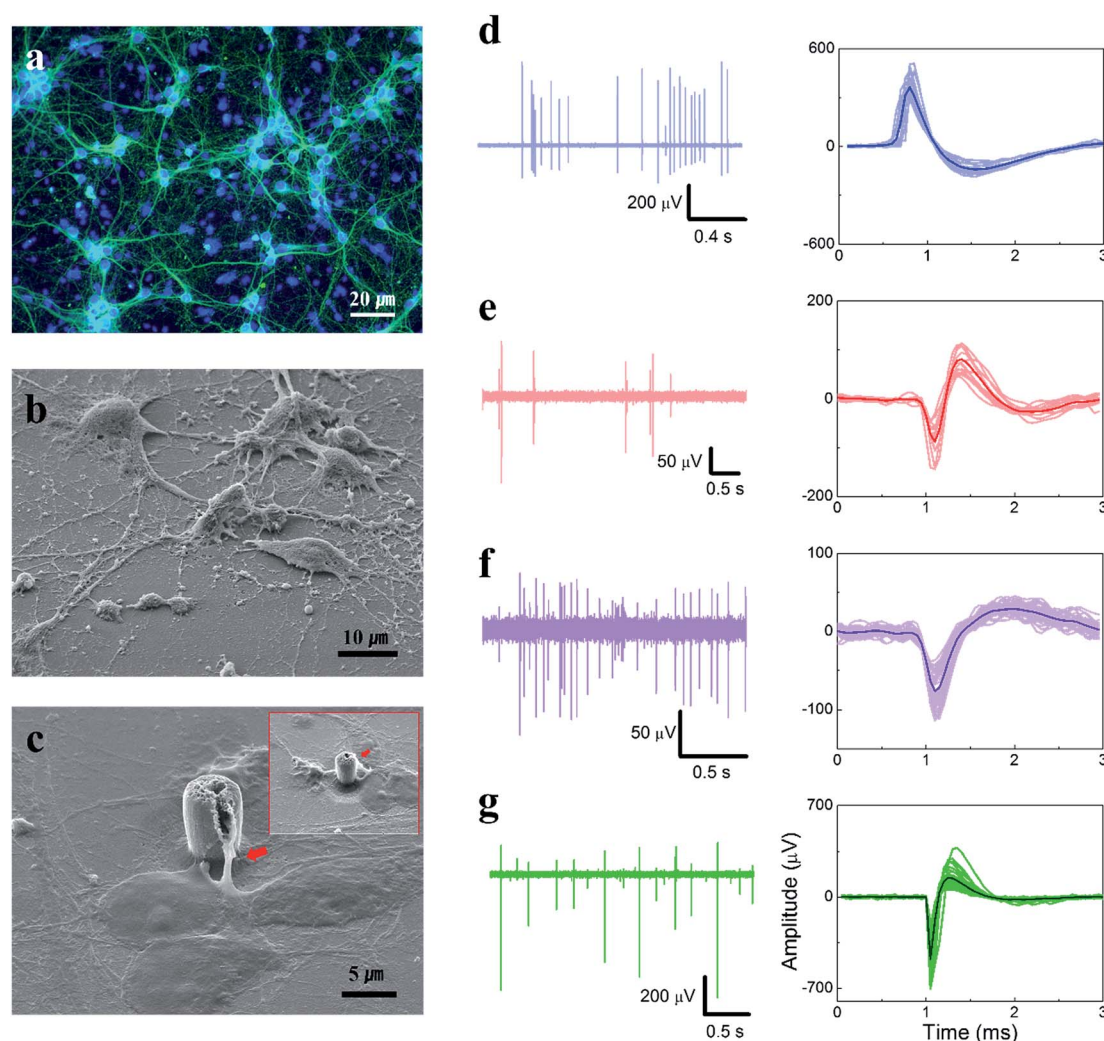


Fig. 3 The rat cortical neurons on a TGVH device, and a variety of recorded spike waveforms. (a) Representative merged fluorescence images of rat cortical neurons at 7 DIV. Here, the blue and green correspond to the nucleus and membrane, respectively. (b) and (c) show FE-SEM images of the fixed rat cortical neuron cultured on a TGVH device at 7 DIV (inset: another degree view of (c), the red arrows indicate the cell membranes), after deposition of the Pt (15 nm) layer. The representative recorded signals exhibit various spike waveforms, as shown in (d)–(g).



negative spike with a peak amplitude of nearly 1 mV was thought to originate from the action potential of the adjacent soma of a neuron.⁴⁴ Such a high signal amplitude is seldom observed using extracellular signal measurements that do not involve electroporation, confirming the excellent performances of the TGVH electrodes. The various extracellular action potential waveforms were associated with the position of the attached cell segment on the recording electrode and the distance between the electrode and the cell.⁴⁵ Partial burst firing activities characteristic of activation patterns of neurons in the central nervous system^{46,47} were also detected, as shown in Fig. S8(b).†

Fig. 3(d) exhibits a positive spike waveform derived from a distal soma, such as a dendritic compartment, due to the positive capacitive current as a result of current conservation.^{44,47}

Biphasic spike waveforms with an average peak-to-peak amplitude of $-168 \mu\text{V}$ were recorded, as shown in Fig. 3(e). These waveforms are often detected in cultured neuronal cells in our system using other electrodes.^{42,43} These waveforms may correspond to a positive capacitive current.^{44,47} The negative spiked waveforms (Fig. 3(f) and (g)) originated from the vicinity of a soma of neuron, with an amplitude that depended on the distance between the electrode and the cell.⁴⁵ The observed diverse spiked waveforms demonstrated that the TGVH electrodes formed close contact with the substructures of the neuronal cells, such as the somas, axons, and dendrites. Furthermore, assuming that the number of spikes was closely related to the number of cells having a firing activity, wherein each cell was considered to be a point source, the distance-dependence spike amplitude was found to follow a particular

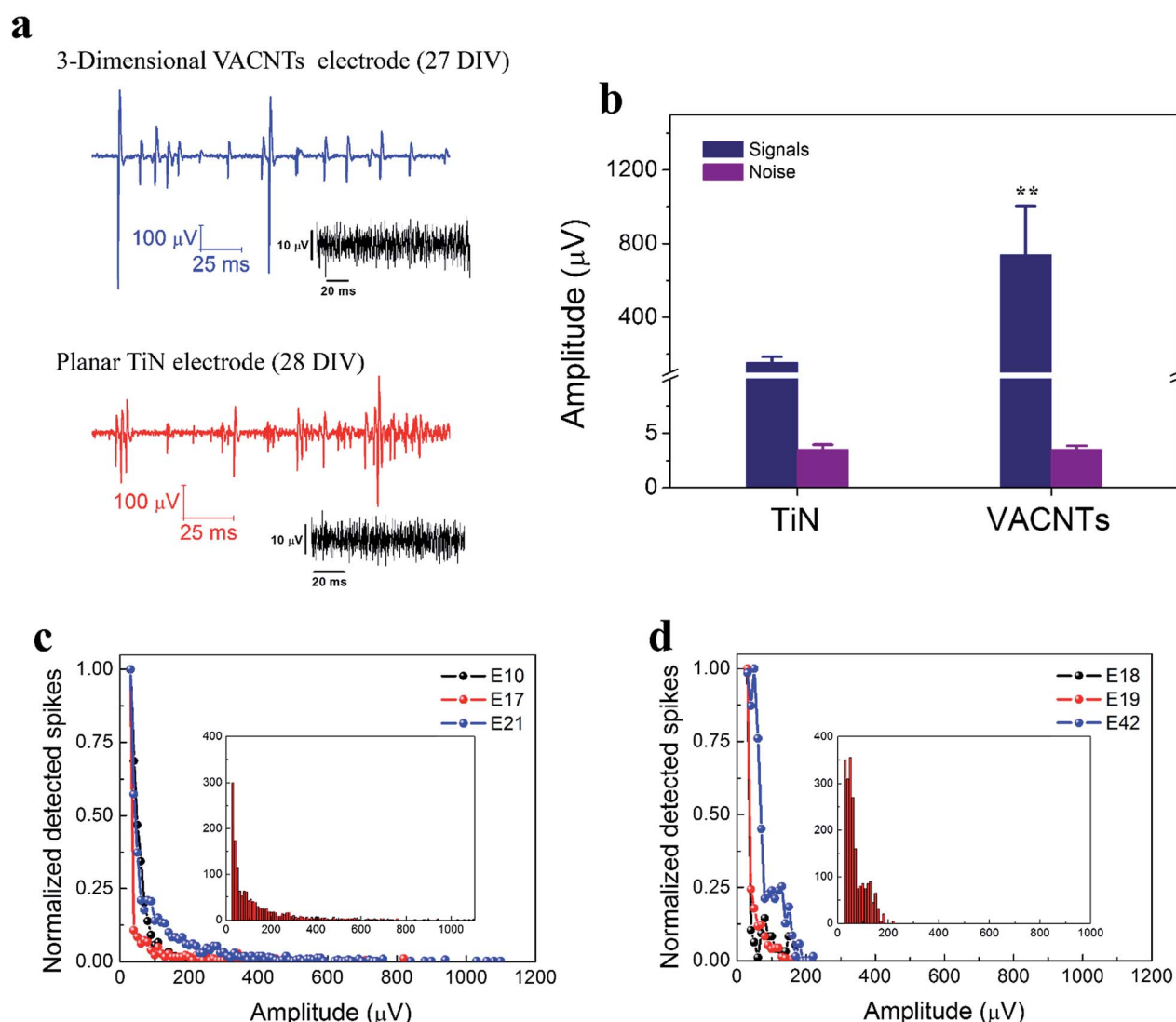


Fig. 4 Signals recorded from the three-dimensional VACNT electrodes with a modified nanoscale surface, as compared with the signals recorded from the smooth commercial electrode. (a) The signals of a representative VACNTs electrode (diameter: $5 \mu\text{m}$, height: $7\text{--}10 \mu\text{m}$) and a smooth commercial electrode (TiN, diameter: $30 \mu\text{m}$) were recorded at 27 DIV and 28 DIV, respectively. (b) The maximum spike amplitude of the VACNT electrode ($n = 5$) was approximately 1.2 mV, whereas the corresponding value obtained from the commercial electrode ($n = 5$), by contrast, was barely 218 μV . The normalized spikes detected in using the (c) VACNTs electrode and (d) the smooth TiN electrode as a function of the amplitude (inset: number of detected spikes versus the spike amplitude). ** $P < 0.01$.



distribution. ESI Fig. S9† presents a stochastic model distribution of the distance-dependent spike amplitude deduced from the number of detected spikes and the average amplitude. The spike amplitude decreases with increasing distance from the soma;^{45,47–49} therefore, the neuronal activities could be analyzed using a stochastic model system.

The TGVH was assessed by investigating the performances of the TGVH electrode and a smooth commercial TiN electrode 30 μm in diameter. Measurements were performed after 3 weeks of cell culture, because mature neuronal cells fire high-frequency and high-amplitude action potentials.⁵⁰ Fig. 4(a) plots representative signals recorded using the TGVH electrodes, revealing a remarkably high signal amplitude compared to the commercial electrode. The noise floors of the two systems were similar. The maximum SNR of the TGVH electrode (287) was 5 times that of the TiN electrode (54.95), as shown in Fig. 4(b), with a similar RMS noise (TGVH electrode: 3.502 μV , commercial electrode: 3.528 μV). Although we did not expect that the TGVH electrode was completely engulfed by the cell, a large SNR was observed, similar to the results obtained from a mushroom-

shaped electrode.⁵¹ Therefore, we assumed that the TGVH electrode induced strong coupling between the electrode and the cell, possibly due to the three-dimensional VACNT electrode with a shrunken and crinkly surface, as shown in Fig. S3.† Fig. 4(c) and (d) show that the spikes recorded from 3 representative TGVH electrodes spanned a wide range of negative values, from -30 to -1275 μV , whereas those recorded from the TiN electrodes fell within the range -30 to -200 μV . As with the carbon nanotube electrodes interfaced with retinal tissue,¹⁶ the number of detected spikes was larger when using the TiN electrodes, whereas the TGVH electrodes showed signals with a higher amplitude. The difference in the number of spike events was mainly attributed to the electrode size, whereas the amplitude distribution depended on the electrode–cell coupling. The diameter of the active TGVH electrode was 5 μm ; therefore, it was unlikely that the electrode was coupled to more than one cell, although one cannot completely rule out the possibility of signal superposition from a nearby neuron cell. Both electrodes exhibited similar distributions of spike amplitudes, with TGVH showing slightly larger distributions.

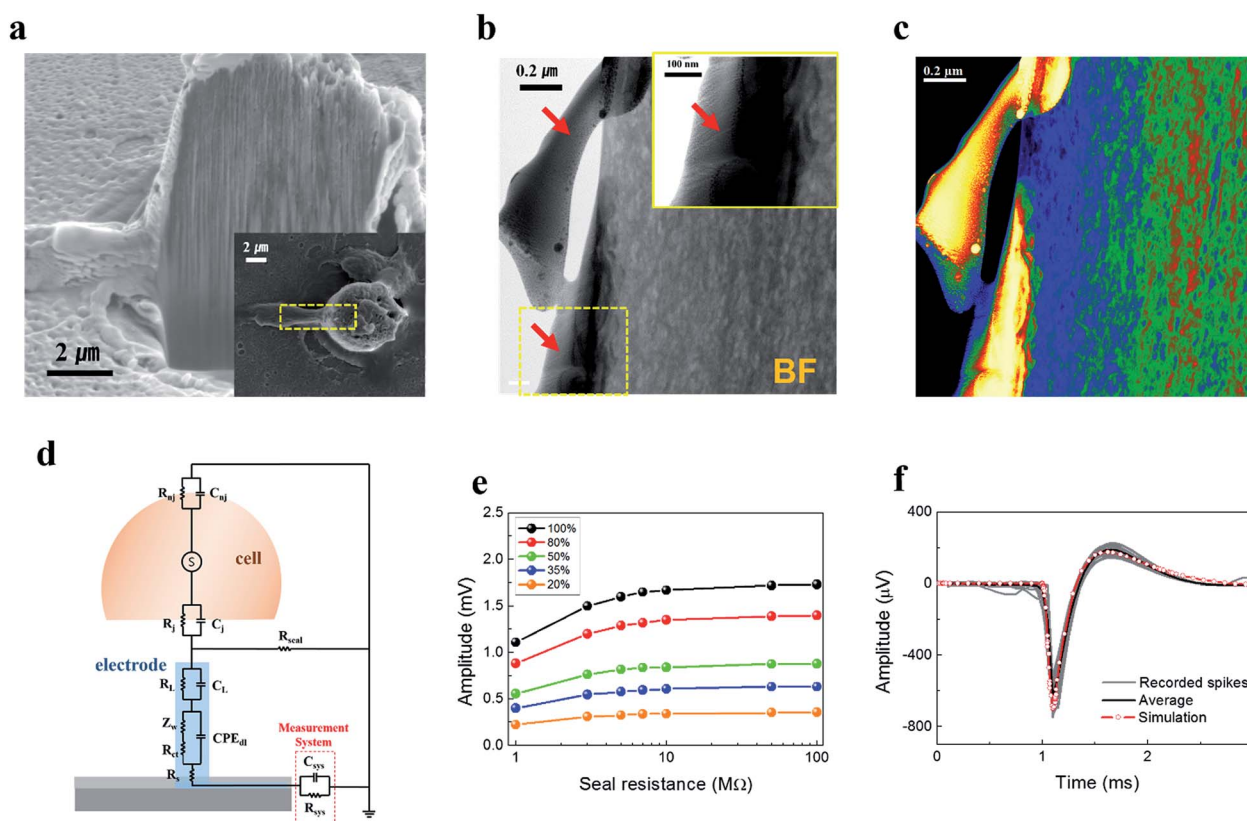


Fig. 5 Simulation of the amplitude expected from the extracellular action potential as a function of the seal resistance and coupling coefficient, based on the TEM images. (a) The cross-sectional SEM image of a cortical neuron on a VACNT electrode after fixation (inset: top view). (b) Bright-Field (BF) TEM images of the interface between a neuron and a VACNT electrode in the area marked in (a) (inset: high-magnification view of the yellow area marked in (b)). The red arrows indicate the neuron membrane). (c) High-angle annular dark-field (HAADF) image and corresponding energy dispersive spectrometry (EDS) (as described in detail in the ESI Fig. S10†) results revealed the intensive accumulation of Ga ions on the remaining cell during the cross-sectioning process. (d) Equivalent circuit of the interface between the cortical neuron and the VACNT electrode (as described in detail in the ESI†). (e) The expected amplitudes of the recorded extracellular action potential, simulated as a function of the seal resistance and the contact area ratio between the neuron and the VACNT electrode (as described in detail in the ESI S11 and S12†). (f) The simulated spike (red line), assuming a 35% contact area ratio and a 50 $\text{M}\Omega$ seal resistance, corresponded closely to the average amplitude (black line) of the recorded spikes (gray line).



The cause of the enhanced signal amplitude measured using the TGVH electrodes was explored using transmission electron microscopy (TEM) analysis of the interface in Fig. 5 and S10†. Fig. 5(a) shows a cross-sectional SEM image of a cortical neuron cultured on a TGVH electrode at 7 DIV. As shown in Fig. 5(b) and (c), no cleft formed between the VACNTs with an average tube diameter of 10–20 nm,²³ and the cell membrane ensured that the cultured neurons closely adhered to the VACNT surfaces.⁵² The tight adhesion led to a high seal resistance associated with a high SNR.⁵³ We could not expect the cells to engulf the VACNTs, as in the case of sub-micron electrodes;^{9,18,54} therefore, we considered the contact area ratio between the electrode (Fig. S11†) and the cell (as described in detail in the ESI†). In our model, the seal resistance used for the simulation was estimated to exceed 50 M Ω , considering that the three-dimensional structure produced a narrow partial cleft (<10 nm).^{9,51,53,54} This value is comparable with that of capped, high-aspect ratio nanoscale cylinder extensively investigated in the recent work of Santoro *et al.*⁵⁵ The equivalent circuit (Fig. 5(d)) predicted the expected amplitude of the extracellular action potential, which depended on the seal resistance and the contact area ratio, as shown in Fig. 5(e). The shape and amplitude of the simulated spike corresponded well to the average extracellular action potential recorded using the TGVH electrode (Fig. S12†), as shown in Fig. 5(f).

Conclusion

TGVH electrodes were developed to facilitate the optical monitoring of cells and the simultaneous recording of extracellular signals. Spontaneous action potentials from cortical neurons measured using TGVH electrodes exhibited an exceptionally high signal amplitude and SNR. High signal amplitudes are characteristic of CNTs, given the porosity of the CNT network, the suitability of the surface properties for cell adhesion and proliferation, and the electrical conductivity of the CNTs. VACNTs in TGVH electrodes provide a protruded geometry that is suitable for cell interfaces. Post-fabrication treatments formed nanoscale structures that favored cell attachment and growth. The TGVH electrodes exhibited a high capacitance and a high charge injection limit, which lowered the noise levels and decreased the impedance. The recorded signals, which had a variety of spike waveforms, revealed that three-dimensional VACNT electrodes with nanostructured surfaces favorably interfaced with all segments of a cortical neuron cell. The modified structures provided a high SNR (287) due to excellent cell adhesion, 5 times the cell adhesion obtained using the commercial TiN electrode. The TGVH electrodes may enable minimally invasive, sensitive cellular signal measurements over prolonged periods of time while permitting optical monitoring or control at the same time. These advantages of the TGVH electrodes may be useful in basic science research geared toward understanding brain function, as well as in electrode engineering applications for electrocorticography. Remaining issues that must be addressed prior to the development of practical applications of TGVH electrodes include: increasing the device yield and construction on flexible substrates to form conformal contact with brain tissue. Although these limitations

are still being addressed, TGVH electrodes provide a useful tool for drug screening, toxicity assessments, and measurements of signal transfer in cells.

Acknowledgements

This research was supported by the Creative Fusion Research Program through the Creative Allied Project funded by the National Research Council of Science & Technology (CAP-12-1-KIST), the Electronics & Telecommunications Research Institute (project 16ZH1210), and by the 2015 Research Fund (1.150114.01) of UNIST (Ulsan National Institute of Science & Technology). Authors also acknowledge the assistance of Professor Min Sun Kim at Wonkwang University Hospital in extracting primary neuronal cells.

References

- 1 L. R. Hochberg, M. D. Serruya, G. M. Fries, J. A. Mukand, M. Saleh, A. H. Caplan, A. Branner, D. Chen, R. D. Penn and J. P. Donoghue, *Nature*, 2006, **442**, 164–171.
- 2 C. E. Bouton, A. Shaikhouni, N. V. Annetta, M. A. Bockbrader, D. A. Friedenber, D. M. Nielson, G. Sharma, P. B. Sederber, B. C. Glenn, W. J. Mysiw, A. G. Morgan, M. Deogaonkar and A. R. Rezai, *Nature*, 2016, **533**, 247–250.
- 3 T. J. Oxley, N. L. Opie, S. E. John, G. S. Rind, S. M. Ronayne, T. L. Wheeler, J. W. Judy, A. J. McDonald, A. Dornom, T. J. H. Lovell, C. Steward, D. J. Garrett, B. A. Moffat, E. H. Lui, N. Yassi, B. C. V. Campbell, Y. T. Wong, K. E. Fox, E. S. Nurse, I. E. Bennett, S. H. Bauquier, K. A. Liyanage, N. R. Nagel, P. Perucca, A. Ahnood, K. P. Gill, B. Yan, L. Churilov, C. R. French, P. M. Desmond, M. K. Horne, L. Kiers, S. Prawer, S. M. Davis, A. N. Burkitt, P. J. Mitchell, D. B. Grayden, C. N. May and T. J. O'Brien, *Nat. Biotechnol.*, 2016, **34**, 320–327.
- 4 K. J. Yu, D. Kuzum, S.-W. Hwang, B. H. Kim, H. Juul, N. H. Kim, S. M. Won, K. Chiang, M. Trumpis, A. G. Richardson, H. Cheng, H. Fang, M. Thompson, H. Bink, D. Talos, K. J. Seo, H. N. Lee, S.-K. Kang, J.-H. Kim, J. Y. Lee, Y. Huang, F. E. Jensen, M. A. Dichter, T. H. Lucas, J. Viventi, B. Litt and J. A. Rogers, *Nat. Mater.*, 2016, **15**, 782–791.
- 5 M. Heim, L. Rousseau, L. Reculosa, V. Urbanova, S. J. Mazzocco, L. Bouffier, K. Vytras, P. Bartlett, A. Kuhn and B. Yvert, *J. Neurophysiol.*, 2012, **108**, 1793–1803.
- 6 S. F. Cogan, *Annu. Rev. Biomed. Eng.*, 2008, **10**, 275–309.
- 7 E. W. Keefer, B. R. Botterman, M. I. Romero, A. F. Rossi and G. W. Gross, *Nat. Nanotechnol.*, 2008, **3**, 434–439.
- 8 C. Xie, Z. Lin, L. Hanson, Y. Cui and B. Cui, *Nat. Nanotechnol.*, 2012, **7**, 185–190.
- 9 A. Hai, J. Shappir and M. E. Spira, *J. Neurophysiol.*, 2010, **104**, 559–568.
- 10 T. Dvir, B. P. Timko, D. S. Kohane and R. Langer, *Nat. Nanotechnol.*, 2011, **6**, 13–22.
- 11 B. Tian, J. Liu, L. Jin, J. H. Tsui, Q. Qing, Z. Suo, R. Langer, D. S. Kohane and C. M. Lieber, *Nat. Mater.*, 2012, **11**, 986–994.



- 12 J. Liu, T.-M. Fu, Z. Cheng, G. Hong, T. Zhou, L. Jin, M. Durruri, Z. Jing, P. Kruskal, C. Xie, Z. Suo, Y. Fang and C. M. Lieber, *Nat. Nanotechnol.*, 2015, **10**, 629–636.
- 13 J. T. Robinson, M. Jorgolli, A. K. Shalek, M.-H. Yoon, R. S. Gertner and H. Park, *Nat. Nanotechnol.*, 2012, **7**, 180–184.
- 14 B. Tian, T. Cohen-Karni, Q. Qing, X. Duan, P. Xie and C. M. Lieber, *Science*, 2010, **329**, 830–834.
- 15 X. Duan, R. Gao, P. Xie, T. Cohen-Karni, Q. Qing, H. S. Choe, B. Tian, X. Jing and C. M. Lieber, *Nat. Nanotechnol.*, 2012, **7**, 174–179.
- 16 A. Shoval, C. Adams, M. David-Pur, M. Shein, Y. Hanein and E. Sernagor, *Front. Neuroeng.*, 2009, **2**, 1–8.
- 17 L. Bareket-Keren and Y. Hanein, *Front. Neural Circuits*, 2013, **6**, 1–16.
- 18 Z. C. Lin, C. Xie, Y. Osakada, Y. Cui and B. Cui, *Nat. Commun.*, 2014, **5**, 3206.
- 19 X. Xie, W. Zhao, H. R. Lee, C. Liu, M. Ye, W. Xie, B. Cui, C. S. Criddle and Y. Cui, *ACS Nano*, 2014, **8**, 11958–11965.
- 20 T. Limongi, F. Cesca, F. Gentile, R. Marotta, R. Ruffilli, A. Barberis, M. D. Maschio, E. M. Petrini, S. Santoriello, F. Benfenati and E. D. Fabrizio, *Small*, 2013, **9**, 402–412.
- 21 M. Li, N. Hakimi, R. Perez, S. Waldman, J. A. Kozinski and D. K. Hwang, *Adv. Mater.*, 2015, **27**, 1880–1886.
- 22 B.-L. Hsu, I.-J. Teng, Y.-C. Chen, W.-L. Hsu, Y.-T. Lee, S.-J. Yen, H.-C. Su, S.-R. Yeh, H. Chen and T.-R. Yew, *Adv. Mater.*, 2010, **22**, 2177–2181.
- 23 S. Park, D.-W. Park, C.-S. Yang, K.-R. Kim, J.-H. Kwak, H.-M. So, C. W. Ahn, B. S. Kim, H. Chang and J.-O. Lee, *ACS Nano*, 2011, **9**, 7061–7068.
- 24 D.-W. Park, A. A. Schendel, S. Mikael, S. K. Brodnick, T. J. Richner, J. P. Ness, M. R. Hayat, F. Atry, S. T. Frey, R. Pashaie, S. Thongpang, Z. Ma and J. C. Williams, *Nat. Commun.*, 2014, **5**, 5258.
- 25 D. Kuzum, H. Takano, E. Shim, J. C. Reed, H. Juul, A. G. Richardson, J. D. Vries, H. Bink, M. A. Dichter, T. H. Lucas, D. A. Coulter, E. Cubukcu and B. Litt, *Nat. Commun.*, 2014, **5**, 5259.
- 26 Y. Zhu, L. Li, C. Zhang, G. Casillas, Z. Sun, Z. Yan, G. Ruan, Z. Peng, A.-R. O. Raji, C. Kittrell, R. H. Hauge and J. M. Tour, *Nat. Commun.*, 2012, **3**, 1225.
- 27 D. W. Jeong, J. Jung, G. H. Kim, C.-S. Yang, J. J. Kim, S. D. Jung and J.-O. Lee, *Nanotechnology*, 2015, **26**, 335701.
- 28 N. Chakrapani, B. Wei, A. Carrillo, P. M. Ajayan and R. S. Kane, *Proc. Natl. Acad. Sci. U. S. A.*, 2004, **101**, 4009–4012.
- 29 X. Zhang, S. Prasad, S. Niyogi, A. Morgan, M. Ozkan and C. S. Ozkan, *Sens. Actuators, B*, 2005, **106**, 843–850.
- 30 E. García-Fruitós, J. Seras-Franzoso, E. Vazquez and A. Villaverde, *Nanotechnology*, 2010, **21**, 205101.
- 31 G. Cellot, F. M. Toma, Z. K. Varley, J. Laishram, A. Villari, M. Quintana, S. Cipollone, M. Prato and L. Ballerini, *J. Neurosci.*, 2011, **7**, 12945–12953.
- 32 N. Punbusayakul, L. Ci, S. Talapatra, W. Surareungchai and P. M. Ajayan, *J. Nanosci. Nanotechnol.*, 2007, **8**, 1–6.
- 33 D. A. Walsh, K. R. J. Lovelock and P. Licence, *Chem. Soc. Rev.*, 2010, **38**, 4185–4194.
- 34 D. W. M. Arrigan, *Analyst*, 2004, **129**, 1157–1165.
- 35 W. Wang, S. Guo, I. Lee, K. Ahmed, J. Zhong, Z. Favors, F. Zaera, M. Ozkan and C. S. Ozkan, *Sci. Rep.*, 2014, **4**, 4452.
- 36 J. C. Williams, J. A. Hippensteel, J. Dilgen, W. Shain and D. R. Kipke, *J. Neural Eng.*, 2007, **4**, 410–423.
- 37 P. Norlin, M. Kindlundh, A. Mouroux, K. Yoshida and U. G. Hofmann, *J. Micromech. Microeng.*, 2002, **12**, 414–419.
- 38 B. J. Kim, J. T. W. Kuo, S. A. Kuo, C. D. Lee, L. Yu, C. A. Gutierrez, T. Q. Hoang, V. Pikov and E. Meng, *J. Neural Eng.*, 2013, **10**, 045002.
- 39 C. R. Butson and C. C. McIntyre, *Clin. Neurophysiol.*, 2005, **116**, 2490–2500.
- 40 M. A. Dichter, *Brain Res.*, 1978, **149**, 279–293.
- 41 H. J. Romijn, M. T. Mud, A. M. Habets and P. S. Wolters, *Brain Res.*, 1981, **227**, 591–605.
- 42 Y. H. Kim, G. H. Kim, A. Y. Kim, Y. H. Han, M.-A. Chung and S.-D. Jung, *J. Neural Eng.*, 2015, **12**, 066029.
- 43 Y. H. Kim, G. H. Kim, A.-Y. Kim, N. S. Beak, J. I. Jeong, Y. H. Han, B. C. Shin, M.-A. Chung and S.-D. Jung, *RSC Adv.*, 2015, **5**, 6675–6681.
- 44 C. Gold, C. C. Girardin, K. A. C. Martin and C. Koch, *J. Neurophysiol.*, 2009, **102**, 3340–3351.
- 45 G. Buzsáki, C. A. Anastassiou and C. Koch, *Nat. Rev. Neurosci.*, 2012, **13**, 407–420.
- 46 D. C. Cooper, *Neurochem. Int.*, 2002, **41**, 333–340.
- 47 C. Gold, D. A. Henze, C. Koch and G. Buzsáki, *J. Neurophysiol.*, 2006, **95**, 3113–3128.
- 48 D. A. Henze, Z. Borhegyi, J. Csicsvari, A. Mamiya, K. D. Harris and G. Buzsáki, *J. Neurophysiol.*, 2000, **84**, 390–400.
- 49 G. Buzsáki, *Nat. Neurosci.*, 2004, **7**, 446–451.
- 50 Z.-W. Zhang, *J. Neurophysiol.*, 2004, **91**, 1171–1182.
- 51 A. Hai, A. Dormann, J. Shappir, S. Yitzchaik, C. Bartic, G. Borghs, J. P. M. Langedijk and M. E. Spira, *J. R. Soc., Interface*, 2009, **6**, 1153–1165.
- 52 G. Cellot, E. Cilia, S. Cipollone, V. Rancic, A. Sucapane, S. Giordani, L. Gambazzi, H. Markram, M. Gandolfo, D. Scaini, F. Gelain, L. Casalis, M. Prato, M. Giugliano and L. Ballerini, *Nat. Nanotechnol.*, 2009, **4**, 126–133.
- 53 M. E. Spira and A. Hai, *Nat. Nanotechnol.*, 2013, **8**, 83–94.
- 54 S. M. Ojovan, N. Rabieh, N. Shmoel, H. Erez, E. Maydan, A. Cohen and M. E. Spira, *Sci. Rep.*, 2015, **5**, 14100.
- 55 F. Santoro, S. Dasgupta, J. Schnitker, T. Auth, E. Neumann, G. Panitov, G. Gompper and A. Offenhäusser, *ACS Nano*, 2014, **8**, 6713–6723.

