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# Physico-chemical and biological properties of C<sub>60</sub>-L-hydroxyproline water solutions†

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This paper presents experimental data on a physico-chemical and biological study of C<sub>60</sub>-L-hydroxyproline derivative water solutions. The data on the temperature dependence of solubility in water, concentration dependences of density, specific conductivity, molar conductivity, and dissociation constants as well as experimental data on dynamic light scattering and biological effects on *in vitro* proliferation of cultured human epithelial kidney cells and *in situ* – on state of plants are presented and discussed.

## 1. Introduction

The relevance of studying derivatives of fullerenes with amino acids is mainly associated with their potential applications in biology and medicine.<sup>1–3</sup> We will briefly discuss the main results obtained in this area. Kotelnikova *et al.* studied the influence of water-soluble derivatives of C<sub>60</sub> with DL-alanine and DL-alanyl-DL-alanine on the structure and permeability of the lipid bilayer of liposomes based on phosphatidylcholine.<sup>4</sup> Hu *et al.* synthesized and characterized amphiphilic derivatives of C<sub>60</sub> with alanine, cysteine and arginine. It was revealed that these compounds can penetrate into the cell membrane and reduce the accumulation of reactive oxygen species (ROS) and cell injury caused by hydrogen peroxide in the pheochromocytoma cells (PC12).<sup>5</sup> Kumar *et al.* synthesized a conjugate of C<sub>60</sub> with lysine and studied the biological properties of the obtained compound.<sup>6</sup> It was shown that a C<sub>60</sub> – lysine derivative demonstrates a high effectiveness against cleavage of DNA upon irradiation with visible light in the presence of a reduced form of nicotinamide adenine dinucleotide (NADH). Jiang *et al.* synthesized a derivative of C<sub>60</sub> with glycine.<sup>7</sup> It was shown that the C<sub>60</sub> – glycine derivative leads to the death of cancer cells, and the effectiveness of the synthesized compound increases in a dose-dependent manner.<sup>7</sup> Authors of ref. 8 and 9 have explored the neuroprotective properties of hybrid structures based on C<sub>60</sub> and derivatives of proline. Kotelnikova *et al.* studied the antioxidant properties of water-soluble derivatives of C<sub>60</sub> with sodium salts of aminobutyric and aminocaproic acid.<sup>10</sup> The

authors revealed that amino acid derivatives are potential suppressors of cytomegaloviral infection.

Jennepalli *et al.* carried out the synthesis of mono and bis[60] fullerene-based dicationic peptoids.<sup>11</sup> The resulting hydrophobic, scaffolded di- and tetra-cationic derivatives were tested against *Staphylococcus aureus* NCTC 6571 and *Escherichia coli* NCTC 10418. Zhang *et al.* synthesized *N*-substituted, 3,4-fullero pyrrolidine according to the 1,3-dipolar cycloaddition of azomethineylide and concluded that synthesized compounds would have unique properties and potential uses in medicine and biology.<sup>12</sup>

Additional groups of scientific articles are devoted to the theoretical study of fullerene derivatives with amino acids: (i) the ability of C<sub>60</sub> fullerene to interact with amino acids (the calculation was performed using DFT-B3LYP/3-21G);<sup>13</sup> (ii) calculation of the molecular structure of hybrid derivatives based on C<sub>60</sub> and amino acids using quantum-chemical methods;<sup>14</sup> and (iii) calculation of dissociation constants (pK<sub>a</sub>) of fullerene derivatives with amino acids.<sup>15</sup> A series of experimental papers devoted to the (i) investigation of pH, ionic strength and nature of the substituent influence on the C<sub>60</sub> – amino acids, peptides derivatives degree of association,<sup>16–21</sup> (ii) measuring of heat capacities of the amino acids and peptides derivatives aqueous solutions,<sup>22</sup> (iii) studying of temperature dependence of solubility in water, concentration dependences of density, specific conductivity, molar conductivity, dissociation constant, activity coefficients as well as size distribution in water solutions should also be mentioned.<sup>23–25</sup>

The present paper is devoted to a physico-chemical and biological investigation of water solutions of the C<sub>60</sub>-L-hydroxyproline derivative (C<sub>60</sub>-Hyp) (Fig. 1) — C<sub>60</sub>(C<sub>5</sub>H<sub>9</sub>NO<sub>3</sub>)<sub>2</sub> (isothermal and temperature dependences of the densities, concentration dependence of the refractive index, specific and molar conductivities, size distribution in water as well as the solubility of the C<sub>60</sub>-Hyp–water binary system and *in vitro*

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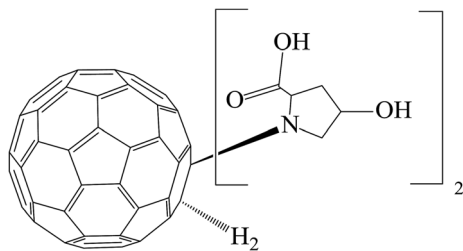


Fig. 1 Adduct of the  $C_{60}$  fullerene with L-hydroxyproline.

cytotoxic effect of  $C_{60}$ -Hyp on human epithelial kidney cells (HEK293) and *in situ* – influence of the  $C_{60}$ -Hyp on state of some plants are presented and discussed).

## 2. Experimental section

The amino acid derivative of  $C_{60}$  fullerene with L-hydroxyprolin ( $C_{60}$ -Hyp) –  $C_{60}(C_5H_9NO_3)_2$  of a mass fraction purity of 99.8% was used for the physico-chemical investigation of the water solutions. The reagent was produced in Ltd ZAO "ILIP" (St. Petersburg). Additionally, we have carried out the identification of the purchased  $C_{60}$ -Hyp using complex physico-chemical methods (IR and UV spectroscopy, mass-spectrometry, elemental analysis).

The measurements of the concentration dependence of the density of the  $C_{60}$ -Hyp aqueous solutions was performed using a pycnometer method. We used a quartz pycnometer, and the volume calibration was performed with distilled water. The accuracy of the temperature control during the density measurements was  $\Delta T = \pm 0.1 \div 0.2$  K degrees, and the accuracy of the density determinations was equal to  $\Delta \rho = \pm 0.001$  g  $cm^{-3}$ . The series of the  $C_{60}$ -Hyp water solutions were prepared by dilution of the stock solution at  $298.15 \pm 0.05$  K.

The concentration dependence of the refractive index of the  $C_{60}$ -Hyp derivative water solutions ( $n_D^{20}$ ) was measured by refractometry using an Abbe refractometer IRF-454B2M (measurement limits in transmission light  $n_D^{25} = 1.3$ –1.7,  $\Delta n_D^{20} = \pm 0.0001$ , the accuracy of temperature control  $\Delta T = \pm 0.2$  K).

The temperature dependence of the  $C_{60}$ -Hyp derivative solubility in water in the temperature range 293.15–353.15 K was carried by the method of isothermal saturation in ampoules. The saturation time was equal to 8 h; the temperature was maintained with an accuracy equal to  $\pm 0.05$  K. For the  $C_{60}$ -Hyp water solution saturation, the LAUDA ET 20 thermostatic shaker was used at a shaking frequency  $\omega \approx 80$   $c^{-1}$ , and quantitative determination of the  $C_{60}$ -Hyp concentrations in water was performed using a spectrophotometric technique at 330 nm (after the dilution and cooling of the saturated solutions). The relative uncertainty of the solubility determination was equal to  $\pm 5\%$ . Relative air humidity was 40–50%.

For the thermal investigation of the  $C_{60}$ -Hyp derivative, we used a Netzsch STA 449 F1 Jupiter and Netzsch QMS 403CAeolus apparatus, and the temperature range was 303.15–1300.15 K in the air. The heating rate was 5 K  $min^{-1}$ .

For determination of the specific conductivity of the  $C_{60}$ -Hyp water solutions, the Cyber Scan PC-300 measuring device was used. The relative uncertainty of the specific conductivity determination was equal to  $\pm 1\%$ . The solutions used were saturated by atmospheric air.

The measurements of the  $C_{60}$ -Hyp nanoparticle size distribution in aqueous solutions of different concentrations and the electrokinetic potential measurements were carried out by dynamic light scattering on the Malvern Zetasizer (Great Britain) device. The relative uncertainty of the zeta-potential determination was equal to 5% ( $\Delta \zeta = \pm 5$  arb.%).

For the cytotoxicity assay of the  $C_{60}$ -Hyp, the human embryonic kidney cells (HEK 293) were cultured in a  $CO_2$ -incubator at 310.15 K in a humidified atmosphere containing air and 5%  $CO_2$ . HEK 293 cells were obtained from BioloT (Saint Petersburg, Russian Federation) and were grown in Dulbeccos Modified Eagle's Medium (BioloT) containing 10% (v/v) heat-inactivated fetal bovine serum (FBS, HyClone Laboratories, UT, USA), 1% L-glutamine, 50 U  $ml^{-1}$  penicillin, and 50  $\mu g$   $ml^{-1}$  streptomycin (BioloT).

The toxicity of the  $C_{60}$ -Hyp was assessed using a standard colorimetric assay of functionality of mitochondrial dehydrogenases with tetrazolium dye – 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT-assay). HEK293 cells were plated at a density of  $10 \times 10^3$  cells per 200  $\mu l$  per well in 96-well microtiter tissue culture plates (Falcon, USA) and were given time to adhere to the plate. After overnight culture, fresh Dulbeccos Modified Eagle's Medium containing different concentrations of the  $C_{60}$ -Hyp (0–100  $\mu M$ ) was added into the wells, and the HEK293 cells were incubated for 48 h. After that, 100  $\mu l$  per well of Dulbeccos modified Eagle's medium and 20  $\mu l$  of a 2.5  $mg$   $ml^{-1}$  MTT solution were added, and the cells were incubated for 1 h at 310.15 K. After careful removal of the supernatants, the MTT-formazan crystals formed by the metabolically viable cells were dissolved in dimethyl sulfoxide (100  $\mu l$  per well), and the absorbance was measured at 540 nm and 690 nm in a multimodal plate reader (Varioskan™ LUX, Thermo Fishes Scientific). Statistical analysis of the MTT data was done utilizing the Student *t*-test. Differences between the mean values were considered significant when  $p < 0.05$ .

Estimation of the biological activity of the  $C_{60}$ -Hyp derivative included determination of the concentration ranges with positive, neutral and inhibiting effects on germination of the "Ajur" variety cress (*Lepidium sativum* L.) seeds as well as on roots and sprout growth within 7 days after the seed soaking. The control samples were grown in pure water. After 3 days, we determined the seeds germinating energy, and after 7 days, we calculated the germinative ability of the seeds and measured the root and sprout lengths. The investigation was carried out according to the International seed testing association rules (ISTA) and generally accepted methods.<sup>26–29</sup> All experiments were repeated in triplicate.

The  $C_{60}$ -Hyp plant growth stimulating ability, influence on the photosynthetic apparatus function in leaves as well as the antioxidant properties of the plants were determined in two vegetation experiments carried out in a controlled environment with spring barley (*Hordeum vulgare* L.). The plant growth was



performed using aeration tanks with hydroponic solutions and artificial illumination.<sup>30</sup> The C<sub>60</sub>-Hyp concentration in the hydroponic solution containing micro and macro-elements was equal to 1 mg l<sup>-1</sup>.<sup>31</sup>

The plants grown using the nutrient solution without C<sub>60</sub>-Hyp in the pH range 6.2–6.9 were used as a control. Aerating of the solutions was performed continuously using compressors and air-conducting elements. Changing the solutions as well as pH monitoring were performed every three days. Experiments were carried out under the following conditions: duration of light period was equal to 14 hours, the temperature was maintained within 25 ± 2 °C, and the relative humidity was equal to 65 ± 5%.

For estimation of the physiological state of the plants, we used a spectroscopic method based on the radiation reflection from the leaves' surfaces. The reflective spectra were registered *in situ* using the fiber-optic spectroradiometric system Ocean Optics (USA) with an optical resolution of 0.065 nm. For spectroscopic investigations, we used well-formed and established leaves. All spectra we recorded for no fewer than 10 plants and repeated in duplicates. According to the obtained data, we calculated the reflective indexes<sup>32,33</sup> and the chlorophyll (ChlRI) and anthocyanin (ARI) indexes (eqn (1) and (2)):

$$\text{ChlRI} = \frac{(R_{750} - R_{705})}{(R_{750} + R_{705} - 2R_{445})}, \quad (1)$$

where ChlRI – chlorophyll reflection index;  $R_{750}$ ,  $R_{705}$ ,  $R_{445}$  – reflections at the wavelengths of the radiation, 750 nm, 705 nm, 445 nm, respectively.<sup>34</sup>

$$\text{ARI} = R_{750} \left( \frac{1}{R_{550}} - \frac{1}{R_{700}} \right), \quad (2)$$

where ARI – anthocyanin reflection index;  $R_{750}$ ,  $R_{700}$ ,  $R_{550}$  – reflections at the wavelengths of the radiation, 750 nm, 700 nm, 550 nm, respectively.<sup>35</sup>

Both of the indexes (ChlRI and ARI) are in a correlation with the net productivity of plants, which allowed an estimation of the physiological state of plants.<sup>32,33</sup>

The assimilated surface area of the leaves was calculated according to eqn (3):

$$S = \frac{PS_1n}{P_1}, \quad (3)$$

where  $S$  – assimilated surface area of the leafage,  $S_1$  – surface area of one segment of the leaf,  $n$  – number of segments,  $P$  – total mass of leaves (g),  $P_1$  – mass of leaf segment (g).

Evaluating the C<sub>60</sub>-Hyp ability to influence the antioxidant properties of plants was performed according to generally accepted methods.<sup>36–39</sup> For the antioxidant properties investigation, we determined several parameters: (i) intensity of lipid peroxidation, (ii) total content of ROS, and (iii) superoxide dismutase (SOD) activity.

The intensity of the lipid peroxidation in barley leaves was determined by measuring the malondialdehyde–thiobarbituric acid adducts.<sup>36,37</sup>

ROS content was evaluated according to the method based on transformation of adrenalin to adrenochrome with a further

spectrophotometric analysis of the adrenochrome concentration at 480 nm. The rate of superoxide radical formation was calculated according to eqn (4):

$$\bar{V} = \frac{\Delta D}{t_1}, \quad (4)$$

where  $\Delta D$  is a difference between the optical densities of the homogenate containing adrenalin and the water solution of the homogenate,  $t_1$ , is the incubation time (min) evaluated in the relative units (1 rel. un. = 10<sup>-3</sup> optical units per min).<sup>38,39</sup>

SOD activity was measured using a method based on the ability of SOD to compete with nitroblue tetrazolium dye for superoxide radicals.<sup>39</sup>

After finishing the vegetation experiments, the plant growth biometrics were measured: the length of the roots and above-ground parts of the plant, number of culms and leaves, total mass of the plants as well as the masses of the plant organs: culms, leaves and roots. The root length values corresponded to the length of the longest root and the length of the aboveground parts corresponding to the highest plant shoots. The Wilcoxon rank test was used for statistical analysis. Differences between the mean values were considered significant when  $p < 0.05$ . All calculations were performed in the Statistica 8 program.

## 3. Results and discussion

### 3.1 Isothermal solution densities

Experimental data on the isothermal water solutions densities of the C<sub>60</sub>-Hyp derivative are presented in Fig. 2. The concentration dependence of the average molar volume ( $\bar{V}$ ) of the solution components was calculated, according to the classical thermodynamic equation (eqn (5)):

$$\bar{V} = \frac{V}{n_{\text{H}_2\text{O}} + n_{\text{C}_{60}\text{-Hyp}}}, \quad (5)$$

where  $V$  is the volume of the C<sub>60</sub>-Hyp water solution;  $n_{\text{H}_2\text{O}}$  and  $n_{\text{C}_{60}\text{-Hyp}}$  are the molar quantities of water and the C<sub>60</sub>-Hyp derivative in 1 dm<sup>3</sup> of solution. The partial molar volumes of the solution components ( $V_{\text{H}_2\text{O}}$  and  $V_{\text{C}_{60}\text{-Hyp}}$ ) were calculated according to (eqn (6) and (7)):<sup>40–42</sup>

$$V_{\text{H}_2\text{O}} = \left( \frac{\partial V}{\partial n_{\text{H}_2\text{O}}} \right)_{T,P,n_{\text{C}_{60}\text{-Hyp}}}, \quad V_{\text{C}_{60}\text{-Hyp}} = \left( \frac{\partial V}{\partial n_{\text{C}_{60}\text{-Hyp}}} \right)_{T,P,n_{\text{H}_2\text{O}}}, \quad (6)$$

$$V_{\text{H}_2\text{O}} = \bar{V} - x_{\text{C}_{60}\text{-Hyp}} \left( \frac{\partial \bar{V}}{\partial x_{\text{C}_{60}\text{-Hyp}}} \right)_{T,P},$$

$$V_{\text{C}_{60}\text{-Hyp}} = \bar{V} - x_{\text{H}_2\text{O}} \left( \frac{\partial \bar{V}}{\partial x_{\text{H}_2\text{O}}} \right)_{T,P}. \quad (7)$$

The concentration dependence of the average molar volume ( $\bar{V}$ ) and partial molar volumes of the water solution components ( $V_{\text{H}_2\text{O}}$  and  $V_{\text{C}_{60}\text{-Hyp}}$ ) are represented in Fig. 3 and 4. An analysis of Fig. 3 and 4 shows that the  $V_{\text{C}_{60}\text{-Hyp}}(x_{\text{C}_{60}\text{-Hyp}})$  – function is convex-concave, thus, the  $\frac{\partial^2 V_{\text{C}_{60}\text{-Hyp}}}{\partial x_{\text{C}_{60}\text{-Hyp}}^2}$  derivative changes to negative in the area of infinitely diluted solutions to positive in the area of



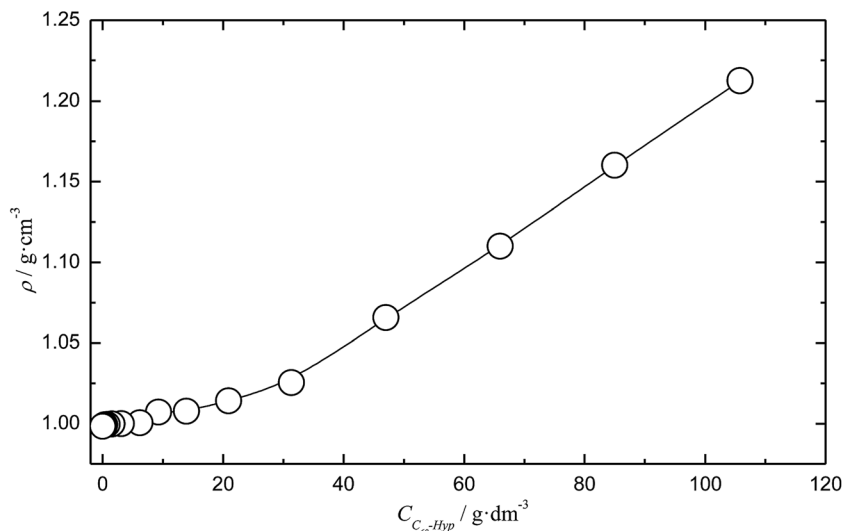


Fig. 2 Concentration dependence of the  $C_{60}$ -Hyp water solution density ( $\rho$ ) at 298.15 K.  $C_{C_{60}\text{-Hyp}}$  is a volume concentration of the  $C_{60}$ -Hyp derivative.

more concentrated solutions. The opposite situation takes place with the concentration dependence of  $V_{H_2O}$ . The latest function is concave-convex, and the  $\frac{\partial^2 V_{H_2O}}{\partial x_{H_2O}^2}$  function changes from positive in the area of infinitely diluted solutions to negative in more concentrated solutions.

One can see that in the area of diluted solutions, the concentration dependence of the  $C_{60}$ -Hyp partial molar volume is rather complex. Fig. 4b shows the fast increasing of the  $V_{C_{60}\text{-Lys}}(x_{C_{60}\text{-Hyp}})$  function in the area of low concentrations,  $x_{C_{60}\text{-Hyp}} < 3 \times 10^{-5}$ . The high absolute values of the  $C_{60}$ -Hyp partial molar volumes in the concentration range  $x_{C_{60}\text{-Hyp}} < 3 \times 10^{-5}$  reveal that the addition of even small portions of the  $C_{60}$ -Hyp derivative result in extreme compactness and structures the solution. This fact demonstrates that the  $C_{60}$ -Hyp molecules are naturally

embedded in the structure of the solution and occupy the volume corresponding to the electronic structure. Moreover, the  $V_{C_{60}\text{-Lys}}$  values in the area of the infinitely diluted solutions exceed (in modulus) the value of the average molar volume of the solid  $C_{60}$ -Hyp more than 20 times. The same dependences were previously demonstrated for binary system carboxylated fullerenes ( $C_{60}[C(COOH)_2]_3$ ,  $C_{70}[C(COOH)_2]_3$ )-water,<sup>43,44</sup> fullerenols ( $C_{60}(OH)_{22-24}$ ,  $C_{70}(OH)_{12}$ )-water,<sup>45,46</sup>  $C_{60}$ -Arg-water.<sup>25</sup>

### 3.2 Refraction of water solutions

The concentration dependence of the  $C_{60}$ -Hyp water solutions' refraction index ( $n_D^{25}$ ) is presented in Fig. 5. The concentration dependences of specific and molar refractions of the  $C_{60}$ -Hyp aqueous solutions at 298 K were calculated using (eqn (8) and (9)).<sup>47</sup>

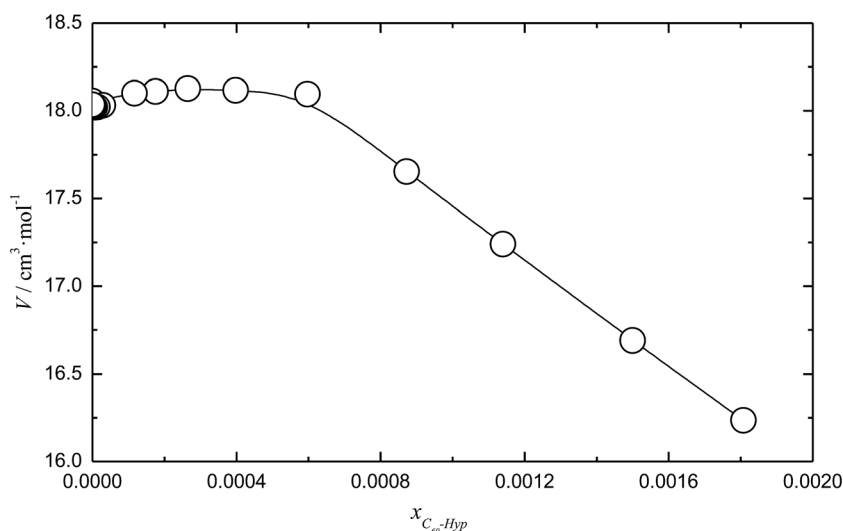


Fig. 3 Mole fraction ( $x_{C_{60}\text{-Hyp}}$ ) dependence of the average molar volume ( $V$ ) of the  $C_{60}$ -Hyp water solution at 298.15 K.



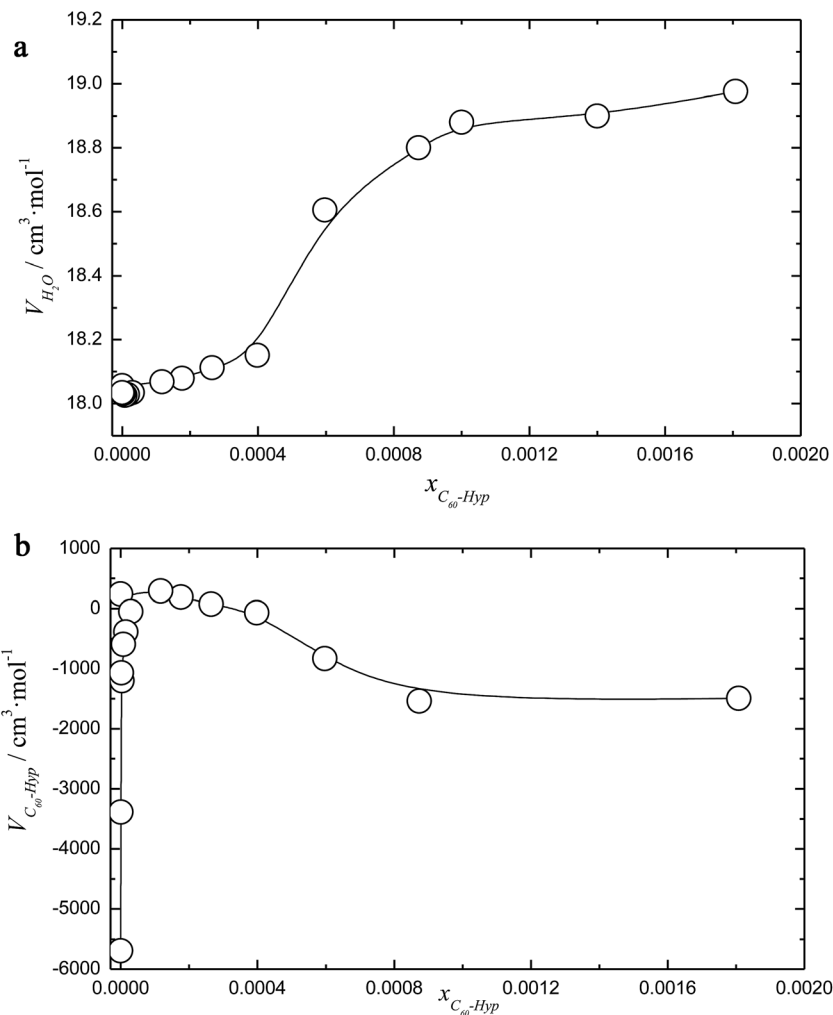


Fig. 4 Mole fraction dependence of the partial volumes ( $V_{H_2O}$  and  $V_{C_{60}\text{-Hyp}}$ ) of the solution components (a and b) at 298.15 K.

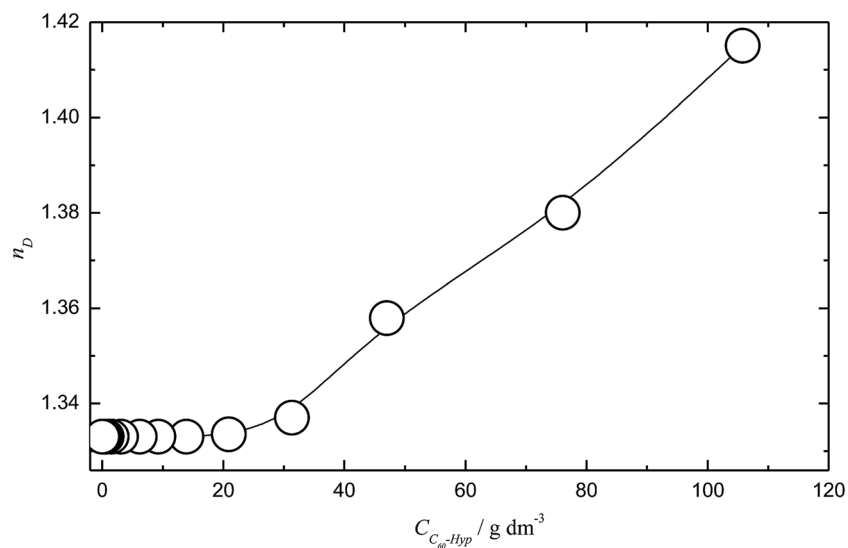


Fig. 5 Concentration dependence of the  $C_{60}$ -Hyp water solution refraction index ( $n_D$ ) at 298 K.



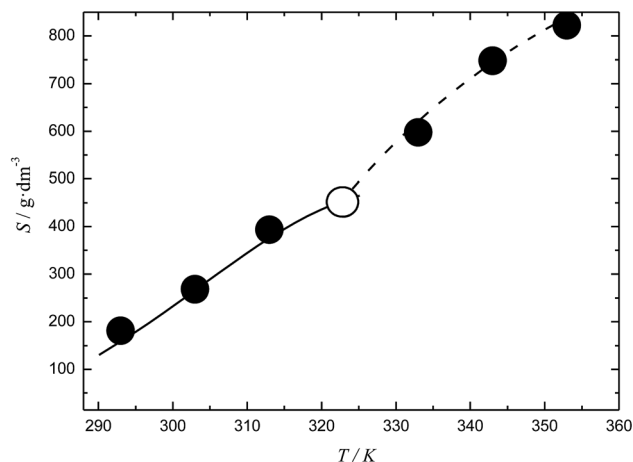


Fig. 6 Temperature dependences of the solubility ( $S$ ) of the  $C_{60}$ -Hyp water solutions. Solid line corresponds to the crystallization of  $C_{60}$ -( $C_5H_9NO_3$ )<sub>2</sub>·2H<sub>2</sub>O, dashed line corresponds to the crystallization of the non-hydrated fullerene derivative –  $C_{60}(C_5H_9NO_3)_2$ . O is a non-invariant point corresponding to simultaneous saturation by both solid phases,  $C_{60}(C_5H_9NO_3)_2$ ·2H<sub>2</sub>O and  $C_{60}(C_5H_9NO_3)_2$ .

$$r = \left( \frac{n_D^{25^2} - 1}{n_D^{25^2} + 2} \right) \frac{1}{\rho} \quad (8)$$

$$R = \left( \frac{n_D^{25^2} - 1}{n_D^{25^2} + 2} \right) \frac{\bar{M}}{\rho}, \quad (9)$$

where  $r$ ,  $R$  – are specific ( $\text{cm}^3 \text{g}^{-1}$ ) and molar ( $\text{cm}^3 \text{mol}^{-1}$ ) refractions, respectively, and  $\bar{M}$  is the average molecular weight of the solution:  $\bar{M} = x_{H_2O} \cdot M_{H_2O} + x_{C_{60}\text{-Hyp}} \cdot M_{C_{60}\text{-Hyp}}$  ( $\text{g mol}^{-1}$ ). Concentration dependencies of molar and specific refractions are presented in the ESI† (Fig. 1 and 2). According to the rule of additivity of the solution refractions, we can obtain (eqn (10) and (11)):<sup>47</sup>

$$r = (r_{H_2O} \cdot w_{H_2O} + r_{C_{60}\text{-Hyp}} \cdot w_{C_{60}\text{-Hyp}}) \times \frac{1}{100}, \quad (10)$$

$$R = R_{H_2O} \cdot x_{H_2O} + R_{C_{60}\text{-Hyp}} \cdot x_{C_{60}\text{-Hyp}} \quad (11)$$

where  $r_i$ ,  $R_i$  – specific and molar refraction of the  $i$ -th component, respectively, and  $w_i$ ,  $x_i$  – mass and molar fractions of the  $i$ -th component, respectively. Thus, using the value of the water refraction, we can easily calculate the molar and specific

refractions of the  $C_{60}$ -Hyp derivative ( $r_{C_{60}\text{-Hyp}}$ ,  $R_{C_{60}\text{-Hyp}}$ ) (Fig. 3 and 4 of ESI†). One can see that both values of the molar ( $R_{C_{60}\text{-Hyp}}$ ) and specific ( $r_{C_{60}\text{-Hyp}}$ ) refractions are constant. Taking into account the low accuracy of the refraction data at low concentrations ( $x_{C_{60}\text{-Hyp}} < 2 \times 10^{-4}$ ), we did not use them for the calculations.

We can also calculate the  $C_{60}$ -Hyp molar refraction according to the Eisenlohr additivity rule<sup>35</sup> using the atomic refractions –  $R_{(j)}$  of the  $i$ -th atom in the  $j$ -th functional group:

$$R^{\text{add}} \approx 70R_c + 4R_{O(-OH)} + 2R_{O(=CO)} + 2R_{N(-NH_2)} + 18R_H + 2R_{=} \approx 208 \pm 10 \text{ cm}^3 \text{mol}^{-1}, \quad (12)$$

The discrepancy in the molar refraction calculation is connected to the choice of the spectral lines ( $H_\alpha[\lambda = 658.3 \text{ (nm)}]$  and  $H_\gamma[\lambda = 436.1 \text{ (nm)}]$ ).

The specific refraction of the  $C_{60}$ -Hyp derivative can be calculated using (eqn (13)):

$$r^{\text{add}} = \frac{R^{\text{add}}}{M(C_{60}\text{-Lys})} \approx 0.219 \text{ cm}^3 \text{g}^{-1}. \quad (13)$$

The values of the specific and molar refractions calculated according to the Eisenlohr additivity rule well agreed with the data calculated from the solution refraction indexes. Moreover, the value of the  $C_{60}$ -Hyp specific refraction is very close to the specific refraction of water – ( $r^{\text{add}}(\text{HOH}) \approx 0.207 \pm 0.003 \text{ cm}^3 \text{g}^{-1}$ ).

### 3.3 Temperature dependence of the $C_{60}$ -Hyp solubility in water and water solution densities

The temperature dependences of the  $C_{60}$ -Hyp solubility and density in water are presented in Fig. 6. One can see the following: (i) the  $C_{60}$ -Hyp derivative is rather soluble in water (hundreds  $\text{g dm}^{-3}$ ), and such values correspond to the solubility of soluble phases such as fullerenol-d<sup>45</sup> or, for example, halite—NaCl;<sup>48</sup> (ii) the diagram of the solubility consists of two branches corresponding to the solubility of the crystallohydrate  $C_{60}(C_5H_9NO_3)_2 \cdot 2H_2O$  (low-temperature branch) and non-hydrated fullerene derivative  $C_{60}(C_5H_9NO_3)_2$  (high-temperature branch). Such dependences are not surprising, for example, the same dependences take place in the  $C_{60}$ -nonane,  $C_{60}(C_{70})$ -butanoic acid binary systems.<sup>49,50</sup> In addition, the branch of the  $C_{60}(C_5H_9NO_3)_2 \cdot 2H_2O$  crystallization produced in

Table 1 Experimental data on the electric conductivity of the  $C_{60}$ -Hyp water solutions

$C/\text{g dm}^{-3}$	$M/\text{mol dm}^{-3}$	$\alpha$	$\kappa/S \text{ cm}^{-1}$	$\lambda/S \text{ cm}^2 \text{mol}^{-1}$	$\text{pK}_D$
0	0	1.00 (extrapolation)	0.00	1455 (extrapolation)	4.2 (extrapolation)
0.109	$1.126 \times 10^{-4}$	0.77	$1.255 \times 10^{-4}$	1115	4.2
0.219	$2.262 \times 10^{-4}$	0.68	$2.240 \times 10^{-4}$	990	4.3
0.437	$4.514 \times 10^{-4}$	0.64	$4.223 \times 10^{-4}$	935	4.4
0.875	$9.039 \times 10^{-4}$	0.62	$8.115 \times 10^{-4}$	897	4.4
1.750	$1.81 \times 10^{-3}$	0.59	$1.55 \times 10^{-3}$	855	4.4
2.500	$2.58 \times 10^{-3}$	0.56	$2.09 \times 10^{-3}$	809	4.4
5.000	$5.17 \times 10^{-3}$	0.53	$4.00 \times 10^{-3}$	774	4.2



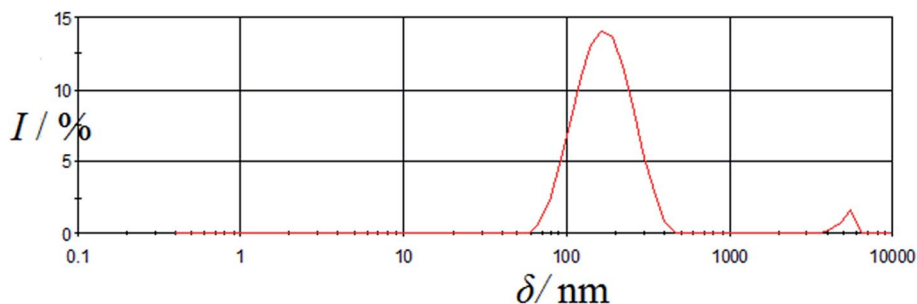


Fig. 7 Size distribution of the  $C_{60}$ -Hyp nanoparticles in aqueous solutions ( $1 \text{ g dm}^{-3}$ ).  $I$  – intensity (%),  $\delta$  – size of the associates (nm).

the metastable region is situated almost on the same line as the branch of crystallization for the non-hydrated fullerene derivative; (iii) the solubility diagram consists of one nonvariant point (point O in Fig. 6) corresponding to simultaneous saturation by both solid phases ( $C_{60}(C_5H_9NO_3)_2 \cdot 2H_2O$  and  $C_{60}(C_5H_9NO_3)_2$ ); (iv) the solubility of the crystallohydrate  $C_{60}(C_5H_9NO_3)_2 \cdot 2H_2O$  and  $C_{60}(C_5H_9NO_3)_2$  significantly increases with the increasing temperature ( $\frac{dS_{C_{60}\text{-Hyp}}}{dT} > 0$ ).

The same singularity in the phase transition in the solid phase was observed for the temperature dependence of the density ( $\rho(T)$ ) for the  $C_{60}$ -Hyp–water system (Fig. 5 of ESI†). The complex density dependence of the solubility can be caused by several factors: decreasing the solvent and solution densities; symbate changing of the solution densities and concentrations of the  $C_{60}$ -Hyp solutions.

### 3.4 Conductivity of water solutions of $C_{60}$ -Hyp

The concentration dependence of the specific electric conductivity of the  $C_{60}$ -Hyp water solutions at 298.15 K was investigated by carrying out measurements of the specific resistance of the solutions  $\rho$  (ohm cm):<sup>51</sup>

$$\kappa = \frac{1}{\rho} \quad (14)$$

The specific electric conductivity corresponds to the conductivity of the unit volume of solution located between two parallel planar electrodes (at the distance of 1 cm) with the

surface equal to  $1 \text{ cm}^2$ . One can see (Table 1) that the dependence  $\kappa(C_M)$  ( $C_M$  is molarity of the  $C_{60}$ -Hyp water solution) increases with the increasing concentration.

Molar electric conductivity ( $\lambda - S \text{ cm}^2 \text{ mol}^{-1}$ ), i.e., conductivity of the electrolyte volume containing 1 mole of the solute, was calculated using (eqn (15)):<sup>51</sup>

$$\lambda = \frac{1000\kappa}{C_M}, \quad (15)$$

where  $C_M$  is the molarity of the solution ( $\text{mol dm}^{-3}$ ). Experimental data on the molar conductivity are presented in Table 1. For the molar electric conductivity determination in the infinitely diluted solutions ( $\lambda_0$ ), we extrapolated the  $\lambda(C_M^{1/2})$  dependence to  $C_M^{1/2} = 0$ , according to the Onsager equation:<sup>51</sup>

$$\lambda = \lambda_0 - AC_M^{\frac{1}{2}}, \quad (16)$$

where  $A$  is a constant under the conditions of the experiment.

The apparent degree of dissociation  $\alpha$  was calculated, according to eqn (17):<sup>51</sup>

$$\alpha = \frac{\lambda}{\lambda_0}, \quad (17)$$

The calculated values of the apparent degree of dissociation are presented in Table 1 ( $\lambda_0 \approx 1.5 \times 10^3 S \text{ cm}^2 \text{ mol}^{-1}$ ). The analysis of Table 1 shows that in the whole concentration range, the  $C_{60}$ -Hyp is a middle-strength and strong electrolyte. We propose the protonic mechanism of the  $C_{60}$ -Hyp derivative dissociation according to the following scheme eqn (18):

**Table 2** Size distribution of the  $C_{60}$ -Hyp associates in water solutions at 298 K.  $\delta_0$ ,  $\delta_I$ ,  $\delta_{II}$ ,  $\delta_{III}$  – average diameters of the monomer molecule, first, second and third type associates, respectively.  $N_{0 \rightarrow 1}$  – average number of monomer molecules of  $C_{60}$ -Hyp in the first order clusters,  $N_{0 \rightarrow 2}$  – average number of monomer molecules of  $C_{60}$ -Hyp in the second order clusters,  $N_{0 \rightarrow 3}$  – average number of monomer molecules of  $C_{60}$ -Hyp in the third order clusters,  $N_{1 \rightarrow 2}$  – average number of the first order clusters in the second order clusters,  $N_{1 \rightarrow 3}$  – average number of the first order clusters in the third order clusters,  $N_{2 \rightarrow 3}$  – average number of the second order clusters in the third order clusters,  $\xi$  – zeta-potential

$C/g \text{ l}^{-1}$	$\delta_0/\text{nm}$	$\delta_I/\text{nm}$	$\delta_{II}/\text{nm}$	$\delta_{III}/\text{nm}$	$N_{0 \rightarrow 1}$	$N_{0 \rightarrow 2}$	$N_{0 \rightarrow 3}$	$N_{1 \rightarrow 2}$	$N_{1 \rightarrow 3}$	$N_{2 \rightarrow 3}$	$\xi/\text{mV}$
0	2	—	—	—	1	—	—	—	—	—	—
0.01	—	40	200	—	$8 \times 10^3$	$3 \times 10^5$	—	300	—	—	–35
0.1	—	40	200	—	$8 \times 10^3$	$3 \times 10^5$	—	300	—	—	–40
1.0	—	—	200	5000	—	$3 \times 10^5$	$2 \times 10^9$	—	$5 \times 10^5$	$8 \times 10^3$	–40
2.5	—	—	200	5000	—	$3 \times 10^5$	$2 \times 10^9$	—	$5 \times 10^5$	$8 \times 10^3$	–40
5	—	—	200	5000	—	$3 \times 10^5$	$2 \times 10^9$	—	$5 \times 10^5$	$8 \times 10^3$	–50



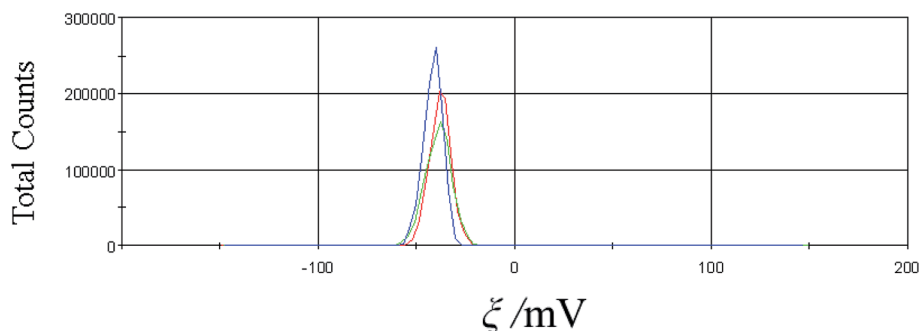


Fig. 8 Zeta potential of the  $C_{60}$ -Hyp water solution ( $C = 2.5 \text{ g dm}^{-3}$ ). The measurements were repeated three times (red, blue and green curves).

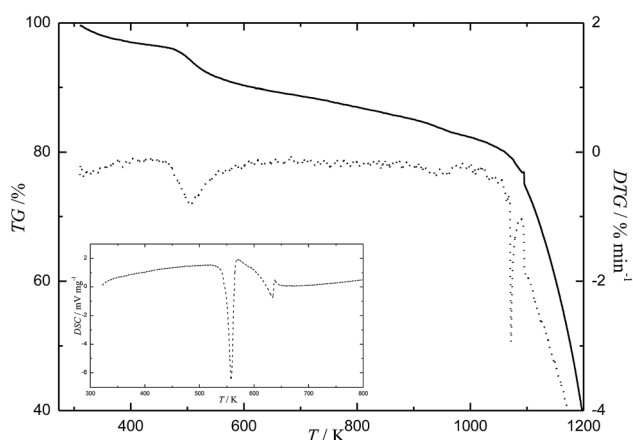


Fig. 9 Thermal analysis of the  $C_{60}$ -Hyp derivative.



The concentration dependence of the concentration dissociation constant –  $K_D$  (see Table 1) was calculated according to the “Ostwald dilution law” (neglecting the activity coefficients of the ions and non-dissociated molecules –  $\gamma_i = \gamma_{\pm} = 1$ ):<sup>51</sup>

$$K_D = \frac{C_M \alpha^2}{(1 - \alpha)}, \quad (19)$$

The thermodynamic dissociation constant –  $K_D^{\text{therm}}$  was calculated by extrapolation of  $K_D(C_M)$  dependence into the region of an infinitely diluted solution:

$$K_D^{\text{therm}} = \lim_{C_M \rightarrow 0} (K_D), \quad pK_D = -\lg K_D \quad (20)$$

According to our calculation,  $pK_D^{\text{therm}} = 3.7$ .

It should be noted that the calculation of the concentration dependence of  $pK_D$  is conventional because the  $C_{60}$ -Hyp particles exist in solution in the form of large colloid species. Thus, the amino acid moieties may be hidden from water, and the real number of the ionizing groups is lower than the formal molar concentration presented in eqn (19) and (20).

### 3.5 Size distribution of the $C_{60}$ -Hyp associates in water solutions

The experimental data on the concentration dependence of the size distribution of the  $C_{60}$ -Hyp associates in water solutions at 298.15 K are represented in Fig. 7 and Table 2. From the experimental and calculated data presented in Table 2, we can conclude the following: (i) no monomer molecules (with linear dimension-diameter  $\approx 2 \text{ nm}$ ) were detected in all the investigated solutions (even in diluted solution);<sup>43–46</sup> (ii) we observed the formation of three types of associates in the  $C_{60}$ -Hyp–water binary system in the concentration range up to  $5 \text{ g dm}^{-3}$ : a first order associate with linear dimensions of 40 nm, a second order associate with linear dimensions of 200 nm and a third order associate with linear dimensions of 5000 nm; (iii) for the description of such experimental facts in the association process, the model of consequent hierarchical association can be used.<sup>45–48</sup> We consider that the monomer spherical molecules form the first type spherical associates, and the first type spherical associates form the second type spherical associates. After that, the second type spherical associates form the third type spherical associates (the last one corresponds to the colloid heterogeneous system).

The number of  $i$ -th type associates packed into the  $(i + 1)$ -th type associates –  $N_{i \rightarrow i+1}$  was estimated by the following equation:<sup>43–46</sup>

**Table 3** Complex thermal analysis of the  $C_{60}$ -Hyp crystallohydrate.  $T^m$  – temperature of the thermal effect maximum,  $T_b$  and  $T_e$  – temperatures of the beginning and finishing of the thermal effect,  $\Delta m_i/m_0$  – the mass loss,  $m_0$  – initial mass

No.	$T^m/^{\circ}\text{C}$ ( $T_b - T_e$ )/K	$\Delta m_i/m_0^{\text{calc}}$ (%)	$\Delta m_i/m_0^{\text{exp}}$ (%)	Process
1	428.15, 303.15–473.15	3.5	3.7	$C_{60}(C_5H_9NO_3)_2 \cdot 2H_2O \rightarrow C_{60}(C_5H_9NO_3)_2 + 2H_2O$
2	623.15, 493.15–733.15	8.4	8.8	$C_{60}(C_5H_9NO_3)_2 \rightarrow C_{60}(C_4H_9NO)_2 + 2CO_2$
3	893.15, 773.15–1103.15	14.2	14.4	$C_{60}(C_4H_9NO)_2 + 11.5O_2 \rightarrow C_{60} + N_2 + 8CO_2 + 9H_2O$
4	1173.15, 1123.15–1253.15	>60	>60	Particular oxidation of $C_{60}$





**Table 4** The effect of the C<sub>60</sub>-Hyp water solutions on the morphological and physiological characteristics of the seeds of cress variety Azur

C <sub>60</sub> -Hyp concentration, mg l <sup>-1</sup>	Germination energy		Germination capacity		Shoot length		Root length	
	%	% to control	%	% to control	cm	% to control	cm	% to control
0 (water)	61	100	70	100	3.9 ± 0.3	100	5.5 ± 0.5	100
0.001	63	103	72	103	4.2 ± 0.5	108	5.7 ± 0.5	104
0.01	57	93	70	100	4.2 ± 0.3	108	5.6 ± 0.7	102
0.1	51 <sup>a</sup>	84 <sup>a</sup>	68	97	4.5 ± 0.3 <sup>a</sup>	115 <sup>a</sup>	5.8 ± 0.8	106
1	43 <sup>a</sup>	71 <sup>a</sup>	65	93	4.7 ± 0.3 <sup>a</sup>	121 <sup>a</sup>	6.0 ± 0.7	109
10	30 <sup>a</sup>	49 <sup>a</sup>	64	91	4.7 ± 0.3 <sup>a</sup>	121 <sup>a</sup>	6.4 ± 0.6	116
25	32 <sup>a</sup>	53 <sup>a</sup>	67	96	4.4 ± 0.3	113	6.2 ± 0.7	113
50	25 <sup>a</sup>	41 <sup>a</sup>	56 <sup>a</sup>	80 <sup>a</sup>	4.3 ± 0.3	110	5.7 ± 0.6	104
100	20 <sup>a</sup>	33 <sup>a</sup>	50 <sup>a</sup>	71 <sup>a</sup>	4.1 ± 0.3	105	5.3 ± 0.5	96
500	16 <sup>a</sup>	26 <sup>a</sup>	44 <sup>a</sup>	63 <sup>a</sup>	3.1 ± 0.3 <sup>a</sup>	79 <sup>a</sup>	4.2 ± 0.5 <sup>a</sup>	76 <sup>a</sup>

<sup>a</sup> Significant difference from control at  $p < 0.05$ .

$$N_{i \rightarrow i+1} = \left( \frac{d_{i+1}}{d_i} \right)^3 K_{\text{pack}}, \quad (21)$$

where  $K_{\text{pack}} \approx 0.52$  is a formal packing coefficient for the case of "little spheres, packed in the large sphere".

Additionally, the concentration dependence of the  $\xi$ -potential was measured for the C<sub>60</sub>-Hyp water solutions at 298 K. The experimental data on the  $\xi$ -potential determination are presented in Table 2 and Fig. 8. Analysis of the presented data reveals that the  $\xi$ -potential values of the investigated C<sub>60</sub>-Hyp water solutions are negative (in the concentration range 0.01–5 g l<sup>-1</sup>), and the  $\xi$ -potential values decrease from –35 mV ( $C = 0.01$  g l<sup>-1</sup>) to –50 mV ( $C = 5$  g l<sup>-1</sup>) with the increasing C<sub>60</sub>-Hyp concentration. We can conclude that the C<sub>60</sub>-Hyp colloid solutions are stable, and the stability of the colloid systems increases with the increasing solution concentration.

### 3.6 Complex thermal analysis of the C<sub>60</sub>-Hyp derivative

The results of the complex thermal analysis of the C<sub>60</sub>-Hyp derivative are presented in Fig. 9 and Table 3. Analysis of Fig. 9

reveals the following: (i) the C<sub>60</sub>-Hyp derivative is rather thermally sensitive under the conditions of the experiment. The C<sub>60</sub>-Hyp decomposition starts at 493 K, and the temperature of the complete decomposition is equal to 1103 K; (ii) Fig. 9 and Table 3 shows that in the temperature range of 303–473 K, the dehydration of the C<sub>60</sub>-Hyp crystalhydrate takes place and, at 493 K, the process of oxidative thermal degradation of the amino acid substituting group. The latest process is followed by dehydration (–H<sub>2</sub>O), denitrogenation (–N<sub>2</sub>), decarboxylation (–CO<sub>2</sub>). The above mentioned processes come to end at 1103 K. Thus, the fullerene core significantly stabilizes the amino acid substituting groups; (iii) the oxidation of the fullerene core (C<sub>60</sub>) starts at 1123 K.

### 3.7 Biological activity of C<sub>60</sub>-Hyp

The results of the biological investigation performed using the cress (*Lepidium sativum* L.) and spring barley (*Hordeum vulgare* L.) revealed that the C<sub>60</sub>-Hyp derivative has significant biological activity. The treatment of the seeds by the water solutions of C<sub>60</sub>-Hyp leads to the following effects:

**Table 5** The effect of C<sub>60</sub>-Hyp\*\* as a part of the nutrient solution on the physiological parameters and net productivity of the spring barley variety Leningradskiy (results from two vegetation experiments)<sup>a</sup>

Experimental conditions	Parameters						
	Index of pigment content, relative units			Dry mass, g			
	ChlRI	ARI	Area of assimilating leaf surface, cm <sup>2</sup>	Roots	Stalks	Leaves	Total
Control, absolute values	0.41–0.45	0.55–0.72	168–374	0.10–0.19	0.24–0.25	0.35–0.41	0.69–0.85
C <sub>60</sub> -Hyp, absolute values	0.45–0.51*	0.43*–0.58*	192*–348	0.23*–0.34*	0.38*–0.41*	0.48*–0.53*	1.12*–1.24*
C <sub>60</sub> -Hyp, deviation to control, %	+10 to +13*	–22* to –19*	–7 to +14*	+79* to +130*	+58* to +64*	+29* to +37*	+46* to +62*
Hydroxyprolin, absolute values	0.44–0.49	0.54–0.61*	222*–292*	0.24*–0.33*	0.30*–0.36*	0.48*–0.54*	1.03*–1.22*
Hydroxyprolin, deviation to control, %	+7 to +9	–15* to –2	–22* to +32*	+74* to +140*	+25* to +44*	+32* to +37*	+44* to +49*

<sup>a</sup> \* Significant difference from control at  $p < 0.05$ . \*\* The average parameters are presented.



**Table 6** The effect of C<sub>60</sub>-Hyp as a part of the nutrient solution on the parameters of the antioxidant system of the spring barley variety Leningradskiy under artificial illumination

Parameters	Leaves			Roots		
	Control, absolute values	C <sub>60</sub> -Hyp		Control, absolute values	C <sub>60</sub> -Hyp	
		Absolute values	Deviation to control, %		Absolute values	Deviation to control, %
Lipid peroxidation, mM g <sup>-1</sup>	0.0065	0.0053 <sup>a</sup>	−18 <sup>a</sup>	0.0069	0.0069	0
SOD, relative units	1.0680	0.9513	−11	1.0770	1.2669 <sup>a</sup>	+18 <sup>a</sup>
ROS, relative units	3.3300	3.8700 <sup>a</sup>	+16 <sup>a</sup>	0.3300	0.63 <sup>a</sup>	+91 <sup>a</sup>

<sup>a</sup> Significant difference from control at  $p < 0.05$ .

(i) In the concentration range 0.1–25 mg l<sup>-1</sup>, we have determined the positive influence of the C<sub>60</sub>-Hyp derivative on plant growth during the early stages of ontogeny; (ii) in the concentration range up to 0.1 mg l<sup>-1</sup>, we did not detect any noticeable effects of C<sub>60</sub>-Hyp on plant growth; (iii) treatment of plants by C<sub>60</sub>-Hyp solutions in the concentration region from 50 to 100 mg l<sup>-1</sup> leads to decreasing germinating ability. Further increasing the C<sub>60</sub>-Hyp concentration up to 500 mg l<sup>-1</sup> results in decreasing germinating ability and the lengths of the roots or sprouts (Table 4).

The values of the germination energy determined after 3 days of seed steeping in water solutions of C<sub>60</sub>-Hyp showed the retarding of seed germination in the concentration range 0.1–500 mg l<sup>-1</sup>. Additionally, in the concentration range 0.1–25 mg l<sup>-1</sup>, the effect of seed germination retarding was temporary, and after six days, the germinating ability characteristics were the same as the control experiments.

For carrying out the vegetation experiment, the concentration of C<sub>60</sub>-Hyp was equal to 1 mg l<sup>-1</sup> for introducing the plant root zone.

Addition of 1 mg l<sup>-1</sup> of the C<sub>60</sub>-Hyp derivative to the aerating rooting medium under the hydroponic method of barley growing leads to an increase in the leaf surface area and the chlorophyll content (Table 5).

The obtained data revealed that the addition of C<sub>60</sub>-Hyp leads to the formation of a photosynthetic apparatus with a higher potential for photosynthesis and accumulation of dry matter in the plant. Moreover, the decreasing anthocyanin

index and increasing plant growth parameters were observed in the presence of the C<sub>60</sub>-Hyp water solution in barley rooting medium. The latest fact indirectly confirms the improvement of the physiological state of plants (Table 5).

Addition of C<sub>60</sub>-Hyp to the nutrient solution leads to an increase of the total plant biomass of 46–62%, in particular increasing the leaves, stems and root mass. The statistical analysis of the obtained data based on a nonparametric statistics method as well as the Wilcoxon test revealed the fidelity of the experimental results.

Moreover, it was determined that in comparison with pure L-hydroxyproline, the C<sub>60</sub>-Hyp derivative has a stronger influence on the physiological state of barley plants and their stem growth and an equal influence on the leaves and root growth. The latest fact shows the formation of plants with higher values of biomass under the influence of C<sub>60</sub>-Hyp (see Table 5).

Additionally, we have determined that the presence of C<sub>60</sub>-Hyp in the nutrient solutions influence the antioxidant properties of plants. In particular, the level of lipid peroxidation in barley leaves decreased by 18% and the superoxide dismutase activation in roots by 18% with a concomitant increase in the ROS generation in the leaves and roots (see Table 6).

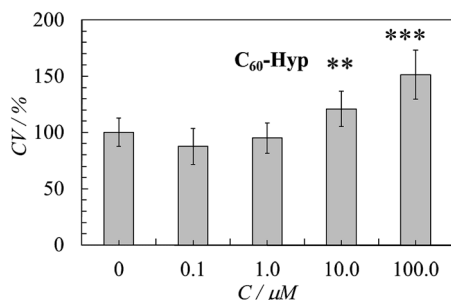
The ambiguity of the obtained experimental data can be explained by the ROS participating in the plant metabolism as well as the immunomodulatory effect of the C<sub>60</sub>-Hyp derivative. The action of C<sub>60</sub>-Hyp can be compared to a vaccine starting the immune response to a hazardous factor before its affection and significantly increasing the plant resistance.

### 3.8 Cytotoxicity of the C<sub>60</sub>-Hyp derivative

The MTT-assay for the HEK29 cells revealed that a 48 h incubation with the C<sub>60</sub>-Hyp derivative did not change the cell viability in the concentration range from 0.1 and 1.0 μM or significantly increased in the concentration range from 10.0 and 100.0 μM (Fig. 10). These results indicated that the C<sub>60</sub>-Hyp in the concentration range of 1–100 μM is nontoxic for human embryonic kidney cells and increases cell proliferation.

## 4. Conclusions

The complex novel physico-chemical properties of C<sub>60</sub>-Hyp water solutions were investigated. The concentration



**Fig. 10** Viability of the HEK292 cells after exposure for 48 h to 0 (control), 0.1, 1.0, 10.0 and 100.0 μM C<sub>60</sub>-Hyp. The data are presented as the mean ± S.E.M. \*\* $p < 0.01$ , \*\*\* $p < 0.001$  relative to the control, respectively. CV – cell viability (%), C – concentration of C<sub>60</sub>-Hyp (μM).



dependence of the density was investigated by the pycnometer method; the average molar and partial volumes of the solution components were calculated at 298.15 K. By the isothermal saturation method, the temperature dependence of the solubility of the C<sub>60</sub>-Hyp–water binary system was studied. The specific and molar conductivities, dissociation constant and apparent degree of dissociation were calculated for the C<sub>60</sub>-Hyp water solutions. By the dynamic light scattering method, the average size of the C<sub>60</sub>-Hyp associates was determined.

The biological study of C<sub>60</sub>-Hyp revealed the plant growth stimulating ability at certain concentrations caused by the positive influence of the C<sub>60</sub>-Hyp derivative on the photosynthesis apparatus work and antioxidant properties.

The C<sub>60</sub>-Hyp used for the *in vitro* study of HEK293 cells was non-toxic. The moderate stimulatory effect of the C<sub>60</sub>-Hyp on cell proliferation (about 1.5 times) was shown on cultured HEK293 cells. Therefore, the C<sub>60</sub>-Hyp might be a very attractive agent with potential utility in medicine and cosmetology to treat, for example, injury and wounds, such as muscle or tendon recovery, burns, after surgery, and to promote collagen production. The biological effect of the C<sub>60</sub>-Hyp and molecular mechanisms of its action require further detailed studies. The urgency of such investigations are closely connected with possibilities for application of the obtained results for the development and optimization of the water-soluble amino acid derivatives of fullerene application in biology and medicine.

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