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## Utilizing super-atom orbital ideas to understand properties of silver clusters inside ZSM-5 zeolite†

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The energetic properties of  $Ag_n$  clusters in ZSM-5 zeolite were investigated using density functional theory (DFT) calculations with the B3PW91 functional. Several optimized geometries ( $Ag_n$ -ZSM-5( $Al_m$ ),  $3 \leq n \leq 6$  and  $1 \leq m \leq 5$ ) were obtained using this method, where  $m$  is the number of Al atoms substituted for Si atoms of a ten-membered ring of ZSM-5. DFT calculations found that an  $Ag_n$  cluster is well stabilized within a ZSM-5( $Al_m$ ) cavity at  $n = m + 2$ . The stabilization conditions can be explained by frontier orbital theory because the HOMO of  $Ag_{m+2}$ -ZSM-5( $Al_m$ ) zeolites is composed by totally symmetric 5s-based orbitals on silver atoms. The totally symmetric 5s-based orbital corresponds to a superatom S-orbital in cluster chemistry. Accordingly, the  $Ag_{m+2}$ -ZSM-5( $Al_m$ ) zeolites have an  $S^2$  electronic configuration, being similar to magic-number silver clusters in the gas phase. Time-dependent DFT calculations found significant oscillator strength at the electronic transition between 5s-based orbitals from the totally symmetric orbital (S-orbital) to that with one node (P-orbital). The  $S \rightarrow P$  electronic transitions in  $Ag_{m+2}$ -ZSM-5( $Al_m$ ) follow the selection rule of electronic transitions of bare clusters. Because the excitation energies ( $\lambda_{max}$ ) change with an increase in the number of contained silver atoms, the  $S \rightarrow P$  electronic transitions of  $Ag_{m+2}$ -ZSM-5( $Al_m$ ) could be used to identify the state of the inner silver atoms.

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### Introduction

When silver atoms with an electronic configuration of  $4d^{10}5s^1$  aggregate to form clusters, the resulting clusters exhibit unique electronic properties dependent on the number of contained atoms and their shapes. In general, their electronic properties are due to frontier orbitals composed of a linear combination of 5s orbitals on silver atoms. These 5s-based orbitals with different numbers of nodes can be categorized by utilizing “superatom orbitals” such as S, P, and D orbitals.<sup>1–5</sup> The superatom orbitals, analogous to orbitals in hydrogen-like atoms, have an angular momentum quantum number ( $L$ ) ( $L =$

0, 1, and 2 for the S, P, and D orbitals, respectively) corresponding to the number of nodes of the 5s-based orbitals. Using superatom orbital ideas, the magic number of bare silver clusters and the selection rule in their electronic transitions ( $\Delta L = \pm 1$ ) can be determined.<sup>1–5</sup>

To modulate the electronic properties of silver clusters, one needs to control the size of silver clusters, and the use of nanometre-sized cavities of zeolites is promising for the stabilization of relatively small silver clusters.<sup>6–47</sup> Zeolite cavities have been shown to encapsulate silver clusters from 2 to 8 atoms in size.<sup>6–47</sup> For example, Faujasite and Linde-type A (LTA) zeolites contain  $Ag_3$  and  $Ag_6$  clusters in their cavities, respectively.<sup>47</sup> Previously, we combined UV-vis measurements with density functional theory (DFT) calculations to elucidate the state of silver atoms within ZSM-5 zeolites, whose ten-membered rings cavities are on the nanometre scale.<sup>48,49</sup> UV-vis measurements in previous studies found maximum peaks ranging from 300–320 nm.<sup>43,49</sup> These peaks can be assigned by electronic transitions of triangle  $Ag_3$  and butterfly  $Ag_4$  clusters inside ZSM-5, whose structures were optimized by DFT calculations (Fig. S1 (ESI†)).

Furthermore, DFT calculations<sup>48,49</sup> revealed that structures of  $Ag_3$  or  $Ag_4$  clusters within a ten-membered ring of ZSM-5 zeolite are influenced by the degree of substitution of Al atoms for Si atoms in  $SiO_2$  frameworks located within the ten-membered ring. The Si  $\rightarrow$  Al substitution in zeolites creates negative charges on framework oxygen atoms and positive charges on

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† Electronic supplementary information (ESI) available: Optimized geometries for  $Ag_3$ -ZSM-5( $Al_m$ ) and  $Ag_4$ -ZSM-5( $Al_m$ ) obtained in ref. 48 and 49 (S1); QM/MM ONIOM calculations of  $Ag_3$ -ZSM-5( $Al_1$ ) (S2); selected  $Ag_5$  and  $Ag_6$  clusters that are could be formed by the single-atom and dual-atom additions into  $Ag_4$  clusters (S3); optimized geometries for  $Ag_5$ -ZSM-5( $Al_m$ ) obtained in the current study (S4); optimized geometries for  $Ag_6$ -ZSM-5( $Al_m$ ) obtained in the current study (S5);  $E_{stabilize}$  values obtained from a different basis-set system (S6); frontier orbitals of  $Ag_5$ -ZSM-5( $Al_3$ ) and its truncated model (S7); frontier orbitals of  $Ag_6$ -ZSM-5( $Al_3$ ) and its truncated model (S8); models for time-dependent DFT calculations (S9); time-dependent B3PW91 DFT calculations of  $Ag_8$  clusters inside LTA zeolites, whose geometries were taken from ref. 82 (S10); and full lists in ref. 66 and 67 (S11). See DOI: 10.1039/c6ra26492a



silver atoms contained within clusters. The oppositely charged silver clusters and framework oxygen atoms interact through electrostatic attraction, stabilizing the inner cluster. In addition, the Si  $\rightarrow$  Al substitution is directly linked to the number of 5s electrons in silver clusters within a ZSM-5 cavity based on simple electron count arguments.<sup>48,49</sup> Accordingly, the Si  $\rightarrow$  Al substitution is the dominant factor in the strength of the orbital interactions between adjacent Ag cations, which is in turn responsible for determining the cluster structures within a zeolite cavity.

Although previous studies provided preliminary insight, knowledge is still lacking on the structural properties of ZSM-5 zeolites containing silver clusters larger than Ag<sub>3</sub> and Ag<sub>4</sub> clusters, which are experimentally proposed to be responsible for the UV-vis peaks at higher wavelengths. This study extends previous works to obtain atomistic information on the contained silver clusters and to examine the structural features of larger silver clusters inside a ZSM-5 cavity. This extension can allow us to find out conditions of stabilizing silver clusters inside a ZSM-5 cavity with the Si  $\rightarrow$  Al substitution. Moreover, the present paper newly applies superatom orbital ideas<sup>1-5</sup> to interpret the properties of silver clusters inside a ZSM-5 cavity with particular focus on the role of the substituted Al atoms in determining stability of inner silver clusters.

## Computational methods

The current study employed DFT calculations with the B3PW91 functional<sup>50</sup> to elucidate the properties of silver clusters inside a nanometre-sized cavity of ZSM-5 zeolite. Several previous computational studies,<sup>51-57</sup> including ours,<sup>48,49</sup> indicated that the B3PW91 functional can reproduce results obtained from more reliable calculations using coupled-cluster singlet double (CCSD)<sup>58</sup> methods for the stability of neutral Ag<sub>3</sub> isomers. Following our previous studies, periodic ZSM-5 structure was modelled using an aluminium-free ZSM-5 cluster (Si<sub>92</sub>O<sub>151</sub>H<sub>66</sub>).<sup>59-65</sup> Si atoms within a ten-membered ring of ZSM-5 zeolite were then substituted by Al atoms, where the number of the Al atoms is  $m$ . The ZSM-5 cavity containing the substituted Al atoms is denoted as ZSM-5(Al <sub>$m$</sub> ). Using the finite-size model, the initial structures were constructed by placing an Ag <sub>$n$</sub>  cluster into a ten-membered ring of ZSM-5(Al <sub>$m$</sub> ) zeolite. Then, we fully optimized initial structures for an Ag <sub>$n$</sub>  cluster contained within a ZSM-5(Al <sub>$m$</sub> ) cavity, designated by Ag <sub>$n$</sub> -ZSM-5(Al <sub>$m$</sub> ). Note that the formal charge of an Ag <sub>$n$</sub>  cluster inside a ZSM-5(Al <sub>$m$</sub> ) is  $m$ , and therefore the inner Ag <sub>$n$</sub>  cluster has  $n-m$  5s electrons. In our calculations, we considered the Ag <sub>$n$</sub> -ZSM-5(Al <sub>$m$</sub> ) structure with  $n-m$  being even (odd) in the singlet (doublet) state during the B3PW91 optimization.

During the optimization, we used Gaussian 09 code<sup>66</sup> instead of Gaussian 03 code<sup>67</sup> used in the previous studies.<sup>48,49</sup> This study re-optimized Ag<sub>3</sub>-ZSM-5(Al <sub>$m$</sub> ) and Ag<sub>4</sub>-ZSM-5(Al <sub>$m$</sub> ) geometries in Gaussian 09 code, although both codes yielded almost same results in terms of the energetics and geometrical features. The CEP-121G basis set was used for Ag atoms,<sup>68,69</sup> the 6-31G\* basis set for substituted Al atoms and for the two O atoms bound to a substituted Al atom,<sup>70-72</sup> and the 3-21G basis

set for all other atoms,<sup>73-78</sup> due to computational resource limitations. For example, Ag<sub>5</sub>-ZSM-5(Al<sub>1</sub>) and Ag<sub>6</sub>-ZSM-5(Al<sub>1</sub>) involve the 2857 and 2888 contracted basis functions, respectively. First, we checked reliability of the cluster-model calculations by comparing them with QM/MM ONIOM calculations<sup>79</sup> of a triangle Ag<sub>3</sub> cluster inside a ZSM-5(Al<sub>1</sub>) cavity. As shown in Fig. S2 (ESI<sup>†</sup>), optimized silver separations of the inner Ag<sub>3</sub> cluster in the cluster-model calculations are consistent with those in QM/MM ONIOM calculations, indicating accuracy in our cluster-model calculations. Furthermore, time-dependent DFT calculations were performed to investigate electronic transitions of silver cluster inside a ZSM-5 cavity, where the number of states was considered to be 50.

## Results and discussion

### Super-atom orbital ideas on bare small silver clusters

Let us first apply super-atom orbital ideas to understand frontier orbitals of a triangle Ag<sub>3</sub> cluster and square and tetragonal Ag<sub>4</sub> clusters. Fig. 1 displays frontier orbitals of prototype cluster structures: a triangle Ag<sub>3</sub> cluster and square and tetragonal Ag<sub>4</sub> clusters. Irrespective of the cluster structure, the most stable 5s-based orbital does not possess any nodes (totally symmetric). 5s-based orbitals with nodes have greater energy above the totally symmetric orbital. Based on superatom orbital ideas,<sup>1-5</sup> these 5s-based orbitals can be distinguished by the number of nodes; the orbital without any node corresponds to the S-orbital, and those with one and two nodes correspond to the P- and D-orbitals. When two electrons occupy the symmetric 5s-based orbital (S-orbital), their in-phase interaction makes adjacent silver atoms have attractive interactions, stabilizing the cluster structure. In fact, we optimized structures for Ag<sub>3</sub><sup>+</sup> and Ag<sub>4</sub><sup>2+</sup> clusters, where the S orbital is doubly occupied.<sup>48,49</sup> As the number of 5s electrons in a silver cluster increases beyond two, 5s-based orbitals with some nodes are occupied. Because of the presence of some nodes, the electron occupation in the orbitals leads to a partial weakening of Ag-Ag interactions, distorting the symmetric cluster.

Situations are more complicated in Ag<sub>5</sub> and Ag<sub>6</sub> clusters. We tried to obtain local minima of bare Ag<sub>5</sub> <sup>$m$ +</sup> and Ag<sub>6</sub> <sup>$m$ +</sup> clusters. As a result of computation, we obtained only Ag<sub>5</sub>, Ag<sub>5</sub><sup>+</sup>, and Ag<sub>5</sub><sup>2+</sup> clusters as well as Ag<sub>6</sub>, Ag<sub>6</sub><sup>+</sup>, and Ag<sub>6</sub><sup>2+</sup> clusters (Fig. 2). Optimization of Ag<sub>5</sub><sup>3+</sup> and Ag<sub>6</sub><sup>4+</sup> clusters, which would have doubly occupied S orbital, resulted in decomposition into small fragments such as Ag<sub>3</sub><sup>3+</sup> and Ag<sub>4</sub><sup>2+</sup> clusters plus single Ag<sup>+</sup> cations.<sup>80</sup> These results indicate that Ag<sub>5</sub> <sup>$m$ +</sup> and Ag<sub>6</sub> <sup>$m$ +</sup> clusters at  $m$  being large cannot exist in the gas-phase. Thus, not only attractive interactions from 5s-based orbital interactions, but also repulsive electrostatic interactions between silver cations are important in determining the structure of small silver clusters.

With respect to the optimized structures in Fig. 2, we found seven types of Ag<sub>5</sub> clusters and five types of Ag<sub>6</sub> cluster. Detailed information on geometrical features of silver clusters is listed in Tables 1 and 2. As shown in Fig. 2, Tables 1 and 2, DFT calculations found different optimized geometries for positive charged Ag<sub>5</sub> clusters. For Ag<sub>5</sub><sup>+</sup>, a ditrigonal orthogonal cluster is the most energetically stable. The next stable clusters take



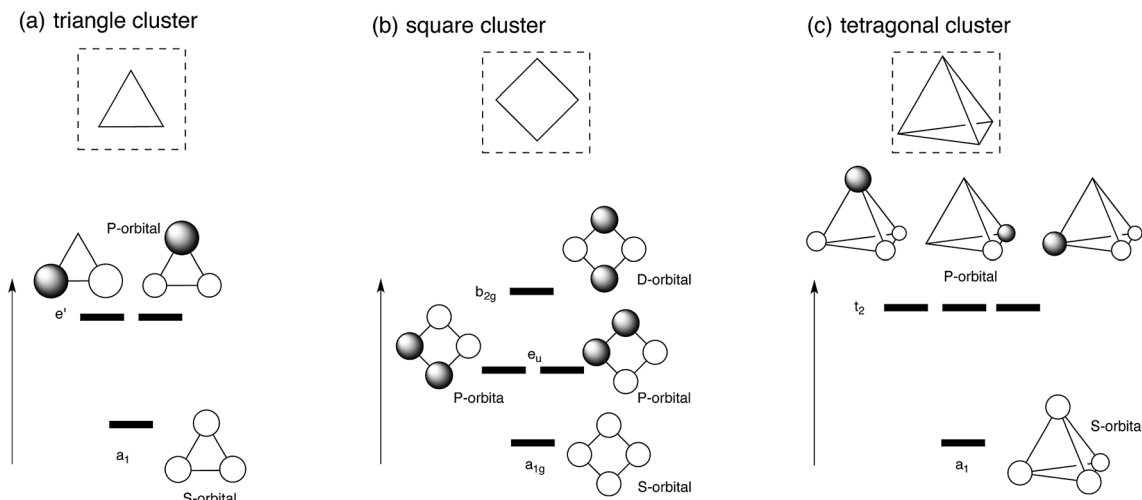


Fig. 1 Schematic view of frontier orbitals of prototype silver clusters: (a) triangle  $Ag_3$  cluster (b) square  $Ag_4$  cluster, and (c) tetragonal  $Ag_4$  cluster.

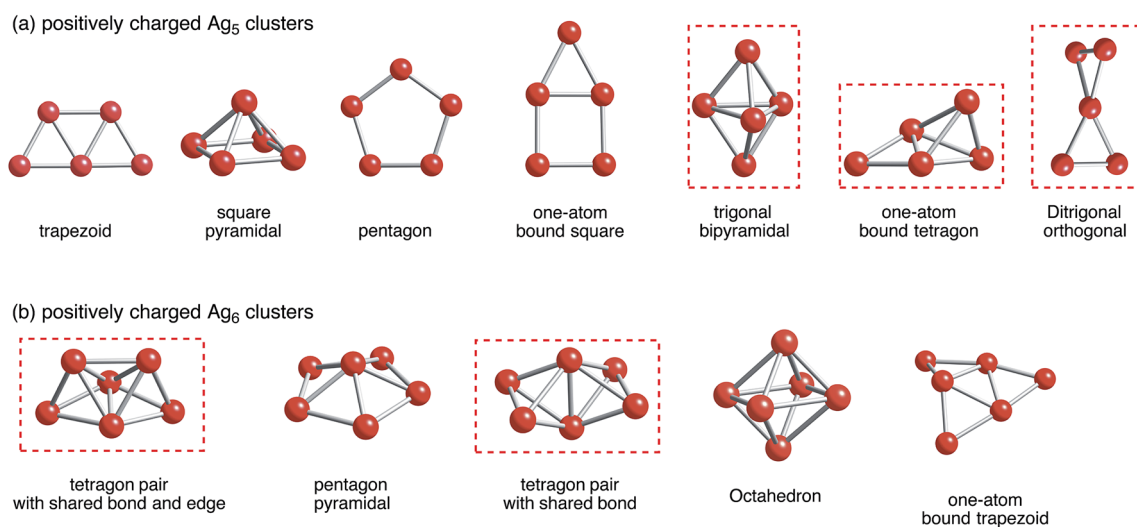


Fig. 2 B3PW91 optimized geometries for (a)  $Ag_5$  and (b)  $Ag_6$  clusters charged positively. The cut-off length used to indicate formation of Ag–Ag bonds is 3 Å. Detailed information can be found in Tables 1 and 2. Clusters that correspond to a ZSM-5 pore and at the same time are energetically stable are marked in red.

Table 1 Key parameters in optimized positively charged  $Ag_5$  geometries<sup>a</sup>

|             | Spin state | Shape <sup>a</sup>      | Silver separations <sup>b</sup>                      | $\Delta E^c$ |
|-------------|------------|-------------------------|--|--------------|
| $Ag_5$      | Doublet    | Trapezoid               | 2.72, 2.72, 2.74, 2.74, 2.79, 2.79, 2.79             | 0            |
|             | Doublet    | Trigonal bipyramidal    | 2.70, 2.70, 2.80, 2.80, 2.85, 2.85, 2.85, 2.85       | 12.4         |
| $Ag_5^+$    | Singlet    | Ditrigonal orthogonal   | 2.65, 2.65, 2.78, 2.78, 2.78, 2.78                   | 0            |
|             | Singlet    | One-atom bound tetragon | 2.63, 2.65, 2.75, 2.75, 2.99, 2.99                   | 6.7          |
|             | Singlet    | Trigonal bipyramidal    | 2.73, 2.73, 2.73, 2.86, 2.86, 2.86, 2.86, 2.87, 2.87 | 12.2         |
|             | Triplet    | Square pyramidal        | 2.77, 2.77, 2.77, 2.77, 2.87, 2.87, 2.87, 2.87       | 22.5         |
| $Ag_5^{2+}$ | Triplet    | Pentagon                | 2.73, 2.73, 2.73, 2.73, 2.73                         | 38.3         |
|             | Doublet    | One-atom bound tetragon | 2.71, 2.76, 2.93, 2.93, 2.94, 2.94, 2.94, 2.94       | 0            |
|             | Doublet    | Trigonal bipyramidal    | 2.80, 2.80, 2.80, 2.93, 2.93, 2.94, 2.94, 2.95, 2.95 | 1.7          |
|             | Doublet    | Ditrigonal orthogonal   | 2.81, 2.81, 2.83, 2.83, 2.83, 2.83                   | 3.5          |
|             | Doublet    | One-atom bound square   | 2.68, 2.74, 2.88, 2.88, 2.88, 2.88                   | 11.6         |

<sup>a</sup> Optimized structures can be seen in Fig. 2(a). <sup>b</sup> Separations between adjacent silver atoms less than 3 Å are listed. <sup>c</sup>  $\Delta E$ : relative energies of  $Ag_5^{m+}$  are in kcal mol<sup>-1</sup>. The most stable cluster is given by 0.



Table 2 Key parameters in optimized positively charged Ag<sub>6</sub> geometries<sup>a</sup>

|                               | Spin state | Shape <sup>a</sup>                      | Silver separations <sup>b</sup>  | $\Delta E^c$ |
|-------------------------------|------------|---|--|--------------|
| Ag <sub>6</sub>               | Singlet    | One-atom bound trapezoid                | 2.72, 2.72, 2.72, 2.72, 2.72, 2.73, 2.82, 2.82, 2.82                   | 0.0          |
|                               | Singlet    | Pentagon pyramidal                      | 2.72, 2.72, 2.72, 2.72, 2.72, 2.86, 2.86, 2.86, 2.86, 2.87             | 5.3          |
|                               | Singlet    | Tetragon pair with shared bond and edge | 2.66, 2.73, 2.78, 2.78, 2.78, 2.78, 2.91, 2.91, 2.91, 2.91, 2.92, 2.92 | 16.0         |
|                               | Singlet    | Octahedron                              | 2.69, 2.69, 2.69, 2.69, 2.69, 2.69                                     | 26.4         |
| Ag <sub>6</sub> <sup>+</sup>  | Doublet    | Tetragon pair with shared bond and edge | 2.67, 2.78, 2.78, 2.85, 2.85, 2.85, 2.85, 2.88, 2.90, 2.90, 2.90, 2.90 | 0            |
|                               | Doublet    | Tetragon pair with shared bond          | 2.72, 2.74, 2.74, 2.75, 2.75, 2.75, 2.75                               | 3.7          |
|                               | Doublet    | One-atom bound trapezoid                | 2.73, 2.73, 2.73, 2.73, 2.73, 2.84, 2.84, 2.88, 2.88                   | 5.6          |
| Ag <sub>6</sub> <sup>2+</sup> | Triplet    | Pentagon pyramidal                      | 2.80, 2.80, 2.80, 2.80, 2.80, 2.95, 2.95, 2.95, 2.95, 2.95             | 0            |
|                               | Triplet    | Octahedron                              | 2.78, 2.78, 2.79, 2.79, 2.79, 2.79                                     | 8.2          |

<sup>a</sup> Optimized structures can be seen in Fig. 2(b). <sup>b</sup> Separations between adjacent silver atoms less than 3 Å are listed. <sup>c</sup>  $\Delta E$ : relative energies of Ag<sub>6</sub><sup>m+</sup> are in kcal mol<sup>-1</sup>. The most stable cluster is given by 0.

one-atom bound tetragon and trigonal bipyramidal forms. We obtained three energetically comparable Ag<sub>5</sub><sup>2+</sup> clusters; one-atom bound tetragon, trigonal bipyramidal, and ditrigonal orthogonal forms. Similarly, there are two energetically comparable Ag<sub>6</sub><sup>+</sup> clusters: a tetragon pair with a shared bond and edge and a pair with shared bonds.

### Optimized geometries for Ag<sub>5</sub> or Ag<sub>6</sub> clusters inside a ZSM-5 cavity

Following the previous studies,<sup>48,49</sup> which are summarized in Fig. S1,† Ag<sub>5</sub> and Ag<sub>6</sub> clusters that are formed from single-atom and double-atom additions to the tetragonal or butterfly Ag<sub>4</sub> cluster, respectively (Fig. S3 (ESI†)), can be encapsulated into a ten-membered ring of ZSM-5 zeolites. Using these criteria, we chose energetically stable Ag<sub>5</sub> and Ag<sub>6</sub> clusters marked by red colour in Fig. 2 as candidates suitable to be encapsulated into

a ZSM-5 cavity. To obtain optimized structures for Ag<sub>5</sub> or Ag<sub>6</sub> clusters inside a ZSM-5 cavity, clusters coloured red in Fig. 2 were put into the cavity to construct initial geometries, and their initial structures were fully optimized. Then, the Al atoms were substituted for Si atoms of zeolite SiO<sub>2</sub> frameworks (*m*) over the range of 1 to 5. Among configurations considered in this study (Fig. S4 and S5, ESI†), the most stable optimized structures for Ag<sub>5</sub> and Ag<sub>6</sub> clusters inside a ZSM-5 cavity (Ag<sub>5</sub>-ZSM-5(Al<sub>*m*</sub>) and Ag<sub>6</sub>-ZSM-5(Al<sub>*m*</sub>)) are displayed in Fig. 3 and 4, respectively. Their key parameters of inner silver clusters are listed in Tables 3 and 4, respectively.

Fig. S4 and S5† show that the different structures of Ag<sub>5</sub> or Ag<sub>6</sub> clusters are dependent on the number of substituted Al atoms. Within ZSM-5(Al<sub>1</sub>) and ZSM-5(Al<sub>2</sub>) cavities, the most stable Ag<sub>5</sub> clusters take ditrigonal-orthogonal and one-atom bound tetragon forms, respectively. Their structures are similar to those of the stable Ag<sub>5</sub><sup>+</sup> and Ag<sub>5</sub><sup>2+</sup> clusters without

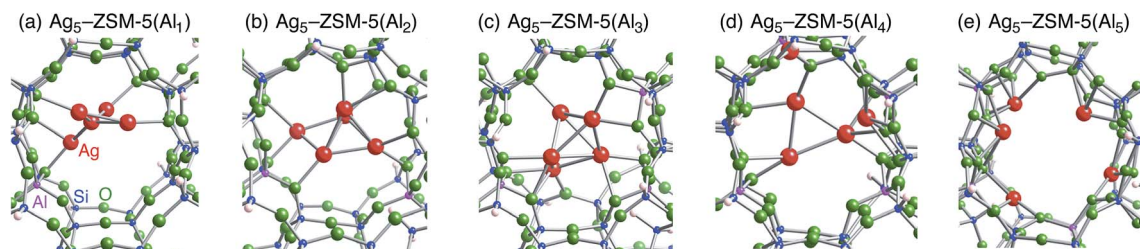


Fig. 3 Energetically stable B3PW91 optimized geometries for Ag<sub>5</sub>-ZSM-5(Al<sub>*m*</sub>). (a) *m* = 1, (b) *m* = 2, (c) *m* = 3, (d) *m* = 4, and (e) *m* = 5. The cut-off length used to indicate formation of Ag–Ag bonds is 3 Å. Other local minima are displayed in Fig. S4.† Key parameters are listed in Table 3.

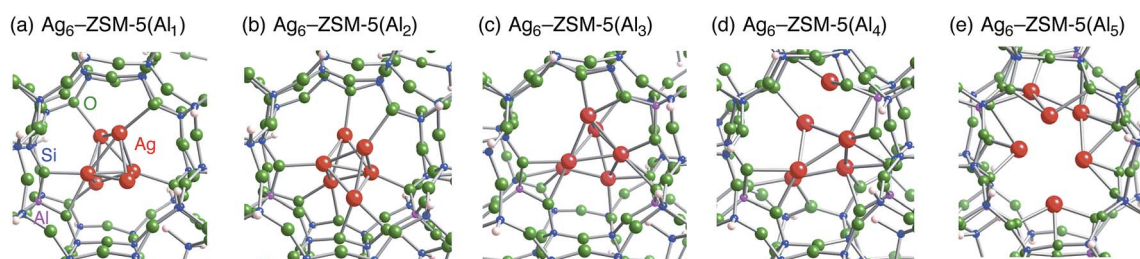


Fig. 4 Energetically stable B3PW91 optimized geometries for Ag<sub>6</sub>-ZSM-5(Al<sub>*m*</sub>). (a) *m* = 1, (b) *m* = 2, (c) *m* = 3, (d) *m* = 4, and (e) *m* = 5. The cut-off length used to indicate formation of Ag–Ag bonds is 3 Å. Other local minima are displayed in Fig. S5.† Key parameters are listed in Table 4.



Table 3 Key parameters in optimized Ag<sub>5</sub>-ZSM-(Al<sub>m</sub>) geometries<sup>a</sup>

| <i>m</i> | Structure label <sup>b</sup> | Silver separations <sup>c</sup>                            | $\Delta E^d$ |
|----------|------------------------------|--|--------------|
| 1        | a (i)                        | 2.62, 2.68, 2.73, 2.78, 2.80, 2.80                         | 0            |
| 1        | a (ii)                       | 2.73, 2.76, 2.77, 2.79, 2.82, 2.83, 2.86, 2.88, 2.93       | 1.6          |
| 1        | a (iii)                      | 2.66, 2.72, 2.72, 2.73, 2.78, 2.83, 2.84, 2.98             | 2.7          |
| 2        | b (i)                        | 2.74, 2.74, 2.76, 2.80, 2.86, 2.89, 2.91                   | 0            |
| 2        | b (ii)                       | 2.68, 2.80, 2.80, 2.80, 2.82, 2.82, 2.87, 2.96             | 10.0         |
| 2        | b (iii)                      | 2.78, 2.80, 2.80, 2.86, 2.86, 2.87, 2.90, 2.90, 2.91       | 11.2         |
| 3        | c (i)                        | 2.79, 2.80, 2.81, 2.85, 2.87, 2.90, 2.92, 2.96             | 0            |
| 3        | c (ii)                       | 2.71, 2.76, 2.81, 2.82, 2.87, 2.89, 2.96                   | 6.4          |
| 3        | c (iii)                      | 2.73, 2.76, 2.81, 2.84, 2.84, 2.85, 2.86, 2.88, 2.91, 2.92 | 8.2          |
| 4        | d (i)                        | 2.86, 2.97, 2.98   | 0            |
| 4        | d (ii)                       | 2.84, 2.87, 2.94   | 5.7          |
| 4        | d (iii)                      | 2.74, 2.81, 2.82, 2.84, 2.88, 2.90, 2.97                   | 34.7         |
| 5        | e                            | —  | —            |

<sup>a</sup> Optimized structures for Ag<sub>5</sub>-ZSM-(Al<sub>m</sub>) can be seen in Fig. S4.

<sup>b</sup> Structure labels are displayed in Fig. S4. <sup>c</sup> Separations between adjacent silver atoms less than 3 Å are listed. <sup>d</sup>  $\Delta E$ : relative energies of Ag<sub>5</sub>-ZSM-(Al<sub>m</sub>) are in kcal mol<sup>-1</sup>. The most stable structure is given by 0.

Table 4 Key parameters in optimized Ag<sub>6</sub>-ZSM-(Al<sub>m</sub>) geometries<sup>a</sup>

| <i>m</i> | Structure label <sup>b</sup> | Silver separations <sup>c</sup>  | $\Delta E^d$ |
|----------|------------------------------|--|--------------|
| 1        | a (i)                        | 2.73, 2.78, 2.78, 2.79, 2.79, 2.79, 2.82, 2.85, 2.90, 2.90, 2.91, 2.91 | 0            |
| 1        | a (ii)                       | 2.70, 2.75, 2.79, 2.81, 2.81, 2.81, 2.84, 2.84, 2.86, 2.87, 2.89, 2.94 | 8.7          |
| 2        | b (i)                        | 2.71, 2.72, 2.77, 2.83, 2.83, 2.86, 2.87, 2.89, 2.90, 2.91, 2.95       | 0            |
| 2        | b (ii)                       | 2.70, 2.70, 2.79, 2.79, 2.81, 2.83, 2.84, 2.87, 2.88, 2.88, 2.99       | 10.7         |
| 3        | c (i)                        | 2.73, 2.76, 2.81, 2.84, 2.84, 2.85, 2.86, 2.88, 2.90, 2.92             | 0            |
| 3        | c (ii)                       | 2.75, 2.79, 2.81, 2.81, 2.82, 2.83, 2.83, 2.85, 2.90, 2.96, 2.98       | 10.4         |
| 4        | d (i)                        | 2.70, 2.77, 2.78, 2.81, 2.90, 2.94                                     | 0            |
| 4        | d (ii)                       | 2.77, 2.77, 2.80, 2.84, 2.87, 2.87, 2.87, 2.87, 2.90                   | 5.3          |
| 5        | e (i)                        | 2.78, 2.84, 2.91   | 0            |
| 5        | e (ii)                       | 2.76, 2.78, 2.84, 2.88, 2.91, 2.91, 2.91, 2.92, 2.99                   | 22.6         |

<sup>a</sup> Optimized structures for Ag<sub>6</sub>-ZSM-(Al<sub>m</sub>) can be seen in Fig. S5.

<sup>b</sup> Structure labels are displayed in Fig. S5. <sup>c</sup> Separations between adjacent silver atoms less than 3 Å are listed. <sup>d</sup>  $\Delta E$ : relative energies of Ag<sub>6</sub>-ZSM-(Al<sub>m</sub>) are in kcal mol<sup>-1</sup>. The most stable structure is given by 0.

ZSM-5 surroundings in terms of silver separations (Tables 1 and 3), although slight distortions were found. The inner silver clusters are bound to adjacent framework oxygen atoms near the substituted Al atoms, whose separations are listed in Table S1 (ESI<sup>†</sup>). As shown in Table S1,<sup>†</sup> the shortest distance between a silver atom and a framework oxygen atom is ~2.3 Å. Similarly, Ag<sub>6</sub> clusters inside ZSM-5(Al<sub>1</sub>) and ZSM-5(Al<sub>2</sub>) cavities take a stable shape where the tetragon pair with share a bond and edge. Orientation of the Ag<sub>6</sub> cluster with respect to the ZSM-5 cavity is important; the cluster oriented along the straight channel is energetically stable relative to that perpendicular to

the straight channel, as shown in Fig. S5.<sup>†</sup> Between the two structures, different interactions between inner silver cations and framework oxygen atoms are expected from Table S2 (ESI<sup>†</sup>) to stabilize an inner cluster along the straight channel.

With an increase in *m*, the clusters are deformed by lengthening the Ag–Ag bond lengths, as shown in Tables 3 and 4. Although the ZSM-5(Al<sub>3</sub>) cavity still contains a one-atom bound tetragonal Ag<sub>5</sub> cluster (Fig. 3(c)) (Ag<sub>6</sub> cluster taking a shape with the tetragonal pair with shared bond (Fig. 4(c))), separations between silver atoms increase (Tables 3 and 4). Containing Ag<sub>5</sub> cluster inside a restricted cavity of ZSM-5(Al<sub>3</sub>) is unique, because an Ag<sub>5</sub><sup>3+</sup> cluster, which cannot exist in the gas-phase, can be stabilized by the electrostatic interactions with framework oxygen atoms, as seen from Table S1.<sup>†</sup> When the number of Al atoms is 4, the number of Ag–Ag bonds less than 3 Å decreases.<sup>81</sup> As a result, smaller clusters exist on the inside of ZSM-5(Al<sub>4</sub>) instead of forming Ag<sub>5</sub> or Ag<sub>6</sub> clusters. Further increase of the number of Al atom leads silver atoms to exist as isolated cations. These single cations strongly coordinate to three or two oxygen atoms within the framework, which are separated by less than 2.2 Å. See Tables S1 and S2.<sup>†</sup>

Recently, Cuong *et al.* reported optical properties of hydrated charged silver tetramer and hexamer clusters inside the sodalite cavity of LTA-type zeolite models ((H<sub>2</sub>O)<sub>4</sub>Na<sub>4</sub>Al<sub>8</sub>Si<sub>16</sub>O<sub>36</sub>H<sub>24</sub>, (H<sub>2</sub>O)<sub>5</sub>Na<sub>3</sub>Al<sub>7</sub>Si<sub>17</sub>O<sub>36</sub>H<sub>24</sub>, and (H<sub>2</sub>O)<sub>6</sub>Na<sub>2</sub>Al<sub>6</sub>Si<sub>18</sub>O<sub>36</sub>H<sub>24</sub>).<sup>82,83</sup> Their B3LYP calculations found that butterfly Ag<sub>4</sub> or O<sub>h</sub>-like Ag<sub>6</sub> clusters inside four- and six-membered cavities of the LTA models.<sup>82,83</sup> Silver atoms on the inner Ag<sub>6</sub> clusters are not directly bound to framework oxygen atoms in LTA zeolite models, whose separations are larger than ~2.6 Å. Note that these separation ranges are larger than our ZSM-5 cases. Instead, the clusters are bound to OH<sup>-</sup> groups formed from water molecules near Al atoms of (H<sub>2</sub>O)<sub>5</sub>Na<sub>3</sub>Al<sub>7</sub>Si<sub>17</sub>H<sub>24</sub>O<sub>36</sub>, and (H<sub>2</sub>O)<sub>6</sub>Na<sub>2</sub>Al<sub>6</sub>Si<sub>18</sub>H<sub>24</sub>O<sub>36</sub>.<sup>82,83</sup> Reflecting from different coordination environment of inner silver atoms between LTA and our ZSM-5 models, the two types of zeolite contain different Ag<sub>6</sub> clusters. More interestingly, the structures of O<sub>h</sub>-like Ag<sub>6</sub> clusters in LTA models remain almost unchanged irrespective of various number of contained Al atoms (*m* = 6–8). In terms of dependences of *m* on inner Ag<sub>6</sub> structures, their results are different from our results, due to weaker interactions between inner silver atoms and LTA framework oxygen atoms than ZSM-5 cases. These results indicate that zeolite surroundings, including the presence or absence of water molecules, have a strong impact on determining inner cluster structures and their properties.

### Stability of Ag<sub>*n*</sub>-ZSM-5(Al<sub>*m*</sub>) dependent on the number of substituted Al atoms

In this section, the stability of Ag<sub>*n*</sub>-ZSM-5(Al<sub>*m*</sub>) using  $E_{\text{stabilize}}$  values defined as  $E_{\text{total}}[\text{Ag}_n\text{-ZSM-5(Al}_m\text{)}] - E_{\text{total}}[\text{Ag}_{n-1}\text{-ZSM-5(Al}_m\text{)}] - E_{\text{total}}[\text{Ag}]$  is discussed. Here,  $E_{\text{total}}[\text{Ag}_n\text{-ZSM-5(Al}_m\text{)}]$ ,  $E_{\text{total}}[\text{Ag}_{n-1}\text{-ZSM-5(Al}_m\text{)}]$ , and  $E_{\text{total}}[\text{Ag}]$  are the total energies of Ag<sub>*n*</sub>-ZSM-5(Al<sub>*m*</sub>), Ag<sub>*n*-1</sub>-ZSM-5(Al<sub>*m*</sub>), and the single silver atom, respectively. Fig. 5 shows the  $E_{\text{stabilize}}$  values plotted as a function of the number of silver atoms (*n*). See also Fig. S6 (ESI<sup>†</sup>),



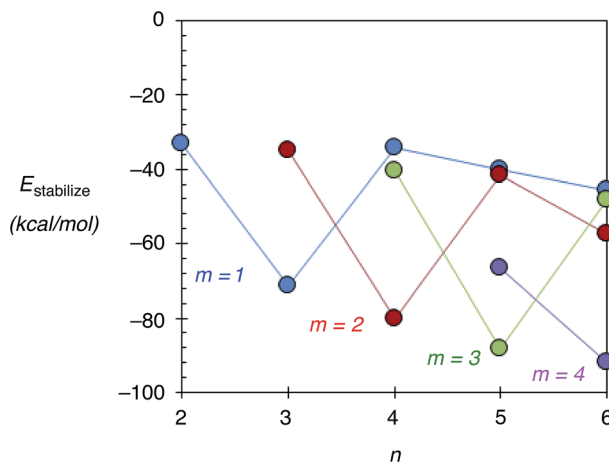


Fig. 5  $E_{\text{stabilize}}$  values, defined in the main text, as a function of the number of atoms in silver clusters within ZSM-5( $\text{Al}_m$ ):  $m = 1$  (blue),  $m = 2$  (red),  $m = 3$  (green) and  $m = 4$  (purple). Lines are provided for visual assistance.

including Tables S3 and S4.<sup>†</sup> From Fig. 5 and S6,<sup>†</sup> we found negative  $E_{\text{stabilize}}$  values for  $\text{Ag}_n\text{-ZSM-5}(\text{Al}_m)$ . These negative  $E_{\text{stabilize}}$  values indicate that  $\text{Ag}_n\text{-ZSM-5}(\text{Al}_m)$  is energetically stable relative to the dissociation limit towards  $\text{Ag}_{n-1}\text{-ZSM-5}(\text{Al}_m)$  and the single silver atom.

More interestingly, the  $E_{\text{stabilize}}$  values depend on the number of substituted Al atoms ( $m$ ) and silver atoms ( $n$ ). When  $m = 1, 2,$  and  $3$ , the most significant  $E_{\text{stabilize}}$  values were found at  $n = 3, 4,$  and  $5$ . The DFT results indicate that a ZSM-5( $\text{Al}_m$ ) cavity stabilizes an  $\text{Ag}_{m+2}$  cluster ( $m \leq 3$ ). The stabilization conditions ( $n = m + 2$ ) can be explained by applying the superatom orbital ideas of  $\text{Ag}_n\text{-ZSM-5}(\text{Al}_m)$ . As previously mentioned, an  $\text{Ag}_n$  cluster inside a ZSM-5( $\text{Al}_m$ ) cavity has  $n-m$  5s electrons. Thus, an  $\text{Ag}_{m+2}$  cluster inside a ZSM-5( $\text{Al}_m$ ) cavity has two 5s electrons. The two electrons are occupied in the totally symmetric 5s-based orbital (S-orbital), indicating that the

S-based orbital is the HOMO in  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$ , as confirmed by ref. 48 and 49 and Fig. S7 and S8 (ESI<sup>†</sup>). Doubly occupation of an S-orbital can be also found in the formation of magic-number silver clusters in the gas phase.<sup>1-5</sup>

The stabilization conditions can be validated only at  $m \leq 3$ , when the 5s-orbital interactions are mainly responsible for determining the inner cluster structures. When  $4 \leq m$ , electrostatic interactions between silver cations and negatively charged framework oxygen atoms become more important in determining inner cluster structures, in addition to the 5s-orbital interactions. Although a significant  $E_{\text{stabilize}}$  value was found at  $n = 6$  and  $m = 4$ , the optimized structure does not contain an  $\text{Ag}_6$  cluster inside a ZSM-5 cavity. Instead, the optimized geometry can be viewed as constructed by the smaller silver clusters in the following manner: an  $\text{Ag}_5$  cluster near three substituted Al atoms, together with a single silver cation near one Al atom, which separates from the cluster by larger than 3.00 Å. Therefore, our extension study suggests that  $\text{Ag}_5$  clusters can exist within a ZSM-5( $\text{Al}_m$ ) cavity at  $m = 3$  and 4.

### Electronic absorption of stable $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$ structures

Next, we performed TD-DFT calculations to investigate the electronic transitions of energetically stable  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$  structures. For TD-DFT calculations, we used smaller  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$  models constructed by removing atoms far from the ten-membered ring containing the cluster (Fig. S9, ESI<sup>†</sup>). Note that the small models have frontier 5s-based orbitals whose energies are close to those in the whole  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$  models, as shown in Fig. S7 and S8.<sup>†</sup> The use of the smaller models is sufficient to reproduce TD-DFT results of the whole  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$  model because of the energetic similarity. Fig. 6 shows oscillator strengths ( $f$ ) of the  $\text{Ag}_5\text{-ZSM-5}(\text{Al}_3)$  and  $\text{Ag}_6\text{-ZSM-5}(\text{Al}_4)$  structures as a function of excitation energy ( $\lambda$  in nm). Fig. 6(a) shows two strong peaks of the oscillator strength at 346 and 263 nm due to the 5s-based orbital

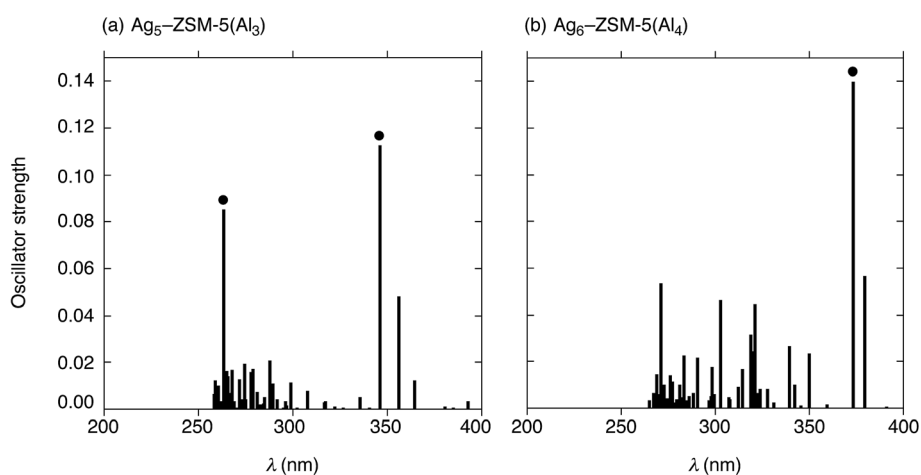


Fig. 6 Electronic transitions of truncated  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$  structures obtained from time-dependent DFT (TD-DFT) calculations with the B3PW91 functional. (a)  $\text{Ag}_5\text{-ZSM-5}(\text{Al}_3)$  and (b)  $\text{Ag}_6\text{-ZSM-5}(\text{Al}_4)$ . The oscillatory strengths ( $f$ ) of  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$  are plotted as a function of the excitation energies ( $\lambda$  in nm). The black dots indicate fingerprint absorptions originating from electronic transitions between 5s-based orbitals: from the completely symmetric occupied orbital (S-orbital) to an unoccupied orbital with one node (P-orbital).



**Table 5** Electronic transition with the strongest oscillator strength in the most stable  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$  structures

| $m$                      | 1     | 2     | 3     | 4     |
|--------------------------|-------|-------|-------|-------|
| $\lambda_{\text{max}}^a$ | 303.4 | 324.5 | 346.2 | 373.6 |
| $f^b$                    | 0.31  | 0.29  | 0.11  | 0.14  |

<sup>a</sup>  $\lambda_{\text{max}}$ : excitation energy (in nm) at the electronic transition with the largest oscillator strength of  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$ . <sup>b</sup>  $f$ : oscillator strength at a certain electronic transition.

excitations from totally symmetric orbital to an orbital with one node. Using the definition of the superatom orbitals, this electronic excitation occurs from an S-orbital to a P-orbital, where  $\Delta L$  increases by 1 ( $\Delta L = +1$ ). Similarly, the  $\text{Ag}_6\text{-ZSM-5}(\text{Al}_4)$  structure, composed of  $\text{Ag}_5\text{-ZSM-5}(\text{Al}_3)$  plus  $\text{Ag}_1\text{-ZSM-5}(\text{Al}_1)$ , has a maximum oscillator strength value at 374 nm, as shown in Fig. 6(b). The electronic excitation behaviours are different from  $\text{O}_h$ -like  $\text{Ag}_6$  clusters in LTA models that have maximum peaks around 530 nm judging from their oscillator strengths, as seen from Table S5 (ESI†).

Combining the current and previous TD-DFT studies, we compared the  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$  structures in terms of excitation energies at the electronic transition with the strongest oscillator strength ( $\lambda_{\text{max}}$ ), as listed in Table 5. Independent of  $m$ , the maximum absorption in  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$  comes from the  $S \rightarrow P$  electronic transition, whose selection rule is  $\Delta L = +1$ . The  $\lambda_{\text{max}}$  values increase from 303 nm to 374 nm, when  $m$  increases from 1 to 4 in  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$ . Note that the zeolite at  $m = 4$  containing a  $\text{Ag}_5$  cluster plus a single silver cation has a larger  $\lambda_{\text{max}}$  value than that at  $\text{Ag}_5\text{-ZSM-5}(\text{Al}_3)$ , due to slight interactions between the cluster and cation at  $m = 4$ . The DFT findings suggest that measurement of the absorptions due to the  $S \rightarrow P$  electronic transition is a potential tool for identification of cluster sizes and structures.

## Conclusions

Using density functional theory (DFT) calculations with the B3PW91 functional, we investigated the properties of  $\text{Ag}_5$  and  $\text{Ag}_6$  clusters inside a ZSM-5 zeolite whose ten-membered ring contains different numbers of Al atoms substituted for Si atoms of the  $\text{SiO}_2$  framework ( $m$ ) ( $\text{Ag}_n\text{-ZSM-5}(\text{Al}_m)$ ). The current study achieved several optimized geometries for  $\text{Ag}_5\text{-ZSM-5}(\text{Al}_m)$  and  $\text{Ag}_6\text{-ZSM-5}(\text{Al}_m)$ , and the results were compared with those of  $\text{Ag}_n\text{-ZSM-5}(\text{Al}_m)$  where  $n \leq 3$  in terms of energetics. DFT calculations found that a ZSM-5( $\text{Al}_m$ ) cavity stabilizes an  $\text{Ag}_{m+2}$  cluster ( $m \leq 3$ ). The stabilization conditions ( $n = m + 2$ ) can be understood through electron counting arguments based on 5s-based frontier orbitals in  $\text{Ag}_n\text{-ZSM-5}(\text{Al}_m)$ , in which the number of 5s electrons in a cluster is  $n - m$ . When an  $\text{Ag}_{m+2}$  cluster is on the inside of a ZSM-5( $\text{Al}_m$ ) cavity, the cluster has two 5s electrons populating the totally symmetric frontier orbitals, which effectively stabilize the cluster structure. The totally symmetric 5s-based orbital corresponds to a superatom S-orbital. Accordingly, the  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$  zeolites have an  $S^2$  electronic configuration, being similar to magic-numbered silver clusters

in the gas phase. Furthermore, time-dependent (TD) DFT calculations were performed to examine the electronic transition of  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$ .  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$  zeolites were found to exhibit maximum absorption peaks due to electronic transitions based on 5s-based orbitals from the totally symmetric occupied orbital (S-orbital) to an unoccupied orbital with one node (P-orbital). The  $S \rightarrow P$  electronic transitions follow the selection rule in bare cluster cases. In the case of maximum absorption, the excitation energies ( $\lambda_{\text{max}}$ ) in  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$  increase from 303 to 374 nm when  $m$  increases from 1 to 4. DFT calculations revealed that the  $S \rightarrow P$  electronic transitions of  $\text{Ag}_{m+2}\text{-ZSM-5}(\text{Al}_m)$  are a potential fingerprint for identifying the state of inner silver atoms.

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- 80 To obtain  $\text{Ag}_5^{3+}$  and  $\text{Ag}_6^{4+}$  clusters, which would have the HOMO composed of the S orbital, we tried to optimize their geometries by using initial geometries taken from the optimized geometries for  $\text{Ag}_5^+$  and  $\text{Ag}_6^+$  in Fig. 2. However, the initial geometries for  $\text{Ag}_5^{3+}$  clusters were decomposed into two  $\text{Ag}^+$  cations and one  $\text{Ag}_3^+$  cluster as well as two  $\text{Ag}^+$  cations and one  $\text{Ag}_4^{2+}$  cluster during the optimization. The decomposition into two  $\text{Ag}^+$  cations and one  $\text{Ag}_3^+$  cluster is about 200 kcal mol<sup>-1</sup> stable relative to initial  $\text{Ag}_5^{3+}$  clusters, and the decomposition into two  $\text{Ag}^+$  cations and one  $\text{Ag}_4^{2+}$  cluster is about 160 kcal mol<sup>-1</sup> stable. Similarly the optimization of  $\text{Ag}_6^{4+}$  clusters results in the decomposition into one  $\text{Ag}_4^{2+}$  cluster and two  $\text{Ag}^+$  cations. The decomposed form is about 390 kcal mol<sup>-1</sup> stable relative to the initial geometry.
- 81 Lengthening Ag–Ag separations are expected because electrostatic interactions between a silver ion and framework oxygen atoms become stronger than the 5s orbital interactions between silver atoms.
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