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sensitized by Yb³⁺-trimers in CaF₂



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Under 978 nm near infrare

and Weiping Qin*a

Under 978 nm near infrared (NIR) excitation, ultraviolet (UV) upconversion (UC) emissions from Pb^{2+} ions in $CaF_2:Pb^{2+},Yb^{3+}$ were first observed at room temperature. The UC emission centered at ~ 383 nm was ascribed to the $^3P_0 \rightarrow ^1A_{1g}$ (1S_0) transition of Pb^{2+} ions. From transient measurements, the UC process was found to be dominated by the energy transfer process: three excited Yb^{3+} ions simultaneously transfer their energy to one Pb^{2+} ion. With the increase in Pb^{2+} concentration, the cooperative luminescence from $3-Yb^{3+}$ clusters decreased gradually until it was extinguished. The cooperative sensitization to one Pb^{2+} ion comes from three excited Yb^{3+} ions, which is a four-ion process and exhibits a third power dependence on the NIR pumping power.

Ultraviolet upconversion emission of Pb2+ ions

Tuerxun Aidilibike, ab Junjie Guo, a Lili Wang, a Xiaohui Liu, a Yangyang Lia

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Optical frequency UC is a luminescence technology developed since the 1960s. Thanks to the successful fabrications of powerful infrared (IR) diode lasers and heavy metal fluoride glasses, visible (VIS) upconversion luminescence (UCL) including red, green, and blue has been performed since the 1980s.1-7 With the development of manufacturing technology for nanometer materials, UCL labelling has gathered great attention.8-11 The study on UCL was derived from the detection of IR light; however, it has a great number of potential applications in the fields of solid-state lasers, 3D displays, IR quantum counters, optical probes in fluorescent imaging techniques, anti-counterfeiting, NIR photocatalysis, and temperature sensors. 6,7,9,10,12-15 Most of UC materials are rareearth doped solid compounds; in which, a lanthanide ion absorbs more than one photon with lower energy and converts them to one photon with higher energy by using its metastable energy levels. Yb3+ ion has been extensively adopted as a sensitizer in UC processes because it has a long excited state lifetime and a relatively large absorption cross-section in the NIR region.16

No UCL from Pb^{2+} ions have been observed until now, although the down-conversion (DC) luminescence of Pb^{2+} ions in the UV and VIS regions has been reported and applied. ¹⁷⁻¹⁹ As we know, the absorption and emission bands of Pb^{2+} ions usually appear in the UV region, and their electronic configuration is $6s^2$ in the ground state (the 1S_0 level) and $(6s^1)(6p^1)$ in the excited states. The 1S_0 ground state is expressed by $^1A_{1g}$ and

has an even parity. The excited state expressed by $(6s^1)(6p^1)$ has an odd parity and consists of triplet states (3P0, 3P1, and 3P2) and singlet excited state ${}^{1}P_{1}$. After absorbing a UV photon (~330 nm), Pb²⁺ ion make an optical transition from ³P₀ to ¹A₁₀, ^{18,20} The energy difference between the ground state and the triplet state of Pb2+ is higher than the energy of two 980 nm photons or two excited Yb3+ ions. Such an energy-level characteristic determines that neither the energy of two 978 nm photons nor the energy of an excited Yb³⁺-dimer (two excited Yb³⁺ ions) can excite or sensitize a Pb2+ ion efficiently. Although, in the view of energy, three-photon excitation indeed can lead to the UV UCL of Pb2+ with a very low probability,16 however, until now, there has been no report on the UCL of Pb2+ ions under NIR excitation. Even so, the cooperative energy transfer from three or four excited Yb3+ ions (Yb3+-trimer or Yb3+-tetramer) can excite Pb2+ ion from the view of energy.

Cooperative transition usually means the physical processes in which identical ions or atoms simultaneously make transitions by absorbing (or emitting) one photon with the sum of all transition energies, or transferring their energies to another ion or atom. Cooperative transition includes cooperative luminescence (CL), cooperative absorption (CA), and cooperative energy transfer (CET) and is closely relative to clustered lanthanide ions in solid hosts. Clusters made of lanthanide ions can easily form in many materials, especially in alkaline-earth fluoride crystal AF₂ (A = Ca, Sr, Ba). When a lanthanide ion with +3 oxidation state is doped in an alkaline-earth fluoride, nonequilibrium of charge arises in the lattice due to the lanthanide ion usually occupy the position of an alkaline-earth ion with +2 oxidation state. The non-equilibrium of charge produces crystal defects such as the interstitials of F- ions and cation vacancies in order to compensate excess plus charges, which gives non-uniform distribution of lanthanide ions.

^aState Key Laboratory on Integrated Optoelectronics, College of Electronic Science and Engineering, Jilin University, Changchun, Jilin 130012, China. E-mail: wpqin@jlu.edu. cn

^bYili Normal University, Electronic and Information Engineering, Laboratory on Micro-Nano Electro Biosensor and Bionic Devices, Yining, Xinjiang 835000, China

Paper

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Different clusters, such as dimers, trimers, and even hexamers, can form in crystal lattices depending on the kind of lanthanide ions and their doping densities.21,22 The first observations of cooperative absorption and cooperative luminescence of Yb3+ dimers were reported in 1961 and 1970,23,24 respectively. Since then, cooperative transitions of Yb³⁺-dimers have been investigated in many Yb3+-doped materials.25-27 Recently, Qin et al. reported the triplet cooperative luminescence (TCL) at ~343 nm from three excited Yb3+ ions (Yb3+-trimer) and the cooperative energy transfer from four excited Yb3+ ions (Yb3+-tetramer) to one Gd³⁺ ion, which open the way to investigate the cooperative transitions of many-body systems.28,29 Furthermore, by considering the electric multipole interactions between ions and using the coupled wave functions of a many-lanthanide-ion system, Oin et al. proposed main cooperative transition mechanisms in a multi-ion system and calculated their probabilities.30

In the present work, we report the first experimental observation of UV UCL peaked at 383 nm from Pb²⁺ ions sensitized by three excited Yb³⁺ ions cooperatively.

The XRD patterns of CaF₂:1% Yb³⁺, CaF₂:0.5% Pb²⁺ and CaF₂:1% Yb³⁺,0.5% Pb²⁺ samples are shown in Fig. 1. The narrow and intense diffraction peaks indicate the well crystallization of the samples. The diffraction peaks of all the samples are consistent with the standard card (PDF #35-0816), suggesting that these materials have a cubic crystal structure.

We have measured the absorption spectra of CaF₂, CaF₂:0.5% Pb²⁺, CaF₂:1% Yb³⁺, and CaF₂:1% Yb³⁺,0.5% Pb²⁺ powder samples in a wide spectral range from 200 to 1200 nm (Fig. 2). The spectra reveal the existence of Yb³⁺ ion (in NIR domain). The Yb3+ ions are characterized by a very broad band in the NIR spectral domain, corresponding to the ${}^{2}F_{7/2}$ ${}^{-2}F_{5/2}$ transition (f-f transition). The ground state ${}^2F_{7/2}$ split into three or four components and the excited state ${}^2F_{5/2}$ split into two or three sublevels depending on the crystal field symmetry. The strongest absorption band peak appears at 979 nm. 31,32 Fig. 2 shows the strong "absorption" in UV region (<400 nm) should be attributed to the Mie scattering. The absorption in NIR

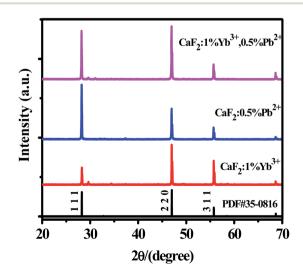


Fig. 1 XRD patterns of CaF₂:x% Yb³⁺,y% Pb²⁺

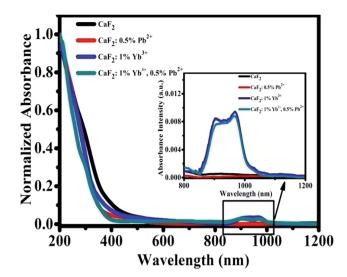


Fig. 2 Optical absorption spectra of CaF₂, CaF₂:0.5% Pb²⁺, CaF₂:1% Yb³⁺, and CaF₂:1% Yb³⁺,0.5% Pb²⁺ samples.

region show that the samples with 1% Yb3+ dopant have significant absorption, and the sample of CaF₂:0.5% Pb²⁺ has not. Pb2+ ions cannot absorb NIR light directly therefore cannot influence the NIR absorption spectra of Yb³⁺ ions.

Under the excitation of a 978 nm laser, the CaF₂:Yb³⁺ polycrystalline powders showed 343 nm and 500 nm UC emission spectra, which has been previously reported.28 Our previous works has demonstrated that the 343 nm UC fluorescence is the TCL of Yb³⁺-trimers, as shown in Fig. 3(a). With the increase of doped Yb3+ concentration, the UV emission peaked at 343 nm first increases and then decreases, Fig. 3(b). The maximum UC emission intensity of Yb3+-trimers is obtained at the concentration of Yb3+ reaches 1 mol%. Spectral analysis indicated that the 500 nm UC emission came from Yb3+-dimers in the CaF₂:Yb³⁺ polycrystalline, as shown in Fig. 3(c). With the increase of doped Yb3+ concentration, the maximum UC emission intensity of Yb3+-dimers is obtained at the concentration of Yb³⁺ reaches 1 mol%, Fig. 3(d).

Under 978 nm excitation, the UV emission spectra of $CaF_2:x\% Yb^{3+},y\% Pb^{2+}$ (x = 0, 1; y = 0, 0.3, 0.5, 1, 2, 3, 4) were recorded at room temperature, as shown in Fig. 4(a). No luminescence can be found in the sample of CaF₂:0.5% Pb²⁺ throughout the entire spectral region because the NIR excitation cannot be absorbed by Pb²⁺ ions.³³ On the other hand, an obvious UV fluorescence peaked at 343 nm can be seen in the spectrum of CaF2:1% Yb3+. Our previous works has demonstrated that the 343 nm upconversion fluorescence is the TCL of Yb3+-trimers.28 After doping small amounts of Pb2+ ions (e.g. 0.3%) in CaF2:1% Yb3+, the TCL intensity became lower and a new UV luminescence appeared at 383 nm. Fig. 4(a) show the cooperative upconversion spectra against Pb2+ concentrations. It is quite clear that the TCL (343 nm) peak follow a similar trend, that is, a gradual decrease in the emission intensities until they disappear when Pb²⁺ concentration reaches 4 mol%. With the increase of doped Pb2+ concentration, the UV emission peaked at 383 nm first increases and then decreases. It reaches

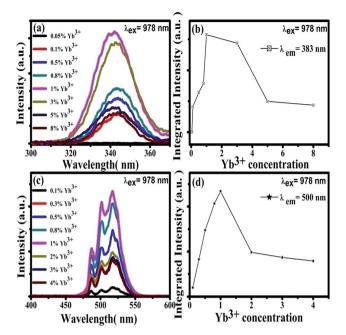


Fig. 3 (a) Emission spectra (300–400 nm) of CaF₂:x% Yb³⁺ (x = 0.05, 0.1, 0.5, 0.8, 1, 3, 5, 8) upon 978 nm excitation at room temperature. (b) Integrated intensity dependence of 343 nm UC emissions on the doping concentration of Yb³⁺. (c) Emission spectra (400–600 nm) of CaF₂:x% Yb³⁺ (x = 0.1, 0.3, 0.5, 0.8, 1, 2, 3, 4) upon 978 nm excitation at room temperature. (d) Integrated intensity dependence of 500 nm UC emissions on the doping concentration of Yb³⁺.

the maximum at 0.5 mol% doping concentration of Pb²⁺ ions and disappears at 4 mol% Pb²⁺ ions. Therefore, it is reasonable for us to deduce that the emission peaked at 383 nm comes from the excited Pb²⁺ ions, and further investigation (show later) exhibits that it comes from the $^3P_0 \rightarrow ^1A_{1g}$ transition of Pb²⁺ ions. Now, the problem is, in this system, what induces the Pb²⁺ ions excited under the NIR irradiation? In ref. 28, we reported the UV UCL from Gd³⁺ ions upon 978 nm excitation and verified that the excitation of Gd³⁺ ions came from the cooperative energy transfer of four excited Yb³⁺ ions (Yb³⁺-tetramer). In the case of Pb²⁺ ions, the same mechanism is also existence; namely, the excitation of Pb²⁺ ions may originate from the cooperative sensitization of Yb³⁺-trimers or Yb³⁺-tetramers.

We have already shown that the cooperative transition probability of an Yb³⁺-trimer is much higher than that of an Yb³⁺-tetramer,³⁰ indicating that it has a larger possibility for excited Yb³⁺-trimers to transfer their energy to the Pb²⁺ ions than that for excited Yb³⁺-tetramers. Moreover, it can be seen from Fig. 4(a) that the increase of Pb²⁺ concentration induces not only the fluorescence of Pb²⁺ ions but also the decrease of TCL from Yb³⁺-trimers. Combining the above experimental phenomena with the fact that Pb²⁺ ions cannot absorb 978 nm excitation light directly, it is not difficult to deduce that the excitation of Pb²⁺ ions originates from the cooperative sensitization of more than two excited Yb³⁺ ions, in other words, from the cooperative energy transfer of Yb³⁺-trimers. It can be seen from Fig. 4(a) that the increment of Pb²⁺ luminescence is much smaller than the decrement of TCL, indicating that not all

excitation energy of Yb³⁺-trimers lost in the energy transfer to Pb²⁺ ions. The UV emissions of 343 nm and 383 nm completely disappear when the doping concentration of Pb²⁺ ions reaches 4%, which strongly suggests that doped Pb²⁺ ions lead to the occurrence of cooperative energy transfer from Yb³⁺-trimers. According to above analysis, we propose here that the excitation of Pb²⁺ ions comes from the energy transfer from excited Yb³⁺-trimers.

Furthermore, we studied the influence of Pb²⁺ concentration to the cooperative luminescence from Yb³⁺-dimers (DCL). As shown in Fig. 4(b), the intensity of green DCL from Yb³⁺-dimers becomes lower when the concentration of Pb2+ ions increases gradually. The DCL emission band in the green spectral region has unique spectral structure even at room temperature. When the doping concentration of Pb²⁺ ions increases gradually from 0% to 6% in CaF2:1% Yb3+ samples, the DCL decreases and until completely disappears at 6% Pb2+ doping concentration. The transition probabilities of DCL and TCL in CaF2 are calculated to be 2.6 \times 10⁻² s⁻¹ and 1.8 \times 10⁻⁶ s⁻¹, respectively.30 Although the TCL from Yb3+-trimers disappears when the doped concentration of Pb²⁺ ions is 4%, the DCL from Yb³⁺dimers exists until the doping concentration of Pb²⁺ ions reaches 6%. Fig. 4(c) shows the temperature-dependent UC emission spectra of CaF₂:1% Yb³⁺,0.5% Pb²⁺ during cooling under the excitation of a 978 nm laser. As the temperature decreases, the peak positions of all emission bands (343 and 383 nm) exhibit no significant changes, whereas the UC emission intensities increase monotonously. It is well known that the

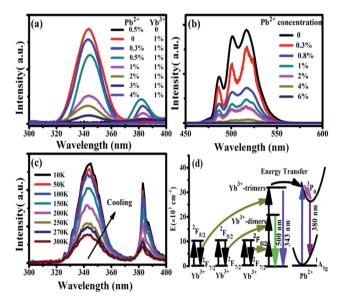


Fig. 4 (a) Emission spectra (300–400 nm) of $CaF_2:x\%\ Yb^{3+},y\%\ Pb^{2+}$ ($x=0,1;\ y=0,0.3,0.5,1,2,3,4$) upon 978 nm excitation at room temperature (b) emission spectra (450–600 nm) of $CaF_2:1\%\ Yb^{3+},y\%$ Pb^{2+} (y=0,0.3,0.8,1,2,4,6) upon 978 nm excitation at room temperature (c) temperature dependent UC emission spectra of $CaF_2:1\%\ Yb^{3+},0.5\%\ Pb^{2+}$ during cooling (d) schematic energy level diagram of Yb^{3+} and Pb^{2+} . The cooperative energy transfer from three excited Yb^{3+} ions to one Yb^{2+} ion is exhibited by using black arrows. The magenta arrow indicates the non-radiative relaxation process in Yb^{2+} ions.

luminescence efficiencies are strongly temperature dependent, and usually the higher temperature would result in lower luminescence efficiency due to the strong nonradiative loss as the temperature rises. Fig. 4(d) shows a schematic of energy diagram for the cooperative energy transfer of three Yb³⁺ ions. In the schematic diagram, Pb²⁺ ions are excited by the sensitization of Yb3+-trimers, and then relax to the bottom of the excited state through a non-radiative way. When the excited Pb2+ ions make a transition from the excited state 3P0 to the ground state ¹A_{1g}, the UC emission at 383 nm can be observed. The cooperative UC process includes four ions, one Pb²⁺ ion and three Yb3+ ions. Under the excitation of 978 nm NIR, the multiion system emits an UV photon of 383 nm. The ${}^{3}P_{0} \rightarrow {}^{1}A_{1\sigma}$ transition of Pb²⁺ ions is forbidden since $\Delta S = 1$ and $\Delta J = 0$. However, selection rules are not absolute, especially for heavy ions (such as Pb²⁺). In a heavy ion, the coupling of spin-orbit is strong, which mixes spin triplet (S = 1) and singlet (S = 0) and lifts the spin forbidden partly. As a result, the spin forbidden transitions in some heavy ions can be observed. It can be seen from Fig. 4(d) that the purple line shows the radiative transition of Pb²⁺ ions. Fig. 5 shows the intensity dependence of 343 nm, 383 nm, and 500 nm UC emissions on the doping concentration of Pb²⁺. While the concentration of Yb³⁺ keeps at 1%, the best concentration of Pb2+ ions is 0.5% for the emission of Pb2+ ions at 383 nm.

The UV excitation spectrum of 383 nm emission from $CaF_2:1\% \ Yb^{3+},0.5\% \ Pb^{2+}$ is peaked at 330 nm (due to Pb^{2+} ions), as shown in Fig. 6(a). The excitation band is highly overlapped with the TCL band peaked at 343 nm, which satisfies the condition of cooperative sensitization from excited Yb^{3+} -trimers to Pb^{2+} ions and gives further the excitation mechanism of 383 nm UCL. The 383 nm luminescence of $CaF_2:0.5\% \ Pb^{2+}$ upon 330 nm excitation shows that this luminescence comes from Pb^{2+} ions, as shown in Fig. 6(b). Under 330 nm excitation, we also recorded the UV emission spectrum of $CaF_2:1\% \ Yb^{3+},0.5\% \ Pb^{2+}$ (red line). This spectral result is similar to that of the

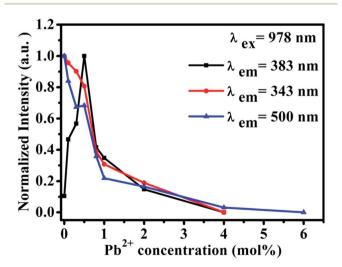


Fig. 5 Intensity dependence of 343 nm, 383 nm and 500 nm UC emissions on the doping concentration of Pb^{2+} in $CaF_2:1\%$ Yb^{3+} ,x% Pb^{2+} (x=0,0.3,0.5,0.8,1,2,3,4,6).

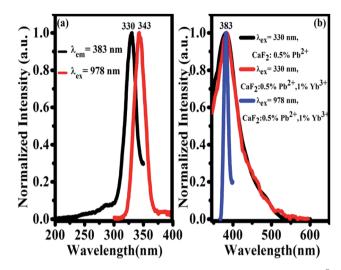


Fig. 6 (a) UC emission spectrum upon 978 nm excitation of Yb³⁺-trimers (red line) and excitation spectrum for 383 nm Pb²⁺ emission in CaF₂:1% Yb³⁺,0.5% Pb²⁺ (black line) at room temperature; (b) emission spectra of CaF₂:0.5% Pb²⁺ (black) and CaF₂:1% Yb³⁺,0.5% Pb²⁺ (red) upon 330 nm excitation, and the UCL spectrum of CaF₂:1% Yb³⁺,0.5% Pb²⁺ (blue) upon 978 nm excitation at room temperature, respectively.

sample without Yb³+ doping, indicating that the down-conversion emission of Pb²+ ions is not influenced by Yb³+ doping. The absorption bands of Pb²+ ions in CaF₂ are peaked at 246 nm, 306 nm, and a broad band between 310 nm and 450 nm.³³ On the other hand, upon 978 nm NIR excitation, a similar UV emission (Fig. 6(b), blue line) peaked at 383 nm was also observed in CaF₂:1% Yb³+,0.5% Pb²+. Therefore, it is reasonable for us to attribute the UV emission peaked at 383 nm to the $^3P_0 \rightarrow \, ^1A_{1g}$ transition of Pb²+ ions. The widths of black and red peaks are almost the same and wider than that of the blue one. There are various activator symmetry sites for the codoped Pb²+ ions in the powder samples but only a special part of them can be excited by the ET from the excited Yb³+-trimers, therefore the UCL band from Pb²+ ions is rather narrow.

In order to study the cooperative energy transfer from Yb3+trimers to Pb2+ ions, we studied the excited state dynamics of CaF₂:1% Yb³⁺,0.5% Pb²⁺ under the excitation of 978 nm. At 10 K and 300 K, we recorded the decay curve of TCL from CaF2:1% Yb³⁺,0.5% Pb²⁺ under the chopped 978 nm excitation by using an oscilloscope. The energy transfer processes are often dependent on temperature. It can be seen from Fig. 7(a) that these decay curves have no rising edge, which indicates that TCL (~343 nm) come from the direct excitation of 978 nm and no energy transfer process exists. The lifetime of Yb3+-trimers a little decrease from 0.487 ms to 0.423 ms. In addition, the higher the temperature the shorter the life time due to dependence of nonradiative multiphonon relaxation processes on temperature. However, the 383 nm UCL of Pb2+ ions shows a rising edge, as shown in Fig. 7(b), which means that the excitation of Pb2+ comes from energy transfer. The lifetime of Pb^{2+} excited state is long (0.475 ms) due to the ${}^{3}P_{0} \rightarrow {}^{1}A_{1g}$ transition is strongly forbidden. As can be seen in Fig. 7(c), the lifetime of TCL gradually decreases with the increase of Pb²⁺

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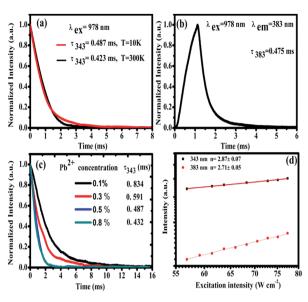


Fig. 7 (a) Temperature dependent fluorescence decay curves of $343 \, \mathrm{nm} \, \mathrm{TCLs}$. (b) Fluorescence decay curve of the UCL at $383 \, \mathrm{nm} \, \mathrm{from} \, \mathrm{Pb}^{2+}$ ions upon 978 nm excitation at 10 K. (c) Fluorescence decay curves of $343 \, \mathrm{nm} \, \mathrm{TCL} \, \mathrm{from} \, \mathrm{Yb}^{3+}$ -trimers with different $\mathrm{Pb}^{2+} \, \mathrm{concentrations} \, \mathrm{under} \, 978 \, \mathrm{nm} \, \mathrm{excitation} \, \mathrm{in} \, \mathrm{CaF}_2:1\% \, \mathrm{Yb}^{3+},x\% \, \mathrm{Pb}^{2+} \, (x=0.1\%, \, 0.3\%, \, 0.5\%, \, \mathrm{and} \, 0.8\%) \, \mathrm{at} \, 10 \, \mathrm{K}, \, \mathrm{respectively}.$ (d) Double-logarithmic plots of the pump-power dependent UC emission intensity recorded under $978 \, \mathrm{nm} \, \mathrm{excitation}.$

doping concentration, which indicates the cooperative energy transfer from Yb³+-trimers to Pb²+ ions. Fig. 7(d) shows the typical double-logarithmic plots of luminescence intensity $I_{\rm f}$ versus the pump power density $I_{\rm NIR}$. The n value can be obtained from the slope of the linear fit. The fitting results of square and circle are approximately 2.87 \pm 0.07 and 2.71 \pm 0.05 for 343 and 383 nm emissions, respectively. These n values reveal that both the emissions at 343 and 383 nm belong to three-photon UC processes.

Conclusions

In summary, we synthesized CaF₂:Pb²⁺,Yb³⁺ phosphors through a coprecipitation method. Under the excitation of 978 nm, not only the TCL at 343 nm from Yb³⁺-trimers was observed but also the UV UCL at 383 nm from Pb²⁺ ions was recorded for the first time. Experimental results shows that the population of Pb²⁺ excited states originates from the cooperative energy transfer from excited Yb³⁺-trimers. With the increase of Pb²⁺ concentration, both of the TCL and the UV UCL from Pb²⁺ ions decrease and disappear.

Experimental section

Powder samples of CaF₂ doped with Yb³⁺ (0, 1 mol%) and Pb²⁺ (0, 0.3, 0.5, 0.8, 1, 2, 3, 4 mol%) were prepared by a conventional co-precipitation method by using Ca(NO₃)₂·4H₂O (99%, Aladdin), Yb(NO₃)₃·5H₂O (99.99%, Aladdin), Pb(NO₃)₂ (99.99%, Aladdin), and NH₄HF₂ (99.99%, Aladdin) as the raw materials. Firstly, standard aqueous solutions of 0.5 mol l⁻¹

 $Yb(NO_3)_3 \cdot 5H_2O$, 0.01 mol l^{-1} $Pb(NO_3)_2$, and 0.5 mol l^{-1} Ca(NO₃)₂·4H₂O were prepared. A stoichiometric amounts of Yb(NO₃)₃·5H₂O, Pb(NO₃)₂, and Ca(NO₃)₂·4H₂O aqueous solutions were put in a beaker with 30 min constant stirring, and then the mixture was added dropwise to NH₄HF₂ aqueous solution under constant stirring for 1 h to get uniform precipitations. The obtained precipitations were then washed several times with distilled water to remove the remained NO₃⁻ and F⁻ ions. The products were dried at 95 °C for 12 h and then calcined at 1200 °C for 2 h in air. All the samples were synthesized under the identical conditions. The luminescence spectra were recorded with a one-meter monochromator (SPEX 1000M; HORIBA Jobin Yvon Inc., Edison, NJ, USA) equipped with an 1800 lines per mm grating. The excitation light source was a power-adjustable continuous wave laser diode (978 nm, 10 W; BWT Beijing Ltd, Beijing, China). The mean power of this excitation light source is 10 W, and the NIR laser was focused on the sample by a lens. A digital oscilloscope (DPO4104B, bandwidth 1 GHz, sampling rate 5 GS s⁻¹; Tektronix, Shanghai, China), a power-adjustable continuous wave laser diode (CW 978 nm, 10 W), and a chopper were used to record decay curves.

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