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CH₃NH₃PbI₃ perovskite:poly(*N*-vinylcarbazole) blends for broadband optical limiting

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As one of the most active areas in laser protection, organic/inorganic hybrid functional materials have been expected to play an extremely important role in the field of optical limiting. The optical limiting performance of the CH₃NH₃PbI₃ perovskite:poly(*N*-vinylcarbazole) (weight ratio: 1 : 1) blends (hereafter abbreviated as CP) was experimentally studied in DMF and in a poly(methylmethacrylate) (PMMA) matrix, respectively, using an open aperture Z-scan method. In contrast to the CP dispersed in DMF, which showed no apparent nonlinear optical (NLO) response at both 532 and 1064 nm, after annealing at 200 °C in N₂ for 30 min, a saturable absorption (SA) response was observed in the same DMF dispersion under the excitation of 532 nm laser. At 1064 nm, the annealed sample displayed different NLO responses: SA at the lower pulse energy and reverse saturable absorption (RSA) at the higher pulse energy. Both the 3 wt% and 6 wt% CP-doped PMMA composite films exhibited a typical RSA response, larger nonlinear absorption coefficient and superior optical limiting performance when compared to the same blends in DMF dispersion.

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In the past decades, significant research effort has been invested in state-of-the-art optical limiting (OL) materials (*e.g.* fullerenes, carbon nanotubes, polymer/nanotube composites, porphyrins, phthalocyanines, mixed metal complexes, carbon black suspensions, two dimensional nanosheets, nanowires, nanofibers and nanoparticles as well) in an attempt to achieve some measure of protection from laser beams.^{1–5} A large number of essential strategies have been employed to control and optimize the OL characteristics of nonlinear optical (NLO) materials for practical laser protection. However, the preparation of novel nonlinear and optically active materials with excellent thermal stability, small limiting threshold value, large laser damage threshold value, ps/ns response time and broadband spectral range, still presents a significant challenge.

Since 2009, organometal halide perovskites (*e.g.* CH₃NH₃-MX₃ (M = Pb, Sn; X = Cl, Br, I), CH₃NH₃MBr_xX_(3-x) (M = Pb, Sn; X = Cl, I), (C₄H₉NH₃)₂(CH₃NH₃)_(x-1)Sn_xI_(3x+1), CH₃NH₃PbCl_xI_(3-x) and others) have attracted significant attention worldwide,^{6–9} especially in organic photovoltaics (including dye-sensitized solar cells and other organic solar cells), due to their high electron mobility (66–2300 cm² V⁻¹ s⁻¹),¹⁰ long exciton diffusion length (100–1000 nm),^{11,12} high linear

absorption coefficient (1.5 × 10⁴ cm⁻¹ at 550 nm),¹³ tunable bandgap (1.17–2.3 eV).^{8,13,14} However, the NLO and OL performances of these materials have been less studied so far.

In this work, we reported for the first time the NLO and OL properties of the CH₃NH₃PbI₃ perovskite:poly(*N*-vinylcarbazole) (weight ratio: 1 : 1) blends (CP) in DMF and in the PMMA matrix, respectively, by open aperture Z-scan method. The CH₃NH₃PbI₃ perovskite was prepared according to the method described in the literature.⁶ Poly(*N*-vinylcarbazole) (PVK, *M*_w = 9 × 10⁴), which processes excellent hole-transporting ability and photoconductivity,^{15–17} was chose as electron-donating material to construct the CH₃NH₃PbI₃-based donor-acceptor system for nonlinear optics. The energy difference in LUMO levels between PVK (−2.2 eV) and CH₃NH₃PbI₃ (−3.9 eV) reached up to 1.7 eV, far more than 0.3 eV,¹⁸ which is a key parameter for realizing 100% charge transfer between electron donor and acceptor.

Both the PVK and CH₃NH₃PbI₃ have very good thermal stability. Their onset temperatures for thermal bond cleavage were 480 °C for PVK and 334 °C for CH₃NH₃PbI₃, respectively. From Fig. 1a and b, it can be seen that the CH₃NH₃PbI₃ crystals achieved in this study have a narrow size distribution in the range of 2–18 nm, with an average diameter of 7.94 nm. The characteristic diffraction peaks of CH₃NH₃PbI₃ (Fig. 1c) were found to be centred at 2θ = 14.1° (110), 20.0° (112), 23.6° (211), 24.5° (202), 28.4° (220), 32.0° (310) and 35.0° (312), suggesting typical tetragonal perovskite structure.^{19,20} The corresponding crystal faces are indicated in parentheses. The X-ray diffraction (XRD) pattern of PVK had a strong diffraction peak at 2θ = 7.67°, from which the nearest chain-to-chain distance was calculated

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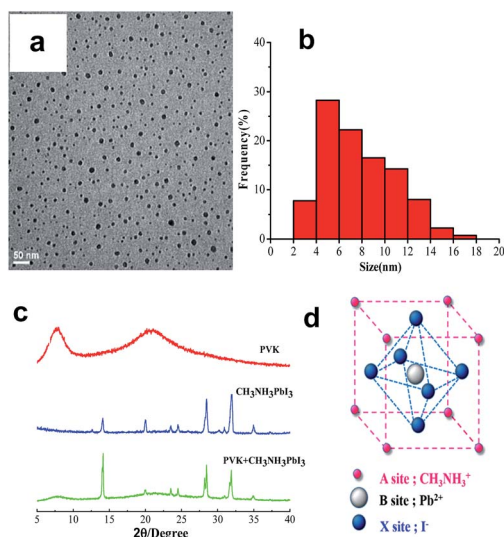


Fig. 1 (a) TEM image and (b) size distribution of the as-prepared $\text{CH}_3\text{NH}_3\text{PbI}_3$, (c) XRD patterns of the film samples, and (d) structural representation of $\text{CH}_3\text{NH}_3\text{PbI}_3$.

to be 11.51 \AA , and a broad, diffuse amorphous halo at $2\theta = 20.64^\circ$ ($d = 4.30 \text{ \AA}$). As expected, the XRD pattern of $\text{CH}_3\text{NH}_3\text{-PbI}_3/\text{PVK}$ blends was a simple superposition of the XRD patterns of these two components. No additional information is available.

The NLO and OL properties of CP were experimentally studied in DMF and in the PMMA matrix, respectively, by open aperture Z scan method that was widely used to test the NLO responses of materials. The Z-scan measurements were performed using 6 ns pulses from a Q-switched Nd : YAG laser operating at 1064 and 532 nm. The incident laser was tightly

focused with a 15 cm focus lens. All dispersions were tested in $10 \times 1 \text{ mm}$ quartz cuvettes with the pulse repetition rate of 10 Hz. For the PMMA films, the pulse repetition rate used was 2 Hz. Fig. 2 gives the excitation pulse energy dependent Z-scan data of CP dispersed in DMF before and after annealing at 200°C in N_2 . As seen in Fig. 2a and b, the dispersions show no apparent NLO response before annealing at both 532 and 1064 nm. After annealing for 30 min, a symmetric peak at the beam focus shows up and strengthens gradually with the incident pulse energy increasing at 532 nm, suggesting a saturable absorption (SA) response (Fig. 2c). It is noteworthy that, whatever the sample is PVK or $\text{CH}_3\text{NH}_3\text{PbI}_3$, these materials themselves do not exhibit any NLO effect. At 1064 nm, the annealed sample displays different NLO responses (Fig. 2d). The normalized transmission curve shows a peak at the lowest pulse energy of $250 \mu\text{J}$, which can be assigned to SA. However, as the pulse energy clamping, a valley inside the peak appears at the beam focus and deepens gradually due to the reverse saturable absorption (RSA) mechanism that occurs following SA. These results demonstrated that the annealing treatment on the blends considerably improved the NLO effect of material. A reasonable explanation for this issue is that the annealing treatment on the blends induces more efficient intermolecular charge transfer effect between PVK and $\text{CH}_3\text{NH}_3\text{PbI}_3$ during the annealing process, and consequently further improves the NLO performance of the blends.

To evaluate the NLO performance of CP in the solid state, we embedded it as inclusions in a transparent PMMA matrix. The achieved composite materials with different CP concentrations (3 wt%, 6 wt%) can be directly used to produce suitable solid films for the broadband solid-state OL applications. In comparison with the non-annealed films, the NLO performance of the annealed films experiences a significant improvement.

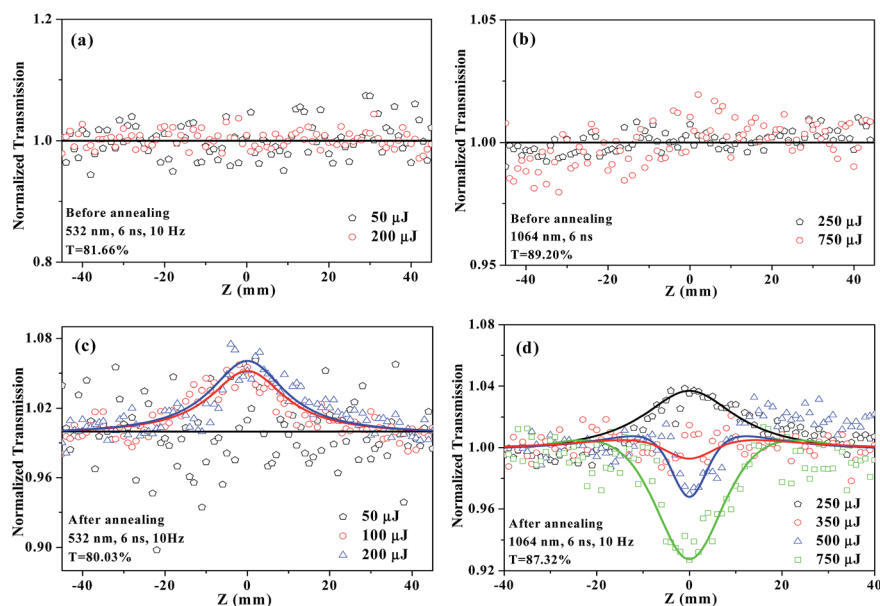


Fig. 2 Normalized open-aperture Z-scan results of the $\text{CH}_3\text{NH}_3\text{PbI}_3:\text{PVK}$ blends dispersed in DMF before (a, b) and after (c, d) annealing of the blends at 200°C in N_2 for 30 min, under the excitation of 6 ns pulses at 532 nm (a, c) and 1064 nm (b, d) with different pulse energies. The solid lines are the theoretical fitting results.



Taking the 3 wt% CP-doped PMMA film, the normalized transmission curve before annealing shows no obvious variation at the lowest pulse energy of 75 μJ at 532 nm, whereas the corresponding curve possesses a valley with the value of ~ 0.87 in the annealed film (Fig. 3a and c). At 250 μJ , the minimal value of normalized transmittance (T_{min}), which can be used to evaluate the OL response of the materials, is ~ 0.45 in the annealed film. This value is less than the T_{min} value (~ 0.65) observed in the non-annealed film. Similar phenomena can also be observed under 1064 nm laser excitation. In contrast to 3 wt% CP-doped PMMA film, the 6 wt% CP-doped film exhibits more superior

broadband OL performance before and after annealing due to the higher CP doping level in the resultant film.

To further understand the NLO and OL behaviors of the above films, the normalized transmittances were plotted as functions of incident laser intensity (Fig. 4a and b). As expected, the PMMA film with higher CP concentration shows greater OL performance than that with lower concentration at both 532 and 1064 nm. The T_{min} values were found to be ~ 0.3 at the excitation intensity of $\sim 1.3 \text{ GW cm}^{-2}$ at 532 nm, and ~ 0.4 at the excitation intensity of $\sim 1.4 \text{ GW cm}^{-2}$ at 1064 nm, respectively. Furthermore, the annealed film produces more superior OL response, which is consistent with the results shown in Fig. 3.

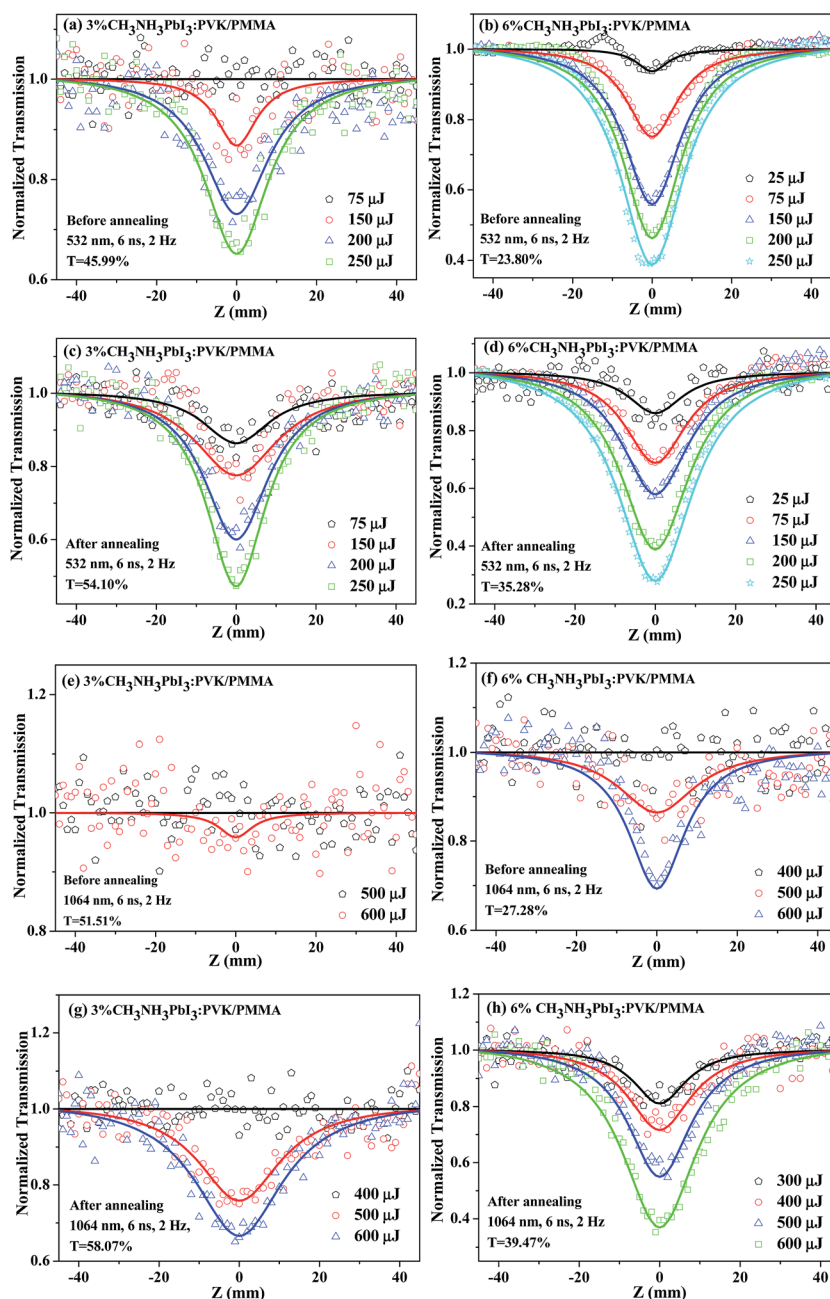


Fig. 3 Typical open-aperture Z-scan data of the $\text{CH}_3\text{NH}_3\text{PbI}_3\text{:PVK/PMMA}$ films with different $\text{CH}_3\text{NH}_3\text{PbI}_3\text{:PVK}$ concentrations. The annealing condition: 200 $^\circ\text{C}$ for 30 min in N_2 .



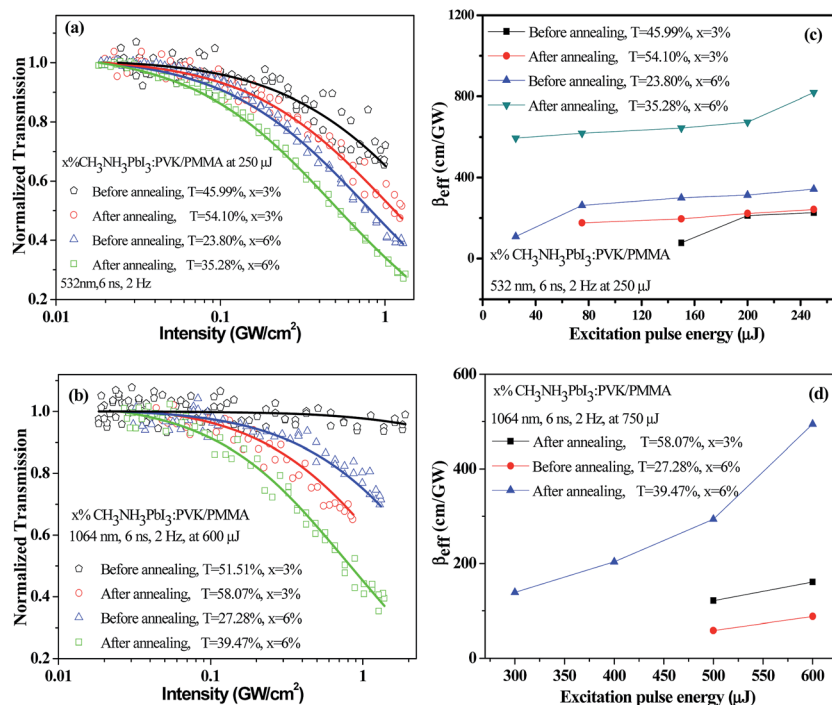


Fig. 4 Variation in the normalized transmittance as a function of input laser intensity and β_{eff} as a function of the excitation pulse energy for the $\text{CH}_3\text{NH}_3\text{PbI}_3\text{:PVK/PMMA}$ films at 532 nm (a, c) and 1064 nm (b, d), respectively.

Table 1 Linear and NLO data of the samples. CP: $\text{CH}_3\text{NH}_3\text{PbI}_3\text{:PVK}$; T_0 : linear transmittance; α_0 : linear absorption coefficient; β_{eff} : nonlinear coefficient; $\text{Im } \chi^{(3)}$: imaginary third-order susceptibility

| Laser | Input pulse energy | Sample | T_0 (%) | α_0 (cm^{-1}) | β_{eff} (cm GW^{-1}) | $\text{Im } \chi^{(3)}$ ($\times 10^{-12}$, esu) |
|---------|---------------------------|---------------------|-----------|---------------------------------|--|--|
| 532 nm | 250 μJ , 10 Hz | CP in DMF | 81.66 | 2.03 | — | — |
| | | Annealed CP in DMF | 80.03 | 2.23 | -1.22 | -0.42 |
| | 250 μJ , 2 Hz | 3% CP/PMMA | 45.99 | 221.93 | 226.95 | 96.99 |
| | | 3% annealed CP/PMMA | 54.10 | 122.87 | 242.67 | 103.71 |
| | | 6% CP/PMMA | 23.80 | 205.07 | 342.89 | 146.53 |
| | | 6% annealed CP/PMMA | 35.28 | 260.46 | 818.53 | 249.80 |
| 1064 nm | 750 μJ , 10 Hz | CP in DMF | 89.20 | 1.14 | — | — |
| | | Annealed CP in DMF | 87.32 | 1.36 | 1.63 | 0.56 |
| | 600 μJ , 2 Hz | 3% CP/PMMA | 51.51 | 189.54 | 9 | 3.85 |
| | | 3% annealed CP/PMMA | 58.07 | 108.70 | 160.75 | 68.70 |
| | | 6% CP/PMMA | 27.28 | 185.57 | 88.19 | 37.70 |
| | | 6% annealed CP/PMMA | 39.47 | 232.41 | 494.34 | 211.26 |

Table 2 Damage thresholds of the samples. CP: the $\text{CH}_3\text{NH}_3\text{PbI}_3\text{:PVK}$ blends

| Laser | Input pulse energy | Sample | Damage threshold (J cm^{-2}) |
|---------|---------------------------|---------------------|---|
| 532 nm | 250 μJ , 10 Hz | CP in DMF | — |
| | | Annealed CP in DMF | — |
| | 900 μJ , 2 Hz | 3% CP/PMMA | 45.84 |
| | 1100 μJ , 2 Hz | 3% annealed CP/PMMA | 60.79 |
| | 900 μJ , 2 Hz | 6% CP/PMMA | 39.30 |
| 1064 nm | 1000 μJ , 2 Hz | 6% annealed CP/PMMA | 48.19 |
| | 750 μJ , 10 Hz | CP in DMF | — |
| | | Annealed CP in DMF | — |
| | 2000 μJ , 2 Hz | 3% CP/PMMA | 37.87 |
| | 2200 μJ , 2 Hz | 3% annealed CP/PMMA | 43.76 |
| | 1800 μJ , 2 Hz | 6% CP/PMMA | 32.48 |
| | 2000 μJ , 2 Hz | 6% annealed CP/PMMA | 36.09 |



To quantitatively compare the NLO characteristics of these samples, the Z-scan data were numerically fitted by using the nonlinear absorption model in the literature.²¹ The β_{eff} value as a function of the excitation pulse energy are depicted in Fig. 4c and d. As we can see, the β_{eff} coefficients of all the samples rise steadily as the energy clamping at both 532 and 1064 nm. In contrast to the non-annealed films and the annealed film with lower CP concentration, the annealed film with higher CP concentration has a larger coefficient and superior OL performance, as summarized in Table 1. The nonlinear extinction coefficients reported in this work are much larger than those shown in some graphene, GO, PcZn and GO-PcZn samples.^{22–24} These advantages make the annealed CP-doped PMMA films to be potential candidates for broadband optical limiters in both the visible and near-infrared regimes. Table 2 gives the damage thresholds of these blends at different laser input energies.

Conclusions

The NLO and OL properties of the CP blends, which were dispersed in DMF and embedded as inclusions in a PMMA matrix, respectively, were experimentally studied by open aperture Z-scan method. In contrast to the non-annealed CP dispersed in DMF, which showed no NLO response at both 532 and 1064 nm, the annealed blends exhibited a SA response at 532 nm in the same DMF solution. At 1064 nm, the annealed sample displayed different NLO responses: SA at the lowest pulse energy of 250 μJ and RSA at the higher pulse energy of more than 350 μJ . When these blends were embedded into a commercially available polymer PMMA, all the CP-doped PMMA films showed RSA response, much larger nonlinear absorption coefficient and more superior OL performance when compared to the same blends in solution.

Acknowledgements

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