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Tuning the Seebeck coefficient of naphthalenediimide by electrochemical gating and doping†

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We investigate the sign and magnitude of the single-molecule Seebeck coefficient of naphthalenediimide (NDI) under the influence of electrochemical gating and doping. The molecule consists of a NDI core with two alkyl chains in the bay-area position, connected to gold electrodes *via* benzothiophene (DBT) anchor groups. By switching between the neutral, radical and di-anion charge states, we are able to tune the molecular energy levels relative to the Fermi energy of the electrodes. The resulting single-molecule room-temperature Seebeck coefficients of the three charge states are $-294.5 \mu\text{V K}^{-1}$, $122 \mu\text{V K}^{-1}$ and $144 \mu\text{V K}^{-1}$ respectively and the room-temperature power factors are $4.4 \times 10^{-5} \text{ W m}^{-1} \text{ K}^{-2}$, $3 \times 10^{-5} \text{ W m}^{-1} \text{ K}^{-2}$ and $8.2 \times 10^{-4} \text{ W m}^{-1} \text{ K}^{-2}$. As a further strategy for optimising thermoelectric properties, we also investigate the effect on both phonon and electron transport of doping the NDI with either an electron donor (TTF) or an electron acceptor (TCNE). We find that doping by TTF increases the room-temperature Seebeck coefficient and power factor from $-73.7 \mu\text{V K}^{-1}$ and $2.6 \times 10^{-7} \text{ W m}^{-1} \text{ K}^{-2}$ for bare NDI to $-105 \mu\text{V K}^{-1}$ and $3.6 \times 10^{-4} \text{ W m}^{-1} \text{ K}^{-2}$ in presence of TTF. The low thermal conductance of NDI-TTF, combined with the higher Seebeck coefficient and higher electrical conductance lead to a maximum thermoelectric figure of merit of $ZT = 1.2$, which is higher than that of bare NDI in several orders of magnitude. This demonstrates that both the sign and magnitude of NDI Seebeck coefficient can be tuned reversibly by electrochemical gating and doping, suggesting that such redox active molecules are attractive materials for ultra-thin-film thermoelectric devices.

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Introduction

The Seebeck effect can be used to create electricity from a variety of sources of waste heat such as data farms and automobile exhausts and the inverse process (*i.e.* the Peltier effect) can be exploited in cascade coolers for on-chip cooling of electronic devices.^{1–3} However the more widespread application of these effects is limited by the poor performance of available materials¹ and therefore research aimed at identifying the parameters that control the efficiency of thermoelectric materials is intensifying.^{4–11} One approach involves exploiting the room-temperature properties of single-molecules attached to nanogap electrodes and identifying strategies for controlling their thermoelectric properties.^{12,13} Since the Seebeck co-

efficient of inorganic nanostructures such as nanotubes, nanowires and nanodots can be tuned by electrostatic gating,^{14–21} it is natural to explore the response of organic single-molecule junctions to an external electric field, induced by electrochemical gating or charge transfer complexation with dopants. For this purpose, redox-active molecules such as viologen or naphthalenediimide (NDI) (Fig. 1) are attractive candidates,

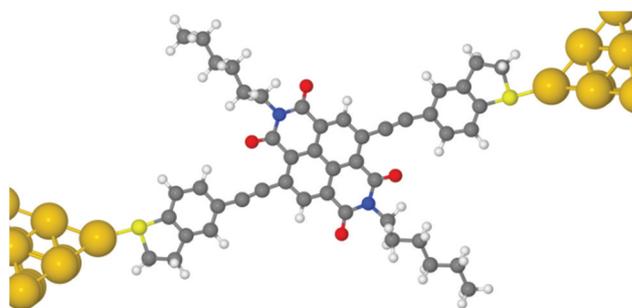


Fig. 1 An optimized single-molecule junction formed from a NDI molecule attached to gold electrodes.

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since their charge state can be changed with a relatively-low electrochemical potential.²² From the point of view of optimising thermoelectric properties, junctions formed from such molecules are of interest, because by controlling the number of electrons on the molecule, it should be possible to tune the molecular energy levels relative to the Fermi energy E_F of the electrodes,²³ leading to transport resonances, which enhance the thermopower. In what follows, we shall demonstrate that this is indeed the case and that large positive and negative thermopowers are achievable. On the other hand, although electrochemical gating is an interesting research tool for probing transport properties, it is not useful for the purpose of creating high-performance thermoelectric devices formed from molecular films, since the electrochemical environment would conduct heat and reduce the efficiency of the film. Therefore after demonstrating the efficacy of electrochemical gating, we proceed to examine the effect of doping by the electron donor TTF and the electron acceptor TCNE and demonstrate that doping is a viable route to large Seebeck coefficients and large thermoelectric efficiencies.

To model the effect of electrochemical gating, the charge state of the NDI molecule was controlled by introducing a charge double layer (CDL) in the vicinity of the NDI backbone, as shown in Fig. 2. In the absence of the CDL, the NDI possesses a small negative charge and therefore as shown in Fig. 2a, to induce the charge-neutral state the negative charges of the CDL dipoles point towards the NDI backbone. The radical anion and di-anion states are then produced by reversing the CDL dipoles and adjusting the average distance y of the closest CDL ions from the NDI backbone.

To model the fluctuating environment encountered by molecules in the presence of a CDL, results were obtained for several different CDL arrangements, in which the anions and cations were randomly arranged at a fixed distance y . For each choice of y , the transmission coefficient $T^{\sigma}(E)$ for electrons of energy E , spin $\sigma = [\uparrow, \downarrow]$ passing through the molecule from one electrode to the other was calculated from which the electrical conductance, Seebeck coefficient were obtained (see Methods). If the electrical conductance and Seebeck coefficient for the i th CDL arrangement are denoted G_i and S_i respectively, then two distinct ensemble-averaged Seebeck coefficients are of interest, which we denote $\langle S \rangle$ and \bar{S} . The first is the straightforward average Seebeck coefficient. The first is the straightforward average $\langle S \rangle = (1/N) \sum_i S_i$, where N is the number of

CDL arrangements. The second is a “conductance-weighted ensemble average,” given by $\bar{S} = \langle GS \rangle / \langle G \rangle$. As discussed in ref. 24, \bar{S} is the relevant quantity when using single-molecule Seebeck coefficients to estimate the Seebeck coefficient of a dilute thin film of such molecules. For each of the three NDI charge states, Fig. 3a–c show the average conductance $\langle G \rangle$, the average Seebeck coefficient $\langle S \rangle$ and conductance-weighted Seebeck coefficient \bar{S} as functions of temperature, obtained using the DFT-predicted Fermi energy E_F^{DFT} (see Methods). The computed trend in $\langle G \rangle$ (Fig. 3c) agrees well with experimental measurements,²² whereas there exist currently no measurements for $\langle S \rangle$ and \bar{S} . Fig. 3a shows that both the sign and magnitude of the average thermopower \bar{S} are sensitive to the charge state of the NDI, taking room-temperature values of $-295 \mu\text{V K}^{-1}$, $+122 \mu\text{V K}^{-1}$ and $+144 \mu\text{V K}^{-1}$ for the neutral, anion and di-anion states respectively. It is interesting to note that if the Fermi energy is initially near the middle of the HOMO–LUMO gap, then a negative Seebeck coefficient S is expected as the molecule becomes more negatively charged and the LUMO moves closer to the Fermi energy. For example in Fig. S2a,† if the LUMO decreased in energy by no more than 0.1 eV, then S would become more negative. However such a shift involves only a small change in the charge on the molecule. Changing the redox state such that the NDI gains one or two electrons creates a much more significant change in the electronic structure of the molecule. For example comparison between Fig. S2a and S2c† shows that the original LUMO completely passes below the Fermi energy, thereby changing the slope of the transmission function from positive to negative and S from negative to positive. This significant change in electronic structure has been experimentally verified by the order of magnitude differences between the measured conductances of the three redox states.²²

A crucial quantity determining the efficiency of a bulk thermoelectric material is the dimensionless figure of merit $ZT = PT/\kappa$, where $P = \sigma S^2$ is the power factor, σ is the electrical conductivity and κ is the thermal conductivity due to both phonons and electrons. In an electrochemical environment, ZT is not relevant, because κ will be determined by heat flows through the electrochemical medium. However the numerator of ZT (*i.e.* the power factor P) is of interest.

The notion of conductivity is not applicable to transport through single molecules, but to compare with literature values for bulk materials, we define $\sigma = \langle G \rangle L/A$, where L and A

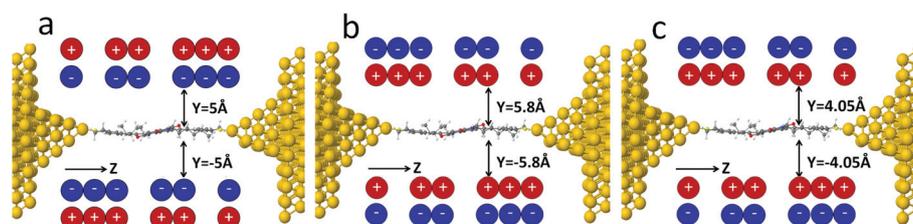


Fig. 2 Junction geometries with charge double layers (CDLs) corresponding to three charge states of the NDI: (a) the neutral state (b) the radical anion and (c) the radical di-anion state.



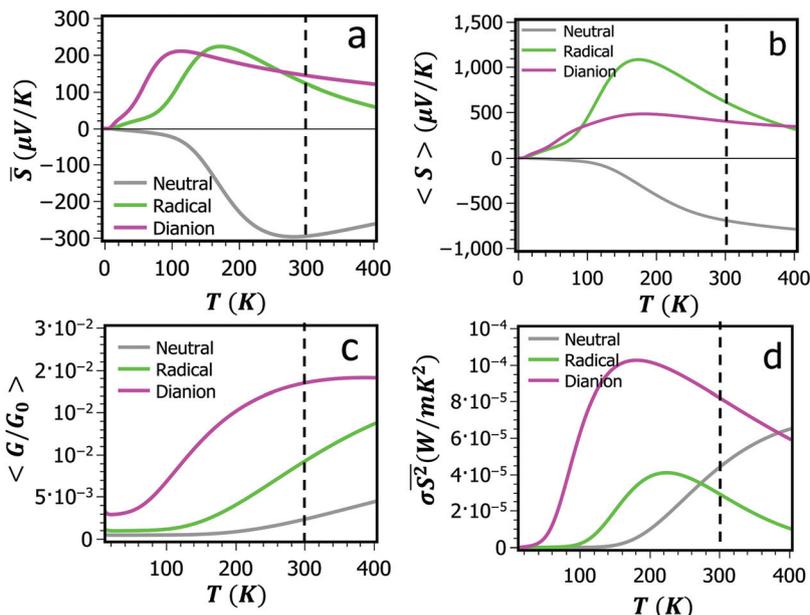


Fig. 3 (a, b and c) show the averaged quantities \bar{S} , $\langle S \rangle$ and $\langle G \rangle$ respectively for junction geometries with double layers located at distances $y = 5$ Å, $y = 5.8$ Å and $y = 4.05$ Å. (d) shows the corresponding power factor $\overline{\sigma S^2}$. All quantities are plotted as a function of temperature and obtained using the DFT-predicted Fermi energy E_F^{DFT} . The colour code refers to the three different states, NDI-N (grey), NDI-R (green) and NDI-D (pink).

are equal to the length and the cross-sectional area of the molecule respectively. Using the values $L = 3.6$ nm and $A = 1.4$ nm² yields the results in Fig. 3d, which show that at low temperature, the anion and di-anion states have the highest power factors. The room-temperature power factors of between 20 and 80 $\mu\text{W m}^{-1} \text{K}^{-2}$ compare favourably with power factors of other organic materials, whose reported values range from 0.016 $\mu\text{W m}^{-1} \text{K}^{-2}$ and 0.045 $\mu\text{W m}^{-1} \text{K}^{-2}$ for polyaniline and polypyrrole respectively,²⁵ to 12 $\mu\text{W m}^{-1} \text{K}^{-2}$ for PEDOT:PSS²⁶ and 12 $\mu\text{W m}^{-1} \text{K}^{-2}$ for C60/Cs2Co3 Dph-BDT.²⁷

Fig. 4a, b and c, 5d show results for the room-temperature ensemble-averaged electrical conductances $\langle G \rangle$, Seebeck coefficient (thermopower) \bar{S} and power factor $\overline{\sigma S^2}$. It is well-known that DFT can give inaccurate value for the Fermi energy and therefore Fig. 4a–c show corresponding results for a range of Fermi energies E_F relative to the DFT-predicted Fermi energy E_F^{DFT} .

Although control of thermoelectric properties by electrochemical gating is a versatile research tool for fundamental studies, parasitic heat conduction by the electrolyte means that the resulting ZT would be low. To avoid this feature, we now study how phonon and electron transport can be tuned using charge transfer complexation with the electron donor TTF and the acceptor TCNE.

Fig. 5a shows an example of NDI complexed with TTF, whose electron transmission coefficient (blue curve in Fig. 5b) leads to the room-temperature electrical conductance shown in Fig. 5c (blue curve). For comparison, the red curves show corresponding results for bare NDI.

Fig. 5a shows that at $E - E_F^{\text{DFT}} = -0.6$ eV, the interaction between a bound state on the TTF and the extended states of the NDI backbone produces a Fano resonance (*i.e.* a sharp reso-

nance, accompanied by an anti-resonance) Fig. 5 also shows that the TTF also shifts the position of the LUMO and HOMO resonances of NDI molecule to lower energies, reflecting the fact that (see Table 1) the TTF acts as a positively charged electrostatic gate. The Mott formula tells us that the Seebeck coefficient is proportional to the slope of the transmission coefficient $T(E)$ at the Fermi energy and as demonstrated in Fig. 5b, the shift from the undoped (red) transmission curve to the doped (blue) curve increases the slope at $E = E_F^{\text{DFT}}$, leading to an increase in the Seebeck coefficient at low temperatures, as shown in Fig. 6b. High-Seebeck coefficients after n-doping are not unprecedented in organic semiconductors. For example,²⁸ Schlitz *et al.*²⁹ demonstrated that n-doping of naphthalenedicarboximide-bithiophene copolymer P(NDIOD-T2) leads to a high negative Seebeck coefficient of -850 $\mu\text{V K}^{-1}$. In contrast, calculations in the presence of TCNE (see ESI[†]) show that the latter acts as a negatively-charged electrostatic gate and shifts molecular resonances to higher energies. In this case, the doping is unfavourable, because centre of the HOMO–LUMO gap is shifted towards the DFT-predicted Fermi energy, thereby lowering the slope of $T(E)$ and the value of the Seebeck coefficient relative to the bare NDI.

Fig. 6 shows the resulting Seebeck coefficients S and power factors $\overline{\sigma S^2}$ of bare and TTF-doped NDI. Fig. 6a and c show results for a range of Fermi energies E_F relative to the DFT-predicted Fermi energy E_F^{DFT} , whereas Fig. 6b and d show the corresponding results for a range of temperature, evaluated at the DFT Fermi energy. Fig. 6b show that NDI with TTF have the highest power factor of 3.6×10^{-4} $\text{W m}^{-1} \text{K}^{-2}$. As shown in the ESI[†] in the TCNE-doped NDI, LUMO resonance is shifted outward DFT Fermi energy and therefore both thermopower



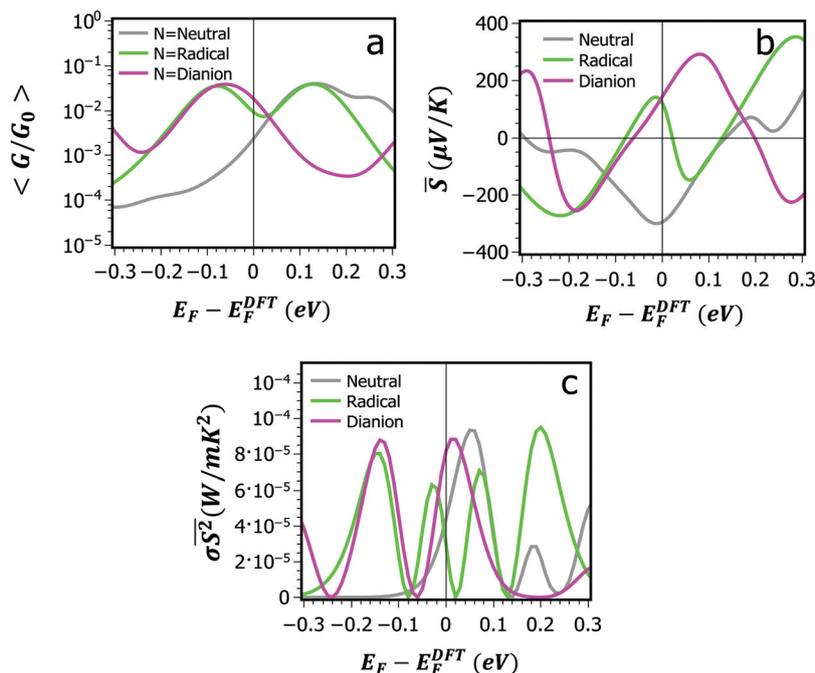


Fig. 4 (a, b and c) show The room-temperature ensemble-averaged electrical conductances $\langle G \rangle$, thermopower \bar{S} and power factor $\sigma \bar{S}^2$ plotted for a range of Fermi energies E_F relative to the DFT-predicted Fermi energy E_F^{DFT} for each NDI charge state. The grey curve shows the neutral state, the green curve is the anion state and the magenta curve is the di-anion state.

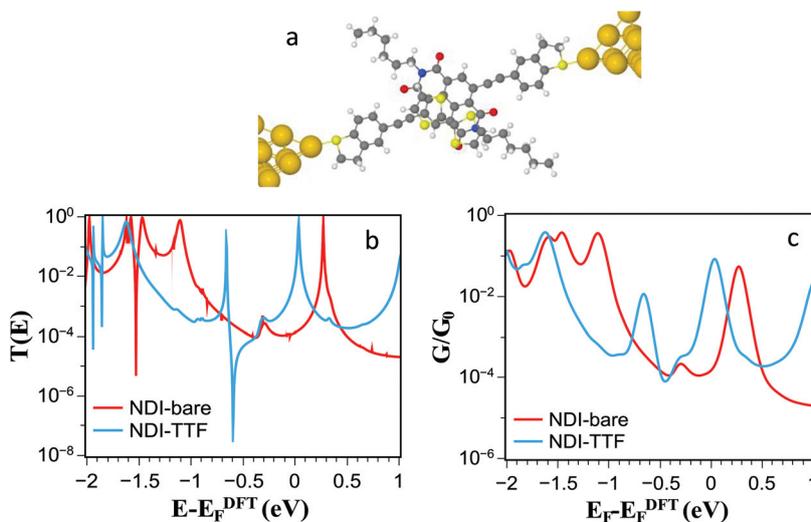


Fig. 5 (a) An NDI molecule complexed with TTF. (b) Transmission coefficients as a function of energy for the NDI (red) and NDI-TTF (blue). (c) Room-temperature electrical conductance for the bare NDI (red) and NDI-TTF (blue).

Table 1 DFT results for the number ΔN of electrons transferred from the TTF, the binding energy ΔE of the TTF to the NDI molecule and optimal distance of the TTF from the NDI

	ΔN	ΔE (eV)	D (Å)
TTF	0.322	-0.807	3.25

and electrical conductance is reduced in the vicinity of the DFT-predicted Fermi energy. Therefore, to obtain full ZT, we consider TTF-doped NDI by calculating the phonon contribution to thermal conductance.

Following the method in ref. 6, we calculate the vibrational properties of the bare NDI and TTF-doped NDI by constructing the dynamical matrix $D_{ij} = (F_i^q(\delta q'_j) - F_j^q(-\delta q'_i))/2M_{ij}\delta q'_j$ where F and M are the force and mass matrices and $\delta q' = 0.01$ Å is each atom displacement from optimised geometry in positive



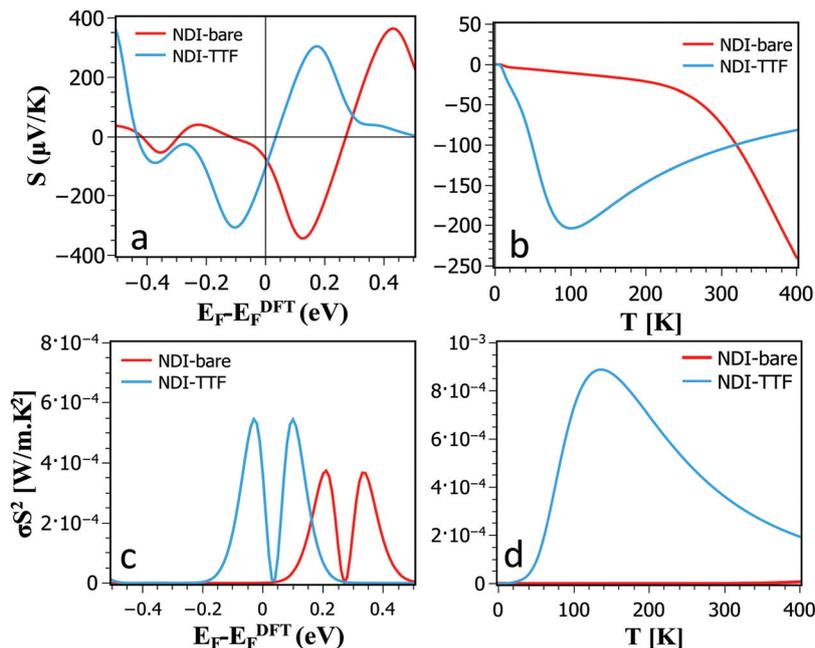


Fig. 6 (a) and (c) Room-temperature Seebeck coefficients and power factors versus Fermi energy for bare and TTF-doped NDI. (b) and (d) Seebeck coefficients and power factors versus temperature, evaluated using the DFT-predicted value of the Fermi energy.

and negative x , y , and z directions. For a molecule within a junction, the dynamical matrix describes an open system composed of the molecule and two semi-infinite electrodes and is used to calculate the phonon transmission coefficient $T_{\text{ph}}(\omega)$ for phonons with energy $\hbar\omega$ passing through the bare NDI and TTF-doped NDI from the right to the left electrodes as shown in Fig. 7.

The thermal conductance due to the phonons could be calculated from the phononic transmission coefficient $T_{\text{ph}}(\omega)$ as: $\kappa_{\text{ph}}(T) = \frac{1}{2\pi} \int_0^\infty \hbar\omega T_{\text{ph}}(\omega) \frac{\partial f_{\text{BE}}(\omega, T)}{\partial T} d\omega$ where $f_{\text{BE}}(\omega, T)$ is Bose-Einstein distribution function. From the phonon and electron transmission coefficients of Fig. 7 and 5 respectively,

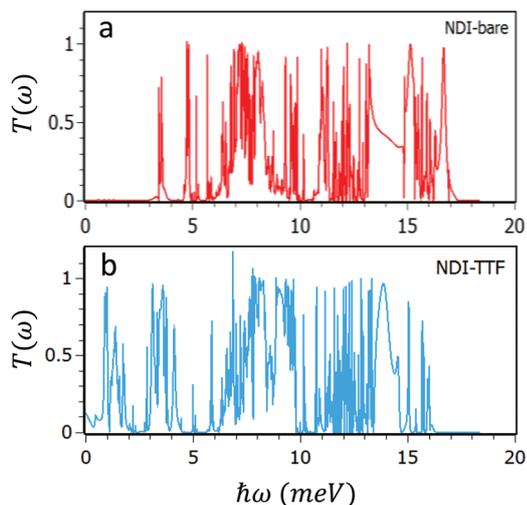


Fig. 7 Phonon transmission coefficients for bare and TTF-doped NDI.

we obtain the phonon and electron contributions to the thermal conductance shown in Fig. 8.

When added together to yield the total thermal conductance, these results combine with those of Fig. 5 and 6 to yield the thermoelectric figure of merit ZT shown in Fig. 9, which reveals that in the presence of TTF doping, for Fermi energies within 0.1 eV of the DFT-value, a ZT of order 1.2 is achievable.

In conclusion, to illustrate the advantages of using redox-active molecules in the design of thermoelectric materials, we first studied the thermoelectric properties of NDI single-molecule junctions under electrochemical gating. The effect of an electrochemical gate is modelled by placing a charge double layer around the NDI and varying the distance of the charge double layer from the NDI backbone to control the charge state of the NDI. We predict that the three NDI charge states possess distinct thermoelectric properties. In particular the Seebeck coefficients of the neutral state are negative, whereas the anion and di-anion states possess a positive Seebeck coefficient. Building upon this strategy for tuning thermoelectric properties, we also investigated the effect on both phonon and electron transport of doping the NDI with either an electron donor (TTF) or an electron acceptor (TCNE). We find that doping by TTF increases the room-temperature Seebeck coefficient and power factor from $-73.66 \mu\text{V K}^{-1}$ and $2.6 \times 10^{-7} \text{W m}^{-1} \text{K}^{-2}$ for bare NDI to $-105 \mu\text{V K}^{-1}$ and $3.6 \times 10^{-4} \text{W m}^{-1} \text{K}^{-2}$ in presence of TTF. The low thermal conductance of NDI-TTF, combined with the higher Seebeck coefficient and higher electrical conductance lead to a maximum thermoelectric figure of merit of $ZT = 1.2$, which is higher than that of NDI-bare in several orders of magnitude. This demonstrates that both the sign and magnitude of NDI Seebeck coefficient can be tuned reversibly by electro-



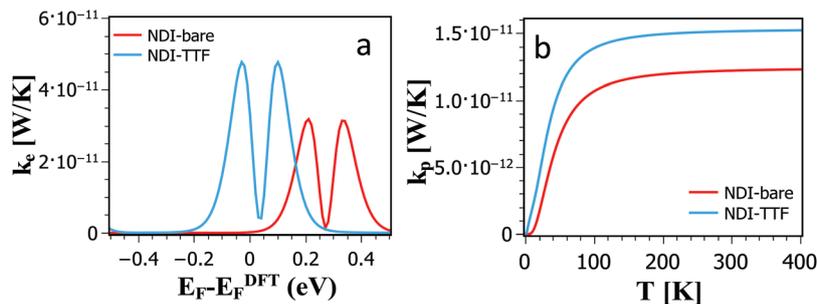


Fig. 8 Electron and phonon contributions to the thermal conductance of bare and TTF-doped NDI.

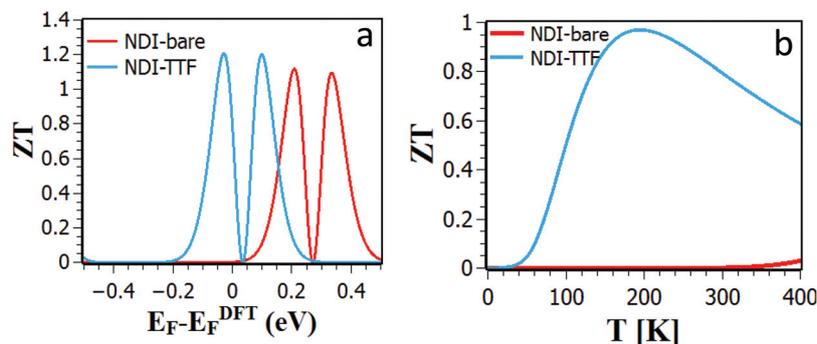


Fig. 9 (a) Room-temperature ZT versus Fermi energy for bare and TTF-doped NDI. (b) ZT versus temperature evaluated using the DFT-predicted value of the Fermi energy.

chemical gating and doping, suggesting that with appropriate doping, such redox active molecules are attractive materials for ultra-thin-film thermoelectric devices.

$T(E)$ varies only slowly with E on the scale of $k_B T$ then these formulae take the form:

$$G(T) \approx \left(\frac{2e^2}{h}\right) T(E_F), \quad (4)$$

$$S(T) \approx -\alpha e T \left(\frac{d \ln T(E)}{dE}\right)_{E=E_F}, \quad (5)$$

where $\alpha = \left(\frac{k_B}{e}\right)^2 \frac{\pi^2}{3} = 2.44 \times 10^{-8} \text{ W}\Omega\text{K}^{-2}$ is the Lorentz number. Eqn (5) demonstrates that the sign and value of S is tuned by changing the slope of $\ln T(E)$ near $E = E_F$ and hence it is of interest to explore whether or not the ability to vary the charge states of NDI can be used to control thermoelectricity.

Methods

Transport formulae

In the linear-response regime, the electric conductance G and Seebeck coefficient S of a single-molecule junction are given by²⁴

$$G = \frac{e^2}{h} L_0 \quad (1)$$

$$S = \frac{1}{eT} \frac{L_1}{L_0} \quad (2)$$

where T is the temperature. In these equations, the moments L_0 and L_1 are given by $L_n = L_n^{\uparrow} + L_n^{\downarrow}$ ($n = 0, 1$) where

$$L_n^{\sigma} = \int_{-\infty}^{\infty} (E - E_F)^n T^{\sigma}(E) \left(-\frac{\partial f(E, T)}{\partial E}\right) dE. \quad (3)$$

In this expression, $T^{\sigma}(E)$ is the transmission coefficient for electrons of energy E , spin $\sigma = [\uparrow, \downarrow]$ passing through the molecule from one electrode to the other and $f(E, T)$ is Fermi distribution function $f(E, T) = \left[\exp\left(\frac{E - E_F}{k_B T}\right) + 1\right]^{-1}$, where k_B is Boltzmann's constant. For E close to the Fermi energy E_F , if

Density functional theory

To compute the moments L_n of the transmission coefficient of the NDI-based single-molecule junction shown in Fig. 1 and 2, the following method was applied. First the relaxed geometry of molecule was found using the density functional (DFT) code SIESTA,³⁰ which employs Troullier–Martins pseudopotentials to represent the potentials of the atomic cores,³¹ and a local-atomic-orbital basis set. A double-zeta polarized basis set was used for all atoms and the generalized gradient approximation (GGA-PBE) for the exchange and correlation functionals.³² For the geometry optimization, the Hamiltonian and overlap matrix elements were calculated on a real-space grid defined by a plane-wave cutoff of



150 Ry and the NDI molecule was relaxed until the forces on the atoms were smaller than 0.02 eV \AA^{-1} .

After obtaining the relaxed geometry of the isolated NDI molecule, we constructed the single-molecule junction by placing the optimized NDI molecule between gold electrodes as shown in Fig. 1. After re-optimising the geometry, charge double layers were added to both sides of the planar backbone of the molecule following the technique describing in ref. 22 and $T(E)$ was calculated for each realisation of the double layer. The electron and spin transport calculations were performed with the GOLLUM transport code,³³ which uses the DFT-generated mean-field Hamiltonian to compute the transmission coefficient $T(E)$ for electrons of energy E passing from the left gold electrode to the right electrode. For the transport calculation, the Hamiltonian and overlap matrices were calculated with SIESTA, using DZP basis sets for all elements except gold, for which a DZ basis set was used, a GGA-PBE exchange–correlation parameterization. To describe accurately singly-occupied levels of the NDI all calculations were spin-polarized and the electron transmission coefficient function $T(E)$ was calculated as the average of the spin up and spin down transmission coefficients.

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