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A flexible approach to Pd-catalyzed carbonylations via aroyl dimethylaminopyridinium salts[†]

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4-Dimethylaminopyridine (DMAP) is shown to undergo Pd/PtBu₃ catalyzed coupling with aryl halides and carbon monoxide to form electrophilic aroyl-DMAP salts. The reaction is easily scalable to prepare multigram quantities with low catalyst loadings, while the precipitation of these salts as they form leads to products with low impurities. These reagents rapidly react with a variety of nucleophiles, including those that contain potentially incompatible functional groups under standard carbonylative conditions.

Introduction

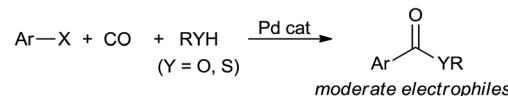
Activated carboxylic acid derivatives play a fundamental role in synthetic chemistry. Their use ranges from simple esterification and amidation reactions to Friedel-Crafts acylation, peptide coupling, heterocycle synthesis, polymer chemistry, or metal-catalyzed bond-forming reactions.^{1–5} Classic approaches to generate these reagents involve either the use of stoichiometric activating agents (e.g. DCC, HOBT, etc.), or, for even greater electrophilicity, the conversion of carboxylic acids into acyl halides. While effective, these can require large amounts of high energy, costly, or sometimes toxic activating agents (thionyl chloride, oxalyl chloride, or PCl₃), and generate significant waste. These concerns have led to the search for more efficient methods to construct amides, esters, and related compounds.⁶

An alternative platform to prepare carbonyl-containing products is through the palladium-catalyzed carbonylation of organic halides.^{7,8} Introduced over 40 years ago, these reactions provide a synthetic route to ketones, esters, amides, and related carboxylic acid derivatives using simple CO as the carbonyl unit. In spite of its atom economy, there remain a number of inherent drawbacks to carbonylations. For example, the putative palladium-aryloyl intermediates are much less electrophilic than common acylating reagents such as acid chlorides, necessitating pressing reaction conditions even for relatively simple ester or amide syntheses.⁸ Reactions with weak or sterically encumbered nucleophiles are often unknown. Secondly, competitive and undesired side reactions, or catalyst poisoning, can occur with substrates containing functionalities that can be activated by transition metals. The often high catalyst loadings and coordinating ability of the carbonylated

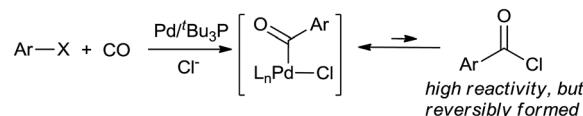
products also leads to practical concerns of cost, waste of precious metal, and product contamination with palladium. The latter can be a significant challenge in preparing APIs (active pharmaceutical ingredients).⁹

Some efforts to alleviate the scope limitations of carbonylations have been reported through the work of Buchwald, Skrydstrup, Manabe, Grushin, and others *via* the *in situ* formation of phenoxyesters,¹⁰ thioesters,¹¹ *N*-hydroxysuccinimido esters,¹² and related derivatives¹³ as precursors to carbonyl-containing derivatives (Fig. 1a). Alternatively, we recently described the catalytic synthesis of acid chlorides from aryl halides, CO, and chloride salts (Fig. 1b).^{14,15} In contrast to the moderate reactivity of esters and thioesters, the high electrophilicity of acid chlorides allows the application of carbonylations to a range of nucleophiles. Nevertheless, a challenge encountered in this latter transformation is the reactivity of the

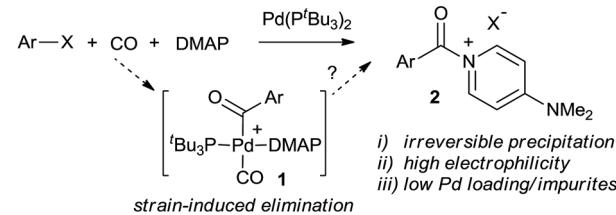
a) Arylesters and thioesters by carbonylation



b) Catalytic acid chloride synthesis



c) This work



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Fig. 1 Palladium-catalyzed formation of active carboxylic acid derivatives *via* carbonylation.



acid chloride itself. Unlike most palladium-catalyzed coupling reactions, the acid chloride product is more reactive than the aryl halide substrate towards the catalyst, and its build-up strongly inhibits the reaction. Thus, heating, high pressures, or high catalyst loading are required to achieve good conversion. Acid chlorides are also sensitive reagents with similar physical properties (*e.g.* boiling and melting points) to the aryl halide starting materials, making isolation a challenge.

In considering the above, we became interested in designing a more efficient catalytic route to synthesize activated acylating reagents that is: (a) not inhibited by product build-up, and therefore can allow for rapid and efficient catalysis, (b) leads to the formation of easily isolated products, yet (c) creates potent acylating reagents with the reactivity of acid chlorides. We report herein the design of such a method *via* the use of 4-dimethylaminopyridine (DMAP, Fig. 1c). Acyl-DMAP reagents are potent electrophiles commonly generated *in situ* to facilitate nucleophilic attack, although these compounds are rarely synthesized as isolated products.^{16,17} The use of carbonylations to generate cationic products such as aroyl-DMAP salts has to our knowledge not been previously reported. The latter is likely due to the challenge of achieving reductive elimination of a cationic product from **1** relative to those with anionic nucleophiles (*e.g.* RO^- , R_2N^-). As described below, the use of highly sterically encumbered palladium catalysts can provide a facile method to carbonylate aryl halides into aroylated-DMAP salts. These cationic products are easily separable, even during catalysis, which can therefore allow for a rapid reaction, low catalyst loadings, and generate activated carboxylic acid derivatives for subsequent reactions. This has allowed the application of Pd-catalyzed carbonylations to a range of new classes of nucleophilic substrates.

Results and discussion

Initial examination of this carbonylation involved the reaction of phenyl iodide, DMAP, and CO. Our postulate was the catalytic formation of acid chloride in the presence of DMAP would lead to the formation of the ionic **2a**, and could drive the reaction forward under mild conditions. As anticipated from our previous report, the combination of $\text{Pd}_2\text{dba}_3 \cdot \text{CHCl}_3/\text{P}^t\text{Bu}_3$ with Bu_4NCl as a chloride source did indeed allow the formation of **2a** in modest yield (Table 1, entry 1).^{14a} In attempting to improve the efficiency of this reaction, we questioned if stoichiometric chloride is required for this reaction, and if DMAP may instead itself bind to palladium for reductive elimination. The omission of chloride leads to minimal product formation with most of the catalysts examined (entries 2–13), likely due to the difficult reductive elimination step of a cationic product. This includes a number of ligands which are active in other palladium-catalyzed coupling (entries 3–6, 12) and carbonylation reactions (entries 8–11, 13).^{8,18} However, the use of the largest cone angle trialkyl phosphine, P^tBu_3 , which would generate a highly sterically encumbered palladium–acyl complex **1** for reductive elimination (*vide infra*), results in a dramatic increase in activity, and the near quantitative formation of DMAP salt **2a** (entry 14).

Table 1 Palladium-catalyzed carbonylation of PhI with DMAP^a

Entry	L	Yield ^b (%)	Entry	L	Yield ^b (%)
1	$\text{P}^t\text{Bu}_3/\text{Bu}_4\text{NCl}^c$	33	8	dppf	3
2	—	3	9	dcpe	0
3	PPh_3	—	10	dppp	1
4	$\text{P}(\text{o-tolyl})_3$	2	11	dppb	1
5		3	12		1
6		8	13		6
7	PCy_3	10	14	P^tBu_3	97 ^d

^a PhI (102 mg, 0.50 mmol), DMAP (73 mg, 0.60 mmol), [Pd] = $\text{Pd}_2\text{dba}_3 \cdot \text{CHCl}_3$ (13 mg, 25 μmol), L (50 μmol), CO (1 atm), THF (0.75 mL). ^b NMR yield of benzamide when quenched with BnNH_2 (55 mg, 0.51 mmol), EtN^iPr_2 (100 μL , 0.57 mol). ^c 1.0 equiv. ^d Isolated yield of **2a**.

Table 2 Palladium-catalyzed synthesis of aroyl DMAP salts **2**^{a,b}

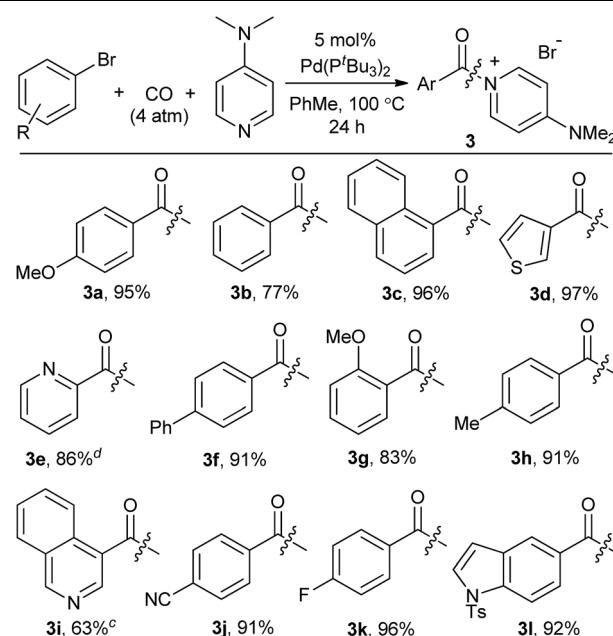
		$\text{Pd}(\text{P}^t\text{Bu}_3)_2$	5 mol%		I^-
			THF, 45 °C		
			1 atm, 24 h		
				2	
				2a , 97%	2b , 99%
				2c , 90%	2d , 96%
				2e , 93%	2f , 90%
				2g , 94%	2h , 93%
				2i , 56%	2j , 98%
				2k , 92%	2l , 95%

^a Aryl iodide (0.50 mmol), DMAP (73 mg, 0.6 mmol), $\text{Pd}(\text{P}^t\text{Bu}_3)_2$ (12.8 mg, 25 μmol , 5 mol%), CO (1 atm), in THF (1 mL) at 45 °C for 24 h. ^b Isolated yields.



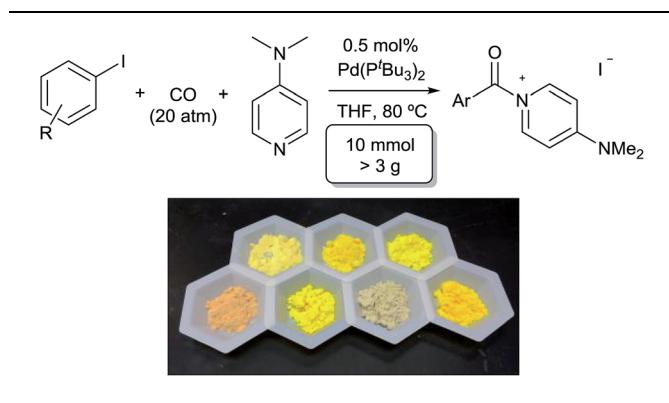
As shown in Table 2, this reaction can be used to prepare a diverse array of arylated products from the corresponding aryl iodides under mild conditions.¹⁹ This includes both electron-poor substrates (**2c**, **2e**, **2f**), those with reactive functional groups (**2c**, **2l**) and deactivated electron-rich aryl iodides (**2b**, **2g**). Similarly, steric encumbrance does not appear to hinder the reaction, with 2-methoxy and 2-tolyl reagents forming products in high yield (**2g**, **2h**).

A feature of this transformation is the insolubility of the arylated DMAP product **2** in the reaction solvent. The product can therefore be isolated at the end of the reaction by simple filtration. These solid reagents hydrolyse relatively slowly in their solid state, making them suitable candidates for medium to long term storage.²⁰ The precipitation of **2** as it forms also limits the potential for product inhibition. As such, the reaction is scalable to generate multigram quantities of product, and with as low as 0.5 mol% palladium catalyst under more pressing conditions (Table 3). In addition, the precipitation of the DMAP salt leaves the palladium catalyst in solution, and provides in some instances a route to generate carbonylated products with low palladium contamination. Analysis of **2a** formed with 5 mol% Pd and a slight excess of PhI by ICP-OES shows 98 ppm Pd in the product (or the removal of 99.5% Pd), without any special precautions beyond filtration. Similar results are observed with the other aryl iodides (100–500 ppm: **2b–2f**),²¹ although higher Pd content is noted with more sterically encumbered aryl iodides (*ca.* 2000 ppm in **2g**, **2h**, **2j**).²² Subsequent conversion of these products to esters or amides (Table 5)

Table 4 Palladium-catalyzed synthesis of DMAP bromide salts **3**^{a,b}

^a Aryl bromide (0.50 mmol), DMAP (73 mg, 0.6 mmol), Pd(PtBu₃)₂ (12.8 mg, 25 μ mol), CO (4 atm), toluene (2 mL), 100 °C, 24 h.

^b Isolated yield. ^c P^tBu₃ (30 mg, 0.15 mmol). ^d Table 2 conditions using 10 mol% Pd(PtBu₃)₂.

Table 3 Low catalyst loading synthesis of aryl DMAP salts **2**^a

Product	Time (h)	Yield (%)
2a	7	99
2b	24	99
2c	7	97
2d	13	99
2e	30	93
2f	64	98
2g	4	99
2j	24	99
2l	7	97

^a Aryl iodide (10 mmol), DMAP (1.46 g, 12 mmol), Pd(PtBu₃)₂ (25 mg, 50 μ mol), CO (20 atm), THF (10 mL), 80 °C, 24 h.

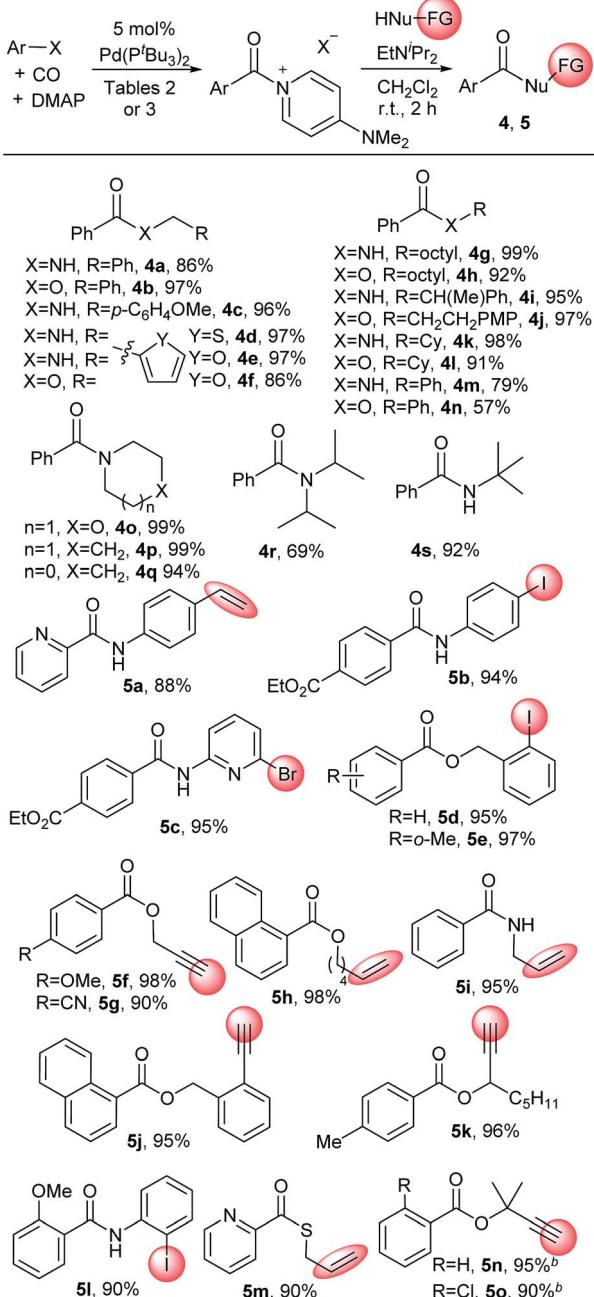
leads to isolated products with negligible palladium (<10 ppm).²³

We next turned our attention to more challenging, yet widely available, aryl bromide substrates. We have recently reported the carbonylation of aryl bromides to acid chlorides, although in this case very pressing conditions are required and the high reactivity of the acid chloride product towards palladium relative to the reagent (aryl bromides) often leads to incomplete conversion.^{14b} As shown in Table 4, bromide substrates can be similarly converted to aryl-DMAP salts with the Pd(PtBu₃)₂ catalyst in high yield.²⁴ The products are again isolated by filtration and no formal purification steps are required. In addition to substituted arenes, the use of bromide reagents permits the use of various heteroaromatic compounds (*e.g.* quinoline-, thiophene-, indole- and pyridine-containing substrates, **3d**, **3e**, **3i**, **3l**), which are generally more accessible than the corresponding iodides.

Aroyl-DMAP salts are potent electrophiles commonly employed as intermediates to activate neutral acylating agents towards coupling with nucleophiles. This reactivity makes it convenient to apply carbonylation reactions to a diverse array of nucleophiles that can be challenging or not viable as substrates in palladium catalysis. For example, the addition of amines or alcohols to catalytically generated **2** furnishes the corresponding amides and esters without long reaction times or heating common in carbonylations (Table 5). Substrates include both primary amines and alcohols (*e.g.* **4a**, **4b**, **4g–l**) and more sterically encumbered nucleophiles such as *tert*-butylamine (**4s**),

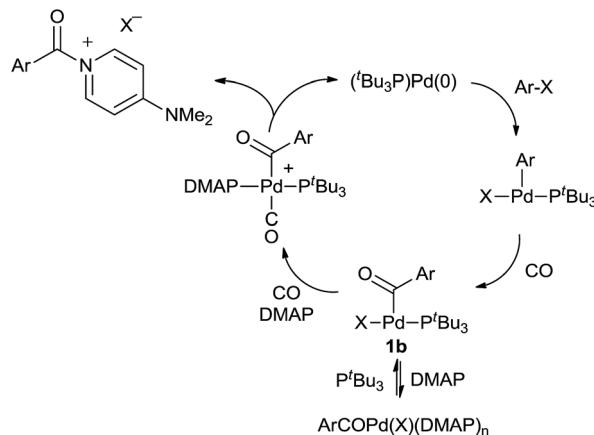


Table 5 Synthesis of carboxylic acid derivatives with orthogonal functionality^a



^a DMAP salt (0.50 mmol), nucleophile (0.75 mmol), EtN^tPr_2 (175 μ L, 1 mmol), 1 mL CH_2Cl_2 at r.t. for 2 h; isolated yield of second step. ^b 16 h.

diisopropyl amine (**4r**) or tertiary alcohols (**5n**, **5o**). The latter are often difficult to employ in carbonylation chemistry.²⁵ Weaker nitrogen nucleophiles such as aniline and phenol are viable substrates (**4m**, **4n**). These reactions are rapid, with many nucleophiles (e.g. aliphatic amines and alcohols) proceeding to completion within seconds. As these DMAP salts are only slightly soluble in the reaction solvent (dichloromethane),



dissolution of the arylating reagent becomes a visual indicator of reaction progression. This approach also allows for the use of nucleophiles containing functional groups that can otherwise lead to unwanted side reactions under typical carbonylative conditions. As examples, terminal alkynes (e.g. **5f**, **5g**), alkenes, including styrene (e.g. **5a**), or sulfur-containing reagents (**5m**) can be employed on the nucleophile without competitive side reactions, as can aryl iodides or heteroaryl bromides containing substrates (e.g. **5b-e**, **5l**). Overall, this provides a method to perform carbonylations under mild conditions with a broad range of nucleophiles.

We have performed preliminary studies to probe the mechanism of this catalytic reaction. Kinetic analysis shows that catalysis is accelerated by both phosphine and CO concentration, but inhibited by excess DMAP (see ESI†). A plausible reaction mechanism that accounts for these factors is shown in Scheme 1. Oxidative addition of aryl iodide and CO insertion to palladium are established to be rapid, and generate the three-coordinate complex **1b** with P^tBu_3 .^{14a} However, control experiments with a pre-synthesized **1b** ($X = I$) demonstrate that DMAP can displace P^tBu_3 from this complex at high concentrations. We therefore postulate that the beneficial effects of added P^tBu_3 , and inhibition of catalysis by excess DMAP, arise from this equilibrium, as excess DMAP can create a Pd(II) complex unable to undergo reductive elimination. As noted in Table 1, the P^tBu_3 ligand is required to allow the catalytic formation of **2** under mild conditions. This is analogous to that we have noted in the generation of weak C-Cl bonds in acid chlorides¹⁴ and presumably arises from the tertiary steric bulk at phosphorus in this ligand, which can induce reductive elimination with even a weak, neutral nucleophile such as DMAP as a mechanism to relieve strain. The association of CO to **1b**, and the precipitation of the product **2**, likely further facilitate this step.²⁶

Conclusions

In summary, a palladium-catalyzed, carbonylative synthesis of aryl-DMAP salts has been developed. The precipitation of these products avoids catalyst inhibition, allows the reaction to be



performed in high yields with low palladium loadings, and generates potent aroylating reagents with negligible impurities upon simple filtration. These products react with a variety of nucleophiles under mild conditions, including those with functional groups that can be incompatible under standard carbonylative conditions or are poorly nucleophilic. These features suggest the potential general utility of aroyl-DMAP formation in carbonylation chemistry, especially with problematic substrates. Experiments directed towards exploiting this reactivity in new classes of carbonylation reactions are currently in progress.

Acknowledgements

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- For ease of reaction set-up and manipulation, $(P^tBu_3)_2Pd$ was employed as a catalyst, although the combination of Pd_2dba_3/P^tBu_3 (Table 1) can also be used. In addition, the reaction can be performed on the bench using standard Schlenk techniques with only slightly diminished yields (using Pd_2dba_3/P^tBu_3PHCl as the catalyst precursor). See ESI† for details.



- 20 This was judged by exposing **2a** to the open atmosphere for 10 days where after which 11% hydrolysis was observed (by ^1H NMR analysis).
- 21 ICP-OES palladium content analysis show removal of 98–99% Pd in: **2b** = 450 ppm (65 °C, 4 atm); **2c** = 270; **2d** = 199; **2e** = 522 ppm. General conditions employed: ArI (0.6 mmol), DMAP (0.5 mmol), Pd(P^tBu_3) (5 mol%), CO (1 atm), THF (1 mL), 45 °C, 24 h.
- 22 **2g** = 2132 ppm; **2h** = 2635 ppm; **2j** = 1780 ppm. We postulate that the higher Pd content may arise from the diminished ability of these aryl iodides to sequester palladium in solution.
- 23 The products were obtained using the procedure in Table 5 and purified by standard silica gel column chromatography. ICP-OES palladium content analysis: **4a** = 7 ppm; **5f** < 1 ppm; **5h** < 1 ppm.
- 24 The reaction of aryl bromides can also be performed at 1 atm CO at slightly diminished yields (e.g. **3a**, 84% yield at 1 atm CO).
- 25 M. Majek and A. Jacobi von Wangelin, *Angew. Chem., Int. Ed.*, 2015, **54**, 2270 and references therein.
- 26 It is also possible that the sterically encumbered palladium intermediate undergoes aroyl-halide reductive elimination, followed by reaction of this product with DMAP. At present, we cannot distinguish between these possibilities.

