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Thermally triggered optical tuning of π -conjugated graft copolymers based on reversible Diels–Alder reaction†

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In order to design a π -conjugated polymer film with tunable optical properties by thermally triggered activation of energy transfer after processing, two monodisperse phenylene ethynylene based oligomers with different optical properties were synthesized and attached to aliphatic polymers as π -conjugated side chains. Subsequently, the exchange of the side chain chromophores between the prepared donor and acceptor graft polymers in the solid state based on a reversible Diels–Alder reaction was studied in detail. The resulting donor–acceptor graft copolymer exhibits intra polymer energy transfer upon excitation of the donor moiety. The photophysical properties of the original and exchanged graft copolymers were investigated by means of absorption and emission spectroscopy. This novel concept opens the possibility for optical tuning of π -conjugated polymer films after processing as well as applications as thermally triggered sensor systems.

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Introduction

During the last decade, graft polymers gained more and more attention in several application fields, *e.g.*, photonics,¹ thermo-responsive polymers,² elastomers,^{3,4} nanostructures^{5,6} and biomedicine.^{7,8} In graft polymers, side chains offer the possibility of dense packing, resulting in compact and confined structures.⁹ In order to combine the well-defined optoelectronic properties of monodisperse oligomer moieties and typical polymer properties, *e.g.*, mechanical and chemical stability as well as processability into thin films, conjugated side chains have been attached to aliphatic polymers. Several π -conjugated oligomers have been successfully implemented as side chains, for instance triphenylamines,^{10–13} oligothiophenes,¹⁴ carbazoles,¹⁵ perylenes,¹⁶ phenylene ethynylenes¹⁷ and diphenyl acetylenes.¹⁸ The dense packing of side chain chromophores in such graft polymers by π – π -stacking resulted in a promising electronic communication between the π -conjugated moieties. In some cases, UV-vis absorption and emission spectroscopy

has been utilized to further characterize these materials and to investigate energy transfer mechanisms between pendant acceptor and donor molecules.^{17,19,20} However, to the best of our knowledge, in all cases the final optical properties were introduced during the synthesis and before processing the polymers into films. Therefore, a polymer film for optoelectronic applications, in which energy transfer can be activated by thermal triggering resulting in changed optoelectronic properties, would represent a promising approach for optical tuning of a π -conjugated polymer film after processing.

For this purpose, the synthesis and design of novel graft copolymers is demonstrated, which combine the reversibility of the Diels–Alder reaction^{21–24} with the optical properties of π -conjugated oligomers. Therefore, one graft copolymer with rigid and planar π -conjugated oligomeric donor side chains as well as one graft copolymer with linear π -conjugated oligomeric acceptor side chains was prepared.²⁵ Thermally triggered exchange by reversible Diels–Alder reaction between both different graft copolymers in the solid state resulted in a graft copolymer species with the same amount of donor and acceptor side chain chromophores. The exchanged graft copolymer exhibits an efficient energy transfer from the donor to the acceptor side chain, resulting in changed emissive properties.

Results and discussion

Monomer and oligomer synthesis

Two monodisperse conjugated phenylene ethynylene based oligomers (OPES) were synthesized by multiple sequential Sonogashira cross-coupling reactions. The synthesis of the acceptor oligomeric side chain is depicted in ESI Scheme 1.†

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Several steps were carried out according to a literature procedure including small changes.¹⁷ Compound **3** was synthesized by a well-known procedure for alkylation of phenol moieties, followed by standard Sonogashira cross-coupling reaction with trimethylsilylacetylene (TMSA). Further Sonogashira cross-coupling reaction and deprotection steps resulted in compound **11**. Subsequently, compound **10** was prepared by a simple condensation step. Another Sonogashira cross-coupling step with intermediate **11** resulted in the targeted conjugated acceptor oligomer with integrated Diels–Alder moiety and an extended π -conjugated system. In this manner, all compounds were synthesized in moderate up to quantitative yields. The synthesis of the targeted π -conjugated donor oligomer is schematically represented in ESI Scheme 2.† Mono bromination of compound **13** has been reached by slowly adding bromine at low temperatures to the reaction mixture. After further functionalization with iodine, intermediate **15** was selectively single cross-coupled *via* Sonogashira reaction with TMSA. Further Sonogashira cross-coupling and deprotection steps resulted in the targeted phenylene ethynylene based donor chromophore **19**. Finally, the prepared donor oligomer **19** and acceptor oligomer **12** were coupled with furfuryl methacrylate (FMA), which is depicted in Scheme 1. In this manner, a reversible Diels–Alder function and a polymerizable group was introduced. Further details of the π -conjugated oligomer synthesis are summarized in the ESI.†

Copolymer synthesis *via* “grafting to” method

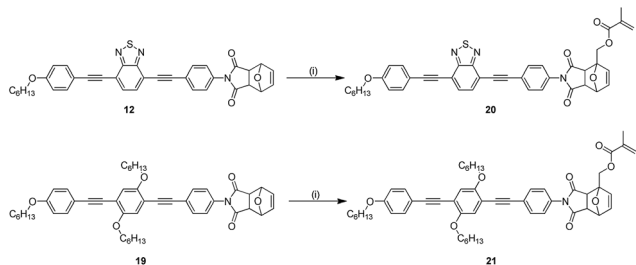
Several “grafting to” methods based on Diels–Alder chemistry for graft,²⁶ bottle brushed²⁷ or comb copolymers²⁸ were investigated in the last decades. Generally, the synthesis of the π -conjugated graft copolymers *via* “grafting to” method followed a two-step protocol. First, an aliphatic polymer backbone containing a diene had to be synthesized. Second, the side chains had to be attached to the polymer backbone *via* a Diels–Alder reaction. For this purpose, FMA and methyl methacrylate (MMA) as co-monomer were polymerized by standard procedure of atom transfer radical polymerization (ATRP) technique.²⁴ Subsequently, the π -conjugated donor and acceptor oligomers were grafted to the polymer backbone *via* Diels–Alder reaction. MMA was introduced as comonomer, resulting in an increased distance between the rigid single oligomers within the final graft copolymer and, consequently, in a decreased

Table 1 Summarized molar mass and D values of prepared copolymers: ^adetermination by vapor pressure osmometry resulted in a M_n of 5353 g mol⁻¹

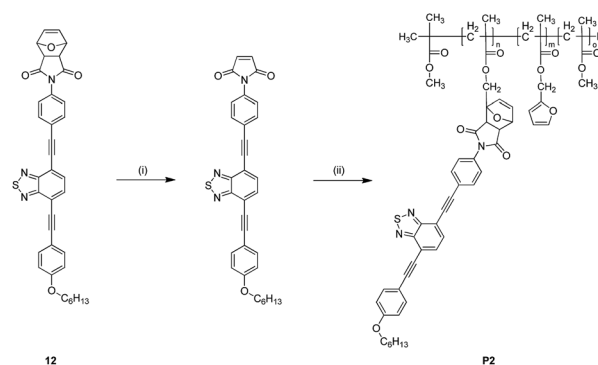
Copolymer	M_n (g mol ⁻¹)	M_w (g mol ⁻¹)	D
P1	10 000 ^a	13 500	1.3
P2	23 100	41 300	1.8
P3	17 100	26 000	1.5
P4	9000	15 400	1.6
P5	8100	12 000	1.5

steric hindrance. For this purpose, MMA, FMA, CuBr and 1,1,4,7,10,10-hexamethyltriethylene tetramine (HMTETA) as copper ligand were dissolved in toluene, whereby methyl α -bromoisobutyrate (MBIB) was utilized as a common initiator. For copolymer **P1** a ratio of FMA : MMA of 1 : 1 was utilized for polymerization. The molar mass and dispersity (D) values are summarized in Table 1. The resulting copolymer has a composition of 50% FMA and 50% MMA, which was calculated from the ¹H NMR spectrum (ESI Fig. 1†). Separately, acceptor oligomer **12** was heated up to 120 °C in chlorobenzene to initiate the retro Diels–Alder reaction and, subsequently, to evaporate the released furan. The resulting maleimide functionalized acceptor oligomer was mixed with copolymer **P1** in chlorobenzene. The solution was then drop-casted in order to obtain polymer films. The prepared films were heated up (55 °C) to initiate the Diels–Alder reaction, which finally resulted in acceptor containing graft copolymer **P2** (Scheme 2). A similar procedure was carried out for the prepared donor dye **19**, resulting in copolymer **P3**. Further details are summarized in ESI Scheme 3.† The molar mass and D values, determined by size exclusion chromatography (SEC), are summarized in Table 1.

The composition of copolymers **P2** and **P3** with respect to the amounts of oligomer integrated as side chains and left free furan moieties has been studied by means of ¹H NMR spectroscopy. The ¹H NMR spectrum of copolymer **P2** is depicted in Fig. 1. From the integrated signal of the CH₂-groups next to the Diels–Alder based dye side chains (4.45 ppm, 4.75 ppm) and of the CH₂-groups next to free furan groups (4.95 ppm), one can calculate an acceptor oligomer side chain content of



Scheme 1 Schematic representation of introducing a polymerizable group in π -conjugated donor and acceptor oligomers. Reagents and conditions: (i) (a) chlorobenzene/120 °C, (b) FMA/CHCl₃/55 °C.



Scheme 2 Schematic representation of the copolymer synthesis of **P2** *via* “grafting to” method. Reagents and conditions: (i) chlorobenzene/120 °C; (ii) copolymer **P1**/55 °C (drop casted film).



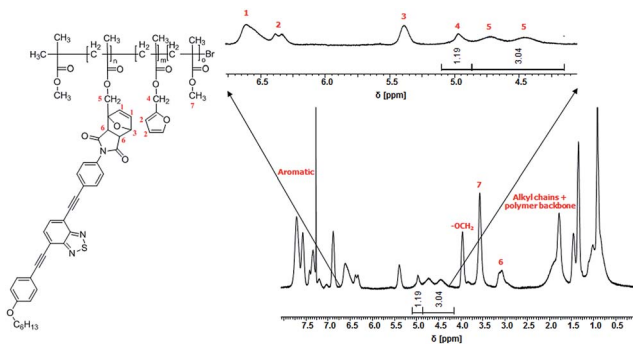
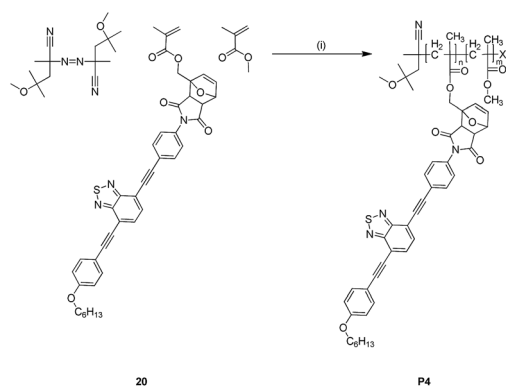


Fig. 1 Quantification of free furan moieties in the polymer back bone via ^1H NMR spectrum (CDCl_3) of acceptor graft copolymer **P2**.

approximately 36% and a free furan side chain content of 14%. The copolymer composition of copolymer **P3** was estimated in the same manner, resulting in approximately 30% repeating units containing donor dyes and 20% free furan moieties (ESI Fig. 2†). The copolymer content of 50% MMA remained unchanged in the prepared graft copolymers **P2** and **P3** compared to copolymer **P1**. However, for efficient exchange studies of the oligomeric side chains between donor and acceptor graft copolymers, no free furan moieties in the investigated copolymers are necessary.

Copolymer synthesis *via* direct polymerization

However, for the subsequent exchange studies between donor and acceptor functionalized graft copolymers, it would be desirable that no free furan moieties were present in the copolymers. Thus, MMA was copolymerized with acceptor oligomer methacrylate **20** by a standard free radical polymerization technique in a ratio of MMA : **20** of 5.6 : 1 (Scheme 3). The temperature during the polymerization process was kept low due to the reversibility of the Diels–Alder functionality at around 70 °C. 2,2'-Azobis(4-methoxy-2,4-dimethyl valeronitrile) (V70) was utilized as azo initiator. The same procedure has been applied to the donor oligomer methacrylate **21**. Further details are summarized in ESI Scheme 4.† The obtained molar mass



Scheme 3 Schematic representation of the polymer synthesis of acceptor containing graft copolymer **P4**. Reagents and conditions: (i) dimethylformamide/40 °C.

and D values of acceptor side chain copolymer **P4** and donor side chain copolymer **P5** are summarized in Table 1. The M_n values of copolymers **P4** and **P5** are smaller than those of copolymers **P2** and **P3**, respectively.

In contrast to the copolymers obtained *via* the “grafting to method”, no free furan moieties were detectable in the ^1H NMR spectrum of copolymer **P4** and a retro Diels–Alder reaction of the oligomer during polymerization can thus be excluded (Fig. 2). Furthermore, the resulting monomer ratio of MMA : **20** of 4.3 : 1 was calculated *via* ^1H NMR spectrum. Copolymer **P5** consists of a similar monomer ratio of MMA : **21** of 4.3 : 1, which was calculated from ^1H NMR spectrum in ESI Fig. 3.†

Optical properties

The oligomers **20** and **21** as well as their corresponding copolymers **P4** and **P5** have been characterized by means of steady-state UV-vis absorption and emission spectroscopy (Fig. 3). Comparing the normalized absorption spectra of the copolymers and their respective monomer, they are near identical and no shift of the respective long-wavelength absorption maximum was observed (Table 2). This indicates lack of interactions of the electronic ground-states of the chromophores, *e.g.*, π – π -stacking. A slightly increased absorption below 300 nm could be seen for both copolymers and is attributed to contributions of the copolymer backbone. Similarly, no pronounced differences in the emission spectra of the copolymers and their respective monomers could be observed. The emission maxima remain virtually unchanged, while a small spectral broadening in the low-energy region of their emission spectra could be observed for both copolymers.

Comparison of the absorption spectra of acceptor monomer **20** with copolymer **P4** shows that the molar absorption coefficient (referring to moles of monomer incorporated in the copolymer) of the copolymer, which has been calculated assuming a ratio MMA : **20** of 4.3 : 1 (see above), is lower than of its monomer counterpart. In similar systems, no pronounced change in oscillator strength of the chromophore could be

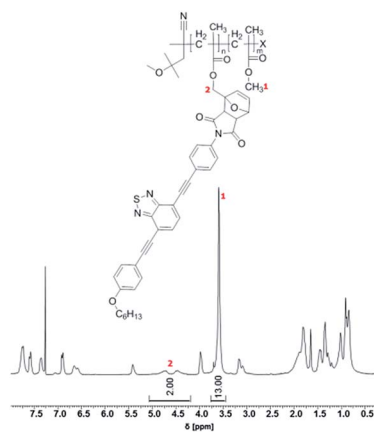


Fig. 2 Quantification of polymerized monomer ratio and free furan groups *via* ^1H NMR spectrum (CDCl_3) of acceptor containing graft copolymer **P4**.



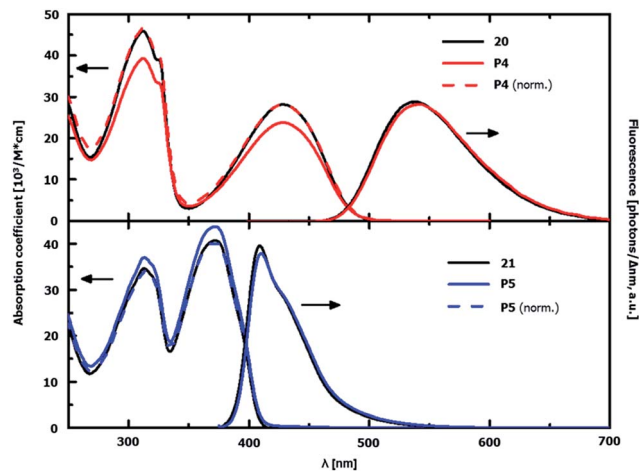


Fig. 3 UV-vis absorption and fluorescence spectra ($\lambda_{\text{ex}} = 369$ nm) of acceptor monomer **20**/copolymer **P4** and donor monomer **21**/copolymer **P5** in CHCl_3 . Absorption coefficients for the copolymers refer to moles of side-chain chromophores, assuming a MMA : chromophore ratio of 4.3 : 1. Absorption spectra of the copolymers normalized to the lowest energy absorption maximum of their respective monomer are additionally shown as dashed lines.

observed, when it was attached to a polymer backbone.²⁹ As such, assuming that this is also the case in the systems under consideration, one can deduce a MMA : **20** ratio of 6.4 : 1 for copolymer **P4**. Similarly, a MMA : **21** ratio of 3.3 : 1 for copolymer **P5** was calculated from the absorption spectra.

Exchange studies between oligomers and graft copolymers

In order to investigate the reversibility of the introduced Diels–Alder functionality and the resulting exchange of the conjugated oligomers, first, the exchange between free oligomeric side chains and graft copolymers was studied by means of ^1H NMR spectroscopy and SEC measurements (diode array detector). For this purpose, the acceptor oligomer **12** was dissolved in chlorobenzene and heated up to 120°C for about four hours, resulting in evaporation of furan moieties due to a retro Diels–Alder reaction occurred. Subsequently, a mixture of prepared 6.25 wt% maleimide functionalized acceptor oligomer **12** and 93.75 wt% donor graft copolymer **P5** dissolved in chlorobenzene was drop casted resulting in well-defined polymer

Table 2 Steady state data on absorption and emission of the copolymers and oligomers under consideration. The molar absorption coefficient $\epsilon_{\lambda, \text{max}}$, referring to moles of chromophores, at the lowest energy peak position $\lambda_{\text{abs, max}}$ as well as the peak wavelength of fluorescence $\lambda_{\text{fl, max}}$ together with the calculated Stokes shift $\Delta\bar{\nu}$ and the fluorescence quantum yield ϕ

Compound	$\lambda_{\text{abs, max}}$ (nm)	$\epsilon_{\lambda, \text{max}}$ ($\text{M}^{-1} \text{cm}^{-1}$)	$\lambda_{\text{fl, max}}$ (nm)	$\Delta\bar{\nu}$ (cm^{-1})	ϕ
20	428	28 200	538	4777	0.90
P4	428	23 800	541	4880	0.56
21	372	40 800	409	2360	0.80
P5	372	43 800	410	2419	0.64

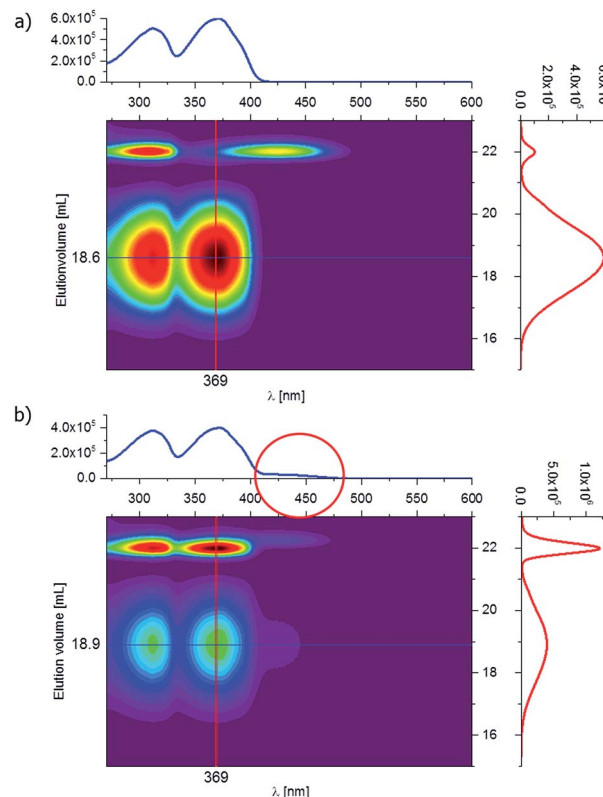


Fig. 4 SEC measurements with diode array detector: starting points of (a) donor graft copolymer **P5**/acceptor oligomer **12** and after exchange in polymer film (b) mixture of acceptor–donor graft copolymer and donor/acceptor oligomer.

films, which were heated up to 67°C for four days. The temperature of 67°C was determined as the ideal condition for equilibrium between Diels–Alder and retro Diels–Alder reaction in the prepared systems. The resulting exchanged oligomer/copolymer mixture was qualified *via* SEC measurement with diode array detector (Fig. 4). Fig. 4a show the SEC measurement before the exchange reaction. At an elution volume of 18.6 mL, only donor moieties in the copolymer fraction are visible. In contrast, at an elution volume of approximately 22.1 mL (oligomer fraction), only acceptor moieties are visible. In contrast, Fig. 4b depicts the SEC measurement after heating up to 67°C for four days. In the oligomer as well as in the copolymer fraction, spectral features of donor and acceptor moieties are present. This is indicative for an exchange of the side chains between acceptor oligomer **12** and donor containing graft copolymer **P5**.

The same procedure was carried out for the exchange of donor oligomer **19** and acceptor containing graft copolymer **P4**. The results are depicted in ESI Fig. 4.† Similar to Fig. 4b, spectral features of both donor and acceptor moieties in copolymer and oligomer fraction after exchange procedure could be observed. Furthermore, the exchange was quantified *via* calculation from ^1H NMR spectra of the resulting copolymers. After heating up to 67°C for about four days, the polymer film was dissolved in chloroform and purified *via* Biobeads® (S-X1) in order to separate the non-converted oligomer fraction.

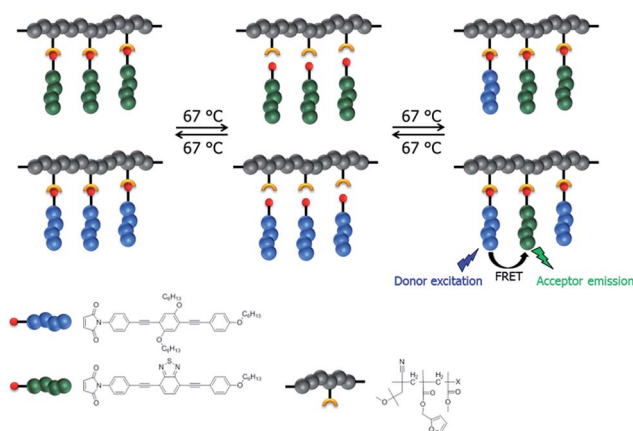


The ^1H NMR spectra of the acceptor containing graft copolymer **P4** before and after exchange are depicted in Fig. 5. Approximately 0% of free furan moieties exist in the donor–acceptor graft copolymer after exchange due to the presence of a small access of free side chain oligomers during the exchange process. It was calculated, that approximately 17% of the donor moieties in the donor containing graft copolymer **P5** were exchanged with acceptor chromophores. Similarly, the exchange quantity between donor containing graft copolymer **P5** and acceptor oligomer **12** amounted to about 16% as calculated from the ^1H NMR measurement. Further details are summarized in ESI Fig. 5.†

Exchange studies between graft copolymers

Finally, exchange of the side chain oligomers between the prepared acceptor containing graft copolymer and the donor containing graft copolymer has been investigated. A schematic overview of the general procedure of the exchange studies and the utilized materials is depicted in Scheme 4.

The donor graft copolymer **P5** and the acceptor graft copolymer **P4** were dissolved in chlorobenzene in a mass ratio of 1 : 1 and, subsequently, drop casted to obtain a polymer film. After heating up to 67 °C for about four days, the polymer film was dissolved in chloroform and purified *via* Biobeads® (S-X1) in order to separate the split off oligomer fraction. The quality of exchange and the quantity of free furan moieties after exchange was determined *via* calculation by NMR techniques of the prepared mixed donor–acceptor graft copolymer. Thereby, DOSY NMR represents an interesting technique for proof of exchange. The proof of clicking two polymer chains by Diels–Alder³⁰ or “grafting to” by Diels–Alder³¹ was already investigated by DOSY NMR, but to the best of our knowledge not for exchange of graft copolymers. However, DOSY NMR measurements indicate an exchange of the chromophores between the two graft copolymers (Fig. 6). **P4** and **P5** exhibit different diffusion coefficients (Fig. 6a and b). After exchange, a statistical donor–acceptor copolymer species with a separated new diffusion coefficient was obtained (Fig. 6c). The resulting ^1H NMR spectrum includes signals of both graft copolymers; in particular, the separated signal of **P4** at 7.75 ppm and the signal of **P5** at 7.48 ppm (ESI Fig. 6†). This leads to the conclusion that



Scheme 4 Schematic representation of the utilized materials and the general procedure of the exchange study between prepared graft copolymers.

a statistical donor–acceptor graft copolymer was yielded during the heating process. Based on this knowledge, the exchange was quantified *via* ^1H NMR measurement (Fig. 7). The calculation indicates that 14% of furan groups are not converted and approximately 43% are donor oligomer and 43% are acceptor oligomer connected.

The interaction of the rigid acceptor and donor dyes in the exchanged graft copolymer was further investigated *via* UV-vis measurements. The absorption and emission spectra of a mixture of graft copolymer **P4** and **P5** before exchange (50 : 50 wt%) and the obtained donor–acceptor graft copolymer after exchange are depicted in Fig. 8. The absorption spectra of both the mixture of the copolymers as well as the sample after exchange do not differ from each other, which indicates a very similar composition of both and a lack of ground-state interaction between donor and acceptor side chains in the copolymer after exchange. However, a change in the emissive behavior could be observed. The emission of the copolymer mixture upon 369 nm excitation, *i.e.* exciting near the absorption maximum of the donor, mostly consists of donor contributions. Acceptor emission results from direct absorption of graft copolymer **P5** at the excitation wavelength.

On the other hand, the exchange product contains substantial acceptor emission under the same experimental conditions. This can be explained by an inter side chain energy transfer from the donor to the acceptor side chains taking place,

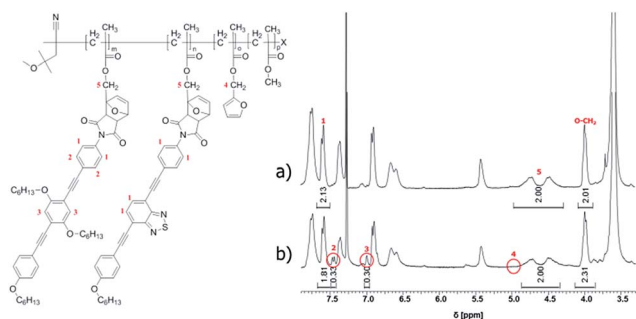


Fig. 5 Quantification of donor and acceptor dye ratio and free furan groups *via* ^1H NMR spectrum (CDCl_3): (a) before and (b) after exchange between acceptor containing graft copolymer **P4** and donor oligomer **19**.

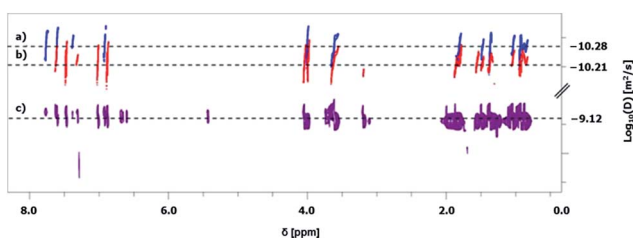


Fig. 6 DOSY-NMR spectra of (a) **P4**, (b) **P5** and (c) after exchange of **P4** and **P5** (CDCl_3).



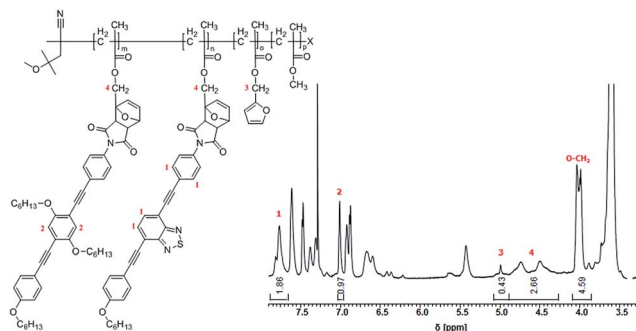


Fig. 7 Quantification of donor and acceptor dye ratio and free furan groups via ^1H NMR spectrum (CDCl_3) after exchange between acceptor containing graft copolymer **P4** and donor containing graft copolymer **P5**.

as there is a considerable overlap between donor emission and acceptor absorption (Fig. 3). From the steady-state spectra, a Förster radius $R_0 = 43 \text{ \AA}$ could be derived, which is still large enough for fluorescence resonance energy transfer (FRET) to occur in the system investigated: from the UV-vis data of graft copolymers **P4** and **P5** and the NMR data after exchange, it is possible to estimate an average donor–acceptor distance. According to these, the average distance between donor and acceptor amounts to 37 \AA in the exchanged copolymer (see ESI† for a detailed explanation).

By means of time-resolved emission spectroscopy, the efficiency of energy transfer and, consequently, the average distance of donor and acceptor chromophores could be calculated. In the case of donor monomer **21** and donor containing graft copolymer **P5**, no difference in emission lifetimes was observed; $\tau_{21} = \tau_{P5} = 1.05 \text{ ns}$ (Fig. 9a). However, in the exchanged copolymer, donor emission is quenched due to FRET occurring. In contrast to donor monomer **21** and donor containing graft copolymer **P5**, emission in the exchanged copolymer does not mono-exponentially decay (Fig. 9a, inset). This

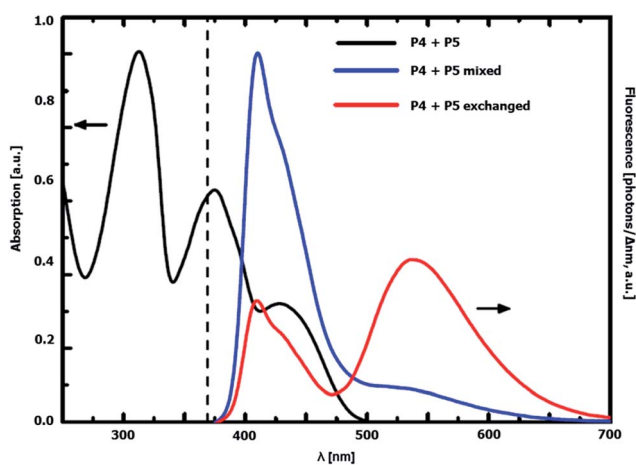


Fig. 8 UV-vis absorption (black) and emission spectra ($\lambda_{\text{exc}} = 369 \text{ nm}$, dashed line) of a mixture of **P4** and **P5** before exchange (blue) and the statistical donor–acceptor graft copolymer after exchange (red) in CHCl_3 .

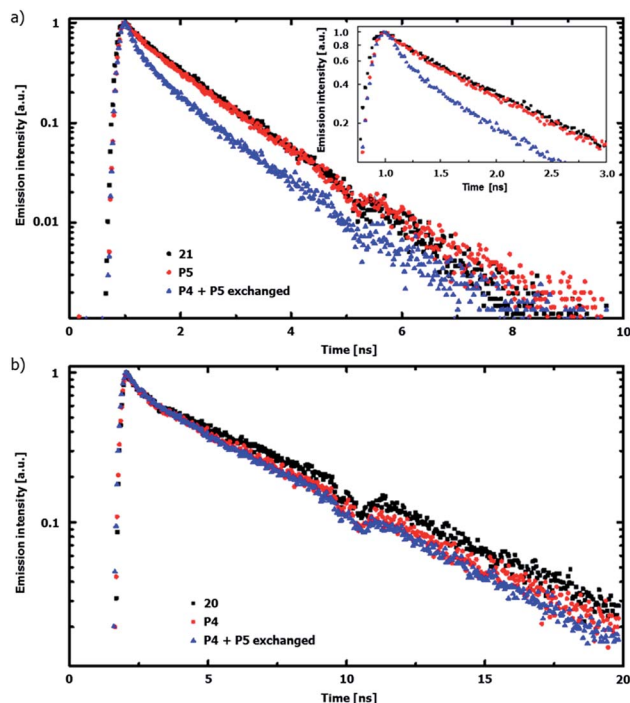


Fig. 9 Time resolved emission data recorded after 380 nm excitation for (a) donor emission between 400 and 450 nm (inset: zoom-in to region of interest at small times) and (b) acceptor emission between 520 and 570 nm in CHCl_3 . All decay curves have been normalized to their respective intensity maximum.

indicates that there is not a single donor–acceptor distance, but a distribution of distances.³² This finding is in line with the assumption that the exchange yields a statistical copolymer. By fitting the data using a probability weighted average (assuming a Gaussian distribution), a mean donor–acceptor distance of $R = 51 \text{ \AA}$ (FWHM = 20 \AA) was calculated. On the other hand, acceptor monomer **20** ($\tau_{20} = 4.68 \text{ ns}$), acceptor containing graft copolymer **P4** ($\tau_{P4} = 4.15 \text{ ns}$) and the acceptor in the exchanged copolymer ($\tau_{A,\text{exc}} = 3.86 \text{ ns}$) exhibit very similar emission lifetimes (Fig. 9b). The small differences might originate from minor inter side chain interactions, which were not detectable from the steady-state data.

Conclusions

In summary, the synthesis and characterization of graft copolymers with oligomeric side chains was realized using “grafting to” as well as free radical polymerization technique. Moreover, a Diels–Alder functionality was introduced between the oligomeric side chains and the polymer backbone, which exhibits reversibility of the side chains at moderate temperatures ($67 \text{ }^\circ\text{C}$). In this contribution, the exchange of the conjugated oligomers was investigated between oligomers and graft copolymers as well as between two different graft copolymers in solid state by thermal treatment of the polymer films. The exchange was proven by DOSY NMR spectroscopy, size exclusion chromatography as well as UV-vis measurements and quantified by ^1H NMR spectroscopy. The resulting donor–acceptor graft



copolymer exhibits the same ground state properties as the mixture of donor containing graft copolymer and acceptor containing graft copolymer before exchange, whereby the emission properties of the donor-acceptor graft copolymer were changed significantly due to intra polymer FRET.³³ In contrast to known graft copolymers with implemented π -conjugated side chains, this novel approach opens the possibility to initiate an energy transfer between donor and acceptor side chains connected with changed emission properties by thermal triggering. Therefore, the prepared system can be potentially applied for optical tuning of π -conjugated polymer films after processing as well as applications as thermally triggered sensor systems.

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