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# Ab initio and first principles theoretical investigations of triplet-triplet fluorescence in trimethylenemethane biradicals<sup>†</sup>

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Theoretical studies on triplet-triplet ( $T_1 \rightarrow T_0$ ) fluorescence of the arylated trimethylenemethane (TMM) biradicals, <sup>3</sup>2<sup>...</sup>, were carried out using post-Hartree–Fock *ab initio* and various first principles density functional theory methods. Analysis of optimized geometries including bond alternations and spin distributions indicates that the triplet ground (<sup>3</sup>2<sup>...</sup>) and excited (<sup>3</sup>2<sup>...</sup>) states of these biradicals have aromatic and quinoidal characteristics, respectively. Inspection of their calculated electronic structures shows that, in comparison to <sup>3</sup>2<sup>...</sup>, one of the spins in <sup>3</sup>2<sup>...</sup> is more delocalized onto arene-rings linked to the TMM framework.

The trimethylenemethane<sup>1</sup> (TMM, Fig. 1) biradical is a representative non-Kekulé molecule that has a triplet ground state  $(T_0)$  owing to its  $D_{3h}$  symmetry and pair of degenerate singlyoccupied molecular orbitals (SOMOs). As a result of this characteristic, many theoretical and experimental studies have been carried out probing the curious electronic structures and magnetic properties of TMM biradicals.<sup>2</sup> The fact that these biradicals emit triplet-triplet  $(T_1 \rightarrow T_0)$  fluorescence has received great attention.<sup>3,4</sup>

In previous studies, Matsui, Ikeda, and coworkers described the observation of  $T_1 \rightarrow T_0$  fluorescence from the excited states of the 1-methyl-1-phenyl-, 1,1-diphenyl-, and 1-(2-naphthyl)-1phenyl-substituted TMM biradicals,  ${}^{3}2a-c^{\cdot\cdot*}$  (Scheme 1). For this purpose, thermoluminescence (TL)<sup>5</sup> and two-color two-laser flash photolysis measurements were conducted using the

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corresponding aryl-substituted methylenecyclopropanes **1a–c.**<sup>6</sup> Moreover,  $T_1 \rightarrow T_0$  fluorescence of these biradicals was utilized as the basis for the design of a new organic light-emitting diode, termed "organic radical light-emitting diode".<sup>5a</sup>

The electronic configurations of the excited  $T_1$  states of the TMM biradicals are complicated by their multi-configuration character. Additionally, electronic transition wavelengths and  $T_0$  geometries of compound <sup>3</sup>2b, computed using time-dependent (TD) density functional theory (DFT)<sup>6</sup> with the B3LYP/cc-pVDZ method, are considerably different from the absorption and emission wavelengths observed experimentally.<sup>7</sup> Thus, more accurate and rigorous *ab initio* quantum chemical studies, and a detailed analysis of geometry relaxation occurring in the  $T_1$  state are required to have a greater understanding of the electronic structures of the excited states of TMM biradicals.

In the investigation described below, we carried out a theoretical study of the triplet-triplet fluorescence mechanism to accurately predict structural, electronic and  $T_1 \rightarrow T_0$  emission properties of triplet aryl-substituted trimethylenemethane biradicals <sup>3</sup>2<sup>...</sup>. *Ab initio* post-Hartree–Fock methods and three

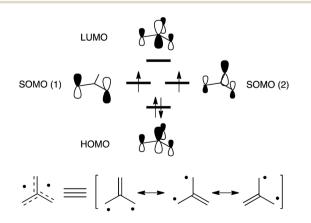


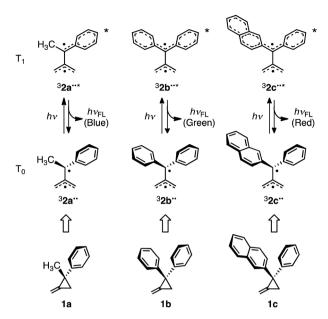
Fig. 1 (Top) Frontier molecular orbitals of the parent TMM biradical and (bottom) its corresponding Lewis resonance structures.

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<sup>&</sup>lt;sup>†</sup> Electronic supplementary information (ESI) available: Cartesian coordinates of all optimized structures, natural spin densities, natural charges, full citations for ref. 12 and 14. See DOI: 10.1039/c6ra16580j



Scheme 1 Chemical structures of 1a-c and electronic structures of  ${}^{3}2a-c^{-1}$  and  ${}^{3}2a-c^{-1}$  and  ${}^{3}2a-c^{-1}$  and  ${}^{3}T_{1}-T_{0}$  transitions accompanying fluorescence.

different (TD-)DFT functionals were used for this purpose. When treating open-shell systems, DFT methods often suffer from spin contamination, which usually is more severe when excited rather than ground states are computed. To validate the (TD-)DFT results, high-level *ab initio* benchmark calculations where carried out on <sup>3</sup>2<sup>..</sup> and the calculated spin densities and excitation energies obtained by using both types of methods were compared. In this effort, the triplet excited states of three model aryl-substituted TMM biradicals were theoretically studied because they each emit one of the three primary colors (blue, green, and red) (Scheme 1).<sup>5a</sup>

All (TD-)DFT geometry optimizations were performed using the spin-unrestricted UB3LYP, UM06-2X, and CAM-UB3LYP functionals<sup>8</sup> in conjunction with the 6-31G(d) basis set.<sup>9</sup> (TD-) DFT single point energies were obtained using the same methods, however, the larger 6-311+G(d)<sup>9c</sup> basis set was employed. For the smallest, methyl-phenyl substituted biradical, <sup>3</sup>2a<sup>••</sup>, complete *ab initio* active space self-consistent field (CASSCF)<sup>10</sup> single point energy calculations with 10  $\pi$ -orbitals and 10 electrons were performed, followed by a multireference second-order Møller–Plesset perturbation (MRMP2) treatment.<sup>11</sup> All DFT calculations were conducted using Gaussian 09,<sup>12</sup> while the GAMESS-US<sup>13</sup> and MOLPRO<sup>14</sup> program packages were employed for the post-Hartree–Fock calculations. Below, we will refer to T<sub>1</sub> and T<sub>0</sub> states of 2<sup>••</sup> simply as <sup>3</sup>2<sup>••</sup>\* and <sup>3</sup>2<sup>••</sup>, respectively.

In the first phase of this study, molecular geometry optimizations were carried out on  ${}^{3}2a-c$ <sup>...</sup> and  ${}^{3}2a-c$ <sup>...</sup> using various DFT functionals.<sup>15</sup> The geometries of triplet ground (T<sub>0</sub>) and excited (T<sub>1</sub>) states of these biradicals, obtained by using UB3LYP/6-31G(d), are displayed in Fig. 2, and selected bond lengths are listed in Table 1. The lengths of the C5–C6, C6–C7 and C7–C8 bonds (see Fig. 2 for atom numbering) for  ${}^{3}2a$ <sup>...</sup> are

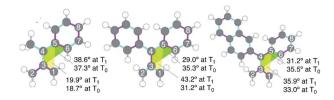


Fig. 2 Optimized structures and atom labeling of (left)  ${}^{3}2e^{-*}$ , and (center)  ${}^{3}2b^{-*}$ , and (right)  ${}^{3}2c^{-*}$ . Pink and blue bonds indicate elongated and shortened bonds of  ${}^{3}2^{-*}$  relative to  ${}^{3}2^{-*}$ .

in the range of 1.39–1.42 Å, which is typical for benzene-rings having a small degree of bond alternation. The results of applying a simulated harmonic oscillator model of the aromaticity (HOMA)<sup>16</sup> of the phenyl group in <sup>3</sup>2a<sup>...</sup> (0.927) suggests that its aromaticity is relatively high (*i.e.*, roughly equal to that of benzene). In contrast, the respective C5–C6, C6–C7 and C7–C8 bond lengths in <sup>3</sup>2a<sup>...</sup>\* are 1.47, 1.37, and 1.44 Å, suggesting that the degree of bond alternation is higher than in the ground state and, as a result, that the aromatic character of the phenyl group is reduced upon excitation to the T<sub>1</sub> state. The greatly lower HOMA value (0.187) calculated for the phenyl group of <sup>3</sup>2a<sup>...</sup>\* also indicates that its aromaticity is strongly reduced.

The changes in the C-C bond lengths taking place in proceeding from the diphenyl-substituted TMM biradical, <sup>3</sup>2b", to  ${}^{3}2b^{**}$  are similar but somewhat less pronounced in comparison to those seen for the  ${}^{3}2a'''$  system (Table 1). The calculated HOMA value changes are in accord with the reduction of aromaticity taking place in the phenyl group of <sup>3</sup>2a-b<sup>••</sup> upon electronic excitation, with the largest change occurring in the monophenyl-substituted TMM biradical <sup>3</sup>2a<sup>...</sup> On the other hand, the calculated bond lengths and HOMA values for  ${}^{3}2c''/{}^{3}2c''*$  deviate from this trend. In this case, the degree of aromaticity of the single phenyl group is less affected by excitation, presumably because excitation is localized mainly in the larger  $\pi$ -conjugated naphthyl group. The calculated dihedral angles composed of C1-C3-C4-C5 (yellow, Fig. 2) and C3–C4–C5–C6 (green) for the  ${}^{3}2''/{}^{3}2''*$  systems also follow these trends.

An analysis of their electronic structures provides further information about the nature of the excited TMM biradicals <sup>3</sup>2<sup>••</sup>. Spin distributions of <sup>3</sup>2a-c<sup>••</sup> and <sup>3</sup>2a-c<sup>••</sup> at Franck-

Table 1 Geometry parameters of  ${}^{3}2a-c$  and  ${}^{3}2a-c$  Obtained by using (TD-)UB3LYP/6-31G(d)

Biradicals	Bond le					
	C3-C4	C4-C5	C5-C6	C6-C7	C7-C8	HOMA <sup>a</sup>
<sup>3</sup> 2a <sup></sup>	1.45	1.46	1.42	1.39	1.40	0.927
<sup>3</sup> 2a <sup>**</sup>	1.44	1.44	1.47	1.37	1.44	0.187
<sup>3</sup> 2b <sup>••</sup>	1.47	1.47	1.42	1.39	1.40	0.929
<sup>3</sup> 2b <sup>••</sup> *	1.49	1.43	1.45	1.37	1.42	0.583
<sup>3</sup> 2c <sup>••</sup>	1.47	1.47	1.42	1.39	1.40	0.931
<sup>3</sup> 2c <sup>**</sup>	1.47	1.45	1.39	1.42	1.40	0.896

<sup>*a*</sup> Aromaticity indices of the phenyl group.

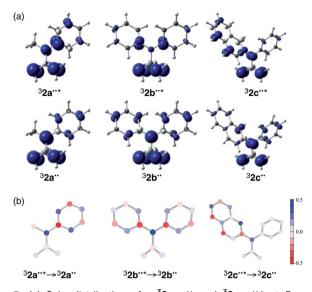


Fig. 3 (a) Spin distributions for  ${}^{3}2a-c^{\cdots}$  and  ${}^{3}2a-c^{\cdots}$  at Franck–Condon geometries. Blue and gray represent positive and negative areas of spin densities, respectively. (b)  $T_{1}-T_{0}$  difference charges occurring in the  ${}^{3}2^{\cdots} \rightarrow {}^{3}2^{\cdots}$  transitions calculated from natural orbitals for  $\alpha$ - and  $\beta$ -spin. Red and blue represent the increase and decrease of  $\alpha$ -spin density, respectively, upon excitation.

Condon geometries were determined at the (TD-)UB3LYP/6-31G\* level of theory (Fig. 3a). The results show that the spin densities of both <sup>3</sup>2a<sup>••</sup> and <sup>3</sup>2b<sup>••</sup> are almost completely localized at C4 (benzylic), C1 (allylic), and C2 (allylic). For <sup>3</sup>2a-c<sup>••</sup>, the spin densities at C1 and C2 are almost identical to those of the corresponding ground state, <sup>3</sup>2a-c<sup>••</sup>. In contrast, the spin density at C4 of <sup>3</sup>2a<sup>••</sup> is located close to the ipso-position (C5) of the phenyl group. The change taking place in spin densities at each atom in the <sup>3</sup>2b<sup>••</sup>  $\rightarrow$  <sup>3</sup>2b<sup>••\*</sup> transition is similar to those occurring in the <sup>3</sup>2a<sup>••</sup>  $\rightarrow$  <sup>3</sup>2a<sup>••\*</sup> transition. Interestingly, the spin density changes caused by the <sup>3</sup>2c<sup>••</sup>  $\rightarrow$  <sup>3</sup>2c<sup>••\*</sup> transition occur most prominently in the 2-naphthyl group. The alterations in electronic structure are consistent with the geometrical changes that take place in all of the <sup>3</sup>2<sup>••</sup>  $\rightarrow$  <sup>3</sup>2<sup>••\*</sup> transitions (see the discussion in the previous section).

To express the spin distribution changes that take place in  ${}^{3}2^{**} \rightarrow {}^{3}2^{**}$  transitions in a quantitative manner, we express

the difference in the spin density of the *i*th atom,  $N_i^{T_1-T_0}$  by the equation given in eqn (1),

$$N_{i}^{T_{1}-T_{0}} = \left(\alpha_{i}^{T_{1}} - \beta_{i}^{T_{1}}\right) - \left(\alpha_{i}^{T_{0}} - \beta_{i}^{T_{0}}\right)$$
(1)

where  $\alpha_i^{T_1/T_0}$  and  $\beta_i^{T_1/T_0}$  are the respective natural spin densities estimated from  $\alpha$  and  $\beta$  natural orbitals for <sup>3</sup>2<sup>...</sup>\* and <sup>3</sup>2<sup>...</sup> (see Tables S7-S9<sup>†</sup>). Inspection of the data listed in Fig. 3a shows that the spin distributions at C1, C2 and C3 (the allyl moiety) do not significantly change during the  ${}^{3}2^{\cdot\cdot*} \rightarrow {}^{3}2^{\cdot\cdot}$  transition. Moreover, the sum of their spin densities is approximately 1.1 each for  ${}^{3}2a-c$  and  ${}^{3}2a-c$  (see Table S10<sup>†</sup>). Thus, it appears that one unpaired electron is delocalized over the allyl moieties of both  ${}^{3}2a-c$  and  ${}^{3}2a-c$  the other unpaired electron in the  $T_1$  excited state  $({}^32^{\cdot\cdot}*)$  is delocalized over the remainder of the molecule, whereas it is localized on C4 in <sup>3</sup>2a-c<sup>••</sup>. The electronic structure change occurring upon excitation is also made apparent by inspection of the flow of spin density from C4 to C5 (Fig. 3b). The excitation-promoted, spin density reorganization decreases on proceeding from <sup>3</sup>2a<sup>...</sup> to <sup>3</sup>2c<sup>...</sup>. In addition, introduction of benzene or naphthalene rings leads to an enhancement in electron delocalization in the T<sub>0</sub> state (Tables S7-S9<sup>†</sup>). The analysis presented above indicates that electronic structures of <sup>3</sup>2a-c<sup>••</sup> and <sup>3</sup>2a-c<sup>••</sup> can be schematically depicted in the manner shown in Scheme 1. As a result, the distribution of the second unpaired electron is controlled by introduction of aromatic groups on the TMM biradical backbone (e.g.,  ${}^{3}2c^{\cdot \cdot}$ ).

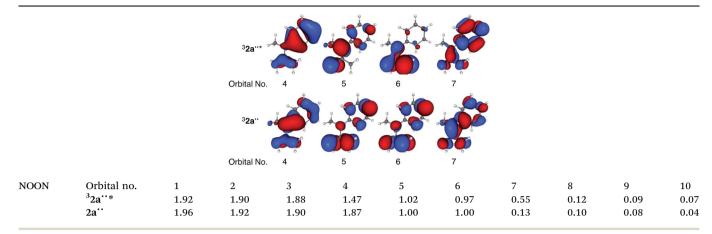
Previously, Ikeda and co-workers experimentally determined the wavelength maxima for  $T_1 \rightarrow T_0$  fluorescence of <sup>3</sup>2<sup>...\*</sup> by using TL methods.<sup>5a</sup> The TL maxima of <sup>3</sup>2a<sup>\*\*</sup>, <sup>3</sup>2b<sup>\*\*</sup> and <sup>3</sup>2c<sup>\*\*</sup> in methylcyclohexane matrices at ca. 130 K are 451, 501, and 602 nm, respectively. In the present work, we calculated the fluorescence wavelengths of <sup>3</sup>2a-c<sup>\*\*</sup> using various TD-DFT functionals (Table 2). Interestingly, the fluorescence wavelengths obtained by using the UB3LYP method match well with the experimentally determined values.5 Although use of the UM06-2X method also gives maxima that are close to the experimental values, it slightly overestimates the excitation energies. Utilization of the long-range corrected CAM-UB3LYP functional does not lead to improved results, suggesting that charge-transfer interactions are not important in <sup>3</sup>2<sup>••</sup>\*. The use of UHF in this functional for treatment of large electronic separations suffers from a more serious spin contamination ( $\langle S^2 \rangle \sim 2.54-4.01$ ), especially in the case of <sup>3</sup>2c<sup>..</sup>.

Table 2 Fluorescence wavelength maxima ( $\lambda_{Em}$ ) and oscillator strengths (*f*) of TMM biradicals obtained by experimental and various TD-DFT methods

	$\operatorname{Exp}^{a}$		$\mathrm{TD} ext{-}\mathrm{DFT}^b$		
	TL	UB3LYP	UM06-2X	CAM-UB3LYP	
Biradical	$\lambda_{TL}/nm$	$\lambda_{\rm Em}/{ m nm}\left(\langle S^2  angle,f ight)$	$\lambda_{ m Em}/ m nm\left(\langle S^2 angle,f ight)$	$\lambda_{ m Em}/ m nm\left(\langle S^2 angle,f ight)$	
<sup>3</sup> 2a <sup></sup> <sup>3</sup> 2b <sup></sup> <sup>3</sup> 2c <sup></sup>	451 501 602	443 (2.43, 0.003) 479 (2.24, 0.010) 613 (2.63, 0.002)	438 (2.28, 0.005) 464 (2.23, 0.018) 554 (2.39, 0.004)	440 (3.10, 0.001) 443 (2.54, 0.007) 903 (4.01, 0.000)	

<sup>a</sup> From ref. 5a. <sup>b</sup> The 6-311+G(d) and 6-31G(d) basis sets were employed for single point calculations and optimizations, respectively.

Table 3 NOON Values of 32a<sup>••</sup> and <sup>3</sup>2a<sup>••</sup> obtained using CASSCF(10o, 10e) calculations



To validate the (TD-)DFT results presented above, the electronic configuration of <sup>3</sup>2a<sup>\*\*\*</sup> was evaluated by employing post-Hartree-Fock CASSCF and multireference MP2 calculations. First, 10  $\pi$ -orbitals of <sup>3</sup>2a<sup>••</sup> were obtained using the RHF/6-311+G(d) method for the singlet spin state. Subsequent CASSCF calculations were carried out using a full  $\pi$ -orbital (10e, 10o) active space for the triplet ground state. In Table 3 are listed the corresponding natural orbital occupation numbers (NOON), where 2, 1, and 0 indicates doubly-, singly-, and un-occupied orbitals, respectively. Importantly, a deviation of NOON from integer numbers implies that the wavefunction has multireference character.<sup>17</sup> Analysis of the NOON values for <sup>3</sup>2a<sup>...</sup> indicates the existence of four doubly-occupied (NOON > 1.87), two singly-occupied (NOON  $\sim$  1.00), and four un-occupied (NOON < 0.13) orbitals within the active space. On the other hand, the NOON values of orbitals numbers 4 and 7 in <sup>3</sup>2a<sup>\*\*</sup> deviate largely from integer values, signaling that the wavefunction has multireference character. Except for natural orbitals 4-7, the NOON values and orbital shapes of  ${}^{3}2a^{**}$  and <sup>3</sup>2a<sup>\*\*</sup> are almost identical. The singly-occupied natural orbital of <sup>3</sup>2a<sup>\*\*</sup> is localized on the TMM moiety, while singly occupied natural orbitals of <sup>3</sup>2a<sup>\*\*</sup> (Table 3) are delocalized on the phenyl group. The fact that this finding is consistent with the natural charge distributions obtained by using (TD-)DFT calculations (Fig. 3) validates the DFT-based results.

The CASSCF-determined emission energy of the benchmark model  ${}^{3}2a^{\cdot \cdot}$  is 2.62 eV (473 nm) and that arising from MRMP2 is 2.51 eV (495 nm). The MRMP2 result is in good agreement with that determined experimentally (2.74 eV, 451 nm), and the TD-DFT determined emission energy (2.82 eV, 440 nm) is also close to the experimental value. These findings suggest that a well-balanced use of dynamic and non-dynamic correlations is necessary for quantitatively accurate assessment of the emission wavelengths of  ${}^{3}2^{\cdot \cdot *}$ . The somewhat surprising similarity of the MRMP2 and UB3LYP results show that conceptually more simple density functional approaches can be applied to the complex electronic and molecular structures of  ${}^{3}2^{\cdot \cdot *}$ . This is most likely a consequence of fortuitous error compensations.

In conclusion, studies aimed at understanding the  $T_1-T_0$  fluorescence of aryl-substituted TMM biradicals <sup>3</sup>2<sup>...\*</sup> were

carried out using quantum chemical calculations. The results of calculations using high-level *ab initio* and various DFT functionals reveal that the wavefunctions of  ${}^{3}2^{**}$  possesses significant multireference character. However, use of DFT approaches with sufficiently large basis sets leads to predictions of reasonable emission wavelengths of  ${}^{3}2^{**}$ . In addition, the calculated changes of bond lengths and spin distributions demonstrate that significant relaxation of molecular geometries occurs in T<sub>1</sub> on going from an aromatic ground state to quinoid structured excited state. The overall results of this work demonstrate that it is possible to use DFT-based methods to estimate accurately the fluorescence properties of organic openshell species.<sup>18</sup> This ability should accelerate the development and application of luminescent radical materials.

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