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## Distinguishing binding modes of a new phosphonium dye with DNA by surface-enhanced Raman spectroscopy†

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To understand the disruption of the cell processes induced by small molecule binding to DNA, numerous structural studies of DNA complexes have been done, whereby a variety of methods has been applied. The use of various techniques is required, not only for the unique information on the structure provided by each of the methods, but also for limitations that arise during the sample preparation or measurement procedures. Here, surface-enhanced Raman scattering (SERS) spectroscopy has been employed to study binding of a new mitochondria-specific dye with ds-DNA polynucleotides. Concentration dependent differences in the SERS spectra of the dye were attributed to concentration induced changes in position of the dye molecules on the enhancing metal surface and assigned to aggregated molecules formed due to  $\pi$ -stacking interactions at higher dye concentration ( $5.0 \times 10^{-5} \text{ mol L}^{-1}$ ) and to single molecules at lower dye concentration ( $5.0 \times 10^{-6} \text{ mol L}^{-1}$ ). The characteristic SERS spectra were further on correlated with the spectra of the dye/DNA complexes, implying binding of the monomeric dye molecules at the excess of the adenine–thymine polynucleotide,  $[\text{dye}]/[\text{AT}] = 1/10$ , and in form of the stacked dye molecules at the higher  $[\text{dye}]/[\text{AT}]$  ratio of 1/2. In addition, the distinctive SERS spectra of the complexes with the AT alternating and homo-polymer indicated different placement of the dye molecules within the minor groove, affected by the polynucleotide groove width. Beside well-known quantitative, this study emphasized structural sensitivity of SERS, able to distinguish various molecular forms of the small molecules in the complex structures such as those formed with biomacromolecules.

Received 8th February 2016

Accepted 19th April 2016

DOI: 10.1039/c6ra03586h

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## Introduction

A majority of clinically important drugs are small organic molecules which non-covalently interact with DNA. While targeting nucleic acids, these molecules disrupt cell processes either by modulating gene expression or by interfering with replication.<sup>1</sup> In order to design novel drugs or improved ones, it is necessary to understand the underlying mechanisms of the drug–DNA binding, including structural details of the formed complexes. Numerous studies imply that a detailed description of the small molecule–DNA interactions can only be unraveled

by combining the information provided by different approaches and methods.

There is a variety of methods devoted to structurally evidence small molecule–DNA interactions.<sup>1,2</sup> A method for assessment of the precise binding geometry of a drug molecule relative to DNA at atomic resolution in the solid state is X-ray crystallography. Using a simplified model consisting of the drug molecule and a respective oligonucleotide, it elucidates a detailed three-dimensional molecular structure of the biological macromolecule with the embedded small molecule; yet, it is often limited by the sample preparation step, *i.e.* crystallization of the drug–DNA complex. To reveal the structure of the drug–DNA complex in solution, which is more relevant to physiological processes, NMR spectroscopy has been extensively used. Despite the emphasis on the flexible nature of the DNA molecule, difficulties here arise from the size of the biomolecule, since the increase in the number of the signals with molecular weight complicates interpretation of the observed spectra. Apart from providing undoubtedly valuable data on the structure of the small molecule–DNA complexes in different environments, both methods, X-ray crystallography and NMR spectroscopy, are considered as highly demanding in respect of time, experience, and expense. Additionally, simpler methods of electronic

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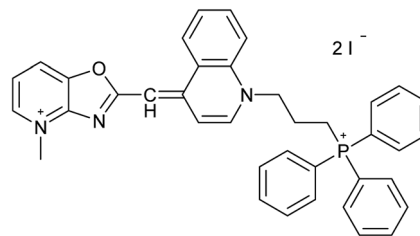
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† Electronic supplementary information (ESI) available. See DOI: 10.1039/c6ra03586h

spectroscopy (absorption, fluorescence, circular dichroism (CD) spectroscopy) have been widely applied for small molecule–DNA studies in solution, allowing the use of long DNA/RNA polynucleotides. Though changes in optical properties of the chromophore moieties imply binding modes of the small molecules with DNA, these methods lack ability to provide more detailed structural data, not indicating the exact binding sites of the interacting molecules. Information on the molecular structure based on characteristic spectral patterns as molecular “fingerprints” can be observed by means of vibrational methods, infrared (IR) and Raman spectroscopy. Spectral changes correlated to the structures of the interacting molecules before and after binding allow identification of the reactive sites of the species involved. Owing to water ability to strongly absorb IR radiation and poorly scatter radiation, Raman spectroscopy is the more convenient method for monitoring molecular interactions in aqueous systems, commonly used to mimic cell media. Both vibrational methods, however, suffer from low sensitivity, resulting in use of large amounts of (bio)chemicals that makes these methods rather expensive. Therefore, new, sensitive and cost-effective methods are emerging, such as surface-enhanced Raman scattering (SERS) spectroscopy.

SERS spectroscopy is a powerful vibrational spectroscopy technique that allows for highly sensitive structural detection of low concentration molecules in vicinity to nanostructured metal surfaces.<sup>3</sup> Owing to recent developments in design and fabrication of plasmonic substrates, essential for the Raman scattering enhancement, application of SERS spectroscopy in analytical, biophysical and life sciences constantly increases.<sup>4</sup> The enhancement of the Raman scattering is attributed to two mechanisms: an electromagnetic mechanism and a chemical mechanism. The electromagnetic mechanism occurs for the molecules adsorbed on the metallic nanostructures due to the amplification of electromagnetic field arising from the excitation of the surface plasmons. The chemical mechanism, on the other hand, implies chemisorption of the molecule onto the metal surface, allowing transfer of electrons from the metal to the molecule and *vice versa*. Even though the charge transfer mechanism is considered to contribute to the overall enhancement to a lesser extent than the electromagnetic one, the total SERS enhancement factor with respect to the normal Raman signal, in most cases is the product of both mechanisms, reaching up to  $10^{10}$ .

Owing to the detailed structural data, the high sensitivity and the fluorescence quenching, the SERS technique seems to be a well-suited method for biomolecular recognition studies. Besides, numerous practical advantages make the SERS spectroscopy the method of choice in DNA targeting research: (i) measurements are performed in micromolar concentration range, thus avoiding difficulties with the substances of poor solubility and aggregation tendency. (ii) Neither the sample preparation nor the data interpretation are limited by the size of the biomolecule, allowing structural analysis of complexes with basepair sequences of various length, oligonucleotides as well as polynucleotides. (iii) Using colloidal suspensions as the SERS active substrates, the measurements are performed in aqueous



Scheme 1 Molecular structure of the phosphonium dye.

medium at physiological pH, mimicking the cell conditions and allowing flexibility of DNA. (iv) Due to the high sensitivity of the technique (bio)chemicals are used in small quantities, resulting in low cost measurements. (v) The sample is simply prepared by mixing solutions of the small molecule and DNA with the colloid.

However, an application of the SERS spectroscopy in revealing drug–DNA interactions has been mostly overlooked, resulting in a very few papers published on complexes with long polynucleotides. Given the two principal modes of the small molecule–DNA non-covalent binding, majority of the SERS studies aimed at intercalation,<sup>5–13</sup> while study of groove binding by SERS was almost neglected.<sup>14–16</sup> Besides, our studies on small molecule–DNA binding using SERS spectroscopy,<sup>17–22</sup> not only implied binding modes in agreement with those proposed by other applied structural methods, but also complemented already observed results with new information on structural moieties involved in interactions.

Recently, a series of sterically restricted phosphonium dyes were synthesized and their ability to interact with DNA/RNA polynucleotides studied in detail using methods of electronic spectroscopy (UV/Vis absorbance, fluorescence and CD) and molecular modelling.<sup>23,24</sup> For the phosphonium dye containing pyridinium oxazole, quinoline and triphenylphosphonium moiety in the structure (Scheme 1), CD spectra indicated concentration dependent groove-binding with DNA, particularly interesting and distinctive of adenine–thymine polynucleotides.<sup>23</sup> It was suggested that at excess of AT polynucleotide ( $r_{[\text{dye}]/[\text{AT}]} < 1/5$ ) the phosphonium dye binds as monomer, while at high molar ratio ( $r_{[\text{dye}]/[\text{AT}]} > 1/5$ ) dye molecules form a dimer within the polynucleotide minor groove. In order to obtain structural data about the formed dye/AT complexes, SERS spectroscopy was applied. In addition, to provide a reliable and accurate assignment and interpretation of the obtained spectra computational studies were performed.

## Experimental

### Chemicals and solutions

Silver nitrate (Kemika) and trisodium citrate (Kemika) were of analytical reagent grade and used as supplied for the silver colloid preparation. Water was purified by passage through Milli-Q (Millipore) deionizing and filtration columns. Cacodylate buffer contained  $0.05 \text{ mol L}^{-1}$  sodium cacodylate adjusted to pH 7.0 by  $0.1 \text{ mol L}^{-1}$  HCl. Polynucleotides, consisting of 500–800 base pairs, (polydA–poly dT, polydAdT–polydAdT and polydGdC–polydGdC) were purchased from Sigma-Aldrich and dissolved in



the cacodylate buffer,  $I = 0.05 \text{ mol L}^{-1}$ , pH = 7.0. Concentration of polynucleotides:  $c(\text{polydA-polydT}) = 1.00 \times 10^{-3} \text{ mol L}^{-1}$ ,  $c(\text{polydAdT-polydAdT}) = 7.35 \times 10^{-3} \text{ mol L}^{-1}$ ,  $c(\text{polydGdC-polydGdC}) = 1.00 \times 10^{-4} \text{ mol L}^{-1}$ , was determined spectroscopically as the concentration of phosphates. A detailed synthesis and spectroscopic characterization of the dye, 4-methyl-2-[[1-(3-(triphenylphosphonio)-propyl)quinolin-4(1H)-ylidene]-methyl]oxazolo[4,5-*b*]pyridinium diiodide has been published elsewhere.<sup>24</sup> Stock solution of the dye,  $c = 2.00 \times 10^{-4} \text{ mol L}^{-1}$ , was prepared by dissolving the substance in Milli-Q water.

### Colloid preparation

Before preparation of colloid all glassware was thoroughly cleaned with a detergent solution, followed by treatment in 5% nitric acid, and finally rinsed with water of Milli-Q purity. Silver colloid was prepared according to the modified Lee and Meisel reduction method with citrate.<sup>25,26</sup> Silver nitrate (18 mg) was dissolved in water (100 mL) and heated rapidly to boiling under stirring. A 1% (w/v) trisodium citrate aqueous solution (2 mL) was added to the boiling solution and kept gently boiling for 90 min with continuous stirring. The resulting colloidal solution was greenish yellow with the maximum at 418 nm in the UV/Vis/NIR absorption spectrum. A pH value of the prepared colloid was 7.8.

### Samples preparation

For the concentration dependent SERS measurements samples were prepared by mixing the silver colloidal solution and an appropriate volume of the dye stock solution ( $2.00 \times 10^{-4} \text{ mol L}^{-1}$ ). The final concentrations of the dye were in range between  $1.0 \times 10^{-6}$  and  $5.0 \times 10^{-5} \text{ mol L}^{-1}$ . Interactions with polynucleotides were studied in the silver colloid containing the dye,  $c = 5.0 \times 10^{-6} \text{ mol L}^{-1}$ , and a respective double stranded polynucleotide in [dye]/[polynucleotide] ratios of 1/2 and 1/10. All working samples were prepared by mixing the dye solution with the polynucleotide solution, followed by addition of the silver colloid. In case of time dependent SERS measurements, and in order to avoid influence of the silver nanoparticles on formation of the dye/polynucleotide complexes, the colloid was added 2 and 50 minutes after mixing the dye and the polynucleotide. The total volume of all the samples was 500  $\mu\text{L}$ , containing the same amount of the silver colloid (375  $\mu\text{L}$ ).

For the UV/Vis/NIR absorption measurements samples were prepared in the same way as for the SERS measurements. However, in order to obtain a measurable value of the silver colloid absorbance the samples were diluted with Milli-Q water in a sample/water volume ratio of 1/2 prior to the measurement.

### Instrumentation

FT-Raman and SERS spectra were measured on a Bruker Equinox 55 interferometer equipped with a FRA 106/S Raman module. Nd-YAG laser excitation at 1064 nm and a laser power of 100 and 500 mW were used for the measurement of the solid substance and colloidal solutions, respectively. The Raman spectrum was acquired from the solid dye on an aluminum holder, while glass vials were used for handling colloidal samples. Spectra were taken in the 3500–100  $\text{cm}^{-1}$  range. To obtain a good spectral

definition, 128 scans at a spectral resolution of 4  $\text{cm}^{-1}$  were averaged for a spectrum. In addition, due to poor reproducibility of the SERS spectra usually obtained using colloidal suspensions as the SERS active substrates, the spectra were acquired for three colloidal samples of each measured system. When spectral features in three measured spectra resembled each other and were affected only by the spectral noise, the spectra were considered sufficiently reproducible and the averaged spectrum was further on analyzed and interpreted (see ESI, Fig. S1†).

UV/Vis/NIR absorption measurement of the silver colloid and colloidal samples was carried out with an Analytik Jena spectrometer (model SPECORD 200). Conventional quartz cells (10 mm  $\times$  10 mm) were used throughout.

For pH measurements, a Mettler Toledo pH meter (model MP 220) with a Mettler Toledo InLab®413 combined glass-calomel electrode was used. The pH meter was calibrated with standard aqueous buffer solutions of pH 7.00 and 4.01.

### Computational methods

For geometry optimizations and Raman spectra calculations the hybrid B3LYP exchange–correlation functional in conjunction with Pople's 6-31G(d) (BS1) and 6-311G(d,p) (BS2) basis sets was used.<sup>27–31</sup>

Because of the lack of structural data for the investigated phosphonium dye, its conformational landscape was initially explored *in vacuo* at B3LYP/BS1 level of theory. After finding the most stable conformer, its geometry and vibrational properties were refined using the more flexible basis set BS2, both, *in vacuo* and water. The implicit Polarizable Continuum Model (PCM) was used to account for solvent effects.<sup>32</sup>

For the calculations performed on dimers the BS1 basis set for H, C, N, O and P atoms and the cc-pVTZ basis set and SDB effective core potential for iodide atoms were used according to Marten *et al.*,<sup>33</sup> as a good compromise between accuracy and computational costs for such large molecules.

Frequency calculations confirmed that all the optimized geometries correspond to true minima on the potential energy surfaces. Prior to compare the calculated harmonic wavenumbers with the experimental values, the former have been scaled using the scaling factor  $f = 0.967$ , excepting the high-wavenumbers corresponding to X–H stretchings for which a scaling factor of 0.955 was applied.<sup>34</sup>

The calculated Raman activities were converted to relative Raman intensities according to Williams *et al.*<sup>35</sup> For plotting the calculated Raman spectra, pure Lorentzian band shapes were used with the half-width at half-maximum (HWHM) of 7  $\text{cm}^{-1}$ , as derived from the experimental data. The assignment of the experimental bands was based on the observed frequencies and intensity patterns of the experimental spectra and confirmed by establishing a one-to-one correlation between the experimental and theoretical calculated frequencies. Visual inspection of modes was also used for a proper assignment of spectra, by considering both the band positions and intensity patterns.

NMR spectra of phosphonium dye conformers, both for dication and neutral forms, were calculated applying the GIAO (Gauge-Including Atomic Orbital) method,<sup>36,37</sup> at B3LYP/pc-2//B3LYP/pc-2



level of theory.<sup>38</sup> In order to express the chemical shifts in terms of the total computed NMR shielding tensors, the standard referencing method to tetramethylsilane (TMS) was used. For this purpose, the geometry of TMS molecule ( $T_d$  symmetry) had been optimized and then its NMR shielding tensors were obtained at the same level of theory as that used for the dye.

All the calculations were performed with the Gaussian09 software package.<sup>39</sup> Figures representing the structures of the phosphonium dye were created using the Mercury 3.3 program.<sup>40</sup>

## Results and discussion

### Conformational analysis

Optimization of the molecular structure of the phosphonium dye was performed considering initially the two conformers with *N*-methyl group and quinoline moiety in *cis* (c1) and *trans* positions (c2), respectively (Scheme 2). At B3LYP/BS1 level of theory, c2 is more stable than c1 by 5.22 kcal mol<sup>-1</sup>. Next, the conformations of c2 differing in the rotations around the rotatable bonds in the propyl chain were explored. All the other conformers are less stable than c2 with relative energies between 0.15 and 4.09 kcal mol<sup>-1</sup> (ESI, Table S1†).

The relative stability of c1 and c2 conformers is preserved also at the B3LYP/BS2 level of theory. Thus, for dications, c2 is more stable than c1 by 5.33 and 7.72 kcal mol<sup>-1</sup> in gas-phase and water, respectively. For the neutral species in water, c2 is again more stable by 4.31 kcal mol<sup>-1</sup>. In DMSO, at B3LYP/pc-2 level of theory, c1 is even more destabilized than c2, by 8.13 kcal mol<sup>-1</sup>.

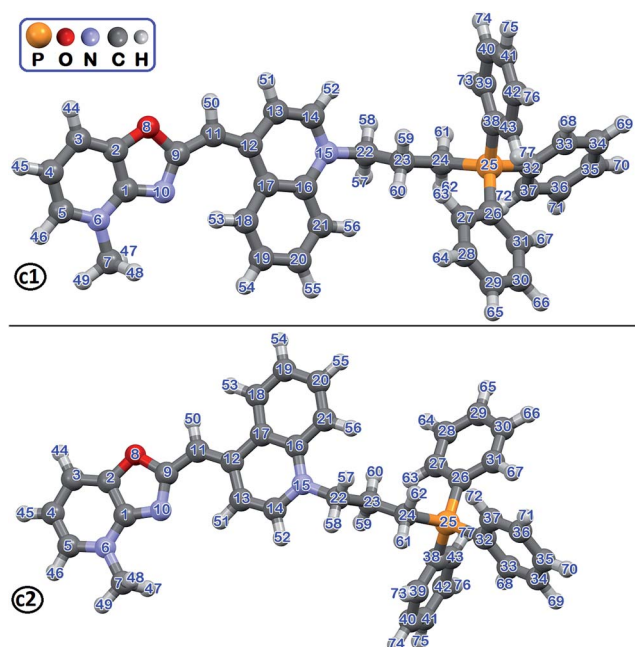
The greater stability of the c2 conformer in solution is also supported by NMR data. Thus, the calculated chemical shifts for this conformer are in much better agreement with the experimental data in DMSO reported by Tumir *et al.*<sup>23</sup> Particularly, the

predicted chemical shift for H53 for the c1 conformer is 11.46 ppm at B3LYP/pc-2 level of theory, a value which is in an unacceptable discrepancy with the reported experimental data (7.35–8.54 ppm).<sup>23</sup> Moreover, NMR data also support the presence of the dicationic species in solution (ESI, Table S2†). As easily can be observed, in case of neutral c2 conformer, the proton chemical shifts are much worse reproduced as for dication, particularly for methyl, methylene and methine protons.

Besides the c1 and c2 conformers, two kinds of dimers consisting of two monomers in c2 conformation, oriented with the triphenylphosphonium groups on the same and opposite sides, respectively, were also optimized (ESI, Scheme S1†). Four iodide anions were necessary to stabilize the charge of the dimers. The very small calculated relative energy between the two dimers (0.05 kcal mol<sup>-1</sup>) points to the fact that in solution they coexist. In Fig. 1, the Raman spectrum obtained as the sum of the spectra corresponding to the individual dimers is also included and the calculated wavenumbers in the cumulated spectrum are appended in Table 1.

### Raman spectrum of the phosphonium dye

The experimental Raman spectrum of the dye, together with the calculated spectra for dicationic form in gas phase, the calculated spectra of both, neutral and dicationic species in water and the cumulated spectrum of the two dimers are shown in Fig. 1. The assignments of the most intense bands are given in Table 1 and they are based on the agreement between the calculated and



Scheme 2 B3LYP/6-311G(d,p) optimized geometries of c1 and c2 conformers in gas-phase.

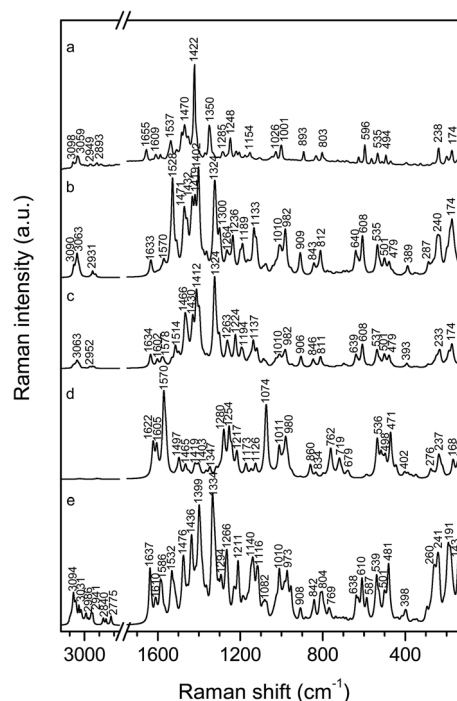


Fig. 1 (a) The experimental Raman spectrum of the solid phosphonium dye. The calculated Raman spectra of: (b) the cationic c2<sup>2+</sup> conformer in gas-phase, (c) the cationic c2<sup>2+</sup> conformer in water, (d) the neutral c2 conformer in water and (e) the dimers with the phosphonium substituents oriented on the same and opposite sides in gas-phase.





experimental data, both for band position and their relative intensities pattern. It is worth mentioning that the band at 2861  $\text{cm}^{-1}$  can only be reproduced by using the dimer model.

In the Raman spectrum of the crystalline dye weak bands at 3098 and 3059  $\text{cm}^{-1}$  were assigned to C–H stretchings in aromatic moieties, whereas very weak bands between 2950 and

2860  $\text{cm}^{-1}$  were attributed to asymmetric and symmetric C–H stretchings of the methyl and methylene groups (Fig. 1 and Table 1). A pattern of intense bands in the spectral range 1655–1200  $\text{cm}^{-1}$  originated from vibrational modes of the highly conjugated part of the molecule consisting of the pyridinium (P), oxazole (Ox) and quinoline (Q) rings (Scheme 2 and

**Table 1** An assignment of the prominent bands in the Raman spectrum of the solid substance and SERS spectra of the phosphonium dye, based on the calculated Raman spectra of the dye monomer and dimer<sup>a</sup>

Wavenumber ( $\text{cm}^{-1}$ )						
Experimental			Calculated			
Raman	SERS ( $5.0 \times 10^{-5}$ M)	SERS ( $5.0 \times 10^{-6}$ M)	$\text{c}2^{2+}$ gas-phase	$\text{c}2^{2+}$ water	Dimers	Assignments
3098 vw			3090	3096	3106	$\nu(\text{CH})_{\text{P}}$
3059 w	3057 w	3057 w	3063	3063	3073	$\nu(\text{CH})_{\text{Ph}}$
2949 vw		2926 vw	2931	2952	2941	$\nu_{\text{s}}(\text{CH}_3) + \nu_{\text{s}}(\text{CH}_2)$
2893 vw		2899 vw	2905	2925	2931	$\nu_{\text{s}}(\text{CH}_2)$
2861 vw	2872 vw	2870 vw			2840	$\nu_{\text{s}}(\text{CH}_2)$
1655 w	1656 w	1656 m	1633	1634	1637	$\nu(\text{CC})_{\text{P}}$
1625 vw	1615 vw br	1621 m			1613	$\nu(\text{CC})_{\text{Q}} + \nu(\text{CC})_{\text{Ox-CH}}$
1609 w	1609 vw	1610 sh	1606	1602	1610	$\nu(\text{CC})_{\text{Q}}$
1588 w	1587 vw	1587 w	1570	1572	1586	$\nu(\text{CC})_{\text{Ph}} + \nu(\text{CC/CN})_{\text{P,Ox,Q}}$
1537 m	1538 s	1539 m	1528	1533	1532	$[\nu(\text{CC}) + \delta(\text{CH})]_{\text{Ox,Q,P}}$
1508 w			1508	1514	1510	$\nu(\text{Q}) + \nu(\text{CC})_{\text{Q-CH}}$
1483 m	1477 m	1480 m			1476	$\delta_{\text{ip}}(\text{P,Ox,Q}) + \delta_{\text{as}}(\text{CH}_3) + \delta(\text{CH}_2)$
1470 s			1471	1466		$\delta_{\text{ip}}(\text{P,Ox}) + \delta_{\text{as}}(\text{CH}_3)$
1453 m	1452 m	1454 m	1458	1430	1457	$\delta_{\text{ip}}(\text{P,Ox}) + \omega(\text{CH}_3) + \delta(\text{CH}_2)$
1422 vs	1426 s	1425 s	1432	1412	1436	$\nu(\text{CN})_{\text{P,Ox}} + \nu(\text{CC})_{\text{Q}} + \delta(\text{CH}) + \delta_{\text{as}}(\text{CH}_3)$
1408 sh	1411 s	1415 sh	1402	1399	1399	$\nu(\text{CC,CN})_{\text{P,Ox}} + \delta(\text{CH}) + \omega(\text{CH}_3)$
1370 w			1363	1362	1365	$\delta(\text{CH}_2) + \nu(\text{CN})_{\text{Q}}$
1350 s	1351 vs	1352 s	1324	1324	1334	$[\nu(\text{CC}) + \nu(\text{CN})]_{\text{Q}} + \delta(\text{CH}) + \omega(\text{CH}_2)$
1328 sh		1319 w	1300	1301	1329	$\delta_{\text{ip}}(\text{P,Ox,Q}) + \rho(\text{CH}_3) + \delta_{\text{ip}}(\text{CH})$
1285 w	1277 vw	1281 w	1264	1263	1265	$[\nu(\text{CC}) + \nu(\text{CN})]_{\text{Q,Ox,P}}$
1248 m	1251 w	1250 w	1236	1224	1229	$\nu(\text{CO}) + \delta_{\text{ip}}(\text{Q,Ox,P})$
1219 w			1219		1211	$\delta_{\text{ip}}(\text{CH})_{\text{Q}} + \delta(\text{CH}_2)$
1206 w			1189	1194	1187	$\delta_{\text{ip}}(\text{Q}) + \delta_{\text{ip}}(\text{CH})_{\text{Q}} + \tau(\text{CH}_2)$
1177 vw			1163		1164	$\delta_{\text{ip}}(\text{CH})_{\text{P}} + \nu(\text{N-CH}_3)$
1154 w	1152 w		1133	1137	1140	$\delta_{\text{ip}}(\text{Q}) + \tau(\text{CH}_2) + \delta_{\text{ip}}(\text{CH})_{\text{Q}}$
1107 vw	1105 w	1106 w	1090	1088	1116	$\delta_{\text{ip}}(\text{P,Ox}) + \rho(\text{CH}_3)$
1026 w	1029 m	1029 m	1010	1011	1010	$\delta_{\text{ip}}(\text{Ph})$
1001 m	1000 s	1000 s	982	982	973	$\delta_{\text{ip}}(\text{Ph}) + \nu(\text{CH}_2\text{-CH}_2)$
893 w	895 w	894 w	909	906	908	$\delta_{\text{ip}}(\text{Ox,P})$
834 w			843	846	842	$\delta_{\text{ip}}(\text{Q}) + \delta(\text{CH}_2)$
803 w	797 m	790 m	812	811	804	$\delta_{\text{ip}}(\text{Q})$
727 vw	725 vw	725 w	734	725		$\delta_{\text{ip}}(\text{P,Ox,Q,Ph})$
686 vw	684 w	678 m	699	698		$\delta_{\text{ip}}(\text{P,Ox,Q})$
625 w	628 w	628 w	640	639	638	$\delta_{\text{ip}}(\text{P,Ox,Q})$
596 m	596 m	597 m	608	608	610	$\delta_{\text{ip}}(\text{P,Ox,Q})$
564 vw	567 w	569 w	567	574	587	$\delta_{\text{oop}}(\text{Q})$
	540 w	540 sh				
535 w	525 s	526 s	535	537	539	$\delta_{\text{ip}}(\text{Q}) + \delta(\text{CPC}) + \gamma(\text{Ph})$
513 vw			522	522		$\delta_{\text{ip}}(\text{Q}) + \delta(\text{CPC}) + \gamma(\text{Ph})$
494 w	494 w	494 w	501	501	501	$\delta_{\text{ip}}(\text{P,Q}) + \delta(\text{CPC})$
471 vw	468 w	470 w	479	479	481	$\delta_{\text{ip}}(\text{Q,P,Ox})$
238 m	260 m	258 m	240	233	241	$\delta(\text{CPC})$
	224 m	220 sh				
199 w			199	188	191	$\delta(\text{CPC}) + \delta_{\text{oop}}(\text{Q})$
174 m			174	174	183	$\delta(\text{Q-CH-Ox})$

<sup>a</sup> Abbreviations: vw: very weak, w: weak, m: medium, s: strong, vs: very strong, sh: shoulder,  $\nu$ : stretching,  $\delta$ : deformation,  $\gamma$ : torsion,  $\tau$ : twisting,  $\omega$ : umbrella, s: symmetrical, as: asymmetrical, ip: in plane, oop: out-of-plane, ar: aromatic, Ox: oxazole, P: pyridinium, Ph: phenyl, Q: quinoline.



Table 1). It was dominated by a very strong band at  $1422\text{ cm}^{-1}$ , associated with mixed vibrations of the aromatic moieties, and accompanied by medium to intense bands at  $1537$ ,  $1470$  and  $1350\text{ cm}^{-1}$ . Stretching of the pyridinium ring gave rise to the weak band at  $1655\text{ cm}^{-1}$ , while deformation of the pyridinium oxazole system contributed to the strong band at  $1470\text{ cm}^{-1}$ . Deformations of the phenyl rings in triphenylphosphonium substituent produced bands of moderate intensity at  $1026$  and  $1001\text{ cm}^{-1}$ . Bands in the wavenumber range lower than  $1000\text{ cm}^{-1}$  resulted mostly from deformation and torsion modes of the aromatic units, including weak bands at  $535$ ,  $494$  and  $238\text{ cm}^{-1}$  to which also contributed vibrational modes of the CPC group containing the phosphorus atom.

Due to poor solubility of the substance in water, the Raman spectrum of the phosphonium dye in solution was not obtained, *i.e.* the spectrum of the  $2 \times 10^{-4}\text{ M}$  aqueous dye solution corresponded to the Raman spectrum of water.

### SERS spectra

**Silver colloid.** In order to get information on plasmon frequency of the prepared silver nanoparticles and their suitability for measurement of the surface-enhanced Raman scattering upon NIR ( $1064\text{ nm}$ ) excitation, the UV/Vis/NIR absorption spectra of the silver colloid alone, the silver colloid containing the phosphonium dye ( $5.0 \times 10^{-6}\text{ mol L}^{-1}$ ) and the dye/polynucleotide complexes ( $r_{[\text{dye}]/[\text{poly}]} = 1/2$  and  $1/10$ ,  $c(\text{dye}) = 5.0 \times 10^{-6}\text{ mol L}^{-1}$ ) were acquired. In the spectrum of the pure silver colloidal suspension a maximum at  $418\text{ nm}$ , typical of the silver plasmon resonance, was obtained (Fig. 2). By adding the phosphonium dye into the colloid, intensity of the silver plasmon band decreased, accompanied by a shift to  $408\text{ nm}$  and appearance of a broad band at  $811\text{ nm}$ . The obtained spectral changes, in particular the increase in extinction at

longer wavelengths, were attributed to formation of the silver aggregates. Aggregation of the silver nanoparticles was most likely induced by adsorption of the dye on the silver surface due to attractive electrostatic interactions between the positively charged dye molecules and the stabilizing surface layer of citrate anions on the silver nanoparticles. It was also interesting to note the absorption maximum at  $518\text{ nm}$ , which originated from the phosphonium dye.<sup>23</sup>

The addition of the dye/polynucleotide complexes into the colloidal suspension affected the absorption spectrum to a lesser extent. Nevertheless, a shift towards  $419\text{ nm}$ , slight decrease in intensity and broadening of the silver plasmon band indicated changes onto or near the enhancing metallic surface. Shoulders at  $526\text{ nm}$  and  $529\text{ nm}$  appeared, implying presence of the dye/polynucleotide complexes in a ratio of  $1/2$  and  $1/10$ , respectively,<sup>23</sup> while bands at  $255$  and  $257\text{ nm}$  were attributed to the guanine-cytosine and adenine-thymine polynucleotide in the complex containing the higher amount of the polynucleotide ( $r_{[\text{dye}]/[\text{poly}]} = 1/10$ ). Owing to the excess of the polynucleotide in the complexes, regardless of the dye/polynucleotide ratio, the negative charge of the formed complexes prevented efficient adsorption on the negatively charged silver nanoparticles, finally resulting in poorer aggregation.

Nevertheless, the broad band at longer wavelengths, obtained for the silver nanoparticle aggregates with the phosphonium dye, allowed SERS measurements of the studied systems using NIR excitation.

**Concentration dependence.** SERS spectra of the phosphonium dye were measured in silver colloid in the concentration range between  $1.0 \times 10^{-6}$  and  $5.0 \times 10^{-5}\text{ mol L}^{-1}$  (Fig. 3). By varying concentration from  $5.0 \times 10^{-5}$  to  $2.5 \times 10^{-6}\text{ mol L}^{-1}$  only a slight change in total intensity of the scattered radiation was obtained, yet reaching its maximum at concentration of  $5.0 \times 10^{-6}\text{ mol L}^{-1}$  (Fig. 3c). Nevertheless, a decrease in concentration was followed by significant spectral changes, including

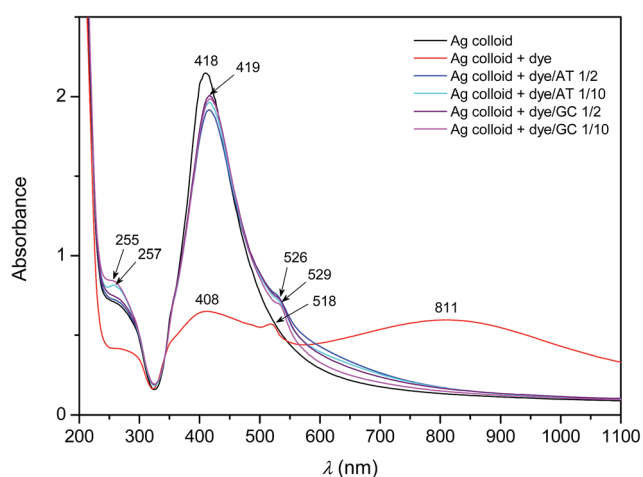


Fig. 2 UV/Vis/NIR absorption spectra of the silver colloid (black), the silver colloid containing the phosphonium dye (red) and the dye/polynucleotide complexes:  $[\text{dye}]/[\text{polydA-polydT}] = 1/2$  (blue),  $[\text{dye}]/[\text{polydA-polydT}] = 1/10$  (cyan),  $[\text{dye}]/[\text{polydGdC-polydGdC}] = 1/2$  (purple),  $[\text{dye}]/[\text{polydGdC-polydGdC}] = 1/10$  (magenta). Given that samples were diluted with water to obtain measurable absorbance of the colloid, the final concentration of the dye was  $1.7 \times 10^{-6}\text{ mol L}^{-1}$ .

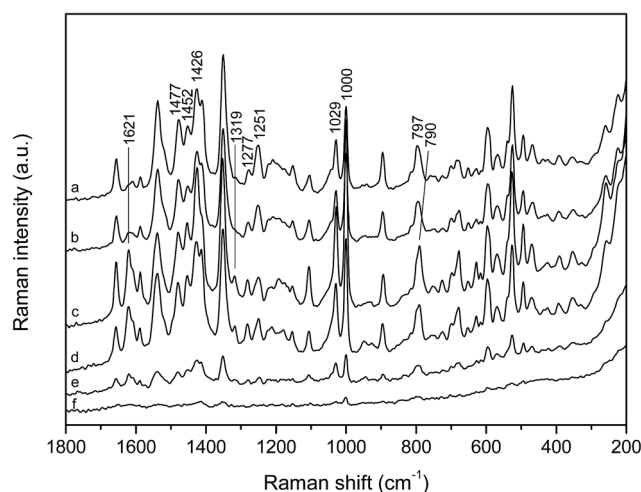


Fig. 3 SERS spectra of the phosphonium dye in the silver colloid at various concentrations: (a)  $5.0 \times 10^{-5}\text{ mol L}^{-1}$ , (b)  $1.0 \times 10^{-5}\text{ mol L}^{-1}$ , (c)  $5.0 \times 10^{-6}\text{ mol L}^{-1}$ , (d)  $2.5 \times 10^{-6}\text{ mol L}^{-1}$ , (e)  $1.5 \times 10^{-6}\text{ mol L}^{-1}$  and (f)  $1.0 \times 10^{-6}\text{ mol L}^{-1}$ . Excitation at  $1064\text{ nm}$ . The spectra are displaced for visual clarity.



variations in relative band intensities as well as appearance of new bands. By lowering concentration the relative intensities of the bands associated particularly with the stretching modes of the conjugated aromatic system changed (Table 1). Hence the intensity of the band at  $1452\text{ cm}^{-1}$  increased relative to the band at  $1477\text{ cm}^{-1}$  as well as the intensity of the band at  $1277\text{ cm}^{-1}$  with respect to the band at  $1251\text{ cm}^{-1}$ . Furthermore, the band at  $1426\text{ cm}^{-1}$ , assigned to the pyridinium oxazole CN stretching and quinoline CC stretching, was strongly enhanced in the spectrum of the dye at concentration at which the dye maximally scattered radiation ( $5.0 \times 10^{-6}\text{ mol L}^{-1}$ ) (Fig. 3c). In addition, if compared to the spectrum at the highest measured dye concentration ( $5.0 \times 10^{-5}\text{ mol L}^{-1}$ ) (Fig. 3a), new bands at  $1621$  and  $1319\text{ cm}^{-1}$  were obtained. The former was attributed to the oxazole and quinoline ring stretching, and the latter mostly to the aromatic moieties in plane bending. As the concentration decreased, a downward shift of the quinoline deformation band, from  $797$  to  $790\text{ cm}^{-1}$ , was also observed. Apart from the change in intensity, the position and intensity ratio of the bands at  $1029$  and  $1000\text{ cm}^{-1}$ , assigned to the phenyl rings in the triphenylphosphonium substituent, were not affected by the concentration change.

According to the selection rules on the enhancing metallic surface the most enhanced scattering originates from vibrations with polarizability change perpendicular to the surface, while the change in polarizability parallel to the surface does not contribute to the scattering.<sup>3</sup> Given that SERS spectra result from the surface selection rules, they are indicative of orientation of the dye molecules on the enhancing surface, which was in this case obviously concentration dependent, further pointing to molecular forms present on the silver nanoparticles. An existence of molecular aggregates on the silver surface had been already implied by SERS in our previous study with ethidium bromide.<sup>17</sup>

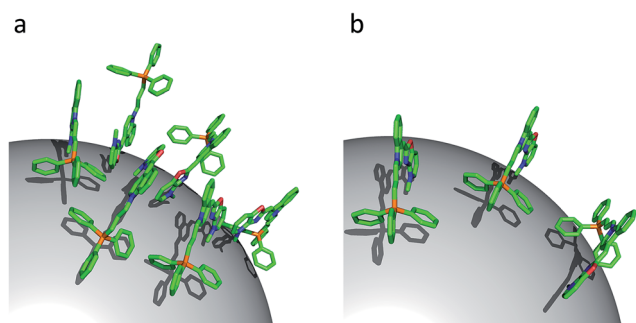
The SERS spectra indicated that the phosphonium dye molecules were densely packed on the silver nanoparticles at high concentration ( $5.0 \times 10^{-5}\text{ mol L}^{-1}$ ), when intermolecular stacking between the aromatic pyridinium oxazole and quinoline moieties took place (Scheme 3a). By lowering concentration the number of the molecules on the metal nanoparticles decreased, allowing single molecules to adopt the optimal orientation towards the silver surface while forming a molecular

monolayer (Scheme 3b). A considerable change in relative band intensities, accompanied by the shift of the quinoline ring deformation band, implied weakening of the  $\pi$ -stacking interactions, leading to the selectively enhanced vibrational modes of the single molecules. Besides, the appearance of the new bands ( $1621$  and  $1319\text{ cm}^{-1}$ ) pointed to the placement of the single dye molecules on the silver surface clearly different from that attained in the form of aggregates. Having in mind negative charge of the silver nanoparticles, provided by the stabilizing layer of the citrate anions on their surface, the dye molecules were most likely adsorbed through the methylpyridinium cation and/or triphenylphosphonium cation due to attractive electrostatic interactions. Indeed, the B3LYP/BS2 theoretical charge pattern for c2 dication supported this assumption, the calculated NBO charges being  $+0.72e$ ,  $-0.14e$  and  $-0.414e$  for pyridinium, oxazole and quinoline moieties, respectively, while a partial charge of  $+1.6e$  was predicted for the phosphorus atom. On the other hand, the charge pattern was drastically different for the neutral c2 conformer in water, particularly for the quinoline moiety. The calculated NBO charges in this case were  $+0.44e$ ,  $-0.122e$ ,  $-0.939e$  and  $+1.596e$  for P, Ox and Q moieties and P atom, respectively. The new bands in the low concentration dye SERS spectra, associated with the pyridinium oxazole and quinoline ring vibrations as well as with the *N*-methyl group rocking ( $1319\text{ cm}^{-1}$ ), implied an almost perpendicular position of the conjugated aromatic system of the single molecules with the methylpyridinium cation close to the enhancing surface, rather than with the bulky triphenylphosphonium cation.

Finally, it was proposed that the spectral signature of the dye at the highest measured concentration,  $5.0 \times 10^{-5}\text{ mol L}^{-1}$ , corresponded to the molecular aggregates, while the SERS spectrum at the dye concentration of  $5.0 \times 10^{-6}\text{ mol L}^{-1}$  was distinctive of the monomeric molecules.

To monitor time dependent reorientation of the dye molecules on the silver surface, SERS spectra of the phosphonium dye at concentrations of  $5.0 \times 10^{-5}$  and  $5.0 \times 10^{-6}\text{ mol L}^{-1}$  were measured during one hour. The identical SERS spectra of the dye at  $5.0 \times 10^{-5}\text{ mol L}^{-1}$ , acquired immediately after sample preparation and 50 minutes later, indicated that densely packed molecular structures formed on the metal nanoparticles did not change their position with time (ESI, Fig. S2†). However, an increase in intensity of the monomer characteristic bands ( $1621$  and  $1319\text{ cm}^{-1}$ ), obtained after 50 minutes in the spectrum of the dye at  $5.0 \times 10^{-6}\text{ mol L}^{-1}$ , implied that the single molecules, unlike the stacked ones, freely reoriented. Hence the quinoline and pyridinium oxazole moieties took more upright position on the silver surface, with the side consisting of nitrogen atoms close to it. The reorientation was most likely driven by attractive electrostatic interactions between the methylpyridinium cation and anionic surface layer on the enhancing silver nanoparticles.

**Interactions with polynucleotides.** Regarding the results of the circular dichroism measurements which pointed to the binding of the phosphonium dye into the DNA minor groove as monomer at excess of polynucleotides ( $r_{[\text{dye}]/[\text{DNA}]} < 1/5$ ) and as dimer at high  $[\text{dye}]/[\text{DNA}]$  ratio ( $r_{[\text{dye}]/[\text{DNA}]} > 1/5$ ),<sup>23</sup> SERS spectra



**Scheme 3** A schematic view of the proposed orientation of the phosphonium dye molecules on the silver nanoparticle surface at concentration: (a)  $5.0 \times 10^{-5}\text{ mol L}^{-1}$  and (b)  $5.0 \times 10^{-6}\text{ mol L}^{-1}$ .



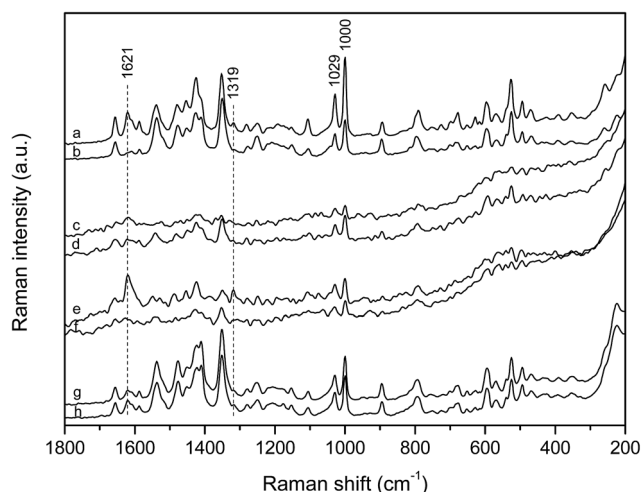
of complexes with double stranded (ds) DNA polynucleotides were measured at ratios 1/2 and 1/10. Given the difference in polynucleotide steric and structural properties, which affect binding kinetics and binding mode of the dye, complexes with alternating ds-polynucleotides of guanine and cytosine (polydGdC–polydGdC) and adenine and thymine (polydAdT–polydAdT) as well as with homo-polymer of adenine and thymine (polydA–polydT) were studied. The concentration of the dye was kept constant,  $5.0 \times 10^{-6}$  mol L<sup>-1</sup>, in all the measured samples.

By the NIR excitation (1064 nm) of silver colloids containing polynucleotides alone, SERS spectra were not observed. The acquired spectra resembled the spectrum of the pure colloid (mainly water), except for the broad citrate band at 1092 cm<sup>-1</sup>, which was missing in the spectra of the polynucleotides (ESI, Fig. S3†). Taking into account the fact that colloidal nanoparticles carried negative charge, the highly negatively charged phosphate backbone of long polynucleotides most likely prevented efficient adsorption onto the enhancing metal surface. Yet, being able to displace citrate ions on the metal surface,<sup>41,42</sup> polynucleotides were presumably placed close to the silver nanoparticles. However, given the surface plasmon resonance of the silver colloid in the visible region and the dependence of the Raman intensity, *i.e.* sensitivity, on the laser radiation frequency ( $\nu^4$ ), a lack of the polynucleotides SERS spectra was attributed to 1064 nm excitation. In addition, in order to avoid presence of any substances, which were not involved in dye/polynucleotide complex formation, colloidal samples were not aggregated with sulfate salts, successfully used for label free DNA detection.<sup>41,42</sup>

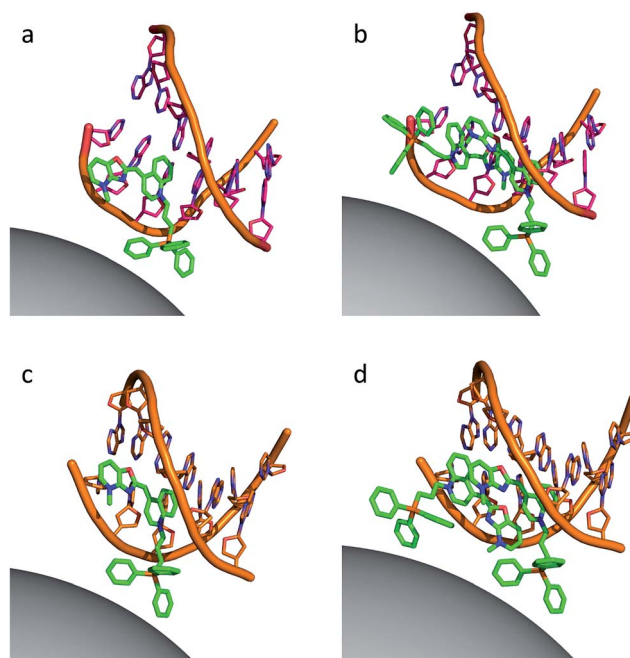
The scattered radiation of the complexes with both AT polynucleotides in the studied molar ratios ( $r_{[\text{dye}]/[\text{AT}]} = 1/2$  and 1/10) was of very weak intensity, indicating binding of the dye with the AT rich biomolecules (Fig. 4c–f). The reduced intensity was a consequence of a distant position of the formed complex from the silver surface, caused by repulsive forces between the stabilizing anionic layer and negatively charged polynucleotides. Nevertheless, a doublet of the phenyl bands at 1028 and 1000 cm<sup>-1</sup>, observed in all the SERS spectra with the adenine–thymine polynucleotides implied position of the dye triphenylphosphonium substituent in vicinity to the enhancing metal surface, thus outside the AT helix (Scheme 4).

At the polynucleotide excess,  $r_{[\text{dye}]/[\text{AT}]} = 1/10$ , the marker band of the monomeric molecules at 1621 cm<sup>-1</sup> was clearly obtained (Fig. 4c and e), being more pronounced and accompanied by another monomer characteristic band at 1319 cm<sup>-1</sup> in the spectrum of the complex with polydAdT–polydAdT (Fig. 4e). This spectral pattern implied that the dye molecules accommodated as monomers into the minor groove of the AT polynucleotides. In addition, the wider minor groove of the alternating AT polynucleotide (6.3 Å), if compared to the minor groove of the AT homo-polymer (3.3 Å), allowed monomeric molecules to more easily access the enhancing silver surface, resulting in stronger enhancement of the scattered radiation, unlike the poor scattering of the dye molecules placed deep in the minor groove of polydA–polydT (Scheme 4a and c).

At the [dye]/[AT] 1/2 ratio the spectral features resembled more those associated with the molecular aggregates (Fig. 4d and f), as expected upon population of all the DNA binding sites by the single molecules. The SERS spectrum of the complex with



**Fig. 4** SERS spectra of: (a) phosphonium dye monomers,  $5.0 \times 10^{-6}$  mol L<sup>-1</sup>, and (b) phosphonium dye aggregates,  $5.0 \times 10^{-5}$  mol L<sup>-1</sup>, in comparison to the SERS spectra of the phosphonium dye/polynucleotide complexes: (c) [dye]/[polydA–polydT] = 1/10, (d) [dye]/[polydA–polydT] = 1/2, (e) [dye]/[polydAdT–polydAdT] = 1/10, (f) [dye]/[polydAdT–polydAdT] = 1/2, (g) [dye]/[polydGdC–polydGdC] = 1/10 and (h) [dye]/[polydGdC–polydGdC] = 1/2;  $c(\text{dye})_{\text{complex}} = 5.0 \times 10^{-6}$  mol L<sup>-1</sup>. Excitation at 1064 nm. The spectra are displaced for visual clarity. The SERS spectra of the complexes with the AT polynucleotides are magnified by 5 times.



**Scheme 4** A schematic view of the proposed binding modes of the phosphonium dye with AT polynucleotides with respect to the silver nanoparticle surface: (a) [dye]/[polydAdT–polydAdT] 1/10, (b) [dye]/[polydAdT–polydAdT] 1/2; (c) [dye]/[polydA–polydT] 1/10; (d) [dye]/[polydA–polydT] 1/2.





polydAdT–polydAdT was pretty noisy with the only recognizable bands of the phenyl rings at 1028 and 1000  $\text{cm}^{-1}$  and of quinine at 1351  $\text{cm}^{-1}$  (Fig. 4f). Nevertheless, it supported molecular modeling data on head-to-tail orientation of the dye molecules within the groove, with the positively charged phosphonium substituents placed on the opposite sides and outside the helix (Scheme 4b and d).<sup>23</sup> Hence the optimal groove width of the alternating AT polynucleotide enabled binding of the stacked dye molecules as dimers into the minor groove. The SERS spectrum of the dye with polydA–polydT (Fig. 4d), on the other hand, implied coexistence of the monomeric and associated molecular structures within the minor groove. This was in agreement with the proposed slow kinetics of the dimer formation caused by the narrow minor groove of the AT homo-polymer.

In order to monitor binding of the dye molecules with AT polynucleotides with time, the SERS spectra were measured 50 minutes after the complex formation. At the polynucleotide excess,  $r_{[\text{dye}]/[\text{AT}]} = 1/10$ , when the dye was bound as monomer within the minor groove, the spectra were not changed during time (ESI, Fig. S4†). However, at the high ratio,  $r_{[\text{dye}]/[\text{AT}]} = 1/2$ , the observed spectra, although noisy and of poor intensity, differed from those acquired immediately after sample preparation and resembled each other regardless of the polynucleotide (ESI, Fig. S4†). Since a slight broadening of the minor groove (<10%) due to dye dimer binding had been suggested,<sup>23</sup> it was very likely that once dimers were formed within the groove, their orientation with respect to the enhancing silver surface differed from the initial one, but their position was very similar in the minor groove of both polynucleotides.

Unlike the spectra of the dye with AT polynucleotides, the SERS spectra with polydGdC–polydGdC at both measured ratios ( $r_{[\text{dye}]/[\text{AT}]} = 1/2$  and  $1/10$ ) were very similar and quite intense (Fig. 4g and h), indicating their origin from the free dye molecules on the silver surface rather than from the complexed ones. However, the spectral characteristics did not unambiguously correspond to either monomeric or aggregated structures, most likely resulting from the dye molecules at concentration lower than  $5.0 \times 10^{-6} \text{ mol L}^{-1}$ , being inclined towards the silver surface. Free dye molecules on the enhancing surface pointed to the weak binding of the dye with the GC polynucleotide, which had been implied by the circular dichroism data.<sup>23</sup> Despite the wide minor groove (9.5 Å) of the alternating GC polynucleotide, the guanine amino group within the minor groove sterically hindered efficient binding of the studied dye molecules.

## Conclusions

To obtain structural data on binding of the phosphonium dye with DNA, the surface-enhanced Raman scattering spectroscopy was applied, supported by the comprehensive theoretical study on the dye conformers as well as single and associated dye molecules. The distinctive SERS spectra allowed differentiation between the monomeric and aggregated forms of the studied dye, which were further on correlated with the molecular structures formed upon binding within the DNA minor groove. The SERS spectra implied binding of the single molecules with

the adenine–thymine polynucleotides at the excess of the biopolymer ( $r_{[\text{dye}]/[\text{AT}]} = 1/10$ ), while indicated existence of the stacked molecules within the minor groove of the AT polynucleotides at the high dye/AT ratio ( $r_{[\text{dye}]/[\text{AT}]} = 1/2$ ). The observed SERS spectra of the complexes also differed depending on the polynucleotide, as a consequence of the polynucleotide structural properties. Hence it was possible to distinguish the positions of the dye molecules within the minor groove of the AT alternating polymer and homo-polymer, which were dependent on the minor groove width, as well as to suggest weak binding of the dye molecules with the GC polynucleotide due to the sterical hindrance of the guanine amino group in the minor groove. The observed SERS spectra thus provided an experimental structural confirmation of the binding modes proposed by electronic spectroscopy and molecular modelling in the previous studies.

Finally, this work pointed to a huge potential of the SERS spectroscopy as a powerful technique providing adequate and reliable structural information on the small molecule–DNA binding. Moreover, in case of here presented DNA-sequence selective fluorimetric dye, the SERS spectroscopy proved to be very sensitive independent method for checking the results obtained by fluorescence as well as for checking the structural data of dye/DNA complex obtained by CD spectrophotometry.

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