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# Thiolate-protected golden fullerenes. A 32-ve core involving a hollow Au<sub>32</sub> cage†

M. Rauhalhti,<sup>a</sup> A. Muñoz-Castro<sup>\*b</sup> and D. Sundholm<sup>\*a</sup>

We have computationally investigated the possible formation of large hollow gold nanostructures based on a Au<sub>32</sub> core covered with a thiolate layer using relativistic density functional theory calculations. We have found that [Au<sub>32</sub>@Au<sub>12</sub>(SR)<sub>18</sub>]<sup>6−</sup> is a plausible candidate that retains the structural, electronic and spherical aromatic properties of the Au<sub>32</sub> cage of its parent bare Au<sub>32</sub> golden fullerene. The study shows that the low-energy part of the optical spectrum can serve as a guide to identify such hollow gold structures among other small-sized gold nanoclusters with similar nuclearities. The low-lying excited states are dominated by 1f → 1g transitions, which significantly distinguish hollow clusters from other nanoclusters, like the prominent [Au<sub>25</sub>(SR)<sub>18</sub>]<sup>−</sup> cluster, whose low-lying excitations are dominated by 1p → 1d transitions. The gold nanoclusters studied here can serve as model compounds for assessing the metallic core size effects on the absorption energies and the influence of the surface structure of the gold core on the cluster properties. The present study suggests that it is also plausible that even larger hollow structures derived from Au<sub>42</sub>, Au<sub>72</sub>, and Au<sub>92</sub> golden fullerenes can exist.

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## Introduction

Besides their aesthetic contexts, gold nanostructures have also attracted great interest as fundamental building blocks in nanoscience, where novel metal clusters with variable sizes play important roles for the development of technological applications such as nanoelectronics, nanosensors, and for biomedicine.<sup>1–4</sup> Computational studies of the molecular and electronic structures of stable gold clusters have contributed to rationalizations of their optical, chiroptical, and catalytic properties<sup>5–10</sup> and provided a detailed understanding of the molecular properties of novel clusters with extraordinary high stability. Such studies on thiolate-protected gold nanoclusters have led to characterizations of a number of atomically identified nanoclusters with strong gold–gold and gold–sulphur interactions, which yield cluster structures that are stable against degradation.<sup>1,4,11</sup> The thiolate substituted gold clusters have interesting electronic structures whose properties originate from the inner metallic core embedded in the protecting layer composed of several stabilizing thiolate groups. The stability of the overall cluster originates from the electronic and structural states of

the metallic core with a magic number of valence electrons (ve) as previously shown for Au<sub>n</sub>(SR)<sub>m</sub> clusters such as Au<sub>25</sub>(SR)<sub>18</sub>, Au<sub>36</sub>(SR)<sub>24</sub>, Au<sub>38</sub>(SR)<sub>24</sub>, and Au<sub>144</sub>(SR)<sub>60</sub> (ref. 8 and 12–16) (Fig. 1). [Au<sub>25</sub>(SR)<sub>18</sub>]<sup>−</sup> and [Au<sub>144</sub>(SR)<sub>60</sub>] clusters have nearly spherical cores with very small distortions from the ideal icosahedral structure.<sup>8,16</sup> They display bulk-like fcc structures,<sup>15</sup> which is common for many gold clusters with core masses between 4.9 and 28.3 kDa. The Au<sub>144</sub>(SR)<sub>60</sub> cluster has several concentric structural layers as seen from its Au<sub>12</sub> + Au<sub>42</sub> + Au<sub>60</sub> contexture that is evaluated theoretically.<sup>16</sup> X-ray experiments showed that Au<sub>133</sub>(SR)<sub>52</sub> with a Au + Au<sub>12</sub> + Au<sub>42</sub> + Au<sub>52</sub> core has a similar layer structure.<sup>17</sup> The chemistry of gold compounds and gold clusters is strongly influenced by relativistic effects<sup>18</sup> leading to unusual gold cluster structures such as tetrahedral Au<sub>20</sub> (ref. 19) and the proposed golden fullerene consisting of an Au<sub>32</sub> cage cluster.<sup>20</sup> Recently, the thermal stability of the hollow icosahedrons structure of Au<sub>32</sub> has been studied

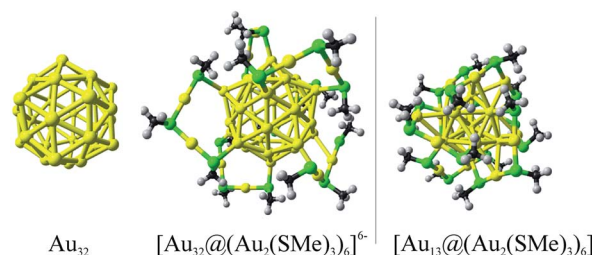


Fig. 1 Schematic representation of the thiolate protected version of the golden fullerene Au<sub>32</sub>, in comparison to [Au<sub>25</sub>(SMe)<sub>18</sub>]<sup>−</sup> cluster, denoting its core based structure.

<sup>a</sup>Department of Chemistry, University of Helsinki, P. O. Box 55 (A. I. Virtanens Plats 1), FIN-00014, Finland. E-mail: sundholm@chem.helsinki.fi

<sup>b</sup>Grupo de Química Inorgánica y Materiales Moleculares, Universidad Autónoma de Chile, El Llano Subercaseaux 2801, Santiago, Chile. E-mail: alvaro.munoz@uaautonoma.cl

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computationally suggesting that such conformation is the ground state up to 300 K, remaining in a hollow conformation up to 400 K.<sup>21</sup>

To understand how the electronic states of relatively small nanoclusters depend on the cluster structure, a variety of gold cluster structures with high symmetry has to be investigated. Constructions of Au<sub>32</sub> based substituted gold clusters enable systematic studies of the molecular structures and how they affect other molecular properties of hollow gold nanoclusters. In this work, we elucidate whether a thiolate-protected hollow gold Au<sub>32</sub> nanostructure bearing 32 valence electrons can be made, which has not been experimentally characterized to date. We also investigate whether formation of larger-sized hollow cluster structures with more valence electrons in the inner metallic core is feasible.

## Computational details

Structural optimizations and subsequent property calculations were performed at the relativistic density functional theory (DFT) level using the ADF 2014 program package.<sup>22</sup> The all-electron triple- $\xi$  Slater basis set plus the double-polarization (STO-TZ2P) basis functions were used in combination with the Perdew–Burke–Ernzerhof (PBE) generalized gradient approximation (GGA) functional.<sup>23</sup> The use of the PBE functional renders direct comparisons with other computational studies of gold nanoclusters feasible.<sup>16,23–26</sup> Relativistic effects were taken into account at the ZORA level (PBE-ZORA/TZ2P).<sup>27,28</sup> Environmental effects were considered by using the conductor-like screening model<sup>29</sup> (COSMO) with a dielectric constant  $\epsilon = 13.5$  and an atomic gold radius of 3.5 Å. Such parameters correspond to dimethylformamide, an aprotic polar solvent employed able to solvate high charged clusters. The electronic excitation energies were calculated at the PBE level as in previous similar studies.<sup>25,26</sup> The optical spectra were also calculated at the DFT level using the asymptotically corrected Leeuwen–Baerends (LB94) functional.<sup>30</sup> A comparison of the LB94 and PBE level calculations for [Au<sub>25</sub>(SMe)<sub>18</sub>]<sup>−</sup> in the ESI† section shows that similar results are obtained with the two functionals.

## Results and discussion

The molecular structure of the thiolate-protected Au<sub>32</sub> cluster (Fig. 1) was evaluated according to the “divide and protect” concept, which is a powerful approach to predict conformations of small and medium sized nanostructures.<sup>6</sup> The resulting protected cluster have similar structural moieties as obtained for [Au<sub>25</sub>(SR)<sub>18</sub>]<sup>−</sup> (R = methyl) with six dimeric RS–Au–RS–Au–RS (Au<sub>2</sub>(SR)<sub>3</sub>) units attached to each vertex of the icosahedral cage. For the Au<sub>32</sub> core, the most favorable conformation is obtained by protecting the twelve vertices, which are reachable by six Au<sub>2</sub>(SR)<sub>3</sub> units resulting in an overall Au<sub>44</sub>(SMe)<sub>18</sub> cluster. According to the electron count rules given by Häkkinen,<sup>6</sup> the overall cluster reach a closed-shell configuration in its −6 form, resulting in a [Au<sub>32</sub>@Au<sub>12</sub>(SMe)<sub>18</sub>]<sup>6−</sup> cluster with a 32-ve core ( $n^* = N_{\text{va}} - M - z = 44 - 18 + 6 = 32$  ve).<sup>6</sup>

The structure of the bare Au<sub>32</sub> cluster consists of concentric icosahedron and dodecahedron cages with radii of 4.513 and 3.984 Å, respectively (Fig. S1†). In the thiolate-protected counterpart, the inclusion of Au<sub>2</sub>(SR)<sub>3</sub> units leads to a small increase in the average icosahedral-section radius of 0.206 Å, whereas the radius in the complementary dodecahedron section decreases on the average by about −0.124 Å. Thus, the golden fullerene structure is retained in the [Au<sub>32</sub>@Au<sub>12</sub>(SMe)<sub>18</sub>]<sup>6−</sup> cluster, which is a structure that can be obtained in synthesis using polar solvents owing to its −6 negative charge,<sup>31</sup> similar to the synthesis of the [Ag<sub>32</sub>@Ag<sub>12</sub>(SR)<sub>30</sub>]<sup>4−</sup> (ref. 32) clusters.

In comparison with the [Au<sub>25</sub>(SMe)<sub>18</sub>]<sup>−</sup> (ref. 8) and [Au<sub>144</sub>(SMe)<sub>60</sub>] (ref. 16) clusters having an endohedral and a hollow icosahedron Au<sub>12</sub> cage with radii of 2.854 and 2.706 Å, respectively, the [Au<sub>32</sub>@Au<sub>12</sub>(SMe)<sub>18</sub>]<sup>6−</sup> structure has the possibility to form a hollow cage involving a larger deltahedron core with an average inner radius of 4.201 Å. Hence, a large inner diameter of about 0.9 nm is obtained for the thiolate-protected counterpart of the Au<sub>32</sub> golden fullerene<sup>20</sup> as compared to a radius of 0.5 nm for the Au<sub>12</sub> cage. The proposed cluster structure exhibits a core that differs from the usual bulk-like fcc structures found in many thiolate-protected clusters.

The radial distribution of gold atoms for these structures is shown in Fig. 2. The figure shows that the size of the icosahedral section of the thiolate-protected Au<sub>32</sub> cluster is able to allocate the first and second gold layers of [Au<sub>144</sub>(SMe)<sub>60</sub>] yielding a Au<sub>12</sub> + Au<sub>42</sub> structure that represents about 50% of the gold atoms of its Au<sub>114</sub> core. The gold atoms at the protecting layer for the Au<sub>32</sub> based cluster are located about 7.3–7.9 Å from the center of the structure, which is indeed in the range of the observed one of 9.0 Å for [Au<sub>144</sub>(SMe)<sub>60</sub>], suggesting that one might obtain large gold clusters with fewer gold atoms than expected for a compact cluster. The core mass of the gold atoms in the [Au<sub>32</sub>@Au<sub>12</sub>(SMe)<sub>18</sub>]<sup>6−</sup> cluster is 8.7 kDa showing that is possible to construct structural features of heavier gold clusters from lighter ones. Such a core can be considered as an inverted case of the 18-ve excavated-dodecahedron architecture found in [Ag<sub>32</sub>@Ag<sub>12</sub>(SR)<sub>30</sub>]<sup>4−</sup>,<sup>32</sup> and the first structural shell of [Ag<sub>92</sub>@Ag<sub>60</sub>(SR)<sub>60</sub>]<sup>2+</sup>.<sup>33</sup> Moreover, methyl groups can be replaced by larger protecting group in order to cover the entire core shell.

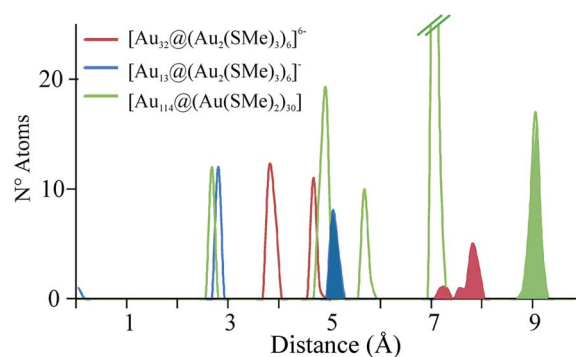


Fig. 2 Radial distribution of gold atoms in thiolate protected version Au<sub>32</sub>, in comparison to [Au<sub>25</sub>(SMe)<sub>18</sub>]<sup>−</sup> and [Au<sub>144</sub>(SMe)<sub>60</sub>], denoting its core based structure.



According to the super atom approach,<sup>5–7</sup> the 32-ve in the bare  $\text{Au}_{32}$  cluster has a  $1s^2 1p^6 1d^{10} 1f^{14}$  electronic configuration, composed solely by a first set of super atomic shells due to the single structural layer.<sup>34</sup> The electron configuration can be understood by considering that the radial part of the effective potential of the shell is very narrow implying that orbitals with radial nodes are much higher in energy than the next orbital shell with larger angular momentum quantum number and additional angular nodes. For example, the 1d shell is therefore energetically below 2p. Isosurfaces of relevant levels are depicted in Fig. 3, representing shells with increasing number of angular nodes. The highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO) have 1f and 1g characters, respectively. The 1f and 1g shells are split due to symmetry reasons. The icosahedral point group ( $I_h$ ) has degenerate levels up to five-fold shells. The 1f block is therefore composed into one four-fold and one three-fold degenerate levels. The nine orbitals of the 1g shell are analogously split into two blocks consisting of four-fold and a five-fold degenerate orbitals.

The addition of the protecting groups does not significantly affect the superatomic shell structure. However, some of the orbital contribution originate from the protecting layer. The resulting 1p and 1d shells have lower symmetry, as shown by the reduced degeneracy (Fig. 3). The frontier orbitals form a block of seven high-lying occupied orbitals of 1f character, whereas the low-lying unoccupied orbitals have 1g character. The higher unoccupied orbitals are mainly located to the protecting layer. They are denoted “L” in Fig. 3 for clarity. Thus, the frontier orbitals and the electronic structure of the protected cluster retain the main features of the bare  $\text{Au}_{32}$  cluster. This observation suggests the possibility to extend the here described superatomic shell structure to the endohedrally-doped golden fullerenes counterparts,<sup>35</sup> allowing the balance of the overall negative charge from endohedral atoms.

Optical properties of thiolate-protecting gold clusters have previously been investigated.<sup>8,11,25,26</sup> Computational studies of optical properties are useful for characterizing distinctive

patterns for different gold clusters, which can be compared with measured absorption spectra when experimental data are available. To evaluate the characteristic fingerprint of the  $[\text{Au}_{32}@\text{Au}_{12}(\text{SMe})_{18}]^{6-}$  cluster, the low-energy part (up to 2.0 eV) of the electronic absorption spectrum of  $[\text{Au}_{32}@\text{Au}_{12}(\text{SMe})_{18}]^{6-}$  was calculated and compared to the corresponding spectra of  $[\text{Au}_{25}(\text{SMe})_{18}]^{-}$ , denoted as  $[\text{Au}_{13}@\text{Au}_{12}(\text{SR})_{18}]^{-}$  hereafter (Fig. 4). The nature of the frontier orbitals for the clusters leads to different selection rules for the low-energy absorption spectra of the studied clusters. The low-energy transitions of  $[\text{Au}_{32}@\text{Au}_{12}(\text{SMe})_{18}]^{6-}$  are mainly dominated by 1f  $\rightarrow$  1g transitions, whereas for  $[\text{Au}_{13}@\text{Au}_{12}(\text{SR})_{18}]^{-}$  the corresponding transitions are dominated by the 1p  $\rightarrow$  1d character, which has previously been discussed by Jiang *et al.*<sup>24</sup> Thus, the hollow gold clusters discussed in this work provide a novel extension of optical properties of gold nanoparticles.

For  $[\text{Au}_{13}@\text{Au}_{12}(\text{SR})_{18}]^{-}$ , the energy of the first electronic transition is calculated to 1.41 eV, which is in close agreement with the recently calculated value of the lowest excitation energy.<sup>24</sup> The calculations show that the transition is dominated by a 1p  $\rightarrow$  1d character. For  $[\text{Au}_{32}@\text{Au}_{12}(\text{SMe})_{18}]^{6-}$ , we obtained several electronic excitation energies in this energy range. The calculations on  $[\text{Au}_{32}@\text{Au}_{12}(\text{SMe})_{18}]^{6-}$  suggest a richer low-energy spectrum with several peaks of which four peaks can readily be characterized. To understand the reasons for the differences between the electronic excitation spectra, further analysis of the transitions can be made in terms of the frontier orbital structure (inset Fig. 4). The first two peaks at 1.01 and 1.23 eV, involve the occupied 1f block and the unoccupied 1g levels of the 1f  $\rightarrow$  1g transitions. The excitation energy at 1.40 eV is dominated by a 1f  $\rightarrow$  ligand character. The transition character of the last peak in the studied energy region is dominated by a transition between the gold orbitals of the 5d-block and the 1g level. The calculated excitation energy for this transition is 1.76 eV as compared to 2.40 eV for the corresponding transition of the  $[\text{Au}_{13}@\text{Au}_{12}(\text{SR})_{18}]^{-}$  cluster. Thus, the thiolate-protected 32-ve core has a more complex low-energy spectrum resulting from 1f  $\rightarrow$  1g allowed transitions.

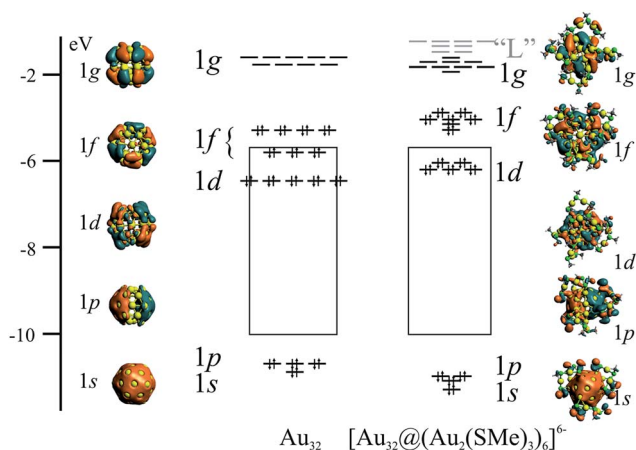


Fig. 3 Super-atomic shells of  $\text{Au}_{32}$ , denoting their counterparts in the  $[\text{Au}_{32}@\text{Au}_{12}(\text{SMe})_{18}]^{6-}$  cluster.

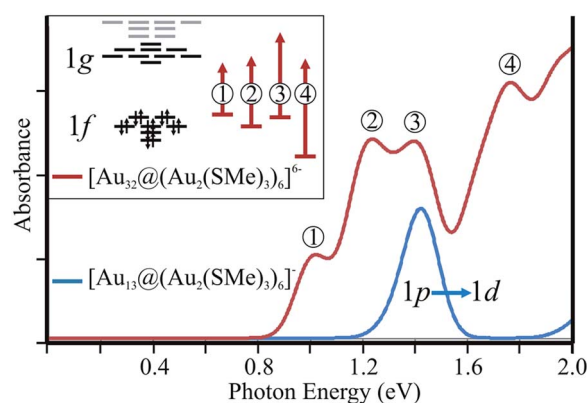


Fig. 4 Calculated low-energy absorption spectrum for  $[\text{Au}_{32}@\text{Au}_{12}(\text{SMe})_{18}]^{6-}$ , in comparison to  $[\text{Au}_{25}(\text{SMe})_{18}]^{-}$ , denoting the nature of each band.



The spin-orbit coupling effects on the electronic absorption spectra have not been studied here.

For comparison, the isoelectronic compact version of  $[\text{Au}_{13}@\text{Au}_{12}(\text{SR})_{18}]^{6-}$  cluster has been studied. Such isomer involves an endohedral  $\text{Au}_4$  moiety ( $\text{ESI}^\dagger$ ), which is located above of  $61.3 \text{ kcal mol}^{-1}$  in relation to the hollow isomer. The absorption spectrum is given on Fig. 5. In addition the calculated excitations for  $[\text{Au}_{36}(\text{SMe})_{24}]$  (ref. 14) are given, in order to account for a more compact central core as given by its FCC-type core similar to a bulk Au (111) lattice. The first few peaks of both 32-ve core clusters are observed at lower energies in relation to  $[\text{Au}_{36}(\text{SMe})_{24}]$ . Such isomers exhibit a related frontier electronic structure, resulting in a similar pattern for low energy transitions.

To investigate the nature of the electronic and magnetic properties of the interior of the hollow cluster, the magnetic response ( $B^{\text{ind}}$ ) of an external magnetic field ( $B^{\text{ext}}$ ), given by the shielding tensor ( $\sigma$ ) at the center of the cage<sup>36,37</sup> was calculated. The calculation of the magnetic shielding inside the  $\text{Au}_{32}$  cage provides information about the character and strength of the magnetically induced current density in the shell of molecular cage.<sup>20</sup> The calculated magnetic shielding in the center of the cage indicates whether the gold cluster mainly sustains diatropic or paratropic currents, corresponding to a three-dimensional aromatic or antiaromatic character of the gold cage, respectively.<sup>33</sup> For the bare  $\text{Au}_{32}$  cluster the magnetic shielding calculated at the GIAO PBE-ZORA/TZ2P level is  $-83.1 \text{ ppm}$ , which agree well with the value of  $-100 \text{ ppm}$  as previously obtained at the DFT level using the IGLO method.<sup>20</sup> For  $[\text{Au}_{32}@\text{Au}_{12}(\text{SMe})_{18}]^{6-}$ , the magnetic shielding in the center of the molecule is  $-63.3 \text{ ppm}$ , which suggests that the cluster is indeed spherical aromatic. According to the magnetic shielding calculations, the thiolate-protected  $\text{Au}_{32}$  cage is somewhat less aromatic than the unsubstituted one. The shieldings are almost independent of the employed DFT functional, since at the BP86-ZORA/TZ2P level the shieldings at the cage center of  $\text{Au}_{32}$  and  $[\text{Au}_{32}@\text{Au}_{12}(\text{SMe})_{18}]^{6-}$  are  $-83.2 \text{ ppm}$  and  $-63.4 \text{ ppm}$ , respectively.

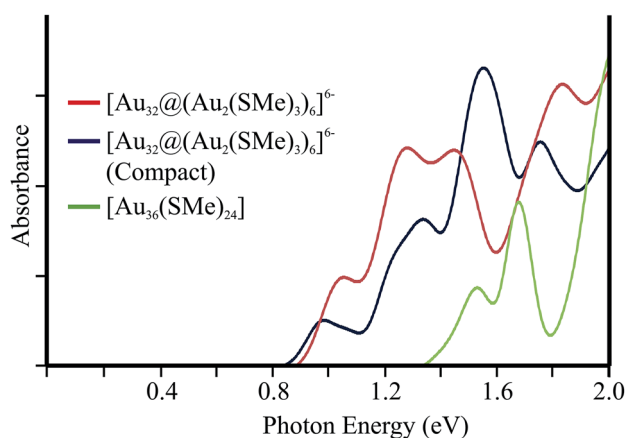


Fig. 5 Calculated low-energy absorption spectrum for hollow and compact isomers for  $[\text{Au}_{32}@\text{Au}_{12}(\text{SMe})_{18}]^{6-}$ . In addition, the absorption spectrum of  $[\text{Au}_{36}(\text{SMe})_{24}]$  is given (see text).

## Conclusions

In summary, we have computationally studied and compared molecular properties of unsubstituted  $\text{Au}_{32}$  and thiolate-protected  $[\text{Au}_{32}@\text{Au}_{12}(\text{SMe})_{18}]^{6-}$  clusters with a hollow 32-ve gold core, which can be stabilized in polar solvents. The calculated low-energy part of the electronic absorption spectrum has several peaks that can serve as fingerprint for distinguishing between hollow and filled structures of small gold nanoclusters. The absorption character of the hollow structures are dominated by  $1f \rightarrow 1g$  transitions, which significantly differs from the excitation character of other nanoclusters like  $[\text{Au}_{25}(\text{SMe})_{18}]^-$ , whose low-lying excited states are characterized by  $1p \rightarrow 1d$  transitions. The calculations show that the addition of the external thiolate protected layer does not significantly alter the molecular structure, electronic properties, and aromatic properties of the  $\text{Au}_{32}$  cage, suggesting that even larger golden fullerene structures derived from the  $\text{Au}_{42}$ ,  $\text{Au}_{72}$ , and  $\text{Au}_{92}$  cages can be stable.

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