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Side-chain conjugated polymers for use in the active layers of hybrid semiconducting polymer/quantum dot light emitting diodes†

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Three monomers, **M1–M3**, with modified carbazole cores and styrene functionality were prepared for use in the active layers of hybrid polymer/quantum dot light emitting diodes. Utilizing reversible addition fragmentation chain transfer polymerization, side-chain conjugated polymers, **P1–P3**, with narrow polydispersities and disulfide end groups were obtained. The thermal, optical, and electrochemical properties of the polymers varied depending on the substituents of the carbazole cores. Through the disulfide end groups the polymers were chemically blended with quantum dots to obtain QD/polymer hybrids, which were further used as active layers in light emitting diodes. The fabricated devices retained the superior electroluminescence properties of QDs and showed good device performance with a highest external quantum efficiency of 6.09%. Moreover, a correlation between the HOMO level of the polymer and device performance was identified.

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Introduction

Quantum dots (QDs) have been widely studied as promising emitting materials in light emitting diodes (LEDs) due to their high stability, high quantum yield, easily tunable emission wavelengths and particularly narrow emission profiles. Since the first report in 1994 by Colvin *et al.* a tremendous amount of work has been performed to understand how QD-based LEDs (QLEDs) work as well as how to improve device performance.^{1–7} In the state-of-the-art QLEDs, a thin active layer composed of QDs is sandwiched between an organic hole transport layer and an inorganic electron transport layer. Recently, the optimization of the charge carrier injection

balance in the active layer has been intensively pursued.^{8–12} Facilitated hole injection as well as suppressed electron injection were shown to lead to enhanced device performance. As commonly used QDs possess low lying valence bands, hole injection from commercially available hole transport materials (HTMs) is rather challenging due to the large energy offset between the highest occupied molecular orbital (HOMO) of HTMs and the valence band of QDs. Kwak *et al.*'s comparative study showed that utilizing HTMs with lower HOMO levels provided a smaller energy barrier for hole injection from HTMs into QDs and led to LED devices with improved performances.⁸ Additionally, Cho *et al.* showed that a reduced band offset between the QDs and the hole transport layer could be achieved by an increase of the QD valence band energy *via* the crosslinking of the QD layer.⁹ A nearly energy barrier free electron injection into QDs from the electron transport layer leads to the constant presence of an excess of electrons in the active layer (*i.e.* an electron overcharge problem). An excess of electrons leads to non-emissive exciton recombinations and thus efficiency decay. Bae *et al.* proposed a new QD structure with a modified shell which provided an energetic barrier between the emissive QD core and the electron transport layer leading to partial elimination of the excess electrons and suppression of non-emissive Auger recombination.¹⁰ Additionally, modification of device architecture has proven to be a successful strategy in addressing the problem of electron overcharge. Leck *et al.* were able to suppress the amount of injected electrons by the incorporation of a QD layer between hole

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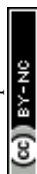
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transporting layers.¹¹ Furthermore, Dai *et al.* showed that the incorporation of an insulating polymer (polymethylmethacrylate) layer between the electron transport layer and the QDs can reduce the quantity of injected electrons thus preventing an excess of electrons.¹²

An interesting alternative to thin QD-only active layers sandwiched between electron and hole transport layers is provided by an active layer consisting of QDs distributed in a semiconducting matrix. A recent study by Bae *et al.* shows that the distribution of QDs within a semiconducting polymer matrix leads to a better charge balance within the active layer as well as to the efficient distribution of charge carriers and excitons through the active layer leading to devices with a reduced efficiency roll-off.¹³ Two-component active layers (composed of QDs and a semiconducting polymer) have previously also attracted attention as a pathway to obtain white LEDs with one emission layer.^{14–17} Fluorescent semiconducting polymers have been employed as encapsulating polymers resulting in combined QD and polymer emissions. The emission intensity of each compound could be tuned by either varying the component ratios or controlling the applied bias. However, if the amount of incorporated QDs is significantly increased, LEDs with pure QD emission can be produced.^{18–22} For the incorporation of a large amount of QDs within a polymer matrix while maintaining a homogeneous distribution of the QDs the physical blending of the two components is usually insufficient and phase separation typically occurs in physically blended films. Uniform QD distribution can be achieved by chemical blending of QDs and polymers (*i.e.* polymers grafted onto QDs).^{19,23} An effective route to the fabrication of chemically blended QD/polymer hybrids is the ligand exchange procedure.²⁴ Polymers used in the ligand exchange must possess functional groups which can coordinate to the QD's surface and replace the initial small molecule ligands. After the ligand exchange procedure, the QD surface is functionalized with polymer chains. The modified QDs may now be easily homogenized with an excess of free polymer chains preventing phase separation in films.

While many semiconducting polymers and small molecules have been intensively investigated as host materials in the active layers of fluorescent and phosphorescent organic LEDs,^{25–27} only a few polymers have been tested as components of an active layer in hybrid semiconducting polymer/QD-based LEDs.^{14–16,18,20,22,28,29} In order to address the issue of charge injection balance, polymers with high lying lowest unoccupied molecular orbitals (LUMOs) and low lying HOMOs should be developed. High LUMO levels are important for reducing electron injection into QDs (*i.e.* electron overcharge problem), while low HOMOs and hole conducting ability should enable sufficient hole injection into QDs. Side-chain conjugated polymers are promising candidates to fulfill the above mentioned criteria and have been previously successfully investigated as host materials in organic LEDs.^{30–34}

Herein, we report the synthesis of three side chain conjugated polymers which are intended for use in the active layers of hybrid polymer/QD LEDs. The three polymers are com-

prised of a polystyrene backbone, carbazole-based electroactive side chains and a disulfide end group. Depending on the substituents of the carbazole functionality the synthesized polymers exhibit different thermal, optical and electrochemical properties. The disulfide endgroup enables the binding of the polymer chains onto a QD surface during the QD/polymer hybrid synthesis. The obtained QD/polymer hybrids were then studied as the active layers in LEDs.

Experimental

Materials and methods

Solvents used in the reactions were purchased from Sigma Aldrich and used without further purification unless stated otherwise. Dry DMF was purchased from Acros Organics (99.8% extra). THF for polymerization reactions was dried over Na prior to use. Solvents used in column chromatography had technical grade purity and were additionally purified by distillation. Chemicals were purchased from Sigma Aldrich, Acros Organics, Alfa Aesar, or Fluka. 2,2'-Azobis(2-methylpropionitrile) (AIBN) was purchased from Acros Organics, recrystallized from diethylether and stored at $-20\text{ }^{\circ}\text{C}$. S-1-Dodecyl-S'-(α,α' -dimethyl- α'' -pentafluorophenyl acetate)trithiocarbonate, cysteamine methyl disulfide and quantum dots with a CdSe core, a $\text{Cd}_x\text{Zn}_{1-x}\text{S}$ shell (core diameter 4 nm, total diameter 16 nm with oleic acid surface ligands) were synthesized according to the literature.^{28,35,36}

All NMR spectra were recorded in CDCl_3 (Deutero GmbH) with a Bruker AV 400 or Bruker AC 300 spectrometer at room temperature. Chemical shifts are reported with respect to the residual solvent signal as internal standards CDCl_3 (^1H , ^{13}C): 7.26 ppm and 77.16 ppm. Polymer molecular weight was determined by gel permeation chromatography (GPC) in THF with polystyrene as the external and toluene as the internal standard. UV-VIS spectra were recorded on a Varian Cary 5000 spectrometer. Emission spectra were recorded on a Varian Cary Eclipse spectrometer. DSC measurements were performed with Perkin Elmer DSC 8500 under a nitrogen flow at a $10\text{ }^{\circ}\text{C min}^{-1}$ heating/cooling rate with three heating cycles ($50\text{ }^{\circ}\text{C}$ – $250\text{ }^{\circ}\text{C}$) and two cooling cycles from ($250\text{ }^{\circ}\text{C}$ – $50\text{ }^{\circ}\text{C}$). TGA measurements were performed with Perkin Elmer Pyris 6 TGA under a nitrogen flow at a $10\text{ }^{\circ}\text{C min}^{-1}$ heating rate from $30\text{ }^{\circ}\text{C}$ until $800\text{ }^{\circ}\text{C}$. Cyclic voltammetry experiments were performed with a Biologic SP-50 voltammetric analyzer using platinum wire working and counter electrodes and a 0.01 M Ag/AgNO_3 reference electrode with a scan rate of 100 mV s^{-1} with [$^n\text{Bu}_4\text{N}$] [PF_6] as the supporting electrolyte in dichloromethane.

Monomer synthesis

Synthesis of 9-(4-methoxyphenyl)carbazole **1**. Carbazole (1.00 g, 5.98 mmol), 4-iodoanisole (1.68 g, 7.18 mmol), pulverized copper (1.52 g, 23.92 mmol), potassium carbonate (6.61 g, 47.84 mmol) and 18-crown-6 ether (0.32 g, 1.20 mmol) were added to a Schlenk flask under a nitrogen atmosphere. *ortho*-Dichlorobenzene (30 mL) was added and the reaction mixture was heated to $200\text{ }^{\circ}\text{C}$ for 18 hours. The hot mixture was then



vacuum filtered. The filtrate was distilled *in vacuo* (20 mbar, 70 °C) to remove the solvent. The residue was purified *via* column chromatography (eluent hexanes/ethyl acetate 9:1) to yield 9-(4-methoxyphenyl)carbazole as a colorless powder (1.20 g, 4.39 mmol, 73%). ¹H-NMR (300 MHz, CDCl₃): δ/ppm 8.16 (dt, *J* = 7.7, 1.0 Hz, 2H), 7.51–7.27 (m, 8H), 7.16–7.08 (m, 2H), 3.93 (s, 3H). ¹³C NMR (75.4 MHz, CDCl₃) δ/ppm 158.97, 141.48, 130.42, 128.70, 125.96, 123.21, 120.38, 119.76, 115.18, 109.82, 55.74. HRMS (ESI+) *m/z* calcd 274.1232 [M + H] found 274.1226.

Synthesis of 3,6-dibromo-9-(4-methoxyphenyl)carbazole 2. 9-(4-Methoxyphenyl)carbazole (1.00 g, 3.66 mmol) was dissolved in dry DMF (30 mL) in a Schlenk flask under a nitrogen atmosphere. Recrystallized *N*-bromosuccinimide (2.61 g, 14.64 mmol) was dissolved in a separate Schlenk flask in dry DMF (30 mL) under a nitrogen atmosphere. Both solutions were cooled in an ice bath for 10 minutes and the NBS solution was slowly added to the 9-(4-methoxyphenyl)carbazole solution. The mixture was left under stirring at room temperature for 48 hours after which it was poured onto an ice/water mixture leading to the precipitation of 3,6-dibromo-9-(4-methoxyphenyl)carbazole. The obtained 3,6-dibromo-9-(4-methoxyphenyl)carbazole was collected *via* vacuum filtration, washed several times with cold acetone and dried under vacuum for 24 hours (1.48 g, 3.43 mmol, 94%). ¹H-NMR (300 MHz, CDCl₃): δ/ppm 8.19 (dd, *J* = 2.0, 0.6 Hz, 2H), 7.49 (dd, *J* = 8.7, 2.0 Hz, 2H), 7.41–7.33 (m, 2H), 7.17 (dd, *J* = 8.7, 0.6 Hz, 2H), 7.14–7.08 (m, 2H), 3.92 (s, 3H). ¹³C NMR (75.4 MHz, CDCl₃) δ/ppm 159.42, 140.46, 129.43, 129.39, 128.53, 123.81, 123.28, 115.40, 112.93, 111.59, 55.78. HRMS (ESI+) *m/z* calcd 428.9364 found 428.9345.

Synthesis of 3,6-bis(4-methylphenyl)-9-(4-methoxyphenyl)carbazole 3. 3,6-Dibromo-9-(4-methoxyphenyl)carbazole (1.21 g, 2.81 mmol), *p*-tolylboronic acid (985 mg, 7.24 mmol) and tetrakis(triphenylphosphine)palladium(0) (162 mg, 0.14 mmol) were added to a Schlenk flask. Toluene (35 mL) was added to the mixture, followed by the addition of a 2 M aqueous sodium carbonate solution (8.5 mL) and ethanol (4.25 mL). The biphasic mixture was freeze–pump–thawed (3×) and left under a nitrogen atmosphere. A condenser was attached and the mixture was heated at 90 °C for 20 hours under a nitrogen atmosphere. The mixture was then cooled to room temperature and the organic phase was separated from the aqueous. The aqueous phase was extracted twice with ethyl acetate and the combined organic phases were washed with water and brine. After drying with magnesium sulfate the solvents were removed by rotary evaporation. The residue was purified *via* column chromatography (eluent hexanes/dichloromethane) to yield 3,6-bis(4-methylphenyl)-9-(4-methoxyphenyl)carbazole (820 mg, 1.81 mmol, 64%). ¹H-NMR (300 MHz, CDCl₃): δ/ppm 8.38 (dd, *J* = 1.8, 0.6 Hz, 2H), 7.71–7.59 (m, 6H), 7.55–7.46 (m, 2H), 7.39 (dd, *J* = 8.5, 0.6 Hz, 2H), 7.34–7.27 (m, 4H), 7.19–7.10 (m, 2H), 3.94 (s, 3H), 2.43 (s, 6H). ¹³C NMR (75.4 MHz, CDCl₃) δ/ppm 159.02, 141.25, 139.25, 136.35, 133.41, 130.40, 129.64, 128.55, 127.29, 125.57, 123.89, 118.72, 115.25, 110.14, 110.11, 55.76, 21.24. HRMS (ESI+) *m/z* calcd 453.2092 found 453.2103.

Synthesis of 3,6-bis(4-methylphenyl)-9-(4-hydroxyphenyl)carbazole 4. 3,6-Bis(4-methylphenyl)-9-(4-methoxyphenyl)carbazole (490 mg, 1.08 mmol) was dissolved in dry dichloromethane (12 mL) and the solution was cooled in an acetone/dry ice bath. Boron tribromide solution (1.5 mL, 1.51 mmol, 1 M in dichloromethane) was added dropwise, and the solution was slowly warmed after 30 minutes and stirred for 22 hours at room temperature. Then water (2 mL) was added and the mixture was stirred for additional 30 minutes. The solution was washed twice with water and once with brine and dried with magnesium sulfate. After the removal of dichloromethane by rotary evaporation 3,6-bis(4-methylphenyl)-9-(4-hydroxyphenyl)carbazole was obtained as a grey powder and was used in the next step without further purification (458 mg, 1.04 mmol, 96%). ¹H-NMR (400 MHz, CDCl₃): δ/ppm 8.37 (dd, *J* = 1.8, 0.6 Hz, 2H), 7.70–7.59 (m, 6H), 7.48–7.43 (m, 2H), 7.41–7.36 (m, 2H), 7.10–7.04 (m, 2H), 2.43 (s, 6H). ¹³C NMR (100.6 MHz, CDCl₃) δ/ppm 155.07, 141.24, 139.25, 136.38, 133.47, 130.66, 129.65, 128.82, 127.30, 125.60, 123.92, 118.74, 116.78, 110.12, 21.24.

Synthesis of monomer M1. 3,6-Bis(4-methylphenyl)-9-(4-hydroxyphenyl)carbazole (770 mg, 1.75 mmol), 4-vinylbenzyl chloride (0.41 mL, 446 mg, 2.92 mmol), potassium carbonate (1.21 g, 8.76 mmol) and a catalytic amount of potassium iodide were added to a flask. A mixture of acetonitrile (12 mL) and DMF (24 mL) was added. The mixture was heated at 60 °C for 3 hours. The mixture was then cooled to room temperature and poured into an ice/water mixture (250 g). After the ice melted dichloromethane (250 mL) was added to the mixture to extract the organic compounds. The aqueous phase was extracted with dichloromethane two more times and the combined organic phases were washed with brine and dried with magnesium sulfate. Dichloromethane was removed by rotary evaporation and the residue was purified *via* column chromatography (eluent hexanes/dichloromethane) to yield monomer **M1** (795 mg, 1.44 mmol, 82%). ¹H-NMR (400 MHz, CDCl₃): δ/ppm 8.37 (dd, *J* = 1.8, 0.6 Hz, 2H), 7.69–7.60 (m, 6H), 7.53–7.45 (m, 6H), 7.39 (d, *J* = 8.5 Hz, 2H), 7.35–7.27 (m, 4H), 7.24–7.17 (m, 2H), 6.77 (dd, *J* = 17.6, 10.9 Hz, 1H), 5.81 (dd, *J* = 17.6, 0.9 Hz, 1H), 5.30 (dd, *J* = 10.9, 0.8 Hz, 1H), 5.17 (s, 2H), 2.43 (s, 6H). ¹³C NMR (100.6 MHz, CDCl₃) δ/ppm 158.16, 141.19, 139.24, 137.67, 136.53, 136.35, 136.31, 133.43, 130.65, 129.65, 128.53, 127.91, 127.28, 126.67, 125.57, 123.91, 118.71, 116.17, 114.43, 110.15, 70.29, 21.24. HRMS (ESI+) *m/z* calcd 555.2562 found 555.2558.

Synthesis of monomer M2. Carbazole (8.5 g, 0.051 mol), potassium hydroxide (4.28 g, 0.076 mol) and DMF (100 mL) were added to a flask and stirred at room temperature for two hours. Then 4-vinylbenzyl chloride (7.9 mL, 8.53 g, 0.056 mol) was added and the ice bath was removed. The mixture was stirred at room temperature for 24 hours and then was poured into an ice/water mixture (400 g) and a white precipitate formed. After the ice melted the precipitate was filtered and washed with methanol and hexanes. The residue was then recrystallized from acetone to yield monomer **M2** as colorless needles (12.55 g, 0.044 mol, 87%). ¹H-NMR (400 MHz, CDCl₃):



δ /ppm 8.15 (dt, $J = 7.8, 1.0$ Hz, 2H), 7.46–7.42 (m, 2H), 7.37 (dt, $J = 8.2, 0.9$ Hz, 2H), 7.33–7.23 (m, 4H), 7.11 (d, $J = 8.0$ Hz, 2H), 6.66 (dd, $J = 17.6, 10.9$ Hz, 1H), 5.69 (dd, $J = 17.6, 1.0$ Hz, 1H), 5.21 (dd, $J = 10.9, 0.9$ Hz, 1H). ^{13}C NMR (100.6 MHz, CDCl_3) δ /ppm 140.76, 137.01, 136.84, 136.41, 126.75, 126.73, 125.99, 123.17, 120.53, 119.36, 114.06, 109.01, 46.49. HRMS (ESI+) m/z calcd 284.1439 [M + H] found 284.1466.

Synthesis of formyl-4,4'-bis(N-carbazolyl)-1,1'-biphenyl (formyl-CBP) 5. 4,4'-Bis(N-carbazolyl)-1,1'-biphenyl (1.25 g, 2.60 mmol) was dissolved in dry dichloromethane (100 mL) in a Schlenk flask under a nitrogen atmosphere. The solution was cooled in an ice bath and tin(IV) chloride solution (3.9 mL, 1 M in dichloromethane) was added slowly. After 25 minutes dichloromethyl methyl ether (0.35 mL, 0.45 g, 0.0039 mmol) was added dropwise. After 2 hours the ice bath was removed and the mixture was stirred at room temperature for three additional hours. Then the mixture was poured onto ice (160 g). After the ice melted the phases were separated and the organic phase was extracted once with water and three times with saturated aqueous NaHCO_3 solution. NaHCO_3 was added to the aqueous phase and the neutralized aqueous phase was extracted twice with dichloromethane. The combined organic phases were washed with water and brine and dried with MgSO_4 . The solvent was removed by rotary evaporation and the residue was purified by column chromatography (eluent hexanes/ethyl acetate) to yield formyl-CBP (693 mg, 1.35 mmol, 52%). $^1\text{H-NMR}$ (400 MHz, CDCl_3): δ /ppm 10.15 (s, 1H), 8.71 (dd, $J = 1.6, 0.7$ Hz, 1H), 8.25 (dt, $J = 7.8, 1.1$ Hz, 1H), 8.19 (dt, $J = 7.7, 1.0$ Hz, 2H), 8.03–7.90 (m, 5H), 7.76–7.67 (m, 4H), 7.58–7.39 (m, 8H), 7.40–7.31 (m, 2H). ^{13}C NMR (100.6 MHz, CDCl_3) δ /ppm 191.89, 144.58, 141.94, 140.90, 140.41, 140.10, 139.10, 137.64, 136.56, 136.30, 129.76, 129.71, 128.98, 128.91, 128.72, 127.80, 127.74, 127.67, 127.24, 126.18, 124.06, 123.87, 123.66, 123.50, 121.55, 121.50, 120.90, 120.55, 120.28, 110.61, 110.33, 109.93. HRMS (ESI+) m/z calcd 513.1967 [M + H] found 513.1948.

Synthesis of acrylic acid-4,4'-bis(N-carbazolyl)-1,1'-biphenyl (acrylic acid-CBP) 6. Compound 5 (1.05 g, 2.05 mmol) and malonic acid (639 mg, 6.15 mmol) were suspended in dry pyridine (100 mL) and piperidine (cat. 20 drops) was added dropwise. The reaction mixture was stirred at 100 °C for 19 hours. Upon heating all components dissolved and a clear reaction solution was obtained. The reaction solution was allowed to cool down to room temperature and slowly added to 600 mL of 3 M HCl. The aqueous phase was repeatedly extracted with dichloromethane and the combined organic phases were washed twice with water and twice with brine solution. The solvent was removed by rotary evaporation and the residue was purified by column chromatography (eluent dichloromethane/acetone) to yield compound 6 (900 mg, 1.62 mmol, 79%). $^1\text{H-NMR}$ (400 MHz, CDCl_3): δ /ppm 8.37 (d, $J = 1.6$ Hz, 1H), 8.18–8.22 (m, 3H), 8.05 (d, $J = 15.8$ Hz, 1H), 7.97–7.90 (m, 4H), 7.77–7.66 (m, 5H), 7.58–7.30 (m, 10H), 6.55 (d, $J = 15.9$ Hz, 1H). ^{13}C NMR (100.6 MHz, CDCl_3) δ /ppm 172.44, 148.17, 142.41, 141.60, 140.92, 139.99, 139.22, 137.54, 136.69, 128.81, 128.69, 127.65, 127.59, 126.89, 126.52, 126.46, 126.17, 124.11,

123.65, 123.38, 121.65, 121.04, 120.73, 120.54, 120.26, 114.52, 110.51, 110.40, 109.95. HRMS (ESI+) m/z calcd 554.1994 [M + H] found 554.2001.

Synthesis of propanoic acid-4,4'-bis(N-carbazolyl)-1,1'-biphenyl (propanoic acid-CBP) 7. Compound 6 (817 mg, 1.47 mmol) was dissolved in dry THF in a Schlenk flask and palladium on charcoal (82 mg, 10 wt% Pd) was added. The reaction mixture was purged with hydrogen and a balloon filled with hydrogen was attached to the flask *via* the stopcock. The reaction mixture was shaken at room temperature for 24 hours. The black residue was filtered off and the solvent was removed by rotary evaporation. Compound 7 was used in the next step without further purification. $^1\text{H-NMR}$ (400 MHz, CDCl_3): δ /ppm 8.20–8.15 (m, 3H), 8.05–8.00 (m, 1H), 7.95–7.88 (m, 4H), 7.75–7.67 (m, 4H), 7.55–7.42 (m, 7H), 7.37–7.29 (m, 4H), 3.20 (t, $J = 7.8$ Hz, 2H), 2.82 (t, $J = 7.8$ Hz, 2H). ^{13}C NMR (100.6 MHz, CDCl_3) δ /ppm 178.02, 141.19, 140.94, 139.73, 139.41, 139.33, 137.43, 137.37, 132.56, 132.18, 130.21, 129.06, 128.64, 128.41, 127.61, 127.49, 126.65, 126.19, 126.16, 123.86, 123.63, 123.44, 120.52, 120.50, 120.21, 120.18, 119.93, 110.12, 110.04, 109.99, 109.96, 68.11, 36.50, 30.95, 25.75. HRMS (ESI+) m/z calcd 579.2049 [M + Na] found 579.2057.

Synthesis of propanol-4,4'-bis(N-carbazolyl)-1,1'-biphenyl (propanol-CBP) 8. Compound 7 (780 mg, 1.40 mmol) dissolved in THF (50 mL) was slowly added to a LiAlH_4 (53.2 g, 1.4 mmol) suspension in THF (20 mL). The reaction mixture was stirred at room temperature for four hours. The reaction was quenched with ethanol. Afterward 110 mL of 10% H_2SO_4 solution was added and the mixture was repeatedly extracted with diethyl ether. The combined organic phases were washed with water and brine and dried over MgSO_4 . The solvent was removed by rotary evaporation and the residue was purified by column chromatography (eluent dichloromethane/acetone) to yield compound 8 (580 mg, 1.12 mmol, 76% (two steps)) $^1\text{H-NMR}$ (400 MHz, CDCl_3): δ /ppm 8.19 (d, $J = 7.7$ Hz, 2H), 8.16 (d, $J = 7.7$ Hz, 1H), 8.01 (dd, $J = 1.7, 0.7$ Hz, 1H), 7.93–7.90 (m, 4H), 7.75–7.68 (m, 4H), 7.56–7.41 (m, 7H), 7.37–7.28 (m, 4H), 3.76 (t, $J = 6.4$ Hz, 2H), 2.94 (t, $J = 7.6$ Hz, 2H), 2.10–1.96 (m, 2H). ^{13}C NMR (100.6 MHz, CDCl_3) δ /ppm 141.15, 140.95, 139.52, 139.44, 139.26, 137.53, 137.35, 133.74, 128.63, 127.62, 127.48, 126.86, 126.16, 126.09, 123.81, 123.63, 123.50, 120.52, 120.46, 120.21, 120.10, 119.94, 109.96, 109.88, 62.53, 35.17, 32.26. HRMS (ESI+) m/z calcd 542.2358 found 542.2379.

Synthesis of monomer M3. Compound 8 (300 mg, 0.55 mmol), NaH (60% in oil, 26.5 mg, 1.11 mmol) and KI (cat.) were added to dry THF (50 mL)/DMF (5 mL). 4-Vinylbenzyl chloride (90%, 0.13 mL, 126 mg, 0.83 mmol) was added and the reaction mixture was stirred at 50 °C for 24 hours. After addition of DCM (100 mL) and water (100 mL) the organic phase was separated and washed with water and brine. The solvent was removed by rotary evaporation and the residue was purified by column chromatography (eluent hexanes/dichloromethane) to yield monomer M3 as a colorless powder (295 mg, 0.45 mmol, 83%). $^1\text{H-NMR}$ (400 MHz, CDCl_3): δ /ppm 8.19 (d, $J = 7.7$, 2H), 8.14 (d, $J = 7.8$, 1H), 7.98 (d, $J = 1.6$ Hz, 1H), 7.95–7.89 (m, 4H), 7.75–7.68 (m, 4H), 7.56–7.27 (m, 16H),



6.73 (dd, $J = 17.6, 10.9$ Hz, 1H), 5.76 (dd, $J = 17.6, 1.0$ Hz, 1H), 5.25 (dd, $J = 10.9, 0.9$ Hz, 1H), 3.56 (t, $J = 6.3$ Hz, 2H), 2.94 (t, $J = 7.6$ Hz, 2H), 2.18–1.99 (m, 2H). ^{13}C NMR (100.6 MHz, CDCl_3) δ /ppm 141.11, 140.94, 139.45, 139.21, 138.40, 137.56, 137.34, 137.05, 136.72, 133.93, 128.63, 128.61, 128.06, 127.61, 127.46, 126.96, 126.38, 126.15, 126.02, 123.74, 123.62, 123.55, 120.52, 120.45, 120.21, 120.07, 120.00, 113.87, 109.97, 109.93, 109.77, 72.84, 69.66, 32.54, 32.32. HRMS (ESI+) m/z calcd 658.2984 found 658.2972.

General procedure for the RAFT polymerization of polymers P1–P3. Appropriate amounts of monomer (**M1–M3**), chain transfer agent (CTA) *S*-1-dodecyl-*S'*-(α, α' -dimethyl- α'' -pentafluorophenyl acetate)trithiocarbonate and initiator 2,2'-azobis(2-methylpropionitrile) (AIBN) were dissolved in dry THF and degassed *via* three freeze–pump–thaw cycles. At the end the flask was filled with nitrogen and sealed. Afterward the polymerization solution was immersed into a preheated oil bath and left to react at 65 °C for 48 hours. The reaction solution was rapidly cooled down by immersing the flask into liquid nitrogen for several seconds. The formed polymer and the excess monomer were first precipitated into hexanes. For purification the polymer was repeatedly redissolved in THF and precipitates into suitable solvents. The remaining solutions containing an excess of the monomer were collected and the unreacted monomer was recovered for use in future reactions. The collected polymer was dried at 30 °C under vacuum for 24 hours.

Polymer P1. Monomer **M1** (680 mg, 1.22 mmol), CTA (10.8 mg, 0.020 mmol), AIBN (0.44 mg, 0.0029 mmol), THF (4.5 mL). Solvent for purification: methanol/diethyl ether 2/1. 380 mg polymer as a light yellow powder (51%). $M_n = 10\,900$ g mol $^{-1}$, PDI 1.1

Polymer P2. Monomer **M2** (1.0 g, 3.53 mmol), CTA (30.4 mg, 0.058 mmol), AIBN (1.38 mg, 0.0084 mmol), THF (10 mL). Solvent for purification: hexanes/acetone 4/1. 480 mg of polymer as a light yellow powder (48%). $M_n = 5300$ g mol $^{-1}$, PDI 1.2.

Polymer P3. Monomer **M3** (250 mg, 0.379 mmol), CTA (3.36 mg, 0.0062 mmol), AIBN (0.148 mg, 0.0009 mmol), THF (2 mL). Solvent for purification: methanol/acetone 2/1 and hexanes/acetone 3/1. 110 mg of polymer as a light yellow powder (44%). $M_n = 11\,600$ g mol $^{-1}$, PDI 1.1.

General procedure for the CTA-end group removal. The polymer and 70 eq. of AIBN were dissolved in dry THF and stirred at 75 °C for 24 hours. The reaction solution was cooled down and repeatedly precipitated into hexanes/diethyl ether 3/1. The polymer was obtained as a colorless powder and dried at 30 °C under vacuum for 24 hours.

General procedure for the post polymerization modification of pentafluorophenyl ester with cysteamine methyl disulfide. The polymer, 20 eq. of cysteamine methyl disulfide and 40 eq. of triethylamine were dissolved in dry THF and stirred for 24 hours at 30 °C. The reaction solution was repeatedly precipitated into methanol and hexanes. The polymer was obtained as a colorless powder and dried at 30 °C under vacuum for 24 hours.

General procedure for QD/polymer hybrid synthesis by ligand exchange. The polymer (5.2 mg) and quantum dots (QD, red, CdSe core, core diameter 4 nm, CdZnS shell, total diameter 16 nm, oleic acid ligands, 5.2 mg) were separately dissolved in toluene (100 μL) and subsequently combined. The reaction mixture was sonicated for one hour and ethanol (1.5 mL) was added. The formed sediment was dispersed in toluene (250 μL), sonicated for one additional hour and left at room temperature for 18 hours. Afterwards ethanol (1.5 mL) was added and the formed sediment was again dispersed in toluene and sonicated for one hour. After addition of ethanol the sediment was dried and dispersed in toluene (600 μL) to obtain a QD/polymer hybrid solution (1 wt% polymer, 1 wt% QDs).

Device fabrication and characterization. QLEDs were fabricated on a patterned ITO glass substrate. The substrate was cleaned with acetone, isopropanol, and deionized water, sequentially. First a transparent ZnO nanoparticle solution (25 mg mL $^{-1}$ in ethanol) was spun-cast on the ITO substrate at 2000 rpm for 40 s and dried under N_2 at 100 °C for 30 min. With dispersion in toluene (QD@polymer hybrid solution, 1 wt% polymer, 1 wt% QDs), the emission layers of hybrid QD/polymer layers were similarly cast from the solution on top of ZnO/ITO. CBP, MoO $_3$, and Al were thermally evaporated at a deposition rate of 0.5–1 \AA s^{-1} for CBP, 0.3 \AA s^{-1} for MoO $_3$, and 4–5 \AA s^{-1} for the Al electrode. The current–voltage–luminance (*I–V–L*) characteristics of the devices were measured with a Keithley-236 source-measure unit, a Keithley-2000 multimeter unit, and a calibrated Si photodiode (Hamamatsu S5227-1010BQ). The EL spectra of the device were obtained by using a spectroradiometer (CS-1000A).

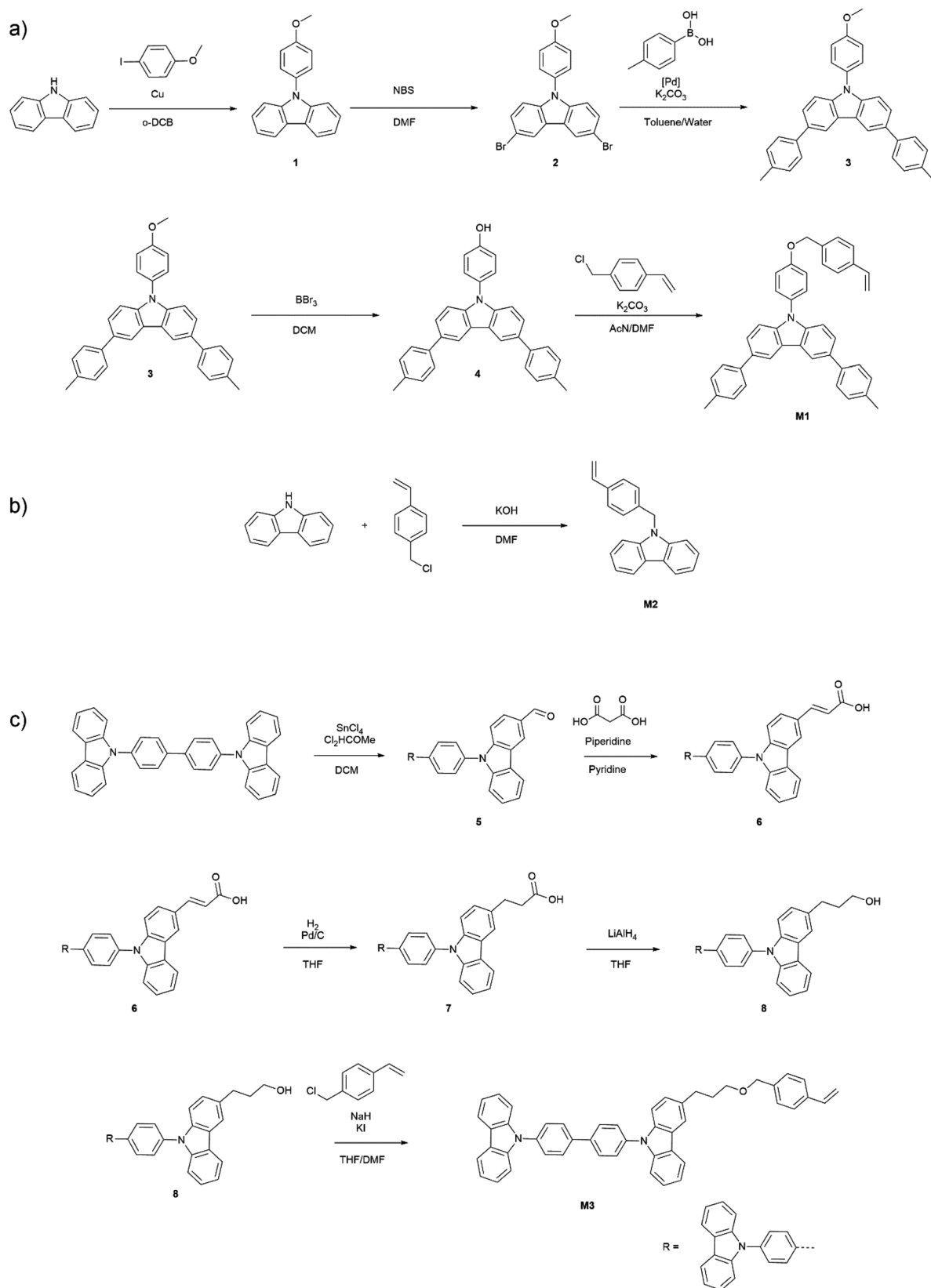
Results and discussion

The performances of hybrid semiconducting polymer/QD LED devices in previous studies have been found to be notably below the efficiencies of the contemporary OLEDs.^{12,28} The low performance of hybrid LEDs has been attributed to impeded hole injection from the polymer into QDs due to the large energy offset between the HOMO of the polymer and the valence band of the QDs. This makes the carbazole core a promising choice for the electroactive unit as it may provide polymers with relatively low HOMO levels and therefore a minimized hole injection barrier.

Monomer synthesis

Scheme 1 illustrates the synthetic routes to carbazole-based monomers **M1–M3**. As mentioned above, a carbazole unit has been chosen as the core element of all three monomers due to the relatively low HOMO level as well as the widely known hole conducting ability of carbazole-based small molecules and polymers.^{32,33,37–39} Moreover, by the introduction of different aliphatic and/or aromatic substituents into the carbazole core the electrochemical properties of the final products can be easily tuned. A styrene group was chosen as the precursor for





Scheme 1 Synthesis of (a) M1, (b) M2 and (c) M3 monomers.

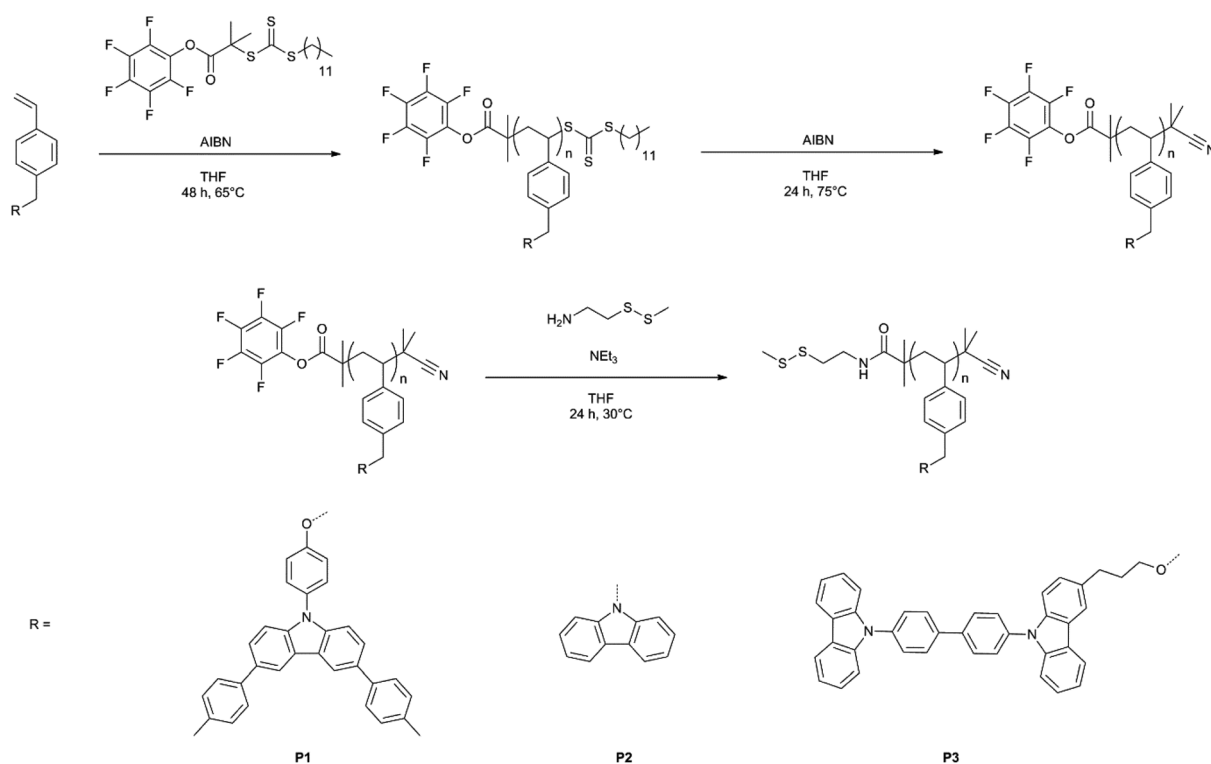


the chemically and electrochemically inert polystyrene backbone of the resulting polymers. The monomer **M1** was synthesized in five steps starting with a copper catalyzed Ullmann coupling reaction between carbazole and 4-iodoanisole in *o*-dichlorobenzene which led to the formation of 9-(4-methoxyphenyl)carbazole **1**. Following this the bromination of **1** with 4 eq. of *N*-bromosuccinimide in DMF quantitatively produced 3,6-dibromo-9-(4-methoxyphenyl)carbazole, **2**, which was precipitated into water and used in the next step without any further purification. 3,6-Bis(4-methylphenyl)-9-(4-methoxyphenyl)carbazole **3** was synthesized from **2** and *p*-tolylboronic acid using palladium-catalyzed Suzuki coupling with Pd(PPh₃)₄ in a toluene/2 M aqueous Na₂CO₃ solution/ethanol mixture. The subsequent highly efficient deprotection of the hydroxyl group with boron tribromide in dry dichloromethane was followed by a nucleophilic substitution reaction between 3,6-bis(4-methylphenyl)-9-(4-hydroxyphenyl)carbazole **4** and 4-vinylbenzyl chloride in an acetonitrile/DMF mixture to yield monomer **M1** as a colorless crystalline powder. The introduction of aromatic rings in the 3, 6 and 9 positions of the carbazole unit was undertaken to expand the size of the conjugated system and to increase the solubility of the monomer and thus the future polymer. Additionally, the methyl groups in the *para* positions of phenyl rings prevent irreversible oxidation under electrolytic conditions.^{39,40} Monomer **M2** was synthesized in one step by a nucleophilic substitution reaction between carbazole and 4-vinylbenzyl chloride in DMF and subsequently was recrystallized from acetone to yield highly crystalline colorless needles.

The synthesis of the monomer **M3** was carried out in five steps. The mono-formylation of 4,4'-bis(*N*-carbazolyl)-1,1'-biphenyl (CBP) was carried out with tin(IV) chloride and dichloromethyl methyl ether in dry dichloromethane, followed by an aqueous work-up. The Knoevenagel-Doebner condensation of **5** with malonic acid in pyridine resulted in the formation of the α,β -unsaturated carboxylic acid derivative **6**. In order to reconstitute the π -system of the CBP unit, the hydrogenation of the side chain double bond was performed in dry THF with palladium on charcoal producing compound **7**. Subsequent reduction of the acid group with LiAlH₄ led to the formation of hydroxypropyl-CBP **8**. Finally, the nucleophilic substitution reaction between **8** and 4-vinylbenzyl chloride gave the monomer **M3** as a colorless crystalline solid. The incorporated alkyl spacer between the styrene backbone unit and the CBP functionality facilitates the easy accessibility of vinyl double bonds during polymerization and improves monomer solubility.

Polymer synthesis

Reversible addition-fragmentation chain transfer (RAFT) radical polymerization was employed for the synthesis of the polymers **P1-P3** (Scheme 2). *S*-1-Dodecyl-*S'*-(α,α' -dimethyl- α'' -pentafluorophenyl acetate)trithiocarbonate was chosen as the RAFT chain transfer agent because trithiocarbonates have previously been reported as efficient agents in polymerization of bulky styrene derivatives.³⁵ Moreover, the reactive pentafluorophenyl ester group, which can be later replaced with other



Scheme 2 RAFT polymerization and post polymerization modification reactions of **P1-P3**.



Table 1 Summary of the synthesized polymers and their properties

Polymer	M_n^a (D)	PDI ^a	P_n^a	T_{d5}^b (°C)	T_g^c (°C)	HOMO ^d (eV)	LUMO ^e (eV)	Gap ^f (eV)
P1	10 900	1.1	20	400	190	5.52	2.24	3.28
P2	5300	1.2	19	380	155	5.78	2.32	3.46
P3	11 600	1.1	18	390	160	5.82	2.45	3.37

^a Obtained by GPC measurements in THF with polystyrene as the standard. ^b Decomposition temperature at 5% weight loss. ^c Glass transition temperature calculated with software fit. ^d Values obtained from UPS measurements. ^e Calculated as HOMO minus optical band gap. ^f Optical band gap.

desired functionalities, was incorporated at the beginning of each polymer chain. To facilitate a simplified comparison of the properties of our produced QD/polymer hybrids and devices, the three polymers should have a similar number of electrochemically active groups (*i.e.* repeat units). Additionally, relatively short chain lengths have to be chosen to ensure the good solubility of the polymers in toluene, which is a necessary precondition during the device fabrication. Therefore, the polymerization conditions were optimized for each polymer to yield polymers with narrow molecular weight distributions and a chain length of approximately 20 repeat units (Table 1). Relevant ratios of monomer, RAFT agent, and initiator (2,2'-azobis(2-methylpropionitrile) (AIBN)) were dissolved in dry THF and degassed *via* three freeze-pump-thaw cycles. The polymerization was carried out under a nitrogen atmosphere at 65 °C, in a sealed Schlenk flask for 48 hours. Afterwards, the reaction solutions were cooled down to room temperature and the polymers were precipitated into hexanes. For purification, the polymers were repeatedly redissolved in THF and precipitated into suitable solvents. In the next step the reactive trithiocarbonate polymer end group was replaced with an inert 2-cyanoisopropyl functionality by reaction with an excess of AIBN in THF. Finally, the reaction of cysteamine methyl disulfide with pentafluorophenyl ester in THF at 30 °C for 24 hours led to the quantitative replacement of the pentafluorophenyl groups with cysteamine methyl disulfide and the substitution was confirmed by the disappearance of the pentafluorophenyl peaks in ¹⁹F NMR spectroscopy (Fig. S15†) and the presence of a C–N band in IR spectroscopy (Fig. S16†). The polymers were further characterized by gel permeation chromatography (GPC) and ¹H NMR. Molecular weight and polydispersity values of the polymers are summarized in Table 1. The incorporated disulfide moieties enable polymers to graft onto the CdSe/CdZnS QD surface due to the preferred affinity of disulfides to the unsaturated Zn-centers.^{13,28,41} The polymers with disulfide functionalities can now participate in the ligand exchange procedure, replacing the weakly bound initial small molecule ligands (*e.g.* oleic acid) on the surface of QDs and chemically grafting on the surface *via* disulfide groups. The introduction of the anchor groups is a necessary step in the synthesis of the polymers which are utilized in hybrid polymer/QD systems as polymer grafting onto the QD surface is required for the uniform dispersion of QDs within polymer matrices.^{19,23}

Thermal properties

The thermal properties of the polymers prepared in the present study were characterized using thermo-gravimetric analysis (TGA) and differential scanning calorimetry (DSC). All three polymers **P1**, **P2** and **P3** possess high thermal stability with decomposition temperatures T_{d5} (the temperature at which 5% of weight loss occurs) above 380 °C with the highest thermal stability shown by **P1** with T_{d5} at 400 °C (Table 1). **P1** also exhibits the highest glass transition temperature at 190 °C along with 155 °C and 160 °C for **P2** and **P3** respectively. We note that these T_g values are higher than the glass transition temperatures of hole transport layer materials based on carbazole-based small molecules.^{42,43}

Optical properties

The normalized solution and fluorescence spectra of the polymers are shown in Fig. 1. The solution absorption spectrum of **P1** in toluene exhibits a broad absorption with a maximum centered at 295 nm along with a weak shoulder with the absorption onset at 378 nm. Upon excitation of the **P1** solution at 315 nm a photoluminescence (PL) spectrum with the maximum at 397 nm is observed. The absorption spectrum of **P2** is consistent with previous reports of polymers containing carbazoles as side groups and exhibits the typical absorption pattern of the carbazole unit with maxima at 345, 331 and 295 nm and the absorption onset at 355 nm.³⁴ The red-shifted absorption onset of **P1** compared to **P2** reflects the larger conjugated system of semiconducting side groups of the former polymer. The PL spectrum of **P2** shows two maxima at 365 and 352 nm (with λ_{ex} 310 nm). The absorption and PL spectra of **P3** exhibit only slight deviation from the characteristics of molecular CBP with the onset of absorption at 360 nm and two absorption maxima at 325 and 295 nm and the emission maximum at 390 nm (with λ_{ex} 315 nm).³⁹ From the onsets of thin film absorption spectra, the optical band gaps of **P1**, **P2** and **P3** were estimated to be 3.28, 3.46 and 3.37 eV, respectively (Fig. S18†).

Electrochemical properties

The HOMO levels of the polymers **P1–P3** were determined by UPS measurements and estimated to be 5.52, 5.78 and 5.82 eV for **P1**, **P2**, and **P3**, respectively. Additionally, the electrochemi-



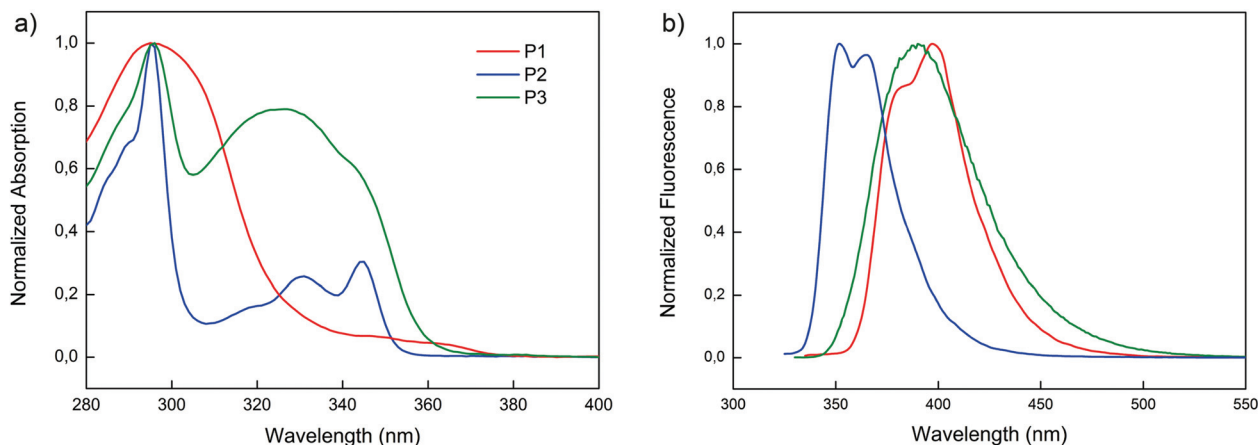


Fig. 1 Optical properties of polymers P1–P3. (a) Normalized absorption in toluene. (b) Normalized fluorescence in toluene.

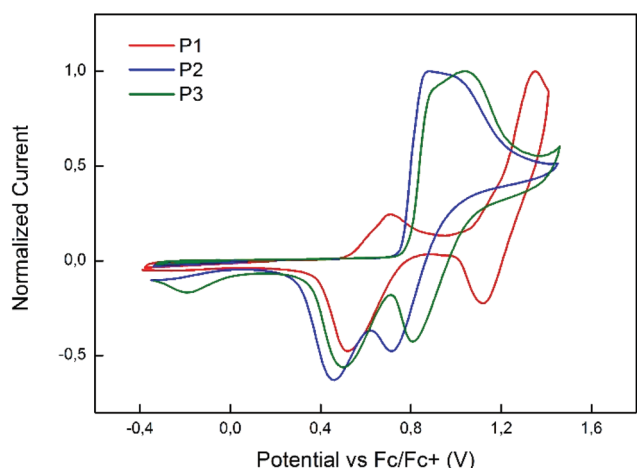


Fig. 2 Cyclic voltammogram of polymers P1–P3 in DCM/TBAPF₆.

cal behavior of the polymers was studied *via* cyclic voltammetry in dichloromethane (Fig. 2). The onset positions of the first polymer oxidation peaks are in agreement with the values obtained by UPS measurements with P1 having the lowest oxidation potential, followed by P2 and P3. In the analyzed electrochemical window P2 exhibited one broad irreversible oxidation peak which is typical for carbazole structures with unprotected 3 and 6 positions.³⁹ A similar electrochemical behavior is observed for the polymer P3. However, in the same voltage range P1 with methyl protected 3 and 6 positions shows two distinct oxidation peaks with the first oxidation process being reversible and the second irreversible (Fig. S21†).

QD/polymer hybrids as an active layer in QLEDs

The QD/polymer hybrids QH1, QH2, and QH3 were prepared using CdSe/Cd_xZn_{1-x}S red QDs and polymers P1, P2 and P3 respectively. In order to prevent inorganic/organic phase separation and to obtain films with a uniform QD distribution within the polymer matrices the QDs were functionalized with

polymer chains by the ligand exchange procedure.¹⁹ During ligand exchange the polymer disulfide end groups coordinate to the QD surface, substituting the oleic acid ligands initially coordinated thus leading to the formation of polymer-modified QDs.^{13,28,41} QDs with weakly bound oleic acid ligands are used in the ligand exchange as the initial small molecule ligands should possess weaker affinity to QD surfaces than the disulfide functionalities. The course of the ligand exchange can be monitored by the solubility change of the QDs. The solubility change of QDs is governed by the types of ligands on the surface, thus after the ligand exchange procedure QDs acquire the solubility properties of the polymers grafted on their surface (Fig. S23†). The modified QDs can now be efficiently dispersed in the polymer matrices leading to films with improved QD distribution within the polymer compared with physically blended QD/polymer systems (Fig. S25†).^{19,28}

To examine the impact of different polymers on QLED performance, devices with QH1, QH2, and QH3 films as the active layers were fabricated in the inverted structure configuration: ITO(150 nm)//ZnO nanoparticles (40 nm)//QD@polymer hybrids (30 nm)//CBP(4,4'-bis(9-carbazoyl)-1,1'-biphenyl (40 nm)//MoO_x (10 nm)//Al (100 nm) (Fig. S27†). In the inverted architecture the ZnO layer provides the electron transport from the ITO cathode, while CBP and MoO_x layers are responsible for the hole transport from the aluminum anode. Fig. 3 shows the characteristics (electroluminescence spectra, external quantum efficiency, current–voltage (*J*–*V*) and luminance–voltage (*L*–*V*) curves) of the devices fabricated in the present study. The results obtained show that the polymers synthesized can be successfully used in the active layer of QD/polymer hybrid LEDs leading to devices with good efficiencies while retaining the superior electroluminescence properties of QDs. As shown in Fig. 3a all the devices tested show narrow electroluminescence spectra with Gaussian fits ($\lambda_{\text{max}} = 630 \text{ nm}$, FWHM = 35 nm), indicating that light emission is solely originating from the quantum dots without parasitic emissions from the hybridized polymers or neighboring hole transport



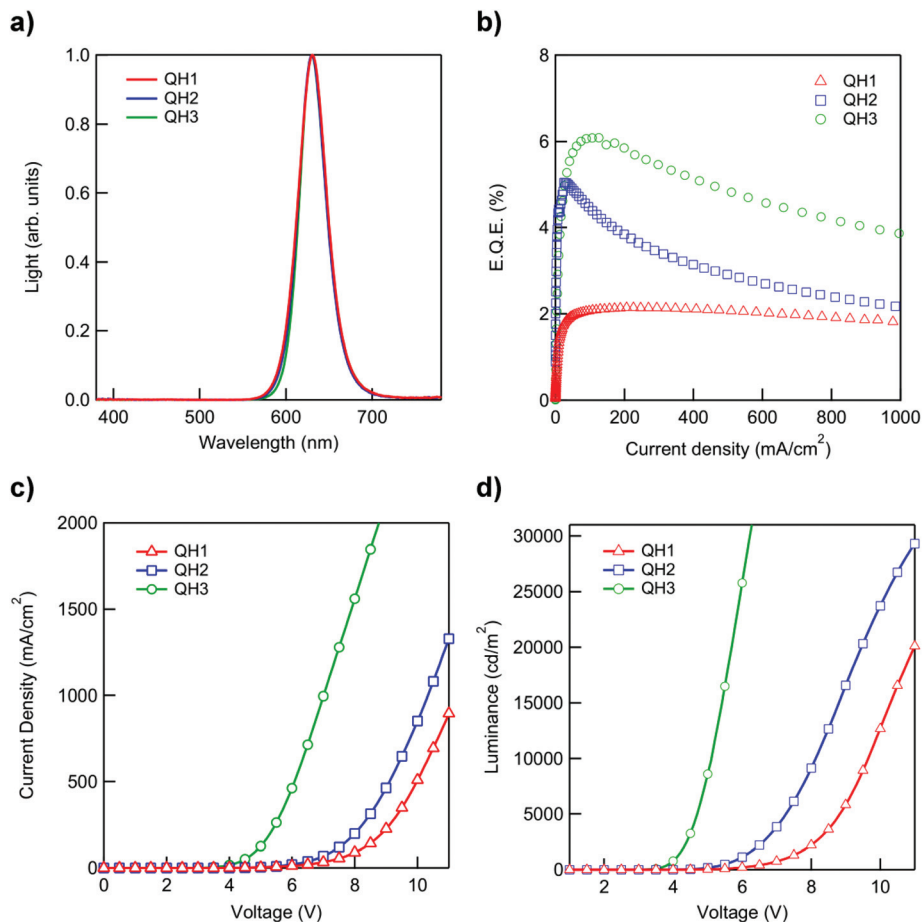


Fig. 3 Device characteristics with QD/polymer hybrids QH1–QH3 as the active layer. (a) Normalized electroluminescence intensity versus emission wavelength, (b) external quantum efficiency versus current density, (c) J – V characteristic, and (d) luminance versus voltage characteristics.

layers. A maximum external quantum efficiency (EQE) of 6.09% is achieved with the device containing the QH3 active layer. An EQE of 6.09% is, to the best of our knowledge, the highest reported EQE for LEDs with mixed QD/polymer active layers. The devices with QH2 and QH1 exhibit efficiencies of 5.05% and 2.14% respectively (Fig. 3b). Furthermore, the device with QH3 also shows better performance in terms of both driving voltage and luminance in a wide range of applied voltages followed by QH2 and QH1 (Fig. 3c and d). This tendency is in agreement with the results previously published suggesting that polymers with low lying HOMO levels provide a reduced barrier for hole injection into QDs and lead to devices with improved characteristics.²⁸ Hybrid LED performance is typically dependent on several factors including QD/polymer ratio, active layer morphology, charge carrier mobility and charge carrier injection into QDs. The results presented here indicate that among many other factors the HOMO energy of the polymer has a significant influence on device performance. As a consequence, polymers with appropriately low HOMO levels should be used in the active layers of hybrid polymer/QD LEDs in order to fabricate efficient devices. Polymers P2 and P3 with HOMO levels of 5.78 eV and 5.82 eV,

respectively, led to devices with high efficiencies which are comparable to the efficiencies of QD only devices fabricated utilizing analogous architectures.³⁶ However, a HOMO level of 5.52 eV determined for polymer P1 is thought to be too high and led to a device with diminished performance.

Conclusion

We have presented the synthesis of three carbazole-based monomers and their corresponding side-chain conjugated polymers for use in hybrid polymer/QD LEDs. Through RAFT polymerization, polymers with defined molecular weights, narrow polydispersities and well defined end groups were prepared. Depending on the substituents of the carbazole unit, we were able to tune the thermal, optical and, electrochemical properties of the polymers. The polymer disulfide end group enabled the chemical blending of the polymers onto a QD surface *via* the ligand exchange procedure leading to the formation of films with uniform QD distribution within a polymer matrix. Chemically blended QD/polymer hybrids were then tested as the active layers in LED devices and devices with



high efficiencies and narrow electroluminescence profiles, solely composed of QD emission, were fabricated. Moreover, the importance of polymer HOMO level adjustment for the development of efficient hybrid LEDs has been demonstrated. We believe that the side-chain conjugated polymers presented here and the corresponding hybrids demonstrate a promising platform for hybrid LEDs. Further investigations into the role of other aspects such as active layer morphology and composition on device performance are currently underway.

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