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## From sugars to biodiesel using microalgae and yeast†

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The economic production of algal biofuels requires novel strategies, such as microbial consortia and synthetic ecologies, to boost the productivity of open pond systems. These strategies have not been fully explored partly due to the lack of reliable and predictive process models. This study uses genome-based metabolic networks to build a process model of a raceway pond. This process model is used as a discovery tool for novel process strategies. First, an algal monoculture with flue gas sparging is modeled. Then, an oleaginous yeast monoculture is modeled. The yeast monoculture is O<sub>2</sub> limited and the presence of algae in the culture would result in better resource utilization. Next, an algal/fungal raceway pond with a feed of cellulosic glucose is explored. Finally, an oleaginous yeast that can consume a glucose/xylose mix, resulting from the hydrolysis of lignocellulosic waste, is modeled. This model predicts biomass and lipids productivities comparable to those reported in the literature. Assuming 50% yield loss due to contamination and invasion, a simple economic analysis shows that an algae/yeast coculture can produce biodiesel at competitive prices, \$2.01 per liter for pure glucose and \$1.44 per liter for the sugar mix, whereas the algae monoculture can do so only at very short distances from a flue gas source. This modeling framework will enable the use of optimization algorithms in the design of open pond systems in the near future and will allow the exploration of novel strategies in bioprocesses employing microbial communities.

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## 1. Introduction

In March 2015, the United States pledged to cut its carbon emissions by 26–28% by 2025.<sup>1</sup> This ambitious environmental objective is in line with the energy policy set in 2011 to guarantee the United States' energy security and reduce greenhouse gases emissions. This energy policy includes specific actions such as reducing oil imports, increasing energy efficiency, and speeding up the development of biofuels.<sup>2</sup>

Biofuels are fuels generated from biomass. First-generation biofuels are those obtained from food crops. The realization that these biofuels were little better than traditional fossil fuels regarding environmental impact combined with their competition for food resources prompted research on second-generation biofuels. Second generation biofuels are obtained from waste biomass.<sup>3</sup> This type of biofuels represent a great opportunity because 349 million tons of sustainable waste biomass are produced in the United States per year,<sup>4</sup> and most of this biomass is wasted. The most promising technologies for second-generation biofuels production are based on microbial

conversion of biomass into lipids. Three types of microorganisms are used for microbial biomass production: bacteria, fungi (including higher fungi), and microalgae. Preferred characteristics of the microorganisms are high specific growth rate, high lipids to biomass yield, high cell density, ability to use complex substrates, affinity to substrate, and low nutrient requirements.<sup>5</sup>

Microalgae are attractive for biofuels production from sunlight energy because some strains naturally accumulate up to 50% dry weight in lipids.<sup>6</sup> In addition, algae do not compete for food resources as they can be grown on wastewater and/or sea water,<sup>7</sup> and they are up to one order of magnitude more efficient than higher-order terrestrial plants in capturing sunlight.<sup>6,8</sup> In addition, algal biofuels have reduced CO<sub>2</sub> emissions compared to fossil fuels, and can become carbon neutral if all energy inputs to the process are carbon neutral. Despite all these advantages, algal biofuels remain to be commercialized due to their high prices. For example, in 2013 the Department of Defense paid \$150 per gallon for 1500 gallons of jet fuel when petroleum-based jet fuel was only \$2.88 per gallon.<sup>9</sup> Prices remain high because a low cost production method that obtains acceptable algal biomass and lipids yields remains to be found.

Oleaginous yeasts are also attractive for biofuels production as they can convert lignocellulosic sugars into lipids. Some examples of oleaginous yeast strains include *Cryptococcus albidus*, *Lipomyces starkeyi*, *Rhodotorula glutinis*, *Trichosporon*

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*pullulans*, and *Yarrowia lipolytica* which accumulate up to 65, 63, 72, 65, and 36% lipids, respectively.<sup>10,11</sup>

Algae and yeasts can be cultivated in open pond systems or closed photobioreactors. Closed photobioreactors have been used successfully to produce high-value specialty chemicals, but these systems incur high capital and operating costs.<sup>12</sup> Therefore, they are not commercially viable to produce commodities such as fuels. On the other hand, open pond lipid yields are insufficient because monocultures are vulnerable to invasion and predation by other algae species, bacterial or fungal infection. Oleaginous yeasts that thrive under low pH and low temperature conditions have been successfully cultivated in open ponds,<sup>13</sup> but most oleaginous yeasts are not extremophiles. In this case, culture resilience and stability are critical. Synthetic consortia can be designed to fill ecological niches which would otherwise be filled by invading species. Design of such synthetic consortia has been discussed in Kazamia *et al.*<sup>14</sup> at a qualitative level, and a quantitative approach has been proposed in Höffner and Barton.<sup>5</sup> In addition, algae in open pond cultures are carbon limited due to the low atmospheric CO<sub>2</sub> concentration and yeasts can become O<sub>2</sub> limited.<sup>15</sup> The carbon limitation has restricted the locations where algal ponds can be economically feasible, because the use of CO<sub>2</sub>-rich flue gas is only possible in the vicinity of power plants.<sup>16</sup> An alternative approach to cultivating monocultures of yeast and algae is to grow them together and benefit from their symbiotic interactions. Examples of this approach have been tested at lab scale.<sup>15,17–22</sup> The introduction of yeast enables lignocellulosic sugars, which cannot be metabolized by most microalgae, to be digested and can increase algal biomass by transforming part of these carbon sources into CO<sub>2</sub>. At the same time, yeast can benefit from the O<sub>2</sub> produced by microalgae and increase lipids production. In addition, both species together fill available ecological niches to protect against invasion.<sup>14</sup> This alternative strategy promotes installing algal/fungal ponds near farms, where significant quantities of agricultural waste are generated, but no flue gas is available, and transform these wastes into lipids first, and then biodiesel.

This paper shows how an algal-fungal pond is able to attain higher biomass productivities than the respective monocultures. The substrates required for algae growth are minimal. For algal photoautotrophic growth, CO<sub>2</sub> is the carbon source, energy is provided by sunlight, and small amounts of nitrogen, phosphorus and sulfur sources need to be provided. The quantity of the available substrate strongly determines the growth rate and intracellular accumulation of desired metabolic products such as lipids. For yeast, a carbon source, in this case glucose and xylose, and small amounts of nitrogen, phosphorus and sulfur are required. This case study shows that yeast provides additional CO<sub>2</sub> to algae by metabolizing sugars and algae provides O<sub>2</sub> to yeast. Furthermore, together yeast and algae use available resources more efficiently, which makes the invasion of other microorganisms less likely. This paper uses the modeling framework presented in Höffner and Barton,<sup>5</sup> which is based on Dynamic Flux Balance Analysis (DFBA)<sup>23–26</sup> and the High-Rate Algal-Bacterial pond model.<sup>27,28</sup>

## 2. Methods

Design of novel algal open pond systems requires process models, which provide quantitative predictions of interactions between process components across different scales. Multi-scale models, integrating genome-scale information in metabolic networks with the ecological scale of the interactions between multiple species and the process scale of bioreactors, have been proposed in Höffner and Barton.<sup>5</sup> These complex models are based on multi-species dynamic flux balance analysis and can be used for the discovery of novel and improved microbial bioprocesses.

### 2.1. Dynamic flux balance analysis

Flux balance analysis (FBA) is a genome-scale, constraint-based modeling approach. It is a widely successful framework for metabolic engineering and analysis of metabolic networks.<sup>24,26</sup> Consequently, metabolic network models of many organisms have been developed.<sup>29</sup> Based on genomic analysis, a metabolism can be modeled as a network of reactions, which must satisfy simple mass balance constraints. The network reconstruction determines the stoichiometry of the metabolism under the balanced growth assumption.<sup>26</sup> However, this network is often underdetermined; the fluxes of the different substrates and metabolites can vary and yet still produce a solution which satisfies mass balance constraints. Thus, it is assumed that the fluxes will be such that some cellular objective is maximized. For example, an evolutionary argument can be made that a microorganism will maximize its growth rate if sufficient nutrients are provided.<sup>24</sup>

Dynamic flux balance analysis (DFBA) combines genome-scale metabolic network analysis with a dynamic simulation of the extracellular environment.<sup>23,25</sup> At this scale, process models of bioreactors incorporating detailed metabolic reconstructions can be considered. DFBA models have matched accurately experimental data for the cultivation of *E. coli*<sup>23,30</sup> and the competition between *Rhodospirillum rubrum* and *Geobacter*.<sup>31</sup> In addition, DFBA has successfully modeled experimentally observed mutualistic relationships between *D. vulgaris* and *M. maripaludis* and between engineered yeast strains unable to grow on minimal glucose medium separately, and has been used to make fast predictions for combinations of microorganisms and media not yet validated experimentally.<sup>32</sup> DFBA provides a platform for detailed design, control, and optimization of biochemical process technologies, such as an open pond. With DFBA, temporal and/or spatial variations in the behavior of the community within the bioreactor can be simulated. This formulation provides a more appropriate and predictive description of complex ecological systems, in which emergent nonlinear dynamic behavior is a common phenomenon. Furthermore, the mathematical formulation allows for unstructured models of ecological species, such as large zooplankton, for which a metabolic model is not available.

Simulation and optimization of large multi-species and multi-scale process models requires efficient numerical tools.



A DFBA model results in a dynamic system with linear programs embedded.<sup>33,34</sup> Numerical complications arise when simulating these systems; these have recently been addressed and efficient simulators have become available.<sup>33,35</sup> Therefore, simulation of large-scale multi-species metabolic reconstructions is now possible. The simulations in this paper were performed using DFBAlab.<sup>35</sup>

## 2.2. High-rate algal pond model

The high-rate algal-bacterial pond model was first introduced and validated experimentally by Buhr and Miller<sup>27</sup> and then extended by Yang.<sup>28</sup> This model considers a coculture of bacteria and algae for high-rate wastewater treatment ponds. Their growth expressions are given by Monod type kinetics dependent on the concentration of carbon, oxygen, and nitrogen. In addition, they considered pond depth and biomass concentration effects on light penetration, the effect of ionic species on pH, and the effect of pH on dissolved CO<sub>2</sub>. In order to use Monod kinetic expressions, a limiting substrate must be readily identified, and accuracy is lost at transitions when several substrates may be limiting. In cocultures and non-steady state environments, predicting limiting substrates and active metabolic pathways can be a very challenging task, if possible. In this paper we incorporate genome-scale metabolic models into the high-rate algal-bacterial pond. When using dynamic flux balance analysis, no *a priori* predictions are needed because the linear programs modeling the behavior of each species predict the metabolic state given the extracellular conditions and identify the limiting substrates. Monod kinetics are used indirectly by bounding the consumption of substrates as in Hanly and Henson,<sup>30</sup> but the actual consumption rate is calculated by the linear programs after identifying a limiting substrate.

## 2.3. Raceway open ponds

A raceway pond is an open pond with flow and can be modelled as a plug flow reactor (PFR). In this paper, the spatial distribution of quantities in the raceway pond is approximated as a sequence of interconnected continuous stirred tank reactors (CSTRs). Each CSTR model includes the mass balances for the main metabolites and an estimate of the variation of the average light intensity during a 24 hour period. For each CSTR, it is assumed that the broth is well mixed such that there are no gradients in nutrients or biomass concentrations. Growth rates of algae and yeast, and uptake and production rates of metabolites are obtained from genome-scale metabolic network reconstructions.

First a pond with an algae monoculture with no CO<sub>2</sub> sparging is analyzed. Next, the productivity of this culture is boosted with CO<sub>2</sub> sparging and a series of three ponds is considered. Next, a pond containing a monoculture of oleaginous yeast is considered and the advantages of an algae/yeast coculture are illustrated. Next, we model an

algal/yeast coculture with no flue gas sparging in a three pond system. Finally, the case where the oleaginous yeast can also consume xylose is considered in another three pond system. The coculture examples illustrate the benefits of the symbiotic relationships between yeast and algae. The series of ponds is necessary to induce lipids production through nitrogen starvation,<sup>13</sup> as observed experimentally by Rodolfi *et al.*<sup>36</sup> and Breuer *et al.*<sup>37</sup> Nitrogen starvation increases lipids productivity but reduces biomass productivity.<sup>37,38</sup> A two phase cultivation system can achieve good biomass and lipids productivity.<sup>39</sup> Therefore, the series of ponds allows biomass growth in the first pond and lipids accumulation in the latter ones. Ammonia is used as the single nitrogen source. Caustic soda is used to prevent the pond from becoming too acidic.

In this case study, the model for each pond was obtained from Yang.<sup>28</sup> This model considers a 350 000 L outdoor pond with a depth of 0.4 m. It is continuously harvested at a rate of 50 000 L per day with a recycle rate of 350 000 L h<sup>-1</sup>. A channel width of 1.2 m is assumed such that the flow velocity is 0.2 m s<sup>-1</sup> to avoid sedimentation and thermal stratification, as suggested by Becker.<sup>40</sup> The Reynolds number of this pond is of 250 000; turbulent flow is desired to keep cells in suspension and prevent stratification.<sup>41</sup> We discretized the spatial variations of the pond by modeling it as a sequence of nine CSTRs. For ponds connected in series, the effluent of one pond feeds into the next and the effluent of the last pond feeds into a clarifier, in which the water content is reduced and subsequently the remaining biomass is harvested and processed. The clarifier and other downstream processes are not included in the current model.

The average light intensity is estimated based on the Beer-Lambert law:<sup>27,28</sup>

$$I_a(t) = \frac{1}{L} \int_0^L I_0(t) \exp(-K_e(X(t))z) dz, \quad (1)$$

where  $K_e(X(t))$  is the extinction coefficient,  $L$  is the depth of the pond, and  $I_0$  is the surface light intensity during the photo-period (7:00–19:00) approximated by a sinusoidal function with maximal intensity at noon and average surface light intensity of 18.81 MJ per m<sup>2</sup> per day.<sup>28</sup> To convert to mmol photons per gDW per h, the average cell diameter used was 10 μm,<sup>42</sup> and the average weight was estimated as 10<sup>9</sup> cells in one gram dry weight.<sup>43</sup> Following the calculations in Boelee *et al.*,<sup>44</sup>  $I_0^{\max} = 283$  mmol per gDW per h. The dependency of  $K_e$  on biomass concentration is modeled *via* a simple linear relationship,

$$K_e(X(t)) = K_{e1} + K_{e2}X(t), \quad (2)$$

where  $X(t)$  is the total biomass concentration at time  $t$  and the values of the parameters  $K_{e1}$  and  $K_{e2}$  are taken from Yang.<sup>28</sup> In addition, light available for photosynthesis cannot exceed



the average surface light intensity of 3610 mmol photons per ( $\text{m}^2 \times \text{h}$ ). Therefore,

$$I_0(t) = \max\left(0, 283\pi\left(\sin\left(\frac{\pi(t-7)}{12}\right)\right)\right),$$

$$I_a(t) = I_0(t) \times \frac{1 - e^{-LK_e(X(t))}}{LK_e(X(t))},$$

$$I_1(t) = \frac{\max\left(0, 3610\pi\left(\sin\left(\frac{\pi(t-7)}{12}\right)\right)\right)}{400X_A(t)},$$

$$I_m(t) = \min(I_a(t), I_1(t))$$

where  $I_m(t)$  is the light available for algae at time  $t$  in mmol per gDW per h,  $X_A(t)$  is algal biomass concentration in  $\text{g L}^{-1}$  and 400 is a conversion factor from  $\text{g L}^{-1}$  to  $\text{g m}^{-2}$  based on the geometry of this pond. The open pond is in direct contact with the atmosphere, therefore a simple model based on film theory is used to estimate the mass transfer across the interface between air and water with parameters from Buhr and Miller<sup>27</sup> and Yang<sup>28</sup> and pond mass transfer area to volume ratio of  $2.5 \text{ m}^2 \text{ m}^{-3}$ . The equilibrium concentrations for both  $\text{O}_2$  and  $\text{CO}_2$  in water are calculated using Henry's law. Finally, the dissolved gas concentrations are limited by their saturation concentration at ambient conditions.

Sparging of flue gas is modeled according to Yang.<sup>28</sup> The model considers that flue gas is fed at atmospheric pressure into orifices with a diameter of 5 cm. covering the entire bottom of the pond with a concentration of 250 orifices per  $\text{m}^2$ . Flue gas flowrates of 10, 40, 100, 500, and 2000  $\text{m}^3 \text{ h}^{-1}$  were modeled. The flue gas composition of 13.6%  $\text{CO}_2$ , 5%  $\text{O}_2$ , and the rest  $\text{N}_2$  was obtained from Brown.<sup>45</sup> Variations of the concentration of  $\text{CO}_2$  in the gas bubbles with respect to pond depth were considered.

#### 2.4. Metabolic models

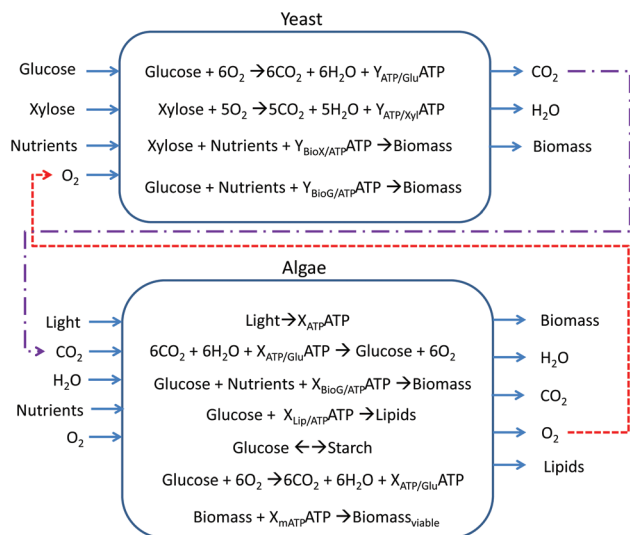
*Chlamydomonas reinhardtii* is used as a model organism for microalgae. The genome-scale metabolic network iRC1080 is an up-to-date metabolic reconstruction of *C. reinhardtii*.<sup>46</sup> The reconstruction consists of 2191 fluxes and 1706 unique metabolites, and encompasses ten compartments including a detailed reconstruction of the lipid metabolism. The model includes photoautotrophic, heterotrophic and mixotrophic growth options and a detailed model of the light spectrum. The model prediction has been validated experimentally under different environmental conditions, such as nitrogen limited or light limited growth.<sup>46</sup> The model includes the pathways necessary for the biosynthesis of unsaturated fatty acids, fatty acids, steroids, sphingolipids, glycerophospholipids, and glycerolipids, and it considers the pathways related to fatty acid elongation in the mitochondria. The model considers all individual metabolites in these pathways including backbone molecules, stereochemical numbering of acyl-chain positions, acyl-chain length, and *cis-trans* stereoisomerisms.<sup>46</sup> More

model details including a list of all metabolites and reactions can be found in the ESI of Chang *et al.*<sup>46</sup> and more information in general on algal lipids synthesis in Harwood and Guschina.<sup>47</sup> For this paper, 125 metabolites were classified as lipids and a lipid storage was implemented in the model. In addition, minor modifications were done to the metabolic network reconstruction to satisfy mass balances.

The model for the yeast organism is based on a well-established model of *Saccharomyces cerevisiae*. The genome-scale network reconstruction of the *S. cerevisiae* metabolism iND750 has shown good agreement with experimental data.<sup>48</sup> It considers 1061 unique metabolites in eight compartments and 1266 intracellular and exchange fluxes. Furthermore, the model correctly predicts ethanol production under anaerobic conditions. However, *S. cerevisiae* is not an oleaginous yeast. Examples of oleaginous yeasts include *Cryptococcus albidus*, *Lipomyces starkeyi*, *Rhodotorula glutinis*, *Trichosporon pullulans*, and *Yarrowia lipolytica* with lipid accumulations ranging from 36% to 72%.<sup>10,11</sup> A description of the lipids profiles for different fungal species can be found in Ratledge.<sup>10</sup> The iND750 model considers most pathways found in fungal species. It also considers the production of different lipids species such as glycerolipids, glycolipids, sphingolipids, phospholipids, and fatty acids. This metabolic reconstruction can be used to model different species by adjusting the biomass equation and adjusting the flux bounds on reactions feeding to different pathways. In this paper, we modified the iND750 model such that it cannot produce ethanol<sup>11</sup> and under low oxygen conditions it can produce acetate, formate, succinate, and citrate, reflecting the behavior of *Y. lipolytica*.<sup>49</sup> We also modified it further such that it consumed xylose reflecting the behavior of *Rhodotorula glutinis*.<sup>50</sup> Therefore, the biomass equation was modified such that the yeast accumulates 40% lipids.

Both modified models are provided as ESI†. Fig. 1 presents a simplified version of both models. Yeast consumes glucose, xylose,  $\text{O}_2$ , and nutrients to obtain biomass,  $\text{CO}_2$  and water. The metabolic reactions of glucose and xylose generate ATP with stoichiometry defined by the metabolic model. Xylose, glucose and nutrients are assimilated into biomass; these growth reactions have ATP requirements with coefficients determined by the metabolic model. Meanwhile, algae obtains ATP from light and converts  $\text{CO}_2$  and water into glucose and  $\text{O}_2$  through photosynthesis with some ATP requirement. This glucose can be transformed into starch for energy storage, consumed for ATP production, or assimilated with nutrients as biomass. Under nitrogen limitations, this glucose can be assimilated as lipids. In addition, the algae model considers a survival ATP requirement. The red and purple arrows show symbiotic opportunities. All ATP coefficients are determined by the metabolic model. Both models, iRC1080 and iND750, contain in full detail all the relevant metabolic pathways that achieve these main reactions. The full list of metabolites and reactions of iRC1080 and iND750 can be found in the ESI of Chang *et al.*<sup>46</sup> and the ESI of Duarte *et al.*,<sup>48</sup> respectively.





**Fig. 1** Main reactions considered in the modified models iRC1080 and iND750. The stoichiometric coefficients of ATP production and consumption are determined by the metabolic models. The red and purple arrows illustrate symbiotic relationships: the red dashed arrow shows yeast utilizing  $O_2$  produced by algae, whereas the purple dotted and dashed arrow shows algae consuming  $CO_2$  produced by yeast.

## 2.5. Kinetic parameters

The uptake kinetics for the exchange metabolites for both microorganisms are approximated by Michaelis–Menten kinetics:

$$v_s = \left( \frac{v_{\max} S}{K_m + S} \right) \left( \frac{1}{1 + I/K_I} \right) (\beta), \quad (3)$$

where  $S$  is a substrate of interest,  $I$  is an inhibitor and  $\beta$  is a positive pH factor. The values of these constants are taken from the literature and presented in Tables 1 and 2. The

**Table 1** Summary of uptake kinetic parameters for algae and yeast

| Yeast        | $v_{\max}$ (mmol per gDW per h) | $K_m$ (mmol $L^{-1}$ ) | $K_I$ (mmol $L^{-1}$ )     | Ref.                                  |
|--------------|---------------------------------|------------------------|----------------------------|---------------------------------------|
| Glucose      | 22.4                            | 4.44                   | EtOH: 217                  | Hanly <i>et al.</i> <sup>30</sup>     |
| $O_2$        | 2.5                             | 0.003                  | None                       | Hanly <i>et al.</i> <sup>30</sup>     |
| $NH_4^+$     | 25.5                            | $35.4 \times 10^{-3}$  | None                       | Jongbloed <i>et al.</i> <sup>56</sup> |
| Xylose       | 12.8                            | 32.5                   | EtOH: 217<br>Glucose: 2.78 | Hanly <i>et al.</i> <sup>30</sup>     |
| <b>Algae</b> |                                 |                        |                            |                                       |
| $CO_2$       | 1.25                            | 0.03                   | None                       | Tsuzuki <i>et al.</i> <sup>57</sup>   |
| $O_2$        | 2.065                           | 0.008                  | None                       | Yang <sup>28</sup>                    |
| $HCO_3^-$    | 1.82                            | 0.27                   | None                       | Tsuzuki <i>et al.</i> <sup>57</sup>   |
| $NH_4^+$     | 0.65                            | $3.84 \times 10^{-4}$  | None                       | Hein <i>et al.</i> <sup>58</sup>      |
| $NO_3^-$     | 0.251                           | $1.1 \times 10^{-3}$   | None                       | Galván <i>et al.</i> <sup>59</sup>    |
| Acetate      | N.A                             | N.A                    | None                       | Zhang <i>et al.</i> <sup>51</sup>     |

Note: Ammonium uptake for yeast was approximated with that of fungus *Lactarius rufus*. The weight fraction of chlorophyll (22.8 mg per gDW) in algae was obtained from the biomass equation in the iRC1080 model. The yeast uptake of xylose was scaled from *E. coli* values.

**Table 2** Constants for pH dependent uptakes of algae

| Algae                      | $NH_4^+$ , $NO_3^-$    | $CO_2$ , $HCO_3^-$     | Acetate               |
|----------------------------|------------------------|------------------------|-----------------------|
| $\alpha$                   | 1.25                   | 1.08                   | 1                     |
| $K_1$                      | 1.19                   | 1.07                   | 1.18                  |
| $K_{OH^-}$ [mol $L^{-1}$ ] | $3.51 \times 10^{-10}$ | $9.26 \times 10^{-11}$ | $2.82 \times 10^{-8}$ |
| $K_{H^+}$ [mol $L^{-1}$ ]  | $3.19 \times 10^{-7}$  | $5.9 \times 10^{-6}$   | $6.66 \times 10^{-8}$ |
| <b>Yeast</b>               |                        |                        |                       |
| Glucose/Xylose             |                        |                        |                       |
| $\alpha$                   | 1                      |                        |                       |
| $K_1$                      | 0.97                   |                        |                       |
| $K_{OH^-}$ [mol $L^{-1}$ ] | $2 \times 10^{-8}$     |                        |                       |
| $K_{H^+}$ [mol $L^{-1}$ ]  | $1 \times 10^{-4}$     |                        |                       |

uptake of acetate in algae was modeled according to Zhang using the expression for growth on ammonium chloride,<sup>51</sup> but since this expression is slightly different from (3), the values of its constants are not reported in Table 1. Algae are known to survive in a pH range of 6–10 with an optimum pH of 8, whereas yeasts survive in environments with pH ranging from 2 to 8.<sup>52</sup> Algal carbon and nitrogen uptakes and yeast glucose and xylose uptakes were made pH dependent with expressions obtained from Tang *et al.*<sup>53</sup> and Zhang *et al.*<sup>51</sup>

$$\beta = \left( \frac{\alpha}{K_1 + \frac{K_{OH^-}}{H^+} + \frac{H^+}{K_{H^+}}} \right),$$

where  $\alpha$ ,  $K_1$ ,  $K_{OH^-}$ , and  $K_{H^+}$  are constants. Algal pH dependent growth data under nitrogen and carbon limitations was obtained from Franco *et al.*<sup>54</sup> and Kong *et al.*<sup>55</sup> whereas yeast parameters were adjusted such that it grew at pH levels between 2 and 9 with maximum growth rate at pH equal to 6. Table 2 presents the constants used for these simulations.

Finally, an expression was derived for algal starch production and consumption. Starch is the only source of energy for algae at night. It is assumed that starch production is dependent on light and carbon concentrations and that its consumption is dependent on intracellular starch concentration at night:

$$v_{\text{starch}}^{\text{production}} = \begin{cases} 9.5 \times 10^{-4}(\gamma) + Y_{\text{starch}}\mu & \text{when } I_0(t) \geq 300, \\ 9.5 \times 10^{-4}(\gamma) \left( \frac{I_0}{300} \right) + Y_{\text{starch}}\mu & \text{otherwise,} \end{cases} \quad (4)$$

$$\text{where } \gamma = \min \left( 0.1, \left( \frac{[HCO_3^-]}{K_m^{HCO_3^-} + [HCO_3^-]} + \frac{[CO_2]}{K_m^{CO_2} + [CO_2]} \right) \beta_{CO_2} \right),$$

$$v_{\text{starch}}^{\text{consumption}} = \begin{cases} 0 & \text{when } I_0(t) \geq 300, \\ 1.4 \times 10^{-4} S \left( 1 - \frac{I_0}{300} \right) & \text{otherwise,} \end{cases} \quad (5)$$

where  $v_{\text{starch}}^{\text{production}}$  and  $v_{\text{starch}}^{\text{consumption}}$  are given in mmol per gDW per h,  $[HCO_3^-]$  and  $[CO_2]$  are concentrations in mmol  $L^{-1}$ ,  $\mu$  is the growth rate in mmol per gDW per h,  $Y_{\text{starch}}$  is the starch fraction of the biomass growth equation,  $S$  is the intracellular starch concentration in mmol per gDW, and  $\beta_{CO_2}$  is the pH factor for  $CO_2$  uptake in algae. The constants in eqn (4) and (5)



**Table 3** Hierarchy of objectives for simulation with DFBAlab

| Yeast                                 | Algae   |
|---------------------------------------|---|
| 1 Maximize growth                     | Maximize autotrophic growth                                     |
| 2 Maximize CO <sub>2</sub> production | Maximize lipids production                                      |
| 3 Maximize glucose consumption        | Maximize starch production                                      |
| 4 Maximize xylose consumption         | Maximize consumption and minimize production of CO <sub>2</sub> |
| 5 Maximize O <sub>2</sub> consumption | Maximize ammonium consumption                                   |
| 6 Maximize ammonium consumption       | Maximize HCO <sub>3</sub> <sup>-</sup> consumption              |
| 7 Minimize acetate production         | Maximize consumption and minimize production of O <sub>2</sub>  |
| 8 Minimize formate production         | Minimize formate production                                     |
| 9 Minimize citrate production         | Minimize ethanol production                                     |
| 10 Minimize succinate production      | Minimize acetate production                                     |
| 11                                    | Minimize hydrogen production                                    |

were fine-tuned by running several simulations. Algae growth rate depends on light intensity, dissolved O<sub>2</sub>, CO<sub>2</sub>, HCO<sub>3</sub><sup>-</sup>, acetate, and NH<sub>4</sub><sup>+</sup> concentrations. Yeast growth rate depends on dissolved O<sub>2</sub>, glucose, and NH<sub>4</sub><sup>+</sup> concentrations. Both growth rates are determined through flux balance analysis.

## 2.6. Solution equilibrium

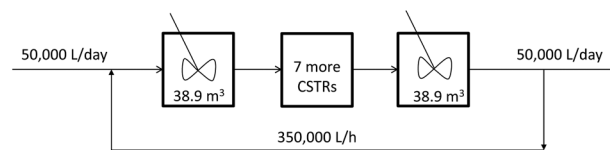
The chemical equilibrium of the system is based on Buhr and Miller<sup>27</sup> with parameters obtained from Robinson and Stokes<sup>60</sup> at 20 °C. It is assumed that the ions present in the system are CO<sub>3</sub><sup>2-</sup>, H<sup>+</sup>, OH<sup>-</sup>, HCO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, Na<sup>+</sup>, and the ions resulting from formic, acetic, succinic, and citric acids. Ammonia is assumed to dissolve completely. Therefore, a system of equations is obtained from the solution equilibria of ammonia, carbon dioxide, formic, acetic, succinic, and citric acids and water, the mass balances of ammonia, carbon, acetate, formate, succinate, and citrate, and electroneutrality. From this system of equations, the concentrations of all ionic species are obtained.

## 2.7. DFBAlab hierarchy of objectives

Since the flux distribution associated with maximal growth is not necessarily unique, hierarchical optimization is used to determine unique exchange fluxes among the optimal flux distributions. DFBAlab requires the user to provide a hierarchy of objectives for efficient integration of the dynamic system.<sup>35</sup> Table 3 presents the objectives used for algae and yeast.

## 3. Results and discussion

In this section, some quantities are reported per m<sup>2</sup> of illuminated area. This is a common normalization quantity that allows performance comparison with algal production processes reported in the literature.



**Fig. 2** Schematic of the raceway pond model. The 350 m<sup>3</sup> pond is approximated by nine CSTRs. There is a constant feed and outlet of 50 m<sup>3</sup> per day and a recirculation of 350 m<sup>3</sup> h<sup>-1</sup>.

### 3.1. Algae monoculture without CO<sub>2</sub> sparging

First an algae monoculture with no CO<sub>2</sub> sparging is simulated. It is supplemented with 146 mg per (m<sup>2</sup> × day) of ammonia. This amount of nitrogen is enough for the pond to be carbon limited. Fig. 2 presents a schematic of the simulation. The 350 000 L raceway pond is approximated by nine CSTRs of equal volume.

The results of this simulation show that all sections of the pond have very similar concentration profiles. This is a consequence of having a recycle rate 168 times greater than the dilution rate. In fact, a plug flow reactor with a very high recycle rate can be approximated by a single CSTR. Therefore, we modeled the pond as a single CSTR and compared the results with the approximation of nine CSTRs. The predicted outflow concentration profiles of both approximations are very similar. Therefore, all ponds in the following case studies are modeled as single CSTRs.

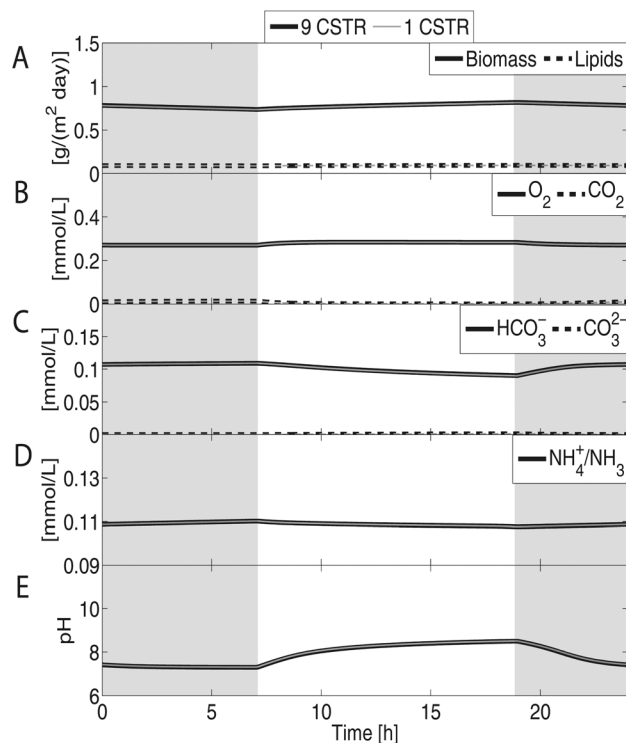
Fig. 3 shows the predicted concentration profiles in the pond at cyclic steady state. It can be seen that the predicted biomass productivity is less than 1 g per (m<sup>2</sup> × day). The cyclic nature of the steady state can be observed in the concentration profiles of O<sub>2</sub> and CO<sub>2</sub> as well as in the pH of the pond. During the day, algae produces O<sub>2</sub> and consumes CO<sub>2</sub> which increases the pH due to the depletion of carbonic acid; the opposite behavior takes place at night. Due to the low predicted productivity of an algae monoculture without additional CO<sub>2</sub> supply, this system is not explored any further. The next case study is that of an algae monoculture with sparging of flue gas.

### 3.2. Algae monoculture with CO<sub>2</sub> sparging

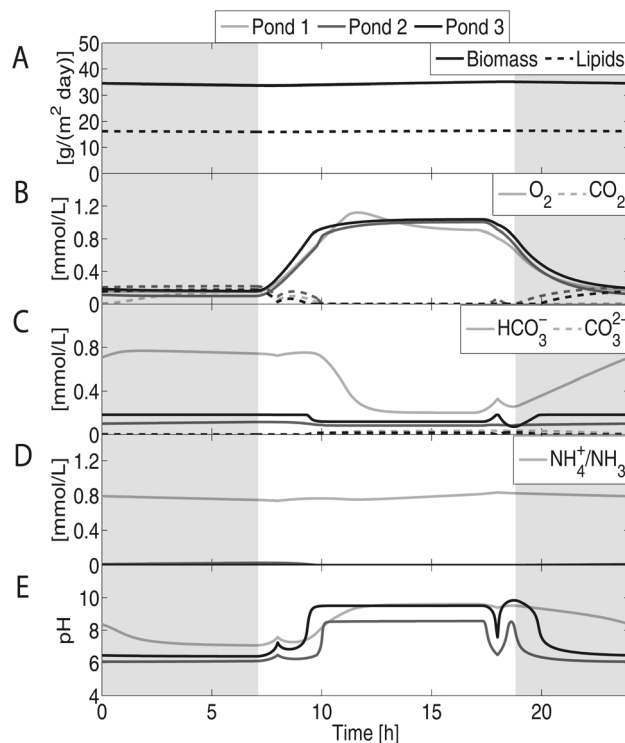
A schematic of the cultivation system can be observed in Fig. 4. With flue gas sparging (13.6% CO<sub>2</sub>, 5% O<sub>2</sub>, and the rest N<sub>2</sub>), biomass productivity increases greatly as more CO<sub>2</sub> is supplied into the system. Flue gas was fed into a three pond system for 10 hours during the day at a sparging rate of 40 m<sup>3</sup> h<sup>-1</sup>. A total of 1.04 and 0.15 g per (m<sup>2</sup> × day) of ammonia and sodium hydroxide, respectively, are fed into the system. Fig. 4 shows how these feeds are distributed among the three ponds. With this feed distribution, the last pond is nitrogen starved, and lipids production is induced.

Fig. 5 shows that this cultivation scheme can attain biomass and lipids productivities of 34.4 and 16.2 g per (m<sup>2</sup> × day), respectively, which is in line with the 20–40 g per (m<sup>2</sup> × day) observed in several raceway ponds in the last decade

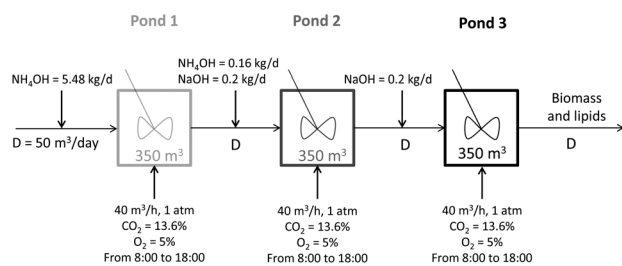




**Fig. 3** Concentration profiles of an algae monoculture pond with no  $\text{CO}_2$  sparging. Shaded areas represent dark periods. Notice that the results are the same for a simulation discretizing the length dimension of the pond as nine CSTRs and one modeling the pond as a single CSTR. (A) Predicted biomass and lipids productivity is approximately 0.78 and 0.09 g per  $(\text{m}^2 \times \text{day})$ , respectively. (B) The photosynthetic activity of algae slightly increases  $\text{O}_2$  and reduces  $\text{CO}_2$  concentrations during the day. The opposite behavior occurs at night. (C) A small amount of  $\text{HCO}_3^-$  is metabolized by the monoculture. (D) Nitrogen sources are consumed faster during growth periods, causing their concentrations to drop during the day. The pond is not nitrogen limited. (E) pH increases during the day as the concentration of  $\text{CO}_2$  drops and decreases at night as  $\text{CO}_2$  is accumulated again. The pH stays between 7 and 9.



**Fig. 5** Concentration profiles of an algae cultivation system using three raceway ponds with flue gas sparging. Shaded areas represent dark periods. (A) Predicted biomass and lipids productivities are approximately 34.4 and 16.2 g per  $(\text{m}^2 \times \text{day})$ , respectively. (B) Due to algae's photosynthetic activity,  $\text{O}_2$  concentration increases during the day and decreases during the night, whereas  $\text{CO}_2$  concentration decreases during the day and increases during the night. (C)  $\text{HCO}_3^-$  concentration is highly related to pH. (D) The concentration of nitrogen drops as we move from pond 1 to pond 3. Pond 3 is effectively nitrogen starved inducing lipids production. (E) The pH of the system ranges from 6 to 10. For pond 1, pH is mostly influenced by the concentration of  $\text{NH}_4^+$ , whereas for ponds 2 and 3, it is mostly influenced by the concentration of  $\text{CO}_2$ .



**Fig. 4** Schematic of the algal biomass cultivation system using three raceway ponds. Each pond can be modeled as a CSTR with a volume of  $350 \text{ m}^3$ . There is a constant feed and outlet of  $50 \text{ m}^3$  per day for each pond. The last two ponds present nitrogen limitations inducing lipids production. Sodium hydroxide is fed at a constant rate all day long, whereas ammonia is fed from 8:00 to 18:00. Flue gas sparging occurs only from 8:00 to 18:00.

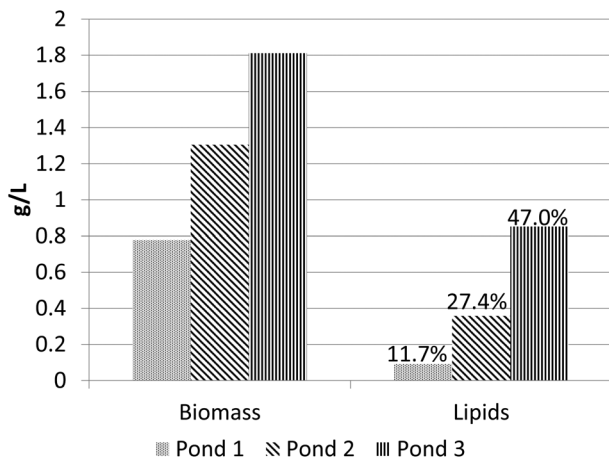
as reported by Fig. 20 in Williams and Laurens.<sup>38</sup> The level of accumulation of biomass is highly dependent on the feed rate of flue gas, for low feed rates, and the concentration of  $\text{CO}_2$  in

this gas. The accumulation of biomass is most likely an upper bound on what can be obtained realistically as the effects of invading species or of toxic components in low concentrations in the flue gas have not been included.

Fig. 6 shows how biomass and lipids concentrations increase at each pond. Due to nitrogen starvation, the last pond accumulates a higher weight fraction of lipids. In addition, biomass accumulation is slower as lipid fraction increases, as reported by Williams and Laurens.<sup>38</sup> The carbon atom balance is presented in Table 4; most of the carbon in the flue gas is fixed into algal biomass.

Increasing the flue gas flowrate increases biomass concentration until the culture becomes light-limited. Considering a theoretical limit in sunlight capture by algae of 10%,<sup>38</sup> an average sunlight energy of  $6.3 \times 10^6 \text{ kJ}$  per  $(\text{m}^2 \times \text{year})$ ,<sup>61</sup> and an average algal biomass calorific value of  $24.7 \text{ kJ g}^{-1}$ ,<sup>38</sup> the maximum possible yield of algae would be approximately 70 g per  $(\text{m}^2 \times \text{day})$ . Table 4 shows the results when the feed rate is increased from 40 to 100, 500, and 2000  $\text{m}^3 \text{ h}^{-1}$ , respectively.





**Fig. 6** Biomass and lipids concentrations in an algae cultivation system using three raceway ponds with flue gas sparging. The numbers on top of the lipids bars represent the weight fraction of lipids in algal biomass. As a consequence of nitrogen limitations in the last two ponds, the model predicts significant lipids accumulation (up to  $\approx 47\%$  weight).

The maximum biomass productivity predicted by the model is about 52 g per ( $\text{m}^2 \times \text{day}$ ). As the flue gas feed rate is increased, more carbon is lost to the atmosphere. Therefore, for this to be a viable carbon capture alternative, sparging rates should not be increased beyond the point where more than half of the carbon is lost to the atmosphere.

This kind of cultivation system can only be considered in locations able to supply considerable amounts of flue gas, for example, near power plants. Otherwise, algae growth is carbon limited and very low productivities can be predicted as illustrated by the example in section 3.1. A different strategy to deal with this carbon limitation is to feed cellulosic glucose and/or xylose into a pond cultivating an oleaginous yeast. Next, we explore the productivity of an oleaginous yeast monoculture growing on glucose and we illustrate the advantages of having a yeast/algae coculture.

### 3.3. Oleaginous yeast growing on glucose

Oleaginous yeasts, such as *Yarrowia lipolytica* or *Rhodotorula glutinis*, can be cultivated as a monoculture growing on glucose.<sup>13</sup> However, the maximum concentration of biomass is limited by the mass transfer rate of  $\text{O}_2$  from the atmosphere. For an open pond with the same characteristics as the previous

examples, DFBalab predicts a maximum concentration of yeast of  $1.82 \text{ g L}^{-1}$ . However, significant amounts of acetate are produced. The presence of acetate indicates a shortage of  $\text{O}_2$  in the system. The maximum biomass concentration attained with no production of acetate is  $1.57 \text{ g L}^{-1}$ . Given the higher efficiency on the utilization of glucose when the yeast is cultivated with sufficient  $\text{O}_2$ , the inputs of all further simulations were tailored such that no acetate was produced.

As discussed earlier and illustrated in Fig. 1, the  $\text{O}_2$  limitation in yeast and the  $\text{CO}_2$  limitation in algae create a great opportunity. If both species are cultivated together, the yeast can benefit from the  $\text{O}_2$  produced by the algae and the algae can benefit from the  $\text{CO}_2$  produced by the yeast. As a proof of concept, let us assume that both species are cultivated together with a constant source of sunlight of 3.6 moles of photons per ( $\text{m}^2 \times \text{h}$ ) corresponding to  $6.8 \times 10^6 \text{ kJ per } (\text{m}^2 \times \text{year})$ .<sup>28</sup> A total biomass loading of  $2.54 \text{ g L}^{-1}$  can be attained. A summary of these cases is presented in Table 5. It is clear that the coculture presents advantages in resource utilization and total biomass productivity with respect to the monocultures. Next, we explore the behavior of an algal/yeast coculture feeding pure glucose and a mix of glucose and xylose into a raceway pond.

### 3.4. Algae/yeast coculture with cellulosic glucose feed

Fig. 7 presents a schematic of the cultivation system. Cellulosic glucose is metabolized by yeast and converted to  $\text{CO}_2$  which is then fixed by algae. A total of 94.4, 3.1, and 0.03 g per ( $\text{m}^2 \times \text{day}$ ) of glucose, ammonia, and sodium hydroxide, respectively, are fed in the system. Fig. 7 shows how these feeds are distributed among the three ponds; the last pond is nitrogen starved, and lipids production is induced.

Fig. 8 shows that this cultivation scheme can attain yeast, algae, and lipids productivities of 34.5, 26.2, and 22.6 g per ( $\text{m}^2 \times \text{day}$ ), respectively. Yeast and algae accumulate lipids up to approximately 40% and 33% dry weight, respectively. In the coculture case resources are better utilized, making invasion more difficult.<sup>14</sup> Fig. 9 shows algae, yeast and lipids concentrations in each pond. Due to nitrogen limitations in the last two ponds, lipids are accumulated. Table 6 presents the carbon balance for this case; approximately 84.7% of carbon in glucose ends in biomass.

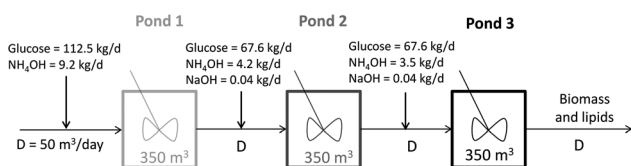
**Table 4** Carbon balance of monoculture with flue gas sparging

| Flue gas feed rate ( $\text{m}^3 \text{ h}^{-1}$ ) | 10            | 40            | 100           | 500           | 2000          |
|--|---------------|---------------|---------------|---------------|---------------|
| Algal biomass                                      | 76.9%         | 56.5%         | 32.1%         | 6.9%          | 1.7%          |
| Not transferred from flue gas                      | 16.3%         | 31.2%         | 54.0%         | 83.8%         | 93.9%         |
| Net loss to atmosphere                             | 6.3%          | 12.2%         | 13.8%         | 9.2%          | 4.4%          |
| Dissolved inorganic carbon lost in outlet flow     | 0.5%          | 0.2%          | 0.1%          | 0.1%          | $\approx 0\%$ |
| Formate production                                 | $\approx 0\%$ | $\approx 0\%$ | $\approx 0\%$ | $\approx 0\%$ | $\approx 0\%$ |
| Biomass g per ( $\text{m}^2 \times \text{day}$ )   | 11.7          | 34.4          | 48.8          | 52.1          | 52.1          |
| Lipids g per ( $\text{m}^2 \times \text{day}$ )    | 5.5           | 16.2          | 22.9          | 25.0          | 24.9          |



**Table 5** Inputs and outputs for yeast monocultures and yeast/algal cocultures with constant light

|  | Monoculture 1 | Monoculture 2 | Coculture |
|--|---------------|---------------|-----------|
| <b>Inputs [g per (m<sup>2</sup> × day)]</b>  |               |               |           |
| Glucose                                      | 200.6         | 300.9         | 195.4     |
| NH <sub>3</sub>                              | 11.4          | 17.1          | 17.4      |
| NaOH   | 0             | 34.3          | 0         |
| <b>Outputs [g per (m<sup>2</sup> × day)]</b> |               |               |           |
| Yeast  | 89.6          | 104.1         | 87.3      |
| Algae  | 0             | 0             | 57.7      |
| Total biomass                                | 89.6          | 104.1         | 145       |
| Acetate                                      | 0             | 73.3          | 0         |
| <b>Carbon balance outputs</b>                |               |               |           |
| Yeast  | 62.8%         | 48.6%         | 59.6%     |
| Algae  | 0%            | 0%            | 35.6%     |
| Acetate                                      | 0%            | 24.8%         | 0%        |
| CO <sub>2</sub> lost to atmosphere           | 36.7%         | 26.0%         | 4.6%      |
| Lost in flow                                 | 0.5%          | 0.6%          | 0.2%      |

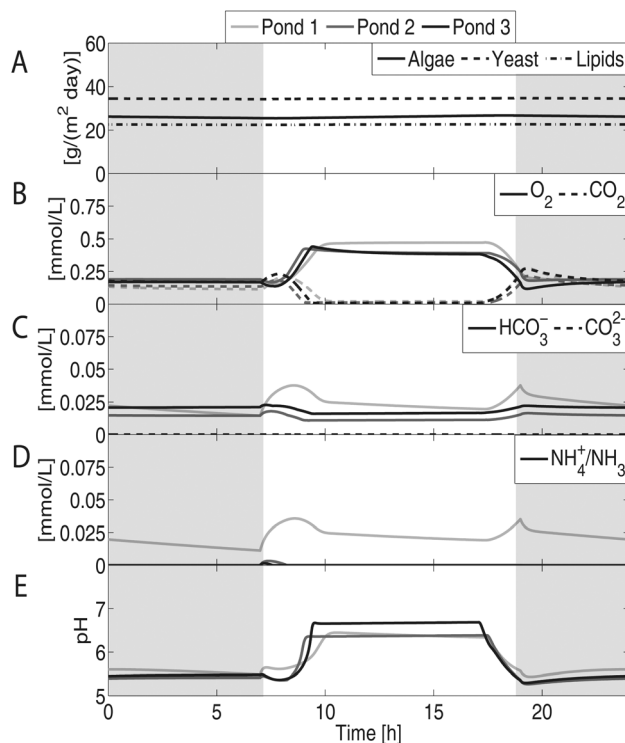
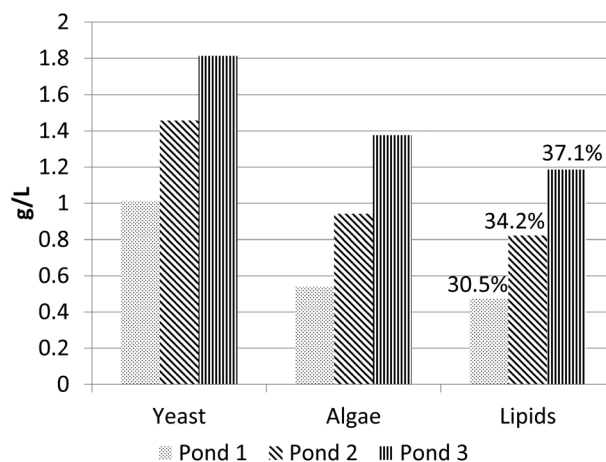
**Fig. 7** Schematic of the algal biomass cultivation system using three raceway ponds. Each pond can be modeled as a CSTR with a volume of 350 m<sup>3</sup>. There is a constant feed and outlet of 50 m<sup>3</sup> per day for each pond. Sodium hydroxide is fed at a constant rate all 24 hours a day, whereas glucose and ammonia are fed only during daytime (12 hours).

### 3.5. Algae/yeast coculture with cellulosic glucose and xylose feeds

When cellulosic biomass is hydrolyzed, both glucose and xylose are obtained. Their ratio is dependent on the source of the lignocellulosic waste. A 2 to 1 glucose to xylose ratio by weight is typical.<sup>30</sup> A process that can utilize both, glucose and xylose, is desirable because the sugar mix is cheaper than pure glucose. Some oleaginous yeasts, for example, *Rhodotorula glutinis*, are able to metabolize xylose.<sup>50</sup> Therefore, we simulated the case where yeast can metabolize both glucose and xylose.

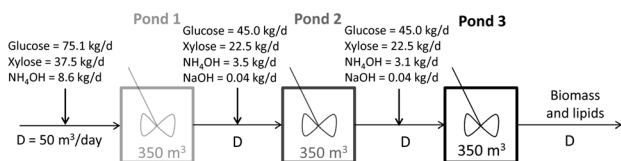
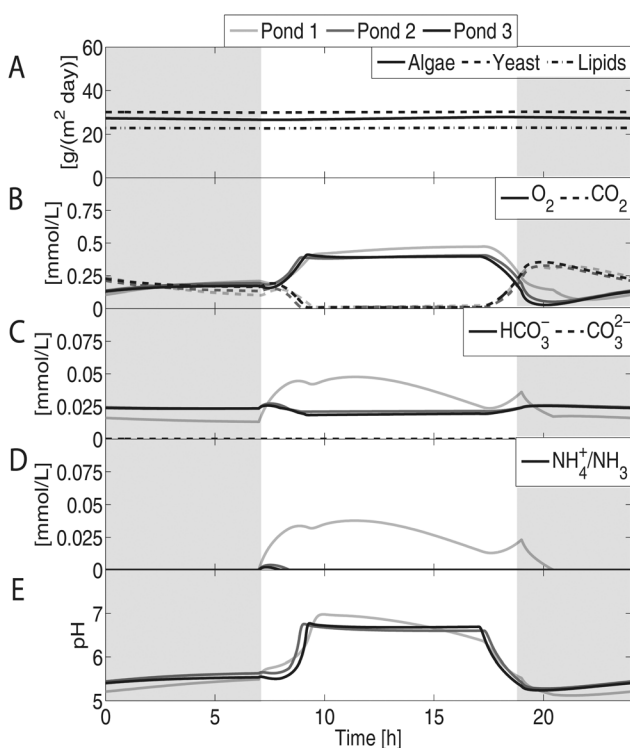
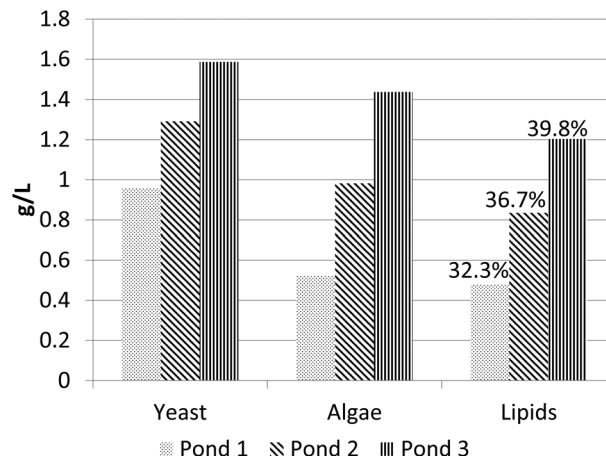
Fig. 10 presents a schematic of the cultivation system. Cellulosic glucose and xylose are metabolized by yeast and converted to CO<sub>2</sub> which is then fixed by algae. A total of 62.9, 31.5, 2.8, and 0.03 g per (m<sup>2</sup> × day) of glucose, xylose, ammonia, and sodium hydroxide, respectively, are fed into the system. Fig. 10 shows how these feeds are distributed among the three ponds; the last pond is nitrogen starved, and lipids production is induced.

Fig. 11 shows that this cultivation scheme can attain yeast, algae, and lipids productivities of 30.2, 27.4 and 22.9 g per (m<sup>2</sup> × day), respectively. Yeast and algae accumulate lipids up to approximately 40% and 39% dry weight, respectively. Fig. 12 shows algae, yeast and lipids concentrations in each pond.

**Fig. 8** Concentration profiles of an algae/yeast cultivation system using three raceway ponds with cellulosic glucose. Shaded areas represent dark periods. (A) Predicted yeast, algae, and lipids productivities are approximately 34.5, 26.2, and 22.6 g per (m<sup>2</sup> × day), respectively. (B) Due to algae's photosynthetic activity, O<sub>2</sub> concentration increases during the day and decreases during the night, whereas CO<sub>2</sub> concentration decreases during the day and increases during the night. (C) HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> concentrations remain low. (D) The concentration of nitrogen drops as we move from Pond 1 to Pond 3. Ponds 2 and 3 have nitrogen limitations inducing lipids production. (E) The pH of the system ranges between 5 and 7.**Fig. 9** Yeast, algae and lipids concentrations in a cultivation system using three raceway ponds with pure glucose feed. The numbers on top of the Lipids bars represent the weight fraction of lipids in total biomass. The last two ponds are nitrogen limited; therefore, the model predicts significant lipids accumulation (up to ~37.1% weight). Yeast grows slower in the last two ponds due to nitrogen limitations and lower glucose feed rates.

**Table 6** Carbon balance of coculture with pure glucose feed

| Carbon inputs |      | Carbon outputs                |       |
|---------------|------|-------------------------------|-------|
| Glucose       | 100% | Yeast biomass                 | 51.4% |
|               |      | Algal biomass                 | 33.3% |
|               |      | Net loss to atmosphere        | 15.2% |
|               |      | Inorganic carbon lost in flow | 0.08% |
|               |      | Glucose lost in flow          | 0.02% |

**Fig. 10** Schematic of the algal biomass cultivation system using three raceway ponds. Each pond can be modeled as a CSTR with a volume of 350 m<sup>3</sup>. There is a constant feed and outlet of 50 m<sup>3</sup> per day for each pond. Sodium hydroxide is fed at a constant rate all 24 hours a day, whereas glucose, xylose and ammonia are fed only during daytime (12 hours).**Fig. 11** Concentration profiles of an algae/yeast cultivation system using three raceway ponds and glucose and xylose feeds. Shaded areas represent dark periods. (A) Predicted yeast, algae, and lipids productivities are approximately 30.2, 27.4 and 22.9 g per (m<sup>2</sup> × day), respectively. (B) Due to algae's photosynthetic activity, O<sub>2</sub> concentration increases during the day and decreases during the night, whereas CO<sub>2</sub> concentration decreases during the day and increases during the night. (C) HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> concentrations remain low. (D) The concentration of nitrogen drops as we move from Pond 1 to Pond 3. Ponds 2 and 3 have severe nitrogen limitations inducing lipids production. (E) The pH of the system ranges between 5 and 7.**Fig. 12** Yeast, algae and lipids concentrations in an algae/yeast cultivation system using three raceway ponds with glucose and xylose feeds. The numbers on top of the Lipids bars represent the weight fraction of lipids in total biomass. The last two ponds are nitrogen limited; therefore, the model predicts significant lipids accumulation (up to ≈39.8% weight). Yeast grows slower on the last two ponds due to nitrogen limitations and lower glucose and xylose feed rates.**Table 7** Carbon balance of coculture with glucose and xylose feeds

| Carbon inputs |       | Carbon outputs                |       |
|---------------|-------|-------------------------------|-------|
| Glucose       | 66.7% | Yeast biomass                 | 45.0% |
| Xylose        | 33.3% | Algal biomass                 | 35.7% |
|               |       | Net loss to atmosphere        | 19.2% |
|               |       | Inorganic carbon lost in flow | 0.1%  |
|               |       | Xylose lost in flow           | 0.1%  |
|               |       | Glucose lost in flow          | 0.01% |

Due to nitrogen limitations in the last two ponds, lipids are accumulated. Table 7 presents the carbon balance for this case; approximately 80.6% of the carbon in glucose and xylose ends in biomass.

This kind of biomass cultivation system can be implemented at locations that generate large amounts of cellulosic waste, such as farms or forests. The area suitable for this scheme is much larger than the one offered by the scheme requiring flue gas in section 3.2.

### 3.6. Economic analysis

We now proceed to do a simple economic analysis based on Table 9 of Williams and Laurens.<sup>38</sup> First we describe the assumptions for this economic analysis.

**3.6.1. Raceway pond costs.** The capital costs for a raceway pond were estimated from Chisti.<sup>41</sup> A plastic-lined pond including earth works, carbon dioxide supply piping, inlets, outlets, baffles, paddlewheel, and motor had a cost of \$69 500 per hectare in 1987. Using CPI indices, this translates to roughly \$146 000/hectare in 2015 dollars.



Each pond has a paddlewheel running for 24 hours. The power consumed by the paddlewheel can be calculated using the following equation:<sup>41</sup>

$$P = \frac{1.59A\rho g u^3 f_M^2}{e d_h^{0.33}} \quad (6)$$

where  $P$  is the power in Watts,  $A = 850 \text{ m}^2$ ,  $\rho \approx 1000 \text{ kg m}^{-3}$  is the density of the culture broth,  $g = 9.81 \text{ m s}^{-2}$ ,  $u = 0.23 \text{ m s}^{-1}$  is the flow velocity,  $f_M = 0.015 \text{ s m}^{-1/3}$  is the Manning channel roughness factor for concrete,  $e$  is the paddlewheel efficiency estimated at about 0.17,<sup>41</sup> and  $d_h = 0.96 \text{ m}$  is the hydraulic diameter of the channel. This gives an estimate of 216.4 W/pond = 5.19 kWh per (pond  $\times$  day).

**3.6.2. Flue gas compression.** Let us assume that flue gas is available for free. An estimated pressure drop of 1 bar per km is predicted.<sup>62</sup> Let us suppose that compression is done adiabatically, with compression ratio equal to 4, and efficiency of

80%.<sup>62</sup> From McCabe *et al.*,<sup>63</sup> the following equation can be used to calculate the power requirements of compression:

$$\text{Power} = \frac{0.371 T_a \gamma q_0}{(\gamma - 1) \eta} \left[ \left( \frac{P_{\text{out}}}{P_{\text{in}}} \right)^{1-1/\gamma} - 1 \right] \quad (7)$$

with power in kW,  $q_0$  the flowrate in  $\text{m}^3 \text{ s}^{-1}$  at 0 °C and 1 atm,  $T_a = 298 \text{ K}$  the inlet temperature and  $\eta$  the efficiency. To obtain  $\gamma$ , the composition of flue gas was assumed to be 81.4%  $\text{N}_2$ , 13.6%  $\text{CO}_2$  and 5%  $\text{O}_2$ .<sup>45</sup> Their heat capacities at 20 °C are 29.1, 36.9, and 29.3  $\text{J (mol} \times \text{K)}^{-1}$ , respectively, resulting in an average  $\gamma = 1.386$ . A flowrate of  $1 \text{ m}^3 \text{ h}^{-1}$  at 298 K is equivalent to  $2.545 \times 10^{-4} \text{ m}^3 \text{ s}^{-1}$  at 273 K. Multistage compression is used to compress above 4 atm.

We estimated the capital costs of a centrifugal compressor with maximum capacity of 30 000 kW using the correlations in Table 7.2 of Towler and Sinnott.<sup>64</sup> We calculated the compressor capital costs considering a cultivation area of 900 hectares.

**Table 8** Economic analysis for biodiesel production using  $\text{CO}_2$  sparging

| Sparging rate ( $\text{m}^3 \text{ h}^{-1}$ ) | 10          | 40          | 100         | Units                                  |
|---|-------------|-------------|-------------|--|
| Areal production                              | 11.7        | 34.4        | 48.8        | $\text{gDW per (m}^2 \times \text{d)}$ |
|   | 42.7        | 125.6       | 178.1       | Tonne per (ha $\times$ yr)             |
| Lipid content                                 | 47.4        | 47.1        | 46.9        | %DW                                    |
| Lipid production                              | 20.2        | 59.1        | 83.6        | Tonne per (ha $\times$ yr)             |
| Carbohydrate production                       | 9.0         | 26.6        | 37.8        | Tonne per (ha $\times$ yr)             |
| Protein production                            | 13.5        | 39.9        | 56.7        | Tonne per (ha $\times$ yr)             |
| Electricity AD                                | 34.8        | 103         | 146.5       | MWh per (ha $\times$ yr)               |
| <b>Capital costs</b>                          |             |             |             |  |
| Raceway pond                                  | 146         | 146         | 146         | \$1000 per ha                          |
| Non-pond site preparation                     | 5           | 5           | 5           | \$1000 per ha                          |
| Engineering                                   | 22          | 22          | 22          | \$1000 per ha                          |
| Harvest                                       | 3.6         | 3.6         | 3.6         | \$1000 per ha                          |
| Extraction                                    | 4.6         | 4.6         | 4.6         | \$1000 per ha                          |
| Anaerobic digester                            | 5.1         | 14.9        | 21.2        | \$1000 per ha                          |
| Total capital costs                           | 186.2       | 196.1       | 202.4       | \$1000 per ha                          |
| <b>Power requirements</b>                     |             |             |             |  |
| Growth  | 21.6        | 21.6        | 21.6        | MWh per (ha $\times$ yr)               |
| Harvest                                       | 38          | 38          | 38          | MWh per (ha $\times$ yr)               |
| Dewatering                                    | 1.5         | 1.5         | 1.5         | MWh per (ha $\times$ yr)               |
| Electricity required                          | 26.3        | -41.8       | -85.4       | MWh per (ha $\times$ yr)               |
| <b>Running costs</b>                          |             |             |             |  |
| Labor   | 4430        | 4430        | 4430        | \$ per (ha $\times$ yr)                |
| Electricity                                   | 2640        | -4191       | -8557       | \$ per (ha $\times$ yr)                |
| Transesterification                           |             |             |             |  |
| Power (natural gas)                           | 93.2        | 272         | 385         | \$ per (ha $\times$ yr)                |
| Methanol                                      | 927         | 2710        | 3830        | \$ per (ha $\times$ yr)                |
| NaOH  | 462         | 462         | 462         | \$ per (ha $\times$ yr)                |
| Water   | 145         | 145         | 145         | \$ per (ha $\times$ yr)                |
| Ammonia                                       | 69.6        | 207         | 295         | \$ per (ha $\times$ yr)                |
| DAP   | 586.2       | 1740        | 2480        | \$ per (ha $\times$ yr)                |
| Anaerobic digester                            | 1744        | 5160        | 7350        | \$ per (ha $\times$ yr)                |
| Capital costs @ 10%/yr                        | 18.6        | 19.6        | 20.2        | \$1000 per (ha $\times$ yr)            |
| Total costs                                   | 29.7        | 30.5        | 31.1        | \$1000 per (ha $\times$ yr)            |
| Biodiesel production ideal                    | 16.1        | 47.0        | 66.5        | kL per (ha $\times$ yr)                |
| Biodiesel production real                     | 8.0         | 23.5        | 33.2        | kL per (ha $\times$ yr)                |
| <b>Biodiesel cost (0 km)</b>                  | <b>3.70</b> | <b>1.30</b> | <b>0.93</b> | <b>\$ per L</b>                        |
| <b>Biodiesel cost (1 km)</b>                  | <b>4.08</b> | <b>1.66</b> | <b>1.54</b> | <b>\$ per L</b>                        |
| <b>Biodiesel cost (3 km)</b>                  | <b>4.36</b> | <b>1.98</b> | <b>2.09</b> | <b>\$ per L</b>                        |
| <b>Biodiesel cost (15 km)</b>                 | <b>5.02</b> | <b>2.66</b> | <b>3.25</b> | <b>\$ per L</b>                        |
| <b>Biodiesel cost (63 km)</b>                 | <b>5.68</b> | <b>3.34</b> | <b>4.41</b> | <b>\$ per L</b>                        |



We considered that the compressor was made of stainless steel due to the acids present in the flue gas, and used the factors in Tables 7.4 and 7.5 of Towler and Sinnott.<sup>64</sup>

**3.6.3. Raw materials.** The price of cellulosic glucose is \$0.126/kg,<sup>65</sup> and that of a mix of glucose and xylose is \$0.07/kg.<sup>66</sup> From Table 4 in Davis, the price of ammonia is \$0.407/kg and of diammonium phosphate (DAP) is \$0.442/kg.<sup>67</sup> From ICIS Chemicals, the cost of NaOH was \$0.83/kg.<sup>68</sup> The cost of methanol and natural gas were obtained from Williams and Laurens.<sup>38</sup>

**3.6.4. Other considerations.** The density of biodiesel is 0.88 kg L<sup>-1</sup>, and it is assumed that 70% of biolipid production is equal to the production of biodiesel<sup>38</sup> due to losses in the esterification reaction and downstream processing inefficiencies. It is assumed that 0.3 cm per day corresponding to 30 L per day per hectare of water are lost to evaporation, that all water in the outlet stream can be recycled, and that the cost of water is \$0.05/1000 gal.<sup>67</sup> Capital and operating costs associated to engineering, harvest, extraction, anaerobic digestion,

and labor were obtained from Williams and Laurens considering a price of electricity of 10.2 cents per kWh.<sup>69</sup> Only 12% of the site preparation costs reported in Table 9 of Williams and Laurens were maintained in the analysis of this paper, as 88% of the site area is devoted to the ponds and the site preparation costs for the pond are already considered in the pond capital costs estimate.<sup>38</sup> The capital costs are divided by 10 years of operation.<sup>38</sup> It is assumed that carbohydrates and protein are processed in an anaerobic digester (AD) and their calorific values (17.3 and 23.9 kJ g<sup>-1</sup>, respectively) are transformed to electricity with an efficiency of 26.25%.<sup>38</sup> A ratio of 3 : 2 protein to carbohydrates is assumed.<sup>38</sup>

In the economic analysis of Williams and Laurens, protein is sold as animal feed at \$0.9/kg.<sup>38</sup> At this price, the income from protein exceeds the one obtained from biodiesel. If microalgal ponds are used for large-scale production of biodiesel, the market for animal feed will be flooded and the price of protein as animal feed will fall. Therefore, in this analysis we assumed all protein was digested anaerobically.

**Table 9** Economic analysis for biodiesel production with pure glucose and a glucose/xylose mix

|                            | Coculture   | Coculture   | Yeast       | Units                        |
|----------------------------|-------------|-------------|-------------|------------------------------|
| Glucose or mix             | Glucose     | Mix         | Mix         |                              |
| Yeast production           | 34.5        | 30.2        | 86.9        | gDW per (m <sup>2</sup> × d) |
| Algae production           | 26.2        | 27.3        | 0           | gDW per (m <sup>2</sup> × d) |
| Lipid production           | 82.5        | 83.6        | 128.7       | Tonne per (ha × yr)          |
| Carbohydrate production    | 55.6        | 50.5        | 75.5        | Tonne per (ha × yr)          |
| Protein production         | 83.4        | 75.8        | 113.2       | Tonne per (ha × yr)          |
| Electricity AD             | 216         | 196         | 292         | MWh per (ha × yr)            |
| <b>Capital costs</b>       |             |             |             |                              |
| Raceway pond               | 146         | 146         | 146         | \$1000 per ha                |
| Non-pond site preparation  | 5           | 5           | 5           | \$1000 per ha                |
| Engineering                | 22          | 22          | 22          | \$1000 per ha                |
| Harvest                    | 3.6         | 3.6         | 3.6         | \$1000 per ha                |
| Extraction                 | 4.6         | 4.6         | 4.6         | \$1000 per ha                |
| Anaerobic digester         | 31.2        | 28.4        | 42.4        | \$1000 per ha                |
| Total capital costs        | 212.4       | 209.6       | 223.6       | \$1000 per ha                |
| <b>Power requirements</b>  |             |             |             |                              |
| Growth                     | 21.6        | 21.6        | 21.6        | MWh per (ha × yr)            |
| Harvest                    | 38          | 38          | 38          | MWh per (ha × yr)            |
| Dewatering                 | 1.5         | 1.5         | 1.5         | MWh per (ha × yr)            |
| Electricity required       | -154.4      | -134.6      | -231.3      | MWh per (ha × yr)            |
| <b>Running costs</b>       |             |             |             |                              |
| Labor                      | 4430        | 4430        | 4430        | \$ per (ha × yr)             |
| Electricity                | -15 400     | -13 500     | -23 200     | \$ per (ha × yr)             |
| Transesterification        |             |             |             |                              |
| Power (natural gas)        | 380         | 385         | 593         | \$ per (ha × yr)             |
| Methanol                   | 3780        | 3830        | 5900        | \$ per (ha × yr)             |
| NaOH                       | 90.9        | 90.9        | 0           | \$ per (ha × yr)             |
| Water                      | 145         | 145         | 145         | \$ per (ha × yr)             |
| Ammonia                    | 1300        | 1150        | 2780        | \$ per (ha × yr)             |
| DAP                        | 2360        | 2190        | 1690        | \$ per (ha × yr)             |
| Sugars                     | 43 400      | 24 100      | 50 400      | \$ per (ha × yr)             |
| Anaerobic digester         | 4320        | 3930        | 5870        | \$ per (ha × yr)             |
| Capital Costs @ 10%/yr     | 21.2        | 21.0        | 22.4        | \$1000 per (ha × yr)         |
| Total costs                | 65.8        | 47.6        | 70.8        | \$1000 per (ha × yr)         |
| Biodiesel production ideal | 65.6        | 66.5        | 102.4       | kL per (ha × yr)             |
| Biodiesel production real  | 32.8        | 33.2        | 51.2        | kL per (ha × yr)             |
| <b>Biodiesel cost</b>      | <b>2.01</b> | <b>1.44</b> | <b>1.39</b> | <b>\$ per L</b>              |



This allows to recover nitrogen and phosphorus such that 60% of the nutrient inputs to the process are recycled.<sup>41</sup>

An open pond system is prone to contamination and invasion by other species. In fact, in some cases contamination can cause the desired algae strain concentration to fall by approximately 90% in a matter of days.<sup>70</sup> Some strategies such as adding toxic chemicals or increasing salt concentration to kill potential invaders, constant feeding of the desired algal and yeast strains, and growing algae and yeast in coculture reduce this risk. To consider contamination and invasion risks, the final biodiesel yield was divided by two.

First, the economic analysis of the sparging alternative is presented. From Table 8 we notice that the monoculture is very competitive when it is very close to a source of flue gas, but its price increases rapidly with distance. High sparging rates are desirable because they attain higher concentrations of biomass, making the capital costs less burdensome. However, as distance from a flue gas source increases, higher sparging rates incur higher operating costs.

Next we present the results for a coculture growing on pure glucose, one growing on a mix of glucose and xylose, and a yeast monoculture growing on a mix of glucose and xylose (Table 9). The benefits of using a mix of sugars instead of pure glucose is evident as the \$ per L decreases approximately ~30% with respect to pure glucose. The yeast monoculture performs slightly better than the coculture, although the difference is probably within the accuracy of our cost estimates. There are several benefits of using a coculture with respect to a monoculture:

1. In a coculture, resources are better utilized making invasion more difficult. A coculture is more resilient than a monoculture.<sup>14</sup>
2. If CO<sub>2</sub> generation is a concern, the coculture releases less CO<sub>2</sub> to the atmosphere than a yeast monoculture as algae metabolizes CO<sub>2</sub>. In the monoculture 37% of the carbon in the sugars is released as CO<sub>2</sub> compared to 19% in the coculture.
3. The coculture utilizes less raw materials. In mature commodity industries, raw materials costs represent approximately 70% of the total cost; therefore, reducing raw materials consumption is important.

Therefore, an algae/yeast coculture could be preferred over a yeast monoculture.

The anaerobic digester produces CO<sub>2</sub>. If algae ponds are located immediately next to the digester, minimal costs are incurred for compressing the flue gas. Then, the price per liter of biodiesel for a sparging rate of 100 m<sup>3</sup> h<sup>-1</sup> is of \$0.93/L. A mixed setup can be conceived where most of the biodiesel is produced from a glucose/xylose mix and a few ponds produce biodiesel using flue gas from the anaerobic digester.

## 4. Conclusions

DFBA can be used to model accurately complex and novel biological scenarios, for example, a microbial consortia in an algal pond. Based on this modeling framework, the potential

of producing biodiesel in raceway ponds from algae and oleaginous yeast was evaluated. Flue gas can be used to produce biodiesel at competitive prices only if the ponds are located very close to the flue gas source. Meanwhile, algae/yeast cocultures provide a method of producing biodiesel using cellulosic sugar. Our model predicts a cost of production of biodiesel of \$2.01/L if pure glucose is used and \$1.44/L if a mix of glucose and xylose is used instead.

The results of this work suggest that algae/yeast cocultures for biodiesel production should be considered seriously. This alternative employs cellulosic sugars which are currently very cheap. In this analysis we considered that lipid-extracted biomass was utilized to produce electricity. Another option would be to treat it and make it digestible by the consortia, potentially reducing the operating costs of the consortia alternative. In addition, the results in this work are not systematically optimized. The optimization of this system requires the computation of generalized derivatives for non-smooth objective functions. The work in Khan *et al.*<sup>71</sup> and Höffner *et al.*<sup>72</sup> will enable the numerical optimization of these systems to become possible in the very near future. However, despite the lack of optimization, the results of the algae/yeast coculture growing on cellulosic sugars presented in this paper are promising. We suggest experimental groups implement the proposed microbial consortia strategy to increase culture resilience and expand the range of substrates that can be converted into biofuels.

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