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# How simple are the models of Na intercalation in aqueous media?<sup>†</sup>

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Intercalation is among interesting phenomena changing everyday life with a remarkable speed. Numerous generations of modern batteries use it and markedly contribute to the portfolio of energy materials for future sustainable energy provision. Na-ion batteries operating in aqueous media, being presumably the “number two” compared to other alternatives for portable and automotive applications, are probably among potential leaders in the case of future larger-scale energy storage schemes, specifically because of safety and sustainability issues. However, there is a certain lack of detailed understanding of such systems. In this work, using model electrodes we further emphasise that it is not only the electrode materials themselves that determine the performance of intercalation electrodes. Surprisingly, comparatively slight changes in the electrolyte composition and solvation effects can very radically control intercalation of alkali metal cations. Therefore, it would be stimulating to adjust existing strategies in designing future aqueous battery systems. Several examples are given using one of the state-of-the-art cathode materials, Na<sub>2</sub>Ni[Fe(CN)<sub>6</sub>], with model electrodes prepared as quasi-uniform thin films.

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### Broader context

Na-ion batteries operating in aqueous media are among the most promising candidates to contribute to the solution of the so-called generation *vs.* consumption problem in future sustainable energy provision schemes, perhaps even at the “TW-level”. However, in order to improve existing electrode materials in these devices, deeper fundamental understanding of processes governing their performance is necessary. In this work, it is shown that the interfacial transfer of sodium between one of the best cathode materials and aqueous electrolytes likely involves at least three stages. These stages are significantly influenced by the electrolyte properties and composition. The findings of this work can be used to design ever better Na-ion aqueous batteries, taking into account that the specific capacity of the optimised cathode systems in this work is just 5% lower compared to the theoretical one at a C-rate as high as 180 C. Additionally, the role of solvation effects is emphasized.

## Introduction

Solar and wind energy systems already significantly contribute to energy provision schemes nowadays.<sup>1–4</sup> However, more effective solutions of the so-called “generation *versus* consumption” problem are required to facilitate their further development. Rechargeable batteries are a part of the answer to this challenge as they are, in general, very convenient means for energy storage thanks to their relative affordability, flexibility, high energy conversion efficiency and simple maintenance.<sup>5,6</sup>

Intercalation materials for lithium ion batteries remain probably among the most attractive ones for portable devices

and automotive applications.<sup>7–9</sup> Nevertheless, for larger-scale stationary energy storage systems, several key issues should be additionally considered. For instance, one of them is related to sustainability factors.<sup>10</sup> It has been roughly estimated that in order to address the so-called “TW-challenge” using just Li-ion batteries, ~160 years of current lithium production would be necessary.<sup>11</sup> While there is a certain capability to increase the rate of production of this metal substantially, it is nowadays clear that its fast accumulation for various stationary applications might be problematic. A second issue is associated with the current use of flammable organic electrolytes in Li-ion batteries. Safety problems upon scaling up are often of non-trivial complexity, increasing the maintenance costs and the total system price in this case.<sup>12–16</sup>

A viable alternative for stationary large-scale storage applications is to use Na-ion batteries, where electrode materials operate in aqueous media.<sup>17–24</sup> These types of devices have attracted great attention with an increasing amount of publications,

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as thoroughly reviewed recently by Kim *et al.*<sup>25</sup> Accumulation of Na in amounts necessary to address the TW-challenge seems to be more than one order of magnitude faster compared with lithium.<sup>11</sup> Additionally, aqueous electrolytes would gradually minimise safety risks. However, further development and optimisation of functional electrode materials in this area require detailed understanding of processes which control Na intercalation and de-intercalation, including interfacial charge transfer. For this, model systems and electrodes as well as their comprehensive *in situ* characterisation are necessary.

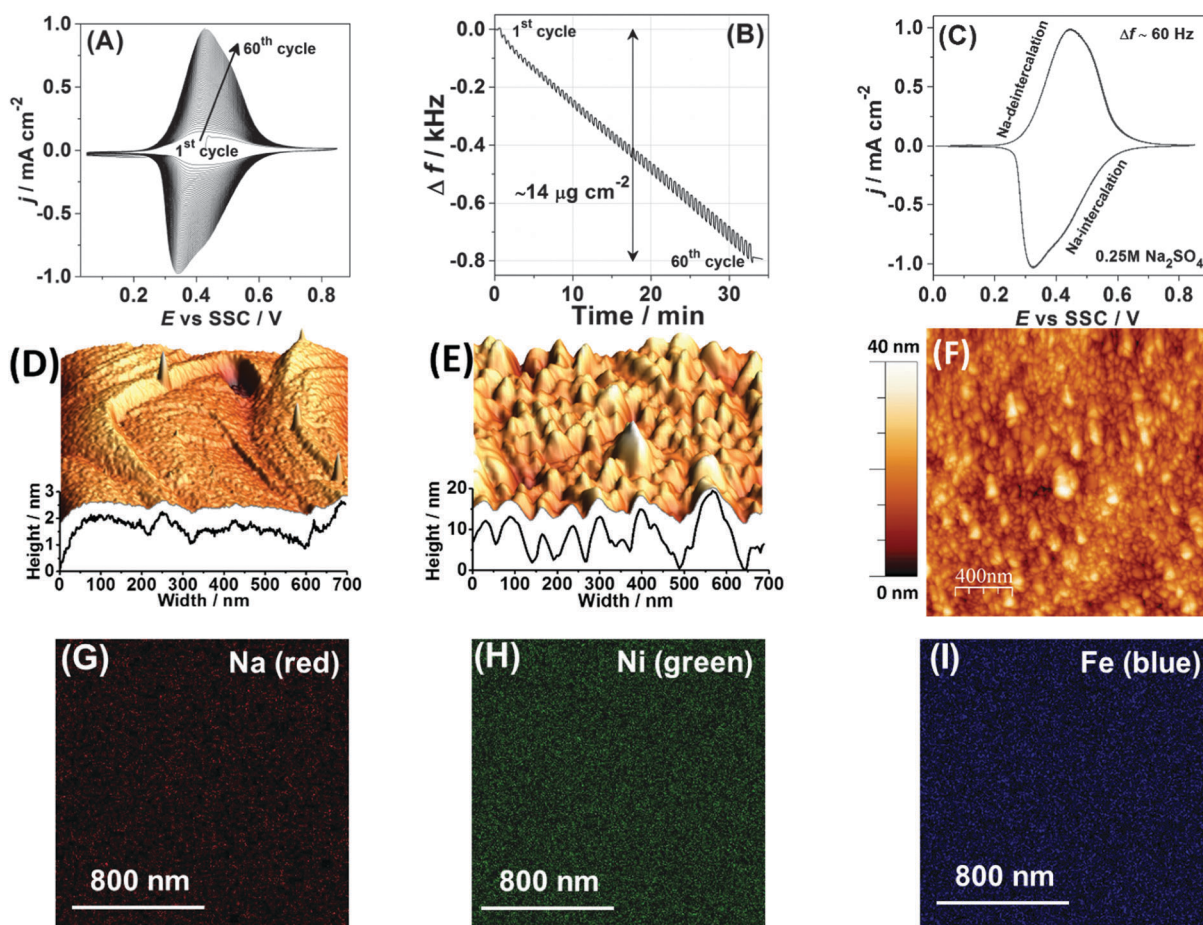
In this work, using model electrodes consisting of electrochemically deposited thin films of one of the state-of-the-art cathode materials, namely  $\text{Na}_2\text{Ni}[\text{Fe}(\text{CN})_6]$  (a typical representative of so-called Prussian blue analogues),<sup>26,27</sup> we demonstrate that intercalation of Na and its reversibility in cathodic and anodic cycles are largely influenced by the nature of anions present in the electrolytes. On the other hand, the electrode potential required for intercalation is determined not only by the electrode material itself: it is directly proportional to the hydration (solvation) energy of cations.

Implications for the development of new Na-ion battery systems are briefly discussed.

## Experimental

$\text{Na}_2\text{Ni}[\text{Fe}(\text{CN})_6]$  thin films were deposited onto AT-cut Au quartz crystal wafers (Stanford Research Systems) from a solution of 0.25 M  $\text{Na}_2\text{SO}_4$  containing 0.5 mM  $\text{K}_3\text{Ni}[\text{Fe}(\text{CN})_6]$  and 0.5 mM  $\text{NiCl}_2 \cdot 6\text{H}_2\text{O}$  by cycling the potential in the range from 0.05 V to 0.85 V at a scan rate of  $50 \text{ mV s}^{-1}$  for  $\sim 60$  cycles, as shown in Fig. 1A and B. All chemicals and solvents utilised in this work were used without further treatments and are listed with full details in the ESI.† The experiments were performed in a typical glass cell exploiting a three-electrode setup using a Bio-Logic VSP-300 potentiostat and a QCM 200 (Stanford Research Systems) electrochemical quartz crystal microbalance (EQCM). Electrode potentials were referred to an Ag/AgCl (SSC) reference electrode. A Pt wire was used as a counter electrode.

Cyclic voltammetry, EQCM measurements and common battery tests were performed using different electrolytes including



**Fig. 1** Characterisation of the  $\text{Na}_2\text{Ni}[\text{Fe}(\text{CN})_6]$  thin films. (A) A typical cyclic voltammogram obtained during film deposition and (B) the corresponding electrode mass change,  $\text{d}E/\text{d}t = 50 \text{ mV s}^{-1}$ . (C) A typical cyclic voltammogram characterising Na intercalation/de-intercalation in aqueous 0.25 M  $\text{Na}_2\text{SO}_4$  electrolyte. (D–F) AFM images comparing a reference metal single-crystal surface (D) before and (E and F) after deposition of the  $\text{Na}_2\text{Ni}[\text{Fe}(\text{CN})_6]$  thin films. (G–I) Distribution of (G) Na, (H) Ni and (I) Fe in the resulting film (see ESI† for further details).



1 M Na<sub>2</sub>SO<sub>4</sub>, 0.25 M Na<sub>2</sub>SO<sub>4</sub>, 0.25 M NaCl, 0.25 M NaNO<sub>3</sub>, 0.25 M NaClO<sub>4</sub> and 0.25 M NaOAc (sodium acetate). Moreover, in order to determine the onset potential for intercalation of various alkali metal cations, further experiments also involved different 0.25 M aqueous solutions of MNO<sub>3</sub> (where M = Li, Na, K, Rb, or Cs) at a scan rate of 50 mV s<sup>-1</sup>. Electrochemical impedance spectroscopy (EIS) characterisation of the Na<sub>2</sub>Ni[Fe(CN)<sub>6</sub>] thin films in 0.25 M Na<sub>2</sub>SO<sub>4</sub> electrolytes was conducted using AC probing frequencies between 10 kHz and 0.5 Hz with a 10 mV amplitude of the probing signals in the potential range between 0.1 V and 0.8 V. The output of the fitting procedure was controlled by root-mean-square deviations and estimated individual parameter errors using home-made "EIS Data Analysis 1.0" software to ensure the validity of the model and correctness of the fitting, as described in detail elsewhere.<sup>28,29</sup>

The morphology and uniformity of the deposited thin films were investigated by SEM, electron microprobe and AFM. The atomic force microscope, utilised in this work, was a multimode EC-STM/AFM instrument (Veeco VI) with a Nanoscope IIID controller using the Nanoscope 5.31r1 software. All measurements were conducted in tapping mode (AFM tips, Bruker RTESP-300). SEM and electron microprobe images were obtained with a 20 kV beam using "Mira" from Tescan, Czech Republic, with an "INCA Energy 350" electron microprobe from Oxford Instruments Analytical, UK.

Further detailed information on experimental procedures, instrumentation and chemicals is available in the ESI.†

## Results and discussion

The following electrochemical reaction was used to form thin films of Na<sub>2</sub>Ni[Fe(CN)<sub>6</sub>] under potentiodynamic conditions, as additionally described in detail in the ESI.†

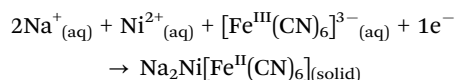
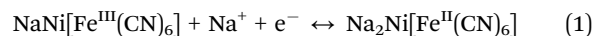


Fig. 1A shows a typical cyclic voltammogram (CV), which characterises the deposition process. It should be noted here that CVs in each subsequent figure, related to the same type of experiments (for instance CVs of Na intercalation in 0.25 M Na<sub>2</sub>SO<sub>4</sub> solutions), are shown for different sets of experiments intentionally in order to demonstrate a good reproducibility; however, some possible stability issues are not taken into account, as they are outside of the scope of this work. Anodic and cathodic peaks in the CV shown in Fig. 1A are due to the changes in the oxidation state of Fe in the forming Na<sub>2</sub>Ni[Fe(CN)<sub>6</sub>] film. It can be seen from Fig. 1A that the peak current increases with the potential cycles. The associated net electrode mass change measured using a quartz crystal microbalance is shown in Fig. 1B. A comparison of the resulting mass change at the end of the deposition process (~14 μg cm<sup>-2</sup>) and the integrated charge from the CV (~4.6 mC cm<sup>-2</sup>) gives, within ~5% accuracy, the expected molar weight for Na<sub>2</sub>Ni[Fe(CN)<sub>6</sub>] (note that oscillations seen in Fig. 1B are due to intercalation and de-intercalation of sodium during the film formation). Fig. 1C shows a typical CV of the

Na<sub>2</sub>Ni[Fe(CN)<sub>6</sub>] film in a pure aqueous 0.25 M Na<sub>2</sub>SO<sub>4</sub> electrolyte characterising Na intercalation/de-intercalation (corresponding net electrode mass change is ~1 μg cm<sup>-2</sup>, which is close to that expected, considering that only 50% of sodium is exchanged in the complete cycle). The resulting electrodes are relatively smooth (see the morphology and topology in Fig. 1D–F and the ESI†), with uniform distribution of the key elements, Na, Ni and Fe, as revealed by the electron microprobe technique (Fig. 1G–I).

A generally accepted scheme of Na intercalation and de-intercalation in aqueous systems in the case of Na<sub>2</sub>Ni[Fe(CN)<sub>6</sub>] cathode material is as follows:<sup>25</sup>

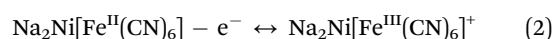


This scheme describes Na intercalation as a very simple one-stage process, in which neither solvent nor other electrolyte components play an essential role. In order to explore the validity of the mechanism presented above in more detail, we have performed an EIS study combined with nano-gravimetry. The latter was used to particularly ensure the required quasi-stationarity of the system during the measurements. Fig. 2A shows a typical electrode mass change during voltammetric cycling and subsequent potentiostatic modes (the latter is referred to as being rather convenient for the further comparison situation when only ~50% of the intercalated sodium is exchanged, *i.e.* a "half-charged"/"half-discharged" state). As can be seen from Fig. 2A, after the electrode potential had been fixed, the electrode mass remained practically unchanged during the time required to obtain an impedance spectrum (~1 min). Additionally, Kramers–Kronig tests did not reveal significant problems with spectral quality.

Surprisingly, impedance spectra recorded at different electrode potentials at which Faradaic processes take place do not support the hypothesis about the simple one-stage mechanism of the interfacial process. Typical "loops" which can be seen in the spectra (Fig. 2C–F) suggest at least a three-stage mechanism involving two reversible "intermediate steps" with interfacial charge transfer, followed by a step which does not contribute to the net charge transfer through the interface (see ESI† for further details). The unique relation of the spectral shapes and the multi-stage mechanisms is well known and protocolled for various systems, as summarised in ref. 30. Furthermore, a relatively simple physical model which reflects the above-mentioned three-stage mechanism (Fig. 2B) fits well all the spectra for all the measured potentials, additionally verifying that the observed spectral shapes are not an experimental artifact due to, for example, contaminations, non-stationarity or non-linearity effects. Thus, a more complex model should be taken into account.

As a first approximation we propose the following three-stage mechanism capable of explaining the impedance data (for simplicity only de-intercalation is formally discussed, while all three steps are quasi-reversible):

(1) Electroactive step, *i.e.* oxidation of Fe<sup>II</sup>, which proceeds comparatively fast due to the good electronic conductivity of the film (we use some arbitrary schematic designations in the equations below):





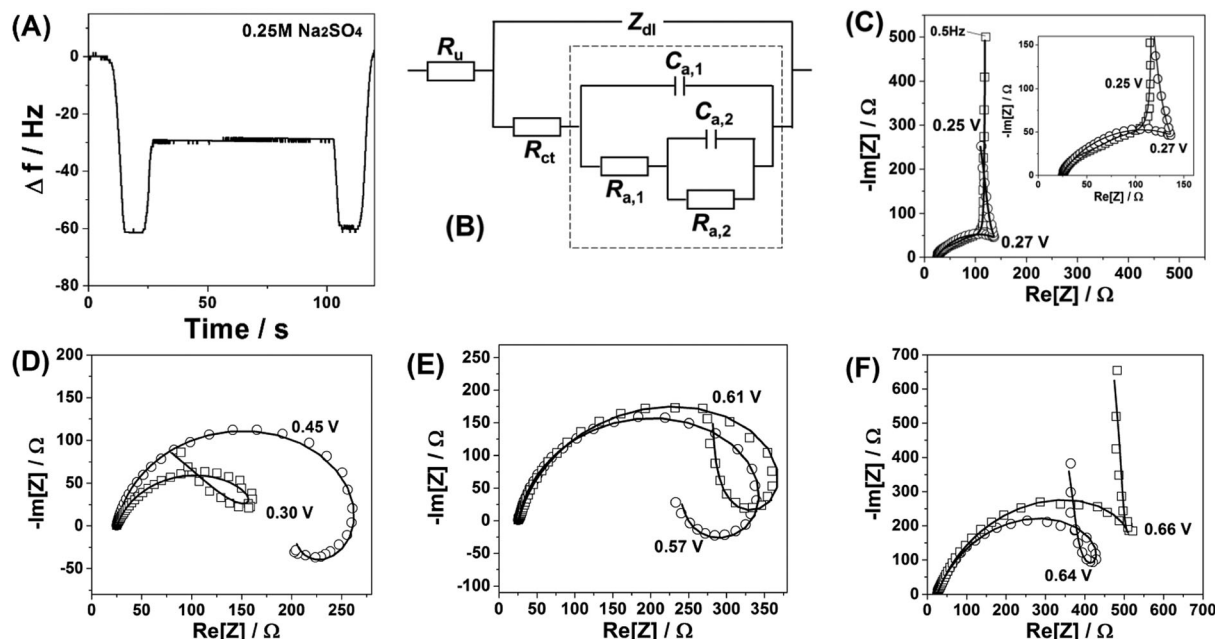
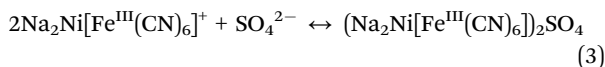
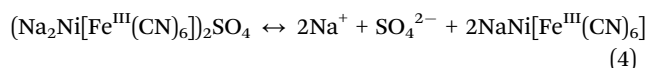


Fig. 2 Characterisation of the  $\text{Na}_2\text{Ni}[\text{Fe}(\text{CN})_6]$  thin films using impedance spectroscopy. (A) A typical electrode mass change curve confirming quasi-stationarity of the system within the time necessary to record one impedance spectrum. (B) Equivalent electric circuit reflecting the 3-stage mechanism ( $R_u$ , uncompensated resistance;  $Z_{dl}$ , impedance of the double layer;  $R_{ct}$ , charge transfer resistance; other R and C elements are adsorption (pseudo)resistances and (pseudo)capacitances, respectively, which are given by complex combinations of physicochemical parameters of adsorption/desorption (see text for details)). (C–F) Examples of impedance spectra (open symbols) at different electrode potentials together with the fitting (solid curves) to the model shown in (B). Inset in (C) shows the high frequency part of the same spectrum.

(2) Specific adsorption step, which can be considered as temporary compensation of the excess positive electrode charge due to relatively slow  $\text{Na}^+$  de-intercalation (this step is likely to be distinguished as a separate one in EIS, as the mass transport in solids is relatively slow compared to that in liquids, and the change in the oxidation state of Fe in the film is in turn faster than the mentioned compensation from the electrolyte side):



(3) “Non-electroactive step” (no net interfacial charge transfer), in which  $\text{Na}^+$  and  $\text{SO}_4^{2-}$  ions leave the surface:



Importantly, the suggested mechanism involves the electrolyte components, namely anions. If sodium intercalation and de-intercalation indeed depend on the electrolyte composition, some key kinetic properties should be affected by changing the nature of the anions or their concentrations accordingly. Indeed, Fig. 3A further supports this hypothesis.

Fig. 3A shows how the nature of anions influences the peak shapes and so-called voltammetric reversibility, *i.e.* it reveals how fast intercalation and de-intercalation of sodium are as a function of the electrolyte composition. Noticeably, the corresponding peak separation becomes more and more evident if more “asymmetric” anions and/or anions with a lower net negative charge density are used, *i.e.*  $\text{SO}_4^{2-} < \text{Cl}^- < \text{NO}_3^- < \text{ClO}_4^- < \text{OAc}^-$ . This trend cannot be easily explained by some

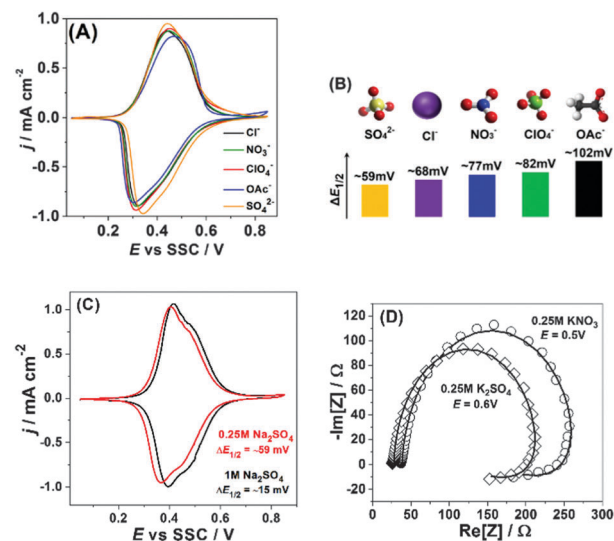


Fig. 3 Influence of the nature of anions and their concentration on reversibility and mechanism of sodium intercalation to  $\text{Na}_2\text{Ni}[\text{Fe}(\text{CN})_6]$ . (A) Typical CVs in the presence of different anions,  $dE/dt = 50 \text{ mV s}^{-1}$ . (B) Qualitative correlations between  $\Delta E_{1/2}$  and the net charge density as well as the “symmetry” of the anions. (C) Effect of the sulfate-anion concentration on the voltammetric reversibility. (D) Impedance spectra (open symbols) and corresponding fitting results (solid curves, model is shown in Fig. 2B) in the presence of  $\text{KNO}_3$  and  $\text{K}_2\text{SO}_4$ .

electrolyte conductivity issues, as, for example, aqueous solutions of  $\text{Na}_2\text{SO}_4$ ,  $\text{NaCl}$  and  $\text{NaNO}_3$  of these concentrations have very similar (within 2–3%) conductivities. However, the above-elucidated

trend is in qualitative accordance with eqn (3), where efficient compensation of the excess “electrode charge” is necessary for the fast sodium exchange at the interface. Fig. 3B additionally illustrates this effect, in which the differences between the potentials of the “half-charged” and “half-discharged” states,  $\Delta E_{1/2}$ , are given for each anion used in this study.

Further evidence supporting the importance of the electrolyte composition for sodium interfacial transfer is given in Fig. 3C. The increase in the concentration of  $\text{Na}_2\text{SO}_4$  not only shifts the potentials of Na intercalation/de-intercalation, as expected from the common rules; it also decreases the degree of CV-irreversibility between the “charging” and “discharging” cycles. Again, this effect cannot be easily explained by, for example, some electrolyte conductivity issues. It is even not proportional to the increase in the electrolyte conductivity ( $\sim 2$  times). However, it is well in accordance with the requirement of fast and effective compensation of the effective charge at the interface (eqn (3)) and questioning the simple mechanism represented by eqn (1).

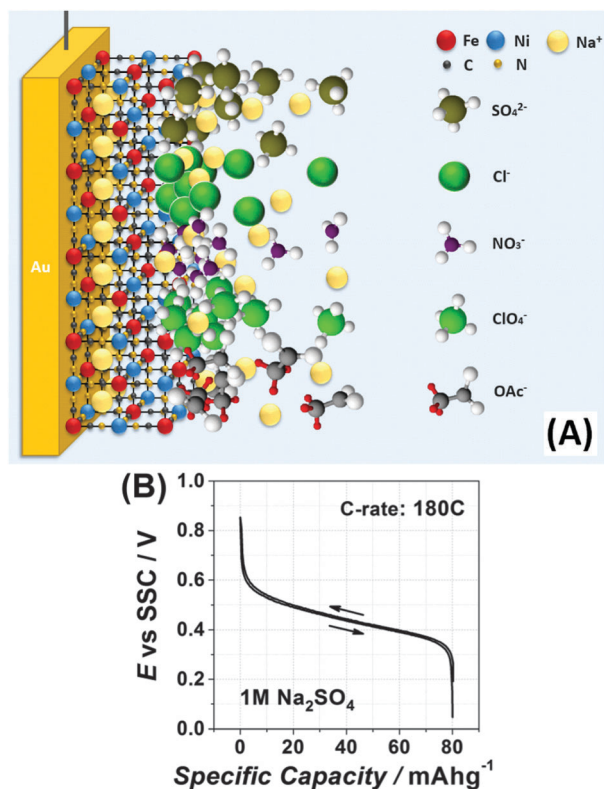


Fig. 4 (A) Schematic representation of the situation when the temporal and spatial charge compensation is critical to enhance the interfacial charge transfer (see text for details). Due to a slower diffusion of alkali metal cations in the solid with respect to the liquid electrolyte side (illustrated as a deficiency of Na ions in the solid thin film), the comparatively immediate change in the oxidation state of Fe atoms in the film requires a fast response from the electrolyte side. The latter would depend on the concentration, effective charge density and geometry of, for example, anions. (B) Galvanostatic charge and discharge curves at 180 C for  $\text{Na}_2\text{Ni}[\text{Fe}(\text{CN})_6]$  electrodes in 1 M  $\text{Na}_2\text{SO}_4$ . The galvanostatic cycling even at this very high C-rate shows a very low hysteresis resulting in high energy efficiencies.

Moreover, Fig. 3D demonstrates that the observed shapes of the impedance spectra are not because of some specific electrolyte composition: changing the anions or cations does seem to be critical for the mechanism of the interfacial charge transfer itself in this case, further reducing the probability of misinterpretation of the CVs shown in Fig. 3A and C. Therefore, even relatively small changes in the electrolyte composition can play a very essential role in the interfacial charge transfer. Fig. 4A schematically illustrates the importance of the intermediate interfacial charge compensation from the electrolyte side during intercalation/de-intercalation. Additionally, Fig. 4B shows that the performance of an optimised system, even at a high C-rate (180 C), is very good, with the specific capacity ( $\sim 80 \text{ mA h g}^{-1}$ ) being only  $\sim 5\%$  lower than the theoretically possible value for this material (see more experimental data in Fig. S8–S10 of the ESI†).

Another important parameter controlling the performance of secondary intercalation batteries is the potential at which intercalation starts. Very straightforward expectations about the

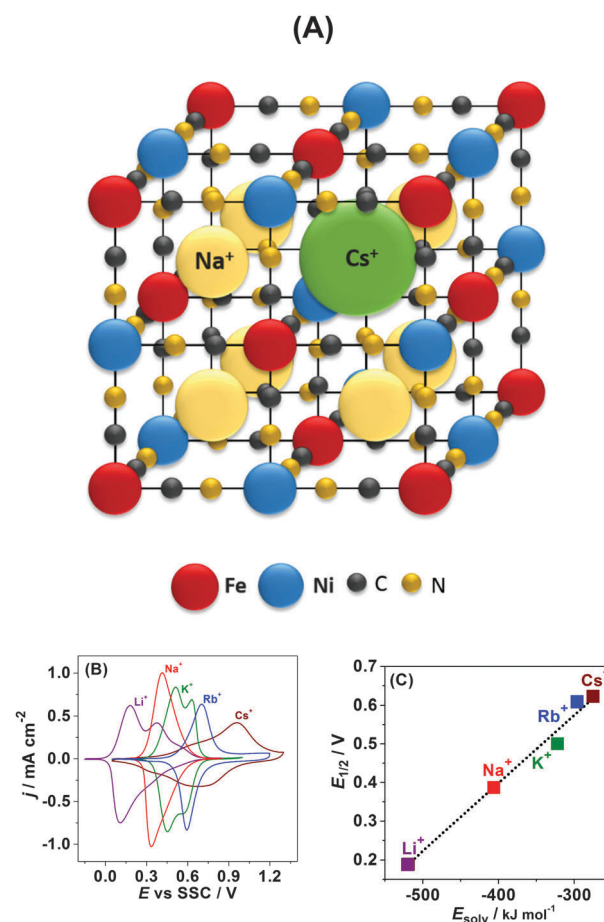
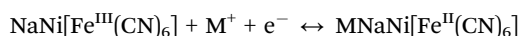


Fig. 5 Cation size versus cation solvation effect on intercalation of alkali metal cations into  $\text{Na}_2\text{Ni}[\text{Fe}(\text{CN})_6]$ . (A) Schematics of the  $\text{Na}_2\text{Ni}[\text{Fe}(\text{CN})_6]$  crystal structure demonstrating that even  $\text{Cs}^+$  can be inserted (all atoms have approximately realistic relative sizes). (B) Typical cyclic voltammograms for  $\text{Na}_2\text{Ni}[\text{Fe}(\text{CN})_6]$  in 0.25 M aqueous solutions of  $\text{MNO}_3$  (where  $\text{M} = \text{Li}, \text{Na}, \text{K}, \text{Rb}, \text{or Cs}$ ),  $dE/dt = 50 \text{ mV s}^{-1}$ . (C) The potential of the “half-charged” state as a function of the alkali metal cation hydration energy.

capability of alkali metal cations to insert into the  $\text{Na}_2\text{Ni}[\text{Fe}(\text{CN})_6]$ -like structures from the electrolyte side are associated with the size of the intercalating cations.<sup>31–33</sup> According to these expectations, the larger the cation, the more difficult it is to be intercalated into the solid state structure; though it is still possible for all the cations from  $\text{Li}^+$  to  $\text{Cs}^+$  to do so in the case of  $\text{Na}_2\text{Ni}[\text{Fe}(\text{CN})_6]$  (see schematics in Fig. 5A). Corresponding to this model,  $\text{Cs}^+$  should intercalate at much more negative potentials compared to e.g.  $\text{Li}^+$ . However, what is observed experimentally is exactly the opposite, as was partially reported previously.<sup>34,35</sup>

Fig. 5B displays typical CVs for  $\text{Na}_2\text{Ni}[\text{Fe}(\text{CN})_6]$  in 0.25 M aqueous solutions of  $\text{MNO}_3$  (where  $\text{M} = \text{Li}, \text{Na}, \text{K}, \text{Rb}, \text{or Cs}$ ). It can be seen that intercalation is possible for all five alkali metal cations. Moreover, our quartz crystal microbalance data (not shown here) suggest that even after tens of initial cycles the net reaction can be fairly described as follows:



(where  $\text{M} = \text{Li}, \text{K}, \text{Rb}, \text{Cs}$ ), i.e. only approximately half of the sodium is replaced by the other alkali metal cations.

Surprisingly, despite the biggest cation radius of  $\text{Cs}^+$ , its insertion starts at the most positive electrode potentials followed by  $\text{Rb}^+$ ,  $\text{K}^+$ ,  $\text{Na}^+$ , and  $\text{Li}^+$ . Evidently, not only the cation size but other physicochemical parameters control the potential of their insertion. One of these parameters might be the ion hydration energy. Indeed if the potential of the half-charged state is plotted as a function of the alkali metal cation hydration energy, a statistically relevant linear correlation is observed (Fig. 5C).

## Conclusions

In summary, we have further emphasised the importance of the electrolyte composition for the kinetics and mechanisms of interfacial charge transfer during intercalation/de-intercalation of sodium in the cathode materials for Na-ion batteries. Answering the question stated in the title of this paper, the commonly accepted models of Na intercalation in aqueous media, including a variety of theoretical ones, are likely to be too oversimplified affecting the strategies of the development of new materials for large-scale energy storage schemes. In particular, the nature of the anions present in the electrolyte significantly influences the intercalation/de-intercalation. On the other hand, solvation effects are probably among the main parameters governing the potential of alkali metal intercalation.

Consequently, more sophisticated models for Na intercalation in aqueous media need to be further elaborated to account for the observed trends. This will enhance our fundamental understanding and thus the performance of such Na-ion battery systems in the future.

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