Blue-shifted emission and enhanced quantum efficiency via π-bridge elongation in carbazole–carborane dyads†

Zhaojin Wang, Peng Jiang, Tianyu Wang, Graeme J. Moxey, Marie P. Cifuentes, Chi Zhang and Mark G. Humphrey*

Carbazole–carborane linear dyads and di(carbazole)–carborane V-shaped dyads with phenyleneethynylene-based bridges have been synthesized. The V-shaped dyads display the expected red-shifts in the location of their UV-Vis absorption maxima on bridge-lengthening, but show unusual blue-shifts in charge-transfer (CT) emission on the same π-system lengthening. These blue-shifts can be attributed to the 2n + 3 electron count within the carborane cluster in the excited state. The linear dyads luminesce via a combination of local excited (LE) and CT emission, with a red-shift in LE emission and a blue-shift in CT emission accompanying π-bridge elongation. A quantum efficiency as high as 86% in the solution state is achieved from the hybrid LE/CT emission. Time-dependent density functional theory (TD-DFT) calculations at the excitation state of these compounds have clarified the photoluminescence blue-shift and suggested a typical cluster C–C bond elongation in the V-shaped dyads. Calculations on the elongated linear dyads have suggested that the electron density is localized at the phenyleneethynylene-containing bridge.

Introduction

The last two decades have witnessed wide-ranging applications of electron donor–acceptor (D–A) dyads in the fields of photovoltaics, electronics, and light-emitting diodes. The pursuit of improved dyads has resulted in the study of a large number of donors, but the range of acceptors investigated is still limited. One promising candidate as an acceptor, o-carborane (o-Cb, C2B10H12) has attracted considerable attention recently, not only because of its strong electron deficiency, but also because of its three-dimensional pseudo-aromaticity, high thermal stability, and bio-compatibility, which give this novel acceptor several advantages as a key module in the design of new materials.

Many o-Cb dyads have been reported, and their photo-luminescence (PL) properties have been studied extensively (the majority are in fact o-Cb triads with two identical donors). o-Cb dyads usually exhibit emission that is predominantly charge-transfer (CT) in nature, with large Stokes shifts, intensities that are solvent-dependent, relatively low efficiencies, and quenching in polar solvents. In contrast to their solution behaviour, o-Cb dyads experience a significant enhancement in intensity on proceeding to the solid state, due to aggregation-induced emission (AIE) and, in one case, crystal-induced emission (CIE). In the neat film state, recently reported o-Cb triad assemblies with electron donor and acceptor groups have also exhibited excellent thermally activated delayed fluorescence (TADF) in addition to AIE, with quantum efficiencies up to 97%. Nevertheless, with minimal exceptions, studies of o-Cb dyads have focused on compounds with small π-systems, as the scope of the linkages within the o-Cb dyads has thus far been confined to a phenylene unit; in particular, efforts to adjust or improve the PL are limited to substituent variation. In view of the enormous importance of the π-bridge in electron transfer, we reasoned that oligo(phenylene-ethynylene) units may prove beneficial bridges connecting di-tert-butylcarbazole donor(s) (Cz, D) and o-carborane acceptor (o-Cb, A) and herein report six o-Cb dyads (D-1/D-2/D-3, M-1/M-2/M-3, Scheme 1), together with the unusual trend in their PL properties that is seen on π-bridge extension.

Results and discussion

V-shaped dyads D-1/D-2/D-3 and linear dyads M-1/M-2/M-3 were synthesized as described in the experimental section and characterized by the usual spectroscopies and a single-crystal X-ray
The polyhedral skeletal electron pair theory (PSEPT) electron counting rules (Wade–Mingos rules) dictate that the o-Cb clusters are held together by 2n (2n + 2, n = 12) skeletal electrons. Ordinarily, one would expect that the HOMO would rise while the LUMO would fall in energy following π-bridge extension in such dyads, which together result in red shifts in the absorption bands. However, previous studies of the V-shaped o-Cb dyad D-1 revealed the presence of a key excited state containing Cz\(^{+*}\) and Cb\(*^{−}\) and a typical carboranyl C–C bond cleavage, and thus the origin of PL is actually the charge-separated Cz\(^{+*}\)–π–Cb\(*^{−}\). The electron-counting of the o-Cb cluster is therefore 2n + 3 in this case, and the electronic properties of both Cz and Cb are inverted relative to their initial properties. The energetic trend in HOMO (located at \(^{\wedge}\text{Cz}\)) and LUMO (located at o-Cb) is therefore reversed following π-extension, the HOMO falling and the LUMO rising in energy, resulting in the observed blue-shift in PL. We anticipate that the PL behaviour of other o-Cb dyads can be tuned in a
similar fashion, as is corroborated by two oligo(phenylene) linked examples.\textsuperscript{13}

Fig. 4 shows Mataga–Lippert plots for the solvatochromic shifts of the CT emission of the V-shaped dyads and the estimation of the dipole moment was empirically following the Mataga–Lippert equation, expressed as follows:

$$V_a - V_f = \frac{2(\mu_e - \mu_g)^2}{\hbar c a^2} \Delta f,$$

where $\mu_e$ and $\mu_g$ are the dipole moments in the excited and ground states, respectively, $c$ is the velocity of light, $\hbar$ is Plank’s constant, and $a$ is the radius of the Onsager cavity around the fluorophore. The solvent dielectric constant ($\varepsilon$) and refraction index ($n$) are included in the term, $\Delta f$. The dipole moments in the excited states are $\approx 35$ D for D-1, 92 D for D-2 and 169 D for D-3 (Table S2 in ESI†). Though the values are likely overestimated for D-2 and D-3, a strong increase in dipole moment is clearly present, correlating with the aforementioned charge-separated excited state and the increasing distance between the $^{\cdot}\text{Cz}$ and $\text{o-Cb}$ moieties.

To verify the general nature of this unusual blue-shift, we examined the linear dyads, M-1/M-2/M-3, which possess more complicated PL due to dual emission.\textsuperscript{13,18} Fig. 5a shows the emission spectra in which the LE band ($<450$ nm) and the CT band (740-850 nm) are broad and possess large Stokes shifts. The LE band shows a slight red-shift of 9 nm in proceeding from M-1 to M-2 whereas the CT band shows a blue-shift of 42 nm. This variation continues on proceeding to M-3, affording a band with both LE and CT character (Fig. S2.2(f) in ESI†). The relative intensities of the LE and CT emissions vary upon $\pi$-bridge elongation (LE: CT intensity ratios 1:10 (M-1), 1:1.5 (M-2), 1: $\approx$ 0 (M-3)). More importantly, the harvested QE increases significantly on $\pi$-extension, for example, the QE of M-3 is 86% in c-C$_6$H$_{12}$ and 85% in toluene, the highest values for emissive $\text{o-Cb}$ derivatives in the solution state,\textsuperscript{7,13} while the QEs for M-2 and M-1 are much lower. The linear dyads exhibit emission quenching in the more polar solvents, M-3 harvesting only 2% QE in THF (Table S1 in ESI†). Study of the PL in the solid state showed that M-3 possesses a weak blue emission while the other linear compounds show weak multiplex emission.

Within an individual linear dyad, there is a clear-cut progression from LE to CT emission, as can be seen in the PL spectra of M-2 in Fig. 5b. The higher energy peak corresponds to LE emission (LE*) and the lower energy peak to CT, so the energy difference between the LE* and CT maxima is ca. 0.797 eV in c-C$_6$H$_{12}$, ca. 0.802 eV in CCl$_4$, ca. 0.880 eV in toluene, and even larger in more polar solvents as the CT state decreases in energy faster than the LE* state (Table 1). Once a threshold for quenching is met, the larger stabilization results in a change in character of the emission from LE to CT and dramatic quenching, not only in CT but also in LE, until no QE is harvested for all $\text{o-Cb}$ dyads. In other words, although the linear dyads exhibit both LE and CT emission, the progression from LE to CT emission (that may be accompanied by C-C bond cleavage) will inevitably lead to an overall loss in PL intensity. The $\pi$-bridge elongation on proceeding to M-3 reduces this comparative stabilization of CT vs. LE. These results also suggest that by changing the electron donor, one should be able to influence the relative LE and CT emissions, and thereby tune the PL in a relatively simple fashion.

To better understand the unusual properties of these $\pi$-bridge-elongated $\text{o-Cb}$ dyads, time-dependent density-functional theory (TD-DFT) calculations were performed at the (u)MO06-2X\textsuperscript{15}/6-31G(d,p) level. The calculated electronic transitions for all the $\text{o-Cb}$ dyads in their ground states are in good agreement with the red-shift in their homogeneous absorption bands (Table S3, ESI†). Moreover, the experimentally-observed blue shift in PL is also verified in the emission modelling of the V-shaped $\text{o-Cb}$ dyads. Fig. 6 shows the calculated electronic transitions of D-1 and D-2 at the first singlet excited state ($S_1$). The optimized geometries of

<table>
<thead>
<tr>
<th>Table 1</th>
<th>Variation in energies of the LE and CT states for M-2 as the solvent is varied</th>
</tr>
</thead>
<tbody>
<tr>
<td>Energy (eV)</td>
<td>c-C$<em>6$H$</em>{12}$</td>
</tr>
<tr>
<td>LE*</td>
<td>3.287</td>
</tr>
<tr>
<td>CT</td>
<td>2.490</td>
</tr>
<tr>
<td>$\Delta E$ (eV)</td>
<td>0.797</td>
</tr>
</tbody>
</table>
LE-predominant emission, the CT character in more polar solvents, and the LE to CT transformation.

By consulting both the LUMO of D-3 (Fig. S3.9 in the ESI†) and M-3 at the Si state structure, the cluster contribution which is in response to the electron withdrawing effect of o-Cb is overwhelming in the V-shaped D-3 (58.8%) while it is actually inclined to the absence in the linear M-3. Therefore, the photophysical properties of o-Cb dyads, especially those at their excited state, are strongly determined by the π-extending bridge, as well as the fashion of substitution.

Conclusions

In summary, π-bridge elongation has been shown to be a highly effective way to tune the photoluminescence of both V-shaped and linear o-Cb dyads and, in particular, a facile route to an extraordinary blue-shift in PL. The π-bridge elongation in the linear dyads also leads to a unique hybrid excited state, LE and CT emission, and very high PL efficiency. These outcomes can be attributed to the unique electronic structure of o-Cb in the corresponding excited states, as supported by the TD-DFT calculations. Our findings suggest that o-Cb dyads can be engineered to possess very large excited-state dipole moments, as in the V-shaped assemblies, and that emissive o-Cb dyads can be designed with specific PL properties, as in the linear constructions.

Experimental section

The preparative work was carried out under a nitrogen atmosphere using standard Schlenk techniques. Solvents were freshly distilled under nitrogen from either sodium or calcium hydride prior to use. Reactants 3,6-di-tert-butyl-9H-carbazole, 3,6-di-tert-butyl-9-(4-ethylphenyl)-9H-carbazole, and bis(4-iodophenyl)-o-carborane were prepared according to literature methods. Other chemicals were used as commercial
products without further purification. Tetrakis(triphenylphosphine)palladium(0) (J&K) was 99.8% grade. The NMR data were obtained on a Bruker DRX 400 spectrometer; chemical shifts are given with respect to CHCl₃/CDCl₃ (δ H = 7.24 ppm, δ ¹³C = 77.00 ppm) and external BF₃·Et₂O (δ ¹¹B = 0 ppm). Mass spectral data were recorded on a Bruker Daltonics ultraflexTof MALDI-TOF/TOF, Micromass/Waters LCT-ZMD single quadrupole liquid chromatograph-MS or a VG Quattro II triple quadrupole MS. Elemental analyses were performed on Elementar vario MICRO cube (Germany). The absorption and photoluminescence spectra were recorded on a UV-Vis-NIR spectrophotometer (Shimadzu UV-3600 plus) and a fluorescence spectrophotometer (Hitachi F-4600) equipped with high performance R928 photomultiplier detector.

**Synthesis of M-1, M-2, M-3, D-1, D-2 and D-3**

The synthesis of M-1 followed the conventional method for the preparation of o-carborane derivatives using Bu₃P as the precursor. Heating 3,6-di-o-carborane (380 mg, 1.0 mmol), Bu₃P (147 mg, 1.2 mmol) and diethyl sulfide (220 mg, 2.4 mmol) in refluxing anhydrous toluene for 2 days afforded M-1 (273 mg, 55%) as a white powder after work-up. ¹H NMR (400 MHz, CDCl₃): δ (ppm) 8.12 (2H, s, Ar–H), 7.67 (2H, d, 8.5 Hz, Ar–H), 7.54 (2H, d, 8.5 Hz, Ar–H), 7.45 (2H, d, 8.5 Hz, Ar–H), 7.34 (2H, d, 8.5 Hz, Ar–H), 7.20; N, 2.28. Found: C, 71.96; H, 8.13; N, 2.52.

The synthesis of M-2 proceeded via Buchwald–Hartwig coupling of bis(4-iodophenyl)-o-carborane (548 mg, 1.0 mmol) and 3,6-di-tert-butyl-9H-carbazole (560 mg, 2.0 mmol), and using Pd₂dba₃ (19 mg, 0.02 mmol), Bu₃P (260 μL, 10% in pentane, 0.08 mmol) and KO'Bu (225 mg, 2.0 mmol) as catalysts or additives. The reaction mixture was heated in refluxing toluene for 12 h under a N₂ atmosphere. The crude product was purified by flash chromatography on silica gel using hexane as eluent, affording M-2 (482 mg, 81%) as a white powder. ¹H NMR (400 MHz, CDCl₃): δ (ppm) 8.14 (2H, s, Ar–H), 7.72 (2H, d, 8.5 Hz, Ar–H), 7.56 (2H, d, 8.5 Hz, Ar–H), 7.48 (6H, m, Ar–H), 7.38 (2H, d, 8.5 Hz, Ar–H), 3.93 (1H, s, Ccarb–H), 3.4–1.6 (10H, br, B–H), 1.46 (18H, s, CH₃); ¹³C NMR (101 MHz, CDCl₃): δ (ppm) 143.59, 140.03, 138.55, 131.34, 129.22, 126.32, 123.80, 123.75, 116.41, 109.06, 91.35, 39.75 (2C, CmeC), 31.95 (6C, CH₃); ¹¹B NMR (128 MHz, CDCl₃): δ (ppm) −1.16 (1B), −2.33 (1B), −8.20 (2B), −9.43 (2B), −9.91 (2B), −11.27 (2B); MS (MALDI-TOF): m/z calc for [C₃₆H₄₃B₁₀N]⁺ 598.405 (100%), found for [C₃₆H₄₃B₁₀N]+ 598.414 (100%). Anal. calc for C₃₆H₄₃B₁₀N: C, 72.32; H, 7.25; N, 2.48. Found: C, 71.96; H, 7.20; N, 2.28.

In a 100 mL Schlenk tube, a mixed solvent of THF/NEt₃ (20 mL/10 mL) was degassed by freeze–pump–thaw cycles and then frozen again using liquid nitrogen. After purging with a N₂ stream, (4-iodophenyl)-o-carborane (347 mg, 1.0 mmol), 3,6-di-tert-butyl-9H-carbazole (380 mg, 1.0 mmol), Pd(PPh₃)₄ (35 mg, 0.03 mmol), and CuI (10 mg, 0.05 mmol) were added and the N₂ atmosphere was evacuated-backfilled twice. The reaction mixture was slowly warmed to room temperature and then refluxed overnight. After cooling and evaporation of the solvent, the crude product was purified by flash chromatography on silica gel using hexane/CH₂Cl₂ (v/v: 10: 1) as eluent. Recrystallization from a hot mixed solvent of hexane/CH₂Cl₂ (v/v: 3: 1) gave M-3 (225 mg, 53%) as a white powder. ¹H NMR (400 MHz, CDCl₃): δ (ppm) 8.07 (4H, d, 8.5 Hz, Ar–H), 7.42 (4H, d, 8.5 Hz, Ar–H), 7.23 (4H, d, 8.5 Hz, Ar–H), 7.18 (4H, d, 8.5 Hz, Ar–H), 3.92–1.97 (10H, br, B–H), 1.39 (36H, s, CH₃); ¹³C NMR (101 MHz, CDCl₃): δ (ppm) 143.52, 140.28, 138.36, 132.16, 128.49, 125.67, 123.78, 123.76, 116.36, 108.87 (36C, Ar–C), 84.73 (2C, Ccarb), 34.67 (4C, CmeC), 31.91 (12C, CH₃); ¹¹B NMR (128 MHz, CDCl₃): δ (ppm) −2.13 (4B, br), −10.20 (6B, br); MS (MALDI-TOF): m/z calc for [C₃₆H₄₃B₁₀N]⁺ 598.414 (100%), found for [C₃₆H₄₃B₁₀N]+ 598.425 (100%). Anal. calc for C₃₆H₄₃B₁₀N: C, 72.32; H, 7.25; N, 2.48. Found: C, 71.96; H, 7.20; N, 2.28.
N$_2$ stream, bis(4-iodophenyl)-o-carborane (548 mg, 1.0 mmol), 3,6-di-tert-butyl-9-(4-ethynylphenyl)phenyl)-9H-carbazole (759 mg, 2.0 mmol), Pd(PPh$_3$)$_4$ (69 mg, 0.06 mmol), and Cul (12 mg, 0.06 mmol) were added and the N$_2$ atmosphere was evacuated-backfilled twice. The reaction mixture was gradually warmed to room temperature and afterwards refluxed for 12 h. After cooling and evaporation of the solvent, the crude product was purified by flash chromatography on silica gel using hexane/CH$_2$Cl$_2$ (v:v 10:1) as eluent. Recrystallization from a hot mixed solvent of hexane/CH$_2$Cl$_2$ (v:v, 3:1) gave D-2 (988 mg, 94%).$^1$H NMR (400 MHz, CDCl$_3$): δ (ppm) 8.12 (4H, s, Ar–H), 7.68 (4H, d, 8.5 Hz, Ar–H), 7.55 (4H, d, 8.5 Hz, Ar–H), 7.45 (8H, m, Ar–H), 7.36 (8H, m, Ar–H), 3.8–2.0 (10H, br, B–H), 1.45 (36H, s, CH$_3$); $^{13}$C NMR (101 MHz, CDCl$_3$): δ (ppm) 131.64, 131.62, 131.44, 130.59, 130.41, 126.39, 125.36, 123.73, 123.69, 123.63, 122.46, 121.22, 116.31, 109.18 (48C, Ar–C), 91.60, 91.06, 89.60 (4C, C$_{carb}$), 84.83 (2C, C$_{carb}$), 34.74 (4C, C$_{Me3}$), 31.96 (12C, CH$_3$); $^{11}$B NMR (128 MHz, CDCl$_3$): δ (ppm) 89.74 (5B, br); MS (MALDI-TOF): m/z celled for C$_{70}$H$_{74}$B$_{10}$N$_2$ 1051.6782, found for C$_{70}$H$_{74}$B$_{10}$N$_2$ 1051.6783.

**Theoretical calculations**

Density functional theory (DFT) computations were carried out with the Gaussian 09 package.$^{21}$ Ground state geometries were fully optimized with the M06-2X functional using the 6-31G(d,p) basis set for all atoms. The excitation energies and oscillator strengths for the lowest 5 singlet–singlet transitions from the ground state optimized geometry were obtained by time-dependent (TD)-DFT calculations also using the M06-2X functional and the 6-31G(d,p) basis set. Selected geometries of D-1, D-2, and M-3 at their first singlet excited state were optimized at the TD-DFT level using uM06-2X/6-31G(d,p), followed by frequency analysis to verify the absence of any imaginary frequency. Emission data was obtained from the excited-state calculations without considering solvent effects. The orbital composition analysis showing the contribution of the carborane cluster was performed by Mulliken partitioning using the Multiwf program.$^{22}$ To simplify the calculations, model compounds omitted the two tert-butyl groups except for D-1, which retained one tert-butyl group on each carbazole unit.

**Acknowledgements**

We thank the Program of Introducing Talents of Discipline to Universities (111 Project B13025), the Fundamental Research Funds for the Central Universities (JUSRP11423), the Natural Science Foundation of Jiangsu Province, China (No. BK20140140), the National Natural Science Foundation of China (No. 21502072), and the Australian Research Council for support.

**Notes and references**

