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Characterisation of the surface of freshly prepared precious metal catalysts

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A combination of electron microscopy, X-ray and neutron spectroscopies and computational methods has provided new insights into the species present on the surface of freshly prepared precious metal catalysts. The results show that in all cases, at least half of the surface is metallic or nearly so, with the remainder covered by oxygen, largely as hydroxide. Water is also present and is strongly held; weeks of pumping under high vacuum is insufficient to remove it. The hydroxyls are reactive as shown by their reaction with or displacement by CO and can be removed by hydrogenation. This clearly has implications for how precious metal catalysts are activated after preparation.

Introduction

After synthesis, almost all heterogeneous catalysts undergo some form of activation procedure. For some catalysts the reasons are clear; thus zeolites made by a templating procedure may retain the template in the pores, thus its removal (usually by calcination) is essential in order to allow the reactants into the pores.¹ For Ni/Al₂O₃ methane reforming catalysts, the synthesis results in NiO, reduction of this to Ni metal is required for an active catalyst.² In the case of Pt or Pd precious metal catalysts the rationale is less clear. The synthesis is usually carried out in aqueous solution by reduction of a precious metal salt. The catalyst is then dried and reduced, the assumption being that an oxide layer has formed on the catalyst.

The aim of this paper is to investigate the nature of the surface of an as-prepared catalyst, with a view to better understanding how to optimise the activation procedure. To this end, we have used a combination of structural (transmission electron microscopy (TEM) and extended X-ray absorption fine structure (EXAFS)), spectroscopic (inelastic neutron scattering (INS), X-ray photoelectron spectroscopy (XPS)) and computational techniques to characterise the bulk and surface of a series of freshly prepared precious metal catalysts and blacks.

Experimental

Materials

Commercial high purity palladium (99.11%; CAS No. 7440-05-3) and platinum (98.44%; CAS No. 7440-06-4) black samples were purchased from Umicore Precious Metals Chemistry. The product specification is based on gravimetric analysis and inductively coupled plasma spectroscopy/optical emission spectral analysis (ICP-OES). The BET surface area in both cases is $\geq 25 \text{ m}^2 \text{ g}^{-1}$. The presence of traces of alkaline elements (Na, K) is noted in the specification of the Pt black.

Supported precious metal catalysts were prepared by wet impregnation^{3,4} to give monometallic Pd(20%)/C and Pt(40%)/C catalysts and a bimetallic Pt(40%)Ru(20%)/C alloy catalyst with a nominal 1 : 1 Pt : Ru ratio. (All of the catalyst compositions are in wt%). Alloying was induced by reductive high-temperature annealing in a tube furnace using N₂/H₂ (95/5) forming gas. High purity carbon black with a nitrogen surface area of *ca.* $60 \text{ m}^2 \text{ g}^{-1}$ was used as the support.

Transmission electron microscopy (TEM)

A Jeol 2010F field emission transmission electron microscope (FE-TEM) was operated at 200 keV acceleration voltage. A powder sample was dispersed in chloroform and transferred onto holey carbon foil supported by a 200 mesh copper grid. For statistical evaluation of the primary particle sizes of the supported platinum and platinum/ruthenium alloy particles the I-TEM software of Soft Imaging Systems (SIS), Münster, was utilized. The calibration, quality and stability of the TEM system was carried out with the Magical no. 641 standard (Norro Scientific Ltd., Beaver Pond, Ontario, Canada). For spot analyses of the supported precious metal particles of the Pt(40%)Ru(20%)/C catalyst energy dispersive X-ray nano-spot-analyses (EDX) were

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performed using a Noran SiLi detector with a 30 mm² crystal and a Noran System Six device. This allows assessment of the degree of alloying down to the nanometer scale.

X-ray photoelectron spectroscopy (XPS)

XPS spectra were recorded using monochromatic AlK_α radiation (1486.68 eV) at 150 W. A ThermoFisher ESCALAB 250Xi instrument electron energy analyzer was operated at 50 eV pass energy in the fixed analyzer transmission mode, step 0.1 eV, 30 scans, X-ray spot size 650 μm.

Extended X-ray absorption fine structure (EXAFS)

Complementary to FE-TEM/EDX, the alloying treatment was monitored by probing changes of the local coordination numbers of the Pt and Ru atoms by means of EXAFS. The measurements were performed at beamline X1 at the storage ring Doris III at the DESY synchrotron (Hamburg, Germany) in transmission geometry. Ionisation chambers were utilised to record the absorption of a sample as a function of energy. A third ionisation chamber was used to monitor a reference standard sample simultaneously for cross-check. Evaluation of the EXAFS signals was performed according to well-established standard routines. The data-fit was based on the assumption of certain neighbour atoms as backscatterers in the EXAFS process. The corresponding backscatter-amplitudes and – phases (in the present case Pt, Pt-oxides, Ru, Ru-oxides *etc.*) were determined by *ab initio* calculations. The data on the reference samples were also fitted using these backscatter-amplitudes and – phases and showed good agreement with the known crystal structures. In the course of the data analyses a variety of backscatter-surroundings were tested. The good agreement between fits and data indicated a positive test of the chosen model. Atomic distances and coordination numbers determined in the alloy catalyst sample show an error of *ca.* 0.02 nm or *ca.* 20% for a significantly contributing neighbour shell.

Computational methods

Periodic density functional theory (periodic-DFT) calculations were carried out using the plane wave pseudopotential method as implemented in the CASTEP code.⁵ Exchange and correlation were approximated using the PBE functional. The plane-wave cut-off energy was 830 eV. Brillouin zone sampling of electronic states was performed on 1 × 8 × 8 Monkhorst-Pack grid. The equilibrium structure, an essential prerequisite for lattice dynamics calculations was obtained by BFGS geometry optimization after which the residual forces were converged to zero within ±0.007 eV Å⁻¹. Phonon frequencies were obtained by diagonalisation of dynamical matrices computed using density-functional perturbation theory.⁶ The atomic displacements in each mode that are part of the CASTEP output, enable visualization of the modes to aid assignments and are also all that is required to generate the INS spectrum using the program ACLIMAX.⁷

Inelastic neutron scattering (INS)

Bulk quantities of the samples (Pd black: 60.5 g, Pd(20%)/C: 31.9 g, Pt black: 40.7 g, Pt(40%)/C: 28.1 g, Pt(40%)Ru(20%)/C: 33.5 g) were

measured in cylindrical Viton O-ring sealed stainless steel cans with a wall thickness of 0.5 mm and a base thickness of 1.00 mm. Before the first INS measurement of each sample, it was evacuated at room temperature for one week and, subsequently, at 383 K for one week using a turbomolecular pump to remove residual adsorbed humidity and other potential adsorbates. After the measurement of the catalyst in the freshly prepared state, it was then either cleaned with successive hydrogenation/dehydrogenation cycles or exposed to CO.

For most of the samples, there was a measurement during cooling to base temperature of the cryostat (~5 K) of the INS spectrometer, typically 1.5 hours, followed by a low temperature measurement of 6–8 hours. The cooling runs were almost superimposable on the measurement runs except at the lowest energies (<250 cm⁻¹, due to residual thermal population of excited vibrational states during cooling). To improve the signal-to-noise ratio, in some cases the cooling and measurement runs have been co-added. Spectra were recorded using IN1-Lagrange⁸ at the Institut Laue-Langevin (Grenoble, France) and MERLIN⁹ at the ISIS Facility (Chilton, UK). With IN1-Lagrange the samples were measured with the Cu(220) monochromator, over the range 170–4000 cm⁻¹. However, for reasons explained¹⁰ elsewhere, the instrument is optimal in the range below 2000 cm⁻¹. In a few cases the region 8–300 cm⁻¹ was also recorded using the Si(111) and Si(311) monochromators. For MERLIN, incident energies of 4840 and 2825 cm⁻¹ were used and the different mode of operation allows access to the 2000–4000 cm⁻¹ range¹⁰ with modest resolution. Note that all the spectra are presented as difference spectra, either the cleaned sample or the 'CO exposed' sample was used as the background.

Results and discussion

Morphology, size, composition and surface chemistry of the precious metal particles

Fig. 1 compares the morphology of the precious metal particles. The platinum and palladium blacks are formed by primary crystallites of varying size which are grown together to form strongly bound large polydisperse aggregates and loosely adherent agglomerates. Lattice imaging reveals the 0.20 nm (palladium[200]) and 0.23 nm (platinum[111]) orientation. The supported monometallic and the alloyed bimetallic platinum catalyst particles, however, are mainly formed by isolated primary crystallites and a few very small aggregates. Comparison of the supported platinum-only and the Pt/Ru catalyst shows small numerical differences in the primary particle size and dispersity (Table 1).

The EMS values relate to the precious metal surface area and are distinct from the BET surface area of the carbon before wet impregnation (60 m² g⁻¹). For the case of a monodisperse system DN = DA. The comparison in Table 1 shows that the differences between the DN and DA values are small indicating a narrow distribution function of particle sizes in the *ca.* 2–6.5 nm range. A low degree of polydispersity of the supported primary



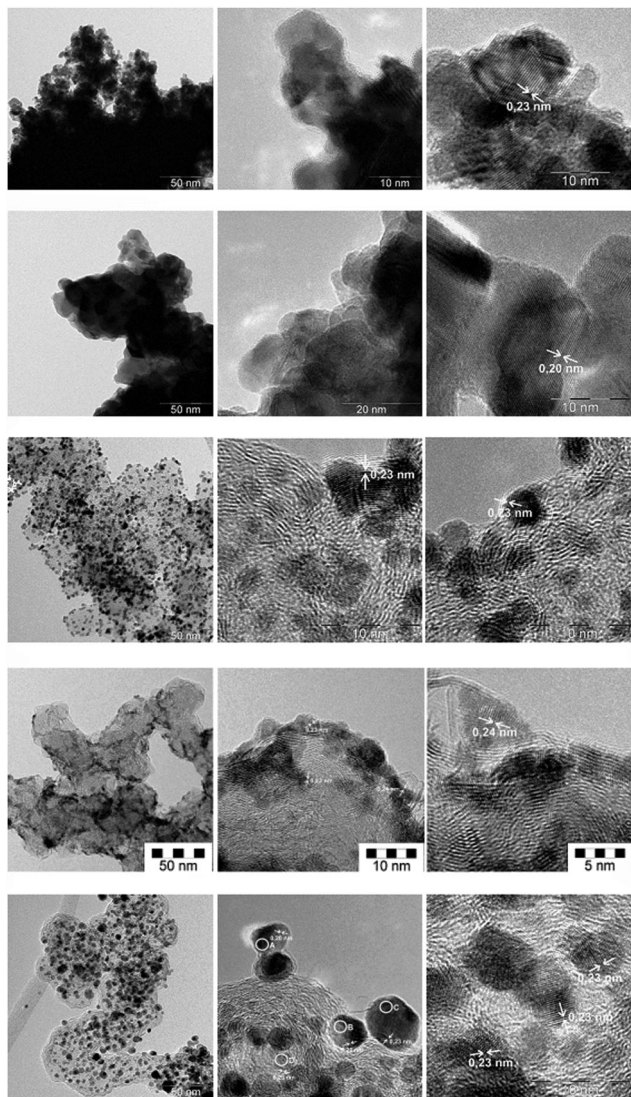


Fig. 1 FE-TEM images recorded at different magnification; top to bottom: platinum black, palladium black, monometallic catalyst Pt(40%)/C, monometallic catalyst Pd(20%)/C, bi-metallic alloy catalyst Pt(40%)Ru(20%)/C.

Table 1 Results of statistical evaluation of the average size of 2000 primary particles per catalyst sample

| wt% | DN ^a (nm) | S _{DN} ^b (nm) | DA ^c (nm) | EMS ^d (m ² g ⁻¹) |
|------------------|-------------------------|--------------------------------------|-------------------------|---|
| Pd(20%)/C | 2.24 | 0.25 | 2.29 | 218.8 |
| Pt(40%)/C | 3.11 | 0.65 | 3.39 | 82.8 |
| Pt(40%)Ru(20%)/C | 4.78 | 1.93 | 6.47 | 43.4 |

^a DN: primary particle size (arithmetical average) and its standard deviation; $DN = (\sum n_i d_i)/N$. ^b S_{DN} : primary particle size (arithmetical average) and its standard deviation; $S_{DN} = (\sum n_i d_i^2)/N$. ^c DA: primary particle size averaged over the surface, $DA = (\sum n_i d_i^3)/(\sum n_i d_i^2)$. ^d EMS: calculated electron microscopic surface; $EMS = 6000/(DA \times \rho)$; ρ : density.

particles is established especially for the palladium- and platinum-only catalysts, whereas the difference between DN and DA is higher for the alloy catalyst and also some larger particles appear as a consequence of the alloying procedures. Also the

Table 2 Results of EDX nano-spot analyses (at%) of the supported primary particles of the Pt(40%)Ru(20%)/C catalyst obtained from the areas marked in the middle panel of the bottom row of Fig. 1

| Area | Pt L α | Error | Ru L α | Error |
|------|---------------|-----------|---------------|-----------|
| A | 48.6 | ± 2.4 | 51.4 | ± 3.4 |
| B | 56.0 | ± 3.8 | 44.0 | ± 2.5 |
| C | 54.2 | ± 2.5 | 45.8 | ± 3.3 |
| D | 46.9 | ± 2.5 | 53.1 | ± 2.6 |

Pd(20%)/C catalyst does show a very narrow distribution of the primary entities. It consists of about 2.5 nm sizes primary crystallites which are partly isolated and partly grown to linear chain-like aggregates of about 10–30 nm length at the edges of the carbon support's surface which is of enhanced sp² character.

Table 2 compares results of non-destructive nano-spot elemental analysis by FE-TEM/EDX obtained by focusing the electron beam onto the primary particles of the Pt(40%)Ru(20%)/C final catalyst, (*i.e.* after annealing and reduction). The alloying between platinum and ruthenium is largely complete: no isolated single Pt- or Ru-only particles were observed in the TEM. The local Pt/Ru ratios are quite similar. As a minor trend, we note that the smaller the particles the (slightly) higher the Ru-concentration which is in line with previous observations on this catalyst.¹¹

The progress of the alloying process in this catalyst has been monitored by EXAFS. In Fig. 2 the sequential changes of the average coordination numbers (new, fresh catalyst /N, annealed /A, annealed and reduced with forming gas /F) are compared. Probing the PtL₃ and RuK absorption edge it is observed that the Pt–O coordination number decreases while the Pt–Ru coordination number increases as oxygen is removed and then partly decreases again as Pt–Ru alloying occurs, with a corresponding increase in the Pt–Ru coordination number. In parallel, the Ru–O coordination in the first and second coordination shell decreases while the Ru–Ru and Pt–Ru coordination number increases. The rise in the Ru–Ru coordination number continues because the average oxidation state of the finely divided Ru was higher than for Pt. This conclusion is supported by the XPS data (see later).

In Fig. 2 (right) the metallic Ru–Ru surrounding is shown in green, Ru–Ru distance = 0.265 nm and 0.270 nm. The RuO₂

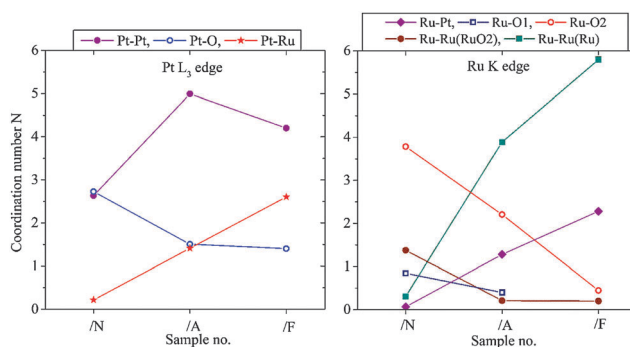


Fig. 2 Changes of the metal–oxygen and metal–metal coordination from freshly prepared Pt(40%)Ru(20%)/C induced by annealing and annealing/reduction with forming gas (N₂/H₂ 95 : 5).



surrounding is marked in blue and red; there are two inequivalent Ru–O shells and, correspondingly, as next neighbour also a Ru–Ru shell in RuO₂ with Ru–Ru distance of 0.354 nm (coordination number $N = 8$); this is marked as “Ru–Ru_{RuO₂}”. The decrease of this coordination number implies that the Ru-oxide contribution in the sample is higher dispersed and/or there is decreasing long-range order and increasing sub-stoichiometry in the Ru-oxide content with increasing metal-metal coordination due to the progress of the alloying and removal of Ru-oxide contributions – all sensitive indicators for the progress of the formation of the alloyed bimetallic catalyst.

The nature of the topmost atomic regions of the freshly prepared samples is compared in Table 3 (results of XPS survey analyses). Apart from the residual oxygen, the presence of significant amounts of other electronegative elements such as chlorine *etc.* is ruled out and supports the conclusions from Fig. 2. Therefore, chemical shifts of the XPS signals of the precious metal atoms are solely due to partial surface oxidation or hydroxylation, and alloying (Table 3). The small decrease in the Pt level comparing the Pt-only (7.5%) and the alloy catalyst (6.9%) is consistent with the small changes in the particle dispersion (Table 1, DN, DA; Fig. 1). For the Pt 4f region, a broadened doublet was detected with signal maxima at 71.2, 71.5 and 71.8 eV in the binding energy region close to pure metallic platinum (*ca.* 70.9–71.3 eV). A shift of *ca.* 0.3 eV between the mono- and bimetallic catalyst can be attributed to the alloying and the influence of adjacent Ru-related residual oxygen. No evidence for the presence of significant amounts of stoichiometric PtO or other bivalent Pt species was found. Evaluating the signal widths and asymmetries with respect to the Pt 4f_{7/2}/Pt 4f_{5/2} ratio by Gaussian/Lorentzian line shape approximation, it was found that in the topmost atomic regions of the samples predominantly metallic (or alloyed, respectively) Pt (*ca.* 50% at 71.1 eV (Pt black) 55% at 71.3 eV (Pt(40%)/C) and 65% at 71.5 eV (Pt(40%)Ru(20%)/C)) is present together with sub-stoichiometric PtO_x and Pt(OH)_x ($x < 1$), in the condition “as received”. For palladium *ca.* 60% metallic Pd and *ca.* 40% surface PdO and/or PdOH is calculated. For Ru *ca.* 55% metallic/alloyed Ru at 280.3 eV is calculated, plus RuO₂ (30% RuO₂ at 281.0 eV) and sub-stoichiometric/hydroxylated surface-oxide (15% at 281.7 eV) species. Thus for all of the catalysts, at least 50% of the surface is metallic, or nearly so.

Table 3 Surface composition (at%) and binding energies (eV) of the XPS signal maxima of the freshly prepared catalysts

| Element | Pt black | Pt(40%)/C | Pt(40%) Ru(20%)/C | Pd black | Pd(20%)/C |
|---------------------------|----------|-----------|-------------------|----------|-----------|
| Pd 3d | — | — | — | 83.2 | 7.3 |
| Pt 4f | 77.4 | 7.5 | 6.9 | — | — |
| Ru 3d | — | — | 6.3 | — | — |
| C 1s | 1.4 | 81.8 | 80.5 | 5.3 | 80.2 |
| O 1s | 17.8 | 10.6 | 6.3 | 10.9 | 12.2 |
| Na 1s | 0.4 | 0.1 | — | 0.6 | 0.3 |
| N 1s | 2.0 | — | — | — | — |
| Pt 4f _{7/2} max. | 71.2 | 71.5 | 71.8 | — | — |
| Pd 3d _{5/2} max. | — | — | — | 334.9 | 335.0 |
| | | | | +336.3 | 335.9 |
| Ru 3d _{5/2} max. | — | 280.5 | — | — | — |

Inelastic neutron scattering spectroscopy

INS spectroscopy is a form of vibrational spectroscopy.¹² For the study of catalysts the major advantages of the technique are that the scattering is dominated by modes that involve hydrogen and that all of the other elements present in these samples only make a minor contribution to the spectrum. This means that the complete spectra range 0–4000 cm^{−1} is, in principle, available. However, for reasons explained elsewhere,¹⁰ for instruments of the type of IN1-Lagrange, while the C–H and O–H stretch region is observable, the information content is limited and the best results are obtained for the 0–2000 cm^{−1} range. For MERLIN, the full 0–4000 cm^{−1} range is readily accessible, but at reduced resolution in comparison to Lagrange.

Pd black. Fig. 3a shows the spectrum of the freshly prepared Pd black. The spectrum is dominated by an intense, broad feature at 950 cm^{−1} with weaker bands at 215, 425 and 1870 cm^{−1}. The 1870 cm^{−1} band is the first overtone of the 950 cm^{−1} band. The transition energies are similar to those found for surface hydroxyls on PdO·xH₂O ($x \sim 0.31$),¹³ Fig. 3b, and the modes are assigned similarly: 215 cm^{−1} Pd–OH–Pd wag, 425 cm^{−1}, Pd–OH–Pd stretch and 950 cm^{−1} Pd–O–H bend. The water librational modes account for the shoulder around 700 cm^{−1}.

Pd(20%)/C. The spectrum of the newly prepared supported Pd catalyst is shown in Fig. 4. The spectrum shows the characteristic Pd–O–H bend at 940 cm^{−1} with the first overtone at 1880 cm^{−1} indicating a harmonic system. A broad O–H stretch mode is at 3520 cm^{−1} with a pronounced shoulder at ~ 3400 cm^{−1}. The former is assigned to the surface hydroxyls and the latter to water.¹⁴ The presence of water is confirmed by the peak at 1615 cm^{−1} assigned to the H–O–H scissors.

Pt black. Fig. 5a shows the spectrum of the freshly prepared Pt black. The spectrum is dominated by an intense, broad feature at 1035 cm^{−1} with weaker bands at 215, 520 and 1870 cm^{−1}. The similarity to Pd black, Fig. 3a, is striking and the spectrum is assigned accordingly: 215 cm^{−1} Pt–OH–Pt wag, 520 cm^{−1} Pt–OH–Pt stretch and 1035 cm^{−1} Pt–O–H bend. The 2085 cm^{−1} band is the first overtone of the 1035 cm^{−1} band. The assignment is supported by previous work where a hydroxylated

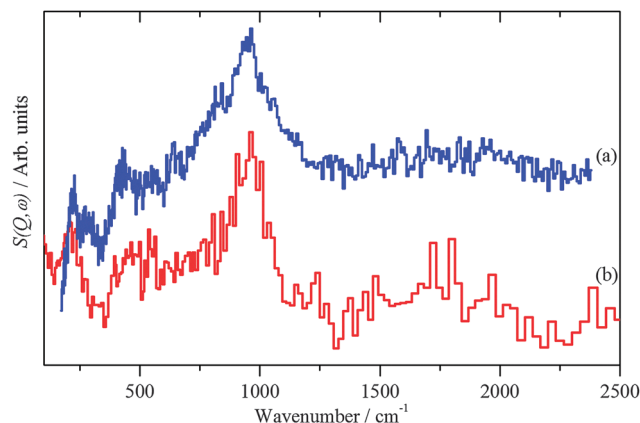


Fig. 3 INS spectra of: (a) freshly prepared Pd black recorded on Lagrange and (b) PdO·xH₂O ($x \sim 0.31$)¹³ recorded on TOSCA.



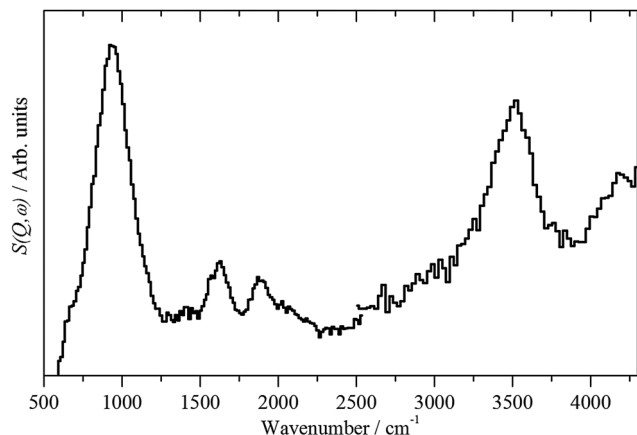


Fig. 4 INS spectra of freshly prepared Pd(20%)/C recorded on MERLIN with incident energies of 2825 (500–2500) cm^{-1} and 4840 (2500–4300) cm^{-1} .

surface was generated by sequential dosing of H_2 and O_2 on cleaned Pt black,¹⁵ in this case only the Pt–O–H bend at 1032 cm^{-1} was observed.

The hydroxylated surface was modelled by a hydrogen-terminated surface of PtO, shown in the inset to Fig. 5. A 3.5 layer slab of PtO,¹⁶ this was constructed to have only oxygen atoms in the top- and bottom-most layers. These were then changed to hydroxyls, the structure was then geometry optimised and the INS spectrum generated from the subsequent phonon calculation. The result is shown in Fig. 5b. The position of the bending mode is slightly underestimated ($905 \text{ vs. } 1035 \text{ cm}^{-1}$), but the overall pattern is correct. Attempts to model the surface as a hydroxylated metal surface *i.e.* Pt(111)/OH, gave very poor agreement. This finding clarifies the assignment of the XPS binding energy values (Table 3) indicating that no stoichiometric PtO and no $\text{Pt}(\text{OH})_2$ is present but suggesting PtO_x and/or $\text{Pt}(\text{OH})_x$ ($x < 1$), the latter being verified by Fig. 5. The results strongly suggest that the surface consists of patches of bare metal and sub-stoichiometric hydroxylated PtO_x .

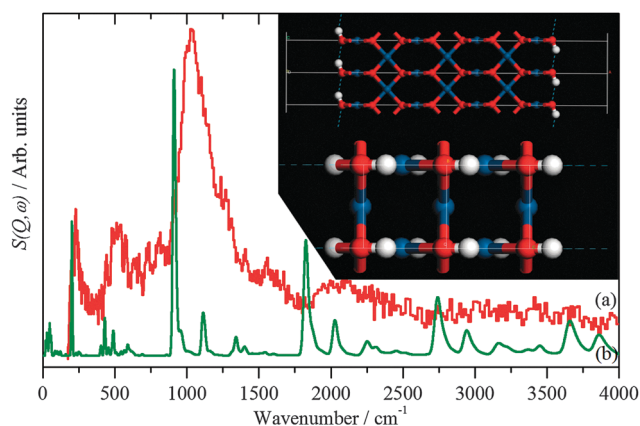


Fig. 5 INS spectra recorded on Lagrange of: (a) freshly prepared Pt black and (b) generated from the hydroxylated PtO model structure shown in the inset.

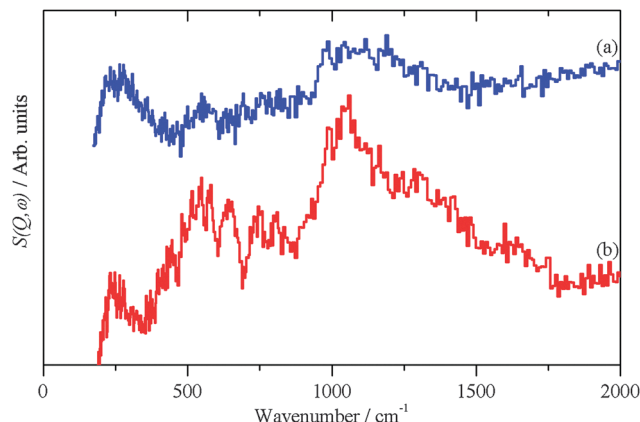
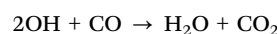


Fig. 6 INS spectra recorded on Lagrange of freshly prepared Pt(40%)/C. (a) After first CO dose and (b) after second CO dose. Both spectra are plotted on the same ordinate scale but (a) is displaced vertically for clarity.

Pt(40%)/C. For Pt(40%)/C the sample was measured ‘as received’, it was then loaded with 800 mbar CO and re-measured, it was then evacuated overnight and then re-loaded with 800 mbar CO and measured again. The results are shown in Fig. 6a and b. Comparison of Fig. 6 with Fig. 5 shows that the peak at 1050 cm^{-1} can be assigned to surface hydroxyls. Thus the initial exposure to CO, Fig. 6a, has resulted in loss of hydroxyls, presumably as water. The second exposure results in further loss of hydroxyls and also of adsorbed disordered water, even though the sample underwent prolonged vacuum before the first measurement. The spectra show that CO is able to displace both water and hydroxyls from the surface.

Pt(40%)Ru(20%)/C. For Pt(40%)Ru(20%)/C, Fig. 7 shows that the addition of CO has resulted in the formation of water (librational modes at 570 cm^{-1} and H–O–H scissors at 1670 cm^{-1}) and loss of hydroxyls (bend at 1085 cm^{-1}) from the sample. This suggests that CO reacts with the hydroxyls:



in a similar fashion to hydrous palladium oxide.¹⁷

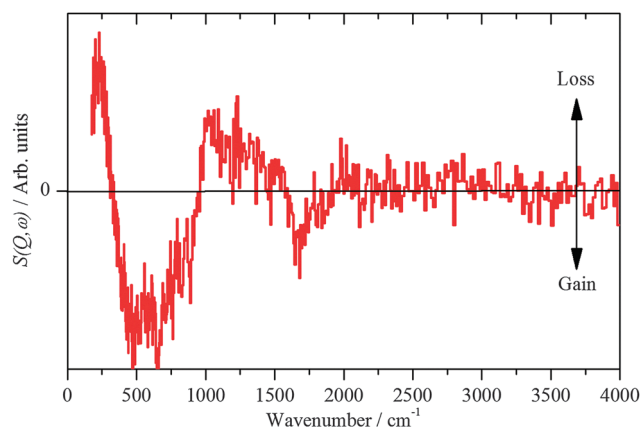


Fig. 7 INS spectra recorded on Lagrange of freshly prepared Pt(40%)Ru(20%)/C after reaction with CO.



The hydroxyl bending mode is considerably broader on the PtRu catalyst than on the monometallic catalysts, indicating a much more heterogeneous surface. This is in agreement with the TEM/EDX and EXAFS results that show a broader range of adsorption/coordination sites as compared to the Pt-only catalyst: Pt, Pt_xRu_y, RuO₂, Pt/OH, PtRu/OH.

Conclusions

A combination of electron microscopy, X-ray and neutron spectroscopies and computational methods has provided new insights into the species present on the surface of freshly prepared precious metal catalysts. The results show that in all cases, at least half of the surface is metallic, or nearly so, and half is covered by oxygen. A substantial fraction, and perhaps all, of the oxygen is present as hydroxide. There is also water present, for the Pd catalysts and the PtRu catalyst, this is directly observed by INS. The similarity of the Pd black and PdO·H₂O spectra (Fig. 3) argues that the water is hydrogen-bonded to the hydroxyls, rather than on the bare metal surface. The water is strongly held; weeks of pumping under high vacuum is insufficient to remove it completely. The hydroxyls are reactive as shown by their reaction with or displacement by CO and can be removed by hydrogenation. This clearly has implications for how catalysts are activated after preparation. Indeed, the presence of bare metal suggests that an activation process is only necessary if the full activity of the catalyst is needed immediately.

Acknowledgements

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