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Multi-spin-state at a $Li_3PO_4/LiCoO_2$ (104) interface†

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We have found the disproportion between the intermediate spin (IS) and low spin (LS) configurations of Co atoms at a $Li_3PO_4/LiCoO_2$ (104) interface through density functional molecular dynamics (DF-MD). The manifold of the spin state at the interface, however, does not affect the band alignment between the Li_3PO_4 and $LiCoO_2$ regions.

All-solid state Li ion secondary batteries (ALBs) are regarded as next-generation rechargeable electronic cells.[1] Although various materials have already been synthesized for electrolytes and electrodes, solid Li ion secondary batteries fabricated from these materials do not always show the expected performance because there are some problems caused by the interfaces between the electrolyte and electrode materials. Experimental results imply that the problems are attributed to harmful products at the atomistic level[2] or to a space charge layer at electrode/electrolyte interfaces,[3] which result in interfacial resistance. Investigation and the control of the interfaces at the atomistic level, therefore, are indispensable in ALB development.

In this communication, we report a theoretical study on the interface between amorphous Li_3PO_4 and $LiCoO_2$, which is a typical electrode/electrolyte interface in ALBs, to give some insight into the interfacial properties. $LiCoO_2$ is widely used as a 4 V-class electrode and Li_3PO_4 is also a fundamental electrolyte material. A battery that uses a Li/LiPON/$LiCoO_2$ structure, where LiPON is nitrogen-doped Li_3PO_4,[4] exhibits a high cyclic performance,[5] indicating the existence of a stable electrolyte/electrode interface. Furthermore, Haruta *et al.*[6] have recently succeeded in lowering the resistance at the LiPON/$LiCoO_2$ interface *via* all-in-vacuum fabrication than that in a liquid electrolyte-based battery. In addition to the use as an electrolyte, Li_3PO_4 plays an important role as a coating material for enhancing the performance of $LiCoO_2$.[7] Li_3PO_4-coated $LiCoO_2$ shows higher voltage than a pure $LiCoO_2$ cathode with a polymer electrolyte.[8]

Here, we consider the $LiCoO_2$ (104) surface, which is a stable active surface for Li^+ intercalation/deintercalation. On the surface of nanosize stoichiometric $LiCoO_2$, Co atoms have various spin configurations depending on the facets. The (104) surface has five-coordinated Co atoms (Co_{5C}) whose spin configuration is reported to be the intermediate-spin (IS) state (S = 1, Fig. 1).[9] The present calculations also indicate that the Co_{5C} on the surface prefers the IS state to the low-spin (LS) configuration *in vacuo* (see the ESI†). However, the fate of radical electrons on the Co_{5C} after forming the interface with the electrolyte remains unknown. We have investigated the spin configuration of Co_{5C} on the (104) surface at the interface with the amorphous Li_3PO_4 phase using density functional molecular dynamics (DF-MD; molecular dynamics

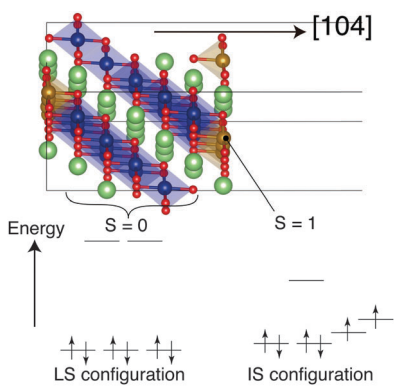

Fig. 1 Low-spin (LS) configuration (S = 0) in the d orbitals of Co in bulk $LiCoO_2$ (left) and intermediate-spin (IS) configuration (S = 1) of five-coordinated Co (Co_{5C}) on the (104) surface (right).[9] Orange balls indicate IS Co (Co_{5C}) atoms and blue balls indicate LS Co atoms.

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calculation with density functional theory) with an *NPT* ensemble. See the ESI† about the setup of an initial interface structure and the recipes for making a model structure for amorphous Li_3PO_4.

We have performed three types of DF-MD calculation. One is the system where all Co_{5C} atoms at the interface are set to the IS configuration. Hereafter, we refer to this system as **I**. Another is the system where all Co_{5C} atoms at the interface are set to the LS state, which we refer to as **II**. After running a 100 ps DF-MD calculation, we have obtained the energetic equilibrium conditions during 40.0 ps for sampling. In **I** and **II**, some PO_4 anions adsorbed onto the $LiCoO_2$ (104) surface *via* two Co–O bonds (coverage; Θ = 0.75, including both sides of the $LiCoO_2$ slab, see also Fig. 3), but one monolayer coverage (all Co_{5C} atoms are covered by PO_4 anions) is not reached during this DF-MD calculation. To explore the possibility of the monolayer-coverage situation (**III**), we have performed another DF-MD calculation whose initial structure has one monolayer, *i.e.*, all Co_{5C} atoms at the interface are covered by PO_4 anions. For this one monolayer system, we have calculated only the LS state because PO_4 adsorbed Co atoms prefer the LS configuration, as will be explained later.

The average total energies (E_A), their standard deviations (SD), and average enthalpies [$H_A = E_A + PV_A$; P (= 1.01325 bar) and V_A are pressure and average volume] of all states are tabulated in Table 1 with the average cell parameters in the direction of the *c*-axis. Because the differences between the average cell parameters of the respective systems are within 3%, the comparison between the statistical energies of the systems is allowed. Indeed, there is no large discrepancy between E_A and H_A (including volume fluctuation). As shown in Table 1, the LS state (**II**) is more stable than the IS state (**I**) by 101.23 kJ mol^{-1}. From this result, PO_4 adsorbed Co atoms at the interface prefer the LS configuration. The PO_4 adsorption is therefore expected to induce intersystem crossing from the IS state to the LS state. Although the one monolayer situation is maintained during the 40.0 ps DF-MD calculation, the one monolayer system **III** has no energetic advantage over the unsaturated LS state **II** because the one monolayer system **III** also shows a comparable energetic level with the system **II**. This indicates the possible existence of uncovered Co_{5C} even at the interface with the amorphous Li_3PO_4 phase.

As shown in Fig. 2, each distribution of energies of **I–III** (histograms) overlaps with each other. The IS and LS states have the possibility of taking an energetically and structurally common area by thermal fluctuation. This suggests that the

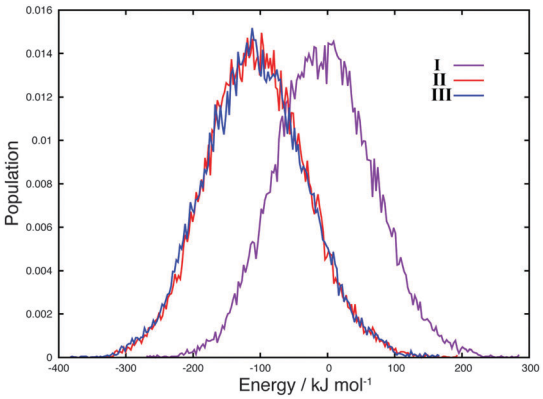

Fig. 2 Normalized histograms of total energies (in kJ mol^{-1} relative to the average energy of **I**) of system **I** (IS system), **II** (LS system), and **III** (monolayer LS system) during 40.0 ps DF-MD.

Co_{5C} atoms at the interface could thermally undergo a spin crossover between the LS and IS configurations similar to perovskite $LaCoO_3$.[10] Consequently, disproportionation involving LS and IS might appear at the interface.

Three-dimensional and schematic structures of PO_4 adsorbed on the $LiCoO_2$ (104) surface are shown in Fig. 3. The average Co–O (PO_4) bond lengths, which are relevant to PO_4 adsorption to the $LiCoO_2$ (104) surface, are tabulated in Table 2 with the Co–O bond lengths in the bulk region of the $LiCoO_2$ (104) slab.

The average Co–O (PO_4) lengths in all the systems are estimated to be above 2.0 Å, which is larger than the average bond lengths of the bulk region of the $LiCoO_2$ (104) slab [Co–O (LCO)]. The large bond length Co–O (PO_4) is probably attributed to the Li ion neighbouring the oxygen of PO_4. With the adsorption of the PO_4 anion, one/two Li ions released from one PO_4 anion exist because three Li ions electrostatically coordinate to four oxygen atoms of one PO_4 anion, and two oxygen atoms of PO_4 are used for the adsorption on the surface. Some Li ions can be located in a position that can be shared by two oxygen atoms: one is an oxygen atom of the adsorbed PO_4 [broken lines in Fig. 3(b)] and the other is an oxygen atom on the $LiCoO_2$ (104) surface [hashed lines in Fig. 3(b)].

The average Co–O (PO_4) bond lengths of the LS systems **II** and **III** are estimated to be 2.07 and 2.16 Å, respectively. On the other hand, the average Co–O (PO_4) bond length of the IS system **I** is estimated to be 2.37 Å, which is larger than that of the LS systems **II** and **III**. This indicates that the IS Co atom certainly prefers the PO_4 desorption. Although PO_4 adsorption

Table 1 Average total energies (E_A), standard deviations (SDs), and average enthalpies (H_A) in kJ mol^{-1} [values in parentheses are in E_h (Hartree)] of the systems **I** (all Co_{5C} are IS), **II** (all Co_{5C} are LS), **III** [one monolayer situation (all Co_{5C} are LS)] during the 40.0 ps DF-MD calculation. Average cell parameters in the direction of the *c*-axis are also tabulated

	I (IS)	**II** (LS)	**III** (monolayer)
E_A/kJ mol^{-1} (E_h)	0.0 (−7330.67908)	−101.24 (−7330.71764)	−103.02 (−7330.71832)
SD/kJ mol^{-1}	72.97	73.23	74.64
c-axis/Å	47.26	46.17	46.90
H_A/kJ mol^{-1} (E_h)	0.0 (−7330.67901)	−101.24 (−7330.71757)	−103.02 (−7330.71825)

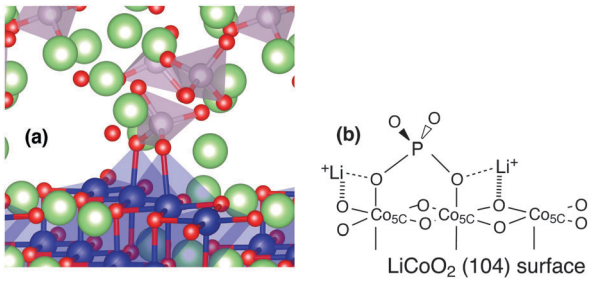

Fig. 3 (a) Structure of PO_4 anion adsorbed on the $LiCoO_2$ (104) surface. (b) Schematic structure of PO_4 adsorbed with two free Li ions shared by oxygen atoms of PO_4 and $LiCoO_2$.

Table 2 Average bond lengths of Co–O (PO_4), which are relevant to PO_4 adsorption, and Co–O (LCO) bond length in bulk $LiCoO_2$, in the systems **I** (all Co_{5C} atoms are IS), **II** (all Co_{5C} atoms are LS), **III** [one monolayer situation (all Co_{5C} atoms are LS)] during 40.0 ps DF-MD calculation

	I (IS)	**II** (LS)	**III** (monolayer)
Co–O (PO_4)/Å	2.371	2.068	2.162
Co–O (LCO)/Å	1.941	1.940	1.933

on the (104) surface contributes to the destabilization of IS Co atoms on the surface, it stabilizes LS Co atoms. Consequently, the LS interface systems **II** and **III** lie at an energetically lower level than the IS interface system **I**.

The PO_4 adsorption decreases the positive charge of Co atoms at the interface. As tabulated in Table 3, the average Mulliken charges of the interfacial Co atoms in the LS systems **II** and **III** decrease to the same extent as bulk Co (about 0.5), in contrast to the interfacial Co in the IS system **I** (0.65). Therefore, the PO_4 adsorption induces the electron transfer from an oxygen atom of the PO_4 anion to a Co atom.

Fig. 4 shows the contour maps of LDOS of alpha spin electrons along the *c*-axis at the average structures of all systems during the 40.0 ps DF-MD calculation. The IS system **I** has an interfacial level attributed to an unoccupied d orbital of IS Co at the interface, as shown in Fig. 4. On the other hand, the LS system **II** has an interfacial level due to the uncapped LS Co atoms at the interface (one side) that disappears in the one monolayer system **III**. For all these systems, band gaps in the bulk regions [LDOS in Fig. 4] of $LiCoO_2$ (104) slabs and in the Li_3PO_4 phases are estimated to be approximately 2.3 eV [comparable to the experimental result (2.7 eV)[11]] and 5.0 eV, respectively. The band offset of the valence band maximum (VBM) between the bulk $LiCoO_2$ and Li_3PO_4 phases is estimated to be 0.7 eV.

Table 3 Average Mulliken charges of Co atoms (bulk Co and interface Co) at average structures in the systems **I** (all Co_{5C} atoms are IS), **II** (all Co_{5C} atoms are LS), **III** [one monolayer situation (all Co_{5C} atoms are LS)] during 40.0 ps DF-MD calculation

	I (IS)	**II** (LS)	**III** (monolayer)
Interface Co (Co_{5C})	0.65	0.54	0.55
Bulk Co	0.51	0.50	0.49

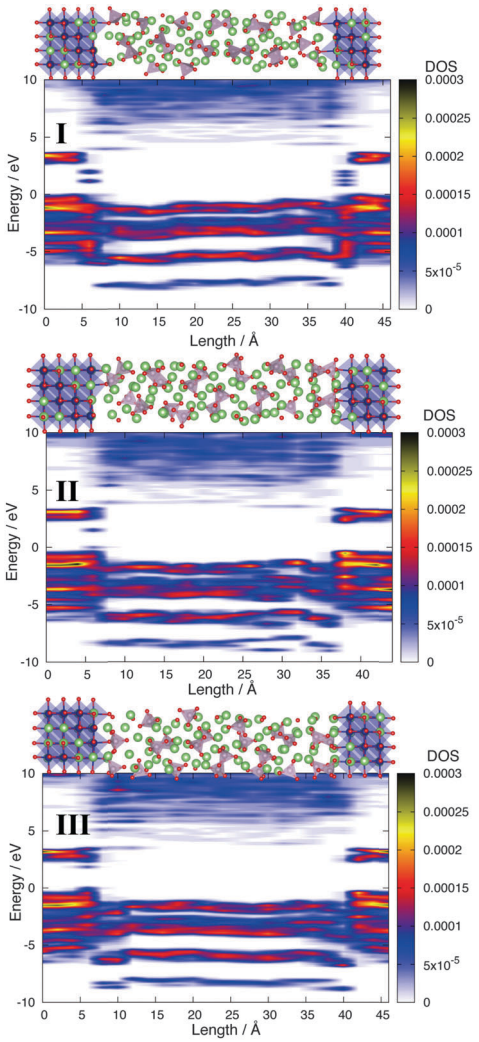

Fig. 4 Contour maps of alpha spin LDOS of the systems **I–III** along the *c*-axis for the average structure obtained from all the structures during the 40.0 ps DF-MD calculation. Fermi levels are set to zero. Irrespective of the system, the gaps between the valence band maximum (BVM) and the conduction band minimum (CBM) of the $LiCoO_2$ and Li_3PO_4 phases are estimated to be 2.3 and 5.0 eV respectively.

Irrespective of the spin state, no reaction products grow during the 40.0 ps DF-MD calculations. Moreover, the IS Co atoms in the IS system **I** remain at the interface during the DF-MD calculation as shown in Fig. 4, that is, the radical electrons at the interface do not become one of the factors for producing some harmful products in this system at the current computational level. We speculate that a similar interface is obtained by fabrication under all-vacuum conditions[6], which exclude impurities from the interface as much as possible.

Conclusions

Our simulation indicates that PO_4 anions adsorb on the $LiCoO_2$ (104) surface *via* two Co–O bonds without the growth of an impurity phase. With the PO_4 adsorption, the IS Co atoms on the surface are destabilized, whereas the LS Co atoms on the

surface are stabilized. Consequently, the LS system of the $LiCoO_2$ (104)/Li_3PO_4 amorphous interface lies at an energetically lower level than the IS system; however, the one monolayer situation has no advantage in terms of energy against the unsaturated LS system. On the other hand, the difference in energy between the LS and IS interface systems is not large. Therefore, the multi-spin-state where the IS and LS state Co atoms coexist at the $LiCoO_2$ (104)/Li_3PO_4 interface emerges similar to those in perovskite $LaCoO_3$ that shows a thermally induced spin-crossover.[10] The manifold of the spin state at the interface, however, does not significantly affect the band alignment between the Li_3PO_4 and $LiCoO_2$ regions and the Li_3PO_4 electrolyte is found to have a wide electrochemical window against the $LiCoO_2$ electrode. The manifold in the spin states of interfacial Co atoms does not affect this system significantly. We will investigate the effect on charging process and of sulfide electrolytes.

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