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1 Introduction

Long-lived triplet states of organic molecules play a key role in the photoreactivity or photostability of organic molecules. Triplet states are, for instance, relevant in the photo-degradation of polymers¹ or in the formation of some photo-lesions in DNA.² Reactions initiated by triplet states are utilized in UV curing,³ *i.e.*, light-triggered polymerization, and photodynamic therapy.⁴

The principles underlying the population of triplet states are thus of great importance for photochemistry. In organic molecules with singlet ground states, transitions from the singlet ground state to a triplet excited state are spin-forbidden, exhibiting small oscillator strengths f of the order of 10^{-9} – 10^{-5} .⁵ Hence, triplet states are usually populated by radiationless transitions ensuing excitation to a higher-lying singlet state. Such transitions are termed intersystem crossing (ISC) and are mediated by spin-orbit coupling (SOC).⁶

The presence of $n\pi^*$ excitations in organic carbonyl compounds leads to significant SOC and consequently large ISC rate constants. Thioxanthone (TX) is prototypical for such compounds. It has been a subject of numerous spectroscopic^{7–17} and quantum chemical studies^{18–21} which aimed at a quantitative understanding of the ISC processes. The geometry of TX being planar,¹⁹ a clear distinction between n - and π -orbitals is possible. This allows for a clear designation of the electronic character of the excited states for the application of El-Sayed's rules^{5,22,23} to ISC. The optical transition of TX, lowest in energy, peaks around 377 nm.¹⁸ Because of the favourable spectral location of this

peak, all contributions to transient signals such as ground-state bleach (GSB), stimulated emission (SE) and excited-state absorption (ESA) can be conveniently recorded.

Fluorescence properties (lifetimes τ_f ¹⁰ and quantum yields ϕ_f ⁷) of TX are strongly solvent dependent. For TX in 2,2,2-trifluoroethanol, the yield ϕ_f equals 0.46,⁷ whereas in cyclohexane (cH) a value as low as 2×10^{-4} was reported.¹⁵ Since the depletion of the singlet excited state by fluorescence or IC is expected to compete with ISC, this large variance in ϕ_f should be mirrored in ISC rate constants. Such effects are commonly attributed to solvent-induced shifts of excitation energies.²⁴

In our earlier studies on ISC in TX, we recorded spectroscopic signatures and rate parameters by time-resolved spectroscopy and compared those with predictions from quantum chemistry. With this approach, we could elucidate the peculiar photophysical behavior of TX in alcohols (methanol and 2,2,2-trifluoroethanol). In alcohols, the photo-excited TX simultaneously emits fluorescence and donates triplet energy.²⁵ Experiments¹⁷ and computations²¹ ascribe this to an accidental (near) degeneracy of the primarily excited $^1\pi\pi^*$ state and a $^3n\pi^*$ one (Fig. 1). Because of the fast ISC and reverse ISC, the two states equilibrate within ~ 5 ps. The equilibrium persists for ~ 2 ns. Depletion of the two equilibrated states results in the population of the lowest triplet state of $^3\pi\pi^*$ character. For the photophysical relaxation processes in alcohols, it is crucial that the $^1n\pi^*$ state lies energetically above the aforementioned ones.

In vacuum and presumably also in apolar solvents, the $^1n\pi^*$ state should be energetically accessible from the primarily excited $^1\pi\pi^*$ state. This has been shown by the computations of Rai-Constapel *et al.*²⁰ In the following, we investigate the kinetic consequences of this accessibility. Femtosecond (fs) transient absorption spectroscopy has already been applied to TX in cH.¹⁵ In that study, a time constant of ~ 5 ps was observed and ascribed to the depletion of the primary

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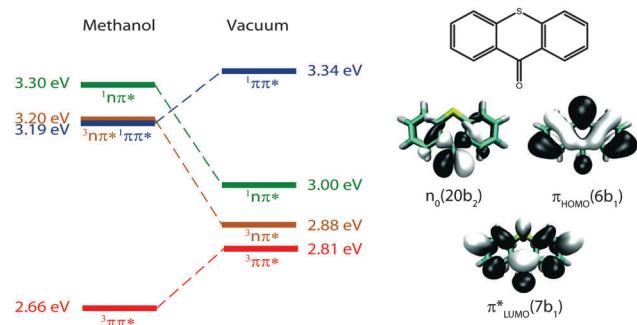


Fig. 1 Adiabatic energies in eV of the four lowest excited states of TX in methanol and in vacuum as determined by quantum chemistry.^{20,21} Molecular orbitals involved predominately in the excitations are sketched on the right. Adapted from ref. 20.

¹ππ* excitation. Based on SE signatures in fs-transient absorption data as well as fs-fluorescence spectroscopy, we will show that in this study¹⁵ a process on the time scale of 100 fs was missed. With the aid of quantum chemistry, we will analyze and assign this transition to the proper deactivation channel.

2 Results

2.1 Steady-state spectroscopy

The absorption band of TX in cH, lowest in energy, peaks at 377 nm (26 530 cm⁻¹) (Fig. 2). A vibronic progression is partially resolved and its spacing is ~1330 cm⁻¹. This pattern recurs in the fluorescence emission spectrum which approximately obeys the mirror image rule.²⁶ The fluorescence maximum lies at 393 nm (25 450 cm⁻¹). With this spectral input, the 0–0 transition energy was estimated.^{26,27} Spectra corrected for the frequency dependence of the absorption and emission²⁶ were normalized. From the crossing point of the two normalized spectra a 0–0 transition energy of 26 100 cm⁻¹ was determined. In relation to these corrected spectra, the Stokes shift amounts

to 1090 cm⁻¹. The fluorescence quantum yield ϕ_{fl} of TX in cH was determined relative to a reference (coumarin 102 in ethanol, 0.95²⁸). The yield was determined to be 3×10^{-5} s⁻¹. This is nearly an order of magnitude smaller than a value reported earlier.¹⁵ The lower value reported here will find support from the time-resolved fluorescence described below. An average fluorescence lifetime $\langle \tau_{fl} \rangle^{sb}$ can be obtained from the value via eqn (1):²⁷

$$\phi_{fl} = \langle \tau_{fl} \rangle^{sb} k_{rad}. \quad (1)$$

Based on the Strickler–Berg analysis^{26,29} and the spectra in Fig. 2, the radiative rate constant k_{rad} was determined to be 4.4×10^7 s⁻¹. This translates into a lifetime $\langle \tau_{fl} \rangle^{sb}$ of 0.7 ps.

2.2 Transient fluorescence spectroscopy

Time-resolved fluorescence spectroscopy gives more details on the decay of the primary excitation. In the experiment, the pump pulse was tuned to 370 nm and thereby in resonance with the first vibronic peak of the ¹ππ* transition. The resulting fluorescence was time resolved relying on the optical Kerr effect. Here, care was taken to cover fluorescence signals close to the excitation wavelength. Fluorescence with wavelengths larger than 385 nm should be detectable using the set-up. In the spectral region of the steady-state fluorescence, a signal is seen to rise within the instrumental response time of 210 fs (Fig. 3). At its temporal maximum, the spectrum resembles the stationary one, except for the sharp peak at ~395 nm. This peak is only observable in the stationary spectrum (cf. Fig. 2) due to a higher spectral resolution. Within a few 100 fs, the signal magnitude drops to roughly one-fifth of its initial value. The spectrum recorded thereafter is similar in spectral shape and position to the one at time zero. The peak at 395 nm observed in the earlier one is, however, now missing. The initial ultrafast decay is followed by one on the time scale of 1–10 ps. This becomes most apparent in the time traces plotted in Fig. 3. These traces also show that the amplitude of this slower process is much smaller than the one of the faster process.

The dataset was analyzed relying on a global fitting procedure which yields time constants and decay-associated spectra (see DAS, Materials and methods). Analyzing the data with only one kinetic component results in a systematic deviation between the data and the fit (cf. Fig. 3, left). Visual inspection suggests that a bi-exponential trial function yields a much better agreement. Indeed, the total χ^2 value³⁰ is reduced by 82% upon adding a second exponential. With a third exponential the reduction with respect to a single exponential fit is only marginally larger (84%). Hence considering the principle of parsimony,³¹ it is reasonable to perform the fit procedure with two exponentials. The time constants obtained are $\tau_1 = 0.42^{+0.02}_{-0.06}$ ps and $\tau_2 = 3.2^{+0.48}_{-1.20}$ ps. The respective DAS $\Delta F_{1,2}(\lambda)$ are both similar to the steady-state fluorescence (Fig. 4). In the second one, $\Delta F_2(\lambda)$, the hint of a peak at 395 nm is missing. The similarity of the two DAS points to some type of delayed fluorescence. This will be further discussed below. The DAS $\Delta F_1(\lambda)$ is larger in amplitude than the DAS $\Delta F_2(\lambda)$.

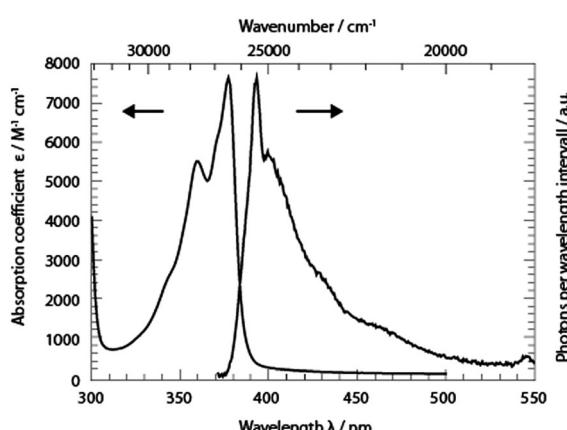


Fig. 2 UV/Vis absorption (absorption coefficient ϵ versus wavelength λ) and fluorescence spectra (\propto photons per wavelength interval) of TX in cH. To record the fluorescence spectrum, the excitation was tuned to 370 nm. The spectral resolution of the fluorescence experiment was ~2 nm.



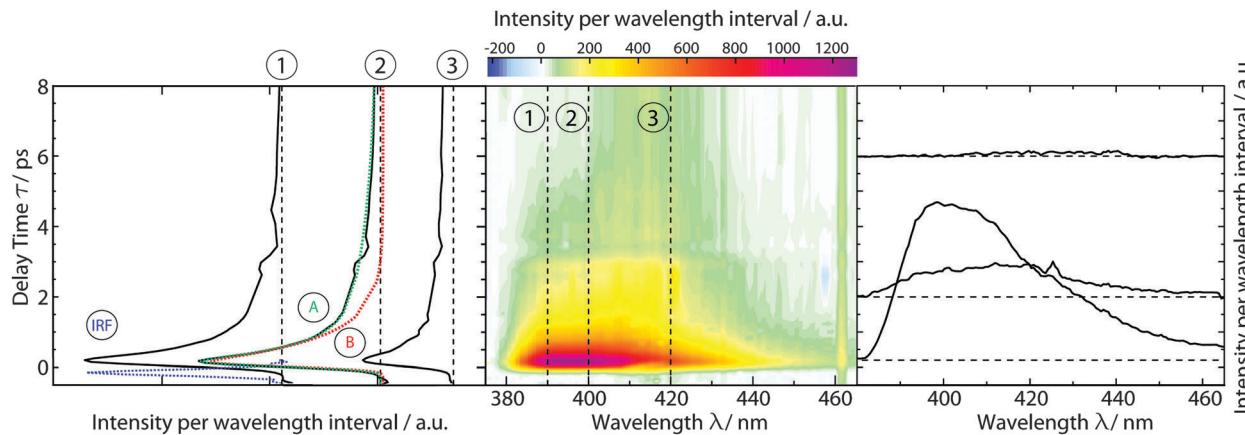


Fig. 3 fs-transient fluorescence of TX in cH (1 mM) as a function of detection wavelength λ and delay time t . The solution was excited at 370 nm. The spectral resolution was ~ 5 nm. In the central contour representation, purple hue represents large fluorescence signals. Representative time traces (black) are plotted on the left. The instrumental response function (IRF) as obtained from Raman scattering of the solvent is plotted in blue. Representative single- (B) and bi-exponential (A) fits are given in red and green, respectively. Selected spectra are depicted on the right. Their vertical positions correspond to the respective delay time.

by a factor of ~ 5 . To ensure that the comparison is not affected by the differences in the shapes of the two spectra, the normalized spectral integrals were computed²⁶

$$I_{1,2} = \frac{\int \frac{\Delta F_{1,2}(\tilde{\nu})}{\tilde{\nu}^3} d\tilde{\nu}}{\sum_i \frac{\Delta F_i(\tilde{\nu})}{\tilde{\nu}^3} d\tilde{\nu}} \quad (2)$$

The values thus obtained are $I_1 = 0.8$ and $I_2 = 0.2$. With these fractions, an average fluorescence lifetime $\langle \tau_{\text{fl}} \rangle$ can be derived from

$$\langle \tau_{\text{fl}} \rangle = I_1 \tau_1 + I_2 \tau_2. \quad (3)$$

This equation yields a value of 1.0 ps, close to the above estimated value of 0.7 ps based on the Strickler–Berg analysis. This agreement corroborates that fluorescence contributions at longer time scales are not relevant.

2.3 Transient absorption spectroscopy

fs-transient absorption spectroscopy was used to identify the electronic states accessed after the depletion of the bright $^1\pi\pi^*$ excitation (Fig. 5). TX in cH was excited with fs-pulses (~ 100 fs duration, 370 nm) with similar properties as in the fs-fluorescence experiment. Absorption changes $\Delta A(\lambda)$ induced by those pulses were probed with a white-light continuum. At time zero, a strong ESA band peaking around 700 nm is present. The band exhibits some structure presumably due to a vibronic progression. Moving towards shorter wavelengths, weaker ESA contributions are observed. At about 400 nm, the signal turns negative. With reference to the steady-state spectra (cf. Fig. 2), this can be assigned to SE and GSB. For even shorter wavelengths, another ESA contribution is seen. Within a couple of 100 fs, the band around 700 nm and the SE decay. The time traces (3) and (1) in Fig. 5 highlight this. The decay leads to a difference spectrum which is weaker in magnitude throughout the covered range. It is dominated by a flat ESA contribution

except for the GSB at 380 nm and a peak at 650 nm. This peak grows in amplitude on the time scale of 10 ps (cf. time trace (2)) and remains constant thereafter. Concomitant with this increase, an ESA band around 330 nm also increases. The spectra recorded from ~ 10 ps to 3 ns after excitation are identical within the noise level and resemble the ones already reported for the lowest TX triplet state in cH.^{9,32} In a nanosecond laser flash experiment on TX in cH, a transient with a lifetime τ_3 of 64 μ s is observed in a nitrogen purged solution. The presence of oxygen (1 atm) reduces the lifetime to 1 μ s. This value agrees with a published one.³² The spectrum $\Delta A_3(\lambda)$ associated with the microsecond decay superimposes with the late spectra of the fs-experiment (Fig. 5). Hence, it may safely be stated that the processes populating the lowest triplet state of $^3\pi\pi^*$ character are terminated after ~ 10 ps.

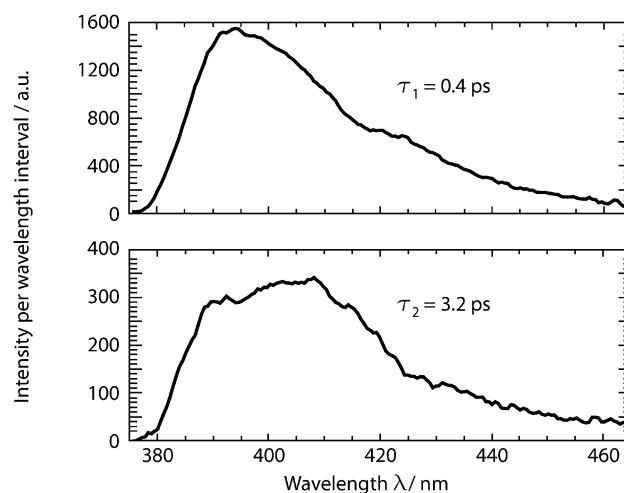


Fig. 4 DAS retrieved from the fs-transient fluorescence data given in Fig. 3.



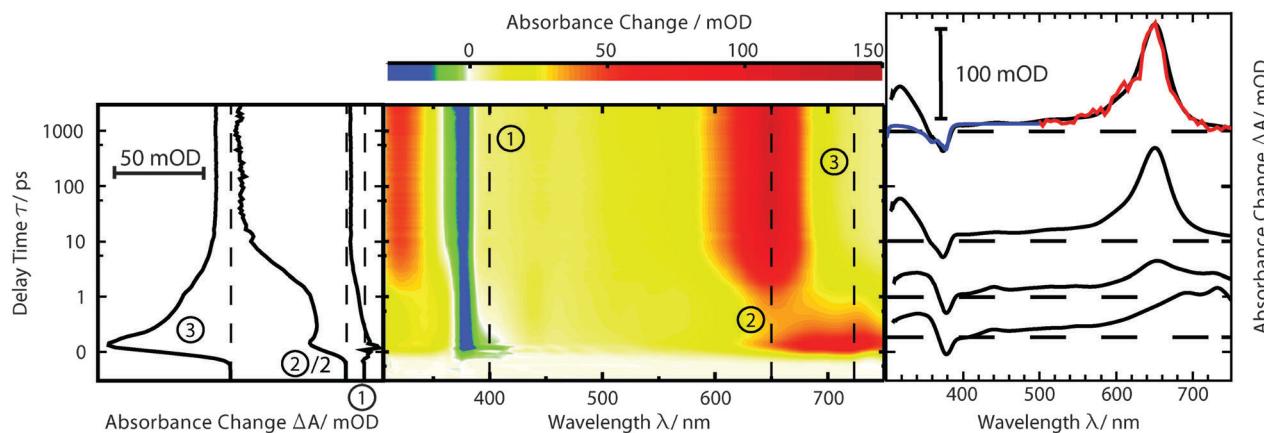


Fig. 5 fs-transient absorption of TX in cH (1 mM) as a function of detection wavelength λ and delay time t . The solution was excited at 370 nm. In the central contour representation reddish hue stands for positive difference absorption due to ESA and bluish coloring represents negative contributions due to GSB and SE. The time axis is linear until 1 ps and logarithmic thereafter. Representative time traces are plotted on the left. Selected difference spectra are depicted on the right. Their vertical positions correspond to the respective delay time. Overlaid with the spectrum at 1000 ps is the amplitude spectrum of a laser flash experiment (red) as well as the inverted and vertically displaced ground state spectrum (blue).

As for the fluorescence data, a global fitting procedure was used to obtain quantitative information on the kinetics. From the above description of the fluorescence and absorption data, it follows that at least three kinetic components—including the offset—are required to describe the kinetics. Indeed, with three components, a satisfactory description of the data is possible. The resulting time constants are $\tau_1 = 0.36 \pm 0.05$ ps and $\tau_2 = 3.6 \pm 0.36$ ps. The values are in accordance with those obtained by fluorescence. In the corresponding DAS $\Delta A_{1,2,\infty}(\lambda)$ (Fig. 6), the qualitative features described above are clearly discernible. The spectrum $\Delta A_1(\lambda)$ features strong positive contributions around 700 nm which represent the rapid initial decay in

that region. The negative signature in the range 390–500 nm overlaps with the fluorescence spectrum (*cf.* Fig. 2) and can therefore be assigned to SE (see also fs-fluorescence data described above). The dominant characteristic of the spectrum $\Delta A_2(\lambda)$ is a strong negative band peaking at 650 nm. Since this band is the inverse of the corresponding one in the offset spectrum $\Delta A_\infty(\lambda)$, it can safely be assigned to the increase of the carrier of this offset spectrum—the $^3\pi\pi^*$ state. Also a negative signature around 320 nm in the spectrum $\Delta A_2(\lambda)$ finds a positive counterpart in the DAS $\Delta A_\infty(\lambda)$. Two positive bands at 360 and 720 nm represent signal reductions due to the decay of the precursor of the $^3\pi\pi^*$ state. Finally, a weak negative signature in the SE region is observed (see also fs-fluorescence data described above). The offset spectrum $\Delta A_\infty(\lambda)$ is identical to the spectra recorded for late ($\gtrsim 10$ ps) delay times. This spectral signature has already been assigned to the $^3\pi\pi^*$ state.

From the offset spectrum and measurements on a reference compound, the quantum yield ϕ_{isc} can be derived. A description of the approach is given in ref. 17. It amounts to 0.95 ± 0.05 . This is somewhat larger than the reported value of 0.85 determined by thermal lens spectroscopy.³³ The analysis of species-associated spectra (SAS) below is in favor of the larger value.

For a comparison of the experimental spectra with the computed ones, the DAS described above were transformed into SAS^{34,35} (see Materials and methods). This transformation requires a kinetic model. Transformations were performed relying on two models. Computations for both models rely on the same fit data (time constants and DAS). It is, thus, not possible to make a statistical statement on which model is more reliable.

In the first model (i), simple consecutive kinetics

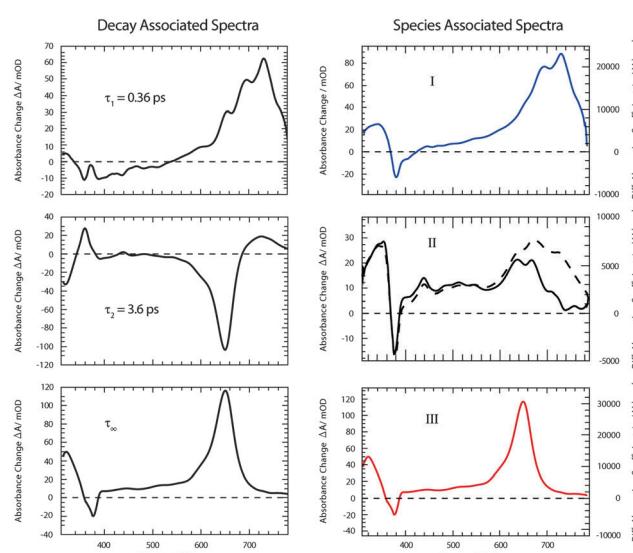


Fig. 6 DAS (left) and SAS (right) derived from the dataset depicted in Fig. 5. For the SAS the experimental absorbance changes (left axis) were converted into difference absorption coefficients $\Delta\epsilon$ (right axis). For species II SAS relating to two models (i (dashed line) and ii (solid line)) were computed.



connecting three species I, II, and III is assumed. In this model, the rate constants are simply given by

$$\tau_1 = \frac{1}{k_1} \quad (5)$$

$$\tau_2 = \frac{1}{k_2} \quad (6)$$

with the time constants being the ones given above. The SAS obtained hereby are plotted in Fig. 5 (right). Their signatures will be discussed below in association with the quantum chemistry computations.

In the second model (ii), the reverse process of the first transition (rate constant k_{-1}) is considered as well.



The fs-fluorescence data indicate such a reverse process. When computing the SAS, the eigenvalue problem described by this equation was solved numerically. Approximately, the three rate constants relate to the measured time constants by

$$\tau_1 \approx \frac{1}{k_1 + k_{-1}} \quad (8)$$

$$\tau_2 \approx \left(1 + \frac{k_{-1}}{k_1}\right) \frac{1}{k_2} \quad (9)$$

The ratio of the rate constants $\frac{k_{-1}}{k_1}$ was set to $\frac{I_2}{I_1} = 0.25$ (see below). The SAS of species I and III are identical for either model. The spectrum of species I is further given by the sum of the three DAS. The spectrum of species III is identical to the DAS $\Delta A_\infty(\lambda)$. By trimming the inverted ground-state spectrum into the SAS for species I and III (the procedure is illustrated in Fig. 5), the respective magnitudes of GSB can be determined. The absorption changes ΔA at 377 nm amount to -29 (I), -24 (II), and -27 MOD (III). These values underline that little or no ground state recovery has occurred and lend support to the above finding of a quantum yield ϕ_{isc} equal to ~ 1 . The GSB contribution is also used to compute difference absorption coefficients $\Delta e_{\text{I,II,III}}(\lambda)$ for the three species. To this end, the GSB contribution is scaled to match the absorption coefficient of TX in its ground state (cf. Fig. 2).

2.4 Quantum-chemical computations

For the assignment of spectroscopic signatures and kinetic processes, quantum-chemical computations were performed. These yielded energy profiles of electronic states along the potential energy paths of the involved electronic states, their transient absorption spectra, and rate constants for ISC processes. The computations built on previous results by the Marian group.^{19–21} Properties have already been computed for vacuum conditions as well as polar and protic solvents. Here, these results are augmented by computations in the apolar solvent cH.

The computations confirm the notion made in the Introduction that four excited states could be accessible. For these states,

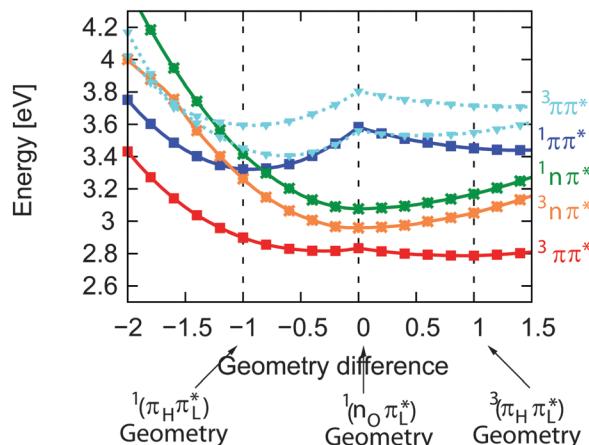


Fig. 7 DFT/MRCI energy profiles along the linearly interpolated path between the equilibrium geometries of the $^1\pi\pi^*$ (-1), $^1,^3n\pi^*$ (0) and $^3\pi\pi^*$ (1) states. The path was extrapolated on both sides.

vertical and adiabatic energies as well as energies for linear interpolation were calculated. The starting points for these interpolations were the respective adiabatic minima. These energy profiles are depicted in Fig. 7. They resemble the ones for TX in vacuum (cf. Fig. 7 in ref. 20). Increasing polarity of the medium surrounding thioxanthone affects the states with $n\pi^*$ character to a larger extent than those with $\pi\pi^*$ character. For cH, the blue shift undergone by the $n\pi^*$ states is about 0.08 eV which is four times larger than the energy stabilization experienced by the $^1,^3\pi\pi^*$ states relevant in the photophysics. This shift causes the energy gaps between the $^1\pi\pi^*$ minimum and the $^1,^3n\pi^*$ minima to be lowered. According to the computation, the adiabatic excitation energy of the $^1\pi\pi^*$ state amounts to 3.32 eV. As described above, a 0–0 energy of 3.24 eV can be derived from the experimental spectra depicted in Fig. 2. Approximating the adiabatic transition energy with the 0–0 one, this is an excellent agreement. A second point of the profiles which can be compared with experimental data is the minimum of the $^3\pi\pi^*$ state. According to the computation, this minimum lies at 2.79 eV. For TX in CH_2Cl_2 , a value of 2.75 eV was deduced from phosphorescence spectroscopy.³⁶ The agreement for the two reference points lends credibility to the complete set of energy profiles. The reproduction of the accidental degeneracy of $^1\pi\pi^*$ and $^3n\pi^*$ states for TX in protic solvents^{17,21} by the computations further demonstrates the power of the method to predict $n\pi^*$ excitations. These excitation energies are difficult to access directly in an experiment. The profiles (Fig. 7) show that, starting from the $^1\pi\pi^*$ state, both $n\pi^*$ excitations (singlet and triplet) are accessible in downhill processes. Furthermore, the $n\pi^*$ energy profiles cross the one of the $^1\pi\pi^*$ state close to its minimum. This implies small or vanishing barriers for transitions to these states. So, according to these profiles, the $^1\pi\pi^*$ state might deplete via an IC process populating the $^1n\pi^*$ or an ISC process yielding the $^3n\pi^*$ one.

With the aim to distinguish between those two pathways, the transient spectra for these states were computed and compared with the experimental ones (Fig. 8). Starting from



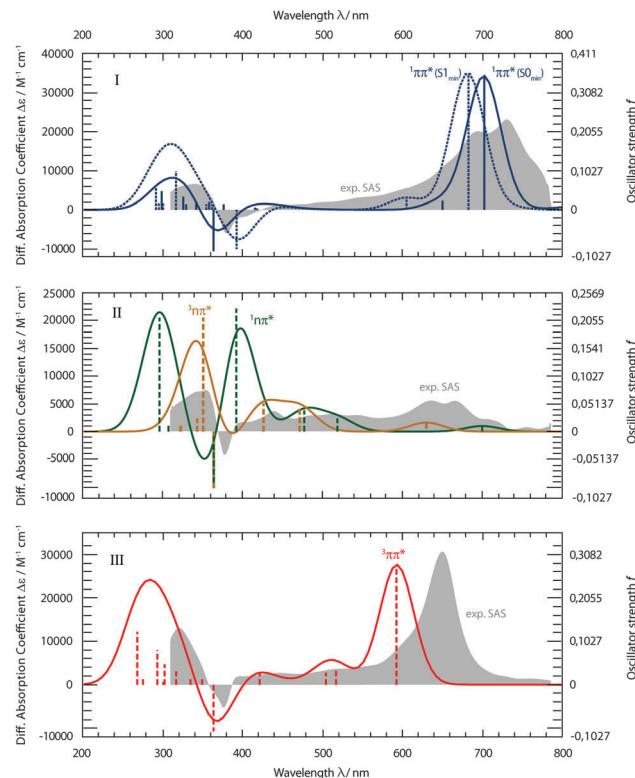


Fig. 8 Computed transient spectra of the $^1\pi\pi^*$ (I), $^1,3n\pi^*$ (II), and $^3\pi\pi^*$ states (III) of TX in cH in comparison with the experimental SAS (gray, difference absorption coefficient $\Delta\epsilon$ on the left axis). Computed oscillator strengths f (right axis) are symbolized by the sticks. Spectra are obtained by the convolution of Gaussians with a FWHM of 50 nm. The $^1\pi\pi^*$ state spectra were computed at the Franck-Condon (FC) point as well as at its minimum geometry.

respective states and geometries, vertical excitation energies and oscillator strengths f were computed. Up to 50 states were included in the calculations for both singlet and triplet manifolds to cover the spectral range of the experiment. For the sake of comparison with the experimental SAS, stick spectra were convoluted with Gaussians of 50 nm FWHM. The convoluted spectra were transformed to obtain absorption coefficients ϵ as a function of the wavelength λ , using the definition of the oscillator strength f (see e.g. ref. 26). Thus, the absolute band heights may be compared with the experimental absorption coefficients.

The computed spectra for the $^1\pi\pi^*$ state (Fig. 8, I) reproduce the experimental one well. Two spectra were computed. For the first one, the equilibrium geometry of the ground state S_0 was used. This corresponds to transitions starting from the Franck-Condon (FC) point. The second spectrum refers to the equilibrium geometry of the $^1\pi\pi^*$ state. Since the lifetime of the $^1\pi\pi^*$ excitation is short with respect to vibrational relaxation^{37,38} and dielectric relaxation³⁹ is of minor importance in cH, the FC computation seems more to the point. The computation reproduces the strong ESA band around 700 nm. In the experiment, this band shows a vibronic progression which the present computational method cannot yield. The computation also

predicts the window of weak absorption between 600 and 400 nm. ESA and GSB as well as the positive signature at 340 nm are also recovered by the computation. The computation, thus, agrees with assigning the SAS I to the $^1\pi\pi^*$ state.

The experimental SAS II features flat ESA contributions in the visible range (Fig. 8, II). Maximal absorption coefficients ϵ are of the order of $5000 \text{ M}^{-1} \text{ cm}^{-1}$. In the SAS I and III, values up to $30000 \text{ M}^{-1} \text{ cm}^{-1}$ are measured. Computations for both $n\pi^*$ states result in such a pattern. However, for wavelengths shorter than 400 nm, the computations predict strong transitions (oscillator strengths f of ~ 0.2) for either state. In the experiment, a much weaker one is seen. Apart from this deviation, computations for the $^1n\pi^*$ as well as $^3n\pi^*$ state concur with the rather indistinct experimental pattern. Furthermore, both computed $n\pi^*$ spectra feature weak absorptions around 700 nm. This is in favor of kinetic model II, as for model I the absorption strength is expected to be higher there (see Fig. 6).

The experimental SAS III features a very distinct and strong band at 650 nm (Fig. 8, III). The computation places this band at a somewhat shorter wavelength of 600 nm and nearly matches the experimental absorption coefficient ϵ of $30000 \text{ M}^{-1} \text{ cm}^{-1}$. The slight discrepancy concerning the wavelength is within the computational error limit. The flat signature in between 600 and 400 nm, the GSB and the positive signature around 320 nm are very well reproduced.

The computed spectra are supportive of the intermediacy of an $n\pi^*$ excitation in the decay of photo-excited TX in cH. They are not conclusive concerning the multiplicity of this state. In this respect, computations of ISC rate constants will be helpful. The methodology for the evaluation of the rate constants is identical to the one described in ref. 21. In the computations, thermal excitations are taken into account. The temperature was set to 298 K. The two El-Sayed allowed ($^1\pi\pi^* \rightarrow ^3n\pi^*$ and $^1n\pi^* \rightarrow ^3\pi\pi^*$) as well as El-Sayed forbidden ($^1\pi\pi^* \rightarrow ^3\pi\pi^*$ and $^1n\pi^* \rightarrow ^3n\pi^*$) transitions were considered. The values obtained (see Table 1) are of the order of 10^{10} – 10^{11} s^{-1} for the El-Sayed allowed processes and an order of magnitude smaller for the forbidden ones. The latter are non-zero due to vibronic effects which are known to be rather important in the photophysics of organic molecules.^{40,41} The rate constant k_{isc} for the depletion of the $^1\pi\pi^*$ excitation due to ISC ($^1\pi\pi^* \rightarrow ^3n\pi^*$) translates into a time constant of 30 ps. This is nearly two orders of magnitude longer than the experimental decay time of $\tau_1 \approx 400 \text{ fs}$. Considering vibrational excitation does not mitigate this discrepancy. To model this effect, rate constants k_{isc} for increased temperature (29 ps at 323 K) were computed. The rate constant k_{isc} is hardly affected. This is in line with the fact that

Table 1 Computed ISC rate constants for room temperature

| Transition | Rate constant k_{isc} (s^{-1}) at 298 K |
|-------------------------------------|---|
| $^1\pi\pi^* \rightarrow ^3n\pi^*$ | 3.0×10^{10} |
| $^1n\pi^* \rightarrow ^3\pi\pi^*$ | 1.8×10^{11} |
| $^1\pi\pi^* \rightarrow ^3\pi\pi^*$ | $\approx 10^9$ |
| $^1n\pi^* \rightarrow ^3n\pi^*$ | $\approx 10^{10}$ |



the energy profile of the $^3n\pi^*$ state crosses that of the $^1\pi\pi^*$ close to its minimum. The computed rate constant k_{isc} is, thus, too small to explain the ultrafast $^1\pi\pi^*$ decay. This also applies for the El-Sayed forbidden transitions ($^1\pi\pi^* \rightarrow ^3\pi\pi^*$). We therefore assign this time constant to an IC process yielding the $^1n\pi^*$ state. Fig. 7 shows that this process should be very fast, since the involved singlet states cross each other very close to the minimum of the bright state. The computed rate constant k_{isc} for the ensuing ISC process ($^1n\pi^* \rightarrow ^3\pi\pi^*$) is very supportive of this assignment. The value corresponds to a time constant of 6 ps which compares favorably with the experimental value of $\tau_2 \approx 4$ ps.

3 Discussion

fs-fluorescence and transient absorption experiments were carried out on the photophysics of TX in cH. They give clear indications that starting in the $^1\pi\pi^*$ state, two transitions with time constants of $\tau_1 \approx 400$ fs and $\tau_2 \approx 4$ ps result in the population of its lowest triplet state of $^3\pi\pi^*$ character. In an earlier fs-study,¹⁵ only one time constant of ~ 5 ps was reported. The fact that the shorter one was missed might be due to the fact that the SE region was not covered. In this region and in time-resolved fluorescence, this time constant is clearly seen.

Computed spectral signatures and rate constants allow us to characterize the two processes and to devise the following kinetic scheme (Fig. 9). The initial $^1\pi\pi^*$ excitation features a lifetime of $\tau_1 \approx 400$ fs. The computed rate constant k_{isc} for the depletion of this state by ISC translates into a lifetime of 30 ps. The efficiency of the channel is, thus, expected to be of the order of 0.01 and cannot explain the overall triplet yield ϕ_{isc} close to unity. The ultrafast decay of the $^1\pi\pi^*$ state proceeds via

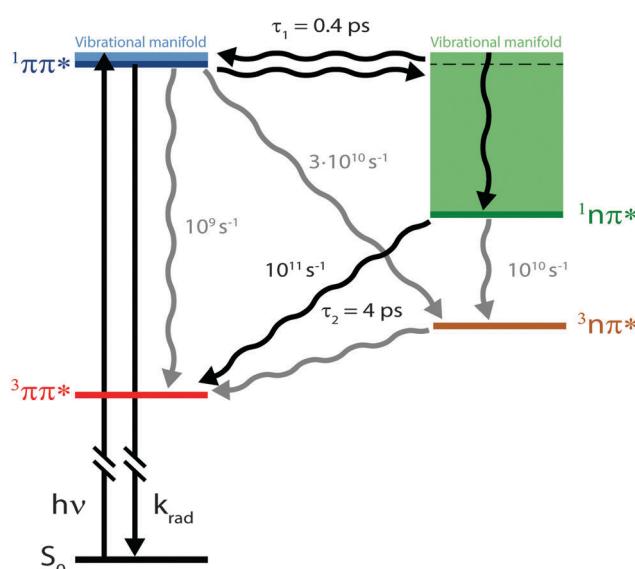


Fig. 9 Kinetic scheme for photophysics of TX in cH resulting from experimental and computational data. The two time constants refer to experimental results and the rate constants to computational ones.

IC and yields the $^1n\pi^*$ state. This state in turn undergoes ISC, yielding the lowest triplet state, the $^3\pi\pi^*$ state. The measured time constant $\tau_2 \approx 4$ ps for this El-Sayed allowed transition is in excellent agreement with the quantum chemical computation (6 ps). The fast formation of this state is in line with its high quantum yield. A rate constant of about 10^{10} s^{-1} has been computed for the vibronic ISC from $^1n\pi^* \rightarrow ^3n\pi^*$, which is an order of magnitude smaller than the direct ISC to the $^3\pi\pi^*$ state.

What remains to be explained is the bi-phasic decay of the fluorescence. We have assigned the time constant τ_1 to the transition from the bright $^1\pi\pi^*$ state to the dark $^1n\pi^*$ one. Still, the second time constant τ_2 attributed to the decay of this dark state shows up in the fs-fluorescence data, albeit with a small amplitude. The similarity of the spectra associated with the two time constants (*cf.* Fig. 4) suggests that the fluorescence indeed originates from one state. E-type delayed fluorescence²² can render this possible. The crucial parameter in E-type delayed fluorescence is the energy gap ΔE between the bright and the dark state. Equating this gap with the adiabatic energy difference ΔE_{ad} obtained in the computations (*cf.* Fig. 7) leads to a value of -0.24 eV (negative implies that the dark state is below the bright one). With this an equilibrium constant K can be estimated,

$$K = \frac{[{}^1n\pi^*]}{[{}^1\pi\pi^*]} \approx e^{-\frac{\Delta E_{\text{ad}}}{k_b T}}. \quad (10)$$

Equating the thermal energy $k_b T$ with its room temperature value yields a constant K of $\sim 10^4$. Thus, in equilibrium only one out of 10^4 ought to populate the bright state. The fluorescence amplitude I_1 "lost" during the initial process with the time constant τ_1 should populate the dark state; therefore $[{}^1n\pi^*] \propto I_1$. The remaining amplitude I_2 should be proportional to $[{}^1\pi\pi^*]$ and the equilibrium constant should be given by $K = I_1/I_2 = 4$. Obviously, the experimental value is much smaller than the above prediction for K . A somewhat better agreement is obtained with an expression considering entropy and zero point energies,

$$K(T) = \frac{Q_{1n\pi^*}(T)}{Q_{1\pi\pi^*}(T)} e^{-\frac{\Delta E_{00}}{k_b T}}. \quad (11)$$

Here, Q stands for the vibrational partition functions⁴² which account for the entropic contribution. They can be approximately determined from the harmonic frequencies obtained by quantum chemistry. The energy gap ΔE_{00} is the adiabatic one corrected for the zero-point energies. It amounts to -0.16 eV and is slightly less negative than the adiabatic one. With this input a smaller constant K of ~ 600 results, which, however, is still far off the experimental value.

Obviously, when applying the above expressions one assumes thermal equilibrium. As already stated above, the vibrational relaxation occurs on somewhat longer time scales (10–20 ps)^{43–45} than characteristic times encountered here. Thus, to some part the vibrational energy generated by photo-excitation above the 0–0 origin of the $^1\pi\pi^*$ state, as well as the

vibrational energy generated in the IC process, is still in the molecule. We used two approaches to obtain crude estimates of the consequences. In the first approach, we assume complete intramolecular vibration redistribution (IVR)⁴⁶ but no transfer of vibrational energy. Based on this assumption an effective temperature T_{eff} can be computed. To this end, the vibrational contribution to the internal energy $E_{1n\pi^*}$ of the $^1n\pi^*$ state was computed according to (see ref. 42)

$$E_{1n\pi^*}(T) = \sum_{j=1}^{63} \frac{h\bar{\nu}_i}{\frac{h\bar{\nu}_i}{k_b T} - 1}, \quad (12)$$

where $\bar{\nu}_i$ are the wavenumbers of the 63 normal modes of TX. These were obtained by quantum chemistry. Equating this internal energy with the difference in 0–0 energy ΔE_{00} plus the thermal energy at RT yields an effective temperature T_{eff} of 375 K. Inserting this temperature into eqn (11) yields a constant K of around 30. Working with the photoenergy minus the 0–0 energy of the $^1n\pi^*$ state (0.24 eV) yields an effective temperature T_{eff} of 389 K and a similar K value of 27 results. The value is still much larger than the experimental ones. However, the value is of course very sensitive to the computed energy difference. Changing this difference by less than 0.1 eV, an agreement with the experiment can be reached.

Presumably, the more realistic assumption is that IVR is not completed. In the limiting case of no IVR, only vibrational states of the $^1n\pi^*$ state isoenergetic with the initial ones of the $^1\pi\pi^*$ state (cf. Fig. 9) need to be considered. Further assuming that all vibrational states within an energy band roughly equal to the thermal energy are accessible, the ratio $\frac{I_1}{I_2}$ should depend on the number of vibrational states. For the $^1\pi\pi^*$ state this number may be approximated by the partition function $Q_{1\pi\pi^*}$. For room temperature it amounts to 4000 and refers to an energy width of $\sim k_b T \approx 200 \text{ cm}^{-1}$. The respective number of vibrational states in the $^1n\pi^*$ state and the same width was determined to be ~ 13000 . For this computation the step function width 2η was set to 800 cm^{-1} (see Materials and methods). The ratio of ~ 3 fits with the experimental one. The exact numerical values aside, the bi-phasic fluorescence behavior observed gives clear evidence that the accepting state in the IC process must be energetically close by—just as the computations predict.

4 Conclusions

With the aid of femtosecond spectroscopy and quantum chemical calculations, the photophysics of TX in cH was elucidated. The primary bright photo-excitation decays *via* an ultrafast IC process (400 fs), ensued by fast ISC (4 ps). The calculated ISC time constant (6 ps) is very close to the experimental one. The computations also concur with the experiment concerning the energetic vicinity ($\sim 0.1 \text{ eV}$) of the two states involved in the IC process.

Concerning solvent dependent photophysics, our results stress that quantitative and qualitative effects ought to be

considered. Solvents may alter energy gaps and thereby the rate constants of non-radiative processes. In addition to such quantitative effects, qualitative ones may occur because different states may be energetically accessible. As a consequence, the nature of the initial non-radiative process may change. For TX in alcohols, the initial process is a fast ISC transition. An IC one cannot occur since the pertinent $^1n\pi^*$ state is out of energetic reach. In apolar solvents, that state is accessible and the primary process is an ultrafast IC transition. Notably, in both solvents a bi-phasic fluorescence decay is observed, albeit on very different time scales and for very different reasons.

5 Materials and methods

5.1 Samples

TX ($\geq 97\%$) was obtained from Sigma-Aldrich and cyclohexane (cH) (99.5%, HPLC grade) from VWR. Both were used as supplied without further purification. For fs-measurements sample solutions with a concentration of $\sim 1 \text{ mM}$ were prepared. This corresponded to optical densities at an excitation wavelength of $\sim 0.5\text{--}1$. All measurements were performed at room temperature (21°C). In all time resolved experiments sample solutions were flown through optical cells. The overall amount of sample ($>10^{-4} \text{ mol TX}$) was large enough to ensure that contributions of potential photoproducts could be neglected. In addition the flow rate in the sample cell was large enough that the solution in the excitation volume was exchanged for each laser shot.

5.2 Steady-state measurements

The steady-state absorption spectrum was obtained using a two-beam absorption spectrometer (Perkin Elmer, Lambda 19) and a 1 cm quartz cuvette (Hellma Analytics). The steady-state fluorescence spectrum was recorded using a Kerr gate set-up with parallel polarizers, *i.e.* in the open state. The sample solution was guided through a flow cell (Hellma, suprasil, pathlength 1 mm). The same flow system was used for time resolved measurements. The fluorescence spectrum was corrected for the offset and spectral sensitivity of the instrument.

5.3 Transient fluorescence measurements

Fluorescence was time resolved using a set-up based on the optical Kerr effect. The instrument is an upgrade of the design described by Schmidt *et al.*⁴⁷ As it contains two major modifications, a complete description of the set-up ensues.

The output of a Ti:Sa laser amplifier system (Coherent Libra, 1 kHz, 100 fs, 800 nm) was split and fed into a NOPA (Light Conversion, TOPAS white) and a home-built OPA.^{47,48} The NOPA output (740 nm, <50 fs) was frequency doubled to obtain pump pulses at 370 nm. They had an energy of 0.9 J and a focal diameter of 120 μm at the sample position. The focusing lens ($f = 300 \text{ mm}$) and all other lenses were made of fused silica. The fluorescence light was collected and focused by identical cassegrainian objectives (Davin 5002-000, NA 0.5, focal length



13.41 mm). To increase the transmission in the UV-region, UV-enhanced wire-grid polarizers (ProFlux UBB01A, 0.7 mm thickness, range 300–2800 nm) were used to extinguish the fluorescence. As the first major modification of the set-up, they were positioned in the collimated beam path instead of directly enclosing the Kerr medium KM (1.2 mm fused silica) as described by Schmidt *et al.*⁴⁷ It was found that this configuration increases the extinction of the polarizers by a factor of two. The gate pulse, which is the output of the OPA (1230 nm, 70 fs, 11 J), was also focused onto the KM ($f=350$ nm, 140 μ m) where it induces the Kerr effect and opens the gate. The transmitted fluorescence was filtered (Asahi ZUL0385) to suppress scattered excitation light and focused (focal diameter 170 μ m) into the detection unit (Andor Shamrock 303 spectrograph, grating 150 1 mm⁻¹, Andor iDus 420bu detector cooled down to -70 °C) using a triplet apochromat (Lens-Optics, fused silica/CaF₂/fused silica, NA 0.114). This setup features a spectral resolution of ~ 5 nm and a time resolution of 210 fs.

The second major modification was the implementation of two reference diodes. To record intensity fluctuations of both the pump and the gate, the beams were guided to integrating photodiodes (Hamamatsu S1226-8BQ (pump) and Thorlabs FDG50 (gate)) after transmitting the sample (pump) or the Kerr medium (gate). They were connected to a digital integrator (WieserLabs, WL-IDP4A). With the recorded intensity traces the following correction procedure is possible.

The instrument provides raw fluorescence spectra $F_r(\lambda, t_d)$ depending on the detection wavelength λ and delay time t_d . Background signals contribute to these spectra which need to be subtracted. To this end, pump only $B_p(\lambda)$ and gate only $B_g(\lambda)$ background spectra were recorded. The pump only spectrum $B_p(\lambda)$ is dominated by fluorescence leaking through the crossed polarizers.⁴⁹ It scales linearly with the pump intensity P . This intensity is recorded using a photodiode for the pump only condition (P_0) as well as for every delay time ($P(t_d)$). Third harmonic generation in the Kerr medium⁵⁰ causes the overwhelming contribution to the gate only spectrum $B_g(\lambda)$. Its signal grows with the third power of the gate intensity. This intensity was also recorded using a photodiode for the gate only condition (G_0) and for each delay time ($G(t_d)$). The correction for these background contributions is given by the term in square brackets in eqn (13).

$$F(\lambda, t_d) = \left[F_r(\lambda, t_d) - B_p(\lambda) \cdot \left(\frac{P(t_d)}{P_0} \right) - B_g(\lambda) \cdot \left(\frac{G(t_d)}{G_0} \right)^3 \right] \cdot \frac{P_0}{P(t_d)} \cdot \left(\frac{G}{G(t_d)} \right)^2 \cdot \text{SC}(\lambda) \cdot \left(\frac{\lambda}{\lambda_{\text{AV}}} \right)^2 \quad (13)$$

Not only the background but also the signal fluctuates with pump and gate intensities. This is accounted for by the term

$\frac{P_0}{P(t_d)}$ for the pump intensity and the term $\left(\frac{G_0}{G(t_d)} \right)^2$ for the gate intensity. Note that the gate efficiency is quadratic in the gate intensity.⁴⁹ The spectral sensitivity of the complete instrument

is corrected by multiplying with a correction function $\text{SC}(\lambda)$. Multiplying by λ^2 compensates the wavelength dependence of the gate efficiency.⁵¹ Finally the data were corrected for the time zero dispersion,⁴⁷ with the values taken from ref. 52 and 53.

To record one raw spectrum, 20 spectra (0.5 s integration time) were averaged. Between -1 and 2 ps the delay time was varied linearly in 60 steps. Up to 20 ps equidistant steps on a logarithmic scale were set. 60 scans were averaged. Datasets for the neat solvent and TX solutions were recorded. The solvent contribution was subtracted after proper scaling which accounts for the inner filter effect of the solute.

5.4 Femtosecond transient absorption

The femtosecond transient absorption set-up used is described in depth elsewhere.^{17,54,55} Here the pertinent parameters are listed. The energy of the pump pulses at 370 nm was ~ 1 μ J. At the sample the diameter of the pump beam amounted to ~ 160 μ m. The white light probe featured pulse energies of some tens of nanojoules and a beam diameter of ~ 40 μ m. The relative polarization of pump and probe light was set to the magic angle. The instrumental response function (IRF) had a temporal width of ~ 160 fs (FWHM). For every setting of the delay line, signal contributions of 4000 laser pulses (1000 pump and probe on) were averaged. By operating with two choppers stray light contributions could be corrected.^{54,56} For the measurement a total of 4 scans were averaged. Each scan consisted of 164 steps, 75 being equidistant from -2 to 1 ps and 89 on a logarithmic scale up to 3.3 ns. Datasets for the neat solvent and TX solutions were recorded. The solvent contribution was subtracted after proper scaling which accounts for the inner filter effect of the solute.

5.5 Nanosecond flash photolysis

Nanosecond transient absorption spectra were acquired using an LSK 6.0 spectrometer (Applied Photophysics) with a cross-beam configuration.⁵⁷ Frequency tripled (355 nm) pulses from a Nd:YAG laser (Innolas, Spitlight 600, 1 Hz repetition rate) served as the excitation. Their energy was attenuated to 2 mJ and the duration was 7 ns (FWHM). A pulsed xenon lamp (L2273, Hamamatsu) provided the probe light. After passing the sample the probe light was monochromatized using a grating spectrometer (bandwidth 4.7 nm) and detected using a photomultiplier (R5108, Hamamatsu). Its signal was digitized using an oscilloscope (Agilent Infiniium) and transferred to a computer. Time traces were recorded for detection wavelengths ranging from 500 nm to 855 nm in 5 nm steps. For each measured wavelength 10 successive laser shots were averaged. The sample concentration was of the order of ~ 80 M to ensure a uniform excitation along the cuvette path (sealed 111-QS, Hellma Analytics). Samples purged with nitrogen as well as oxygen were investigated. The ambient temperature of 20 °C was controlled using a thermostat.

5.6 Data analysis

The measurements of both the time-resolved fluorescence and the transient absorption were analyzed using a global fitting



routine. The signal $S(\lambda, t_d)$ as a function of detection wavelength λ and delay time t_d is expressed by decay-associated spectra (DAS) $S_i(\lambda)$ and the associated time constants τ_i as given in the below trial function:⁵⁸

$$S(\lambda, t_d) = \text{IRF} \otimes \sum_i S_i(\lambda) e^{-\frac{t_d}{\tau_i}}. \quad (14)$$

The convolution with the instrumental response function is given by the term $\text{IRF} \otimes$. The DAS may be transformed into species associated spectra (SAS), that is, (difference) spectra of the states (${}^1\pi\pi^*$, ${}^1n\pi^*$, ${}^3n\pi^*$, and ${}^3\pi\pi^*$) transiently populated.^{34,35} The transformation requires a kinetic model as input. Based on the model a quadratic matrix is constructed. The matrix elements depend on the elementary rate constants $k_{1,2,\dots}$ of the model. Eigenvalues and eigenvectors of the matrix are evaluated numerically. The eigenvalues are functions of the rate constants $k_{1,2,\dots}$ and are related to the measured time constants $\tau_{1,2,\dots} = f(k_{1,2,\dots})$. With the eigenvectors and the starting conditions (e.g. at $t = 0$ all population in the ${}^1\pi\pi^*$ state) a matrix may be constructed which transforms the DAS into SAS.

5.7 Quantum chemistry

The ground- and excited-state geometries have been optimized at the density functional theory (DFT) and time-dependent DFT (TDDFT) level with the B3-LYP functional using the Turbomole program package.⁵⁹ The TZVP basis set has been used to describe the C, H and O centers and the TZVPP basis describes the S center in accordance with the previous theoretical studies carried out on thioxanthone by the Marian group.^{19–21} A cyclohexane (dielectric constant, $\epsilon = 2$) environment was hereby treated as a continuum using the conductor-like screening model (COSMO) approach.^{60,61} Vertical electronic excitation energies, dipole (transition) moments and oscillator strengths were obtained from subsequent single-point calculations using the combined density functional theory/multi-reference configuration interaction (DFT/MRCI) method of Grimme and Waletzke.⁶² The linearly interpolated paths (LIPs) for the qualitative discussion have been determined between the minima of relevant electronic states using the program package DISTORT.⁶³ At each of the geometries obtained along the path, a single point DFT/MRCI calculation was carried out in order to determine the electronic state energy profiles.

The vibrational modes, required for the computation of the rate constants, have been obtained using SNF program package.⁶⁴ The rate constants have been determined using the time dependent version of the VIBES program developed in the laboratory of the Marian group.⁶⁵ This method also allows for the temperature dependency in the rate constant calculation. For the discussion of the photophysics, the vibrational density of states of the ${}^1n\pi^*$ state around the minimum of the bright singlet state was also determined. To this end, the time independent branch of the VIBES program was made use of.⁶³ In this ansatz the δ -function ensuring energy conservation is replaced by a step function of finite width 2η centered at the

minimum of the initial state. The density of states between the involved states is estimated using the analytical expression given by Haarhoff.⁶⁶ This number increases exponentially with the number of degrees of freedom available and the energy difference between the two states. One may, however, reduce the computational burden by exploiting the symmetry of the molecule and taking into consideration only those states which would give a strong coupling. The effect of temperature may be simulated by allowing the vibrational modes to be excited by more than one quantum. Hence, the number of states found within this interval depends upon how large a value is assigned to η , the number of quanta with which each vibrational mode is excited and how many active modes are considered in the calculation. In the present calculations we allowed excitation by 10 quanta and all 63 modes were included.

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