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'Clickable' ZnO nanocrystals: the superiority of a novel organometallic approach over the inorganic sol–gel procedure†

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We demonstrate for the first time a highly efficient Cu(I)-catalyzed alkyne–azide cycloaddition reaction on the surface of ZnO nanocrystals with retention of their photoluminescence properties. Our comparative studies highlight the superiority of a novel self-supporting organometallic method for the preparation of brightly luminescent and well-passivated ZnO nanocrystals over the traditional sol–gel procedure.

The crucial features of inorganic nanocrystals (NCs), such as unique physicochemical properties and stability, are strongly affected by the organic shell coating their inorganic core.¹ Therefore, an appropriate choice of the functionalizing ligands grafted onto the surface is a key factor in the design of functional NCs. Moreover, the external organic coating significantly influences both interactions with the environment and further application possibilities of nanomaterials. The most valuable surface transformations refer to the conjugation of NCs with other molecules that provide desired functionalities. In this regard, one of the most powerful tools enabling numerous modifications is the so-called click chemistry, defined by Sharpless as a group of selective, fast and highly efficient reactions.² Copper(I)-catalyzed azide–alkyne cycloaddition (CuACC), being highly specific and utilizing mild reaction conditions, has become the most widely investigated click reaction to achieve an efficient and rapid surface modification of nanostructures.³ Despite many advantages, the application of Cu as a catalyst imposes numerous impediments, particularly copper ions are known to quench the photoluminescence (PL) of semiconductor NCs.⁴ The same behaviour is commonly assumed for ZnO nanostructures. However, according

to our literature survey, this issue has been scarcely discussed, and essentially the reported observations are not supported by the corresponding experimental data.⁵

The interest in ZnO-based nanomaterials as heavy metal-free substituents of commonly used CdX NCs has been recently booming in various directions.⁶ The use of ZnO NCs as fluorescent agents in biological imaging is an especially promising application.⁷ For this purpose, there is a special need for elaboration of effective surface modification methods providing nanocrystals conjugated with biomolecules. To realize such a demand, the most appropriate tool is the CuACC reaction; however, the NCs' external ligand shell should be impermeable to Cu ions. To the best of our knowledge, there are no reports on successful strategies allowing ZnO NC functionalization using classic copper(I)-catalyzed click chemistry with preservation of their PL properties. Recently, our group developed a novel self-supporting organometallic approach for the synthesis of liquid crystalline carboxylate ligand-coated ZnO NCs which were effectively used for the preparation of highly-ordered hybrid nanomaterials at the air/water interface.⁸ Simultaneously, we also demonstrated that ZnO NCs obtained by this organometallic procedure exhibit essentially a very low negative impact on mammalian cell lines.⁹ We anticipated that the observed low cytotoxicity stems from the NC effective surface passivation and the impermeable organic shell. Thus, we wondered if this type of well-passivated ZnO NC could be prone to the ligand shell functionalization using CuACC while retaining the PL properties of the nanoparticles.

In order to verify our hypothesis that the self-supporting organometallic procedure provides ZnO NCs with the ligand shell sufficiently shielding against Cu ions during the CuACC process, we selected ZnO NCs stabilized by deprotonated 10-undecynoic acid (una-H) for Cu(I)-mediated click chemistry. Initially, the una ligand-passivated ZnO NCs (hereafter denoted as una-ZnO NCs) were synthesized according to the self-supporting method,⁸ which involves: (i) the equimolar reaction between Et₂Zn and una-H in a donor solvent and (ii) the exposure of the *in situ* synthesized [EtZn(una)] precursor¹⁰ to air resulting in its subsequent transformation to una-ZnO NCs, forming stable colloidal solutions in THF,

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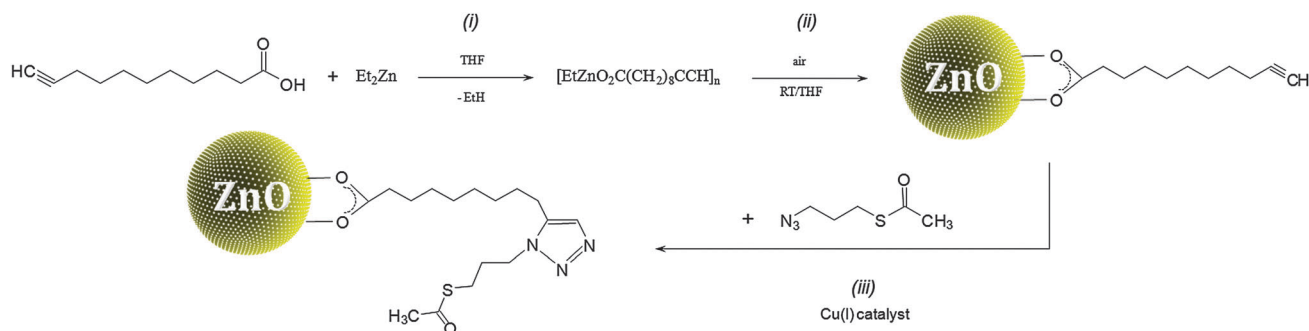
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Scheme 1 Preparation of ZnO NCs: (i) the precursor synthesis, (ii) its transformation to una-ZnO NCs, and (iii) the functionalization of brightly luminescent una-ZnO NCs by the CuACC reaction affording tr-NCs with preserved PL.

CHCl₃ and DMSO (Scheme 1). Prior to further processing and after the purification (see the ESI[†]), the una-ZnO NCs were characterized using a wide library of analytical techniques. The resulting NCs are coated by the monoanionic carboxylate ligand as proven by thermogravimetric analysis (TGA). The thermal decomposition of una-ZnO NCs shows one maximum at *ca.* 450 °C corresponding to the coating monoanionic una ligands, while pure una-H decomposes at *ca.* 238 °C (Fig. 1). Inspection of the una-ZnO NCs using high-resolution transmission electron microscopy (HRTEM) and dynamic light scattering (DLS) gave inorganic core average diameters of 4.8 ± 0.5 nm (Fig. 1; for the corresponding powder X-ray diffraction data see Fig. S8, ESI[†]) and the average hydrodynamic diameter of 8.7 nm (Fig. S9, ESI[†]),¹¹ respectively. In the IR spectrum of una-ZnO NCs, the presence of two strong or medium intensity bands characteristic of the asymmetric $\nu_{\text{as}}(\text{COO})$ and symmetric $\nu_{\text{s}}(\text{COO})$ stretching at 1530 and 1359 cm⁻¹, ($\Delta\nu = 171$ cm⁻¹) indicated the bridging bidentate μ_2 -coordination mode of the coating carboxylate moieties (Fig. 4). The ¹H NMR spectrum of una-ZnO NCs exhibited broader signals corresponding to that of the parent una-H molecules (Fig. 3a and Fig. S6, ESI[†]). The most essential information indicating that the una ligand binding to the surface of NCs provided a broadened triplet at 2.33 ppm assigned to the resonance of O₂CCH₂ protons which are the closer to the NC surface. The corresponding UV-Vis absorption and photoluminescence (PL) spectra are shown in Fig. 5.

The surface modification of una-ZnO NCs is illustrated in Scheme 1, path (iii). The purified alkyne-terminated NCs were dried *in vacuo* and redispersed in oxygen-free THF. Then, the mixture of *S*-(3-azidopropyl)thioacetate and a catalytic amount of ([Cu(C₁₂H₈N₂)[P(C₆H₅)₃]₂][NO₃]) was added and the reaction was carried out for 1 h in an inert gas atmosphere to yield the functionalized tr-ZnO NCs. Then, the processed NCs were washed (see the ESI[†]) in order to remove impurities and the catalyst.

The ¹H NMR spectra of (a) the parent una-ZnO NCs before the click reaction and (b) modified tr-ZnO NCs are shown in Fig. 3. The most relevant signal for monitoring the reaction progress is the resonance at 1.93 ppm associated with the C≡CH group. In Fig. 3b, this signal is residual, which means the reaction between the alkyne group and the azide (*S*-(3-azidopropyl)thioacetate) proceeded almost quantitatively. The appearance of a new set of resonances, including the signal at 7.34 ppm from the CH-N proton, confirms the formation of triazole. These findings are in agreement with the IR spectra. For una-ZnO NCs, two characteristic bands at 3281 cm⁻¹ and 2120 cm⁻¹ are present, which correspond to the C≡C and ≡CH stretching vibrations, respectively (Fig. 4). After the click reaction, these two resonances are no longer observed. The HRTEM micrographs indicate that tr-ZnO NCs are well-separated and spherically-shaped (Fig. 2c and d), which means that surface modification did not induce the aggregation of NCs. According to our expectations the average hydrodynamic diameter of tr-NCs slightly increased to 10.1 nm (ESI[†]).

The photoluminescence spectra of both una-ZnO NCs before and after their functionalization using CuACC are shown in Fig. 5. In both cases, the emission peaks are centered at *ca.* 520 nm. After the CuACC process, the luminescence of the NCs is only negligibly suppressed. Thus, the data clearly indicate that the Cu(i) catalyst used in the CuACC reaction did not influence the PL properties of the resulting tr-ZnO NCs. The quenching commonly found for other types of semiconductor NCs is rationalized by the permeable nature of the used ligand systems for copper ions.¹² In our case, the observed retention of PL indicates that the self-supporting organometallic approach provides ZnO NCs with well passivated and impermeable organic shell relevant to the CuACC reaction. The anticipated impermeability perfectly correlates with the above-mentioned very low negative impact of the analogously synthesized ZnO NCs on mammalian cell lines.⁹

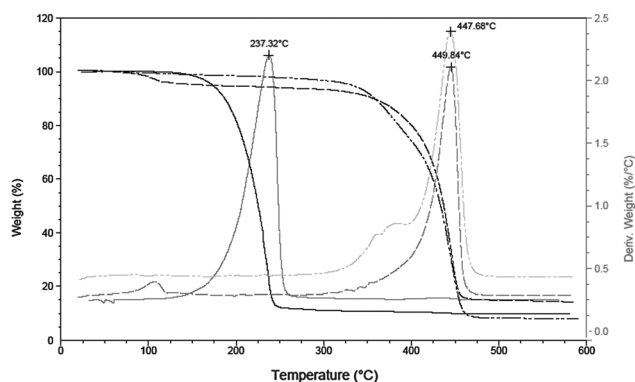


Fig. 1 TGA (black) and derivative thermogravimetric (grey) analysis of una-H (solid line), una-ZnO NCs (dashed line) and tr-ZnO NCs (dashed-dotted line).



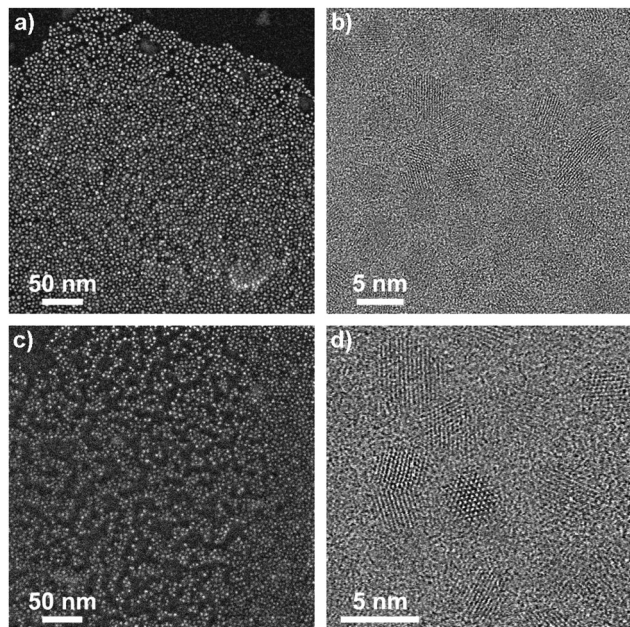


Fig. 2 The HR TEM micrographs of (a and b) una-ZnO NCs, (c and d) tr-ZnO NCs.

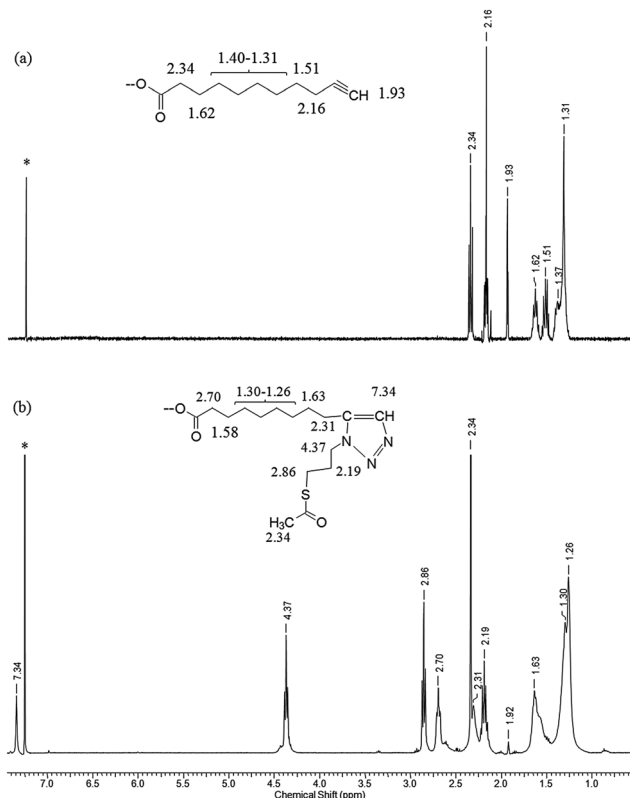


Fig. 3 ^1H NMR spectra of (a) the parent una-ZnO NCs and (b) tr-ZnO NCs after the CuACC reaction ($^*\text{CDCl}_3$, 400 MHz, RT).

To highlight the superiority of our synthetic method over most common inorganic sol-gel processes,¹³ we performed the analogous functionalization mediated by CuACC for una-coated

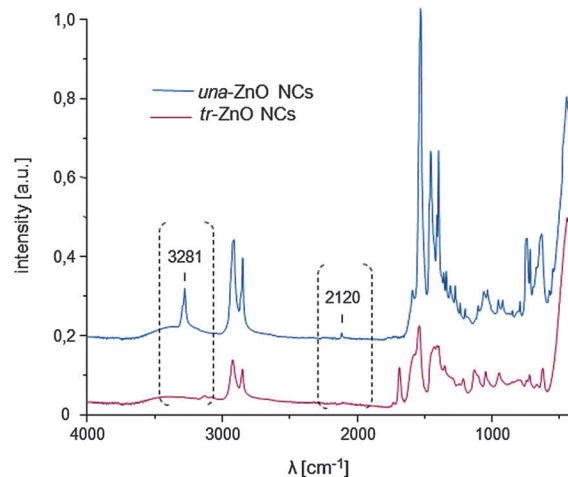


Fig. 4 The IR spectra of ZnO NCs before and after the click reaction.

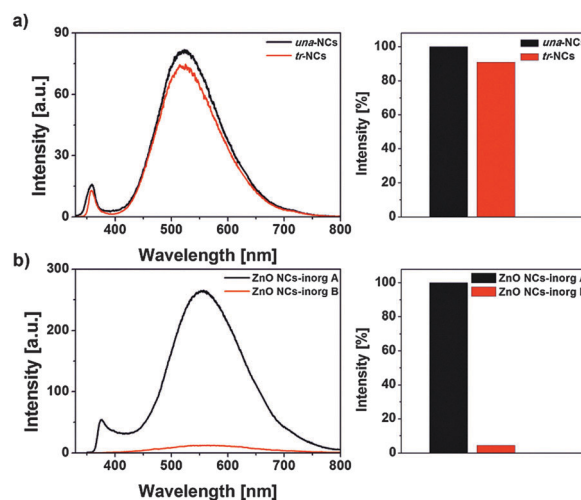


Fig. 5 (a) PL emission spectra of ZnO NCs before (black) and after the CuACC reaction (red); (b) PL spectra of: inorgA-ZnO NCs obtained via an inorganic method with 10-undecyenoic acid on the surface (black); after the click reaction with an azide in the presence of a Cu(I) catalyst (red); corresponding to the bar graphs representing the suppression of the PL.

ZnO NCs obtained by the traditional inorganic (hereafter denoted as inorgA-ZnO). Briefly, the inorgA-ZnO NCs were prepared in ethanolic solution in the reaction of zinc acetate and lithium hydroxide, followed by the ligand exchange with 10-undecyenoic acid (for details see the ESI[†]). Next, the inorgA-ZnO NCs were subjected to the CuACC reaction under the same conditions as previously described for una-ZnO NCs. As it is shown in Fig. 5, the click reaction resulted in immediate and irreversible quenching of the NC PL (similar observations were noted by others in the case of the CuACC functionalization of ZnO NCs prepared by the inorganic sol-gel method, however no experimental data were presented).⁵ However, taking into account that in the traditional inorganic sol-gel process the rate of nucleation and particle growth could hardly be controlled for a regime of quantum dot sizes,^{13b,14} it is reasonable to expect the spontaneous formation of various surface defects and permeable



coating organic shell of the resulting NCs. Under these circumstances, Cu ions can easily approach the surface and subsequently quench the PL.^{4a,12}

In conclusion, the presented data clearly indicate the advantage of the elaborated self-supporting organometallic procedure for the synthesis of ZnO NCs in a regime of quantum dot sizes over the traditional sol-gel inorganic method widely reported in the literature. The transformation of the designed alkylzinc [RZn-X] precursor in the presence of air provides high-quality ZnO NCs coated by a impermeable shell composed of X-type ligands which are appropriate for the classic Cu(I)-catalyzed click chemistry with retention of their PL properties. Conversely, the inorganic method affords the NCs with insufficiently capped surfaces to retain the PL properties after the analogous surface modification. It is also noteworthy that unlike the sol-gel method,⁵ this new organometallic approach directly affords nanomaterials adequate for post-synthetic modifications, *i.e.* ZnO NCs do not require pre-processing, such as ligand exchange, before further functionalization. Our strategy opens a new route to the direct and efficient surface functionalization of ZnO NCs, including coupling with biomolecules for the use as biolabels and other applications. Further studies on both the surface structure of ZnO NCs as well as click reactions between ZnO NCs and biologically active molecules are in progress.

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