



## A comparison of techniques for size measurement of nanoparticles in cell culture medium†

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Plain and aminated silica nanoparticles dispersed in purified water, in 50 mM Tris–HCl buffer and in cell culture medium were measured using dynamic light scattering (DLS), centrifugal liquid sedimentation (CLS), small-angle X-ray scattering (SAXS), and particle tracking analysis (PTA). The test samples were measured by all methods immediately after dispersion and after incubation at room temperature for 24 h. The effect of the biological dispersion medium on the modal value of the particle size distribution was compared for each method taking into account the estimated uncertainty. For the methods based on light scattering, DLS and PTA, the size distributions obtained were significantly altered due to the formation of a protein corona and induced agglomeration effects. With SAXS and CLS, the measured size of the primary particles was mostly unchanged. While SAXS offers excellent precision and traceability to the SI unit system if the model fitting approach is used for data analysis, CLS provides detailed size distributions from which additional information on the agglomeration state can be deduced.

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## 1 Introduction

Due to the ubiquitous use of nanoparticles in food and consumer products,<sup>1,2</sup> human exposure to engineered nanoparticles (ENPs) has recently become an important issue in the field of health and environmental science.<sup>3</sup> The potential risks associated with this exposure<sup>4</sup> are driving research into the basic physical chemistry of ENPs as well as their interaction with biological materials.<sup>5</sup> The effect of ENPs on biological cells, the environment and human health, are under active research and not yet fully understood,<sup>6</sup> which also implies regulatory problems.<sup>7</sup> Some of these difficulties are related to the

limitations of the current size determination techniques used in the field.

One of the defining properties of nanomaterials is the mean nanoparticle size, which can be determined with high accuracy by selected techniques for suitable materials.<sup>8</sup> For nanoparticles dispersed in plain aqueous media, these techniques can produce results where the reported size is related to the definition of the unit metre in the International System of Units (SI) *via* an unbroken chain of comparisons, each having a stated uncertainty (SI-traceable results). In complex biological media, however, the determination of the size is challenging not only because the commonly used sizing techniques are less suitable for testing multi-component systems, but also because of the possible interactions between ENPs and the constituents of the biological matrix.<sup>9</sup>

If ENPs are in contact with biological material, different proteins and other organic molecules can adhere to their surfaces, forming a so-called protein corona. The properties of the corona highly depend on the surface characteristics of the ENPs as well as on the type of the biological matrix.<sup>10</sup> The formation of the protein corona determines the biological fate of the ENPs<sup>11</sup> and increases the overall size, but usually leaves the size of the solid, dense core intact.<sup>12</sup> Measuring the size in a biological medium is therefore more difficult and less well-defined than in plain aqueous media.<sup>13</sup> The size determination of ENPs in a biological medium is not only important for human and environmental risk assessment and regulation, but also for the fields of drug delivery, biomedical imaging and toxicology.<sup>14</sup>

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‡ CG and MK performed and evaluated the SAXS measurements, collected the results and drafted the manuscript. DB performed and evaluated the PTA and TEM measurements. HGI conceived the study in the framework of the NanoChOp project. VK, CM, YR, and AS performed and evaluated DLS and CLS measurements, including a detailed uncertainty analysis. GR organized the production of the test materials. MP and ZV performed the amination of the plain silica particles. All authors read and approved the final manuscript.



Silica nanoparticles are one of the most frequently used ENPs in consumer products.<sup>15</sup> In this study, two well-characterized representative test materials<sup>16</sup> (RTMs) based on  $\text{SiO}_2$  nanoparticles with different surface functionalization, but otherwise very similar properties, were analysed using four common particle size analysis techniques after dispersion in purified water, in a Tris-HCl buffer at a physiological pH, and in a cell culture medium containing 10% fetal bovine serum (FBS). The applied techniques include dynamic light scattering (DLS), centrifugal liquid sedimentation (CLS), small-angle X-ray scattering (SAXS), and particle tracking analysis (PTA). The measurements were complemented by uncertainty analyses, in order to evaluate the significance of the resulting changes in size.

In the next section, a brief description of the preparation of the test materials is followed by the experimental details and the presentation of the results obtained. Different methods are then compared regarding their ability to characterize the particle size distribution (PSD) of the studied ENPs in different media, and finally the advantages and drawbacks of each method are discussed.

## 2 Materials

The nanomaterials used in this comparison are derived from a commercially available aqueous suspension of plain silica nanoparticles (Klebosol 30R50, 300 g  $\text{kg}^{-1}$ ), supplied by AZ Electronic Materials France SAS (Trosly Breuil, FR).<sup>17</sup> One batch was functionalized with amino groups and diluted in purified water (resistivity 18.2  $\text{M}\Omega \text{ cm}$  at 25 °C) to a target concentration of 2.5 g  $\text{kg}^{-1}$ , the other batch was diluted to the same concentration without amination.

Throughout the whole processing, the suspensions were kept sterile. This was a precautionary measure, in order to suppress unwanted growth of bacterial contamination, when the nanomaterials come into contact with the cell culture medium.<sup>18</sup> An overview of the preparation of NP-NH<sub>2</sub> and NP-plain is given in the next paragraph; an in-depth description of the preparation and characterization of these materials (denoted as NanoChOp-06 and NanoChOp-05, respectively) can be found in a separate article.<sup>19</sup>

For the preparation of the first material (NP-NH<sub>2</sub>), the base suspension was initially filtered through a Whatman cellulose filter. The amination was carried out using aminopropyl-diethoxy-methylsilane (APDEMS) in an excess amount after changing the solvent to ethanol using dialysis. Finally, the sol was dialysed with a cellulose membrane tubing (D9402 Sigma-Aldrich, Ø 76 mm, nominal molecular weight cut-off 12 kDa) under sterile conditions against autoclaved purified water containing 30 mmol  $\text{L}^{-1}$  acetic acid at a pH value of 3 to stop the reaction.

The amination was performed in four batches, which were subsequently mixed and diluted to a final concentration of 2.5 g  $\text{kg}^{-1}$ . The resulting 1.25 L of NP-NH<sub>2</sub> were finally filled into flame-sealed amber glass ampoules with a volume of 2 mL and stored at 4 °C. The second material (NP-plain) was prepared in a similar way, by diluting the initial suspension to the target

concentration, but skipping the amination step, and then filled into 9 mL ampoules. These ampoules were stored at 18 °C.

Fig. 1 displays a transmission electron microscopy (TEM) micrograph of NP-plain. The main population of particles has a very low polydispersity with a mean size (area equivalent circle diameter) of around 80 nm (Fig. 1b). A very small number of particles with a mean size of around 40 nm could also be found in the TEM image and in the volume-weighted PSD obtained by CLS (c), which indicates that the particles in this fraction make up about 3% of the total volume of particles. Other basic properties are detailed in Table 1.

Both RTMs were checked for homogeneity (variation between different ampoules) and stability (changes over time). For NP-plain, the standard deviation of the modal size measured with DLS and CLS was less than 1% between samples

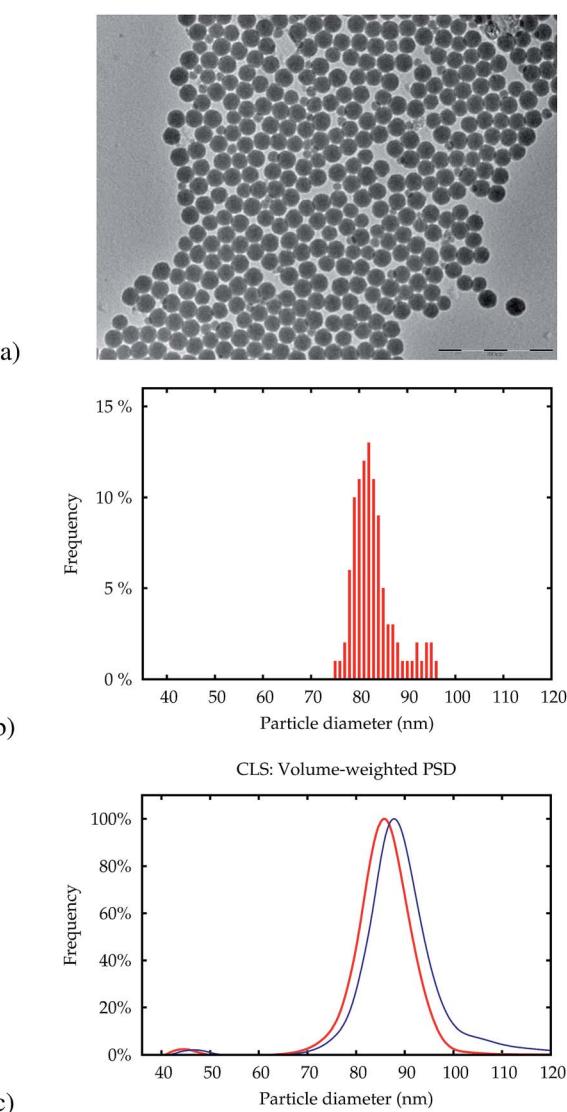


Fig. 1 TEM picture of the silica particles NP-plain (a). The scale bar represents a length of 500 nm. The PSD obtained from 250 particles in this image is shown in (b). Only particles with a diameter above 60 nm were counted. The volume-weighted size distribution obtained by CLS is shown in (c) for NP-plain (red line) and NP-NH<sub>2</sub> before ampouling (blue line).



Table 1 Initial properties of the RTMs

Property	NP-NH <sub>2</sub>	NP-plain	Unit
Surface chemistry	-NH <sub>2</sub>	-OH	—
Effective particle density <sup>a</sup>	2.0 ± 0.1	2.0 ± 0.1	g cm <sup>-3</sup>
Particle refractive index <sup>b</sup>	1.46 + 0i	1.46 + 0i	i
Si mass fraction <sup>c</sup>	1121 ± 6	1108 ± 7	mg kg <sup>-1</sup>
ζ-Potential <sup>d</sup>	+9	-48	mV
pH	3.2 ± 0.5	8.4 ± 0.5	1
NP concentration <sup>e</sup>	5 × 10 <sup>12</sup>	5 × 10 <sup>12</sup>	mL <sup>-1</sup>

<sup>a</sup> Obtained with isopycnic sedimentation on the same base colloidal silica. <sup>b</sup> Tabulated value for SiO<sub>2</sub>. <sup>c</sup> Determined by isotope dilution mass spectroscopy. <sup>d</sup> Obtained with electrophoretic light scattering.<sup>19</sup> <sup>e</sup> Estimated from density, Si mass fraction and mean particle size.

and with time, when stored at 18 °C. Thus, NP-plain is suitable as a reference material for particle size. For NP-NH<sub>2</sub>, the modal size measured with SAXS, CLS and DLS varied less than 0.3%. However, whereas the equivalent diameter measured with SAXS was stable within 0.1% over 36 months, the intensity weighted mean diameter obtained by DLS increased slowly with time. To minimize these changes, the NP-NH<sub>2</sub> samples were stored at 4 °C.<sup>19</sup> These results give the NP-NH<sub>2</sub> material the status of a reference material for the equivalent diameters measured with CLS and SAXS, but not for DLS, because of the significant change over time. The measurements reported in this article were performed 4 years after the ampouling of NP-plain and between 6 and 9 months after preparation of NP-NH<sub>2</sub>.

For the measurements, these two materials were further diluted to a concentration of 1 g kg<sup>-1</sup> in purified water, in 50 mM Tris-HCl buffer at a physiological pH, and in a cell culture medium composed of Eagle's Minimum Essential Medium (EMEM, ATCC, Teddington, UK) supplemented with 10% FBS (PAA Laboratories, Dartmouth, USA). Since the measurements were carried out at physically different locations, the FBS was aliquotted from a single batch and distributed to different institutes in frozen form. The dilution of the two materials was performed at each institute following a fixed, written protocol. All measurements were performed immediately after dispersion and after 24 h incubation at room temperature. Thus, in total six different samples were analysed at two points in time, by each method.

### 3 Measurements

#### 3.1 Dynamic light scattering

The principle of size measurements by DLS is based on measuring light scattering intensity fluctuations.<sup>20</sup> These fluctuations, which are time-related and which occur around a mean intensity value, are caused by the particles that are moving in the suspension under the influence of Brownian motion. The intensity fluctuation recorded is temporally correlated with a delayed value of itself. The result is a decaying intensity autocorrelation function from which the translational diffusion coefficient can be determined. For the computation of a PSD, a non-negative least-squares (NNLS) algorithm<sup>21</sup> can be used, which is typically implemented in the vendor's software of

the DLS instrument and widely applied for the purpose of analysing DLS data. This algorithm attempts to deduce an intensity-weighted PSD from the raw intensity autocorrelation function by means of an inverse Laplace transform. With the optical properties of the particles, equivalent volume- and number-weighted distributions of a hydrodynamic equivalent spherical diameter are obtained *via* Mie theory light scattering calculations.

As DLS is a widely applied method, two identical instruments (Malvern Zetasizer Nano ZS, Malvern Instruments Ltd., Worcestershire, UK) available at two different laboratories of the authors have been included in this study. This apparatus is equipped with a red light-emitting He-Ne laser (max. 4 mW power and 632.8 nm wavelength) and the scattered light is collected by an avalanche photodiode detector which is located at a backward scattering angle of 173°. To ensure laser stability, the instruments were turned on at least 30 min before each series of measurements.

The measurements were performed in disposable polycarbonate folded capillary cells with gold-plated beryllium-copper electrodes (Malvern DTS1070) in the first laboratory (Lab 1), while the second laboratory (Lab 2) used high-quality glass cells.

**3.1.1 Data evaluation.** The autocorrelation data was processed using the proprietary NNLS General Purpose setting provided in the software of the device manufacturer. The default value of 70 size classes (bins) which span a logarithmic interval from 0.4 nm to 10 000 nm was used. Because the main fraction of particles of the used materials has a very narrow size distribution (see Fig. 1), the peak of the PSDs is composed of only a very limited number of size classes. In order to determine the mode value with a better resolution than the spacing of the bins, which is around 13 nm near the peak, a parabola was fitted through three datapoints closest to the peak.

**3.1.2 Results.** Fig. 2 displays PSDs as obtained by DLS for NP-NH<sub>2</sub>. For both NP-NH<sub>2</sub> and NP-plain materials dispersed in purified water and the Tris-HCl buffer, monomodal PSDs were

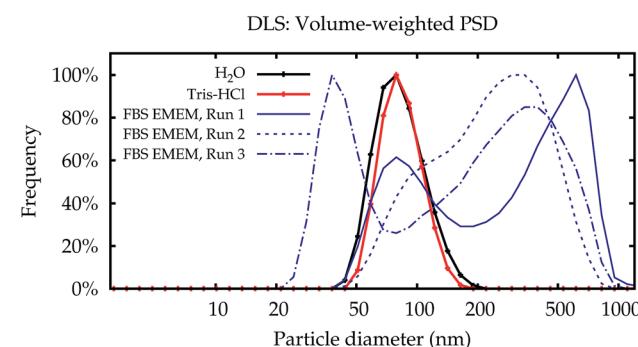


Fig. 2 DLS results in glass cells. Typical volume-weighted PSDs for NP-NH<sub>2</sub> obtained in Lab 2 immediately after dispersion. Water and Tris buffer yield repeatable and very similar PSDs (black and red lines). Dispersions in cell culture medium yield non-repeatable results (blue lines). Some results show the peak of the primary particles similar to the simpler media (solid line), some PSDs are completely different, even for repeated measurements on a single aliquot (dashed line and dash-dotted line).



obtained without an obvious indication of agglomerates or the minor fraction of smaller particles which are present in both NP-NH<sub>2</sub> and NP-plain. Since the intensity of the scattered light is to a first approximation proportional to the square of the particle volume, the contribution of this fraction of smaller particles to the total scattering intensity is too small compared to the main fraction of particles, which are both stronger scatterers and more concentrated.

In contrast to the simpler dispersion media, the results in cell culture medium were not reproducible. While some of the PSDs are similar to the dispersions in water and the Tris-HCl buffer, others show multiple modes. Even for repeated measurements performed on a single aliquot in sequence, the results can be completely different (broken lines in Fig. 2).

Fig. 3a shows the modal values of the volume-weighted PSDs for all measurements. Differences between dispersions in purified water and the Tris-HCl buffer are not significant (Fig. 3b). In line with the PSDs in Fig. 2, the modal diameters for

the measurements in cell culture medium scatter over a wide range and yield no conclusive or usable result, especially for NP-NH<sub>2</sub>.

Additionally, the results obtained by Lab 2 seem to be more noisy than those of Lab 1, although all settings of the two identical instruments were exactly the same, the material was split up into aliquots from a single batch and distributed, and the dispersion was carried out according to a written protocol. A blank FBS/EMEM sample, which has been run in one laboratory only, showed that the intensity of the scattered light from the particles is ten times stronger than from the serum proteins. Therefore it is unlikely that the scattering from the medium causes significant shifts of the size distribution. Also, in both labs the instruments are regularly checked using a wide range of reference materials. In order to eliminate the influence of the container material as a possible cause, the measurements for the cell culture medium were repeated in the second laboratory in polycarbonate cells, which had no influence on the results (data shown in the ESI†).

The most likely reason for the strong influence of the cell culture medium on the measurement results is agglomeration.<sup>22</sup> The light scattering intensity is much stronger from agglomerates than from the primary particles. The scattered light from all particles in the illuminated sample volume is simultaneously detected with a single detector, therefore even a small number of agglomerates overshadows the scattering of the primary particles. This makes it very difficult to obtain useful results from DLS in complex media.<sup>23</sup> This hypothesis is also supported by the fact that the results only tend to larger values in the intensity-weighted data (shown in the ESI†). The smaller modes obtained in the transformed volume-weighted PSD can be explained as artefacts from the transformation of very broad peaks. A sound uncertainty analysis for DLS in the cell culture medium can therefore not be given.

### 3.2 Centrifugal liquid sedimentation

The line-start incremental CLS method measures the sedimentation time of nanoparticles under increased gravity, and uses the sedimentation time to calculate the so-called Stokes particle diameter.<sup>24</sup> Prior to the measurements, a transparent rotating disc is partly filled with a series of liquids of decreasing density, establishing a density gradient. Then few hundred microlitres of nanoparticle suspension are injected into the centre of the rotating disc. If the nanoparticles have a higher density than the density gradient, they will sediment moving radially to the outer edge of the disc. The sedimentation time is monitored by a detector near the outer edge of the disc, which records the loss of light intensity of a laser beam passing through the disc.

CLS measurements were performed in two laboratories both using a similar CPS Disc Centrifuge DC 20000 instrument operating at a laser wavelength of 405 nm and a rotational disc speed of 20 000 rpm. For both instruments, the disc geometry was measured in order to provide an accurate estimate of the mean density of the gradient crossed by the NPs during sedimentation. Because these instruments cannot control the

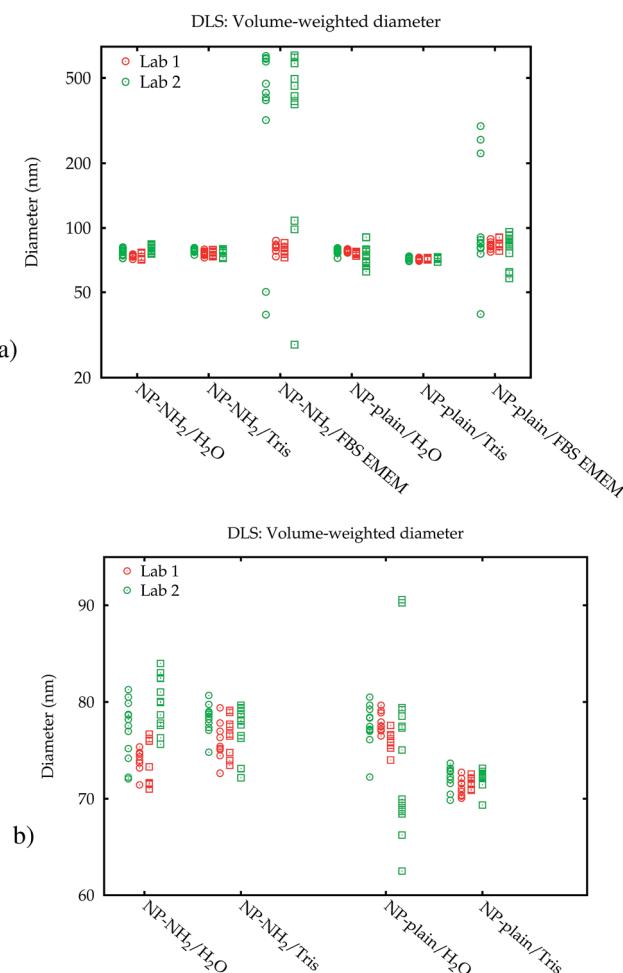


Fig. 3 Modal diameters obtained from volume-weighted PSDs by DLS measurements in two laboratories. Round and square symbols display the values obtained immediately after dispersion, and after 24 h, respectively. All individual values are plotted to illustrate the large range of the results from the same sample (a). Without the data in FBS/EMEM, the DLS results are in the same scale for both media and laboratories (b).



temperature inside the disc, the temperature of the density gradient after the measurements was measured and shown to have increased by about 7 °C above the ambient temperature of the laboratory (22.5 °C). In Lab 1, the disc was filled with an aqueous sucrose (Amresco LLC, US) solution producing a concentration gradient varying between 40 g kg<sup>-1</sup> and 120 g kg<sup>-1</sup>. In Lab 2, a similar sucrose (Merck KGaA, Darmstadt, DE) gradient was chosen, but with a density varying between 20 g kg<sup>-1</sup> and 80 g kg<sup>-1</sup>.

The instruments were calibrated before each measurement using PVC particles (CPS Instruments, Inc., Prairieville, US) with an assigned modal diameter of 239 nm, and a particle density of 1.385 g cm<sup>-3</sup>. We note that while the use of these calibrants results in highly consistent Stokes diameter measurements, the SI traceability of the size and particle density values assigned to these calibrants is questioned.<sup>25</sup>

Different vials of both NanoChop test materials have been measured under repeatability conditions for the three different media. For each measurement, 0.1 mL (Lab 1) or 0.25 mL (Lab 2) of a sample was injected manually. Independent aliquots were prepared from each test sample in 5 mL pyrogenic-free and sterile polypropylene vials. Some of these aliquots were measured immediately after diluting in the dispersing media, the others were measured 24 hours later. Each aliquot was measured in duplicate.

**3.2.1 Data evaluation.** Using the Stokes–Einstein theory applied to a spherical particle, the equivalent spherical Stokes diameter  $D$  of a NP is derived as

$$D_A = D_S \sqrt{\frac{(\rho_S - \rho_f) t_S^2}{(\rho_A - \rho_f) t_A^2}} \quad (1)$$

Here,  $\rho$  is the effective density,  $t$  is the sedimentation time and the indices S, A, and f refer to the size calibrant, the test sample, and the sucrose gradient fluid, respectively.

The raw light extinction intensity-weighted PSDs were converted to volume-based PSDs by the manufacturer's instrument software using Mie theory. This requires the complex refractive index values of both the particles and the liquid. The same value for the effective silica particle density was used for both NP-plain and NP-NH<sub>2</sub>. This density value was measured for the NP-plain base material by isopycnic sedimentation.

**3.2.2 Uncertainty estimation.** The uncertainty was evaluated by combining repeatability and reproducibility standard uncertainties with the uncertainty propagated from eqn (1):

$$\frac{u_{D_A}}{D_A} = \left[ \left( \frac{u_{D_S}}{D_S} \right)^2 + \left( \frac{(\rho_A - \rho_S) u_{\rho_f}}{2(\rho_S - \rho_f)(\rho_A - \rho_f)} \right)^2 + \left( \frac{u_{\rho_S}}{2(\rho_S - \rho_f)} \right)^2 + \left( \frac{u_{\rho_A}}{2(\rho_A - \rho_f)} \right)^2 \right]^{\frac{1}{2}}. \quad (2)$$

Major contributions to the overall measurement uncertainty of the NP diameters arise from the uncertainties in the density values of the samples and the calibrant, as well as in the size of the calibrant (see Table S1 in the ESI†). This highlights the

urgency of well characterised reference materials to be used as calibrant, whose properties are measured with accurate methods and reported with a clear metrological traceability statement. An alternative, top-down approach for the estimation of the uncertainty of the measured Stokes diameters<sup>26</sup> is described in the ESI.† Both uncertainty estimation approaches result in similar uncertainty values, thereby mutually increasing their reliability.

**3.2.3 CLS results.** The volume-weighted PSDs for NP-plain measured immediately after dispersion in different media are displayed in Fig. 4a. In water and in Tris–HCl buffer, the PSDs are monomodal with a maximum at ≈87 nm. In cell culture medium this peak is shifted by ≈5 nm to smaller values, and additional minor peaks at 103 nm and 114 nm indicate small clusters of primary particles. In NP-NH<sub>2</sub> (Fig. 4b), the amount of agglomeration is significant even in purified water and Tris–HCl buffer. This agglomeration becomes more complex and more prominent in the cell culture medium, where a broad peak up to ≈200 nm is observed, but with primary particles and small clusters still clearly distinguishable.

The higher level of agglomeration of the NP-NH<sub>2</sub> with respect to NP-plain is most likely driven by the low zeta-potential of the former.<sup>27</sup> In addition, in cell culture medium there may be an active bonding of the amine surface groups in NP-NH<sub>2</sub> with the serum proteins leading to the significant level of aggregation observed compared to NP-plain in the same medium. Owing to the high resolution of its measured size distribution, CLS also allowed to observe a population of NPs of about 40 nm in diameter in both samples (see ESI†).

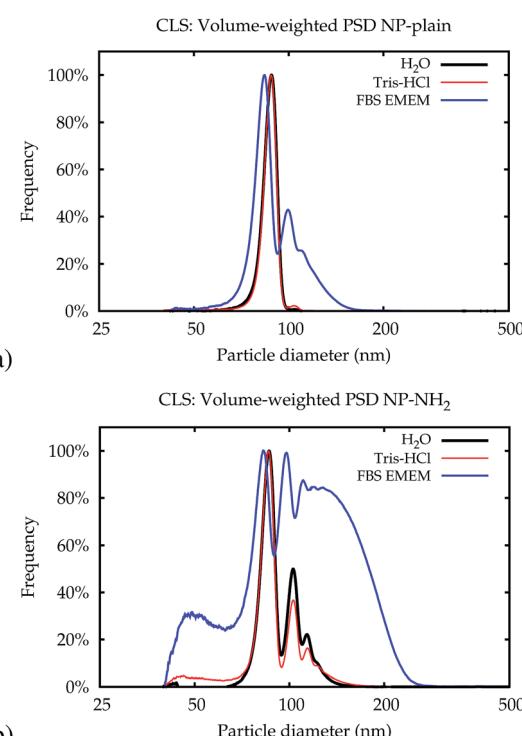


Fig. 4 Typical volume-weighted PSDs for NP-plain (a) and NP-NH<sub>2</sub> (b) obtained in Lab 1 by CLS immediately after dispersion.



The modal values for the peak corresponding to the primary particles are displayed in Fig. 5. Within 24 h, no significant change of the measured Stokes diameters was observed. When measured in the cell culture medium, however, a significant decrease of the Stokes diameter of about 7 nm was observed for NP-plain and NP-NH<sub>2</sub> compared with water and the Tris-HCl buffer. This may be explained by the influence of the protein corona on the sedimentation time of the particles. On the one hand the effective particle density decreases due to the low density of the proteins, which increases the sedimentation time, while on the other hand the particle diameter increases, which decreases the sedimentation time. Due to the opposite effects of both mechanisms, the interpretation of the Stokes diameter derived from the sedimentation time is not straightforward. Additional assumptions about the protein density would be required to convert the sedimentation time into a core diameter and shell thickness.<sup>28–30</sup>

### 3.3 Small-angle X-ray scattering

Small-angle X-ray scattering (SAXS) is a method which evaluates the angular distribution of an X-ray beam scattered off the suspended particles in the forward direction under small angles.<sup>31</sup> The scattering contrast is caused by electron density differences in the sample. The scattering data is given by the scattering intensity  $I(q)$  as a function of the momentum transfer

$$q(\theta) = \frac{4\pi}{\lambda} \sin \theta, \quad (3)$$

where  $\lambda$  is the wavelength of the X-ray beam and  $\theta$  is half of the scattering angle. For sufficiently monodisperse particle suspensions, the scattering curve  $I(q)$  shows pronounced oscillations, which depend on the particle diameter and can be evaluated by fitting the scattered intensity with a model.

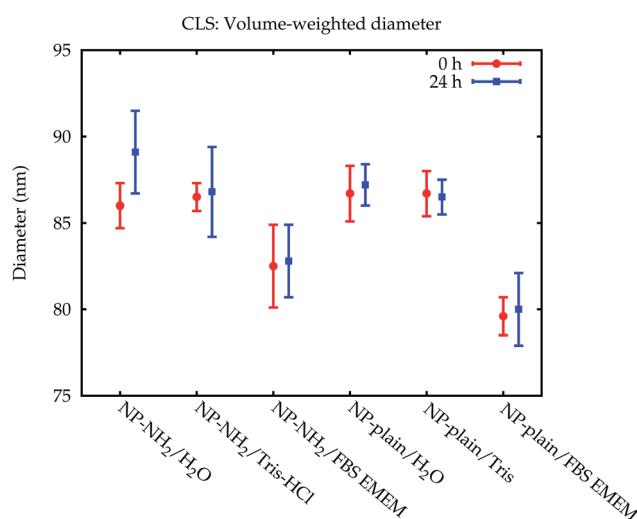


Fig. 5 Comparison of the volume-weighted modal diameters of the primary peak from the CLS measurements in Lab 1. The error bars represent the combined standard uncertainty limited to the contributions relevant for comparing between CLS results. The data from Lab 2 can be found in the ESI.†

The SAXS experiments were performed at the four-crystal monochromator (FCM) beamline of PTB at BESSY II.<sup>32</sup> The samples in suspension were filled into disposable borosilicate glass capillaries with an inner diameter of 1 mm and a wall thickness of 10 µm. The capillaries were closed by welding the upper end in the flame of a propane/oxygen torch. A sample holder containing these capillaries was placed into a vacuum chamber equipped with a six-axes manipulator for sample movement. The synchrotron radiation was collimated using pinholes to a size smaller than  $0.5 \times 0.5 \text{ mm}^2$  and focused on the sample. The incident photon flux was measured using a transparent photodiode located in front of the sample before the guard pinhole. A removable, calibrated diode behind the sample was used to measure the transmission of the sample. The scattered radiation was collected by a PILATUS 1 M detector with a pixel size of  $p = (172.1 \pm 0.2) \mu\text{m}$  at an adjustable distance between 2 m and 4.5 m behind the sample.<sup>33</sup>

The measurements were performed at a photon energy of  $(8000.0 \pm 0.8) \text{ eV}$ . Each sample was recorded for an integration time of at least 300 s. In addition to the samples, the corresponding dispersion media were measured as a blank. The distance between the sample and the detector was set to  $(4540.2 \pm 0.5) \text{ mm}$ .

**3.3.1 Data evaluation.** The scattering curves were normalized by incident flux, exposure time and sample transmission. The scattering of the corresponding blanks was then subtracted from the scattering of the dispersions. A model equation describing polydisperse solid spherical particles with a Gaussian size distribution was fitted to the data using least-squares adjustment. An additive background comprising a constant intensity and another population of smaller spheres with a Gaussian size distribution was assumed. This fit and the corresponding PSD are displayed in Fig. 6.

**3.3.2 Uncertainty estimation.** The particle diameter is derived from the intensity  $I(q)$  as a function of the momentum transfer

$$q(x) = \frac{4\pi E}{hc} \sin\left(\tan^{-1} \frac{px}{2L}\right) \approx \frac{2\pi E}{hc} \frac{px}{L}, \quad (4)$$

where  $x$  is the distance from the centre of the beam in pixels,  $p$  is the pixel size of the detector,  $E$  is the photon energy of the X-ray beam, and  $L$  is the distance from the sample to the detector. The resulting mean particle diameter is inversely proportional to  $q$ , and thus the relative uncertainties of  $E$ ,  $p$ , and  $L$  are combined to the relative uncertainty of the measured mean diameter. The wavelength  $\lambda$ , and thus the photon energy  $E$ , can be traced back to the SI unit metre *via* back-reflection from a silicon crystal for which the lattice constant is known.<sup>32</sup>

The uncertainty contribution of the model fitting to the number-weighted mean particle diameter was estimated from the residual sum of squares  $\chi^2$  of this fit by finding the deviation from the best fit diameter at which  $\chi^2$  exceeds  $2\chi_{\min}^2$ .<sup>34</sup>

**3.3.3 SAXS results.** Fig. 7 displays the modal diameters extracted from the data for all measurements. All results agree within their stated standard uncertainties. The uncertainties are mostly dominated by the mathematical model which was imposed on the X-ray scattering curve. However, the precision of



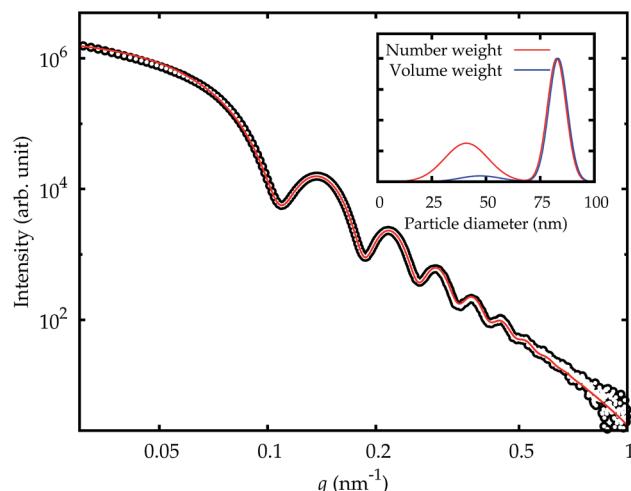


Fig. 6 SAXS curve for NP-NH<sub>2</sub> in cell culture medium immediately after dispersion (symbols) and model fit (solid red line). The inset displays the resulting PSD for number- and volume-weighting (red and blue line, respectively).

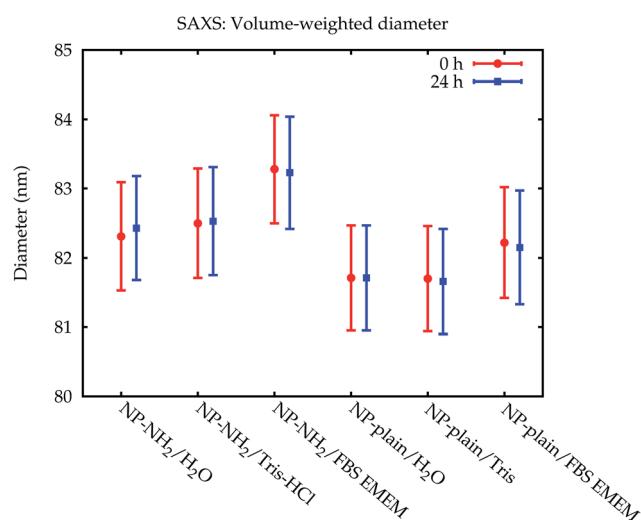


Fig. 7 Comparison of the volume-weighted modal diameters from the SAXS measurements. The error bars denote standard uncertainties.

the measurement results is only a small part of the total accuracy, as also evidenced by the very close agreement (better than 0.1 nm) of the measurement results immediately after preparation and after 24 hours. Since the core particles of the suspensions prepared from NP-NH<sub>2</sub> and NP-plain are assumed to be identical, and since the measurements were all obtained under identical experimental conditions, a relative comparison can be carried out within this precision. The mean diameter of NP-NH<sub>2</sub> was about 1 nm larger compared to NP-plain, and in the cell culture medium a very slight increase of the diameter by less than 1 nm was also observed.

The fraction of smaller particles in the 40 nm size range appeared in the background contribution. Even though the larger primary particles are much stronger scatterers and also more concentrated, this size fraction could be resolved because

of the large disparity in size of the two fractions, which causes scattering into different angles. Still, the results were not consistent across the different samples, caused by the vastly different total scattering intensity. Therefore, this fraction was treated as part of the background only and not quantitatively evaluated.

### 3.4 Particle tracking analysis

Particle tracking analysis (PTA), also known as nanoparticle tracking or NTA, is a method which tracks individual nanoparticles in suspension that are moving under Brownian motion. The sample is illuminated by a laser and a video of the light scattered by the particles is recorded by a light-sensitive camera through a magnifying objective. The Brownian motion of the particles is reconstructed from this video, and the translational diffusion constant and finally the size is inferred from the particle tracks. In order to distinguish the individual particles, the sample must be dilute so that the mean particle distance is larger than the diffraction limit of the microscope. Consequently, the measurements were performed at a much higher dilution ( $\approx 1500\times$ ) than with the other methods, and, in case of the cell culture medium, a higher concentration of the protein molecules relative to the number of particles.

The PTA measurements were carried out with an NS500 instrument, manufactured by NanoSight, Malvern Instruments Ltd. This instrument was equipped with a 405 nm continuous-wave diode laser with a maximum power output of 60 mW. The temperature was set and maintained at  $(25 \pm 1)^\circ\text{C}$  throughout the measurements. The performance of the instrument was checked daily with NIST RM 8013 (Au nanoparticles, nominal mean diameter of 60 nm) diluted  $\approx 50\times$  with purified water which was additionally passed through a membrane filter with a nominal pore size of 0.1  $\mu\text{m}$ . Aliquots were taken from the samples with an automatic pipette using a new sterile plastic pipette tip for each aliquot. Prior to analysis, the material was diluted gravimetrically 1500 $\times$  in the corresponding dispersion medium and vortexed for 15 s. The measurements were performed immediately after sample dispersion (0 h) and following a 24 h incubation at  $(25 \pm 1)^\circ\text{C}$ .

Movies were recorded over 160 s, with 30 s equilibration time prior to each measurement. Camera levels were set to 9. No fluorescence long-pass filters were used.

**3.4.1 Data evaluation.** The NTA 3.0 software was used to process and analyse the recorded videos. NTA 2.2 was also tried on the dataset, but the results of this older software version were very noisy, especially in the cell culture medium, and were therefore not considered. The following parameters were fixed: the viscosity was set to 0.8905 mPa s, the detection threshold was set to 25, and the minimum particle size was set to 30 nm, the blur and minimum track length were set to automatic. A minimum of 700 completed tracks were recorded per measurement. The values reported are the mean of 9 measurements of each sample with the corresponding standard uncertainty.

**3.4.2 Uncertainty estimation.** The measurement uncertainty was evaluated by combining the repeatability component,



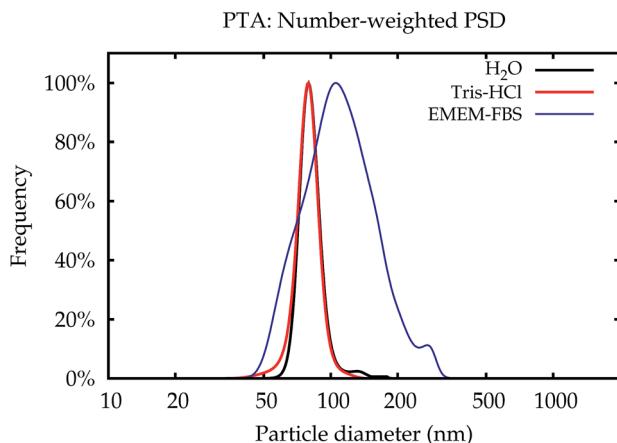


Fig. 8 PSDs obtained by PTA for NP-NH<sub>2</sub> immediately after dispersion.

calculated from  $n = 3$  measurements performed on one day with the reproducibility contribution, taken as intermediate precision between  $n = 3$  different days. A trueness contribution could not be evaluated for the silica NPs due to the lack of like-for-like certified reference materials available, therefore not added to the overall uncertainty. Daily measurements of a gold nanoparticle reference material (NIST RM 8013) showed no significant difference between the PTA measurement result and the DLS value assigned to the RM. However, when the number-weighted PTA PSD was converted into a volume-weighted PSD, a considerable bias was observed between the PTA results and the DLS assigned value. For this reason, only number-weighted distributions are considered for this technique.

**3.4.3 PTA results.** The PSDs for NP-NH<sub>2</sub> are displayed in Fig. 8. All PSDs were found to be essentially monomodal. The modal values determined from the number-weighted PSDs for all dispersions are shown in Fig. 9. The apparent mean diameter of the particles which belong to the main size fraction increased

significantly from  $\approx 80$  nm in the Tris-HCl buffer up to  $\approx 105$  nm in the cell culture medium. No significant difference was found between both points in time or between water and the Tris-HCl buffer. The smaller fraction of particles is below the detection limit of the instrument and could not be found in the samples.

## 4 Results and discussion

The data which were obtained with the different methods are summarized in Fig. 10. The modal values for the suspensions in water and the Tris-HCl buffer are displayed in number- and volume-weight in Fig. 10a and b, respectively. In number weighting, PTA, CLS and SAXS agree within their expanded ( $k = 2$ ) uncertainties, whereas DLS gives consistently lower results. Volume-weighted PSDs for PTA are not available, but the other three methods agree on the volume-weighted data, with the exception of NP-plain in the Tris-HCl buffer, where DLS reports a slightly smaller value.

As an example of the behaviour of the particle size analysis methods in the cell culture medium, the PSDs for NP-NH<sub>2</sub> immediately after dispersion are shown in number- and volume-weight in Fig. 10e and f, respectively. Both SAXS and CLS show a sharp maximum around 80 nm and a broader peak below 50 nm. The CLS volume-weighted distribution contains an additional broader peak between 100 nm and 200 nm, with distinct peaks at 100 nm and 110 nm of the same width approximately as the primary peak, but with a smaller height.

The DLS results in cell culture medium are inconsistent and differ significantly from the other methods. This can be most probably attributed to agglomeration induced by the medium, which can easily distort DLS due to the high sensitivity to larger particles. This effect is amplified by the subsequent conversion to a volume-weighted size distribution (see Fig. 3). Thus, DLS is useful to monitor changes in a sample and to detect agglomeration, but the size results are then completely dominated by the agglomerates. DLS is therefore not considered in the comparison of modal values in Fig. 10c and d.

Like DLS, PTA is based on the detection of Brownian motion and can provide critically important information for assessing the impact of biological systems on the change in the hydrodynamic diameter of the particles and, therefore, also about the thickness of the organic corona upon suspension in serum containing medium.<sup>35</sup> Unlike DLS, PTA measurements did not suffer from a severe degradation when applied to the dispersions in the cell culture medium. However, these results could only be obtained using the NTA 3.0 software version. Older algorithms were disturbed by measurements in cell culture media. Only number-weighted PSDs were directly obtained using this method. Volume-weighted PSD data can be computed by the software, but are not shown here, because they were already systematically shifted for reference particles, and no suitable certified matrix reference materials were available, that could be used as calibrants for bias correction.

Due to the physical principle of PTA, it is also not possible to measure the same high concentration of nanoparticles as with the other methods. This means that for the measurements in

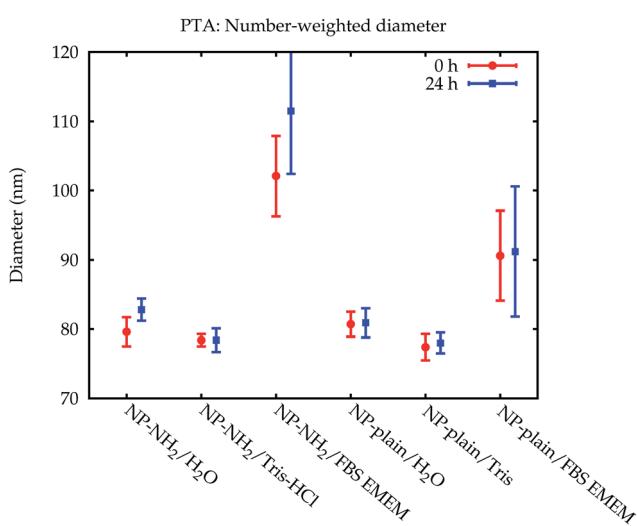
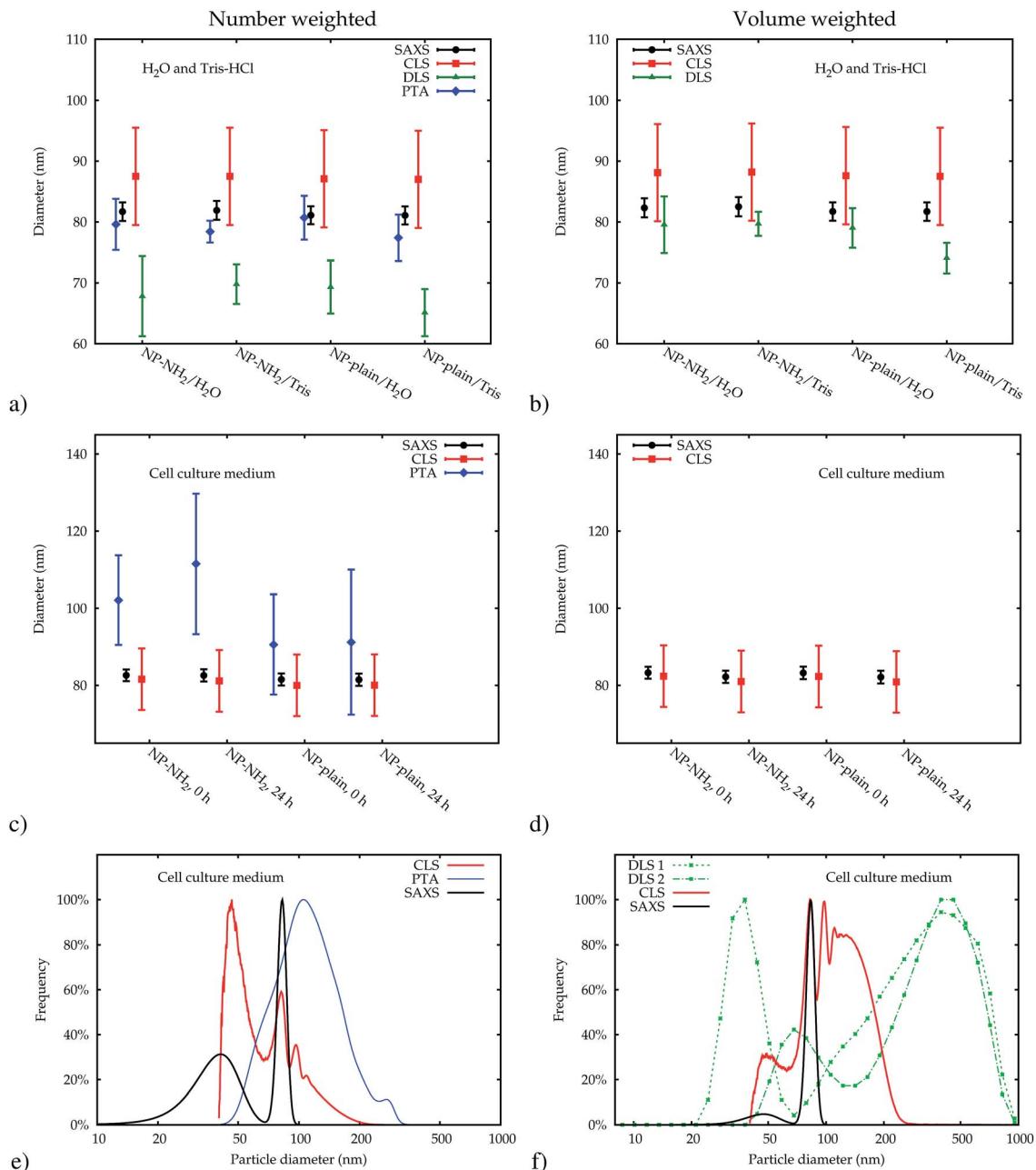


Fig. 9 Comparison of the number-weighted modal diameters obtained by PTA with standard uncertainties.





**Fig. 10** Comparison of the results. The modal diameters determined for the dilution in water and Tris-HCl, directly after dispersion, are shown in (a) for number-weighted PSDs and in (b) for volume-weighted PSDs. The error bars denote expanded ( $k = 2$ ) uncertainties. In case of PTA these are underestimated because the trueness contribution is not included. The modal diameters measured in cell culture medium are displayed in (c) and (d), respectively. Here, the error bars for PTA and CLS do not include the additional unknown uncertainty contribution for measurements in complex media. Representative PSDs for the dispersion of NP-NH<sub>2</sub> in cell culture medium are shown in (e) and (f). The two results for DLS obtained from two consecutive runs of the same aliquot (dashed and dash-dotted green line) illustrate the repeatability issues of DLS in complex media.

the cell culture medium, the ratio of the serum proteins to the number of particles is much larger than with the other methods. The serum protein molecules outnumber the nanoparticles also in the dispersions used for the other methods by a factor of  $5 \times 10^3$ , therefore this option was more comparable than the alternative of diluting the incubated particles in purified water.

The modal diameters obtained for NP-NH<sub>2</sub> and NP-plain in cell culture medium at both points in time are compared in Fig. 10c and d. Due to the very different physical principles behind the methods, the measured modal diameter is influenced differently by the changes in the samples effected by the cell culture medium.<sup>36</sup> Meaningful PTA values are only available for the number-weighted distribution. Here, an increase in size

was observed which is significant compared to the standard (but not expanded) uncertainty. Since the particle size is inferred from the diffusion constant of the Brownian motion, a protein coating, probably with a rough surface, will lead to a decreased diffusion constant and an increased measured size. Though this increase has a large absolute value, it is not significant within a confidence level of about 95% due to the large uncertainty of the value.

The size of the core of the primary particle fraction is best resolved in the SAXS measurements, which show almost no indication of other fractions (agglomerates and smaller particles). The SAXS technique is sensitive to the electron density of the particles. Since the primary particles are composed of dense silica material, the SAXS results are not affected by or sensitive to the functional amino-groups on NP-NH<sub>2</sub> and only minimally by the (light) protein corona on the surface of the particles in cell culture medium. Consequently, all SAXS measurements agree within the standard uncertainties.

The CLS data allow the resolution of different fractions like primary particles and different levels of agglomeration. The interpretation of the measured modal size values, is, however, not straightforward, due to the opposite effects on sedimentation time of the developing protein corona, which changes simultaneously the size and the average density of the particles.<sup>22,30</sup> Nevertheless, the size obtained for the primary particle fraction is consistent with the SAXS results within the expanded uncertainties.

## 5 Conclusion

Plain and amino-functionalized silica nanoparticles were measured using four size characterization techniques based on different physical principles in three different suspending media of increasing complexity, namely water, a Tris-HCl buffer, and a cell culture medium. Using a detailed uncertainty analysis for each method, the values for the main mode of the size distribution could be compared between methods. It was found that there is agreement for simple media (water and Tris buffer). The agglomeration of the nanoparticles induced by the cell culture medium had a significant influence on the DLS measurements, rendering the numerical results unusable, and led to a significant size increase in PTA, whereas the primary particle size remained unchanged for SAXS and CLS measurements. The latter technique can additionally be used to distinguish between agglomerates of different sizes.

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