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# Mechanical response of adherent giant liposomes to indentation with a conical AFM-tip

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Indentation of giant liposomes with a conical indenter is described by means of a tension-based membrane model. We found that nonlinear membrane theory neglecting the impact of bending sufficiently describes the mechanical response of liposomes to indentation as measured by atomic force microscopy. Giant vesicles are gently adsorbed on glassy surfaces via avidin–biotin linkages and indented centrally using an atomic force microscope equipped with conventional sharp tips mounted on top of an inverted microscope. Force indentation curves display a nonlinear response that allows to extract pre-stress of the bilayer  $T_0$  and the area compressibility modulus  $K_A$  by computing the contour of the vesicle at a given force. The values for  $K_A$  of fluid membranes correspond well to what is known from micropipet suction experiments and inferred from membrane undulation monitoring. Assembly of actin shells inside the liposome considerably stiffens the vesicles resulting in significantly larger area compressibility modules. The analysis can be easily extended to different indenter geometries with rotational symmetry.

## 1 Introduction

Cell mechanics plays a pivotal role in many biological processes such as *exo*- and *endo*-cytosis, tether formation, cell adhesion, growth, and migration. The cell's mechanical response to external deformation originates mainly from the plasma membrane firmly attached to the contractile cortical cytoskeleton, which is composed of cross-linked actin filaments as well as motor proteins such as myosin II.<sup>1–7</sup> It is therefore of great interest to understand how cells respond to forces and how these forces are transduced into biochemical signals to generate a biological response.<sup>1–3</sup>

In order to better understand the intricate nature of active shells surrounding living cells, model membranes were frequently employed to reduce complexity, while still mimicking the essential physical properties of the plasma membrane connected to the cytoskeleton.<sup>8,9</sup> Among the different model membranes, giant unilamellar vesicles (GUVs) are often employed for bottom-up strategies to mimic and investigate the mechanical properties of cells.<sup>10,11</sup> In this context, mechanical properties of lipid bilayers were inferred from micropipet suction experiments,<sup>12–14</sup> flicker spectroscopy<sup>15–17</sup> and atomic force microscopy.<sup>18</sup> Depending on the used method different aspects of membrane mechanics were accessible such as area compressibility modules and lysis tension from micropipet suction, bending rigidity from flicker spectroscopy or Young's modules and breakthrough forces obtained from indentation experiments. In the context of mimicking eukaryotic cells it is also

desirable to assemble an actin-based cortex at the inner leaflet of a giant liposome. Sackmann and coworkers pioneered in forming thin actin shells inside giant liposomes. Monitoring membrane undulations allowed them to assess bending and area compressibility modules of the composite shell.<sup>15</sup> Apart from the early work of Sackmann, vesicles have successfully been coated with an actin cortex by gentle hydration,<sup>19</sup> electroformation,<sup>15</sup> inkjet electroformation,<sup>20,21</sup> the inverted emulsion method<sup>22,23</sup> and hydration of lipids spread on an agarose hydrogel.<sup>24</sup> Besides passive actin networks also contractile actomyosin cortices were successfully reconstituted in cell-sized vesicles.<sup>25</sup>

Generally, membrane mechanics compiles contributions from pre-stress, area dilatation and bending elasticity. It is safe to assume that vesicles can be described as fluid-filled capsules with a thin wall and low water permeability. Therefore, stretching of the bilayer dominates at larger strains, while pre-stress and bending prevail only at small deformations. This is due to the small bending modules of lipid bilayer on the order of only few  $k_B T$ , while the area compressibility modulus is on the order of  $0.1 \text{ N m}^{-1}$ . Interestingly, however, in current literature different ways exist to describe the mechanical properties of liposomes often depending on the experimental technique that is used to assess the mechanical parameters. While researchers using atomic force microscopes to indent sessile liposomes frequently rely on contact mechanics such as the generic Hertz model to describe the deformation,<sup>26,27</sup> micropipet suction experiments and parallel plate compression of giant liposomes are generally interpreted in terms of thin plate or shell theory in conjunction with Young–Laplace's equation.<sup>18,28</sup> The Hertz or

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Sneddon approaches, which are often the model of choice to describe cellular mechanics in the context of AFM experiments, assume that the capsules behave like a solid, homogeneous continuum and therefore provide a single parameter to describe the mechanics of the material, the Young's modulus.<sup>29,30</sup> Although this is a convenient way to analyse the deformation at low strain its underlying assumptions are clearly unfulfilled in the context of membranes due to the shell-like structure of liposomes and cells. Especially at larger strain conventional contact mechanics models fail to match the experimental data sufficiently well. Cells with a thick cortex might, however, be successfully be described by models borrowed from contact mechanics if the penetration depth is kept low.

However, also more realistic models exist describing, for instance, point load forces exerted on surface bound capsules or parallel plate compression.<sup>28,31–33</sup> The corresponding theoretical models employ shell mechanics showing that bending governs the mechanical response at low strain smaller than the thickness of the shell, while at larger strain nonlinear contributions from area dilatation of the shell rule especially if the enclosed volume is conserved. If the enclosed volume is variable bending at larger strain adopts a square root dependence.<sup>31</sup> The treatment of these problems is often very involved since it is necessary to compute the exact shape of the liposome during indentation, which can be difficult due to the contact of the fluid membrane with the indenter. Therefore, limiting cases such as point-load forces or parallel plate compression are usually considered.<sup>28,32,33</sup>

In atomic force microscopy experiments, however, two main indenter geometries dominate, spheres and tips with conical or pyramidal shape. The latter ones are the most frequently used ones since this geometry is also employed to image the specimen by scanning the surface in conventional atomic force microscopy experiments. It is therefore desirable to find a solution that describes the indentation of a spherical liposome with conical indenter in the context of a tension-based model capturing the essential physics of lipid bilayers enclosing a fixed volume.

Here, we present a straightforward numerical scheme that allows to assess the exact shape of liposomes and the force response upon indentation by solving the Young–Laplace equation. We neglect bending contributions to the elastic response and assume homogeneous tension and constant volume. Membrane theory is used to describe the contour of the liposome as a function of indentation depth allowing us to generate a fitting function to access both tension (pre-stress)  $T_0$  and area compressibility modulus  $K_A$  from experimental force indentation curves. We could largely reproduce  $K_A$  values of GUVs composed of fluid lipids such as DOPC obtained from micropipet suction experiments and found that the presence of an actin shell stiffens the composite membrane shell considerably. The work is based on an earlier study using parallel plates to compress the liposomes.<sup>28</sup> This is, however, an experimentally less convenient way to assess the mechanical properties of liposomes. For one reason, it is difficult to realize exact parallel plate conditions due to the inherent tilt of the cantilever necessary for monitoring cantilever deflection by laser reflection. Secondly, it is difficult to combine optical microscopy with AFM experiments due

to tilt compensation an impossible to image a sample with a tipless cantilever.

## 2 Theoretical analysis

Fig. 1 illustrates the envisioned geometry of a spherical liposome subject to indentation with a conical indenter. The shape of the deformed vesicle should be axisymmetric and the initial radius of the spherical vesicle prior to compression is  $R_0$ . The contact region with the flat substrate extends from  $s_0 \rightarrow s_1$ . The free contour ranges from  $s_1 \rightarrow s_4$  with largest radius  $R_0$  at  $s_2$  and largest height  $z$  at  $s_3$ . The contour is parametrised by the angle  $\beta$  between the surface normal and the  $z$ -direction.

The following treatment is partly based on the work of Yoneda,<sup>38</sup> Evans and Skalak,<sup>39</sup> Bando *et al.*<sup>33,40</sup> and Sen *et al.*<sup>41</sup> The goal is to compute force indentation curves from the deformed shape. Central assumptions are negligible bending stiffness, uniform tension and constant volume.

### 2.1 Contour of the vesicle

The shape of the indented liposome can be computed under the assumption that tension is uniform, the enclosed volume fixed and pressure across the membrane conserved. In general, pressure relates to tension  $T$  according to Young–Laplace's law:

$$\Delta P = T \left( \frac{1}{\rho_1} + \frac{1}{\rho_2} \right). \quad (1)$$

$\frac{1}{\rho_1}$  and  $\frac{1}{\rho_2}$  denote the principal curvatures at each point of the contour. Considering a small line element  $ds$  of the meridian at an arbitrary point  $O(r, z)$  on the contour, in which  $dr$  is the projection of  $ds$  on the  $r$ -axis ( $dr = ds \cos \beta$ ), we find that  $r = \rho_1 \sin \beta$  and  $ds = \rho_1 d\beta$ . Eliminating  $ds$  leads to

$$\frac{1}{\rho_1} = \frac{d\beta}{ds} = \frac{d\beta}{dr} \cos \beta = \frac{du}{dr}. \quad (2)$$

$$\frac{1}{\rho_2} = \frac{1}{r} \sin \beta = \frac{u}{r}. \quad (3)$$

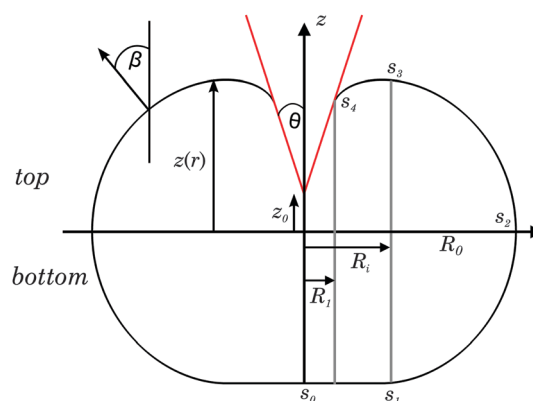


Fig. 1 Schematic illustration and parametrisation of a liposome subject to indentation with a conical indenter (red).



with  $u = \sin \beta$ . Small angles allow for  $\sin d\beta \approx d\beta = \frac{ds}{\rho_1}$ . Therefore, eqn (1) can be written as

$$\frac{\Delta P}{T} = \frac{du}{dr} + \frac{u}{r}. \quad (4)$$

Since  $\frac{\Delta P}{T}$  is constant we can integrate eqn (4) to obtain

$$u_i(r) = A_i r + \frac{B_i}{r}. \quad (5)$$

with  $i = 1, 2, 3$  referring to the region of the free contour ( $s_1 \rightarrow s_2$  ( $i = 1$ ),  $s_2 \rightarrow s_3$  ( $i = 2$ ),  $s_3 \rightarrow s_4$  ( $i = 3$ )). Each region obeys different boundary conditions applying to eqn (5).  $A_1$  and  $B_1$  can be computed in the free region 1 from  $s_1 \rightarrow s_2$  by assuming the following boundary conditions:

$$\beta = \frac{\pi}{2} \quad \text{at} \quad r = R_0 \quad (6)$$

$$\beta = 0 \quad \text{at} \quad r = R_i. \quad (7)$$

$R_i$  denotes the contact radius with the flat base plate at the bottom and  $R_0$  the equatorial radius (see Fig. 1). From eqn (5)–(7) we obtain,

$$A_1 = \frac{R_0}{R_0^2 - R_i^2} \quad (8)$$

$$B_1 = \frac{-R_i^2 R_0}{R_0^2 - R_i^2} = -A_1 R_i^2. \quad (9)$$

In region 2 ( $s_2 \rightarrow s_3$ ) the free contour is created from the boundary conditions:<sup>41,42</sup>

$$\beta = \frac{\pi}{2} \quad \text{at} \quad r = R_0 \quad (10)$$

$$\beta = 0 \quad \text{at} \quad r(s_3). \quad (11)$$

Hence,

$$A_2 = \frac{R_0}{R_0^2 - r(s_3)^2} \quad (12)$$

$$B_2 = \frac{-r(s_3)^2 R_0}{R_0^2 - r(s_3)^2} = -A_2 r(s_3)^2. \quad (13)$$

Since the contour is continuous at  $R_0$  we find that  $r(s_3) = R_i$  and therefore  $A_1 = A_2$  and  $B_1 = B_2$ .  $A_3$  and  $B_3$  for region 3 corresponding to  $s_3 \rightarrow s_4$  up to the contact with the indenter with a half opening angle of  $\theta$  are obtained from the following boundary conditions:

$$\beta = 0 \quad \text{at} \quad r = R_i \quad (14)$$

$$\beta = -\left(\frac{\pi}{2} - \theta\right) \quad \text{at} \quad r = R_1, \quad (15)$$

leading to

$$A_3 = \frac{R_1 \sin\left(\frac{\pi}{2} - \theta\right)}{R_i^2 - R_1^2} \quad (16)$$

$$B_3 = -A_3 R_1^2 - R_1 \sin\left(\frac{\pi}{2} - \theta\right). \quad (17)$$

Continuity of solutions for eqn (5) in  $s_3$  requires

$$A_3 = \frac{-R_1 \sin\left(\frac{\pi}{2} - \theta\right) - B_1 - A_1 R_i^2}{R_i^2 - R_1^2} \quad (18)$$

$$B_3 = -A_3 R_1^2 - R_1 \sin\left(\frac{\pi}{2} - \theta\right). \quad (19)$$

Once the radii  $R_0$ ,  $R_i$ , and  $R_1$  are found, the free contour can be readily obtained from the following identity:

$$\frac{dz}{dr} = \tan \beta = \frac{u(r)}{\sqrt{1 - u(r)^2}}. \quad (20)$$

Integrating eqn (20) numerically in the corresponding regions ( $s_1 \rightarrow s_2$  using  $u_1(r)$ ,  $s_2 \rightarrow s_3$  using  $u_2(r) = u_1(r)$ , and  $s_3 \rightarrow s_4$  using  $u_3(r)$ ) results in the free contour  $z(r)$  of the vesicle subject to indentation. The remaining contour is defined by the boundaries, a flat substrate at the bottom and the conical indenter at the top.

The goal is now to find expressions for  $R_0$ ,  $R_1$ , and  $R_i$  as a function of the distance between the tip of the indenter and the flat base plate at the bottom. Three conditions apply to an indented liposome, essentially allowing to compute the corresponding force-indentation curve ( $f(\delta)$ ). These force-indentation curves depend only on two mechanical parameters of the membrane, pre-stress  $T_0$  and area compressibility modulus  $K_A$ . Fitting of these parameters to the experimental data permits to estimate tension and area compressibility of giant liposomes. The following section describes the three conditions, which are needed to compute the free contour, *i.e.* to find a set of parameter  $R_0$ ,  $R_1$ , and  $R_i$  at a given force.

## 2.2 Constraints and force balances

**2.2.1 Constant volume.** We assume that volume changes during compression can be neglected supported by the fact that no hysteresis is found in compression experiments (*vide infra*). Permeability of water across the lipid bilayer is low compared to the time scale ( $\sim 1$  s) of the force compression cycle.<sup>43</sup> The volume of the sphere prior to indentation is denoted as  $V_v$  and the volume of the indented liposome  $V_{\text{ind}}$ . Initially the volume of the liposome is

$$V_v = \frac{4}{3}\pi R_v^3 = V_{\text{ind}}. \quad (21)$$

This is the first condition to solve the free contour. For computing the volume of the indented liposome we divide it into a top and bottom solid of revolution (see Fig. 1) leading to  $V_{\text{ind}} = V_{\text{ind}}^{\text{top}} + V_{\text{ind}}^{\text{bottom}}$ . Using the method of washers, we can numerically compute the volume of the top part  $V_{\text{ind}}^{\text{top}}$  from the following sum:

$$V_{\text{ind}}^{\text{top}} = \int_{R_i}^{R_0} \frac{u_1(r)\pi r^2}{\sqrt{1 - u_1(r)^2}} dz - \pi R_i^2 z(R_i) + \int_{R_1}^{R_i} \frac{u_3(r)\pi r^2}{\sqrt{1 - u_3(r)^2}} dz - \frac{\pi R_1^3}{3 \tan \theta} \quad (22)$$



with  $z(R_i) = \int_{R_i}^{R_0} \frac{u_1(r)}{\sqrt{1-u_1(r)^2}} dz$ . The volume of the bottom part of the compressed liposome  $V_{\text{ind}}^{\text{bottom}}$  is:

$$V_{\text{ind}}^{\text{bottom}} = \int_{R_i}^{R_0} \frac{u_3(r)\pi r^2}{\sqrt{1-u_3(r)^2}} dz. \quad (23)$$

The following section provides the two additional conditions that are required to calculate all three parameters  $R_0$ ,  $R_1$ , and  $R_i$  of the full contour at any given force.

**2.2.2 Force balances.** The restoring force of the liposome to the applied indentation force  $f$  arises only due to in-plane tension  $T = T_0 + K_A \frac{\Delta A}{A_v}$ .  $K_A$  is the area compressibility modulus,  $\Delta A = A_{\text{ind}} - A_v$  the difference between the actual area  $A_{\text{ind}}$  and the initial area prior to compression  $A_v$ .  $T_0$  is the membrane tension or pre-stress. The force balance of the top part in the  $z$ -direction is:<sup>41</sup>

$$f = \Delta P / \pi R_1^2 = 2\pi(R_1 + R_1^2 A_3) \left( T_0 + K_A \frac{A_{\text{ind}} - A_v}{A_v} \right), \quad (24)$$

which is the second condition, while force equilibrium at the bottom part is the third condition:<sup>28</sup>

$$f = \Delta P / \pi R_i^2 = 2\pi A_1 \left( T_0 + K_A \frac{A_{\text{ind}} - A_v}{A_v} \right) \quad (25)$$

The next task will be to find an expression for the actual surface area  $A_{\text{ind}}$  of the liposome as a function of indentation depth  $\delta$ .

### 2.3 Actual surface area $A_{\text{ind}}$ of the vesicle

In order to account for the in-plane stretching of the membrane during indentation the actual area needs to be calculated as a function of indentation depth. The area  $A_v$  prior to indentation is  $4\pi R_v^2$ . The actual area  $A_{\text{ind}}$  is divided again into the top  $A_{\text{top}}$  and bottom part  $A_{\text{bot}}$  of the liposome according to Fig. 1:

$$A_{\text{ind}}^{\text{bottom}} = \pi R_i^2 + 2\pi \int_{R_i}^{R_0} \frac{r}{\sqrt{1-u_1^2}} dr \quad (26)$$

$$A_{\text{ind}}^{\text{top}} = 2\pi \int_{R_i}^{R_0} \frac{r}{\sqrt{1-u_1^2}} dr + 2\pi \int_{R_1}^{R_i} \frac{r}{\sqrt{1-u_3^2}} dr + \frac{\pi R_1^2}{\sin(\theta)}. \quad (27)$$

### 2.4 Indentation depth

The indentation depth in the center at  $r = 0$  is readily obtained from the contour, *i.e.* from integrating eqn (20) in two regions of the free contour:

$$\delta = 2R_v - \left( 2 \int_{R_i}^{R_0} \frac{u_1}{\sqrt{1-u_1^2}} dr + \int_{R_1}^{R_i} \frac{u_3}{\sqrt{1-u_3^2}} dr - \frac{R_1}{\tan(\theta)} \right) \quad (28)$$

The contour in region  $s_1 \rightarrow s_3$  gives rise to the first integral, while the contour along the path  $s_3 \rightarrow s_4$  produces the second integral of eqn (28).

### 2.5 Procedure to compute shape and force response

The shape of the indented liposome and the corresponding force indentation curves are now obtained from the following procedure:<sup>40</sup>

- (1) A value for the force  $f$  is assigned.
- (2) Potential values for the radii  $R_1$ ,  $R_i$ , and  $R_0$  are guessed.
- (3) The contour is calculated by numerically solving the system of eqn (21), (24) and (25) for the three parameters  $R_1$ ,  $R_i$ , and  $R_0$  to provide  $u_1 = u_2$  and  $u_3$ .
- (4) The corresponding indentation depth  $\delta$  is calculated from eqn (28).
- (5) The force value is changed by a given increment using the previous set of radii ( $R_1$ ,  $R_i$ , and  $R_0$ ) as new starting values. The scheme is continued with item (3).

In essence, the three unknown parameters  $R_1$ ,  $R_i$ , and  $R_0$  are obtained for a given force by solving the system of nonlinear equations comprising force balances (eqn (24) and (25)) and volume constraint (eqn (21)). Once the three parameters are estimated using a minimization procedure such as the trust-region-dogleg or Levenberg-Marquardt algorithm the corresponding indentation depth can be calculated. Afterwards the force is changed by a small increment and the procedure repeated. The numerical procedure is more stable if starting with the highest load force.

### 2.6 Bending

The deformation of a liposome formed by a fluid lipid bilayer can be either an in-plane stretching and shear or an out-of-plane bending. Biological membranes are characterized by a low resistance to bending and shearing so that stretching is avoided and vesicles deform either in pure bending or in-plane shear. Generally, in spherical shells stretching cannot be avoided. Especially in biological systems the capsules are filled with liquid and display only a limited permeability of the shell material. The incompressibility of the fluid inside the capsule requires volume conservation at all times during deformations. As a consequence, conservation of the volume enclosed by the capsule inevitably leads to in-plane stretching of the shell. Stretching of the shell is by far more energy costly than bending also mirrored in the elastic constants that are many orders of magnitude apart ( $K_A \approx 0.1 \text{ N m}^{-1}$  vs.  $\kappa \approx 10^{-19} \text{ N m}$ ). Therefore, bending has been neglected in our analysis as an appreciable energy contribution since the volume constraint forces the membrane to laterally dilate in order to maintain its enclosed volume upon indentation. Here, we ignore the fact that at the tip of the conical indenter at  $r = 0$  curvature becomes infinite. In reality the tip has a finite curvature around 20–60 nm (MLCT cantilever). Stretching energy  $E_{\text{str}}$  relates to bending energy  $E_{\text{bend}}$  for point load forces roughly as  $\frac{E_{\text{str}}}{E_{\text{bend}}} \propto \left( \frac{R_v}{d} \right)^2$ .<sup>31</sup> The bilayer is extremely thin  $d \approx 5 \text{ nm}$  and the radius of the liposome on the order of several micrometers. Therefore, bending only plays a role at the tip of the cantilever where the curvature is large. Since the tip of the cone is entirely wrapped with a bilayer, which occurs already at low indentation depth (few nm), the energy contribution due to bending



decreases with increasing indentation depth since the cone widens. Hence, bending is not the reason for the observed force indentation curves showing a nonlinear increase of force with penetration depth. Generally, since the bilayer is very thin, the bending module is rather small  $\kappa \approx 10^{-19}$  J so that at large indentation ( $\delta \gg d$ ) the nonlinear stretching term dominates as long as the volume constraint holds. Moreover, it has been shown that 'leaky' capsules indented by a point load force display a square root dependence on indentation depth ( $F \propto \delta^{1/2}$ ), while stretching usually obeys a cubic dependency on indentation ( $F \propto \delta^3$ ) as found also in our experiments (*vide infra*).<sup>44</sup> Local bending might play a role at very low strain, in the order of the thickness of the bilayer, but pre-stress in the bilayer originating from adhesion generates a capsule stiffness ( $\approx 0.1 \text{ N m}^{-1}$ ) orders of magnitude larger than those predicted by Reissner theory ( $\approx 10^{-5} \text{ N m}^{-1}$ ) assuming pure bending due to a point load force.<sup>31</sup>

### 3 Results and discussion

Fig. 2 shows simulated force indentation curves and contour plots of a liposome subject to indentation with a conical indenter as a function of different parameter sets. The influence of the area compressibility modulus  $K_A$  on the force response of a liposome is shown in Fig. 2A, while the impact of pre-stress  $T_0$  is displayed in Fig. 2B. Clearly a rise in  $K_A$  results in a steeper slope at large strain, while increasing the pre-stress  $T_0$  leads to stiffening at low indentation depth. Fig. 2C shows how the two radii  $R_i$  and  $R_l$  increase with indentation depth. While  $R_i$  rapidly grows at low indentation depth,  $R_l$  follows a linear trend as one would expect for wetting of a cone with an unstressed membrane.

In Fig. 2D and F we show the influence of indenter geometry on the expected force indentation curves. A flat or blunt indenter does not need to penetrate as deep as a sharp, needle-like indenter to achieve the same force response from the liposome. A blunt indenter, however, forces the liposome into a more pancake-like geometry producing larger radii  $R_0$ ,  $R_i$  and  $R_l$ , while sharper indenters reach deep inside the vesicle. Fig. 2E illustrates the shape of the liposome at different pre-set forces. It becomes clear that both flattening of the shell and deeper penetration takes place. Notably, rupture of membranes consisting of two phospholipid leaflet occurs at an area dilatation  $\left(\frac{\Delta A}{A_0}\right)$  of merely 2–5% corresponding roughly to the shape shown in Fig. 2E for the largest indentation depth.

The experimental setup used for indentation experiments is illustrated in Fig. 3A. We used a conventional atomic force microscope with square-based pyramidal tips (MLCT) mounted on an inverted optical microscope. Tip height was 2.5–8  $\mu\text{m}$ , tip radius in between 20–60 nm and the nominal spring constant of the cantilever was 0.03  $\text{N m}^{-1}$ . We modeled the pyramidal tip with a cone assumed a half opening angle of 18°. Immobilization of vesicles is achieved as previously described and detailed in the experimental section (Fig. 3B). In brief, small amounts of biotinylated phospholipids are used to link the liposome gently to the surface functionalized with avidin and passivated with casein to prevent spreading of the GUVs. Fig. 3C and D show

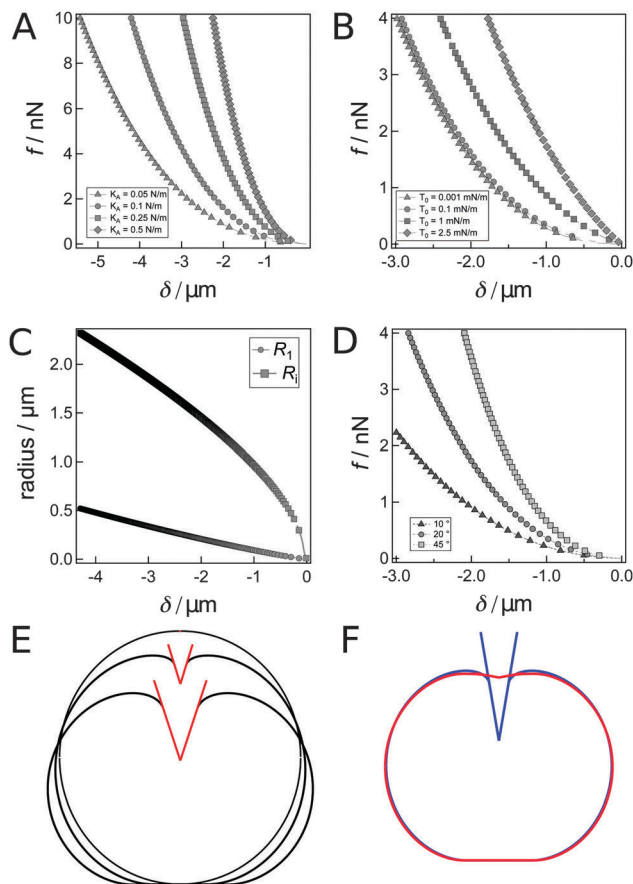
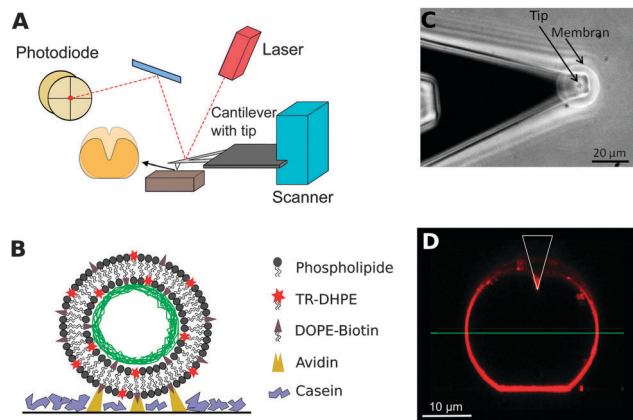


Fig. 2 (A) Computed force indentation curves illustrating the impact of the area compressibility modulus  $K_A$  on the mechanical response of a GUV to indentation with a conical indenter. The following parameters were used:  $T_0 = 0.1 \text{ mN m}^{-1}$ ;  $R_v = 10 \mu\text{m}$ ;  $\theta = 18^\circ$ . (B) Influence of pre-stress  $T_0$  on the force indentation curves using the same set of parameters and  $K_A = 0.1 \text{ N m}^{-1}$ . (C) Change of radii  $R_l$  and  $R_i$  as a function of indentation depth using the following parameters:  $T_0 = 0.1 \text{ mN m}^{-1}$ ;  $K_A = 0.1 \text{ N m}^{-1}$ ;  $R_v = 10 \mu\text{m}$ ;  $\theta = 18^\circ$ . (D) Indenter geometry dependency of force indentation curves. Variation of the half-opening angle of the conical indenter reveals that the restoring force at a predefined indentation depth increases with blunter tips. Parameters are identical to those used in (C). (E) Shape of the GUVs as a function of applied force (0 nN, 10 nN, and 100 nN). The higher the force the deeper the indenter compresses the GUV. Parameters as in (C). (F) Vesicle shape at a constant force of  $F = 45 \text{ nN}$  for two different half opening angles of the cone, the contour in red is obtained from  $80^\circ$  and the one in blue from  $10^\circ$ . Note that the indentation depth at a preset force decreases considerably as the opening angle of the cone increases, while the liposome is forced into a more pancake-like shape with blunter tips. Parameters:  $T_0 = 0.1 \text{ mN m}^{-1}$ ;  $K_A = 0.1 \text{ N m}^{-1}$ ;  $R_v = 10 \mu\text{m}$ .

bright field and confocal images of an adhered vesicles demonstrating that only a small contact zone is formed with the glassy substrate. In Fig. 3C also the cantilever is visible and the tip (arrow) is placed over the center of the liposome prior to indentation experiment. Fig. 3D shows z-stacks of the sessile liposome recorded with a confocal microscope (Olympus Fluoview, FV1000) placed under the AFM prior to indentation and at 2 nN load force (overlay). Indentation or compression experiments do not show a pronounced hysteresis, which confirms our most important assumption that the volume does



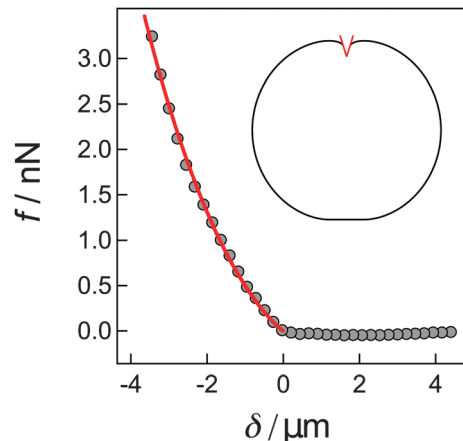


**Fig. 3** (A) and (B) Schemes illustrating the experimental setup used in this study comprising a conventional AFM equipped with a cantilever and a pyramidal tip. The GUVs are attached to the surface via biotin/avidin linkages and can be lined with an actin cortex. Casein is used to minimize non-specific adhesion to the surface. Vesicles are labeled with a red fluorophore (Texas Red) tagged to a phospholipid. (C) Bright field image of a GUV in contact with an AFM tip using an inverted microscope. (D) Confocal laser scanning image of a sessile GUV without actin shell subject to indentation ( $f = 2$  nN) overlaid with the same vesicle prior to indentation.

not change during indentation. Recently, we showed that giant liposomes can be continuously compressed without losing volume.<sup>28</sup> Fig. 4 shows in sparse data representation (one marker every 70 data points) a typical force indentation curve (grey dots) of a giant liposome. The red continuous line represents a fit (Simplex algorithm followed by Levenberg–Marquardt) according to our tension model providing an area compressibility modulus of about  $K_A = 0.026 \pm 0.001$  N m<sup>-1</sup> and a relatively high tension of  $T_0 = 0.76 \pm 0.006$  mN m<sup>-1</sup>. The mean area compressibility modulus from 7 independent measurements was  $K_A = 0.04 \pm 0.02$  N m<sup>-1</sup>.

The area compressibility modulus  $K_A$  of membranes determines the amount of elastic energy required to laterally stretch or compress a lipid bilayer. It is an intrinsic property of the lipid bilayer and is related to the surface tension  $\gamma$  of the interface between the aqueous phase and the aliphatic chains of the phospholipids ( $K_A \approx 4\gamma$ ). The bending modulus of the bilayer  $\kappa$  can also be inferred from the area compressibility modulus through the thickness  $d$  of the bilayer ( $K_A \approx \kappa d^{-2}$ ). Albeit the bending modulus of the bilayer is extremely small on the order of few  $k_B T$ , the associated area compressibility modulus suggests a laterally almost inextensible material. The pre-stress in the sessile liposome can be largely attributed to adhesion and the associated area dilatation.<sup>23,28,45,46</sup> Since the liposomes change their shape from a sphere in solution to a truncated sphere upon adhesion, their surface area increases in order to keep the enclosed volume constant. This increase in surface area essentially generates a finite membrane tension ( $T_0 = K_A \frac{A_{ad} - A_v}{A_v}$ ), the largest contribution to the pre-stress  $T_0$ .

Generally, a number of error sources need to be considered when extracting mechanical parameters from force indentation experiments. Central indentation is mandatory otherwise the vesicle has space to ‘escape’ the load exerted by the AFM cantilever.



**Fig. 4** Experimental force indentation curve (filled circles) of a GUV (DOPC, DOPE-biotin, TR-DHPE) subject to fitting of the tension-based model (red line) resulting in  $T_0 = 0.76 \pm 0.006$  mN m<sup>-1</sup> and  $K_A = 0.026 \pm 0.001$  N m<sup>-1</sup>. Fixed parameters:  $R_v = 11.4$   $\mu$ m;  $\theta = 18^\circ$ . The inset shows the contour of the indented vesicle at maximum force.

This leads to systematic lower  $K_A$  values. Moreover, adhesion forces of the vesicle need to be as low as possible to ensure that the central assumptions in Section 2 are not violated. Since we could not obtain conical tips with a spherical base attached to soft cantilevers for our experiments the use of cones with a squared base also slightly changes the outcome compared to those with a circular base. Indentation depth is limited by the tip height (2.5–8  $\mu$ m) and the lysis tension of the bilayer ( $\approx 10$  mN m<sup>-1</sup>). Blunter tips allow to exert larger forces.

We also investigated what happens if the shell of the liposome is reinforced with an inner layer of actin. The procedure has previously been characterized in detail.<sup>28</sup> In general, the additional actin shell forms a composite with the outermost membrane and by this might contribute to a stiffening of the structure. Depending on the thickness of the shell and the coupling to the bilayer this is detectable by force compression experiments.<sup>28</sup>

Fig. 5 shows typical force indentation experiments with two vesicles, one without actin (circles) and one with a clearly visible actin shell (squares). The plots show force  $f$  as a function of the dimensionless indentation  $\delta/R_v$ , to account for the two different radii of the two vesicles and thereby illustrate the substantial stiffening due to the presence of actin in a single graph.

The red and green lines are fits according to the tension model. The area compressibility modulus increases by a factor of ten from  $0.04$  N m<sup>-1</sup> to  $0.4$  N m<sup>-1</sup> due to the presence of the actin shell. On average the effect is less pronounced ( $K_A = 0.34 \pm 0.3$  N m<sup>-1</sup> from  $n = 7$  independent measurements) since many liposomes that possess an actin cortex do not show an altered elastic response compared to liposomes in the absence of actin. This is probably due to variations in the thickness of the artificial cortex. We attribute the increase in  $K_A$  mainly to an increase in shell thickness  $d$  ( $K_A \approx E_V d$ ). The shell is, however, a composite consisting of a thin incompressible layer attached to network that is less resistible to lateral dilatation. Therefore, the actin shell only stiffens the capsule if its sufficiently thick.<sup>15</sup>



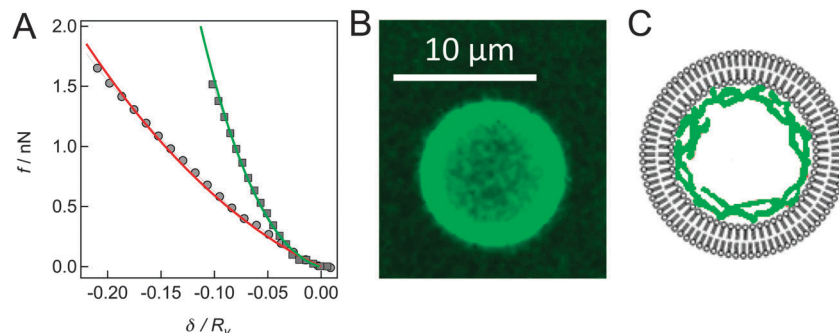


Fig. 5 (A) Typical experimental force indentation curve of GUVs with an actin shell (filled squares) subject to fitting of the tension-based model (green line) resulting in  $T_0 = 0.53 \pm 0.02 \text{ mN m}^{-1}$  and  $K_A = 0.434 \pm 0.008 \text{ N m}^{-1}$ . For comparison, the force indentation curve shown in Fig. 4 corresponding to a GUV in the absence of actin is displayed. Fixed parameters for modeling the actin-filled GUV:  $R_v = 10.25 \mu\text{m}$ ;  $\theta = 18^\circ$ . (B) CLSM image of a GUV with an actin shell (green dye: Alexa Fluor 488 actin). (C) Scheme illustrating the envisioned actin shell assembled inside the vesicle.

Additionally, the area compressibility modulus of the membrane itself might be strongly increased due to electrostatic interactions leading to cross-linking of phospholipids at the interface between the filaments and the inner leaflet. These cross-links would lead to a larger apparent  $K_A$  and thereby explain the observed stiffening.

## 4 Conclusions

We describe how indentation experiments of giant liposomes carried out with a conventional atomic force microscope can be described in the framework of membrane theory. The absence of volume changes during indentation forces the liposomes to increase their surface area and thereby produce considerable restoring forces. If compared with force indentation experiments carried out on living epithelial cells, giant liposomes display a larger pre-stress and also higher area compressibility modulus because membrane reservoirs to buffer tension and to create excess area are missing in artificial systems. It is therefore conceivable that the actomyosin cortex of cells serves as a scaffold for the plasma membrane to allow for excess membrane area which can readily be sacrificed if needed for compensating large tension as it happens if the cells change their morphology during migration or division but also if they experience osmotic stress.

## 5 Experimentals

### 5.1 Materials

1,2-Dioleoyl-*sn*-glycero-3-phospho-choline (DOPC), 1,2-dioleoyl-*sn*-glycero-3-phospho-ethanolamine (DOPE), 1,2-dioleoyl-*sn*-glycero-3-phospho-ethanolamine-*N*-(cap biotinyl) (DOPE-biotin) were purchased from Avanti Polar Lipids (Alabaster, USA), the ionophore A23187 was obtained from Sigma-Aldrich (Steinheim, Germany). Membranes were labeled (0.5 mol%) with sulforhodamine-1,2-dihexanoyl-*sn*-glycero-3-phospho-ethanolamine (TR-DHPE, Life Technology, Carlsbad, USA). Rabbit skeletal muscle actin (>95% pure) was obtained from Cytoskeleton (Denver, USA) and labeled rabbit skeletal muscle Alexa Fluor488 actin

from Life Technology. Tris(hydroxymethyl) aminomethane hydrochloride (Tris-HCl), magnesium chloride ( $\text{MgCl}_2$ ), adenosine triphosphate (ATP), dithiothreitol (DTT) were purchased from Sigma-Aldrich, sucrose from ACROS Organics (Geel, Belgium) and  $\text{D}$ -glucose from Carl Roth (Karlsruhe, Germany). For surface functionalization avidin from Sigma-Aldrich and casein from Merck Millipore (Darmstadt, Germany) were used. Water used for preparation of buffers was filtered by a Millipore system (Milli-Q System from Millipore, Molsheim, France; resistance >18  $\text{M}\Omega \text{ cm}^{-1}$ ).

### 5.2 Methods

**5.2.1 Vesicle preparation.** Giant unilamellar vesicles (GUVs) were created by electroformation as previously described.<sup>34,35</sup> In brief, 8  $\mu\text{l}$  of 1  $\text{mg ml}^{-1}$  lipid dissolved in chloroform (DOPC/DOPE/A23187/DOPE-Bio/TR-DHPE (59.5:30:5:5:0.5)) were deposited on indium tin oxide (ITO) slides and spread uniformly on an area of  $12 \times 12 \text{ mm}^2$ . Afterwards, residual solvent was removed using vacuum for at least 3 h at 55  $^\circ\text{C}$ . Subsequently, two ITO slides covered with lipid films and a 1 mm thick square silicon spacer between the slides were assembled to form a sealed chamber. The chamber was filled with 300  $\mu\text{l}$  of buffer consisting of Tris-HCl (2 mM),  $\text{MgCl}_2$  (0.5 mM), ATP (0.2 mM), DTT (0.25 mM), and sucrose (50 mM) (pH 7.5). For actin containing vesicles 5–7  $\mu\text{M}$  actin monomers and 0.5–1  $\mu\text{M}$  Alexa Fluor 488 actin were added additionally. The chamber was connected to a waveform generator set to 70 Hz with a peak-to-peak voltage of  $\sim 2.4 \text{ V}$  applied for 3 h at room temperature (fluid membranes) or 55  $^\circ\text{C}$  (gel phase membranes), respectively. Eventually, GUVs were transferred to a plastic vial and can be stored at 4  $^\circ\text{C}$  for 2 days.

**5.2.2 Sample preparation and surface functionalization.** Glass slides were activated in  $\text{NH}_4\text{OH}/\text{H}_2\text{O}_2/\text{H}_2\text{O}$  (1:1:5, v/v) solution heated to 75  $^\circ\text{C}$  for 20 min resulting in formation of a thin  $\text{SiO}_2$  layer. The hydrophilic surface was first incubated in an avidin solution (1  $\mu\text{M}$ ) for 30 min followed by deposition of casein (100  $\mu\text{M}$ , wafer incubated for 30 min) in order to ensure full protein coverage of the surface. Afterwards, the sample was washed with G-buffer (Tris HCl: 2 mM,  $\text{MgCl}_2$ : 0.5 mM, glucose: 50 mM, pH 7.5) and 40  $\mu\text{l}$  vesicle solution was added. After approximately 10 min,



the  $\text{Mg}^{2+}$  ion concentration was increased at least to 2 mM to achieve a better fixation of the vesicles on the surface and to initiate actin polymerization.<sup>15</sup>

**5.2.3 Atomic force microscopy (AFM).** Force indentation curves were recorded using a JPK NanoWizard2 or NanoWizard3 atomic force microscope (JPK Instruments, Berlin, Germany). Silicon nitride AFM probes (MLCT) purchased from Bruker AFM Probes (Mannheim, Germany) with nominal spring constants of  $0.03 \text{ N m}^{-1}$  were used. The spring constant of each cantilever was calibrated prior to experiment using the thermal noise method according to Hutter and Bechhoefer, refined by Butt and Jaschke.<sup>36,37</sup> The calibration factor (inverted optical lever sensitivity) is obtained from a force curve recorded on a rigid substrate (glass slide). Cantilever velocity was set to  $1 \mu\text{m s}^{-1}$ . The AFM was placed on an inverse fluorescence microscope (IX 81) equipped with a CCD-camera (XM 10) and a  $40\times$  objective (LUCPLFLN) (all from Olympus, Tokyo, Japan). Data reduction was carried out with a self-written Matlab script. Fitting of experimental data was accomplished with a Simplex algorithm followed by a Levenberg-Marquardt algorithm for better convergence.

**5.2.4 Confocal laser scanning microscope (CLSM).** CLSM images were obtained with an AXIO LSM 710 (Zeiss, Jena, Germany) using a W Plan Aplanachromat  $63\times$  objective (Zeiss) and an argon laser (Lasos Lasertechnik, Jena, Germany) to excite the Alexa Fluor488 actin dye (488 nm) and the membrane label TR-DHPE (592 nm). Alternatively, an Olympus FluoView FV1000 was mounted under the AFM to obtain z-stacks of the indented liposomes.

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