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## Introduction

Desymmetrization has emerged as a way to access chiral quaternary-carbon motifs, which are among the most challenging stereocenters to generate with enantiocontrol.<sup>1–4</sup> Strategies involving C–H bond activation are especially promising yet rare.<sup>5,6</sup> Given this challenge, we propose that prochiral aldehyde **1** could isomerize to scaffolds bearing quaternary centers *via* two possible pathways triggered by aldehyde C–H bond activation (Fig. 1). Herein, we communicate Rh-catalyzed olefin functionalizations, including hydroacylation and carboacylation from a common aldehyde. This initial report focuses on hydroacylation of bis(allyl)aldehydes to generate  $\alpha$ -vinylcyclopentanones **2** bearing quaternary stereocenters.<sup>7</sup> Mechanistic studies reveal a cascade process featuring an enantioselective olefin-isomerization followed by olefin-hydroacylation.

The use of oxygen, nitrogen, and sulfur-based functional groups has allowed breakthroughs in enantioselective Rh-catalyzed hydroacylation.<sup>8</sup> These heteroatoms act as directing groups by binding to rhodium and favoring C–H bond activation while accelerating hydroacylation over competitive pathways, such as decarbonylation or catalyst decomposition.<sup>9</sup> Fu demonstrated intramolecular hydroacylation of alkynals bearing  $\beta$ -methoxy groups (Fig. 2a).<sup>10</sup> Our laboratory reported intermolecular hydroacylation of cyclopropenes using chelating aldehydes, specifically salicylaldehyde derivatives (Fig. 2b).<sup>5</sup> Given their ability to bind Rh, we reasoned that olefins could be used as directing groups for hydroacylation.<sup>11</sup> We were encouraged that Tanaka and Suemune reported

desymmetrization of  $\beta$ -bis(alkenyl) aldehydes (Fig. 2c).<sup>12</sup> Although not proposed, we reason that the pendant olefin in their substrate could be acting as a directing group. These previous desymmetrizations by hydroacylation generate ketones bearing  $\beta$ -quaternary stereocenters. Given this limitation, we chose to develop a complementary desymmetrization of  $\alpha$ -trisubstituted aldehydes, which represents a sterically hindered and thus, challenging substrate class.<sup>13b–c,14</sup> If successful, our strategy would allow access to cyclopentanones bearing  $\alpha$ -quaternary centers, whereby the pendant olefin serves as both a directing group and versatile handle for further elaboration.

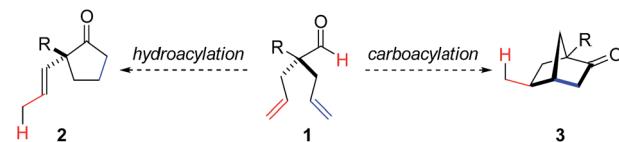


Fig. 1 Two pathways to quaternary-carbon motifs from desymmetrization of **1**.

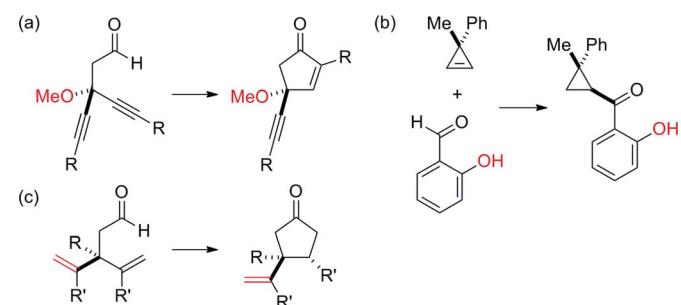


Fig. 2 Previous desymmetrizations by hydroacylation result in ketones bearing  $\beta$ -quaternary stereocenters.

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## Results and discussion

To test our proposal, we studied the desymmetrization of model **1a**, which can be prepared in one-step from commercially available phenylacetaldehyde.<sup>15</sup> Aldehyde **1a** was subjected to cationic Rh(i)-catalysts and various bidentate phosphine ligands that are known to promote formyl C–H bond activation.<sup>16</sup> We imagined that oxidative addition followed by alkene insertion would generate metallacycle **5**, which could diverge into various scaffolds (Table 1). The choice of phosphine ligand had a dramatic impact on product outcome and enabled chemoselective formation of two major products, cyclopentanone **2a** and bicyclo[2.2.1]heptanone **3a**.

With a BINAP-ligated rhodium catalyst, we observed formation of both **2a** and **3a** in 61% and 19% yields, respectively (entry 1). We discovered that the hydroacylation product, cyclopentanone **2a**, bears an internal olefin, which presumably results from isomerization of the terminal olefin. Carbometallation of the pendant olefin from **5** results in intermediate **7**,

which undergoes reductive elimination to form bicycloheptanone **3a** as a minor product. Use of BzDPPB ligand, however, favors the carboacetylation pathway to generate **3a** as the major product in 56% yield with high diastereoselectivity (>20 : 1, entry 2). This unique olefin functionalization takes advantage of C–H activation rather than strained C–C activation to achieve carboacetylation.<sup>17</sup> While our study was in progress, Aïssa reported a related carbocyclization using pyridyl directing groups.<sup>18</sup> We also observed bicyclo[3.2.1]octadione **4a** as a minor product in 10% yield (entry 2). The molecular structure of this homologated ketone **4a** was confirmed by X-ray crystallography (see ESI†). We believe that the second carbonyl arises from a disproportionation process where a second equivalent of aldehyde **1a** undergoes decarbonylation to generate CO.

With these promising leads in hand, we plan to further study each pathway and develop enantioselective variants. Towards this goal, we realized that electron-donating aromatic groups on phosphines enhance selectivity for **2a**. Among the ligands evaluated, (*R*)-DTBM-MeOBIPHEP provided the best reactivity

Table 1 Divergent pathways for desymmetrization of **1a** based on ligand-choice<sup>a</sup>

Entry	Ligand	Major product, yield <sup>c</sup>	Minor product(s), yield <sup>d</sup>
1	( <i>R</i> )-BINAP	( <i>S</i> )- <b>2a</b> 61%, 31% ee	<b>3a</b> 19%, 33% ee
2	BzDPPB	( $\pm$ )- <b>3a</b> 56%	( $\pm$ )- <b>4a</b> , 10% <sup>e,f</sup> ( $\pm$ )- <b>2a</b> , 7%
3 <sup>b</sup>	( <i>R</i> )-DTBM-MeOBIPHEP	( <i>S</i> )- <b>2a</b> 91%, 97% ee	<b>3a</b> and <b>4a</b> not observed

<sup>a</sup> Reaction conditions:  $[(\text{coe})_2\text{RhCl}]_2$  (5 mol%), ligand (10 mol%),  $\text{AgBF}_4$  (10 mol%), DCE (0.2 M), 40 °C, 36 h. <sup>b</sup> Reaction conditions:  $[(\text{coe})_2\text{RhCl}]_2$  (2.5 mol%), ligand (5 mol%),  $\text{AgBF}_4$  (5 mol%), 40 °C, 4 h. <sup>c</sup> Isolated yield. <sup>d</sup> Determined by GC-FID. <sup>e</sup> >20 : 1 *dr*, determined by  $^1\text{H}$  NMR. <sup>f</sup> One equivalent of **1a** was used as a CO donor. DTBM: 3,5-di(*tert*-butyl)-4-methoxyphenyl.



and enantioselectivity for **2a** (entry 3). The absolute configuration of cyclopentanone **2a** was determined by elaboration with 2,4-dinitrophenylhydrazine to hydrazone **9**, in which the molecular structure was established by X-ray crystallographic analysis (Fig. 3).

With this protocol, we prepared eleven cyclopentanones bearing various  $\alpha$ -quaternary stereocenters (Table 2). Aldehydes with aromatic substituents (**1a**–**1g**) undergo desymmetrization in 83–91% yields and high enantioselectivities (95–99% *ee*). Ether, aryl halide, and acetal functional groups are well-tolerated. Heteroaromatic aldehyde (**1h**) as well as aldehydes bearing aliphatic substituents (**1i**–**1k**) rearrange to the corresponding cyclopentanones in excellent enantioselectivities albeit using increased catalyst loading at lower temperature.<sup>19,20</sup>

To understand the mechanism, we performed a deuterium-labelling study with **d-1a**. Desymmetrization of **d-1a**, under standard reaction conditions, led to exclusive formation of **d-2a** where the deuterium label was incorporated into the methyl group of the  $\alpha$ -propenyl substituent (eqn (1)). This result indicates that isomerization of one allyl group takes place first through an endocyclic  $\beta$ -hydride elimination of a 5-membered rhodacycle **d-5a**.<sup>21</sup> Our observations corroborate Aïssa's recent report on the isomerisation of 4-pentenals.<sup>22</sup> Although  $\beta$ -hydride eliminations of this type are uncommon, it has been predicted that binding of a pendant alkene to the metal center significantly lowers the barrier to this process.<sup>23</sup>

When the reaction of **d-1a** was quenched at an early stage (40% conversion to **d-2a**), we recovered three deuterated aldehydes, **d-1a**, **d-1a'** and **d-1a''** (eqn (2)). The observation of **d-1a'** suggests that olefin-insertion is reversible. Yet, the deuterium is incorporated into only the methyl group of the  $\alpha$ -propenyl unit in product **d-2a**. This lack of deuterium scrambling on the cyclopentanone ring suggests that Rh-D insertion occurs with high enantioselectivity (with the olefin shown in red). Thus, the insertion step is both reversible and highly enantioselective.<sup>24</sup>

Further experiments support the notion that the  $\alpha$ -vinyl group (formed from initial isomerization) directs hydroacylation. For example,  $\alpha$ -trisubstituted aldehyde **10** (with only one allyl group) does not undergo hydroacylation. Instead, this aldehyde undergoes isomerization to generate  $\alpha$ -vinyl aldehyde **11** (eqn (3)).<sup>22</sup> In addition, subjecting  $\alpha$ -allylcyclopentanone **12a** to the optimized reaction conditions results in trace formation of  $\alpha$ -vinylcyclopentanone **2a** (eqn (4)). Thus, the cyclopentanones obtained in Table 2 must arise from an

isomerization that occurs prior to hydroacylation. In contrast, we discovered that aldehyde **1l**, containing an acetal group, yields  $\alpha$ -allylcyclopentanone **12l** as the major product (eqn (5)). In this case, we reason that the acetal acts as an oxygen-directing group which promotes hydroacylation over olefin isomerization.

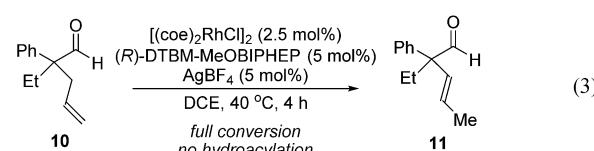
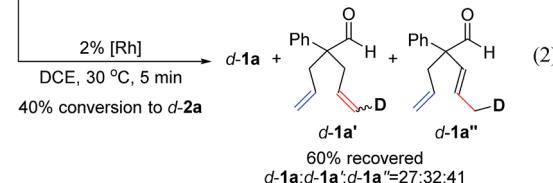
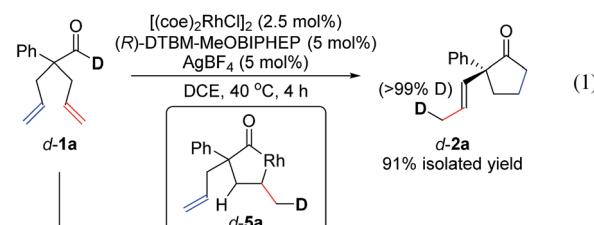
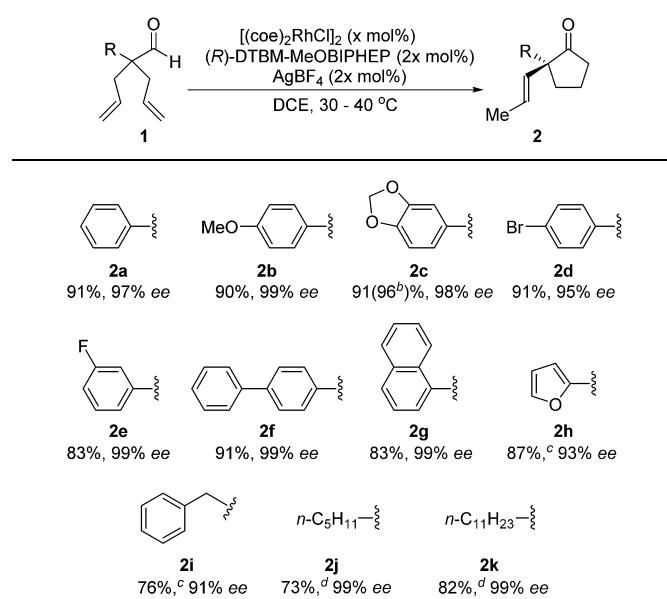


Table 2 Desymmetrization of  $\alpha$ -quaternary aldehyde **1** by isomerization-hydroacylations<sup>a</sup>



<sup>a</sup> Reaction conditions: 0.1 mmol **1**,  $x = 2.5$ , DCE (0.2 M), 40 °C, 4 h.

<sup>b</sup> Reaction conditions: 1 mmol **1e** used. <sup>c</sup> Reaction conditions:  $x = 5$ , DCE (0.33 M), 30 °C, 2 h. <sup>d</sup> Reaction conditions:  $x = 6$ , DCE (0.33 M), 30 °C, 2 h.

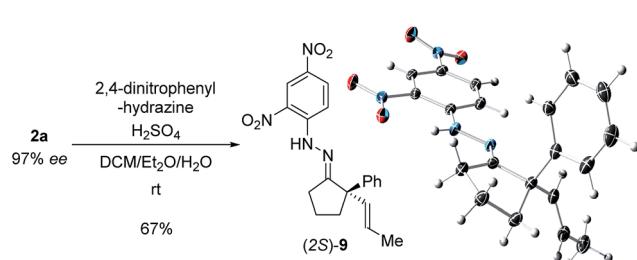
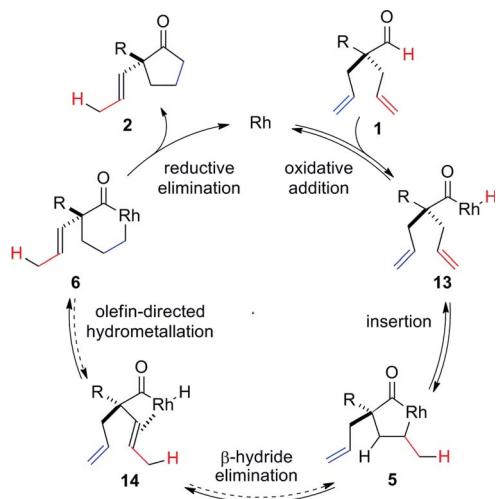
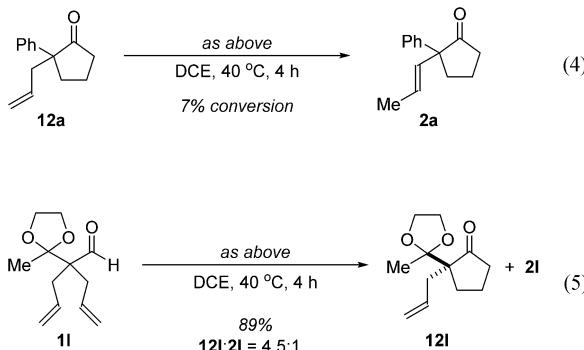


Fig. 3 Determination of the absolute configuration of **2a** and X-ray crystal structure of **(2S)-9**.





Scheme 1 Proposed mechanism for Rh-catalyzed cascade.



On the basis of literature reports and our own observations, we propose a mechanism starting with cationic Rh(I)-complex activating the aldehyde C-H bond of **1** to form acyl-Rh(III)-hydride **13** (Scheme 1). Insertion of the olefin into Rh(III)-hydride **13** leads to formation of the more thermodynamically stable 5-membered metallacycle **5**.<sup>25</sup> A rare endocyclic β-hydride elimination takes place to produce isomerized acyl-Rh(III)-hydride **14**. The allyl olefin inserts into the Rh(III)-hydride to form a 6-membered rhodacycle **6**. Finally, reductive elimination affords the cyclopentanone product **2** and regenerates the Rh(I)-catalyst.

## Conclusions

We have demonstrated a Rh-catalyzed enantioselective synthesis of α-quaternary cyclopentanones. Studies on the scope and mechanism support an olefin-assisted isomerization<sup>23</sup> and olefin-directed hydroacylation cascade. While endocyclic β-hydride elimination has been proposed in the literature on the basis of theoretical studies,<sup>21</sup> our results provide experimental evidence for this elementary step. The use of a BIPHEP ligand enables high selectivity for one out of three possible rearrangements, all initiated by the activation of an aldehyde C-H bond. Insights from these studies will guide efforts to understand and expand the power of the related carboacylation

and bisacylation as routes to scaffolds containing chiral all-carbon stereocenters.

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