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Orthoester exchange: a tripodal tool for dynamic covalent and systems chemistry†

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Reversible covalent reactions have become an important tool in supramolecular chemistry and materials science. Here we introduce the acid-catalyzed exchange of *O,O,O*-orthoesters to the toolbox of dynamic covalent chemistry. We demonstrate that orthoesters readily exchange with a wide range of alcohols under mild conditions and we disclose the first report of an orthoester metathesis reaction. We also show that dynamic orthoester systems give rise to pronounced metal template effects, which can best be understood by agonistic relationships in a three-dimensional network analysis. Due to the tripodal architecture of orthoesters, the exchange process described herein could find unique applications in dynamic polymers, porous materials and host–guest architectures.

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Introduction

Dynamic covalent chemistry (DCC)¹ has developed into a powerful tool for probing non-covalent interactions,² identifying ligands for medicinally relevant biotargets,³ and for synthesizing interesting molecules,⁴ porous materials⁵ and polymers.⁶ Increasingly, dynamic covalent libraries (DCLs) are also investigated from the perspective of the emerging field of systems chemistry.⁷

Central to DCC are reversible covalent exchange reactions that allow molecular building blocks to interact under thermodynamic control.⁸ Currently, the most popular exchange reactions in this area are imine, hydrazone, boronate and disulfide exchange.^{1,2b} Motivated by the prospect of accessing new molecular architectures and creating DCLs under unprecedented conditions, there is unabated interest in developing new additions to the toolbox of DCC. Recent developments include reversible triazolinedione ‘click’ chemistry,⁹ dynamic alkoxyamines,¹⁰ dynamic selenium–pyridine aggregates,¹¹ exchange of thiols and amines with certain Michael acceptors,¹² dynamic urea linkages,¹³ reversible native chemical ligation,¹⁴ dynamic enamines¹⁵ and diselenide exchange as a variant of disulfide exchange.¹⁶

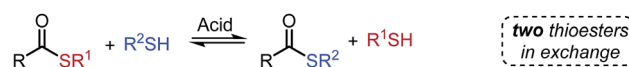
Herein, we introduce a new tool for DCC: the acid-catalyzed exchange reaction between simple *O,O,O*-orthoesters and alcohols, a process that is most closely related to the existing DCC tools of (thiol-) thioester¹⁷ and (alcohol-) acetal¹⁸ exchange (Scheme 1). Orthoester exchange is unique among all other dynamic covalent reactions, because it intrinsically features a

tripodal architecture, which we believe will be of benefit in a range of applications, from molecular sensing¹⁹ to three-dimensional framework materials.^{5a} In contrast to all established exchange processes (Scheme 1), the tripodal geometry of orthoesters also implies that a higher degree of molecular complexity can be generated from a given number of starting materials, which is a highly desirable feature in the field of systems chemistry (*vide infra*).

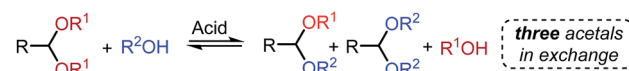
Results and discussion

Inspired by Tan's recent studies on ‘scaffolding ligands’ (*N,O,P*- or *N,N,O*-orthoesters),²⁰ we wondered whether the exchange of simple *O,O,O*-orthoesters with alcohols could be developed into a reliable tool for DCC. Although the reaction of orthoesters with alcohols has been used previously as a synthetic strategy to transform one orthoester into another,²¹ we realized that traditional reaction protocols – generally featuring neat

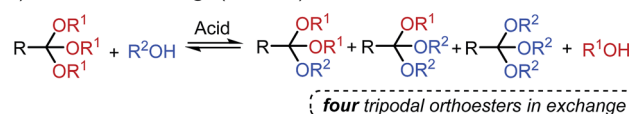
a) Thioester exchange (Ramström, Gellman, Otto, Sanders, 2004/2005):¹⁷



b) Acetal exchange (Stoddart, Mandolini, Di Stefano, 2003/2005):¹⁸



c) Orthoester exchange (this work):



Scheme 1 Orthoester exchange and related reactions used in DCC.

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reagents, large reaction scale, reaction at reflux and purification by distillation – are too remote from the mild conditions typically required for the creation of DCLs.

We therefore aimed at identifying conditions under which orthoester exchange would reliably occur at room temperature and in dilute solution. To this end, we treated methyl orthoacetate (**1A₃**) and ethanol (**B**) with a diverse range of acid catalysts and monitored the reaction outcome by ¹H NMR spectroscopy (Table 1).

After an equilibration time of one to 24 hours, all tested acid catalysts gave rise to nearly identical product distributions (entries 1 to 6). Hydrolysis to esters **2A** and **2B** was not observed to appreciable extent, as long as moisture was excluded from the reaction mixture (*vide infra*). An investigation of solvents acetonitrile, DMSO and benzene revealed that in all cases the same statistical product distributions were obtained, accompanied by only marginal hydrolysis to esters **2** (see ESI† for ¹H NMR spectra).

Encouraged by these findings, we tested whether two pristine orthoesters (**1A₃** and **3B₃**) would exchange alcohol substituents under the influence of TFA. As demonstrated by the ¹H NMR spectra shown in Fig. 1a, this metathesis reaction, which to the best of our knowledge has no literature precedence, proceeded cleanly to a statistical product distribution within only one hour. Equilibration times on this order were found throughout our investigation, establishing orthoester exchange as a relatively fast dynamic covalent reaction. Further experiments on orthoester metathesis revealed that an identical product ratio was reached when starting from orthoesters **1B₃** and **3A₃** (Fig. 1b), confirming that the reaction outcome indeed corresponds to the system's thermodynamic minimum.

Moreover, we found that a high concentration of orthoesters and the presence of around one mol% of water in the solvent dramatically increased the rate of equilibration. Both observations suggest that the formal metathesis reaction is in fact mediated by small quantities of free alcohols **A** and **B** (see ESI† for ¹H NMR spectra), which are initially generated *via* hydrolysis.²² A particularly interesting trait of this orthoester metathesis reaction is that two simple starting materials suffice to generate a dynamic library comprising eight products (Fig. 1). Other dynamic covalent reactions require either larger numbers of starting materials or the possibility of forming cyclic products for generating such a level of complexity.

An investigation into the scope of orthoester exchange in solvents CDCl₃ and C₆D₆ revealed that commercial orthoesters trimethyl orthoacetate (**1A₃**), orthoformate (**3A₃**), orthobutyrate (**4A₃**) and orthobenzoate (**5A₃**) differ noticeably in their reactivity. While **1A₃** and **4A₃** exchange rapidly with EtOH using 0.1% TFA, **3A₃** and **5A₃** require a larger amount of acid to reach equilibrium within the standard equilibration time of one hour (see Scheme 2a).²³

When different alcohols were allowed to exchange with orthoester **1A₃**, it was not the kinetics of exchange, but the final product distribution that was affected by the nature of the substrate. For example, primary alcohols **C** and **D** (Scheme 2) led to similar distributions, whereas 2-propanol (**E**) and phenol (**F**) only led to the formation of orthoesters featuring one secondary alcohol (**1A₂E** and **1A₂F**, respectively).²⁴ This observation is likely due to significant steric crowding in the products that were not observed, a hypothesis that is supported by our finding that the reaction with *tert*-butanol (**G**) did not lead to detectable quantities of exchange products.^{21c,25} These results indicate that orthoester exchange could serve as a remarkably sensitive tool for probing subtle steric effects under thermodynamic control. With diols ethylene glycol and catechol (**H**, **I**) and with triol **J** we observed equilibrium distributions shifted entirely towards products **1AH**, **1AI** and **1J**. Notably, this type of chelate effect has been exploited previously for synthetic purposes such as the face-selective protection of carbohydrates.^{21e,26}

The main limitation of dynamic orthoester exchange is arguably its incompatibility with aqueous (co-)solvent. Similar to acetals, orthoesters hydrolyze to the corresponding esters (*e.g.* **2A**) in the presence of acid catalyst and excess water.²⁷ However, after the acid catalyst is quenched, we have found that orthoesters can be surprisingly stable, *e.g.* they can be treated with bicarbonate solution (see ESI†), subjected to analysis by reverse-phase HPLC-MS or GC-FID (see ESI†), and orthoesters incorporating a chelating diol or triol (*e.g.* **H–J**) are generally most robust.

For the synthesis of materials such as covalent organic frameworks and polymers, but also in DCLs, a dynamic covalent reaction should allow shifting the equilibrium towards certain exchange products. Using the model reaction of orthoesters **1A₃** or **1E₃** with 2-methoxyethanol (**D**), we have identified strategies for achieving the amplification of three different predominant exchange products (Fig. 2). First, the equilibrium could be shifted towards product **1D₃** by addition of molecular sieves

Table 1 Identification of mild exchange conditions^a

Reaction scheme showing the exchange of methyl orthoacetate (**1A₃**) with ethanol (**B**) in the presence of an acid catalyst in CDCl_3 (50 mM). The reaction conditions are $\text{[1A}_3\text{]}$ and 50 mM.

The reaction yields exchange products (**1A₂B**, **1AB₂**, **1B₃**) and hydrolysis products (**2A**, **2B**).

Entry	Acid	<i>t</i>	1A₃ : 1A₂B : 1AB₂ : 1B₃^b	Σ1 : Σ2^b	
1	PhCOOH	1%	24 h	5 : 23 : 44 : 29	>98 : 2
2	TFA	1%	1 h	7 : 25 : 42 : 26	>98 : 2
3	TFA	0.1%	1 h	5 : 23 : 44 : 27	>98 : 2
4	MsOH	1%	1 h	5 : 23 : 44 : 28	>98 : 2
5	TfOH	1%	1 h	5 : 23 : 44 : 28	98 : 2
6	AlCl ₃	1%	1 h	5 : 23 : 44 : 29	>98 : 2

^a Reaction conditions: **1A₃** (37.5 μmol), **B** (112.5 μmol), acid, CDCl₃ (total volume: 750 μL), RT. Reactions were conducted in screw-capped NMR tubes. CDCl₃ and EtOH were dried over molecular sieves (MS) prior to use. PhCOOH: benzoic acid; TFA: trifluoroacetic acid; MsOH: methanesulfonic acid; TfOH: trifluoromethanesulfonic acid. ^b Product ratios were determined by integration of ¹H NMR spectra (estimated error ±5%).



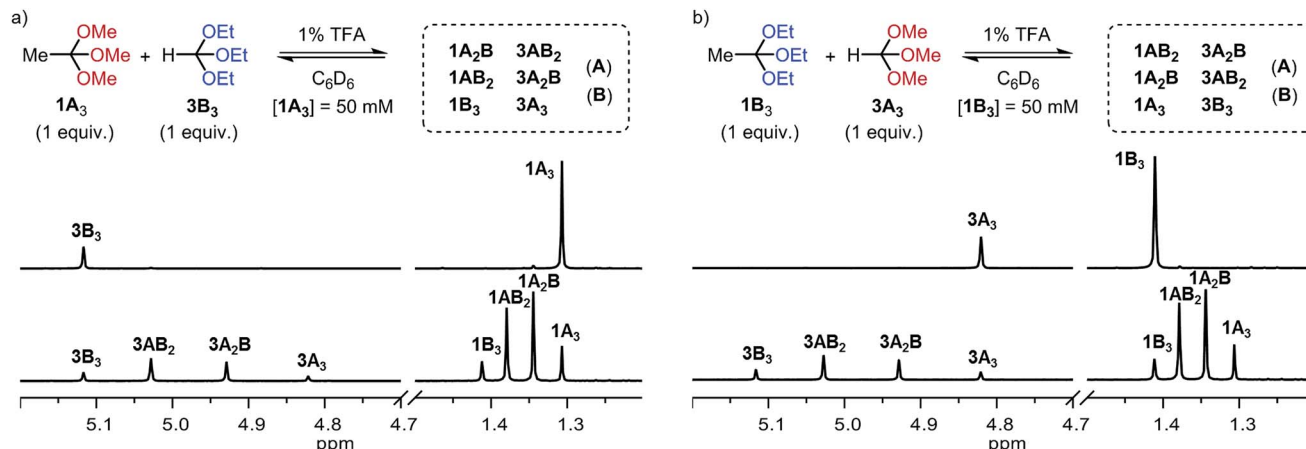
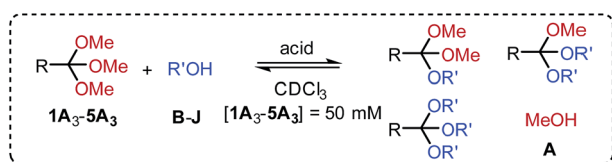
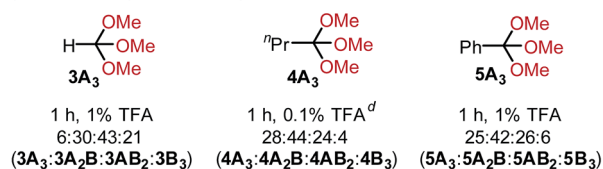


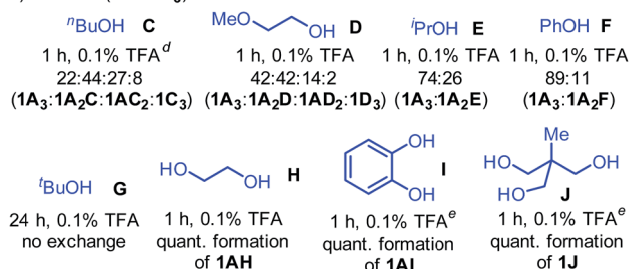
Fig. 1 ^1H NMR monitoring of orthoester metathesis reaction (C_6D_6 , 400 MHz, 298 K). (a) Partial spectrum of pristine starting materials 1A_3 and 3B_3 and spectrum after 1 hour acid-catalyzed exchange. (b) Partial spectrum of pristine starting materials 1B_3 and 3A_3 and spectrum after 1 hour acid-catalyzed exchange.



a) orthoesters (with EtOH, B)



b) alcohols (with 1A_3)



Scheme 2 Scope of orthoester exchange: equilibrium distributions observed with various orthoesters and alcohols. Reaction conditions: reaction conditions: 1A_3 (37.5 μmol), B–G (112.5 μmol), H–J (37.5 μmol), acid, CDCl_3 (total volume: 750 μL), RT. Reactions were conducted in screw-capped NMR tubes, product ratios were determined by integration of ^1H NMR spectra (estimated error $\pm 5\%$). CDCl_3 was dried over MS prior to use. ^dSolvent: C_6D_6 . ^eSolvent: $\text{DMSO}-d_6$.

(4 Å), which act as a thermodynamic sink for methanol (Fig. 2b). Second, an unusually large quantity of twofold-exchange product $1\text{E}_2\text{D}$ was formed when 'high-energy' starting material 1E_3 (steric repulsion between isopropyl residues)¹⁹ was used (Fig. 2c). Third, and perhaps most intriguingly, addition of metal template sodium tetrakis[3,5-bis(trifluoromethyl)phenyl]-

borate (NaBARf) gave rise to a significant amplification of yet another exchange product: $1\text{E}_2\text{D}$ (Fig. 2d).

While one could be tempted to explain this observation by the formation of a particularly stable coordination complex between $1\text{E}_2\text{D}$ and Na^+ , we realized from a comparison with the non-templated reaction that the amplification of $1\text{E}_2\text{D}$ must have a more complex origin. In our view, the key to understanding the outcome of the above experiments lies in considering the agonistic and antagonistic relationships between the four possible orthoester products and the free alcohols in solution. A useful graphical approach for exploring such complex relationships was recently proposed by Lehn.²⁸ The analysis presented in Fig. 2e and discussed below is only the second report of a '3D constitutionally dynamic network' put into practice,²⁹ which highlights the potential of orthoester exchange as a tool for fundamental studies on complex dynamic systems.

The four cubes shown in Fig. 2e correspond to the four experiments presented in Fig. 2a–d. Each bottom corner represents one of the four possible orthoester products, while the top corners represent unbound alcohols in solution in such a way that the compounds on opposing corners of the cube are engaged in an agonistic relationship^{28–30} (i.e., if one of the two compounds is stabilized or destabilized, the other one is amplified or deamplified as a direct result). In experiment (d) for example, the key to understanding the somewhat counter-intuitive reaction outcome is to realize that unbound alcohol D is most effective at stabilizing the Na^+ template through chelate binding. Hence, the agonist pair of $3 \times \text{D}$ and 1E_3 could be the ideal candidate for stabilizing the naked sodium ion, however, the amplification of this pair of compounds is precluded by the high ground state energy of agonist 1E_3 . The second best solution for stabilizing Na^+ would be the agonist pair of alcohols $2 \times \text{D}/1 \times \text{E}$ and orthoester $1\text{E}_2\text{D}$, which is indeed found as major product, despite orthoester $1\text{E}_2\text{D}$ being evidently more strained than compound $1\text{E}_2\text{D}$ (see Fig. 2c and Scheme 2b for comparison). Crucially, this type of analysis provides an



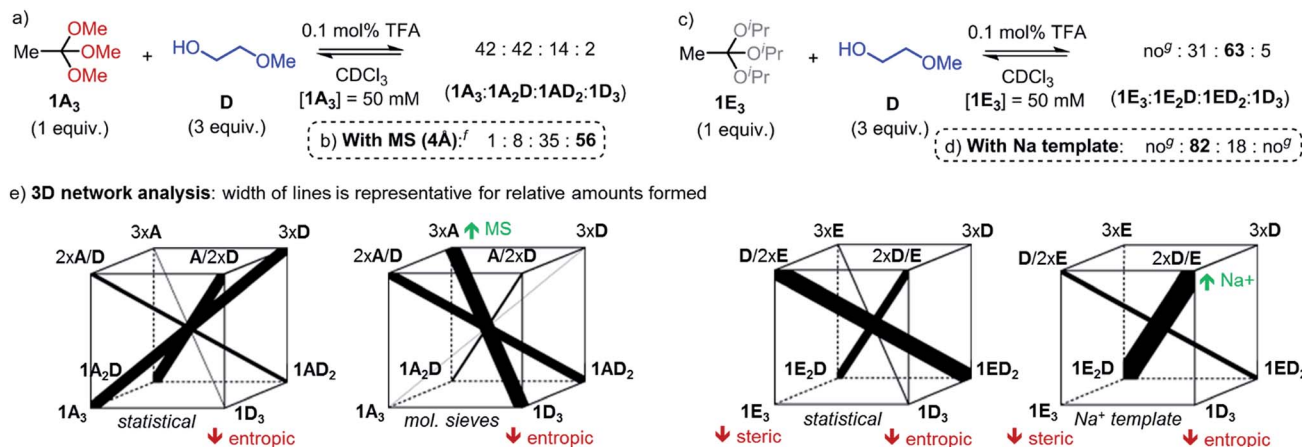


Fig. 2 (a–d) Exchange experiments illustrating different strategies for influencing the equilibrium distributions in dynamic orthoester systems. (e) Graphical analysis of the four observed reaction outcomes ('3D constitutionally dynamic networks').²⁸ A red arrow indicates a destabilizing effect on the corresponding species, while a green arrow indicates a stabilizing effect. Reaction conditions: $1A_3$ or $1E_3$ (37.5 μmol), D (112.5 μmol), TFA (0.1 mol%), $CDCl_3$ (total volume: 750 μL), RT, NaBARF (experiment (d) only, 37.5 μmol). Reactions were conducted in screw-capped NMR tubes, product ratios were determined by integration of ^1H NMR spectra (estimated error $\pm 5\%$). $CDCl_3$ was dried over MS prior to use. ^f1% TFA was added every 24 h. ^gno: not observed.

accessible rational explanation for the system's behaviour in all four experiments shown in Fig. 2, including the counter-intuitive reaction outcome upon metal addition (Fig. 2d).³¹

Conclusions

We have demonstrated that the exchange of *O,O,O*-orthoesters with simple alcohols proceeds cleanly under relatively mild conditions. Our experiments show that the reaction is suitable for the generation of DCLs and that the equilibrium distribution can be shifted towards different products by removal of methanol, by using a sterically crowded orthoester starting material or by addition of a metal template. Within the diverse toolbox of DCC, the dynamic orthoester motif stands out due to its tripodal geometry, which enables the rapid self-assembly of molecules with three fold symmetry from up to four components in only one step. Thanks to their three 'docking sites' for alcohols, orthoesters provide access to dynamic systems of high complexity in one single step. The high potential of orthoester exchange for applications in the field of systems chemistry was demonstrated in this work by the observation of an unexpected template effect and its rationalization by agonistic relationships in a 3D dynamic network. Our findings are relevant to all current applications of DCC, in which the use of aqueous (co-) solvent is not required during the exchange process. Further studies on the exploitation of template effects en route to more complex orthoester architectures are underway in our laboratory.

Acknowledgements

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- 31 We carried out ¹H NMR binding studies (titrations) between NaBARF and compounds **1D₃** and **D** in order to provide experimental support for our interpretation of the system's complex behaviour. However, the metal salt proved to be not soluble over a suitably wide concentration range (in CDCl₃), preventing us from obtaining full binding isotherms. At a qualitative level, our results indicate that **1D₃** is only slightly better suited to stabilize Na⁺ than is 3 × **D**, which is in line with our analysis (3D dynamic constitutional network).

