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Properties of the gold–sulphur interface: from self-assembled monolayers to clusters

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The gold–sulphur interface of self-assembled monolayers (SAMs) was extensively studied some time ago. More recently tremendous progress has been made in the preparation and characterization of thiolate-protected gold clusters. In this feature article we address different properties of the two systems such as their structure, the mobility of the thiolates on the surface and other dynamical aspects, the chirality of the structures and characteristics related to it and their vibrational properties. SAMs and clusters are in the focus of different communities that typically use different experimental approaches to study the respective systems. However, it seems that the nature of the Au–S interfaces in the two cases is quite similar. Recent single crystal X-ray structures of thiolate-protected gold clusters reveal staple motifs characterized by gold ad-atoms sandwiched between two sulphur atoms. This finding contradicts older work on SAMs. However, newer studies on SAMs also reveal ad-atoms. Whether this finding can be generalized remains to be shown. In any case, more and more studies highlight the dynamic nature of the Au–S interface, both on flat surfaces and in clusters. At temperatures slightly above ambient thiolates migrate on the gold surface and on clusters. Evidence for desorption of thiolates at room temperature, at least under certain conditions, has been demonstrated for both systems. The adsorbed thiolate can lead to chirality at different lengths scales, which has been shown both on surfaces and for clusters. Chirality emerges from the organization of the thiolates as well as locally at the molecular level. Chirality can also be transferred from a chiral surface to an adsorbate, as evidenced by vibrational spectroscopy.

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plasmon-based metamaterials and in situ-vibrational spectroscopy.

Thomas Bürgi studied chemistry and obtained his PhD (1995) at the University of Berne (Switzerland). After a postdoc at MIT, he did his habilitation at ETH, Zürich. He became assistant professor at the University of Neuchâtel (Switzerland, 2005) and full professor at the University of Heidelberg (2008). In 2010 he moved to the University of Geneva, where he is professor of physical chemistry. His research focuses on chiral nanoparticles,

Introduction

Self-assembled monolayers (SAMs) of thiolates on gold surfaces¹ have found applications in fields such as sensing,² molecular electronics³ and surface patterning.⁴ The driving force for the anchoring of thiols on gold surfaces is the formation of a gold–sulphur bond.⁵ Thiols are also used to stabilize small metal particles from agglomeration.⁶ The use of thiols furthermore enables the preparation of very small gold particles or clusters.^{7,8} Recently direct structural information of small gold clusters could be obtained by X-ray crystallography,^{9–19} and by atomic resolution electron microscopy.²⁰ The structure of the gold–thiolate interface that emerges from these studies is different from the model developed for SAMs in the 1980s and early 1990s. The latter is furthermore challenged based on these new findings.^{21,22} More recent X-ray diffraction studies and computational work on SAMs points towards a more dynamic nature of the Au–S interface.²³ In this article we focus on the properties of the gold–sulphur interface. We compare recent findings gained from thiolate-protected gold clusters with properties of SAMs. Particularly we focus on the structure of the interface, on its flexibility, on chemical modifications by ligand exchange



reactions, on its vibrational properties as well as on properties related to its chirality. We try to highlight differences and similarities between SAMs and particles/clusters. The comparison is sometimes obscured by the fact that the two systems are studied by different communities using different techniques.

Structure of the gold–thiolate interface

Self-assembled monolayers (SAMs): original model

During the last 30 years the preparation and characterization of self-assembled monolayers witnessed large success.^{1,24} The self-assembly of thiols, above all alkanethiols, on gold has particularly attracted researchers.^{5,25,26} SAMs are attractive model systems to study the principles of self-assembly on surfaces but the interest in SAMs was mainly fostered by the possibility to engineer surface properties like adhesion and wettability, to prevent corrosion processes and to structure surfaces. The structure of alkanethiolate SAMs on Au(111) and other single crystal faces was addressed in the late 80s and early 90s by electron diffraction studies.^{27,28} The conclusions drawn from such studies resulted in a model in which the sulphur atoms adopt a hexagonal structure with a sulphur–sulphur distance of 4.97 Å. These studies as well as He diffraction²⁹ and atomic force microscopy (AFM)³⁰ indicate that the thiolates form a $\sqrt{3} \times \sqrt{3} 30^\circ$ overlayer that is commensurate with the Au(111) surface underneath. Note that the samples for these studies were prepared by immersion of the gold surface into an ethanolic solution of the thiol. It was suggested that the sulphur atoms of the thiolates are bound to the threefold hollow sites of the (111) surface, in agreement with the observation that sulphur atoms bind to highly coordinated sites on transition metal surfaces. The early electron and He diffraction studies focused mainly on the symmetry of the diffraction pattern and provided information on the average symmetry of the overlayer. However, these studies did not give information on the lateral position of the anchoring sulphur atom of the thiolates. In order to obtain such information from scattering experiments the analysis of the intensities is required. Similarly, with scanning probe techniques, it is a priori difficult to learn about the exact location of the headgroup of (long) alkanethiolate SAMs because the respective Au–S interface is buried underneath the hydrocarbon chains. Further X-ray studies revealed a $c(4 \times 2)$ superlattice of the $\sqrt{3} \times \sqrt{3} 30^\circ$ lattice. The diffraction patterns furthermore indicated that two of the four molecules of the unit mesh are distinct to a certain extent. An X-ray standing wave (XSW) study under ultrahigh vacuum (UHV) conditions revealed two different sulphur sites with distinct lateral and vertical positions with respect to the underlying Au(111) surface (see Fig. 1).³¹ Much less is known about the structure of SAMs on other crystal faces such as Au(100) although significant progress is being made more recently.³²

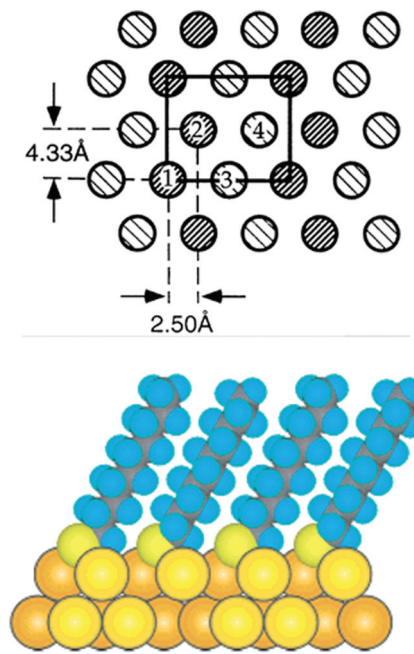


Fig. 1 Top: model of the $c(4 \times 2)$ phase of a SAM in which each molecule is schematically drawn as circle. The two types of shading represent molecules that are distinct within the $c(4 \times 2)$ unit mesh (rectangle). Molecules 1 and 2 are equivalent but distinct from molecules 3 and 4. Reproduced from ref. 31 with permission from Elsevier. Bottom: cross section of a SAM formed from decanethiol. Reprinted with permission from ref. 24 Copyright (2005) American Chemical Society.

Thiolate-protected clusters

In contrast to the model that was developed for SAMs as outlined above the structure of the gold–thiolate interface of thiolate-protected gold clusters is quite different.³³ This was first evidenced through the determination of the crystal structure of $\text{Au}_{102}(\text{pMBA})_{44}$ (*pMBA* = *para* mercaptobenzoic acid) by Kornberg and coworkers.¹⁰ This work revealed the existence of $\text{SR}(\text{Au}\text{--}\text{SR})_{1,2}$ staple motifs, in which gold atoms are sandwiched between two sulphur atoms of the thiolates. Fig. 2 shows such staple motifs. Analogous staple motifs were also found for other clusters like $\text{Au}_{25}(\text{SR})_{18}$ ⁹ and $\text{Au}_{38}(\text{SR})_{24}$.¹¹ The former cluster contains only dimeric $\text{SR}(\text{Au}\text{--}\text{SR})_2$ staples, whereas the latter cluster contains a mixture of dimeric and monomeric $\text{SR}\text{--}\text{Au}\text{--}\text{SR}$ staples. As can be deduced from Fig. 2 the sulphur atoms in the staple structures represent stereogenic centres. The sulphur is in fact bound to four different substituents, two different gold atoms, the organic part R of the thiolate and the electron lone-pair. In principle the organic part R of the thiolate can adopt two positions and the inter-conversion between the two is easily possible due to a low barrier.³³

The structure of the staple motifs resembles the one of $\text{Au}(\text{I})$ –thiolate polymers that are formed when a $\text{Au}(\text{III})$ salt is mixed with a thiol during the preparation of thiolate-protected gold clusters according to the Brust synthesis.⁷ Such polymers are used as therapeutic agents against rheumatoid arthritis



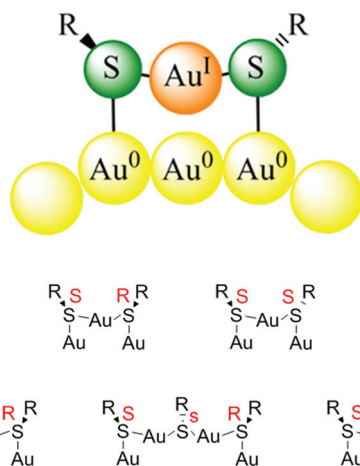


Fig. 2 Top: schematic drawing of a monomeric Au-SR-Au staple motif. The organic substituent R of the thiolate can be oriented on the same side with respect to the staple plane (*cis*) or on opposite sides (*trans*). Bottom: stereochemistry of monomeric and dimeric staple motifs. Reprinted with permission from ref. 34 Copyright (2014) American Chemical Society.

(myochrysin) for more than half a century. X-ray structure determination of gold(i)-thiomalate single crystals revealed a gold-sulphur double helical structure.³⁵ Each helix shows approximate four-fold symmetry. Left- and right-handed helices are found in the unit cell. The left-handed helix contains exclusively (*S*)-thiomalate, whereas the right-handed helix is built from (*R*)-thiomalate. In this structure the S-Au-S angle is 178.9° and 169.4° for the two distinguishable gold atoms in the unit cell, whereas the Au-S distances are 2.289 Å and 2.285 Å, respectively. It was furthermore suggested, based on NMR and wide angle X-ray scattering (WAXS)/differential anomalous scattering (DAS), that oligomers of similar structure exist in solution. The structural parameters given above are close to the ones determined for typical staple motifs of thiolate-protected gold clusters. For example for the Au₃₈(PET)₂₄ (PET = 2-phenylethylthiolate) cluster the average S-Au-S bond angle is 168.6° and the average Au-S bond length in the staple is 2.3 Å.¹¹

The staple motifs described above are the most abundant structure elements found up to now in thiolate-protected gold clusters. More recent structure determinations indicate the presence of other binding motifs such as trimeric motifs SR(-Au-SR)₃,¹³ bridging thiolates,^{12,16} cyclic -Au-SR- structures¹³ or even naked sulphur atoms.¹⁴ However, sometimes it is not straightforward to clearly distinguish between these binding motifs on the highly curved surface of a cluster.

SAMs re-visited

Later STM and diffraction studies on SAMs of short thiols (methylthiol, Fig. 3) prepared under UHV conditions also revealed surface complexes where two sulphur atoms are joined by a gold adatom, both at low and high coverage.^{21,36,37} Interestingly, Vericat and coworkers studied SAMs of *p*MBA

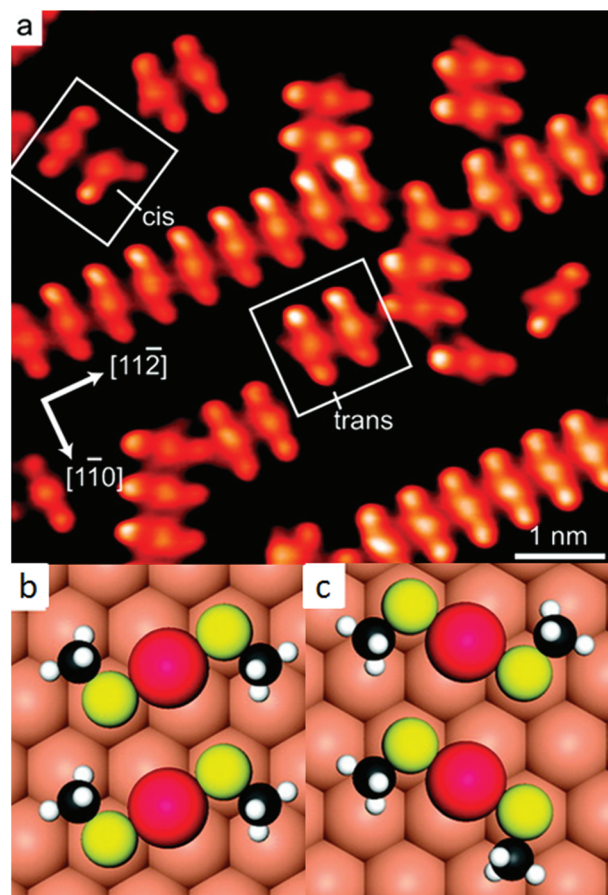


Fig. 3 STM image of self-assembled structures of methylthiolate at low coverage on Au(111), produced by heating the gold crystal preposited with CH₃SSCH₃ above 200 K for ~10 min (a). Highlighted are two *trans*-(CH₃S)₂Au complexes and adjacent *cis*- and *trans*-adatom complexes. Their schematic models are shown in (b) and (c), respectively. Reprinted with permission from ref. 21 Copyright (2009) American Chemical Society.

(the thiolate used to evidence ad-atoms on the Au₁₀₂ cluster), on Au(111) in UHV and found no evidence for gold adatoms,³⁸ which raises the question if the ad-atom structure is a general feature for flat surfaces as well.³⁹

The creation of gold adatoms is consistent with the observation of etch pits (vacancy islands) on gold surfaces exposed to thiols. For SAMs of long-chain alkanethiols the gold-sulphur interface is buried and precludes direct observation by scanning probe techniques. Structure determination remains therefore a real challenge and the same is true for calculations, since different structural models have similar energy²² and a key role may be played by intermolecular interactions between the alkyl chains. Such interactions represent a real challenge for density functional theory (DFT) calculations. Using grazing incidence X-ray diffraction (GIXRD) the structure of a hexanethiolate SAM prepared in UHV on Au(111) at high coverage was reinvestigated.²³ Best-fit conditions were found for a model with adatoms and vacancies in the topmost Au layer. In this model both vacancies and adatoms are delocalized



(partially occupied atomic sites), which reflects the dynamic character indicated by density functional theory based molecular dynamics simulations. The dynamic nature of the interface stems from the interconversion between two different thiol adsorption geometries as well as vacancy migration. The structure derived from the fit to the experiment is therefore an average structure consisting of one-dimensional zigzag chains –S–Au–S–Au–S– as well as thiulates adsorbed in a bridged adsorption mode. The chains resemble the staple motifs found on several thiolate-protected gold clusters¹⁰ and the structure of gold–thiolate polymers.³⁵

The X-ray diffraction studies mentioned above provide averaged and static information and do not directly probe the dynamic aspect of the gold–thiolate interface. However, the appearance of partially occupied sites in the best fit model at least indicates some disorder in the Au–S interface and points towards the dynamic nature of the structure.

Dynamic aspects of the gold–thiolate interface

Mobility of thiulates on gold surfaces

Several experiments indicate that the gold–thiolate interface of thiolate SAMs on flat gold surfaces is not rigid.^{23,40–43} These observations include the movement of etch pits, the diffusion of thiulates on the gold surface and the exchange of one thiolate by another. Some of these experiments were reported before the existence of staple motifs was evidenced. The diffusion of thiulates on gold surfaces has important implications for applications of SAMs. For example the spreading of alkanethiol “ink” molecules represents one limitation of microcontact printing for obtaining high-resolution nano-patterns. STM work showed that alkanthiolate SAMs of mixed composition can undergo phase segregation into domains,^{41,42} which implies some mobility of the thiulates on the gold surface. Also, etch pits formed during thiol adsorption on Au(111) were shown to diffuse on the surface without damage of the monolayer.⁴⁴ Note that the STM imaging was done in air but the SAMs were prepared in vacuum. Interestingly, the diffusion rate was independent of the alkanthiol chain length. STM work also showed that step edges of a Au(111) surface covered by an alkanethiolate SAM can move. The simplest explanation for this observation, as put forward by the authors, is that Au substrate atoms and the thiolate layer move at the same time, in a concerted fashion.⁴³ It was proposed that a Au–thiolate complex (of unknown stoichiometry) at a step edge is moving. Interestingly, different defects were discriminated based on their size and morphology as well as their mobility. For example, point defects appear as 18–25 Å diameter, 1 Å deep depressions in the STM images. These were assigned to molecular voids (missing molecules), and were never observed to move at room temperature on terraces. It was therefore argued that thiulates are not able to move independently on terraces.⁴³

The diffusion of thiulates on gold was also studied by cyclic voltammetry.⁴⁵ A phase-separated SAM of two different thiulates shows a different cyclic voltammogram compared to a homogeneously mixed SAM of the same thiulates. Starting from artificially separated domains of 1-undecanethiol (UDT) and 11-mercaptoundecanoic acid it took over 300 hours at 60 °C to convert into a homogeneously mixed SAM. The authors attributed the change in mixing state to the lateral diffusion of the thiulates on gold, since desorption of thiulates into water was considered unlikely. Similarly, a change in mixing state was also observed when annealing the SAM at 60 °C in air. From these experiments a diffusion coefficient D of 10^{-18} cm² s⁻¹ was roughly estimated at 60 °C. This is slow, on the order of 1 nm per hour lateral diffusion. Using STM other researchers estimated diffusion coefficients that are roughly one order of magnitude larger for the coalescence of holes in a dodecanethiol SAM at 90 °C or for the diffusion of a single pit in a dodecanethiol SAM.^{46,47} It is however *a priori* not clear if the diffusion processes mentioned above in the STM and cyclic voltammetry studies refer to the same physical processes, *i.e.* diffusion of thiulates, adsorbate complexes or surface gold atoms, which might explain the order of magnitude difference in the estimated diffusion constants for the different experiments.

To sum up, there is evidence for the mobility of thiulates on flat gold surfaces. Several cases have to be distinguished, including (i) the diffusion of thiulates on otherwise free gold surfaces, (ii) the diffusion of thiulates within a fully covered surface and (iii) the diffusion of gold atoms. Process (i) is important during the formation of SAMs (low coverage) and also for microcontact printing. Process (ii) has implications for phase separation processes of mixed SAMs driven by thermodynamic factors. Process (iii) is evident from the movement of etch pits on SAMs and can be used to heal such defects. The mechanism of these processes is largely unclear and especially for processes (ii) and (iii) it is unclear whether a gold–thiolate complex is moving and, if yes, what the stoichiometry of such a complex could be.

Mobility of thiulates on nanoparticles and clusters

The mobility of thiulates on the surface of thiolate-protected nanoparticles and clusters is an important aspect particularly for applications of particles with mixed ligand shells. The latter can be obtained directly during the synthesis,⁴⁸ by using a mixture of different thiols, or afterwards by ligand exchange⁴⁹ or chemical reaction⁵⁰ of one part of the thiolate ligands. Right after preparation the distribution of ligands in mixed ligand shells is likely random, to some extent, depending on the preparation method. Such a distribution might be far from the thermodynamic most stable structure. Mobility of the thiulates would then be required to reach this situation. Such mobility is crucial for the formation and/or the stability of Janus type nanoparticles that are covered by two different thiulates.^{51,52} Also, for obtaining liquid-crystalline gold nanoparticles by attaching mesogenes to preformed thiolate-protected gold nanoparticles the arrangement of the



liquid-crystalline parts on the particle surface is crucial.^{53,54} Therefore the mobility of the thiolates is advantageous for the formation of the liquid-crystalline phase, which is usually observed after annealing the sample.

The diffusion of thiolates on gold nanoparticles was proposed by Murray and coworkers to rationalize the kinetics of thiolate-for-thiolate exchange reactions.⁵⁵ However, the rate of diffusion was proposed to be very low, resulting in typical reaction times of weeks at room temperature. Similarly, the extent of photochemical reactions, which depends on the positions of the reacting groups on the gold nanoparticle surface, were observed to be more pronounced for aged samples (after weeks), which was explained by slow lateral diffusion of ligands.⁵⁶ In contrast, other work indirectly indicated fast diffusion of thiolates on gold nanoparticles.^{57,58} For example, the results of fluorescence titration experiments using pyrenyl chromophores to monitor the adsorption of ligands on gold nanoparticles were rationalized by equilibration of the ligands on the nanoparticle surface on the time scale of 1–2 hours.⁵⁸

There is some experimental evidence of phase segregation of mixed thiolates on the surface of gold nanoparticles, driven by thermodynamic factors, which implies some mobility of the thiolates.⁵⁹ Indication of phase segregation stems from MALDI (matrix-assisted laser desorption/ionization) mass spectrometry,⁵¹ scanning tunnelling microscopy (STM),⁵⁹ and spectroscopy such as electron paramagnetic resonance (EPR),⁶⁰ infrared (IR)⁶¹ and nuclear magnetic resonance (NMR).⁶² The interpretation of STM is challenging for this purpose but MALDI is an elegant way to study segregation processes on gold nanoparticles (see Fig. 4).⁵¹ In MALDI experiments of thiolate-protected gold nanoparticles fragments of composition $Au_4(SR)_4$ are often observed. These fragments are

thought to be formed by the rearrangement of the staple motifs discussed above during the MALDI process. The ligands in these fragments likely stem from the same location on the nanoparticle. By analysing the distribution of fragments of mixed SR/SR' thiolate-protected gold particles one can gain information on the distribution of the thiolates on the original cluster, which can in principle vary from completely phase separated (Janus type) to homogeneously (statistically) mixed. For phase segregated particles one would expect large abundance of $Au_4(SR)_4$ and $Au_4(SR')_4$ fragments and low abundance of mixed fragments $Au_4(SR)_x(SR')_{4-x}$ ($x = 1...3$). Using this method phase segregation was found for certain ligand combinations on 2–4 nm (core diameter) nanoparticles.⁵¹ However, the most pronounced phase separation effects were found for samples that were prepared *via* ligand exchange rather than direct synthesis. The lack of phase separation in nanoparticles that were prepared by the direct route, even after their heating to 55 °C for one hour indicated that mobility of the thiolates on the gold nanoparticle surface is limited at room temperature or slightly above. The pronounced phase separation *via* ligand exchange is in line with work on SAMs on Au(111) that showed domainwise exchange of hexadecanethiol with 12-mercaptododecanoic acid in ethanol.⁶³

Electron paramagnetic resonance (EPR) spectroscopy was also used to study lateral diffusion of thiolates on gold nanoparticles.^{65,66} Chechik and coworkers designed a disulphide ligand with two spin labels connected by a cleavable bridge.⁶⁵ After adsorption on gold nanoparticles the bridge was broken and the initially close spin labels could diffuse away from each other, which was probed by EPR spectroscopy. The results suggested that at room temperature there is virtually no lateral mobility, whereas at 90 °C redistribution was observed in the course of several hours. The authors suggested that this redistribution is at least partially due to desorption of ligands and re-adsorption on different nanoparticles.

The studies mentioned above were performed with small nanoparticles (2–4 nm core diameter). Such samples are typically not monodisperse and may contain particles and clusters that are not infinitely stable particularly at elevated temperatures, which may interfere with the interpretation of the obtained results. A way to circumvent this drawback is the preparation of well-defined clusters, which can be obtained by synthesis and subsequent separation for example by size exclusion chromatography.⁶⁷ In such samples decomposition and size evolution can readily be monitored. Direct evidence for the movement of thiolates on the surface of a gold cluster was obtained from racemization studies of a chiral $Au_{38}(PET)_{24}$ cluster. The structure of this cluster is known (see Fig. 5)¹¹ and its enantiomers could be separated using chiral chromatography (HPLC, Fig. 5).⁶⁴

Racemization of the cluster was evidenced both by circular dichroism (CD) spectroscopy and HPLC and the kinetics of this process at different temperatures was followed by CD spectroscopy.⁶⁸ Racemization takes about half an hour at 80 °C, whereas it is very slow at room temperature. Furthermore, it is not affected by the addition of free thiol. The racemization

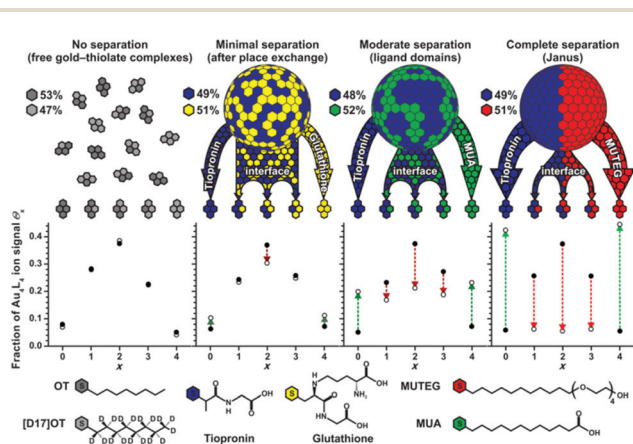


Fig. 4 Comparison of experimental (open circles) and theoretical (filled circles) ligand distributions for free gold–thiolate complexes and three mixed-ligand AuNPs obtained by ligand-exchange reactions of tiopronin AuNPs with free glutathione, 11-mercaptoundecanoic acid (MUA), or mercaptoundecyltetra-ethylene glycol (MUTEG). Deviation from the theoretical model (indicated by arrows) reveals phase-segregated gold–thiolate monolayers on AuNPs. Various ligand mixtures yield different degrees of nanoparticle separation. Reprinted with permission from ref. 51 Copyright (2011) John Wiley and Sons.



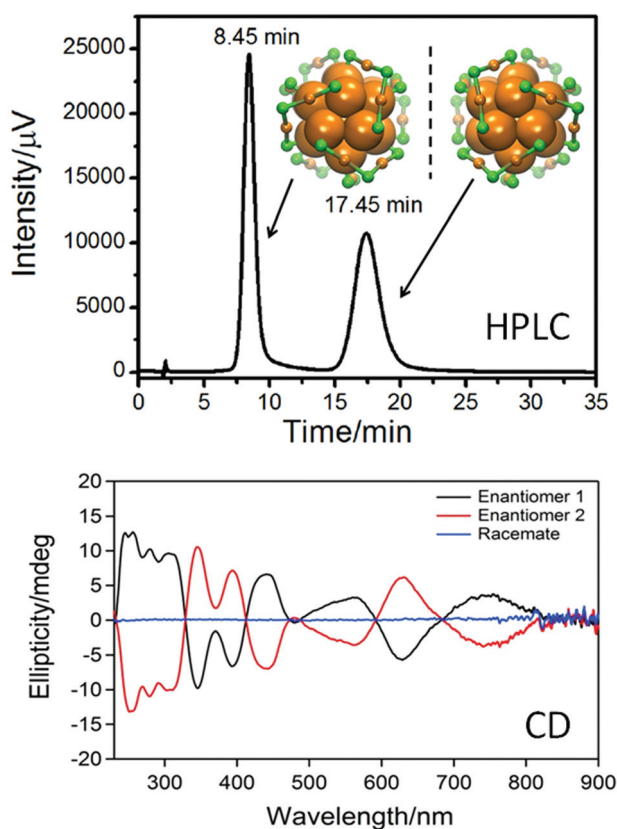


Fig. 5 Top: HPLC chromatogram of the enantioseparation of racemic $\text{Au}_{38}(\text{PET})_{24}$. The structure of the left- and right-handed cluster enantiomers is also shown viewed along the long axis of the cluster. Au core atoms: bold orange, Au atoms of the staples: orange, sulphur in the staples: green. The PET ligand is omitted for clarity. Bottom: circular dichroism (CD) spectra of the two enantiomers. Reproduced from ref. 64.

proves the mobility of the staple motifs on the cluster surface. In the racemization process all six dimeric staple motifs (SR–Au–SR–Au–SR) of the cluster are involved. These are arranged in two chiral three-blade fans of the same handedness at the poles of the cluster (Fig. 5). During racemization both fans invert their handedness. No direct information on the mechanism of this reaction is available. Since there is some evidence for thiolate desorption from gold particles into the solution at elevated temperature (see below) a mechanism involving desorption of thiolates has to be considered. There are two arguments against such a mechanism in the discussed case: (i) addition of thiolates to the solution does not affect the kinetics, and (ii) the activation energy for the process was determined to be less than 30 kcal mol^{-1} ,⁶⁸ whereas a Au–S bond is worth 40 kcal mol^{-1} .^{1,69} Two possible mechanisms without complete Au–S bond breaking have been proposed, one including the sliding of thiolates of the staple motif over the cluster core surface and the other involving a series of inter-staple $\text{S}_{\text{N}}2$ -type reactions, where old bonds are broken and new bonds are formed simultaneously. Note that in the first mechanism (sliding) the staple motifs stay intact, whereas

in the $\text{S}_{\text{N}}2$ mechanism staple motifs are broken and new ones are formed, whereby thiolates exchange between different staples. A similar “crossover” mechanism, with concerted Ag–S bond breaking and formation, was proposed to explain the transformation of polymeric –Ag–SR–Ag–SR– chains in the solid of (3-methylpentane-3-thiolato)silver into $(\text{AgSR})_8$ molecules in solution.⁷⁰ Similarly, for ligand exchange reactions calculations indicate that concerted Au–S bond formation and bond breaking could play a role.⁷¹

Racemization was also studied for $\text{Au}_{40}(\text{PET})_{24}$.⁷² The enantiomers of this chiral cluster could be separated using chiral HPLC,⁷³ but its structure has not been resolved yet by X-ray crystallography. A structural model has been proposed, which is supported by the comparison of calculated and experimental circular dichroism (CD) spectra.⁷⁴ Racemization of $\text{Au}_{40}(\text{PET})_{24}$ proceeds at about $50 \text{ }^\circ\text{C}$ higher temperatures compared to $\text{Au}_{38}(\text{PET})_{24}$, although the activation energies are quite similar for the two cases. For the $\text{Au}_{38}(\text{PET})_{24}$ cluster it was furthermore demonstrated that the incorporation of one rigid dithiol, 1,1'-binaphthyl-2,2'-dithiol (BINAS), into the ligand shell significantly affects the racemization.⁷⁵ Species with composition $\text{Au}_{38}(\text{PET})_{22}(\text{BINAS})_1$ were obtained by ligand exchange, followed by HPLC purification.⁷⁶ The BINAS ligand is thought to bridge two dimeric staple units.^{77,78} The effect on racemization is quite drastic. Compared to the parent $\text{Au}_{38}(\text{PET})_{24}$ cluster the incorporation of only one dithiolate increases the temperature of racemization by $40\text{--}50 \text{ }^\circ\text{C}$. The effect of doping on racemization was also studied. Doping of the $\text{Au}_{38}(\text{PET})_{24}$ cluster with two Pd atoms leads to a drastic lowering of the racemization temperature.⁷⁹ The doped $\text{Pd}_2\text{Au}_{36}(\text{PET})_{24}$ cluster is thought to have a similar structure as the parent $\text{Au}_{38}(\text{PET})_{24}$ cluster. The effect of doping on the racemization may therefore indicate the importance of electronic effects.

Fig. 6 summarizes the racemization experiments described above. The activation entropies are distinctly different for the

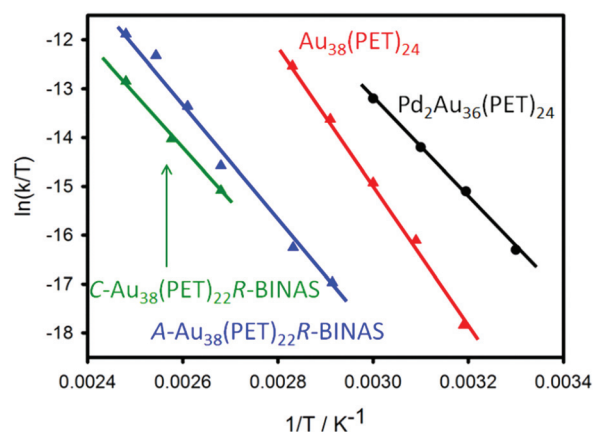


Fig. 6 Comparative Eyring plot of different clusters.^{72,79} The C- $\text{Au}_{38}(\text{PET})_{22}\text{R-BINAS}$ (A- $\text{Au}_{38}(\text{PET})_{22}\text{R-BINAS}$) is the clockwise (anti-clockwise) Au_{38} cluster containing 22 2-PET and one R-BINAS ligand.



investigated clusters. Note that the two enantiomers of the Au_{38} cluster, exchanged with enantiopure R-BINAS, show slightly different behaviour. This indicates that diastereomeric interactions play a role in the activation step.

Some more information on the mobility of thiolates on the cluster surface was obtained from ligand exchange experiments with a monothiol ([2.2]paracyclophane-4-thiol).⁸⁰ Fig. 7 shows that there are only four different symmetry-unique thiolates on the $\text{Au}_{38}(\text{PET})_{24}$ cluster. Put in other words, ligand exchange can lead, in principle, to only four isomers (regioisomers) of composition $\text{Au}_{38}(\text{PET})_{23}(\text{SR}')_1$, where SR' is the incoming thiolate. Note that the number of isomers increases drastically with the number of exchanged ligands. When ligand exchange was stopped at short times, a mixture of

un-exchanged and singly exchanged species was obtained, as verified by MALDI mass spectrometry. The same sample showed at least three additional weak peaks in the HPLC chromatogram, which were assigned to regioisomers of $\text{Au}_{38}(\text{PET})_{23}(\text{SR}')_1$. A single regioisomer was then isolated and HPLC experiments showed that such a species is stable at room temperature and slightly elevated temperatures. This proves that the incoming ligand does not move to different symmetry-unique sites. However, at 80 °C migration of thiolates to different symmetry-unique sites was clearly observed (Fig. 7).⁸⁰

The study mentioned above furthermore shows that ligand exchange at the four symmetry-unique sites is not equally likely because the bands in the HPLC associated with the formed regioisomers have different intensity (see Fig. 7, top).⁸⁰ NMR experiments showed this also for $\text{Au}_{25}(\text{PET})_{18}$ clusters.⁸¹ In the latter cluster only two different positions are available for exchange, namely in the centre of a dimeric staple (called outer ligand by the authors) or at the end of the dimeric staple (called inner ligand by the authors). The experiments showed that exchange is favoured for the outer ligand (middle position, $\text{SR}-\text{Au}-\text{SR}-\text{Au}-\text{SR}$) and that the exchange rate at the outer ligand is up to 3.5 times higher than for the inner ligand, depending on the nature of the incoming ligand. Interestingly, a single crystal X-ray study revealed ligand exchange only at the most solvent-exposed site, at the end of the dimeric staple,⁸² which seems to be in contradiction with the aforementioned study. Possibly crystallization leads to a selection of a subset of ligand-exchange products.

The stability of thiolate-protected gold clusters and nanoparticles indicates that desorption of thiolates or of gold-thiolate complexes from the gold cluster/nanoparticle surface is not an important process, despite the fact that the thiolates are mobile to a certain extent on the gold cluster surface. However, some experimental observations show that thiolates can be exchanged between different clusters. Negishi and co-workers prepared $\text{Au}_{25}(\text{SC}_{12}\text{H}_{25})_{18}$ and $\text{Au}_{25}(\text{SC}_{10}\text{H}_{21})_{18}$ clusters.⁸³ Mixing of the two clusters in CH_2Cl_2 solution for 10 minutes at room temperature lead to a mixed thiolate layer as evidence by mass spectrometry, which showed that up to four thiolates exchanged between the particles, as can be seen in Fig. 8. The effect was less pronounced for a Pd doped cluster.

EPR was also used to study exchange of thiolates between different particles.⁸⁴ Two types of particles, initially covered by different thiolates each, were mixed. Interparticle exchange of ligands then leads to a change in interaction between adjacent ligands, which is sensitively monitored by EPR. Changes were very slow at room temperature, but at 70 °C alterations in EPR line-shapes were observed within minutes, indicating the interparticle exchange of ligands. Interestingly, even after prolonged heating the presence of free ligands in solution was minimal, showing that the steady state concentration of free ligand is low. Based on the kinetics of this reaction the authors proposed a mechanism where the rate-determining step is the desorption of a thiolate species (of unknown nature

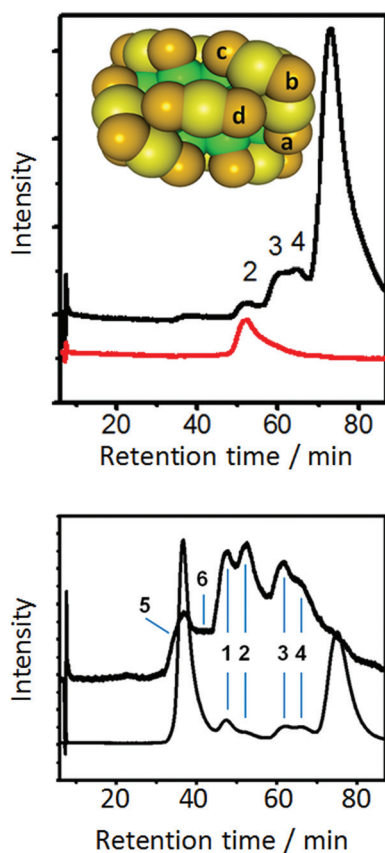


Fig. 7 Top: model of $\text{Au}_{38}(\text{PET})_{24}$ cluster. Green: Au atoms in the core, yellow: surface Au atoms, orange: sulphur atoms. The PET ligand is omitted for clarity. The letters a–d indicate the four symmetry unique sites of the cluster. The black HPLC chromatogram was obtained by performing ligand exchange with [2.2]paracyclophane-4-thiol (PCP4). The dominant peak is due to unexchanged $\text{Au}_{38}(\text{PET})_{24}$ (enantiomer 2). The smaller peaks are due regioisomers of singly exchanged cluster $\text{Au}_{38}(\text{PET})_{23}(\text{PCP4})_1$. Peak 2 was collected and re-injected (red trace). Bottom: HPLC chromatogram (top trace) of the collected peak 2 corresponding to a specific regioisomer of $\text{Au}_{38}(\text{PET})_{23}(\text{PCP4})_1$ after heating to 80 °C for 90 min. For comparison the HPLC chromatogram of a ligand exchange reaction of racemic $\text{Au}_{38}(\text{PET})_{24}$ with PCP4 is shown. Reprinted with permission from ref. 80. Copyright (2013) American Chemical Society.



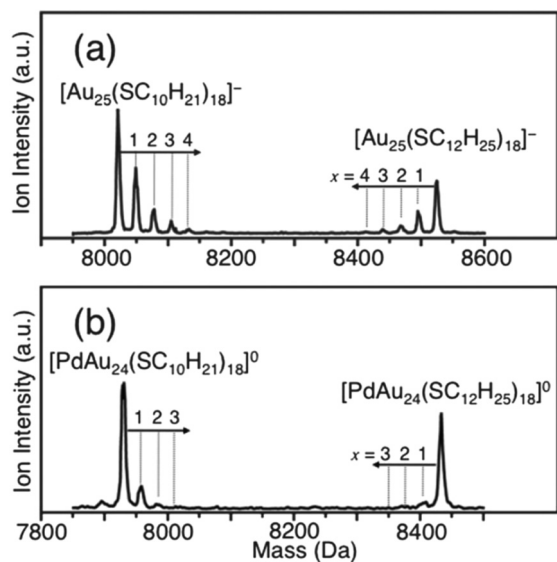


Fig. 8 Negative-ion MALDI mass spectra of a mixture of $[\text{Au}_{25}(\text{SC}_{12}\text{H}_{25})_{18}]^{-}$ and $[\text{Au}_{25}(\text{SC}_{10}\text{H}_{21})_{18}]^{-}$ after 10 min in CH_2Cl_2 (a) and of $[\text{PdAu}_{24}(\text{SC}_{12}\text{H}_{25})_{18}]^0$ and $[\text{PdAu}_{24}(\text{SC}_{10}\text{H}_{21})_{18}]^0$ after 10 min in CH_2Cl_2 (b). The dominant peak corresponds to the parent clusters, whereas the small peaks are due to exchange clusters with mixed ligand shell. Reproduced from ref. 83 with permission from The Royal Society of Chemistry.

and stoichiometry), which creates a vacancy for the readsorption of (another) thiolate.

These clusters studies seem to be in agreement with studies performed 20 years ago on SAMs on gold of octadecanethiol containing radiolabeled head groups (^{35}S).⁸⁵ These studies showed that thiols can desorb into solution on a timescale of a day. The desorption process was not complete, followed pseudo first order kinetics and depended on the solvent. The finding is consistent with earlier studies, which indicated the transfer of thiolates (4-carboxythiophenol) between gold and silver colloids.⁸⁶

In summary, the gold–thiolate interface is not rigid, both in clusters and in SAMs. Thiolates can move between different sites on clusters. Several studies indicate that this is a slow process at room temperature both for clusters and nanoparticles. At slightly elevated temperatures (70–90° C) migration is evidenced within tens of minutes. Also, entire staple motifs can move on the cluster surface, as is shown by racemization studies. Again, this process is observed above room temperature. In addition, there is evidence both for clusters and for flat gold surfaces that thiolates can desorb into solution even at room temperature within tens of minutes. The stoichiometry of the desorbing species is unknown. However, work by Murray and coworkers indicated that even metal atoms can be exchanged between gold and silver clusters protected by thiolate monolayers⁸⁷ indicating that even metal atoms/ions can desorb. Despite the large body of experiments described above there is not yet a clear picture emerging, for example as concerns the relative importance of ligand desorption/re-adsorption and direct migration on the surface.

Some findings remain somehow contradictory. Possibly there is a particle size effect of these properties, as the work of Stellacci and Murry seems to indicate by showing that the formation of striped (phase segregated) nanoparticles is size dependent.⁸⁸ Open questions to be addressed in the future concern the stoichiometry of the species desorbing from or migrating on the cluster surface, the role of Au(I) in the staples for these processes and the migration/desorption of dithiolates.

Chirality

Chiral SAMs

Chiral metal surfaces have attracted considerable interest due to potential applications in chiral catalysis^{89,90} and electrocatalysis⁹¹ but also due to fundamental aspects that are linked to 2-dimensional supramolecular phenomena, chiral recognition and symmetry breaking.^{92–95} There are also some reports on chiral SAMs of thiolates on gold.^{96–102} As mentioned above, STM work revealed the existence of monomeric staples of methyl thiolates on Au(111).²¹ Locally this produces a chiral structure because the sulphur atoms represent stereogenic centres similar as a carbon atom with four different substituents (see Fig. 2 and 3).

The structure of SAMs of BINAS on Au(111) was also studied by STM, which revealed a 2D chiral arrangement.¹⁰³ These SAMs were prepared from solution and investigated in air. A honeycomb structure was found, consisting of screw-like entities with 3-fold rotational symmetry, each of which is composed of three BINAS molecules. The formation of ordered structures implies some degree of mobility of the thiolates on the surface. Such a SAM of BINAS on gold was shown later by quartz crystal microbalance (QCM) to discriminate between the enantiomers of thalidomide.¹⁰⁴ *R*-thalidomide adsorbed on *R*-BINAS SAMs but not or hardly on *S*-BINAS SAMs.

An interesting STM study revealed the assembly of an achiral adamantane tripod (trithiol) on Au(111) into a chiral framework.¹⁰⁵ The sample was prepared and examined in UHV. The rigid molecule forms three Au–S bonds with the surface. The adsorbed molecules develop chiral trimers, which act as building block for even larger hexagonal chiral structures. This shows that symmetry can be broken by achiral molecules leading to locally chiral structures on a globally racemic surface. The formation of such highly ordered hierarchical structures again indicates some degree of mobility of the individual molecules on the surface after their adsorption. In these experiments the molecules were evaporated onto the surface at around 330 K. Similarly, supramolecular chiral structures were found for SAMs of a dithiocarbamate on Au(111).¹⁰⁶

Waldeck and coworkers prepared SAMs on gold from thiol-terminated chiral scaffold molecules containing a porphyrine chromophore at its end.¹⁰⁷ The SAMs were prepared from solution. Illumination by visible light within an electrochemical cell generated a cathodic photocurrent. Interestingly, the



authors observed a strong difference of this photocurrent depending on the circularity of the light used (left- or right-circular polarized). This asymmetry in the photocurrent was explained with a symmetry constraint on the electronic coupling between the porphyrine moiety and the chiral scaffold.

Nakanishi and coworkers prepared SAMs containing leucine molecules and studied the crystallization of leucine on top of these SAMs.¹⁰⁸ It was found that the crystallization was enantioselective. When immersing a D-leucine SAM in a D-leucine solution, crystals of D-leucine were grown. However, when the D-leucine SAM was immersed in a L-leucine solution, no crystals were formed (and *vice versa*). Similarly, Amabilino and coworkers prepared SAMs from a chiral resolving agent containing a cyclic disubstituted phosphate group.¹⁰⁹ These SAMs were used as templates for the growth of crystals of organic molecules with a similar structure as the ones used for SAM formation.

Using UHV STM Besenbacher and coworkers showed that cysteine on Au(110) forms dimers and that the molecular pairs formed from a racemic mixture are exclusively homochiral, which is evidence for strong chiral recognition.⁹⁷ Chiral SAMs prepared from homocysteine on Au(111) were used as electrodes to study the redox behaviour of catechins using cyclic voltammetry.¹¹⁰ The formation of the SAM blocks, to some extent, the redox reaction. However, the extent of blocking was different for the two enantiomers of the catechins. The preference for one enantiomer was inverted in acidic solution. These experiments showed that the homocysteine SAM can distinguish enantiomers of catechin. By comparison between catechin and epicatechin the authors concluded that the chiral SAM is able to discriminate the absolute configuration at one of the two chiral centres. Homocysteine SAMs were also integrated into the gate of a field effect transistor, which allowed the discrimination between the enantiomers of alanine.¹¹¹

SAMs of chiral *N*-acetyl-L-cysteine¹¹² and glutathione¹¹³ on gold were also prepared directly on internal reflection elements, which enabled *in situ* studies by attenuated total reflection infrared spectroscopy (ATR-IR). The ability of these two SAMs to discriminate between proline enantiomers was then studied using modulation excitation spectroscopy combined with a phase-sensitive detection.^{114–117} For this, solutions of the two enantiomers of the probe molecule (proline) were flown alternately over the SAM and the response of the system was recorded *in situ*. For the glutathione SAM it was shown that the adsorption and desorption kinetics (but particularly the desorption) are different for the two enantiomers of proline and therefore the chiral SAM can discriminate between them. All these examples show that chiral SAMs have the ability to discriminate between enantiomers of molecules that interact with the SAMs.

Chiral thiolate-protected gold clusters and particles

The interest in chiral gold clusters is increasing due to possible applications in chiral technology.^{34,118} Evidencing chiral properties in this case is different compared to flat gold surfaces and often easier. Chiroptical methods proved particularly

useful for this purpose as they are a selective probe of chirality. Also, crystallography and theory helped a lot for the understanding of chirality of thiolate-protected gold clusters.

The first experimental manifestation of chirality in thiolate-protected clusters stems from circular dichroism (CD) measurements on gold clusters protected by (chiral) glutathione.¹¹⁹ Strong CD signals were observed in transitions that are mainly located in the metal core. Similar observations were made for other gold clusters protected by chiral thiolates.^{120–126} Until direct structural information was available the origin of the optical activity of was not clear. Particularly it was not clear if the structure of the cluster is intrinsically chiral¹²⁷ or if the optical activity is “just” induced by the chiral ligands around a symmetric particle core.^{118,128} The first crystal structure of a thiolate-protected Au₁₀₂(pMBA)₄₄ cluster then revealed a symmetric core protected by gold–thiolate staples (see Fig. 2 and 3). The staples are arranged in a chiral fashion even though the thiol itself is not chiral. Furthermore, each sulphur atom represents a stereogenic centre. The unit cell of the crystal contains both enantiomers of the cluster and therefore such a sample is racemic and does not show optical activity. A similar situation was later found for the chiral Au₃₈(PET)₂₄ cluster (see Fig. 7).¹¹ The enantiomers of the cluster could be separated into its enantiomers using chiral chromatography.⁶⁴ This allowed one to measure the CD spectrum of the cluster, which was quite pronounced despite the fact that the cluster is protected by achiral ligands. The enantiomers of other chiral gold clusters were later also separated.^{16,73,79,129}

An interesting case represents the Au₂₅(SR)₁₈ cluster.⁹ Its Au–S framework is achiral consisting of an Au₁₃ icosahedral core protected by six long staple motifs (three orthogonal pairs). The cluster shows optical activity when covered by a chiral thiolate.¹³⁰ However, the anisotropy factors are much weaker compared to the Au₃₈ case, which indicates that the chiral arrangement of thiolates gives rise to stronger optical activity compared to the optical activity induced by chiral thiolates.

Chiral Au₃₈(PET)₂₄ clusters were shown to be able to discriminate between enantiomers of a chiral thiols in ligand exchange reactions (Fig. 9). Specifically, a racemic mixture of the cluster was exposed to R-BINAS and the ligand exchange was monitored *in situ* by chiral HPLC.⁷⁶ Analysis of the kinetics revealed that the left-handed cluster reacted about four times faster with R-BINAS than the right-handed cluster. Note that the thiolates initially adsorbed on the cluster are not chiral.

Gellman and coworkers also demonstrated that gold nanoparticles of about 5 nm in size and covered by enantiomers of cysteine show enantioselectivity in adsorption of propylene oxide on their surface.¹³¹ The authors used optical rotation to demonstrate enantiospecific adsorption and used the fact that the specific optical rotation is enhanced for molecules interacting with the gold nanoparticles. The analysis can be made in a quantitative manner in order to extract enantiospecific adsorption equilibrium constants.¹³² In summary, not much has been done to demonstrate and apply the enantiospecific



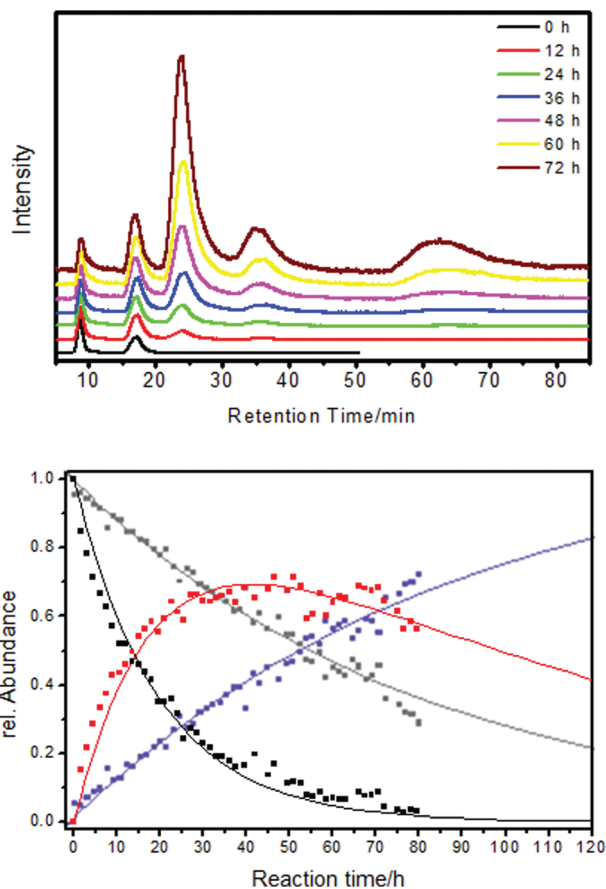


Fig. 9 The ligand exchange between $\text{Au}_{38}(\text{PET})_{24}$ and BINAS is diastereomeric. Top: HPLC traces recorded *in situ* during the ligand exchange between racemic $\text{Au}_{38}(\text{PET})_{24}$ and R-BINAS. The first two peaks belong to the two enantiomer of $\text{Au}_{38}(\text{PET})_{24}$ (A- $\text{Au}_{38}(\text{PET})_{24}$ and C- $\text{Au}_{38}(\text{PET})_{24}$). The next two peaks belong to the corresponding cluster with one R-BINAS incorporated (A- $\text{Au}_{38}(\text{PET})_{22}(\text{R-BINAS})_1$ and C- $\text{Au}_{38}(\text{PET})_{22}(\text{R-BINAS})_1$). The broad peak at higher retention times corresponds to higher exchange products. All chromatograms were scaled to the intensity of the first peak. Bottom: kinetic analysis of the ligand exchange. Dots are measurements (HPLC) and solid lines are derived from a fit to a kinetic model. Black: A- $\text{Au}_{38}(\text{PET})_{24}$, gray: C- $\text{Au}_{38}(\text{PET})_{24}$, red: A- $\text{Au}_{38}(\text{PET})_{22}(\text{R-BINAS})_1$, blue: C- $\text{Au}_{38}(\text{PET})_{22}(\text{R-BINAS})_1$. Reprinted with permission from ref. 76 Copyright (2012) American Chemical Society.

properties of gold nanoparticles and clusters although their potential has been shown. With the recent progress in the preparation of chiral clusters and particles more work will certainly be done in this field.

Vibrational properties

Vibrational properties of SAMs

Vibrational spectra are a sensitive probe of structure although the information is not immediately evident from the spectrum. However, it is clear that different structures of adsorbate layers, *e.g.* adsorbate layers of thioliates with or without adatoms, are characterized by different vibrational spectra.

In addition, the vibrational properties are usually quite local, in contrast for example to the electronic properties and it is therefore anticipated that comparison between vibrational spectra of SAMs of thioliates on flat surfaces and thiolate-protected gold clusters can directly be compared. Vibrational spectroscopy could therefore be a key tool to address similarities and differences of the two systems, SAMs and clusters, as concerns their structure.

Vibrational spectra of adsorbed thioliates on gold surfaces provide useful information on interactions between molecules in the SAM, on the orientation of molecules with respect to the surface^{112,133} and the order within the layer. Information on the order within an alkanethiol SAM is provided by the frequency and width of the C–H stretching bands.¹³⁴ Vibrational spectra can be obtained by several techniques including infrared and Raman spectroscopy, high-resolution electron energy loss spectroscopy (HREELS) and non-linear optical techniques such as sum-frequency generation. Of particular interest here are the vibrational properties of the Au–S interface, which are quite low in frequency. Although vibrational spectroscopy was frequently used to characterize SAMs of thioliates not much attention was paid to the low frequency region of the spectrum, a fact related with experimental challenges. Raman signals of monolayers on flat surfaces are very small and far infrared (FIR) spectroscopy requires special equipment. Typical Au–S stretching vibrations give rise to bands around 220–240 cm^{-1} in the spectrum, as evidenced by Raman and HREELS measurements.^{135–137} A systematic study of the HREELS spectra of SAMs formed by alkanethioliates of different lengths on Au(111) revealed multiple Au–S stretching bands, which were assigned to multiple adsorption sites of the thiolate on the gold surface.¹³⁸ The authors also observed a dependence on the chain lengths. At the time of the study the staple structure was not yet discovered. It is clear that such a staple structure should give rise to multiple Au–S stretching modes at different frequencies due to the different nature of the Au–S bonds involved (Au–S within the staple and Au–S involving a core gold atom).

Vibrational properties of thiolate-protected gold nanoparticles and clusters

Not too much attention has been paid up to now to the vibrational properties of thiolate-protected gold clusters. The mid infrared region of the spectrum mainly contains information about the ligand themselves and possibly on their electronic interaction with the metal core.¹³⁹ The vibrations of the Au–S interface are expected below about 350 cm^{-1} and the vibrations within the metal core (Au–Au vibrations) are even lower in energy ($\ll 200 \text{ cm}^{-1}$).^{140,141} The latter vibrations are expected to be weak in the IR spectrum due to the low polarity but should be prominent in the Raman spectrum.

In principle a vibrational spectrum contains information about the structure (conformation) of a molecule. In many cases it is however not trivial to extract such information from the spectra.



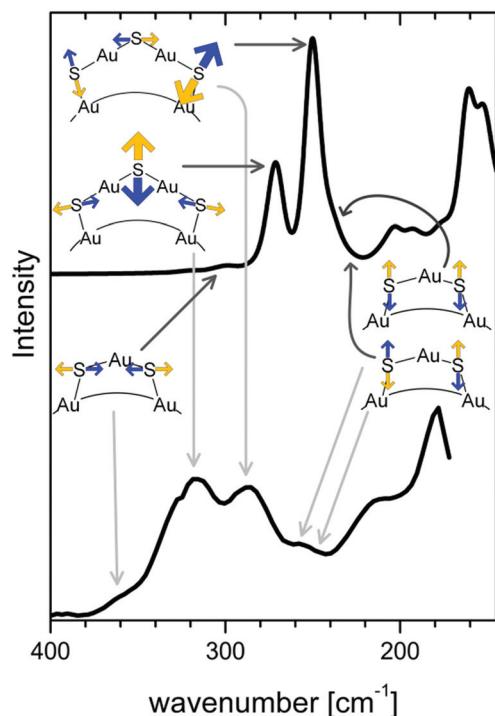


Fig. 10 Calculated (top) and experimental (bottom) Raman spectrum of the $\text{Au}_{38}(\text{SCH}_3)_{24}$ and $\text{Au}_{38}(\text{PET})_{24}$ cluster, respectively. Radial and tangential Au–S modes of the staples are schematically represented. Radial vibrations of the long staples are responsible for bands with high intensity. Modes associated with the short staples (symmetric and antisymmetric stretching and tangential vibrations) have lower Raman intensity. Reprinted with permission from ref. 142 Copyright (2014) American Chemical Society.

Creutz and co-workers reported far-IR spectra of alkanethiol capped gold nanoparticles (about 2 nm).¹⁴³ The spectra are characterized by quite broad bands that change with the length of the alkanethiol. Bands at 260–270 cm^{-1} and around 180 cm^{-1} were assigned to Au–S vibrations. Murray and co-workers reported the Raman spectrum of $\text{Au}_{25}(\text{PET})_{18}$. A band at around 290 cm^{-1} was assigned to Au–S vibrations.¹⁴⁴

Halas and coworkers used surface enhanced Raman spectroscopy to study the low-energy region of SAMs of alkanethiols of different lengths (C_{10} , C_{11} ... C_{16}) on plasmonic gold particles.¹⁴⁵ The low-energy vibrational spectrum (below 400 cm^{-1}), where the Au–S vibrations are expected, showed several bands and the spectra were quite different from the Raman spectra of the alkanethiol liquids. In particular the spectra were characterized by a sharp band that shifted drastically with chain lengths from 367 cm^{-1} (C_{10}) to 278 cm^{-1} (C_{16}) and a second band at lower frequency that shifted as well.

These observations were explained by a coupling between the Au–S stretching and longitudinal acoustic modes (LAM) of the alky chain. The latter modes were also observed for the alkanethiol liquids although less sharp and with a smaller shift as a function of chain length. It is likely that the coupling and therefore the spectrum is sensitive to the geometry and

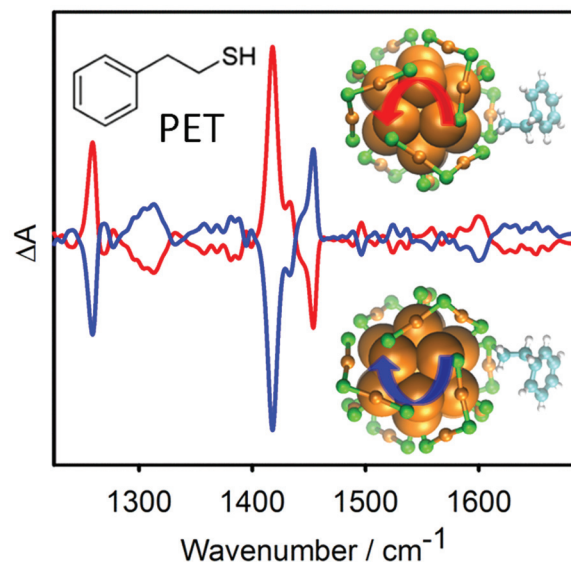


Fig. 11 VCD spectra of the two enantiomers of $\text{Au}_{38}(\text{PET})_{24}$. The bands in the spectra are due to the 2-phenylethylthiolate (PET) adsorbed on the cluster. PET is an achiral molecule, but the chiral cluster stabilizes one enantiomeric form of a transiently chiral *gauche* conformation, which leads to the VCD signals. The models show the chiral Au–S framework of the cluster and one PET ligand adopting a chiral conformation. Reproduced from ref. 152.

binding of the thiolate head-group. However, the coupling also complicates a more direct analysis of the low-frequency vibrational spectra.

In an attempt to relate vibrational signatures to structural information of the Au–S interface Raman and far-IR spectra of well-defined clusters were measured.^{142,146} By comparison with calculations one can assign different types of Au–S vibrations notably Au–S–C bending modes around 180 cm^{-1} , radial Au–S stretching modes around 220–280 cm^{-1} and tangential Au–S stretching modes around 320 cm^{-1} and above. (see Fig. 10).

Radial modes are lower in frequency and involve the stretching between sulphur atoms of the staple and gold core atoms. Tangential modes involve stretching motions between sulphur and gold within staples. As mentioned above good quality HREELS spectra of alkanethiol SAMs evidence multiple peaks in the 200 cm^{-1} –350 cm^{-1} spectral region,¹³⁸ which might indeed be attributed to staple-like structures instead of different adsorption sites of the thiolate. Vibrational spectroscopy has therefore the potential to shed more light on the nature of the Au–S interface on flat SAMs but for this more systematic studies are needed with high quality spectra of the low frequency vibrations.

A special technique that is particularly sensitive to conformation is vibrational circular dichroism (VCD), *i.e.* the differential absorption of left- and right-circular polarized light by a chiral sample.^{147,148} This technique has been applied to gold clusters in order to extract information on the conformation of the adsorbed chiral thiolates.^{122,149–151} VCD signals are in general very weak and the technique cannot be applied to



surfaces. However, VCD on clusters is a powerful technique to study the structure of adsorbed molecules.

Achiral molecules and racemic mixtures do not show VCD signals. However, it has been demonstrated that the achiral 2-phenylethylthiol gives rise to strong VCD signals when adsorbed on the chiral Au₃₈ cluster (Fig. 11).¹⁵² For the VCD measurements the enantiomers of the cluster were separated. The reason for this is a preferred chiral conformation of the molecule adsorbed on the cluster. More precisely the molecule can exist in (achiral) anti and (transiently chiral) *gauche* conformation, referring to the relative orientation of the phenyl group and the sulphur atom. The infrared absorption spectra indicated a pronounced abundance of *gauche* molecules. For the *gauche* conformation two enantiomeric forms exist and in solution the two forms are equally abundant. Due to the chirality of the cluster one *gauche* enantiomer becomes more stable, which gives rise to the observed VCD signals. This shows that the chiral cluster transfers its chirality to the achiral molecule. The study furthermore indicates that the conformation of the thiolates on the clusters is different in solution and in the solid state (crystal).

Conclusions

In this article we have compared properties of thiolate SAMs on gold and thiolate-protected gold clusters focusing on their structure and chirality, on their dynamic nature and vibrational properties. The Au-S interface proved to be dynamic in both cases. Due to the highly curved surface of small gold clusters different properties can be expected compared to SAMs on flat gold surfaces. It seems however, that the two systems have a lot of similarities, which may, at the end, be due to similar structure elements of the Au-S interface in the two cases.

There is strong evidence for gold ad-atoms or staple-like structures on flat gold surfaces (at least for Au(111)). However, there are also recent studies, which do not give direct evidence for gold ad-atoms. On the other hand, there is now also evidence from single crystal X-ray crystallography for other structural motifs, besides staple motifs, such as bridged adsorption sites on clusters. If a unified model of the Au-S interface will emerge for both the cluster and SAM field remains to be seen. What is clear is that the Au-S interface is more complex than it was thought one decade ago.

The Au-S interface is far from being rigid and static both on flat surfaces and on clusters. Different processes including migration of thiolates and desorption of thiolates (and re-adsorption) contribute to the flexibility of the interface. Migration of thiolates was evidenced for clusters and surfaces at temperatures above ambient whereas there is evidence for desorption even at room temperature both from flat surfaces and from clusters. On flat surfaces the migration of etch pits is also observed and it is not clear if there is an analogous process for clusters. The flexibility of the Au-S interface is an important property for applications of clusters (and SAMs) and

it will be important in the future to clarify the composition of the migrating and of the desorbing species.

Chirality of SAMs and of thiolate-protected clusters is an interesting property with possible applications in chiral technology. Chirality in both cases arises at different levels that is at the level of arrangement of the thiolates (organization level) and at the molecular level (local level). In both cases achiral molecules can become chiral upon adsorption which has to do with symmetry breaking imposed by the surface. Chiral recognition and chirality transfer effects have been demonstrated and it remains to be shown if chiral gold clusters may be used for chirality sensing or enantioselective catalysis.

Comparison of properties of the two systems considered here (thiolate SAMs on flat surfaces and thiolate-protected clusters) is sometimes difficult due to the different experimental techniques that have to be applied. In this sense vibrational spectroscopy stands out because it can be equally applied to both systems and the vibrational spectrum is a local property, *i.e.* whether the surface is flat or curved does not matter much as concerns the vibrational spectrum of the adsorbate. Indeed, spectroscopy of the Au-S vibrations could play an important role to characterize the structure of various Au-S interfaces. Unfortunately this is hampered by the relative complexity of the vibrational signatures. Future theoretical studies are needed here. In addition, there are only few vibrational spectra available of clusters and good quality low-frequency vibrational spectra of thiolated SAMs are surprisingly scarce.

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