Energy & Environmental Science



COMMUNICATION

View Article Online



Cite this: Energy Environ. Sci., 2015. 8. 2644

Received 30th May 2015, Accepted 15th July 2015

DOI: 10.1039/c5ee01687h

www.rsc.org/ees

Interface engineering of the photoelectrochemical performance of Ni-oxide-coated n-Si photoanodes by atomic-layer deposition of ultrathin films of cobalt oxide†

Xinghao Zhou, ‡ab Rui Liu, ‡a Ke Sun, ‡ac Dennis Friedrich, ad Matthew T. McDowell, ac Fan Yang, ac Stefan T. Omelchenko, ab Fadl H. Saadi, ab Adam C. Nielander, c Sisir Yalamanchili, ab Kimberly M. Papadantonakis, ac Bruce S. Brunschwigae and Nathan S. Lewis*acef

Introduction of an ultrathin (2 nm) film of cobalt oxide (CoO_x) onto n-Si photoanodes prior to sputter-deposition of a thick multifunctional NiO_x coating yields stable photoelectrodes with photocurrent-onset potentials of \sim -240 mV relative to the equilibrium potential for O₂(g) evolution and current densities of \sim 28 mA cm $^{-2}$ at the equilibrium potential for water oxidation when in contact with 1.0 M KOH(aq) under 1 sun of simulated solar illumination. The photoelectrochemical performance of these electrodes was very close to the Shockley diode limit for moderately doped n-Si(100) photoelectrodes, and was comparable to that of typical protected Si photoanodes that contained np⁺ buried homojunctions.

Although SrTiO₃, KTaO₃, and TaON have been used in stable wired or "wireless" configurations to effect direct solar-driven water splitting, all known smaller-band-gap, non-oxide semiconductors require protection from corrosion for use in stable, intrinsically safe, efficient photoelectrosynthetic or photovoltaic-(PV) biased electrosynthetic water-splitting cells.^{2,3} When the protective layer fully prevents contact between the electrolyte and the semiconductor, effective charge separation in the light absorber requires a mechanism for establishing a significant electric field at the semiconductor surface. Semiconductor/metal

Broader context

Thick (>50 nm) multifunctional NiO_x coatings enable the use of smallband-gap non-oxide semiconductors as photoanodes in fully integrated. intrinsically safe, and efficient photoelectrosynthetic water-splitting systems. The equivalent open-circuit voltage generated by such protected n-type semiconductor heterojunction structures is however significantly lower than that obtained from np+ buried homojunctions. We demonstrate herein that deposition of a thin cobalt oxide film onto n-Si substrates prior to deposition of a thick multifunctional NiO_x coating significantly improves the performance of such protected n-type Si photoanodes. The approach provides a route to formation of stabilized, high-performance Si photoanodes without requiring the formation of buried np⁺ homojunctions, potentially simplifying the photoelectrode processing and thus reducing the cost of monolithically integrated solar-driven water-splitting devices.

Schottky barriers; 4,5 p-n homojunctions on planar electrodes, 6-10 spherical electrodes,11 and radial emitters on microwires;12 metal-insulator-semiconductor contacts; 13,14 in situ formation of emitter layers by carrier inversion; 15-18 heterojunctions; 19-21 and mixed barrier-height semiconductor/metal/oxide/liquid systems²² have all been investigated in either wired or "wireless" photoelectrosynthetic or PV-biased electrosynthetic systems. Generally tandem structures or triple junctions are required to provide the open-circuit voltage (V_{oc}) necessary to effect unassisted water splitting in either a wired or monolithically integrated ("wireless") configuration, 23-28 with noble metals or earthabundant electrocatalysts²⁸ used in the full water-splitting system. The electrode surfaces require both protection or stabilization against corrosion and the deposition of an effective catalyst for use in either the photoelectrochemical anodic (water oxidation) or cathodic (fuel formation) half reactions.

Ni-oxide films formed by reactive sputtering have recently been shown to form protecting layers on a variety of semiconductor surfaces, including Si, InP, amorphous hydrogenated Si (a-Si:H), and CdTe.²⁹⁻³¹ The NiO_r is optically transparent in the visible region and has an index of refraction that makes the

^a Joint Center for Artificial Photosynthesis, California Institute of Technology, Pasadena, CA 91125, USA, E-mail: nslewis@caltech.edu

^b Department of Applied Physics & Materials Science,

California Institute of Technology, Pasadena, CA 91125, USA

^c Division of Chemistry and Chemical Engineering,

California Institute of Technology, Pasadena, CA 91125, USA

^d Institute for Solar Fuels, Helmholtz-Zentrum Berlin für Materialien und Energie, Hahn-Meitner Platz 1, 14109 Berlin, Germany

^e Beckman Institute and Molecular Materials Research Center, California Institute of Technology, Pasadena, CA 91125, USA

^fKavli Nanoscience Institute, California Institute of Technology, Pasadena, CA 91125. USA

[†] Electronic supplementary information (ESI) available. See DOI: 10.1039/ c5ee01687h

[‡] These authors contributed equally.

NiO_x a near-optimal anti-reflective coating on a variety of semiconductor surfaces. Furthermore, NiOx is chemically stable at high pH, and upon activation forms a surface layer that is catalytic for the oxygen-evolution reaction (OER), with overpotentials of \sim 330 mV at 10 mA cm⁻² in 1.0 M KOH(aq).²⁹ NiO_x coatings on semiconductors that form passive films under photoanodic conditions have produced high photocurrent densities for the solardriven OER from water for months of continuous operation under simulated 1 Sun conditions. 29-31

However, due to nonoptimal energetics at the interface between the NiO_x and the semiconductor, formation of a direct heterojunction contact between the n-type absorber and the p-type NiO_x layer yields relatively low V_{oc} values.^{29–31} Significantly higher V_{oc} values for such stabilized systems have been obtained from electrodes formed by deposition of a NiOx coating onto a buried np⁺ homojunction.^{29,30} For example, freshly etched n-Si and np⁺-Si photoanodes protected by a multifunctional layer of NiOx yielded equivalent open-circuit voltages (see ESI†) of 180 mV and 510 mV, respectively.²⁹ The formation of heterojunctions between n-Si coated with a layer of SiO_x (either native or introduced by processing steps) and thin (<20 nm) films of Ni_{x}^{22} MnO_{x}^{32} and TiO_{2}^{33} offers some protection against corrosion to n-Si photoanodes, and in some cases yields photoanodes exhibiting $V_{\rm oc} \geq 500$ mV. The ideal regenerative solar-to-O₂ conversion efficiency³⁴ of these heterogeneous systems is relatively low compared to values exhibited by NiO_x protected np⁺-Si photoanodes.^{29,35} The solar-to-fuel conversion efficiency is thus limited when such protected photoanodes are used in a tandem photochemical diode design.^{3,36}

For technologically well-developed semiconductors such as Si and the III-V materials, p-n homojunctions can be formed to provide V_{oc} values that can approach the Shockley diode bulk recombination/diffusion limit.2,3 Many semiconductors of interest for use in photoelectrochemical cells, however, cannot be doped to form high-quality homojunctions. Moreover, the doping/diffusion process generally requires high temperatures, and adds complexity to the formation of a functional photoelectrode, relative to electrodeposition or spray pyrolysis of the active semiconductor layer onto a suitable substrate. For small grain-size polycrystalline films, dopants often migrate preferentially along grain boundaries, especially during the drive-in step, producing majority-carrier shunts that degrade the performance of the resulting photoelectrode.³⁷ Hence methods which allow large V_{oc} values and high efficiencies to be obtained from protected semiconductor photoelectrodes in contact with aqueous electrolytes, but which do not require the formation of diffused homojunctions, are desirable.

We demonstrate herein that introduction of a thin, compositionally controlled, interfacial cobalt oxide layer between the n-Si absorber and the protective, multifunctional NiO_x film can yield $V_{\rm oc}$ values close to the Shockley diode limit for moderately doped n-Si(100) photoelectrodes. The performance and stability of such materials used as PV-biased electrosynthetic systems for water oxidation are comparable to that observed from diffused Si np⁺ homojunctions protected by the NiO_x overlayer. Such "interfacial engineering" of the junction

energetics demonstrates that protection schemes can be implemented to yield high-performance photoelectrodes without the complexity of the requirement to form a diffused homojunction, while concurrently obtaining efficient separation of photogenerated charges in the semiconducting photoelectrode.

To form the desired interfacial layers, n-Si(100) (0.1–1 ohm cm resistivity, 525 µm thick) was first etched in a Radio Corporation of America Standard Clean-2 (RCA SC-2) etchant solution for 10 min at 75 °C to produce a thin SiO_r layer on the Si surface $(n-Si/SiO_{x,RCA})$. Thin films of CoO_x were then deposited by atomiclayer deposition (ALD) onto the Si/SiOx,RCA. After ALD growth of the CoO_x layer, NiO_x was deposited by reactive radio-frequency sputtering onto the CoO_x, with the Si substrates maintained at 300 °C (n-Si/SiO_{x,RCA}/CoO_x/NiO_x). Sixty ALD cycles of CoO_x deposition were found to optimize the photocurrent-onset potentials relative to the solution potential $E(Fe(CN)_6^{3-/4-})$ for the n-Si/ $SiO_{x,RCA}/CoO_x/NiO_x$ devices (Fig. S1, ESI†) and were thus used in the fabrication of all of the devices described herein.

Fig. 1A shows a high-resolution transmission-electron microscopy (TEM) image of the n-Si/SiO_{r RCA}/CoO_r/NiO_r interface. An amorphous ~ 2 nm thick layer of SiO_x was observed on the surface of the crystalline Si, with a 2-3 nm thick layer of CoO_x between the SiO_x and NiO_x layers. The low difference in contrast between the CoO_x and NiO_x layers is due to the similar densities of the metal oxide films. Fig. 1B shows the results of a scanning transmission-electron microscopy (STEM) energy-dispersive

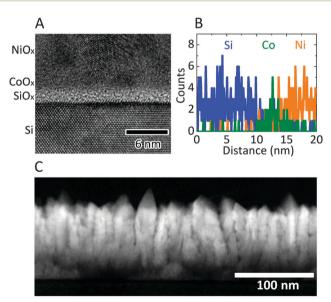


Fig. 1 (A) High-resolution transmission-electron microscope (TEM) image of a cross-section of an n-Si/SiO_{x,RCA}/CoO_x/NiO_x sample. The lighter region at the surface of the Si is SiO_x . The CoO_x layer is incorporated into the highly polycrystalline region between the SiO_x and the larger NiO_x grains at the top of the image. (B) Energy-dispersive spectroscopy (EDS) line-scan across the Si/SiO_{x,RCA}/CoO_x/NiO_x interface in which the Kα X-rays from Si, Co, and Ni are displayed as a function of distance. (C) Low-magnification HAADF-STEM cross-sectional image of an $n-Si/SiO_{x,RCA}/CoO_x/NiO_x$ electrode. The bright film is the polycrystalline NiO_x layer, which grew in a columnar fashion with vertical grain boundaries. The Si wafer is the dark layer at the bottom of the

spectroscopy (EDS) line scan across the n-Si/SiO_{x,RCA}/CoO_x/NiO_x interface. A Co X-ray signal was evident at the interface between the Si and Ni signals, and confirmed the presence of the thin CoO_r layer, which was also detected using X-ray photoelectron spectroscopy (XPS) (Fig. S2A and B, ESI†). Peak-fitting of the XP spectra in the Co $2p_{3/2}$ region showed the co-presence of Co(II) and Co(III), possibly in the forms of CoO, Co2O3, Co3O4 and Co(OH)₂/CoOOH.^{38,39} Grazing incidence X-ray diffractometry (GIXRD) showed that the film was polycrystalline with peak positions consistent with Co₃O₄, and indicated that annealing the film under the sputtering conditions used for deposition of the NiO_x did not result in any significant changes to the structure, crystallinity, or preferred orientation of the film (Fig. S2C, ESI†). The root-mean-squared (rms) surface roughness of the n-Si/SiOx,RCA and n-Si/SiOx,RCA/CoOx surfaces was 0.403 nm and 0.453 nm, respectively (Fig. S3, ESI†). A lowmagnification high-angle annular dark-field (HAADF) STEM image of a cross-section of the n-Si/SiO_{x,RCA}/CoO_x/NiO_x film (Fig. 1C) showed that the NiO_x film consisted of short columns with an average diameter of ~20 nm and an average height of ~ 102 nm, with a mean density of ~ 2500 columns μm^{-2} .

Fig. 2A shows the current-density versus potential (J-E) behavior of n-Si/SiO_{x,RCA}/NiO_x photoanodes with and without an interfacial layer of CoOx, in contact with 1.0 M KOH(aq), illuminated by 1 sun of simulated solar illumination, and without correction for resistance losses in the system. The photocurrentonset potentials were -239 ± 3 mV and -74 ± 12 mV relative to the formal potential for water oxidation $(E^{o'}(O_2/H_2O) = 1.23 \text{ V})$ versus a reversible hydrogen electrode, RHE, at pH = 14) for the $n-Si/SiO_{x,RCA}/CoO_x/NiO_x$ and $n-Si/SiO_{x,RCA}/NiO_x$ photoanodes, respectively, with three electrodes of each type measured. Thus, the presence of the interfacial CoO_x layer between the n-Si/ $SiO_{x,RCA}$ and the NiO_x resulted in a -165 mV shift in the photocurrent-onset potential of the n-Si/SiO_{x,RCA}/CoO_x/NiO_x photoanode relative to the n-Si/SiO_{x,RCA}/NiO_x electrode that did not contain the CoO_x layer. The J-E behavior for the n-Si/SiO_{x,RCA}/CoO_x/NiO_x electrode exhibited a larger slope (\sim 140.0 mA cm $^{-2}$ V $^{-1}$ measured between 1.00 V and 1.15 V versus RHE) than the J-E behavior of the n-Si/SiO_{x,RCA}/NiO_x electrode (slope ~100 mA cm⁻² V⁻¹ measured between 1.25 V and 1.45 V vs. RHE). The increased slope is attributable to a reduced series resistance and/or reduced surface recombination velocity, which could indicate that the CoO_x layer prevents further oxidation of the Si and/or damage to the existing $SiO_{x,RCA}$ junction layer during sputter-deposition of the NiO_x film. The photocurrent density for the n-Si/SiO_{x,RCA}/CoO_x/NiO_x photoanode structure was 27.7 \pm 0.4 mA cm⁻² at $E^{o'}(O_2/H_2O)$, and the solar-to-O₂(g) ideal regenerative-cell conversion efficiency³⁴ (η_{IRC} , see ESI†) was $2.1 \pm 0.2\%$, while for the n-Si/SiO_{x,RCA}/NiO_x photoanode structure the photocurrent density was 6.3 \pm 1.5 mA cm⁻² at $E^{0'}(O_2/H_2O)$, and the η_{IRC} for solar-to- $O_2(g)$ was 0.11 \pm 0.04%, each with three electrodes of each type tested. A load-line analysis based on an equivalent-circuit model consisting of a photodiode connected in series with a dark electrolysis cell indicated that obtaining a shift in the *J–E* behavior equivalent to that observed for the n-Si/SiO_{x,RCA}/CoO_x/NiO_x photoanode

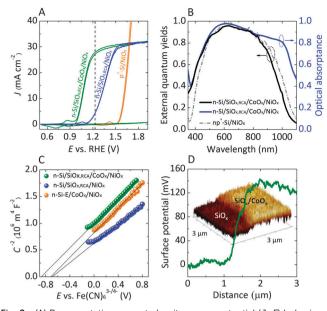


Fig. 2 (A) Representative current-density *versus* potential (J-E) behavior of n-Si/SiO $_{x,RCA}$ /CoO $_x$ /NiO $_x$ and n-Si/SiO $_{x,RCA}$ /NiO $_x$ photoanodes in contact with 1.0 M KOH(ag) in the dark (see ESI†) and under 100 mW cm⁻² of simulated AM1.5G solar illumination. The J-E behavior of a non-photoactive p⁺-Si/NiO_x electrode is also shown. The dark dashed line indicates the formal potential for water oxidation, $E^{o'}(O_2/H_2O)$. (B) Wavelength-dependent external quantum yield for n-Si/SiO_{x,RCA}/CoO_x/NiO_x (black) and np⁺-Si/NiO_x (gray dash and dot) photoanodes in contact with 1.0 M KOH(ag) and held potentiostatically at 1.93 V versus a reversible hydrogen electrode (RHE) while illuminated by light that had been passed through a monochromator. The data for the np⁺-Si/NiO_x photoanode are extracted from ref. 29. The optical absorptance for the n-Si/SiO_{x,RCA}/CoO_x/NiO_x photoanode is shown in blue. (C) Mott-Schottky (C^{-2} vs. E) plots of the inverse of the differential capacitance of the electrode vs. potential for an n-Si/SiOx,RCA/NiOx photoanode (blue), an n-Si-E/CoO_x/NiO_x photoanode (orange, n-Si-E indicates the n-Si was freshly etched in a buffered HF solution before the next processing step), and an $n-Si/SiO_{x,RCA}/CoO_x/NiO_x$ photoanode (green). (D) Kelvin probe force microscopy images showing the change in potential as the probe was scanned from the n-Si/SiO_{x,RCA} surface to the n-Si/SiO_{x,RCA}/CoO_x surface.

relative to the p^+ -Si/NiO $_x$ anode would require a 12.3 \pm 0.3% efficient photodiode with a $V_{\rm oc}$ of 565 \pm 3 mV and a short-circuit photocurrent density ($J_{\rm sc}$) of 32.5 \pm 0.3 mA cm $^{-2}$.³⁴ The performance of the n-Si/SiO $_{\rm x,RCA}$ /CoO $_{\rm x}$ /NiO $_{\rm x}$ photoanode was modestly better than that reported for a buried homojunction p^+ n-Si electrode that had been freshly etched and directly sputtered with NiO $_{\rm x}$ (photocurrent-onset potential of -180 ± 20 mV relative to $E^{o'}$ (O $_{\rm 2}$ /H $_{\rm 2}$ O) and a photocurrent density of 29 \pm 1.8 mA cm $^{-2}$ at $E^{o'}$ (O $_{\rm 2}$ /H $_{\rm 2}$ O), and was significantly improved relative to the performance of an HF-etched n-Si electrode that had been directly sputtered with NiO $_{\rm x}$ (photocurrent-onset potential of +150 \pm 20 mV relative to $E^{o'}$ (O $_{\rm 2}$ /H $_{\rm 2}$ O) and negligible photocurrent density at $E^{o'}$ (O $_{\rm 2}$ /H $_{\rm 2}$ O)).²⁹

With these same n-Si substrates at a comparable light-limited current density, a high-quality semiconductor/liquid junction formed between a freshly etched n-Si photoanode and a non-aqueous solution containing a reversible, one-electron redox couple (e.g., CH₃OH-0.20 M 1,1'-dimethylferrocene (Me₂Fc⁰)-0.010 M Me₂Fc⁺) which forms an *in situ* emitter by virtue of

carrier inversion40 yielded a photocurrent-onset potential of -640 mV relative to the solution potential $E(\text{Me}_2\text{Fc}^{+/0})$ (Fig. S4, ESI \dagger). We therefore expect that improvements upon the 0.56 V $V_{\rm oc}$ yielded by the n-Si/SiO_{x,RCA}/CoO_x/NiO_x structure could be obtained through decreasing the defect densities at the Si surface and by yet further increases in the band bending in the Si.

Fig. 2B shows the wavelength-dependent external quantum yield (Φ_{ext}) for electrons collected from n-Si/SiO_{x,RCA}/CoO_x/NiO_x and np⁺-Si/NiO_x²⁹ photoanodes, respectively, in contact with 1.0 M KOH(aq) while under potentiostatic control at 1.93 V versus RHE. Fig. 2B also displays the observed absorptance spectrum of an n-Si/SiO_{x,RCA}/CoO_x/NiO_x photoanode in air for light at normal incidence. The shape of the Φ_{ext} versus wavelength behavior for the n-Si/SiO_{x,RCA}/CoO_x/NiO_x electrode was consistent with the absorptance spectrum of the NiOx-coated Si substrate measured in air.²⁹ The n-Si/SiO_{x,RCA}/CoO_x/NiO_x photoanodes exhibited higher $\Phi_{\rm ext}$ values at wavelengths < 500 nm relative to those of np⁺-Si/NiO_x photoanodes, indicating that the n-Si/SiO_{x,RCA}/CoO_x/ NiO_x heterojunction had lower parasitic absorption losses in the near-surface layer. In the homojunction device, short-wavelength light is significantly absorbed by the thin, non-photoactive, highly doped emitter layer,29 while in commercial high-efficiency Si photovoltaic devices, short-wavelength light is absorbed primarily by the heterogeneous passivation layers. 41 For the n-Si/SiO_{x RCA}/ CoO_x/NiO_x photoanodes, Φ_{ext} was > 0.9 in the wavelength range of 550–780 nm, which compared favorably to $\Phi_{\rm ext}$ values of ≤ 0.75 across the wavelength range of 400-1100 nm reported for n-Si/ SiO_{x,RCA}/TiO₂/Ni photoanodes measured under similar conditions. 42 The increased $\Phi_{\rm ext}$ for the NiO_x-coated photoanodes at wavelengths > 550 nm was due to the anti-reflective behavior of the NiO_x coating. Consistently, NiO_x -coated n-Si photoanodes produced light-limited current densities under 1 Sun simulated AM1.5 illumination that were ~ 4.5 mA cm⁻² greater the lightlimited current densities observed under such conditions from n-Si/SiO_{x,RCA}/TiO₂/Ni photoanodes.

Electrochemical impedance spectroscopy was used to determine the differential capacitance (C) of the n-Si/SiO_{x,RCA}/NiO_x, n-Si-E/CoO_x/NiO_x (where n-Si-E indicates n-Si which was freshly etched in a buffered HF solution before the next processing step), and n-Si/SiO_{x,RCA}/CoO_x/NiO_x electrodes, with Mott-Schottky plots $(C^{-2} \text{ vs. } E)$ indicating flat-band potentials $(V_{\rm fb})$ of $-0.67 \pm 0.02 \text{ V}$, $-0.69 \pm 0.03 \text{ V}$, and $-0.83 \pm 0.02 \text{ V}$ versus $E(\text{Fe}(\text{CN})_6^{3-/4-})$, respectively (Fig. 2C). The slopes in the linear regions of the C^{-2} vs. E plots yielded a doping density of $\sim 10^{17}$ cm⁻³ for all electrodes, which implies a corresponding resistivity of ~ 0.09 ohm cm, close to the range of 0.1-1 ohm cm specified by the manufacturer of the Si wafer. Kelvin-probe force microscopy (KPFM, Fig. 2D) showed that the work function of the CoO_x layer was 120 mV greater than that for n-Si/SiOx,RCA surfaces, indicating that the energy-band structure at the n-Si/SiO_{x,RCA}/CoO_x interface was significantly different than that at the n-Si/SiO_{x,RCA} interface. The negative shift in $V_{\rm fb}$ for the n-Si/SiO_{x,RCA}/CoO_x/NiO_x electrode relative to the n-Si/SiO_{x,RCA}/NiO_x electrode is in accord with the KPFM data, as well as with the J-E behavior observed in 1.0 M KOH(aq) (Fig. 2A). The barrier height within the Si of n-Si/SiO_{x,RCA}/ $\text{CoO}_x/\text{NiO}_x$ electrodes as calculated from the 0.83 \pm 0.02 V flat-band

potential, was 0.98 ± 0.02 V (see ESI†), close to the band gap of Si. This large band bending would likely result in the formation of a strong inversion layer at the surface of n-Si, 43 and thus would result in large observed photovoltages due to the associated improvements in charge-carrier separation and collection, as well as due to a reduction in the rate of electron-hole recombination and improvement in diffusion of charge carriers.⁴⁴

The reverse-saturation current density and the diode quality factor for the n-Si/SiO_{x,RCA}/CoO_x/NiO_x photoanode, extracted by a linear fit of the dependence of the photocurrent-onset potentials relative to $E(Fe(CN)_6^{3-/4-})$ on the logarithm of the photocurrent density ($J_{\rm ph}$) (Fig. S5, ESI†), were 1.50 \times 10⁻⁷ mA cm⁻² and 1.09, respectively. The diffusion current was $\sim 10^{-10}$ mA cm⁻², thus the thermionic emission current was the dominant contributor to the reverse-saturation current. Given a Richardson constant of 120 A cm⁻² K⁻² and a barrier height of 0.98 \pm 0.02 V (see ESI†), the transmission coefficient, α , was estimated to be on the order of unity.

Fig. 3A shows the chronopotentiometric data for an n-Si/ SiO_{r RCA}/CoO_r/NiO_r photoanode in contact with 1.0 M KOH(aq) and held at 1.63 V versus RHE while under simulated 1 Sun illumination of 100 mW cm⁻². The current density was $30 \pm 2 \text{ mA cm}^{-2}$ for 1700 h of continuous operation, at which point the experiment was stopped. Cyclic voltammograms were collected every 10 h during the stability test (Fig. 3B), and

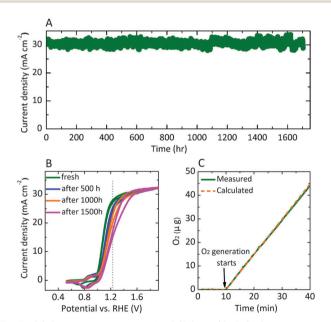


Fig. 3 (A) Chronoamperometry of $n-Si/SiO_{x,RCA}/CoO_x/NiO_x$ photoanodes biased at 1.63 V vs. RHE under 1 Sun of simulated 1.5G solar illumination from an ENH-type tungsten-halogen lamp. (B) Representative J-E behavior for an n-Si/SiO_{x,RCA}/CoO_x/NiO_x photoanode in contact with 1.0 M KOH(aq) under 100 mW cm⁻² of AM1.5G simulated solar illumination collected before, and after 500 h, 1000 h and 1500 h of continuous operation at 1.63 V vs. RHE. (C) Mass of $O_2(g)$ generated (green line) by an n-Si/Si $O_{x,RCA}$ / CoO_x/NiO_x photoanode held at a constant current density of 0.5 mA cm⁻ for 30 min while under AM1.5G simulated illumination and in contact with 1.0 M KOH(aq), as determined by a calibrated O2 probe and as calculated based on the charge passed assuming 100% Faradaic efficiency for O2 generation (orange dashed line).

showed that the *I–E* behavior for the photoanode gradually shifted positively throughout the experiment. The photocurrent-onset potential relative to $E^{o'}(O_2/H_2O)$ shifted from -239 mV to -214 mV, -198 mV, and -185 mV while the photocurrent density at $E^{o'}(O_2/H_2O)$ decreased from 27.9 mA cm⁻² to 24.6 mA cm⁻², 21.4 mA cm⁻² and 16.2 mA cm⁻² after 500 h, 1000 h and 1500 h respectively. Hence the solar-to- $O_2(g)$ value for η_{IRC}^{34} decreased from 2.2% to 1.5%, 1.1%, and 0.74% after 500 h, 1000 h and 1500 h of operation, respectively. The gradual decrease in performance may result from the generation of SiO_x islands at pinholes in the sputtered NiO_x film and/or from an increase in resistivity arising from thickening of the SiO_x layer in the interface, as well as from the slow electrochemical conversion of CoO_r to Co(OH)₂ and then to ion-permeable CoOOH (Fig. S6, ESI†) and the loss of catalytic activity. The stability of n-Si/SiO_{x,RCA}/CoO_x/NiO_x photoanodes was comparable to the reported stability of np+Si/NiOx photoanodes,²⁹ demonstrating that the interfacial CoO_x layer did not adversely affect the stability of the NiO_x coating.

Fig. 3C shows the mass of O2(g) generated, as determined using a calibrated oxygen probe, by an n-Si/SiO_{x,RCA}/CoO_x/NiO_x photoanode in contact with 1.0 M KOH(aq) under galvanostatic control for 30 min at a current density of 0.5 mA cm⁻². The measured mass of O2(g) was in agreement with that calculated based on the charge passed, assuming 100% Faradaic efficiency for the generation of O₂(g). The total charge passed during the stability test was 1×10^7 greater than the total charge needed to dissolve the CoO_x interfacial layer, and was 1×10^2 greater than the charge required to dissolve the entire Si substrate (see ESI†). Assuming a 20% solar capacity factor, the 1700 h of stable water oxidation measured for the n-Si/SiO_{x,RCA}/CoO_x/NiO_x photoanode in contact with 1.0 M KOH(aq) represented the same amount of anodic charge density as would be passed in approximately one year of operation in the field at a maximum photocurrent density of 30 mA cm⁻².

The thin layer of chemically grown silicon oxide present on the n-Si substrates prior to further processing by ALD and sputtering contributes to the improvement in performance observed when comparing n-Si/SiO_{x,RCA}/CoO_x/NiO_x photoanodes to n-Si/CoO_x/NiO_x photoanodes (Fig. 2C and Fig. S7, ESI†). Both ALD and sputtering result in the growth of a thin layer of SiO_x on freshly etched silicon surfaces. The properties of the SiO_r layers produced by the different processing methods vary, and affect the performance of devices. The chemically grown SiO_x layer may result in fewer trap states at the Si/SiO_x interface than are produced by the sputtered SiO_x , and/ or may serve to protect the underlying silicon from arcs and surface roughening during the RF sputtering process. In addition, the SiO_x layer likely contributes to the initiation and conformity of the ALD growth process for the CoO_x interfacial films, which on freshly etched Si surfaces would be expected to proceed via inhomogeneous island growth that can result in rough films as well as in interfacial silicon oxides or silicates, 45 and in reduced values for the built-in voltage and photovoltage of the junction.

Although this work has focused on the use of interfacial layers of ALD-grown CoO_x , other transition-metal oxides, including FeO_x and NiO_x , also hold promise for use as interfacial layers on Si photoanodes protected by sputtered NiO_x (Fig. S7, ESI†).

This work clearly demonstrates that the introduction of ALD layers of cobalt oxide improves the equivalent open-circuit voltage of protected n-Si photoanodes to values comparable to that obtainable from photoanodes fabricated using np⁺ buried Si homojunctions. Interfacial cobalt oxide layers increase the band bending at the interface and thereby offer a route to high-performance photoanodes, potentially simplifying the photoelectrode processing and allowing for the use of inexpensive polycrystalline absorbers while maintaining high photoelectrode performance.

Author contribution

X.Z., R.L., K.S., K.M.P, B.S.B and N.S.L designed the experiments and wrote the manuscript. X.Z., R.L., K.S., D.F., M.T.M, F.Y., S.T.O., F.H.S., A.C.N., S.Y. performed the experiments.

Acknowledgements

This work was supported by the Joint Center for Artificial Photosynthesis, a DOE Energy Innovation Hub, supported through the Office of Science of the U.S. Department of Energy under Award Number DE-SC0004993. UV-VIS spectroscopy, atomic-force microscopy, and Kelvin probe force microscopy were performed at the Molecular Materials Resource Center (MMRC) of the Beckman Institute at the California Institute of Technology. ACN was supported by a Graduate Research Fellowship from the National Science Foundation. This work was additionally supported by the Gordon and Betty Moore Foundation under Award No. GBMF1225.

References

- 1 M. S. Wrighton, P. T. Wolczanski and A. B. Ellis, *J. Solid State Chem.*, 1977, 22, 17–29.
- 2 M. X. Tan, P. E. Laibinis, S. T. Nguyen, J. M. Kesselman, C. E. Stanton and N. S. Lewis, *Prog. Inorg. Chem.*, 1994, 41, 21–144.
- 3 M. G. Walter, E. L. Warren, J. R. McKone, S. W. Boettcher, Q. Mi, E. A. Santori and N. S. Lewis, *Chem. Rev.*, 2010, 110, 6446–6473.
- 4 K. Rajeshwar, N. R. de Tacconi and C. R. Chenthamarakshan, *Chem. Mater.*, 2001, **13**, 2765–2782.
- 5 F. R. F. Fan, G. A. Hope and A. J. Bard, *J. Electrochem. Soc.*, 1982, **129**, 1647–1649.
- 6 Y. Nakato, Y. Egi, M. Hiramoto and H. Tsubomura, *J. Phys. Chem.*, 1984, **88**, 4218–4222.
- 7 Y. Nakato, M. Yoshimura, M. Hiramoto, A. Tsumura, T. Murahashi and H. Tsubomura, *Bull. Chem. Soc. Jpn.*, 1984, 57, 355–360.
- 8 S. W. Boettcher, E. L. Warren, M. C. Putnam, E. A. Santori, D. Turner-Evans, M. D. Kelzenberg, M. G. Walter, J. R. McKone, B. S. Brunschwig, H. A. Atwater and N. S. Lewis, *J. Am. Chem. Soc.*, 2011, **133**, 1216–1219.
- 9 H. Morisaki, T. Watanabe, M. Iwase and K. Yazawa, *Appl. Phys. Lett.*, 1976, **29**, 338–340.

- 10 M. Matsumura, Y. Sakai, S. Sugahara, Y. Nakato and H. Tsubomura, Sol. Energy Mater., 1986, 13, 57-64.
- 11 J. D. Luttmer, D. Konrad and I. Trachtenberg, J. Electrochem. Soc., 1985, 132, 1054-1058.
- 12 E. L. Warren, J. R. McKone, H. A. Atwater, H. B. Gray and N. S. Lewis, Energy Environ. Sci., 2012, 5, 9653-9661.
- 13 G. Hodes, L. Thompson, J. Dubow and K. Rajeshwar, J. Am. Chem. Soc., 1983, 105, 324-330.
- 14 J. A. Switzer, J. Electrochem. Soc., 1986, 133, 722-728.
- 15 W. Kautek and H. Gerischer, Electrochim. Acta, 1981, 26, 1771-1778.
- 16 A. Heller, Acc. Chem. Res., 1981, 14, 154-162.

Communication

- 17 F. Gstrein, D. J. Michalak, W. J. Royea and N. S. Lewis, J. Phys. Chem. B, 2002, 106, 2950-2961.
- 18 D. V. Esposito, I. Levin, T. P. Moffat and A. A. Talin, Nat. Mater., 2013, 12, 562-568.
- 19 W. Siripala, A. Ivanovskaya, T. F. Jaramillo, S. H. Baeck and E. W. McFarland, Sol. Energy Mater. Sol. Cells, 2003, 77, 229-237.
- 20 M. Moriya, T. Minegishi, H. Kumagai, M. Katayama, J. Kubota and K. Domen, J. Am. Chem. Soc., 2013, 135, 3733-3735.
- 21 Y. J. Hwang, A. Boukai and P. D. Yang, Nano Lett., 2009, 9, 410-415.
- 22 M. J. Kenney, M. Gong, Y. G. Li, J. Z. Wu, J. Feng, M. Lanza and H. J. Dai, Science, 2013, 342, 836-840.
- 23 O. Khaselev and J. A. Turner, Science, 1998, 280, 425-427.
- 24 R. E. Rocheleau, E. L. Miller and A. Misra, Energy Fuels, 1998, 12, 3-10.
- 25 S. Licht, B. Wang, S. Mukerji, T. Soga, M. Umeno and H. Tributsch, J. Phys. Chem. B, 2000, 104, 8920-8924.
- 26 E. L. Miller, R. E. Rocheleau and X. M. Deng, Int. J. Hydrogen Energy, 2003, 28, 615-623.
- 27 S. Y. Reece, J. A. Hamel, K. Sung, T. D. Jarvi, A. J. Esswein, J. J. H. Pijpers and D. G. Nocera, Science, 2011, 334, 645-648.
- 28 Y. Yamada, N. Matsuki, T. Ohmori, H. Mametsuka, M. Kondo, A. Matsuda and E. Suzuki, Int. J. Hydrogen Energy, 2003, 28, 1167-1169.
- 29 K. Sun, M. T. McDowell, A. C. Nielander, S. Hu, M. R. Shaner, F. Yang, B. S. Brunschwig and N. S. Lewis, J. Phys. Chem. Lett., 2015, 6, 592-598.

- 30 K. Sun, Y. Kuang, E. Verlage, B. S. Brunschwig, C. W. Tu and N. S. Lewis, Adv. Energy Mater., 2015, 5, 1402276.
- 31 K. Sun, F. H. Saadi, M. Lichterman, W. G. Hale, H.-P. Wang, X. Zhou, N. T. Plymale, S. Omelchenko, J.-H. He, K. M. Papadantonakis, B. S. Brunschwig and N. S. Lewis, Proc. Natl. Acad. Sci. U. S. A., 2015, 112, 3612-3617.
- 32 N. C. Strandwitz, D. J. Comstock, R. L. Grimm, A. C. Nichols-Nielander, J. Elam and N. S. Lewis, J. Phys. Chem. C, 2013, 117, 4931-4936.
- 33 Y. W. Chen, J. D. Prange, S. Dühnen, Y. Park, M. Gunji, C. E. D. Chidsey and P. C. McIntyre, Nat. Mater., 2011, 10, 539-544.
- 34 R. H. Coridan, A. C. Nielander, S. A. Francis, M. T. McDowell, V. Dix, S. M. Chatman and N. S. Lewis, Energy Environ. Sci., 2015, DOI: 10.1039/C5EE00777A.
- 35 K. Sun, S. Shen, Y. Liang, P. E. Burrows, S. S. Mao and D. Wang, Chem. Rev., 2014, 114, 8662-8719.
- 36 A. J. Nozik, Appl. Phys. Lett., 1977, 30, 567-569.
- 37 A. Heller, Photoeffects at Semiconductor-Electrolyte Interfaces, American Chemical Society, Washington DC, 1981, vol. 146, ch. 4, pp. 57-77.
- 38 M. C. Biesinger, B. P. Payne, A. P. Grosvenor, L. W. M. Lau, A. R. Gerson and R. S. C. Smart, Appl. Surf. Sci., 2011, 257, 2717-2730.
- 39 J. Yang, H. Liu, W. N. Martens and R. L. Frost, J. Phys. Chem. C, 2010, **114**, 111-119.
- 40 M. L. Rosenbluth, C. M. Lieber and N. S. Lewis, Appl. Phys. Lett., 1984, 45, 423-425.
- 41 H.-P. Wang, K. Sun, S. Y. Noh, A. Kargar, M.-L. Tsai, M.-Y. Huang, D. Wang and J.-H. He, Nano Lett., 2015, 15, 2817-2824.
- 42 S. Hu, M. R. Shaner, J. A. Beardslee, M. Lichterman, B. S. Brunschwig and N. S. Lewis, Science, 2014, 344, 1005-1009.
- 43 R. Memming, Semiconductor Electrochemistry, Wiley, Weinheim, Federal Republic of Germany, 2001.
- 44 Z. Zhang and J. T. Yates, Chem. Rev., 2012, 112, 5520-5551.
- 45 M. M. Frank, Y. J. Chabal, M. L. Green, A. Delabie, B. Brijs, G. D. Wilk, M. Y. Ho, E. B. O. da Rosa, I. J. R. Baumvol and F. C. Stedile, Appl. Phys. Lett., 2003, 83, 740-742.