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# Nonseparable exchange–correlation functional for molecules, including homogeneous catalysis involving transition metals†

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The goal of this work is to develop a gradient approximation to the exchange–correlation functional of Kohn–Sham density functional theory for treating molecular problems with a special emphasis on the prediction of quantities important for homogeneous catalysis and other molecular energetics. Our training and validation of exchange–correlation functionals is organized in terms of databases and subdatabases. The key properties required for homogeneous catalysis are main group bond energies (database MGBE137), transition metal bond energies (database TMBE32), reaction barrier heights (database BH76), and molecular structures (database MS10). We also consider 26 other databases, most of which are subdatabases of a newly extended broad database called Database 2015, which is presented in the present article and in its ESI. Based on the mathematical form of a nonseparable gradient approximation (NGA), as first employed in the N12 functional, we design a new functional by using Database 2015 and by adding smoothness constraints to the optimization of the functional. The resulting functional is called the gradient approximation for molecules, or GAM. The GAM functional gives better results for MGBE137, TMBE32, and BH76 than any available generalized gradient approximation (GGA) or than N12. The GAM functional also gives reasonable results for MS10 with an MUE of 0.018 Å. The GAM functional provides good results both within the training sets and outside the training sets. The convergence tests and the smooth curves of exchange–correlation enhancement factor as a function of the reduced density gradient show that the GAM functional is a smooth functional that should not lead to extra expense or instability in optimizations. NGAs, like GGAs, have the advantage over meta-GGAs and hybrid GGAs of respectively smaller grid-size requirements for integrations and lower costs for extended systems. These computational advantages combined with the relatively high accuracy for all the key properties needed for molecular catalysis make the GAM functional very promising for future applications.

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## 1 Introduction

Kohn–Sham (KS) density functional theory has been very successful for electronic structure calculations in both physics and chemistry.<sup>1</sup> The accuracy of KS calculations depends on the quality of the exchange–correlation functional. The quest for quantum mechanical methods that can be accurately applied to study atomic, molecular, and material properties has resulted

in the design of exchange–correlation functionals with a variety of ingredients, costs, and accuracies, where the accuracy may depend strongly on the kind of property that is calculated. Exchange–correlation functionals that depend only on spin-up and spin-down electronic densities ( $\rho_\alpha$  and  $\rho_\beta$ ) are known as local spin density approximations (LSDAs), and ones that depend on both the spin densities and spin density gradients are called gradient approximations (GAs, in particular GGAs and NGAs). More complicated functionals include ingredients calculated from the orbitals (which are functionals of the density), in particular spin-up and spin-down local kinetic energy densities (as in meta-GGAs and meta-NGAs), nonlocal Hartree–Fock exchange (as in hybrid functionals), and/or nonlocal correlation (as in doubly hybrid functionals, which have both nonlocal exchange and nonlocal correlation). (One can also include nonlocal correlation without including nonlocal exchange.) Functionals depending only on local variables, such as spin densities, their gradients, and spin-specific local kinetic

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energy densities, are often called local (especially in the chemistry literature, while the physics literature often labels them as semilocal if they include density gradients or spin kinetic energy densities).

Even though the meta and nonlocal functionals can give more accurate results than GGAs and LSDAs, GAs are still of great interest for four reasons. First, GAs are widely implemented in many programs because of their ease of coding. Second, GAs often have better self-consistent field (SCF) convergence and smaller grid requirements than meta functionals. Third, calculations employing GAs are less expensive than calculations involving nonlocal functionals, with the difference being more pronounced for extended and large systems and when geometries are optimized.

The fourth reason for special interest in GAs is that local functionals often have better performance than hybrid functionals, on average, for systems with high multi-reference character. Multi-reference character is the extent to which a wave function is inherently multi-configurational so that a single Slater determinant does not provide a good starting point (reference function) for approximating the complete wave function. Although KS theory does not calculate the wave function of the interacting system, it does use a Slater determinant to represent the density, and calculating the exchange from the Slater determinant, as in Hartree–Fock exchange, can introduce static correlation error, a result of which is that it is often more challenging to obtain good approximations for multi-reference systems when Hartree–Fock exchange is included. (The unknown exact exchange–correlation energy functional includes nonlocal effects and does not have static correlation error, but the problem just mentioned is not completely solved by currently available functionals.) Multi-reference systems are sometimes called strongly correlated systems. Many open-shell systems and transition-metal systems have multi-reference character, and hence the ability to treat multi-reference systems is critical to the ability to treat many catalytic reaction mechanisms. Systems without high multi-reference character are called single-reference systems.

Most GAs have a form that separately approximates exchange and correlation, as first introduced by Langreth and Mehl<sup>2</sup> and usually called a generalized gradient approximation<sup>3</sup> (GGA); however, it has been shown that a nonseparable gradient approximation<sup>4</sup> (which has more flexibility at the cost of satisfying less exact constraints) is capable of performing well for a broader set of properties. The original NGA, called N12, was designed to give good predictions both of solid-state lattice constants and of cohesive energies and molecular atomization energies; it also gives good predictions of molecular bond lengths.<sup>4</sup> Here we show that we can get improved performance for barrier heights (which are important for studies of both uncatalyzed and catalyzed reactions) by relaxing the accuracy for lattice constants, which are not needed for molecular (as opposed to solid-state) processes. By diminishing the emphasis on obtaining good lattice constants we can obtain an exchange–correlation functional that may be more useful for treating many large and complex homogeneous and enzymatic catalysts that do not require the calculations on solid-state material.

A second goal of this work is to obtain improved results for compounds containing metal atoms, including transition metal compounds with high multi-reference character, by incorporating a greater amount of representative data for metal–ligand bond energies in the training set of a density functional. A third goal of the present work is to obtain a very smooth exchange–correlation functional by enforcing an unsmoothness penalty as part of the optimization process.

Combining these three goals, we have designed a new exchange–correlation functional called gradient approximation for molecules, or GAM, and this new functional is presented here. The GAM functional is an NGA, and so it depends only on spin densities and spin density gradients. The parameters of the GAM functional are optimized against a broad set of molecular and solid-state data in a new database called Database 2015, which is also presented here. We will show that the resulting GAM functional yields good results for main group bond energies, chemical reaction barrier heights, transition-metal bond energies, weak interaction energies between noble gas atoms, and bond lengths of diatomic molecules.

Section 2 describes the computational details. Section 3 describes Database 2015, for which complete information is given in the ESI.† Section 4 describes previously available functionals to be used for comparison. Section 5 describes the design and optimization of the GAM functional. Section 6 gives results; Section 7 provides discussion; and Section 8 summarizes the main conclusions.

## 2 Computational details

All the calculations in this paper were performed by a locally modified version<sup>5</sup> of the *Gaussian 09* program.<sup>6</sup> Ultrafine grids (“99,590”) are used to evaluate the exchange–correlation energies of our new GAM functional. We use the `stable = opt` keyword in *Gaussian 09*<sup>6</sup> to find the stationary solution to the Kohn–Sham equations by allowing symmetry breaking in the wave function if the symmetry-constrained solution is unstable. The periodic boundary condition (PBC) algorithm<sup>7</sup> in *Gaussian 09*<sup>6</sup> is used to calculate the lattice constants, cohesive energies, and semiconductor band gaps in our new Database 2015, which will be explained in the next section.

Besides testing the new functional on the training subset of Database 2015, we made several tests outside the training set. First we tested the new functional against subdatabases for semiconductor band gaps (SBG31) and solid-state cohesive energies (SSCE8), which are in Database 2015 but outside the training set. We also tested our functional against other data that is not in the training set. This data includes a recently published database WCCR for transition metal coordination reactions<sup>56</sup> (renamed here as WCCR10 for consistency with our general naming scheme), the enthalpies of binding of O<sub>2</sub> and N<sub>2</sub> to the metal–organic framework Fe<sub>2</sub>(dobdc), the binding of C<sub>2</sub>H<sub>4</sub> to Pd(PH<sub>3</sub>)<sub>2</sub>, Ag<sub>2</sub> dimer and FeC bond dissociation energies, transition metal dimer bond distances, and the Ar<sub>2</sub> potential energy curve.



For the WCCR10 database we use the same basis set (def2-QZVPP) and geometries as used in the original paper; these geometries, which were optimized by functional BP86,<sup>33,34</sup> are provided in the ESI† of the WCCR paper.<sup>56</sup>

For calculating the binding enthalpies of O<sub>2</sub> and N<sub>2</sub> bound to Fe<sub>2</sub>(dobdc), we used an 88-atom cluster model of the experimental structure of Fe<sub>2</sub>(dobdc) containing three iron centers. The details of this cluster and rationale for its design are described in our earlier work.<sup>8</sup> This cluster has three iron atoms, and here we studied binding at the central iron, which best represents the immediate environment around iron in the actual MOF. During optimization, the cluster of the MOF was frozen and the guest molecules (O<sub>2</sub> or N<sub>2</sub>) were allowed to relax. The binding enthalpies were calculated using the formula given in eqn (1) of ref. 8.

The binding energy of the Pd(PH<sub>3</sub>)<sub>2</sub>C<sub>2</sub>H<sub>4</sub> complex were computed using four basis sets. In all four basis sets, Pd atom has 18 active electrons and 28 core electrons that are replaced by an effective core potential. Basis set BS1 denotes the Stuttgart–Dresden–Dunning (SDD) basis set for Pd<sup>9</sup> and the cc-pVTZ basis set for P,<sup>10</sup> C, and H.<sup>11</sup> Basis set BS2 denotes the def2-TZVP basis set for Pd<sup>12</sup> and the cc-pVTZ basis set for P, C, and H. Basis set BS3 denotes the def2-TZVP basis set for Pd, the cc-pV(T+d)Z basis set for P,<sup>13,14</sup> and the cc-pVTZ basis set for C and H. Basis set BS4 denotes the def2-TZVP basis set for Pd, the maug-cc-pV(T+d)Z basis set for P,<sup>15</sup> the maug-cc-pVTZ basis set for C,<sup>15,16</sup> and the cc-pVTZ basis set for H.

One basis set was used for Ag dimer, namely jun-cc-pVTZ-PP,<sup>17–19</sup> one basis set was used for homonuclear transition metal bond distance, namely LanL2DZ,<sup>20–23</sup> and two basis sets were used for Ar dimer, namely the aug-cc-pVQZ<sup>10,24</sup> and aug-cc-pV6Z<sup>25</sup> basis sets.

### 3 Database 2015

Database 2015 is our new database for optimizing and testing density functionals. Compared to Database 2.0 that we used in previous work<sup>26</sup> the following changes are made:

We divide the previous bond energy databases according to two types of classification: (i) whether the molecule contains only main-group nonmetal atoms or it also contains main-group-metal atoms or transition-metal atoms; (ii) whether the molecule has single-reference character, *i.e.*, can be well described by a single configuration wave function, or multi-reference character, *i.e.*, cannot be so described. Then we added additional data to the underpopulated classes. Accordingly we have six new subdatabases for bond energies. These subdatabases are as follows (their shorthand names are in parentheses, where the final number in the shorthand name of a subdatabase is the number of data):

- single-reference main-group-metal bond energies (SR-MGM-BE9),
- single-reference main-group-nonmetal bond energies (SR-MGN-BE107),
- single-reference transition-metal bond energies (SR-TM-BE17),

- multi-reference main-group-metal bond energies (MR-MGM-BE4),
- multi-reference main-group nonmetal bond energies (MR-MGN-BE17),
- multi-reference transition-metal bond energies (MR-TM-BE15).

A new subdatabase called NGDWI21 has been added for noble-gas-dimer weak interactions. It comprises both homodimers and heterodimers.

We have added three new subdatabases for atomic excitation energies, namely

- 3d transition metal atomic excitation energies (3dAEE7),
- 4d transition metal atomic excitation energies (4dAEE5),
- p-block excitation energies (pEE5).

Two new subdatabases for p-block isomerization energies are added:

- 2p isomerization energies (2pIsoE4),
- 4p isomerization energies (4pIsoE4).

A new subdatabase for molecular geometries has been added; it is called diatomic geometries for heavy atoms (DGH4).

The above points summarize the main changes made to our previous database,<sup>26</sup> called Database 2.0. A complete list of the subdatabases included in Database 2015 is given in Table 1, which also shows the number of data in each category (the inverse weight column of this table will be explained in Section 5). The database is divided into primary subdatabases, and some of the primary subdatabases are further divided into secondary subdatabases. Complete details of the new database and its layers of subdatabases, including geometries and references for the included data and also the basis sets we use for calculations on the various subdatabases, are given in the ESI.†

### 4 Functionals for comparison

We compare our results to 22 previously available exchange–correlation functionals. Since GAM depends only on spin densities and spin density gradients, we compare our results mainly to GAs, in particular to 14 GGAs and the one previously available NGA. In a practical sense, three of the GGAs are corrected to second order in the density gradient expansion for exchange, and the other 11 are not. Altogether we compare to 20 local functionals of four types and to two hybrid functionals. The local functionals are an LSDA, namely GKSVMN5;<sup>27–29</sup> 14 GGAs, namely SOGGA,<sup>30</sup> PBEsol,<sup>31</sup> PBE,<sup>32</sup> BP86,<sup>33,34</sup> PW91,<sup>35</sup> BLYP,<sup>34,36</sup> mPWPW,<sup>37</sup> revPBE,<sup>38</sup> BPW91,<sup>34,35</sup> RPBE,<sup>39</sup> HCTH407,<sup>40</sup> SOGGA11,<sup>41</sup> OLYP,<sup>36,42</sup> and OreLYP;<sup>36,42,43</sup> an NGA, namely N12;<sup>4</sup> and four meta-GGAs, namely TPSS,<sup>44</sup> revTPSS,<sup>45</sup> M06-L,<sup>46</sup> and M11-L.<sup>47</sup> For context we also compare to two popular hybrid functionals, namely a global-hybrid GGA, B3LYP;<sup>42,48,49</sup> and a range-separated hybrid GGA, HSE06.<sup>50,51</sup> All these functionals are listed in Table 2 with the type, the percentage of Hartree–Fock exchange, the year, and the original reference. A more complete comparison of gradient approximations to more advanced functionals of the meta-GGA, meta-NGA, and hybrid type is found elsewhere<sup>26</sup> and will not be repeated here, where our emphasis is on gradient approximations for the four



Table 1 Databases included in Database 2015<sup>a,b</sup>

<i>n</i>	Primary subset	Secondary	Description	$I_n^c$	Ref.
ME417					
1	SR-MGM-BE9		Single-reference main-group metal bond energies	2.00	
		SRM2	Single-reference main-group bond energies		26
		SRMGD5	Single-reference main-group diatomic bond energies		26 and 65
		3dSRBE2	3d single-reference metal–ligand bond energies		66
2	SR-MGN-BE107		Single-reference main-group nonmetal bond energies	0.20	26
3	SR-TM-BE17		Single-reference TM <sup>d</sup> bond energies	3.15	
		3dSRBE4	3d single-reference metal–ligand bond energies		66
		SRMBE10	Single-reference metal bond energies		26
		PdBE2	Palladium complex bond energies		67
		FeCl	FeCl bond energy		68
4	MR-MGM-BE4		Multi-reference main-group metal bond energies	4.95	65
5	MR-MGN-BE17		Multi-reference main-group nonmetal bond energies	1.25	26
6	MR-TM-BE13		Multi-reference TM bond energies	0.76	
		3dMRBE6	3d multi-reference metal–ligand bond energies		66
		MRBE3	Multi-reference bond energies		26
		Remaining	Bond energies of remaining molecules: CuH, VO, CuCl, NiCl		68
7	MR-TMD-BE2		Multi-reference TM dimer bond energies (Cr <sub>2</sub> and V <sub>2</sub> )	10.00	26
8	IP23		Ionization potentials	5.45	26 and 69
9	NCCE30		Noncovalent complexation energies	0.10	26 and 70–73
10	NGDWI21		Noble gas dimer weak interactions	0.01	26 and 74
11	3dAEE7		3d TM atomic excitation energies	0.40	69 and 75
12	4dAEE5		4d TM atomic excitation energies	6.90	76
13	pEE5		p-block excitation energies	1.74	77
14	4pIsoE4		4p isomerization energies	8.00	78
15	2pIsoE4		2p isomerization energies	7.81	78
16	IsoL6/11		Isomerization energies of large molecules	2.00	26
17	EA13/03		Electron affinities	2.96	26
18	PA8		Proton affinities	2.23	26
19	πTC13		Thermochemistry of π systems	5.75	26
20	HTBH38/08		Hydrogen transfer barrier heights	0.25	26
21	NHTBH38/08		Non-hydrogen transfer barrier heights	0.80	26
22	AE17		Atomic energies	10.22	26
23	HC7/11		Hydrocarbon chemistry	6.48	26
24	DC9/12		Difficult cases	10.00	26
MS10					
25	DGL6		Diatomic geometries of light-atom molecules	0.01	26
26	DGH4		Diatomic geometries of heavy-atom molecules: ZnS, HBr, NaBr	0.01	79
			Diatomic geometry of Ag <sub>2</sub>	0.0013	80
SS17					
27	LC17		Lattice constants	0.013	26
SSE39					
28	SBG31		Semiconductor band gaps	NA <sup>e</sup>	26
29	SSCE8		Solid-state cohesive energies	NA	26
WCCR10					
30	WCCR10 <sup>a</sup>		Ligand dissociation energies of large cationic TM complexes	NA	56

<sup>a</sup> Databases 1–27 were used with various inverse weights in training, and databases 1–29 constitute Database 2015. Database 30 is from T. Weymuth *et al.* (ref. 56), and – like databases 28 and 29 – it was used only for testing. <sup>b</sup> In the name of a database or subdatabase, the number at the end of the name or before the solidus is the number of data. For example, ME417, SR-MGM-BE9, IsoL6/11, and DGH4 contain respectively 417, 9, 6, and 4 data. <sup>c</sup> Inverse weights with units of kcal mol<sup>−1</sup> per bond for databases 1–7, kcal mol<sup>−1</sup> for databases 8–24, and Å for databases 25–27. <sup>d</sup> TM denotes transition metal. <sup>e</sup> NA denotes not applicable.

reasons stated in the introduction, so comparisons to more advanced functionals are limited here to providing context.

## 5 Design and optimization of the GAM functional

The general functional form of GAM is the same as N12,<sup>4</sup> which has the flexibility to approximate both exchange and correlation effects in terms of spin density  $\rho_\sigma$  and reduced spin density

gradient  $x_\sigma$ . In order to design a good functional, we use a broad molecular and solid-state database to optimize the parameters of the functional, and we also add smoothness constraints to our optimization. We will discuss the functional form in Sections 5.1–5.3 and the optimization of the functional in Section 5.4.

### 5.1 Functional form

The exchange–correlation energy  $E_{xc}$  of the GAM functional is the sum of nonseparable exchange–correlation component  $E_{nxc}^{NSGA}$  and an additional term that is nominally treated as a



Table 2 Exchange–correlation functionals tested in this paper

Category	$X^a$	Type	Year	Method	Ref.
Local	0	LSDA	1980	GKSVWN5 <sup>b</sup>	27–29
	0	GGA – correct to 2nd order in exchange	2008	SOGGA	30
	0		2008	PBEsol	31
	0		2011	SOGGA11	41
	0	GGA – other	1988	BP86	33 and 34
	0		1988	BLYP	34 and 36
	0		1991	PW91 <sup>c</sup>	35
	0		1991	BPW91	34 and 35
	0		1996	PBE	32
	0		1997	mPWPW	37
	0		1997	revPBE	38
	0		1999	RPBE	39
	0		2000	HCTH407	40
	0		2001	OLYP	36 and 42
	0		2009	OreLYP	36, 42 and 43
	0	NGA	2012	N12	26 and 74
	0		2015	GAM	Present
	0	Meta-GGA	2003	TPSS	44
	0		2006	M06-L	46
0		2009	revTPSS	45	
0		2011	M11-L	47	
Nonlocal	20	Global hybrid GGA	1994	B3LYP	42 and 48
	0–25	Range-separated hybrid GGA	2009	HSE06	50 and 51

<sup>a</sup>  $X$  is the percentage of nonlocal Hartree–Fock exchange. When a range is given, the first value is for small interelectronic distances, and the second value is for large interelectronic distances. Details of the functional form that joins these regions of interelectronic separation are given in the references. <sup>b</sup> GVWN5 denotes the Gáspár approximation for exchange and the VWN5 fit to the correlation energy; this is an example of the local spin density approximation (LSDA), and it has the keyword SVWN5 in the *Gaussian 09* program. Note that Kohn–Sham exchange is the same as Gáspár exchange, but Slater exchange (not tested here) is greater by a factor of 1.5. <sup>c</sup> PW91 formally satisfies the gradient expansion for exchange to second order but only at such small values of the gradient that for practical purposes it should be grouped with functionals that do not satisfy the gradient expansion to second order.

correlation energy  $E_c$ . Typically one writes the first component as  $E_x$ , however, we label it as  $E_{\text{nxc}}^{\text{NSGA}}$  to show that it is a nonseparable approximation involving both exchange and correlation. Since we optimize the functional empirically and do not enforce the factorizable form on the first term, the first term also represents part of correlation energy, and similarly the second term is not purely correlation. Both terms must also include an empirical contribution required to account for the difference of the exact electronic kinetic energy from that computed from the orbitals of the Kohn–Sham determinant. The philosophy used in designing the functional form is consistent with the statement of Tozer and Handy that “The functionals represent exchange and correlation effects in a combined manner. Individual exchange and correlation terms cannot be isolated”.<sup>52</sup> Our total exchange–correlation functional is

$$E_{\text{xc}} = E_{\text{nxc}}^{\text{NSGA}} + E_c \quad (1)$$

where

$$E_{\text{nxc}}^{\text{NSGA}} = \sum_{\sigma=\alpha}^{\beta} \int \text{d}r \Gamma_{\text{nxc}\sigma}^{\text{NSGA}}(\rho_{\sigma}, x_{\sigma}) \quad (2)$$

$$E_c = E_{\text{c}\alpha\beta} + \sum_{\sigma=\alpha}^{\beta} E_{\text{c}\sigma\sigma} \quad (3)$$

## 5.2 Nonseparable exchange–correlation functional form

In eqn (2), the nonseparable energy density is written as

$$\Gamma_{\text{nxc}\sigma}^{\text{NSGA}} = \varepsilon_{\text{x}\sigma}^{\text{UEG}}(\rho_{\sigma}) F_x(\rho_{\sigma}, x_{\sigma}) \quad (4)$$

where  $F_x$  is the exchange enhancement factor, which in the present paper is defined as

$$F_x = \sum_{i=0}^m \sum_{j=0}^{m'} a_{ij} u_{\text{x}\sigma}^i v_{\text{x}\sigma}^j \quad (5)$$

where  $\rho_{\sigma}$  stands for the spin density,  $u_{\text{x}\sigma}$  and  $v_{\text{x}\sigma}$  are finite variables defined by

$$u_{\text{x}\sigma} = \gamma_{\text{x}\sigma} x_{\sigma}^2 / (1 + \gamma_{\text{x}\sigma} x_{\sigma}^2) \quad (6)$$

$$v_{\text{x}\sigma} = \omega_{\text{x}\sigma} \rho_{\sigma}^{1/3} (1 + \omega_{\text{x}\sigma} \rho_{\sigma}^{1/3}) \quad (7)$$

$x_{\sigma}$  stands for reduced spin density gradient, for which we use the definition of Becke:<sup>34</sup>

$$x_{\sigma} = \frac{|\nabla \rho_{\sigma}|}{\rho_{\sigma}^{4/3}} \quad (8)$$

$\varepsilon_{\text{x}\sigma}^{\text{UEG}}$  stands for the uniform electron gas energy, which is calculated by<sup>27,28</sup>

$$\varepsilon_{\text{x}\sigma}^{\text{UEG}} = -\frac{3}{2} \left( \frac{3}{4\pi} \right)^{1/3} \rho_{\sigma}^{4/3} \quad (9)$$

$\gamma_{\text{x}\sigma}$  and  $\omega_{\text{x}\sigma}$  are unitless parameters taken to have the same values as the ones in N12,<sup>4</sup> namely  $\gamma_{\text{x}\sigma} = 0.004$  and  $\omega_{\text{x}\sigma} = 2.5$ , and the  $a_{ij}$  are unitless parameters to be determined. Since both  $\rho_{\sigma}$  and  $x_{\sigma}$  range over  $[0, \infty)$ , the dependent variables  $u_{\text{x}\sigma}$  and  $v_{\text{x}\sigma}$  range over  $[0, 1]$ .

A GGA exchange functional can be written like eqn (4) but where the enhancement factor  $F_x$  depends only on the reduced



spin density gradient  $x_\sigma$ . For an NGA we allow the enhancement factor to depend also on the spin density  $\rho_\sigma$ .

### 5.3 Additional correlation functional form

In eqn (3), the correlation functional has two parts. One is the contribution  $E_{c\alpha\beta}$  from opposite spins, and the other is the contribution  $E_{c\sigma\sigma}$  from same spins. These two contributions are defined by

$$E_{c\alpha\beta} = \int dr \varepsilon_{c\alpha\beta}^{\text{UEG}} \left\{ \sum_{i=0}^n b_i u_{c\alpha\beta}^i \right\} \quad (10)$$

$$E_{c\sigma\sigma} = \int dr \varepsilon_{c\sigma\sigma}^{\text{UEG}} \left\{ \sum_{i=0}^{n'} c_i u_{c\sigma\sigma}^i \right\} \quad (11)$$

where  $b_i$  and  $c_i$  are unitless parameters to be determined,

$$u_{c\alpha\beta} = \frac{\gamma_{c\alpha\beta} x_{\text{avg}}^2}{1 + \gamma_{c\alpha\beta} x_{\text{avg}}^2} \quad (12)$$

$$u_{c\sigma\sigma} = \frac{\gamma_{c\sigma\sigma} x_\sigma^2}{1 + \gamma_{c\sigma\sigma} x_\sigma^2} \quad (13)$$

$\gamma_{c\alpha\beta}$  and  $\gamma_{c\sigma\sigma}$  are unitless parameters given the same values as in N12,<sup>4</sup> namely,  $\gamma_{c\alpha\beta} = 0.006$  and  $\gamma_{c\sigma\sigma} = 0.2$ ,  $x_{\text{avg}}^2$  is defined as the average of  $x_\alpha^2$  and  $x_\beta^2$ , and  $\varepsilon_{c\alpha\beta}^{\text{UEG}}$  and  $\varepsilon_{c\sigma\sigma}^{\text{UEG}}$  represent the correlation energy of the uniform electron gas. The uniform-gas functions are taken from the Perdew–Wang parameterization<sup>53</sup> and the ansatz of Stoll, which is used to separate the correlation energy into same-spin and the opposite-spin contributions.<sup>54,55</sup>

### 5.4 Functional optimization

In eqn (5), (10), and (11) above, we see that  $a_{ij}$ ,  $b_i$ , and  $c_i$  are linear parameters of the functionals, which will be optimized. We do not force the uniform-electron-gas limit to hold when we optimize the functional. In order to make our functional smooth, smoothness constraints are added to the optimization, which will be explained in detail in the last paragraph of this section. The values of  $m$ ,  $m'$ ,  $n$ , and  $n'$  are chosen as 3, 3, 4, and 4 respectively. We found that the performance of the functional is not significantly improved by increasing these values, which shows that one cannot obtain improved functionals simply by adding more parameters. Therefore, in order to design good density functionals we must pay more attention to the mathematical form of the functional and the diversity of the database we are optimizing against, instead of concentrating on the number of parameters.

We optimize our functional against 27 primary databases, including 24 molecular energy databases, two molecular structure databases, and one solid-state structure database. We optimize the GAM functional self-consistently by minimizing the following unfitness function:

$$U = \sum_{n=1}^{27} R_n / I_n + \lambda(a + b + c) \quad (14)$$

where  $R_n$  is the root mean squared error of database  $n$ ,  $I_n$  is the inverse weight of database  $n$ , and the product of  $\lambda$  and  $(a + b + c)$  is the smoothness constraint, which is explained by

$$a = \sum_{i=0}^3 \sum_{j=0}^2 (a_{i,j} - a_{i+1,j})^2 + (a_{03} - a_{10})^2 + (a_{13} - a_{20})^2 + (a_{23} - a_{30})^2 \quad (15)$$

$$b = \sum_{i=0}^3 (b_i - b_{i+1})^2 \quad (16)$$

$$c = \sum_{i=0}^3 (c_i - c_{i+1})^2 \quad (17)$$

The purpose of this constraint is to ensure that the density functional is a reasonably smooth function of the spin densities and their gradients. We varied the value of  $\lambda$  from 0.001 to 0.1, where the range is selected such that  $\lambda(a + b + c)$  has about the same magnitude as  $\sum_{n=1}^{27} R_n / I_n$ . We made fits with various values of  $\lambda$ , and we monitored the smoothness of the resulting exchange–correlation functionals by plotting them, by examining the magnitudes of the linear coefficients of the exchange–correlation functional (they should not be too large in magnitude or having severely oscillating signs), and by checking whether there is any difficulty in achieving self-consistent-field convergence on difficult cases (we had made a list of cases where previous functionals sometimes showed SCF convergence difficulties). After balancing the performance of the functional and the smoothness of the enhancement factor (as judged by the three criteria just mentioned), we finally chose  $\lambda$  to be 0.001, which gives what we judged to be the best combination of overall accuracy, convergence, and smoothness of the exchange–correlation functional.

In order to design a functional with good across-the-board performance, we include various molecular and solid-state properties in our training set; these properties include main-group bond energies, transition metal bond energies, transition metal atomic excitation energies, barrier heights, ionization potentials, proton affinities, electron affinities, lattice constants, *etc.* In Table 1, the inverse weight of each primary database is given. The smaller the inverse weight is, the more emphasis we put on that primary database. The inverse weights were chosen as follows: first we calculated the mean unsigned errors (MUEs) of 80 exchange–correlation functionals (previously published functionals developed in many different groups) for all the molecular subdatabases in Database 2015; this shows how well previous exchange–correlation functionals typically perform for each kind of data. The average of these MUEs for a given subdatabase were used as our initial inverse weights. Then we modified the inverse weights iteratively to improve performance on the various subdatabases where we wished to reduce the error. Our goal in this process was to obtain good across the board performance for as many subdatabases as possible, not to simply reduce the overall mean unsigned error.



Table 3 Optimized and inherited parameters of the GAM functional

Exchange		Correlation	
Optimized parameters			
$a_{00}$	1.32730	$b_0$	0.860548
$a_{01}$	0.886102	$b_1$	-2.94135
$a_{02}$	-5.73833	$b_2$	15.4176
$a_{03}$	8.60197	$b_3$	-5.99825
$a_{10}$	-0.786018	$b_4$	-23.4119
$a_{11}$	-4.78787	$c_0$	0.231765
$a_{12}$	3.90989	$c_1$	0.575592
$a_{13}$	-2.11611	$c_2$	-3.43391
$a_{20}$	0.802575	$c_3$	-5.77281
$a_{21}$	14.4363	$c_4$	9.52448
$a_{22}$	8.42735		
$a_{23}$	-6.21552		
$a_{30}$	-0.142331		
$a_{31}$	-13.4598		
$a_{32}$	1.52355		
$a_{33}$	-10.0530		
Inherited parameters			
$\omega_{x\sigma}$	2.5	$\gamma_{c\alpha\beta}$	0.006
$\gamma_{x\sigma}$	0.004	$\gamma_{c\sigma\sigma}$	0.2

Whereas the N12<sup>4</sup> functional involved 20 optimized linear coefficients and the constraint that it reduced to PBESol at low density, the new GAM functional involves optimizing 26 linear coefficients in eqn (5), (10), and (11) with no constraints. We use the same values as N12 for the nonlinear parameters  $\omega_{x\sigma}$ ,  $\gamma_{x\sigma}$ ,  $\gamma_{c\alpha\beta}$ , and  $\gamma_{c\sigma\sigma}$ . A key element in the optimization is the choice of weights. We do not choose them to minimize the overall error but rather to try to get small errors across the board, *i.e.*, relatively small errors for each of the subdatabases, to the greatest extent possible. The final choice of weights was determined after considerable trial and error and is a subjective decision that cannot be justified by any numerical argument.

Table 3 lists the values for the optimized and inherited parameters of the GAM functional.

## 6 Tables of results

In Tables 4 and 5 we compare the performance of the new functional to that of 22 existing functionals for molecular energetic data, and in Table 6 we do the same for molecular bond distances.

Table 7 gives the performance for solid-state databases, but since B3LYP calculations with periodic boundary conditions are very expensive, we only compare 21 density functionals for the solid-state lattice constant and energetic data of Table 7. Table 8 compares the performance of GAM to that of eight density functionals for the WCCR10 database of Weymuth *et al.*<sup>56</sup> Table 9 is a test for the binding of dioxygen and dinitrogen to Fe<sub>2</sub>(dobdc), which is also called Fe-MOF-74, where we compare to experiments of Bloch *et al.*<sup>57</sup> Table 10 presents results for the binding of ethylene to Pd(PH<sub>3</sub>)<sub>2</sub>, where we compare the results of GAM to the best estimate computed using BCCD(T)<sup>58</sup> in our earlier work.<sup>67</sup> Table 11 presents results for the bond distance of homonuclear transition metal dimers,

where we compare the results of GAM and N12 with 5 functionals in a recent paper.<sup>80</sup>

### 6.1 Molecular energy database

Tables 4 and 5 show the mean unsigned error (MUE) for molecular energy database ME417 and its subdatabases. Note that we always compute MUEs without weighting the data; it is a straight average over the absolute deviations from the reference data of the database. In order to compare the properties that are especially important for molecular catalysis, we also combine some of existing subdatabases to form three larger subdatabases, in particular main-group bond energy (MGBE137), which includes the SR-MGM-BE9, SR-MGN-BE107, MR-MGM-BE4, and MR-MGN-BE17 subdatabases, transition metal bond energy (TMBE32), which includes the SR-TM-BE17, MR-TM-BE13, and MR-TMD-BE2 subdatabases; and barrier heights (BH76), which includes the HTBH38/08 and NHTBH38/08 subdatabases.

### 6.2 Molecular structure database

Table 6 shows the mean unsigned error for DGL6 and DGH4 subdatabases. The last column shows the mean unsigned error for MS10, which is the overall mean unsigned error of these two subdatabases.

### 6.3 Solid-state databases

Table 7 shows the mean unsigned errors for solid-state databases, which include LC17, SBG31, and SSCE8. Lattice constants are related to nearest neighbor distances (NNDs), but the ratio of the lattice constant to the nearest-neighbor distance depends on the crystal structure. For our larger lattice constant database, SSS47,<sup>26</sup> we calculated an average value for this ratio of 2.15, and we use this as a typical conversion factor for discussion purposes. Therefore we also report the results for LC17 by dividing by 2.15 so that the reader can more easily compare the errors to the errors in molecular bond distances. These results are labeled NND and are discussed as mean unsigned errors in nearest neighbor distances, but the reader should keep in mind that a slightly different result would be obtained if we first converted to NND and then averaged. The rationale of having both columns in Table 7 is that the LC17 column can be directly compared to physics literature papers that report average errors in lattice constants, while the NND column allows a more physical comparison to average errors in molecular bond lengths.

### 6.4 WCCR10 database

We show mean unsigned errors for the WCCR10 database of transition metal coordination reactions in Table 8. The mean unsigned error of GAM against WCCR10 is 6.60 kcal mol<sup>-1</sup>. This is larger than the mean unsigned error of GAM against TMBE32 subdatabase, *i.e.* 6.03 kcal mol<sup>-1</sup>, but still reasonable since it is the second best among the functionals tested in Table 8.

### 6.5 Separation of O<sub>2</sub> and N<sub>2</sub> on Fe<sub>2</sub>(dobdc)

The performance of the newly designed functional, GAM was also tested for its ability to predict the separation of a mixture





**Table 5** MUE (kcal mol<sup>-1</sup>) for the molecular energy database and its subdatabases: GAM compared to meta and hybrid functionals

Type Functional	NGA GAM	Meta TPSS	Meta revTPSS	Meta M06-L	Meta M11-L	Hybrid B3LYP	Hybrid HSE06
SR-MGM-BE9	2.00	2.55	2.91	3.40	7.24	4.58	3.47
SR-MGN-BE107	2.27	2.43	2.24	2.03	1.76	2.45	2.08
SR-TM-BE17	6.31	6.11	6.13	6.24	5.73	5.48	4.96
MR-MGM-BE4	7.76	6.69	5.98	6.15	13.50	7.76	8.52
MR-MGN-BE17	4.22	4.25	4.62	3.11	4.02	5.09	5.30
MR-TM-BE13	4.94	8.87	6.81	4.40	4.44	5.33	4.87
IsoL6/11	1.96	3.66	3.96	2.76	1.57	2.61	1.25
IP23	4.53	4.29	4.07	3.91	4.77	5.51	4.06
EA13/03	4.49	2.35	2.59	3.83	5.54	2.33	2.77
PA8	3.84	2.66	2.79	1.88	2.17	1.02	1.10
πTC13	8.59	8.12	7.85	6.69	5.14	6.03	6.20
HTBH38/08	5.35	7.71	6.96	4.15	1.44	4.23	4.23
NHTBH38/08	5.15	8.91	9.07	3.81	2.86	4.55	3.73
NCCE30	1.29	1.34	1.33	0.90	0.81	1.09	0.95
AE17	10.18	18.04	23.81	7.04	21.81	18.29	32.82
HC7/11	6.24	10.48	6.42	3.35	2.42	16.80	7.34
3dAEE7	9.82	10.78	10.47	7.84	14.03	8.47	10.62
4dAEE5	5.23	5.19	5.11	6.58	11.04	5.67	5.07
pEE5	2.99	2.25	2.31	7.50	10.39	2.87	5.70
DC9/12	23.07	14.20	14.94	10.67	5.90	12.02	9.08
2pIsoE4	5.02	3.54	2.53	3.16	3.32	4.69	2.44
4pIsoE4	3.57	2.60	3.27	2.88	5.03	4.24	2.64
NGDWI21	0.019	0.171	0.174	0.125	0.568	0.276	0.102
MR-TMD-BE2	10.67	26.21	26.59	7.22	22.18	31.21	45.13
MGBE137 <sup>a</sup>	2.65	2.79	2.69	2.37	2.74	3.07	2.76
TMBE32	6.03	8.49	7.68	5.55	6.24	7.03	7.43
BH76	5.25	8.31	8.01	3.98	2.15	4.39	3.98
ME417	4.51	5.40	5.42	3.55	4.15	4.68	4.83
ME400xAE	4.27	4.86	4.64	3.41	3.40	4.10	3.64

<sup>a</sup> The MGBE137, TMBE32, BH76, ME417, and ME400xAE notations are explained in footnotes to Table 4.

**Table 6** MUE (kcal mol<sup>-1</sup>) for the molecular structure database and its subdatabases

Functional	Type	DGL6	DGH4	MS10 <sup>a</sup>
GKSVWN5	LSDA	0.011	0.031	0.019
SOGGA	GGA	0.009	0.013	0.010
PBEsol	GGA	0.010	0.007	0.009
PBE	GGA	0.013	0.020	0.016
BP86	GGA	0.015	0.021	0.018
PW91	GGA	0.012	0.019	0.015
BLYP	GGA	0.019	0.037	0.026
mPWPW	GGA	0.012	0.021	0.016
revPBE	GGA	0.015	0.034	0.023
BPW91	GGA	0.013	0.022	0.017
RPBE	GGA	0.016	0.038	0.025
HCTH407	GGA	0.004	0.033	0.015
SOGGA11	GGA	0.008	0.053	0.026
OLYP	GGA	0.009	0.036	0.020
OreLYP	GGA	0.011	0.034	0.020
N12	NGA	0.008	0.007	0.008
<b>GAM</b>	<b>NGA</b>	<b>0.007</b>	<b>0.034</b>	<b>0.018</b>
TPSS	Meta	0.010	0.015	0.012
revTPSS	Meta	0.011	0.009	0.010
M06-L	Meta	0.006	0.018	0.011
M11-L	Meta	0.012	0.033	0.021
B3LYP	Hybrid	0.009	0.027	0.016
HSE06	Hybrid	0.003	0.015	0.008

<sup>a</sup> The MS10 database consists of DGL6 and DGH4 subdatabases. The functionals are listed in the same order as in Tables 4 and 5.

**Table 7** Mean unsigned errors for lattice constants and nearest neighbor distances in Å, band gaps in eV, and cohesive energies in eV per atom

Functional <sup>c</sup>	Type	LC17	NND <sup>b</sup>	SBG31	SSCE8
GKSVWN5	LSDA	0.069	0.032	1.14	0.70
SOGGA	GGA	0.022	0.010	1.14	0.31
PBEsol	GGA	0.023	0.011	1.14	0.27
PBE	GGA	0.068	0.031	0.98	0.11
BP86	GGA	0.073	0.034	1.12	0.12
PW91	GGA	0.065	0.030	1.11	0.50
BLYP	GGA	0.111	0.052	1.14	0.37
mPWPW	GGA	0.075	0.035	1.11	0.10
revPBE	GGA	0.110	0.051	1.08	1.12
BPW91	GGA	0.083	0.038	1.10	0.20
RPBE	GGA	0.119	0.055	1.07	0.61
HCTH407	GGA	0.120	0.056	0.89	0.30
SOGGA11	GGA	0.125	0.058	0.89	0.07
OLYP	GGA	0.118	0.055	0.90	0.36
OreLYP	GGA	0.113	0.053	0.92	0.20
N12	NGA	0.027	0.012	0.99	0.13
<b>GAM</b>	<b>NGA</b>	<b>0.092</b>	<b>0.046</b>	<b>0.99</b>	<b>0.13</b>
TPSS	Meta	0.055	0.025	0.85	0.22
revTPSS	Meta	0.039	0.018	1.00	0.13
M06-L	Meta	0.080	0.037	0.73	0.17
M11-L	Meta	0.073	0.034	0.54	0.24
HSE06	Hybrid	0.041	0.019	0.26	0.11

<sup>a</sup> The functionals are listed in the same order as in Tables 4 and 5.

<sup>b</sup> The values in this column are obtained by dividing the previous column by 2.15 (a standard factor determined in previous work – see text) so that the results may be compared more physically to errors in molecular bond lengths.

**Table 8** Mean unsigned errors for the WCCR10 database in kcal mol<sup>-1a</sup>

Functional	Type	WCCR10
PBE0	Hybrid	6.40
<b>GAM</b>	NGA	<b>6.60</b>
PBE	GGA	7.58
TPSSh	Hybrid	7.62
TPSS	GGA	7.84
B97-D-D2	GGA	8.59
B3LYP	Hybrid	9.30
BP86	GGA	9.42
BP86-D3	GGA	10.62

<sup>a</sup> The GAM results are from the present calculations, but all other results in this table are from ref. 56.

**Table 9** Binding enthalpies (kcal mol<sup>-1</sup>) of O<sub>2</sub> and N<sub>2</sub> bound to the 88-atom cluster model of Fe<sub>2</sub>(dobdc) calculated using GAM<sup>a</sup>

	$M_S(\text{Fe}, \text{X}_2)^c$	$\Delta H^b$	
		GAM <sup>d</sup>	Expt. <sup>e</sup>
Fe–N <sub>2</sub>	2, 0	3.9	5.5
Fe–O <sub>2</sub>	2, 1	10.8	9.8
	2, 0	7.8	NA <sup>f</sup>
	2, –1	5.0	NA

<sup>a</sup> The basis set is def2-TZVP. <sup>b</sup> The binding enthalpy (a positive value indicates exothermic binding). <sup>c</sup> This column has the  $M_S$  values for the central Fe and the guest molecule in the initial iteration of self-consistent field calculations. The two peripheral Fe centers where no guest is bound were taken to have  $M_S = 2$  for all the calculations. <sup>d</sup> This column is calculated by eqn (1) of ref. 8. <sup>e</sup> The most recent experimental value is shown, as discussed in the text. <sup>f</sup> NA denotes not applicable.



**Table 10** Binding energies (kcal mol<sup>-1</sup>) of C<sub>2</sub>H<sub>4</sub> bound to Pd(PH<sub>3</sub>)<sub>2</sub> calculated using GAM and various basis sets

Basis set <sup>a</sup>	GAM	Best estimate <sup>b</sup>
BS1	<b>11.0</b>	17.6
BS2	<b>11.1</b>	
BS3	<b>11.1</b>	
BS4	<b>11.1</b>	

<sup>a</sup> The various basis sets used are: BS1 = SDD (Pd), cc-pVTZ (P, C, H); BS2 = def2-TZVP (Pd), cc-pVTZ (P, C, H); BS3 = def2-TZVP (Pd), cc-pV(T+d)Z (P), cc-pVTZ (C, H); BS4 = def2-TZVP (Pd), maug-cc-pV(T+d)Z (P), maug-cc-pVTZ (C), cc-pVTZ (H). <sup>b</sup> The best estimate was calculated in an earlier work using BCCD(T) and is described in ref. 67.

**Table 11** Homonuclear transition metal dimers: equilibrium bond lengths (Å) and mean unsigned errors as compared to experiment

	Cu <sub>2</sub>	Au <sub>2</sub>	Ni <sub>2</sub>	Pd <sub>2</sub>	Pt <sub>2</sub>	Ir <sub>2</sub>	Os <sub>2</sub>	MUE
LSDA	2.215	2.495	2.118	2.373	2.353	2.271	2.354	0.038
PBE	2.278	2.552	2.135	2.397	2.391	2.302	2.384	0.062
B3LYP	2.292	2.577	2.099	2.411	2.392	2.301	2.387	0.071
B3PW91	2.288	2.552	2.095	2.367	2.375	2.287	2.373	0.068
mPWPW	2.293	2.549	2.088	2.359	2.369	2.282	2.369	0.068
N12	2.224	2.543	2.110	2.501	2.366	2.262	2.282	0.026
<b>GAM</b>	<b>2.306</b>	<b>2.543</b>	<b>2.189</b>	<b>2.536</b>	<b>2.408</b>	<b>2.283</b>	<b>2.292</b>	<b>0.050</b>
Exp. <sup>a</sup>	2.219	2.472	2.155	2.480	2.333	2.270	2.280	0.000

<sup>a</sup> The experimental bond length is taken from ref. 80.

of O<sub>2</sub> and N<sub>2</sub> on a metal–organic framework (MOF), in particular Fe<sub>2</sub>(dobdc). The binding enthalpies for O<sub>2</sub> and N<sub>2</sub> bound to Fe<sub>2</sub>(dobdc) were computed using the GAM exchange–correlation functional with the def2-TZVP basis set, and then compared to experimental values<sup>57</sup> of isosteric heat of adsorption. The values for the Fe–O<sub>2</sub> and Fe–N<sub>2</sub> interacting systems in their ground spin states computed at 201 K were 10.8 and 3.9 kcal mol<sup>-1</sup>, respectively, while the corresponding experimental values reported in ref. 57 are 9.8 kcal mol<sup>-1</sup> and 8.4 kcal mol<sup>-1</sup>, respectively. Later, a more accurate experimental adsorption enthalpy for N<sub>2</sub> bound to Fe<sub>2</sub>(dobdc) under different experimental conditions and with different procedures was reported to be 5.5 kcal mol<sup>-1</sup>.<sup>59</sup> Table 9 also presents the binding enthalpies of higher energy spin states of the Fe–O<sub>2</sub> interacting system, which are predictions for which no experimental data is available (these calculations were necessary to be sure that the reported binding energy corresponds to lowest-energy spin state of the system).

## 6.6 Binding energy of Pd(PH<sub>3</sub>)<sub>2</sub>C<sub>2</sub>H<sub>4</sub>

The binding of C<sub>2</sub>H<sub>4</sub> to Pd(PH<sub>3</sub>)<sub>2</sub> was computed using GAM and compared to the best estimate of binding energy performed in our earlier work.<sup>67</sup> The binding energies calculated using various basis sets are reported in Table 10.

## 6.7 Bond length of homonuclear transition metal dimer

The equilibrium bond lengths of seven transition metal dimers are calculated by GAM and six other density functionals with the LanL2DZ basis set.<sup>20–23</sup> Table 11 shows the bond length and mean unsigned error calculated by each functional.

# 7 Other results and discussion

## 7.1 Convergence

In order to design a smooth functional, we add a smoothness constraint to the parameter optimization of the GAM functional. The linear coefficients optimized for GAM all have magnitudes in the range 0.1–23, which is a reasonably narrow range so there is no excessive cancellation between terms. The convergence of the new functional has been tested against our common Database 2015. In the common Database 2015, there are 483 data including ME417, MS10, SBG31, SSCE8, and LC17. If we also count the fragments that are used to calculate these data, there are more than one thousand data calculated by the GAM functional. Among the over-a-thousand data, only FeCl shows some SCF convergence issues; all other calculations converged without any problems. The smoothness of the exchange–correlation enhancement factor of the GAM functional has also been examined in plots, and it will be discussed in Section 7.3.

## 7.2 Performance of the GAM functional

Tables 4 and 5 show that the GAM functional gives especially good results for main group bond energies, transition metal bond energies, reaction barrier heights, molecular structures, and noble gas weak interactions. Furthermore, the GAM functional provides reasonably good results for the test sets including semiconductor band gaps, solid-state cohesive energies, and transition metal coordination reactions.

Table 4 shows that among LSDA, all the GGAs, and the previous NGA, the new functional GAM gives the smallest overall mean unsigned error for the entire molecular energy database ME417; the mean unsigned error is only 4.51 kcal mol<sup>-1</sup>. We also show the overall error of ME400xAE, which is the average error for the molecular energy database when we exclude absolute atomic energies, and in this case too, the GAM functional gives the smallest error among GGAs, LSDA, and NGA. We emphasize that we could reduce these total errors more, if that were our goal, but that is not our goal. Our goal is rather to obtain good performance across a broad range of databases. In order to have a functional that is especially good for studying molecular catalysis, the functional should be good for main-group bond energy (MGBE137), which includes the SR-MGM-BE9, SR-MGN-BE107, MR-MGM-BE4, and MR-MGN-BE17 subdatabases, for transition metal bond energy (TMBE32), which includes the SR-TM-BE17, MR-TM-BE13, and MR-TMD-BE2 subdatabases, for barrier heights (BH76), which includes the HTBH38/08 and NHTBH38/08 subdatabases, and for molecular structure (MS10), which includes DGL6 and DGH4 subdatabases. In Tables 4 and 5 we calculate the average error for each of these four categories by averaging the errors from each subdatabase. Among LSDA and all GGAs and NGAs, the GAM functional ranked the best for the MGBE137, TMBE32, and BH76 subdatabases. If we consider all the functionals in Tables 4 and 5, the GAM functional ranks the second best for TMBE32 subdatabase, for which M06-L is the best with an error 0.48 kcal mol<sup>-1</sup> smaller than the GAM; the GAM functional ranks the second best for the



MGBE137 subdatabase, for which M06-L is the best with an error 0.28 kcal mol<sup>-1</sup> smaller than the GAM; and the GAM functional ranks the fifth best for BH76 subdatabase, for which M11-L is the best followed by M06-L, B3LYP, and HSE06. We note that M06-L is a meta functional, and therefore it should be better than a simpler gradient approximation, but we gave several reasons for optimizing a gradient approximation in the introduction.

In addition to the databases mentioned above, the GAM functional also provides good results for 3d transition metal atomic excitation energies, which are very hard for most available density functionals, but which we have recently shown<sup>60</sup> can be very important for understanding metal–metal bonding. The GAM functional ranks the fifth best for the 3dAEE7 subdatabase, behind M06-L, B3LYP, PBE, and RPBE.

Next we consider noble-gas weak interactions. From Tables 4 and 5 we can see that all the functionals tested except GAM give a mean unsigned error larger than 0.081 kcal mol<sup>-1</sup> for the NGDWI21 subdatabase, for which GAM only gives 0.019 kcal mol<sup>-1</sup>. The average value of all the noble gas weak interaction energies in our database is 0.160 kcal mol<sup>-1</sup>, which means that most functionals give an average error that is larger than 50% of the average of the reference values. The GAM functional gives the best results for NCCE30 subdatabase as compared to all tested GGAs and N12.

The GAM functional also provides the second best results for MR-TMD-BE2 (Cr<sub>2</sub> and V<sub>2</sub>, which are known to be very hard cases for density functional theory) among all functionals tested.

Table 6 shows that the relative performance of GAM for molecular structures is not quite as good as for energies. The GAM functional ranks the 13th for MS10 subdatabase with an MUE of 0.018 Å, which is 0.002 Å larger than the average MUE of all functionals tested in Table 6. However, a more fair comparison in this case is to compare to the 12 GGAs excluding PBESol and SOGGA (we exclude PBESol and SOGGA at this point since their design is understood to make them better for structures than for energies, and so we do not consider them to be general-purpose functionals). As compared to the remaining group of 12 GGAs, only HCTH407 does better for DGL6 and only four of the 12 do better for MS10. The less than stellar performance of GAM on MS10 is primarily due to a large overestimation of the bond length of Ag dimer; this bond length behaves differently than other bond lengths in MS10, and success for this bond length is highly correlated to performance on lattice constants, which we downplayed. This downplay is evidenced in Table 7, which shows that the GAM functional does not give good results for the solid-state lattice constant database with a mean unsigned error of 0.046 Å for

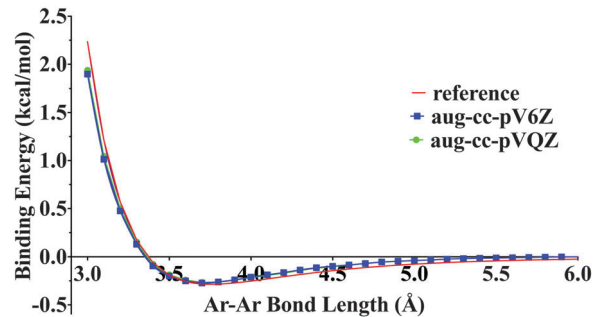


Fig. 1 Ar–Ar potential curve, the bonding energies are calculated with GAM/aug-cc-pVQZ and GAM/aug-cc-pV6Z level of theory. The reference is from the Tang–Toennies model.

the quantities we nominally call nearest neighbor distances (NND – see Section 6.3); this error is 0.010 Å larger than the average mean unsigned error for NND. As discussed in the introduction, this results from a strategic decision to emphasize molecular energies over lattice constants in the creation of GAM. The “M” (for “molecules”) at the end of GAM is primarily to indicate our awareness that we still do not have a universally good functional, which is so far unattainable by any functional containing only density and density gradient ingredients. Nevertheless, despite not being universal, the performance of the new functional developed here is very good if we consider *molecules* rather than solid-state lattice constants.

Next we turn to data not used for training.

Table 7 shows that the GAM functional also shows reasonably good results for the solid-state energies databases. Among the 17 LSDA, GGAs, and NGAs, the GAM functional ranks the sixth best for the SBG31 database and fifth best for the SSCE8 database. These databases were not used for training.

In Table 8, the GAM functional ranks the second best among all functionals being tested, where the functionals tested are those chosen by the previous<sup>56</sup> authors. The WCCR10 database includes ten transition metal coordination reactions. The molecules involved in these reactions are very large and very different from the training sets in Database 2015. The performance against these large molecules is slightly worse than that for the transition metal molecules in our training set, but within a reasonable range.

Table 9 presents the results for the performance of GAM on MOFs. We find that GAM gives good results when compared to experiments for the separation of O<sub>2</sub> and N<sub>2</sub> on Fe<sub>2</sub>(dobdc), with a magnitude of the deviation from experimental adsorption enthalpies of 1.0 kcal mol<sup>-1</sup> for O<sub>2</sub> and 1.6 kcal mol<sup>-1</sup> for N<sub>2</sub>. It should be noted here that our training set has no data on MOFs

Table 12 Errors for bond dissociation energy (kcal mol<sup>-1</sup>) of FeC

	M11-L	SOGGA11	τ-HCTHhyb	M06-L	BLYP	B3LYP	M05	M06
FeC	-4.60	10.81	-7.13	-7.36	12.88	-1.38	5.75	-20.93
	ωB97	ωB97X	ωB97X-D	M08-SO	M08-HX	M11	SOGGA11-X	GAM
FeC	-38.87	-20.01	21.39	-26.68	-35.65	-37.03	-67.16	<b>1.83</b>



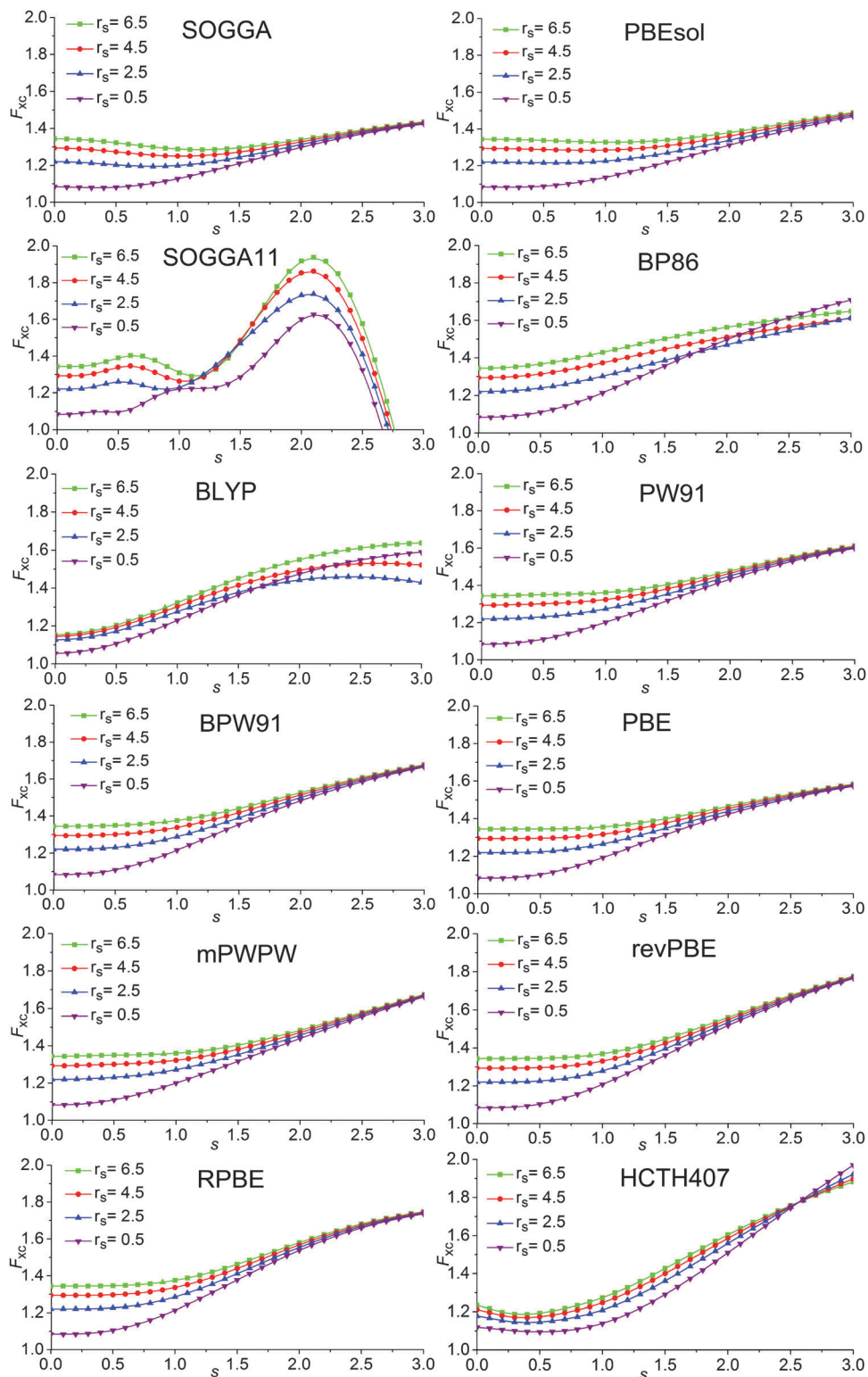


Fig. 2 Enhancement factors of 12 GGAS.

or any other type of nanoporous materials. This average deviation from the latest experimental values is under  $3 \text{ kcal mol}^{-1}$  and is within experimental error. This indicates that the GAM functional shows good agreement with experimental data that are not used for training.

In Table 10, results for the binding of  $\text{C}_2\text{H}_4$  to  $\text{Pd}(\text{PH}_3)_2$  are presented. This datum is outside the training set. This is a difficult case for functionals; for example, BLYP gives a binding energy of  $10.2 \text{ kcal mol}^{-1}$  as compared to the best estimate of  $17.6 \text{ kcal mol}^{-1}$ . Table 10 shows good stability with respect to



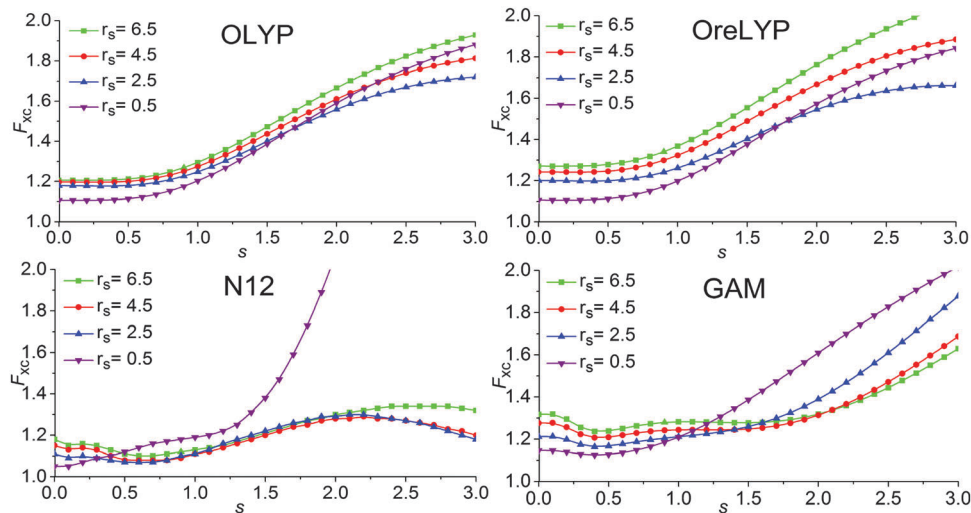


Fig. 3 Enhancement factor of OLYP, OreLYP, N12, and GAM.

changes in the basis set and that the GAM functional deviates from the best estimate by 6.5 kcal mol<sup>-1</sup> with the largest basis set used. This is comparable to the 6.3 kcal mol<sup>-1</sup> mean unsigned error for single-reference transition metal bond energies of molecules in the training set, and therefore it is an example where we obtain comparable performance inside and outside of the training set.

A very recent paper, which we considered only after our training set weights were final, reported bond distance for eight transition metal dimers, only one of which (Ag<sub>2</sub>) is in our training set. We therefore use the bond distances of the seven others as a test against data quite different from that used for training. These seven dimers, Cu<sub>2</sub>, Au<sub>2</sub>, Ni<sub>2</sub>, Pd<sub>2</sub>, Pt<sub>2</sub>, Ir<sub>2</sub>, and Os<sub>2</sub>, include two 3d metals, one 4d metals, and four 5d metals. (No 5d data were used for training.) The GAM functional gives the third best results among all the functionals tested in Table 11, with an MUE of 0.05 Å; the only functionals that do better are LSDA, which is much better for bond lengths than for molecular energies, and N12, the only previous NGA. This is very encouraging performance well outside the training set.

We also tested our new functional against the experimental bond dissociation energies of Ag<sub>2</sub> and FeC, which are 38.0 kcal mol<sup>-1</sup> and 88.32 kcal mol<sup>-1</sup> respectively.<sup>61,62</sup> The GAM functional predicts these bond dissociation energies to be 39.21 kcal mol<sup>-1</sup> and 86.49 kcal mol<sup>-1</sup>. Li *et al.*<sup>62</sup> have tested the bond dissociation energy of FeC with various functionals, and in Table 12 we add our new result to their comparison. The results in Table 12 show that the GAM functional is the second best among all 18 functionals being tested, and that many of the previous functionals have large errors for this difficult case.

Recent studies pointed out that some density functionals give unstable results for large basis sets.<sup>63</sup> Fig. 1 shows the potential energy curve of Ar<sub>2</sub> with our new GAM functional and the aug-cc-pVQZ and aug-cc-pV6Z basis sets. Fig. 1 shows that our results are very close to the reference values<sup>64</sup> and there is no slow convergence issue with respect to the basis sets. Moreover, the excellent agreement with the reference plot

shows that the GAM functional provides good results for noble gas weak interactions. This is consistent with Tables 4 and 5 showing that the GAM functional is the best for the NGDWI21 subdatabase among all the functionals tested in the present paper.

### 7.3 Exchange–correlation enhancement factor of the GAM functional

The enhancement factor is defined by the following equations:

$$E_{xc} = \int dr \varepsilon_x^{\text{UEG}}(\rho) F_{xc}(r_s, s) \quad (18)$$

$$s = \frac{|\nabla\rho|}{2(3\pi^2)^{1/3}\rho^{4/3}} \quad (19)$$

$$r_s = \left(\frac{3}{4\pi\rho}\right)^{1/3} \quad (20)$$

where  $E_{xc}$  is the total exchange–correlation energy,  $\varepsilon_x^{\text{UEG}}$  is the exchange energy density of a uniform electron gas,  $\rho$  stands for the total density,  $s$  is the unitless reduced density gradient, and  $r_s$  is the Wigner–Seitz radius. For illustrating the enhancement factor in this section, we only consider the spin-unpolarized cases, which means that  $\rho = 2\rho_\alpha = 2\rho_\beta$ . The exchange–correlation enhancement factor of the GAM functional is plotted in Fig. 3. We choose four values of  $\rho$  to plot the enhancement factor  $F_{xc}$ , and these values correspond to  $r_s = 0.5, 2.5, 4.5,$  and  $6.5$  in atomic units. The region of  $s$  is chosen from 0 to 3, which is the key range for real systems. The enhancement factor for all 14 GGAs, for the previous NGA, namely N12, and for GAM are shown in Fig. 2 and 3.

A key design element of the NGA functional form is that, unlike GGAs, we do not attempt to separately fit exchange and correlation. Therefore, unlike a GGA, we do not have a pure-exchange enhancement factor that depends only on  $s$ . However, Fig. 2 and 3 show that after we add correlation to exchange, the extent of dependence on  $\rho$  for closed-shell systems is not qualitatively different in GAM and in the GGAs.



## 8 Conclusions

The GAM functional has the following advantages over current GGAs and N12:

(1) The GAM functional gives the smallest mean unsigned error for main group bond energies (MGBE137), transition metal bond energies (TMBE32), and reaction barrier heights (BH76).

(2) The GAM functional gives the smallest mean unsigned error of 0.019 kcal mol<sup>-1</sup> for the noble gas dimer weak interaction energies (NGDWI21), with all the other functionals tested here giving a mean unsigned error larger than 0.081 kcal mol<sup>-1</sup>, which is about 50% of the reference value.

(3) GAM is best of any LSDA, GGA, or NGA for both the overall mean unsigned error for molecular energies, either including total atomic energies (ME417) or excluding them (ME400xAE). OreLYP (which has not previously been widely tested) and OLYP are the second and the third best.

The GAM functional gives an MUE of 0.018 Å for the molecular structure subdatabase (MS10), which is reasonable, although not outstanding.

Besides the training sets tested in the paper, we also tested the performance of the GAM functional against band gaps (SBG31), solid-state cohesive energies (SSCE8), transition metal coordination reactions (WCCR10), the bond energies of Ag<sub>2</sub> and FeC, adsorption enthalpies of gases on MOFs, the binding of C<sub>2</sub>H<sub>4</sub> to Pd(PH<sub>3</sub>)<sub>2</sub>, and the bond distances of homonuclear transition metal dimers (HTMD7). The last-named test includes four 5d transition metals, although no 5d transition metal data was used for training. The GAM functional does acceptably well in these tests. We conclude that the GAM functional we designed is transferable to molecular problems outside our training sets.

The linear coefficients optimized for GAM are in a narrow range of magnitude so there is no excessive cancellation between terms. The self-consistent-field convergence of the GAM functional has been tested against more than one thousand data; only one of them shows some convergence problems. The enhancement factor plot of the GAM functional is reasonably smooth.

With all these advantages over the GGAs and the previous NGA, with the advantage of an NGA requiring smaller grids than meta-GGAs or meta-NGAs, and with the advantage of an NGA requiring considerably less computation time for extended systems than hybrid functionals, we expect the GAM functional to be very useful for molecular catalysis and a wide variety of other applications to large and complex molecular systems.

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