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Visible light photooxidation of nitrate: the dawn of a nocturnal radical*

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Highly oxidizing nitrate radicals (NO_3^{\bullet}) are easily accessed from readily available nitrate salts by visible light photoredox catalysis using a purely organic dye as the catalyst and oxygen as the terminal oxidant. The interaction of the excited catalyst and nitrate anions was studied by spectroscopic methods to elucidate the mechanism, and the method was applied to the NO_3^{\bullet} induced oxidation of alkynes and alcohols.

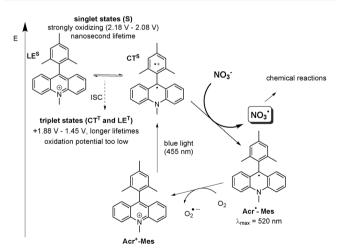
The nitrate radical (NO_3^{\bullet}) is the most important nocturnal free radical oxidant in the troposphere and thus accounts for the majority of the oxidative reactions at night-time. In the atmosphere NO_3^{\bullet} oxidizes a broad scope of volatile organic species including alkenes, alcohols, terpenes, esters, and sulfides. It is a highly reactive and chemically versatile O-centered radical with an oxidation potential of +2.00 V (vs. SCE in MeCN). Apart from electron transfer (ET), NO₃ also reacts by addition to π systems and by hydrogen atom abstraction (HAT). Size overall, the reactivity of NO_3^{\bullet} with organic molecules can be seen in between that of hydroxyl radicals (OH $^{\bullet}$) and sulfate radical anions (SO $_4^{\bullet}$).

Despite its high chemical versatility, it is surprising that only limited synthetic applications of NO_3^{\bullet} are available so far. Shono reported the addition of electrochemically generated NO_3^{\bullet} to alkenes. The reaction of NO_3^{\bullet} with cyclic alkynes and alkynones was employed to obtain *cis*-fused bicyclic ketones in self-terminating oxidative radical cyclizations. This concept was later extended to alkyne ethers yielding tetrasubstituted tetrahydrofurans. The One reason for the limited use of NO_3^{\bullet} as a reagent in organic transformations is its rather difficult accessibility. Common methods for NO_3^{\bullet} generation on preparative scale in solution are the reaction of nitrogen dioxide and ozone, electrooxidation of nitrate anions of the photolysis of $(NH_4)_2Ce(NO_3)_6$ (CAN) with UV light ($\lambda = 350 \text{ nm})^{14,20}$

However, the use of toxic gases, high electrode potentials, or UV irradiation are so far limiting the applications and lead to undesired side reactions.

We were pleased to observe that, upon excitation of the organic photocatalyst 9-mesityl-10-methylacridinium perchlorate (1) with blue light, oxidation of nitrate anions to NO₃•, readily occurs (Scheme 1), thus providing a convenient access to NO₃• on a preparative scale. 9-Mesityl-10-methylacridinium perchlorate (1) was chosen, because it is known to have a strong oxidizing capacity in the excited state. ^{21,22} To the best of our knowledge, this is the first visible light mediated generation of nitrate radicals.

In order to elucidate the mechanism of the NO_3^{\bullet} formation, we monitored the generation of reduced catalyst Acr^{\bullet} -Mes in the presence of LiNO₃ upon continuous irradiation of a 5 μ M solution of Acr^{\dagger} -Mes (1) in MeCN with 455 nm light under



Scheme 1 Proposed mechanism of visible light mediated generation of NO $_3^{\bullet}$ via photocatalytic oxidation by $\mathbf{Acr^{+}\text{-}Mes}$ (1). The electron transfer from NO $_3^-$ occurs from the short-lived singlet state (LES or CTS) with sufficient oxidative capacity to generate the reduced catalyst $\mathbf{Acr^{\bullet}\text{-}Mes}$ and NO $_3^{\bullet}$, the longer lived transient triplet species (CTT or LET) is not reactive towards NO $_3^{-}$. The reduced catalyst $\mathbf{Acr^{\bullet}\text{-}Mes}$ is regenerated by oxygen. (All oxidation potentials are given vs. SCE in MeCN or PhCN). ^{23,25,26}

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anaerobic conditions. The differential absorption spectrum shows the appearance of Acro-Mes with a maximum at 520 nm^{21,23} after irradiation for 120 s and 240 s. (see ESI,† Fig. S6) This observation suggests a direct oxidation of NO₃ by the excited catalyst thus demonstrating that NO3- can act as an electron donor to the excited catalyst. The reduced catalyst Acro-Mes is stable under argon, however, the signal vanishes completely after aeration of the reaction mixture due to reoxidation of Acro-Mes to the ground state catalyst Acr⁺-Mes by oxygen (see Scheme 1).²⁴ The negative signal at λ < 460 nm in the differential absorption spectrum is caused mainly by the decrease of the ground state absorption of Acr+-Mes as a result of Acr+-Mes formation and partial photobleaching of Acr⁺-Mes.‡ The long-lived triplet state with a microsecond lifetime is generally discussed as the reactive state in most oxidative reactions. 25,26 The exact nature of this state is controversial and could be both a CTT state with an oxidation potential of +1.88 V vs. SCE, as reported by Fukuzumi²⁵ or a locally excited triplet state, LE^T, with an oxidation potential of +1.45 V vs. SCE as reported by Verhoeven, 26 However, neither would have the oxidative capacity to oxidize NO₃⁻. Recent detailed mechanistic investigations by the group of Nicewicz revealed that for substrates with oxidation potentials exceeding +1.88 V (vs. SCE), a reaction should occur out of the short-lived excited singlet state (mainly CT^S), which has an estimated oxidation potential of 2.08 V (Scheme 1).23 Since both singlet states are fluorescent ($\phi_{\rm F} \sim 8\%$), whereas the triplet states do not emit, 23 we performed fluorescence quenching experiments to explore the nature of the reactive state involved in NO₃ oxidation. A clear quenching of the fluorescence by LiNO₃ confirms that oxidation of NO₃⁻ occurs from the singlet excited state of 1 (see ESI,† Fig. S6). Moreover, laser flash photolysis experiments confirmed that no interaction of the long lived triplet state and NO₃ can be observed (Fig. S8 in the ESI†). Based on these findings, we suggest that the reaction proceeds via a singlet excited state as depicted in Scheme 1.

Having demonstrated the pathway for photocatalytic NO₃• generation, we selected the well-studied reaction of NO3 with diphenylacetylene (2) yielding benzil (3) and benzophenone (4) to explore the synthetic application of this new method and to compare it with the previously reported methods. The results are compiled in Table 1. Under photocatalytic conditions using 5 mol% of Acr⁺-Mes (1), 0.25 mmol of alkyne 2 and 2 eq. of LiNO₃, diketone 3 and ketone 4 were obtained after 2 h of irradiation with blue light ($\lambda = 455$ nm) with yields comparable to previous methods.²⁷ When oxygen was replaced by ammonium persulfate as the electron acceptor in a degassed system, the yield and product ratio were not changed significantly (entry 5). This shows that potential interfering reactions by singlet oxygen could be excluded. In the absence of light or catalyst no reaction occurred (entries 7 and 9). However, small amounts of diketone 3 were formed in the direct reaction of 2 with the excited catalyst in the absence of nitrate ions (entry 8).

According to computational studies, the mechanism for the NO₃• induced oxidation of diphenylacetylene suggests formation of diketone 3 and benzophenone (4) through competing pathways in the initial vinyl radical adduct 5 (Scheme 2). While diketone 3 results from a 5-endo cyclization, followed by loss

Table 1 Oxidation of diphenylacetylene 2 by NO₃•6

Mes 1 0 0 0 5 mol% CIO4 RI RI						
Ph———Pl	· ·	455 nm LED,	Ph Ph	+ Ph Ph		
2	2 eq.	2 h, air, MeCN	3 0	4		
Entry Conditions			Yie	eld ^b 3 + 4 (%)		
1 5 mol% 1 , air			50	(30 + 20)		
$2 5 mol\% 1, O_2$			55 (31 + 24)			

41(27 + 15)10 mol% 1 38(24 + 14)(NH₄)₂S₂O₈, N₂ atmosphere 46 (27 + 19) 52(32 + 20)Without light 0 Without NO₃ 13 (3 only) Without 1

Scheme 2 Proposed mechanism for the oxidation of aromatic alkynes by NO₃•.²⁷

of NO*, the key-step in the formation of benzophenone (4) is γ-fragmentation with elimination of NO2*, and subsequent Wolff-rearrangement of the carbene intermediate 7 followed by oxidative decarboxylation.²⁷

Next, we applied the photocatalytic NO₃ formation to the synthesis of tetrasubstituted tetrahydrofurans, which proceeds via a self-terminating radical cascade that is initiated by NO₃* addition to the triple bond in alkyne 9. The reaction was described previously using either anodic oxidation of lithium nitrate or CAN photolysis. 17,18 The starting material 9 (Scheme 3) contains an aliphatic alkyne, which is more difficult to oxidize compared to 2 and thus decreases the background reaction that is caused by direct oxidation of 9 by the photocatalyst. The reaction of 9b with 2 eq. of LiNO₃ and 5 mol% 1 gave the anticipated product 10b in a yield of 37% (67% based on conversion), with 45% of the starting material 9b being recovered. Methyl ether 9a gave lower yields and

Scheme 3 Self-terminating radical oxidative cyclization to tetrasubstituted tetrahydrofurans 10.17,18

Reactions were carried out using diphenylacetylene (2, 0.25 mmol) and the respective amount of 9-mesityl-10-methylacridinium perchlorate (1) in mL of MeCN unless otherwise noted with an irradiation time of 2 h. Quantitative GC yields using acetophenone as internal standard.

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Scheme 4 General mechanism of the nitrate mediated alcohol oxidation via initial hydrogen abstraction followed by oxidation and loss of a proton.

an incomplete conversion, which can be rationalized by a nonregioselective addition of NO₃ to both ends of the alkyne,§ in accordance with previous reports. The low conversion (and resulting low product yield) is likely due to the fact that NO₃ leads to degradation of catalyst 1. This effect could also be observed in UV/Vis measurements of the reaction mixture, which showed considerable photobleaching of the ground state during irradiation (see Fig. S7 in the ESI†). It is likely that the observed degradation proceeds via oxidation of the methyl groups on the mesityl moiety of the catalyst,8 which is a known degradation pathway that leads to loss of catalytic activity. 28 The problem of low conversion could be partly overcome through slow addition of the catalyst via syringe pump.

Apart from addition to π systems, NO₃ also reacts through hydrogen abstraction, 8,12,13 which was explored in the catalytic oxidation of non-activated alcohols. In this reaction, NO₃• acts as a redox mediator, which is regenerated during the catalytic cycle, according to the mechanism in Scheme 4. Initial HAT from the alcohol carbon atom by NO₃•29 leads to the regeneration of NO₃⁻ as nitric acid and formation of radical 12. The latter is subsequently oxidized by either NO3 or oxygen to give cationic intermediate 13, which deprotonates to yield ketone 14. The mechanism is similar to the indirect anodic oxidation of alcohols by nitrate.³⁰ Donaldson and Styler reported the enhanced gas phase oxidation of propanol under UV irradiation using TiO2 co-embedded with KNO₃. The finding was explained by formation of NO₃ and its ability to abstract hydrogen atoms from the alcohol carbon atom.31

The reaction was explored using tert-butyl cyclohexanol (11a) and the results are compiled in Scheme 5. To our delight, oxidation into the corresponding ketone 14a occurred upon irradiation with blue light in the presence of LiNO₃ using 5 mol% of 1 in acetonitrile. No reaction was observed in the absence of nitrate, which clearly confirms the role of NO3° in this reaction. Stepwise reduction of the amount of LiNO₃ from 2 eq. to 20 mol% did not affect the outcome, showing that NO₃ can act as mediator in this reaction (Scheme 5). An acidification of the solution due to formation of nitric acid was observed, but no apparent influence on the reaction or the stability of the catalyst was found. ¶

The scope of this method was explored towards other non-activated alcohols and electron deficient benzyl alcohols.

Scheme 5 Experimental conditions and results for the NO₃ mediated oxidation of alcohols

Table 2 Experimental conditions and results for the NO₃ mediated oxidation of alcohols

Entry	Alcohol	Product	Yield product ^b (%)	Recovered starting material ^b (%)
1	fBu 11a	tBu 14a	45 (79)	44
2	OH 11b	14b	42 (95)	56
3	OH 11c	0 14c	40 (40)	_
4^c	O_2N OH	O ₂ N H O 14d	55 (100)	45
5^d	OH 11e	O 14e	d	d
6	OH COOMe 11f	COOMe 14f	16 (20)	17

^a Reactions carried out using 0.25 mmol of the alcohol 11, 1 eq. of LiNO₃ and 10 mol% of 1 (two subsequent additions of 5 mol%) in 1 mL of MeCN with an irradiation time of 6 h. b Isolated yields, in brackets yield based on conversion. c Background reaction without LiNO $_3$ is 9%. Decomposition of substrate 11e.

All reactions were carried out by two sequential additions of 5 mol% of 1 in order to counteract the loss of catalytic activity caused by degradation of the catalyst. The reactions proceed with good selectivity (see Table 2, entries 1, 2, 4), but the conversion was incomplete and unreacted starting material was recovered. Aliphatic (entries 1-3) and benzylic alcohols (entries 4 and 6) were converted.

In the oxidation of isomenthol (11b) (entry 2) the configuration of the stereocenter remained unchanged, while the basic substrate 11e gave no product, which is most likely due to an acid-base reaction of pyridine with nitric acid that is generated during this reaction || by the H-abstraction by NO₃ or a possible direct oxidation of the nitrogen of pyridine by the photocatalyst or NO₃• (entry 5).³²

In conclusion, we described a new and simple access to highly reactive nitrate radicals using visible light photocatalysis with an organic dye as the photoredox catalyst. This method avoids the use of toxic compounds, or high electrochemical potentials and is, to the best of our knowledge, the first method yielding NO₃• in a catalytic process using visible light. We verified the formation of nitrate radicals by observation of the reduced catalyst Acro-Mes and showed that the mechanism is proceeding via the singlet excited state of the catalyst. By investigating the addition to aromatic alkynes, a previously well studied model reaction of NO₃*, we showed that the photocatalytic procedure is as efficient as the previously employed methods.

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Notes and references

‡ After aeration the ground state absorption of Acr⁺-Mes cannot be fully recovered (see ESI†).

- § For the mechanism of this reaction see ESL.†
- ¶ The addition of different bases (LiNO₃, LiOAc, pyridine, lutidine) did not influence the outcome of the reaction or the stability of the
- Based on the assumption that both the initial hydrogen abstraction and the oxidation of 12 are done by nitrate radicals.
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