4-Methylresorcinol based bent-core liquid crystals with azobenzene wings – a new class of compounds with dark conglomerate phases†

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Stochastic achiral symmetry breaking in soft matter systems, leading to conglomerates of macroscopically chiral domains (so-called dark conglomerate = DC phases) is of contemporary interest from a fundamental scientific point of view as well as for numerous potential applications in chirality sensing and non-centrosymmetric materials. Herein we report the synthesis and investigation of first azobenzene containing bent-core mesogens derived from 4-methylresorcinol forming DC phases with a new structure, distinct from the known fluid sponge-like distorted smectic phases as well as from the helical nanofilament phases (HNF phases, B4 phases). The effects of chain length and other structural modifications on achiral symmetry breaking were investigated. Homologues with relatively short alkyl chains form achiral intercalated lamellar LC phases (B6 phases), but on increasing the chains, these are replaced by the chiral and optically isotropic DC phases. Compounds with the longest alkyl chains form low birefringent crystalline conglomerates which represent less distorted versions of the optically isotropic DC-phases. Introducing additional peripheral substituents at both outer rings removes the DC phases. The DC phases were also removed and replaced by modulated smectic phases if the azo groups were replaced by ester units, showing that azo groups favour DC phase formation with new nanostructures, distinct from the previously known types.

1. Introduction

Since the discovery of polar order and chirality in the liquid crystalline (LC) phases of compounds with a bent aromatic core (bent-core liquid crystals = BCLCs) this field has become a thrust area of liquid crystal research by providing a significant impact on the general understanding of molecular self-assembly in soft condensed matter. Moreover, these materials are also of significant interest for numerous practical applications, as ferroelectric, flexoelectric and pyroelectric, for command surfaces and sensors, non linear optical applications and in fast switching electrooptical devices. A great fraction of contemporary interest is focussed on the so-called dark conglomerate phases (DC phases). The common feature of these DC phases is the absence of birefringence due to a local distortion of long range periodicity and the inherent phase chirality indicated by stochastic achiral symmetry breaking, leading to conglomerates of macroscopically chiral domains. These DC phases can be subdivided into the liquid crystalline smectic phases (sponge phases) and the soft crystalline helical nanofilament phases (HNF phases, also assigned as B4 phases). In the sponge type DC phases, layers without in-plane order are strongly deformed in a nonregular way, so that uniformly oriented regions become smaller than the wavelength of light. In contrast, the HNF phases are composed of helical filaments with a crystalline packing of the aromatic cores in twisted ribbons, here only the chains are in a disordered state. As there is no preferred direction for these filaments these phases appear optically isotropic. The major tool for distinguishing these two structures is XRD, where the wide angle scattering around d = 0.45 nm is completely diffuse for the LC sponge phases whereas for the soft crystalline HNF phases a series of relatively sharp scattering could be found in this region. The reduced symmetry as a result of the tilted organization of molecules in polar layers (layer chirality), collective segregation of chiral molecular conformations and a combination of both are considered as possible origins of the remarkable chirality and mirror symmetry breaking in these soft matter structures. HNF phases might become interesting materials for a number of applications, such as organic semiconductors for thin-film transistors and solar cells, as thin-film polarizers, as nonlinear optical materials as well as for the detection and amplification of chirality.
Interestingly, almost all of the known BCLCs with HNF phases incorporate the hydrolytically unstable benzylideneaniline (Schiff base) moiety with the exception of only very few recent examples. This calls for new materials with DC phases, showing enhanced chemical stability and providing new phase sequences involving the HNF phases. A broader variety of different molecular structures could also lead to an improved understanding of the molecular structural factors governing the formation of distinct subtypes of DC phases, thus providing rules for the directed design of such materials.

BCLCs incorporating azo \((-N=N-\) linkages represent versatile materials due to their photochromic effects in addition to high birefringence and high polarizability, leading to significant nonlinear optical activity. The \(cis-trans\) isomerization of the azo linkage in the presence of UV light could be used, for example, in high-density data storage systems, for sensors, photonic switches and molecular logic gates.

Though a variety of azobenzene based BCLCs with interesting properties have been reported, until recently no such BCLCs with DC phases have been available; only bent dimersogens combining two rod-like azobenzene units via an odd-numbered alky spacer were reported. We have found that iodine or bromine substitution in 4-position at the bent central resorcinol unit of azobenzene based BCLCs leads to a new type of DC-phases (Scheme 1). Hence, it appears that the size of the halogens is of importance. Bulky halogen atoms like iodine or bromine seem to be favourable for formation of DC phases, whereas no DC phases were found for BCLC with hydrogen or smaller halogens in the same position (see Scheme 1; \(F, Cl: cv = 0.13 \text{ nm}^3; \text{Br, CI}: cv = 0.27 \text{ nm}^3\)). Hence, the question arose if azobenzene BCLCs with other bulky groups at the bent core, having a size comparable to iodine/bromine, could also lead to azobenzene based BCLCs showing DC phases. The methyl group has a size \((cv = 0.32 \text{ nm}^3)\) comparable to bromine but it is almost non-polar in contrast to the \(C-H\) halogen bonds which introduce a significant dipole moment.

Here we report a series of new BCLCs derived from 4-methylresorcinol possessing two azobenzene side arms \((A_n, \text{see Scheme 2})\). These compounds show \(B_6\) phases if the terminal chains are short and a new type of dark conglomerate phases if the chains are sufficiently long. Besides these isotropic DC phases also a birefringent crystalline conglomerate was observed for a long chain compound. The formation of this phase depends on the applied cooling rates and the transition between the isotropic and birefringent types of conglomerate phases was studied. In addition, the effect of lateral groups \(X\) (see Scheme 2, \(X = F, Br, CH_3\)) at the outer rings of these BCLCs (compounds \(B_n, C_14\) and \(D_14\), respectively) has been investigated. The phase behaviour of these new compounds is compared with related compounds incorporating terephthalate or benzoate based wing groups instead of the azobenzenes, either reported in the literature or synthesized herein (\(E_14, F_14\) and \(G_14\) see Section 3.6).

### 2. Experimental

#### Synthesis

The synthesis of the target BCLCs \(A/n-D/n\) with azobenzene wings was performed as shown in Scheme 2 by acylation of 4-methylresorcinol with \(4-(4-n\)-alkoxyphenylazo)benzoyl chlorides \((X = H)\) or their 3-substituted derivatives with \(X = F, CH_3, Br\) (see Scheme S1). For the synthesis of compounds \(E/14-G/14\) appropriately substituted benzoyl chlorides (see Scheme S2) were used for the acylation reactions. Details of the synthesis of the intermediates and final compounds as well as analytical data are reported in the ESL.

#### Methods

The mesophase behaviour and transition temperatures were measured using a Mettler FP-82 HT hot stage and a control unit in conjunction with a Nikon Optiphot-2 polarizing microscope. The associated enthalpies were obtained from DSC-thermograms which were recorded on a Perkin-Elmer DSC-7, the
heating and cooling rate was 10 K min⁻¹. Electro-optical switching characteristics were examined in 6 μm polyimide coated ITO cells (EHC Japan) using the triangular-wave method. XRD patterns were recorded with a 2D detector (Vantec-500, Bruker). Ni filtered and pin hole collimated CuKα radiation was used. The exposure time was 15 min and the sample to detector distance was 8.95 and 26.7 cm for small angle and wide angle scattering experiments, respectively. Samples were aligned by slow cooling (rate: 1–0.1 K min⁻¹) of a small droplet of the compound on a glass plate and takes place at the sample–air interface. The samples were held on a temperature-controlled heating stage.

DEFT computation was carried out with the Gaussian 09 package. Geometry optimization was performed with the B3LYP functional and the LAN L2 DZ basis set. The solvation model was IEFPCM with solvent chlorobenzene.

3. Results and discussion

3.1 Overview over the LC phases formed by compounds An-Dn

The thermal behaviour of all synthesized compounds was studied by polarizing optical microscopy (POM) and differential scanning calorimetry (DSC). The transition temperatures (°C), the associated phase transition enthalpies (kJ mol⁻¹) and the phase sequences of compounds An, Bn, C14 and D14 are given in Table 1. All compounds are thermally stable as confirmed by the reproducibility of thermograms in several heating and cooling cycles. Related compounds E/14-G/14 with phenyl-benzoate wing groups instead of the azobenzenes, synthesized for comparison purposes, are described and discussed in Section 3.6.

3.2 B₆-type intercalated smectic phases of the short chain compounds A6 and A8

Compounds An without lateral substituents at the outer rings of the bent-core structure exhibit two different types of mesophases. On heating the shortest homologue (A6, with n = 6) a transition from the crystalline state to a focal-conic fan-shaped texture is observed at T = 124 °C (Fig. 1a). The dark extinctions are parallel to a polarizer and an analyzer, indicating an average orthogonal or anticlinic tilted smectic phase. No homeotropic alignment with pseudoisotropic areas or with birefringent Schlieren texture, as typical for SmA or SmC phases, respectively, could be achieved by shearing the sample. Instead, a fine fan-texture is immediately reformed after releasing shear stress (see Fig. S2f), as typical for intercalated smectic phases of bent-core mesogens, assigned as B₆ phases.

The XRD pattern (Fig. 1b and c) shows only one sharp peak in the small angle region corresponding to d-value of 2.0 nm (T = 80 °C), which is smaller than half of the molecular length (l_{mol}) in a conformation with a 120° bent aromatic unit and the alkyl chains in the most stretched all-trans conformation. For compound A6 l_{mol} is between 4.3 and 4.5 nm, depending on the assumed conformation shown in Fig. S1.† This confirms the intercalated B₆-type smectic phase deduced from optical investigations. According to the present understanding, this is a frustrated smectic phase with layers broken into ribbons and adopting a staggered organization with only short range periodicity between the ribbons (see Fig. 1d); the layer frustration takes place in the direction perpendicular to the molecular bending plane (Fig. 1d).

The wide angle scattering is diffuse and has a maximum at 0.43 nm, in line with the LC state of this phase. For an aligned sample this scattering is split into four maxima indicating the presence of a tilt of about 26° (Fig. 1b and S12f). This tilt could arise either from a tilt of the molecular bending planes, or alternatively, from the inherently tilted orientation of the individual rod-like wings of the bent-core mesogens, similar to anticlinc SmC phases. The latter would be in line with recent microbeam XRD results obtained for B₆ phases of Schiff base compounds. In this case the orientation of the molecules would be on average orthogonal and the splitting is related to the angle of the molecular bent of 128° which is in good agreement with the geometry provided by the molecular structure. This would also be in line with the optical textures which have the extinction brushes strictly parallel to the polarizers (see Fig. 1a). However, the measured d value is a bit shorter than half the molecular length (2d/l_{mol} ~ 0.9) which in this case must be attributed to partial intercalation and conformational disorder of the alkyl chains. On cooling A6 from the isotropic state the B₆ phase appears at T = 129 °C and remains on cooling down to room temperature without visible crystallization of the sample (for DSC traces, see Fig. 2a), but the sample becomes glass-like solid without any change of the texture at ~40 °C. This might indicate a transition to a glassy state of the B₆ phase or an isomorphous crystallization. As no clear DSC peak (only a small hump between 40 and 50 °C, see Fig. 2a) was observed in the cooling traces and crystallization is observed >35 °C on heating, a glassy B₆ phase (gB₆) appears more likely.

In electrooptical investigations using a triangular wave voltage no current peak could be observed in the whole temperature range of this mesophase up to a voltage of 200 V_{pp} in a 6 μm ITO cell. These observations, together with the relatively high transition enthalpy value of ΔH = 12.3 kJ mol⁻¹ for the Iso–LC transition at T = 129 °C are in line with the suggested B₆ phase. With increasing chain length (n = 8 and 9) the B₆ phase is retained but the Iso–B₆ transition temperature decreases to 96 °C for A8 and to 87 °C for A9, and the B₆ phase becomes monotropic (metastable) for these two compounds.

3.3 Competition between B₆ and DC phases in A9

On cooling the B₆ phase of A9 a highly viscous, almost solid-like optically isotropic mesophase starts to grow at T = 85 °C (Fig. 3a and b). Uncrossing the polarizers by a small angle leads to the appearance of dark and bright domains. Uncrossing the polarizer in the opposite direction reverses the dark and bright domains (Fig. 3c and d). Rotating the sample between crossed polarizers does not lead to any change and this indicates that the distinct regions represent chiral domains with opposite handedness, as typical for dark conglomerate phases (DC phases). The distribution of the areas of domains with opposite chirality is on average 1 : 1.
The formation of the DC phase is slow and on further cooling the remaining B6 phase rapidly crystallizes with formation of a birefringent crystalline phase (see Fig. 3b) at \( T = 67 \, ^\circ C \), while the DC phase only very slowly crystallizes. On heating the areas of the DC phase go to the isotropic liquid state at \( T = 100 \, ^\circ C \), while the birefringent crystalline regions of the sample melt to a clear liquid at \( T = 110 \, ^\circ C \). This indicates that the B6 phase, formed first, is thermodynamically unstable and upon annealing is replaced by the isotropic DC phase and a birefringent crystalline phase. Formation of the DC phase is in competition with fast crystallization, but the DC phase once formed crystallizes only slowly (see Fig. S3†). However, after slow cooling (2 K min\(^{-1}\)) to \( \sim 70 \, ^\circ C \), followed by immediate heating, a uniform DC phase can be obtained (see 3\(^{rd}\) heating curve in Fig. 2b).\(^{42}\)

### 3.4 DC phases of the long chain compounds A10–A22

3.4.1 Optical and calorimetric investigations. For the next homologues with \( n = 10–18 \) the DC phase is the only observed mesophase and it is directly formed upon cooling the isotropic liquid (see Fig. S4† for textures observed for compound A14). The growth process from the isotropic liquid, as observed between slightly uncrossed polarizers, is shown for compound A10 in Fig. 4. The distinct chiral domains grow with a circular shape (Fig. 4a–c) and finally coalesce to a mosaic-like pattern with sharp linear boundaries, representing the Voronoi cells separating the germs of circular domain growth (Fig. 4d). In this respect the formation of the DC phases reported herein is distinct from previously reported cases, showing fractal growth.\(^{43}\)

The melting of the DC phases (DC–Iso-transition) takes place around 100–104 °C and the crystallization of the DC phase (Iso–DC transition) is found between 87 and 95 °C for all compounds A10–A20 and both transition temperatures increase slightly with rising alkyl chain length (see Table 1 and Fig. 5a). Hence, there is a supercooling of this phase transition by about 10 K (peak temperatures at scanning rates of 10 K min\(^{-1}\)). The formation of the DC phases is associated with relatively high enthalpy and it is therefore of interest to investigate the transition enthalpies for these compounds.

### Table 1 Phase transition temperatures (\(T/\degree C\)), mesophase types, and transition enthalpies (\(\Delta H/\text{kJ mol}^{-1}\), values in square brackets) of compounds An, Bn, C14 and D14

<table>
<thead>
<tr>
<th>Comp.</th>
<th>n</th>
<th>X</th>
<th>Heating</th>
<th>Cooling</th>
</tr>
</thead>
<tbody>
<tr>
<td>A6</td>
<td>6</td>
<td>H</td>
<td>Cr 124 [41.0] B6 131 [11.9] Iso</td>
<td>Iso 129 [12.3] B6</td>
</tr>
<tr>
<td>A8</td>
<td>8</td>
<td>H</td>
<td>Cr 111 [35.9]Iso</td>
<td>Iso 96 [11.1] B6 62 [9.1] Cr</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>DC 100 [22.7] Iso(^{b})</td>
<td>Iso 87 [9.4] B6 (\sim 85) DC [(\geq 9.1)] 67 Cr</td>
</tr>
<tr>
<td>A10</td>
<td>10</td>
<td>H</td>
<td>Cr 107 [37.4] Iso</td>
<td>Iso 87 [24.1] DC</td>
</tr>
<tr>
<td>A12</td>
<td>12</td>
<td>H</td>
<td>Cr 109 [38.1] Iso</td>
<td>Iso 87 [26.8] DC</td>
</tr>
<tr>
<td>A14</td>
<td>14</td>
<td>H</td>
<td>Cr 101 [31.4] Iso</td>
<td>Iso 88 [33.9] DC</td>
</tr>
<tr>
<td>A16</td>
<td>16</td>
<td>H</td>
<td>Cr 102 [40.8] Iso</td>
<td>Iso 90 [43.9] DC</td>
</tr>
<tr>
<td>A18</td>
<td>18</td>
<td>H</td>
<td>Cr 103 [49.6] Iso</td>
<td>Iso 93 [51.7] DC</td>
</tr>
<tr>
<td>A20</td>
<td>20</td>
<td>H</td>
<td>Cr 104 [56.7] Iso</td>
<td>Iso 95 [59.0] DC</td>
</tr>
<tr>
<td>A22</td>
<td>22</td>
<td>H</td>
<td>Cr 105 [73.2] Iso</td>
<td>Iso 96 [76.5] Cr</td>
</tr>
<tr>
<td>B6</td>
<td>6</td>
<td>F</td>
<td>Cr 112 [41.3] Iso</td>
<td>Iso 88 [8.6] SmC(_P)_6, 67 [10.5] Cr</td>
</tr>
<tr>
<td>B14</td>
<td>14</td>
<td>F</td>
<td>Cr 90 [32.9] SmC(_P)_6, 95 [12.8] Iso</td>
<td>Iso 90 [15.2] SmC(_P)_6, 74 [39.8] Cr</td>
</tr>
<tr>
<td>B20</td>
<td>20</td>
<td>F</td>
<td>Cr 95 [93.0] Iso</td>
<td>Iso 92 [16.0] SmC(_P)_6, 82 [77.7] Cr</td>
</tr>
<tr>
<td>C14</td>
<td>14</td>
<td>Br</td>
<td>Cr 78 [77.2] Iso</td>
<td>Iso 30 [53.2] Cr</td>
</tr>
<tr>
<td>D14</td>
<td>14</td>
<td>CH(_3)</td>
<td>Cr 79 [47.9] Iso</td>
<td>Iso 33 [0.9] SmC &lt; 20 Cr</td>
</tr>
</tbody>
</table>

\(^{a}\) Before measurement the compounds were melted and heated to 150 °C to remove traces of enclosed solvent, afterwards they were cooled with 10 K min\(^{-1}\) to room temperature; the phase transition temperatures (peak temperatures) were taken from the following heating and cooling scans at 10 K min\(^{-1}\), if not otherwise specified; abbreviations: SmC = synclinic tilted birefringent smectic phase; SmC\(_P\)_6 = anticalc tilt antiferroelectric SmC phase; Iso = isotropic liquid; Cr\(^{[a]}\) = crystalline phase composed of a conglomerate of chiral domains, for other explanations, see Scheme 1.\(^{b}\) Obtained on heating after previous cooling to 75 °C with 10 K min\(^{-1}\).\(^{c}\) Obtained on heating after previous cooling to 80 °C with 20 K min\(^{-1}\).\(^{d}\) The actual phase sequence strongly depending on conditions, see DSC traces in Fig. 2b; transition enthalpy value could not be determined for the B6-DC transition (see Section 3.3).\(^{e}\) Cooling with 2 K min\(^{-1}\). Partial crystallization.

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transition enthalpies, ranging between $\Delta H \sim 24$ and 59 kJ mol$^{-1}$ (measured in cooling scans), strongly rising with growing alkyl chain length from A10 to A20 (see Table 1 and Fig. 5a).

Compounds A10 and A12 easily crystallize on cooling or on reheating, but the DC phases of compounds with longer chains ($n = 14–20$) do not crystallize, once the DC phase is formed, as
shown in Fig. 5b for compound A18 as an example (for additional DSC curves, see Fig. S7 and S8). In the case of the long chain compound A20 a different behaviour is observed which is discussed here in some more detail. For this compound there is a strong effect of the cooling rate on the phase sequence and the phase structure (see Fig. 5c and d). On cooling from the isotropic liquid phase with a low rate of \( \leq 2 \text{ K min}^{-1} \) (Fig. 5c, curve b) the DC phase is formed at \( T = 95^\circ C \) and it does not crystallize on further cooling, and on heating only a single peak is observed at \( T = 104^\circ C \) (Fig. 5c, curve a), similar to compounds A14–A18 (Fig. 5b). The transition enthalpy is \( \sim 57 \text{ kJ mol}^{-1} \) on heating and \( \sim 59 \text{ kJ mol}^{-1} \) on cooling. However, on cooling with a faster rate of \( \geq 5 \text{ K min}^{-1} \) (Fig. 5d, curve d) a crystalline phase with a weakly birefringent spherulitic texture (see Fig. 6b) is formed at \( T = 92^\circ C \) and the transition enthalpy is now significantly larger, around \( \sim 71 \text{ kJ mol}^{-1} \) on cooling.\(^4\) The spherulitic texture (Fig. 6b) is similar to those found for columnar or (modulated) smectic LC phases, however, this phase is solid-like and does not flow on applying shear forces, and therefore, this is a crystalline phase.

Interestingly, also this crystalline phase is chiral and composed of domains with opposite handedness, \textit{i.e.} dark and bright domains become visible if the polarizers are slightly uncrossed (see Fig. 6a and c), hence, this birefringent conglomerate phase is assigned as \( \text{Cr}^{[\ast]} \). Each of the spherulites appears to have uniform chirality, so it seems that the chirality is determined by each nucleus and is preserved through the growth process.\(^4\) On further cooling the \( \text{Cr}^{[\ast]} \) phase is retained and does not change down to room temperature, but on heating to \( T = 99^\circ C \) it transforms into the DC phase. At this transition the spherulitic texture becomes uniformly isotropic and the chirality as well as the sign of chirality in the distinct domains is retained (see Fig. 6e–g). This temperature corresponds to the position of the exotherm II in the heating curve c in Fig. 5d. The unusual shape of the DSC heating curve c is characterized by a significant tailing which rises up to a local maximum I, before it abruptly goes through an exotherm II, and finally the peak maximum III is reached. This kind of DCS curve is always found for the heating curves after cooling the sample with rates \( \geq 5 \text{ K min}^{-1} \) independent of the used heating rates (see Fig. S9).\(^\dagger\) The endothermic tailing I, starting at \( T \approx 85^\circ C \), is interpreted as a result of a chain melting process which leads to a softening of the \( \text{Cr}^{[\ast]} \) phase. This obviously allows a denser packing (crystallization) of other molecular parts, giving rise to the exotherm II in the heating curve. At this temperature the \( \text{Cr}^{[\ast]} \) phase transforms into the DC phase, \textit{i.e.} this transition is accompanied by a layer deformation which leads to the formation of the optically isotropic DC phase at \( T = 99^\circ C \). On further heating this DC phase melts into the isotropic liquid at the endothermic peak III at \( T = 104^\circ C \). Once formed (either on cooling the preformed DC phase or on slow cooling from the isotropic liquid state), the DC phase is stable and it is retained down to room temperature; only a single peak at \( T = 104^\circ C \) is observed on heating (curve e in Fig. 5d). Even after storage at room temperature for more than 8 weeks the same transition temperatures and enthalpies were obtained. The persistence of the DC phases might be the result of the freezing of disordered alkyl chain segments into an immobilized, probably a glassy state, which, once formed, inhibits the transformation into the crystalline \( \text{Cr}^{[\ast]} \) phase or any other crystalline phase.

Compound A22 with the longest chains is a crystalline solid with a melting point at \( T = 105^\circ C \). No formation of a DC phase could be detected at any cooling rate. Also in this case the crystalline phase formed on cooling consists of a conglomerate of chiral crystals (see Fig. S5†), though the chiral domains cannot be observed with the same clarity as for A20, because the birefringence is higher and no uniform texture is obtained. In the following focus is on the DC phases of compounds A10–A20.

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**Fig. 4** a–d) Growth of the circular chiral domains of the DC phase of A10 upon cooling from the isotropic liquid state at \( T = 87^\circ C \) as observed between slightly uncrossed polarizers (see arrows); the textures of the DC phase of A14 are shown in Fig. S4.†

**Fig. 5** a) Dependence of DC–Iso transition temperatures (on heating and cooling scans with 10 K min\(^{-1}\)) and transition enthalpy values (on cooling from the isotropic liquid) of compounds A10–A20 on alkyl chain length \( n \); (b–d) DSC heating and cooling curves of (b) compound A18 at 10 K min\(^{-1}\), (c) compound A20 at 2 K min\(^{-1}\) and (d) compound A20 with a rate of 10 K min\(^{-1}\) (curves c and d); curve e was recorded after cooling with 2 K min\(^{-1}\).
3.4.2 Electrooptical investigations of the DC phases. In electrooptical experiments no current peak could be observed in the DC phases and also no birefringence is induced under an applied triangular wave voltage up to 200 Vpp in a 6 μm ITO cell. These features are similar to those known for so-called crystalline HNF phases (B4 phases) and distinct from most fluid sponge phases.

3.4.3 Investigation of the DC phases by XRD. XRD investigations of A14 in the DC phase (see Fig. 7) indicate an intense layer reflection with its weak second to fifth order harmonic in the small and medium angle region (d = 4.47 nm, T = 70 °C). No alignment could be achieved and therefore in the 2D patterns, all scattering form closed rings with uniform intensity distribution (see Fig. 7 and S13–S17†). This is due to the disordered meso-scale structure, which is an inherent feature of all DC phases and leads to their optically isotropic appearance as well as to a broadening of all XRD reflections. The 2θ scans of the other compounds are collated in Fig. 8.

In all cases the d-value is significantly larger than L_mol/2, but also smaller than the single molecular length, indicating a tilted monolayer-like organization of the molecules in the DC phases. The d-value of the layer reflection grows, as expected, with rising molecular length (Fig. 9a). The ratio d/L_mol is ~0.73–0.75 for all investigated compounds which, according to d/L_mol = \cos \beta, lead to a tilt angle around 40°.46 This relatively large difference between d and L_mol distinguishes these DC phases from the previously reported HNF phases where d is usually close to the molecular length.16,17
In the medium angle region of the diffraction patterns of compounds A14–A20 there are additional distinct scattering maxima on top of a broad diffuse scattering covering the range between 2θ = 5° and 2θ = 15° (see Fig. 8), only for A10 exclusively the diffuse scattering could be observed. These reflections can be indexed as higher harmonics of the layer reflection (see Fig. 7c), as also found for the HNF phases. In the wide angle range there is a broad feature which could be fitted to 4 maxima (w1–w4) in the 2θ range between 19° and 21° (see Fig. 7c). This pattern excludes fluid sponge phases, showing exclusively a very diffuse wide angle scattering besides the layer reflection. However, it is also distinct from the typical patterns of HNF phases (B4 phases), where the wide angle reflections have other positions and appear as significantly sharper separate peaks.9,20,21

With growing chain length the intensity of the second harmonics of the small angle scattering decreases and it has completely disappeared for compound A18 (Fig. 8), whereas the (50) and (40) reflections increase in intensity, most probably due to the changing electron density modulation resulting from the changing thickness of the aliphatic layers and other structural modifications. There is also a nearly continuous change of the positions of the wide angle scattering (Fig. 8 and 9), indicating continuous structural transformations depending on the chain lengths. An indexing of the wide angle diffraction patterns was not attempted, because of the limited number and the diffuse character of the reflections. Due to the overlapping of several scattering maxima the precise assignment of the positions of the maxima is difficult and the presence of additional scattering in this region cannot be excluded. In any case, the scattering in this region should result from the packing of the crystallized (mainly aromatic) segments on a 2D in-plane lattice. The significant line broadening is thought to be due to the limited correlation length of the crystalline micro-domains.

Major changes can be observed for the scattering w3 occurring around 2θ ≈ 19°–20° which decreases in intensity (compare Fig. 8a–e) and is shifted to smaller d-values (see Fig. 9b) from A14 to A20. Based on its d-value in the range between 0.46 and 0.43 nm and its strong intensity dependence on temperature (see discussions below) it is thought that this scattering is most likely due to the mean distance between less ordered (not crystallized) segments of the alkyl chains. The observation that with increasing chain length the position of w3 is shifted to smaller d-values would be in line with a denser chain packing for longer chains.

The temperature effect on the XRD pattern of compound A14 in the DC phase is shown in Fig. 7c. There is no significant influence on the intensities and positions of the scattering

![Fig. 8](https://example.com/fig8.png)

**Fig. 8** Comparison of 2θ-scans of the XRD patterns at the indicated temperatures for (a) A10, (b) A14, (c) A16, (d) A18 and (e) A20 in the DC phase (cooling rate 0.5 K min⁻¹ in all cases) and (f) A20 in the Cr¹ phase (after cooling with rate 10 K min⁻¹).
The suggested core crystallization as origin of the exotherm (II) occurring on heating at the transition from the birefringent Cr\textsuperscript{$\ast$} to the optically isotropic DC phase (see curve c in Fig. 5d). Because chirality is observed in the birefringent Cr\textsuperscript{$\ast$} phase as well as in the isotropic DC phase, the aromatic cores should in both phases adopt chiral helical conformations with uniform helix sense, which is opposite in the distinct chiral domains. At the Cr\textsuperscript{$\ast$}-DC transition the contrast between the dark and bright domains decreases (compare Fig. 6a, e and c, g). This reduced optical activity indicates a reduction of the helical twist of the molecular conformations at the transition from the crystalline to the DC phase. Molecular entities with uniform helix sense cannot be densely packed in nondistorted layers and thus induce twist and curvature. This distortion increases with growing packing density of the helical entities, and therefore, the denser core packing leads to a stronger layer deformation force, giving rise to the formation of the optically isotropic DC phase. The birefringent Cr\textsuperscript{$\ast$} phase, formed on rapid cooling appears to be dominated by optimized alkyl chain packing (chain crystallization), which allows a non-twisted lamellar or modulated lamellar organization of the molecules, but without optimized core-packing. The aromatic cores remain in a strongly twisted helical conformation, leading to a high optical activity, but the strong molecular twist also leads to a disruption of the aromatic layers into smaller domains (see Fig. 6d). Upon heating the Cr\textsuperscript{$\ast$} phase, the alkyl chain order decreases and a growing fraction of these chains becomes disordered. This allows a denser core packing, simultaneously leading to a reduced helicity of the molecular conformations (reduced optical activity) and, giving rise to a strong layer distortion and formation of the DC phase with an increased alkyl chain disorder. This means that the isotropic DC phases are the result of the optimization of core packing and represent disordered versions of the birefringent crystalline conglomerates (Cr\textsuperscript{$\ast$} phases), providing improved alkyl chain packing. With rising alkyl chain length the chain crystallization becomes increasingly more important. For compound A\textsubscript{20} formation of the optical isotropic DC phase can still be achieved by using sufficiently slow cooling rates, so that the slow core crystallization can take place and determine the structure, leading to the DC phase. On fast cooling, however, densest core packing obviously cannot be achieved and the fast chain crystallization leads to a non-twisted lamellar organization, giving rise to the birefringent conglomerate phase Cr\textsuperscript{[s]} with reduced core order and enhanced optical activity. For compound A\textsubscript{22} chain crystallization dominates at all cooling rates, and hence, exclusively a crystalline phase is formed.

Low birefringent crystalline conglomerate phases were also observed for compounds A\textsubscript{14}-A\textsubscript{18}, but for these compounds very high cooling rates ($\gg$ 20 K min$^{-1}$) are required for their formation and there is apparently always a coexistence of these birefringent Cr\textsuperscript{$\ast$} phases with the isotropic DC phases, which makes the investigations difficult. Fig. 10 shows representative textures and DSC curves as obtained for compound A\textsubscript{16} after rapid cooling (30 K min$^{-1}$ for the texture and 50 K min$^{-1}$ before recording the DSC trace a). For these compounds core crystallization is sufficiently fast compared to alkyl chain

maxima with exception of $w_3$ which is strongly reduced at decreased temperature, though its position is retained. Reducing the temperature has obviously a similar effect on the intensity of this scattering like increasing the alkyl chain length. This supports the assumption that this scattering maximum does not belong to the 2D in-plane lattice and could be attributed to the mean distance between the disordered alkyl chain segments. The fraction of the more disordered alkyl chain segments becomes smaller as temperature is reduced and as the alkyl chain length is increased, because longer chains provide a higher tendency for chain crystallization, as mentioned above. This corresponds with the observed strong rise of the DC-Isol transition enthalpy from 24.1 kJ mol$^{-1}$ for A/\textsubscript{10} to 59 kJ mol$^{-1}$ for A/\textsubscript{20} (see Table 1 and Fig. 5a; values on heating). In line with increasing chain crystallization by chain elongation, the optically isotropic DC phase of compound A\textsubscript{20} is in competition with a low birefringent crystalline conglomerate phase Cr\textsuperscript{[s]} and exclusively a Cr\textsuperscript{$\ast$} phase is formed by A\textsubscript{22} with the longest chains.

For compound A\textsubscript{20} the XRD patterns were recorded at the same temperature ($T = 90 \, \degree \text{C}$) after slow cooling (0.5 K min$^{-1}$ Fig. 8e) in the DC phase as well as after fast cooling (10 K min$^{-1}$ Fig. 8f) in the Cr\textsuperscript{$\ast$} phase. Surprisingly, the two XRD patterns look very similar, only all scattering appear to be a bit broader and have slightly lower intensity in the birefringent Cr\textsuperscript{$\ast$} phase, though the sample and exposure time were identical. This is reproducibly observed and would suggest a reduced local order in the Cr\textsuperscript{$\ast$} phase compared to the DC phase. This is in line with
crystallization and therefore DC phases can be observed for moderate cooling rates. The Cr^{4\,\times\,1} phases are absent for all shorter homologues starting with A12, which have the smallest contribution of alkyl chain crystallization to the total transition enthalpy value (Fig. 5a); here self-assembly is dominated by optimized core packing, which leads to DC phase formation.

3.4.4 Molecular conformation and structure property relations. DFT calculations of the model compound A1 with n = 1 (Gaussian 09 package, B3LYP functional and the LAN L2 DZ basis set) indicate a bent and helically twisted chiral molecular conformation as the minimum energy conformation shown in Fig. 11. The 4-methyl group at the resorcinol core unit does not induce a significant deviation from the 120° bending angle between the two azobenzene wings. Also, the azobenzene units themselves are linear and the benzene rings in these wings as well as the C==O groups are nearly coplanar. However, the methyl group at the 4-position at the resorcinol core induces a significant twist of the adjacent carboxyl group together with the coplanar azobenzene wing out of the plane of the resorcinol unit by 72.4°, which is much larger than that of the azobenzene wings adjacent to H-6 (53.6°). This strong twist leads to an overall helical molecular conformation and this is assumed to be responsible for a high tendency for chiral segregation and distorted packing in layers. Though the understanding of the general structure property relations with respect to formation of DC phases is still at the infancy it appears that the presence of relatively flat and rigid aromatic π-systems, as provided by azobenzenes and to some extent also by Schiff base units is favorable for formation of soft crystalline DC phases. So, it appears that π-stacking favours DC phase formation. In the case of the azobenzenes the presence of an additional relatively bulky substituent, such as I, Br or CH3 seems to be required, probably by providing a stronger molecular twist. In contrast, formation of LC sponge type DC phases is favoured for bent-core compounds with more flexible phenylbenzoate wings and having long and flexible or bulky end groups at the termini. However, final conclusions cannot be drawn here and require further investigations.

3.4.5 Investigation of mixtures with 5-CB. It is known that HNF phases can be diluted by nematic LC hosts to a high degree (>95%) without the loss of the chirality. This is a direct consequence of the helical nanofilament structure of these phases, allowing a swelling of the filaments by the nematic LC and transfer of chirality from the nanofilaments to the nematic LC. This effect is not observed for the sponge type DC phases formed by distorted fluid smectic phases. Therefore, investigation of mixtures with 5-CB could provide additional information concerning the microstructure of the DC phases of compounds An. The 1 : 1 mixture of A14 with 4′-n-pentyl-4-cyanobiphenyl (5-CB) does not show any DC phase. On heating this mixture only a direct transition from the crystalline material to the isotropic liquid state occurs at 85 °C which is below the DC–Iso transition temperature of pure A14 (T = 101 °C). On cooling this mixture a transition from the isotropic liquid to the nematic phase takes place at T ~ 54 °C, but no chiral domains can be observed. The nematic phase rapidly crystallizes with formation of a highly birefringent crystalline phase at T ~ 53 °C (see Table 2 and Fig. S19†).

The longer compounds A16–A20 behave differently; for the 1 : 1 mixtures the DC phases were retained and their
temperature ranges increase with growing chain length (Table 2 and Fig. S20†). However, at only slightly higher 5-CB concentration, as for example, in the 4 : 6 mixtures of compounds A16–A20 with 5-CB the chiral DC phase is lost and instead a heterogeneous mixture is observed indicating that the amount of 5-CB which can be mixed into the DC phases of compounds An is strongly limited. So, compared with the HNF phases, the DC phases of compounds An can only be retained if the alkyl chains are sufficiently long, but also in this case much less 5-CB is tolerated. These observations, in conjunction with the XRD studies, confirm that the DC phases of compounds An should be different from the classical HNF phases. It appears that these phases are formed by smaller nano-domains instead of long helical filaments, in line with the results discussed in the previous sections. Because no extended filaments are formed, no gel-like networks of these fibers are possible and therefore these compounds can take up only a limited amount of 5-CB, which is directly incorporated between the bent-core molecules thus reducing the stability of the DC phases.

Mixing the longest homologue A22, which does not show any DC phase, with 5-CB in 1 : 1 ratio induces a DC phase as an enantiotropic phase between \( T = 59 \) °C and 87 °C (see Fig. S21†). This shows that 5-CB can reduce the chain crystallization and thus allow the formation of the DC phase even if the pure compound itself does not show any DC phase. However, no DC phase can be induced in the fluorinated compounds \( \text{Bn} \) (\( X = \text{F} \), 1 : 1 mixtures with 5-CB) that show polar smectic phases and are discussed in Section 3.5.

### 3.5 Polar and non-polar smectic phases formed by compounds \( \text{Bn} \)–\( \text{Dn} \) with additional peripheral substituents

In order to investigate the effect of introducing a lateral substitution on the outer two benzene rings in the periphery of the aromatic core of the bent-core liquid crystals An, related molecules \( \text{Bn} \), C14 and D14 were synthesized (Scheme 2). In the series of compounds \( \text{Bn} \) with \( X = \text{F} \) all compounds show the same type of mesophase, irrespective of the chain length (see Table 1). As an example, on heating B14 in a homeotropic cell a schlieren texture is observed at \( T = 90 \) °C (see Fig. S6a†) indicating the presence of a SmC phase. The relatively high transition enthalpy (\( \Delta H \approx 12.8 \) kJ mol\(^{-1}\)) is in the range as usually found for polar SmC phases (for representative DSC traces, see Fig. S10†). The presence of two polarization reversal peaks in each half period of an applied triangular wave voltage (160 V\(_{pp}\), see Fig. 12a) indicate an antiferroelectric switching process. The spontaneous polarization value was calculated to be \( P_s = 650 \) nC cm\(^{-2}\) at 85 °C. On cooling the compound in a 6 μm ITO coated cell under an external applied field circular domains are formed (Fig. 12c). At 0 V the position of the extinction crosses is parallel to the polarizers, indicating an anticlinic tilted SmC\(_g\)P\(_s\) phase. Under an applied electric field the extinction crosses in the circular domains become inclined to the directions of the polarizers, indicating a field-induced synclinic SmC\(_g\)P\(_s\) phase. By changing the direction of the applied electric field three distinct states (see Fig. 12b–d) can be clearly observed confirming a tristable switching process by rotation on a cone. Based on these results it is concluded that this is a \( B_2 \)-type polar SmC phase with an anticlinic antiferroelectric SmC\(_g\)P\(_s\) ground state structure.

Replacing the fluorine substituents in \( \text{Bn} \) by methyl groups (D14) leads to a monotropic SmC phase (for texture see Fig. S6b†) without polar order, also indicated by the much smaller SmC–Iso transition enthalpy values (0.9 kJ mol\(^{-1}\)) and the observation that no current peak could be observed up to a voltage of 200 V\(_{pp}\) in a 6 μm ITO cell. It appears that increasing the size of the peripheral substituents \( X \) reduces the packing density significantly, thus leading to strong reduction of mesophase stability in the order \( \text{H} \sim \text{CH}_3 > \text{Br} \), at first leading to a loss of polar order for \( X = \text{CH}_3 \) and finally to a complete

### Table 2 Phase transition temperatures and mesophase types of 1 : 1 mixtures of 5-CB and compounds A14–A22 and B14

<table>
<thead>
<tr>
<th>Mixture</th>
<th>Heating ( T^\circ )C</th>
<th>Cooling ( T^\circ )C</th>
</tr>
</thead>
<tbody>
<tr>
<td>A14–5-CB</td>
<td>Cr3, 39 Cr5, 85 Iso</td>
<td>Iso 54 N 53 Cr</td>
</tr>
<tr>
<td>A16–5-CB</td>
<td>Cr4 64 DC 73 Iso</td>
<td>Iso 66 DC</td>
</tr>
<tr>
<td>A18–5-CB</td>
<td>DC 77 Iso</td>
<td>Iso 72 DC</td>
</tr>
<tr>
<td>A20–5-CB</td>
<td>DC 80 Iso</td>
<td>Iso 78 DC</td>
</tr>
<tr>
<td>A22–5-CB</td>
<td>Cr(^{1}) 59 DC 87 Iso</td>
<td>Iso 80 DC 37 Cr(^{1})</td>
</tr>
<tr>
<td>B14–5-CB</td>
<td>Cr 63 Iso</td>
<td>Iso 61 Cr</td>
</tr>
</tbody>
</table>

* Transition temperatures were taken from the observed textures using polarized optical microscopy; abbreviations: N = nematic phase, for other abbreviations please see Table 1.

Fig. 12 Electrooptical investigation of compound B14. (a) Switching current response curve recorded by applying a triangular wave voltage (160 V\(_{pp}\), 10 Hz, 5 KΩ) to a 6 μm coated ITO cell with a homogeneous PI alignment layer at 85 °C in the SmC\(_g\)P\(_s\) phase; (b–d) optical textures as obtained at \( T = 79 \) °C under an applied DC voltage between crossed polarizers (parallel rubbing). Rubbing direction parallel to the polarizer, direction of the polarizers is shown in (b); (b) field induced SmC\(_g\)P\(_s\) state at +10 V; (c); SmC\(_g\)P\(_s\) ground state after switching off the field (0 V) and (d) the field induced SmC\(_g\)P\(_s\) state at –10 V.
suppression of all mesophases for X = Br. As the electronnegative F atoms increase the polarity of the core region, and hence increase the segregation of the core from the lipophilic alkyl chains, there is no significant mesophase destabilization or a slight stabilization if H is replaced by F (compare A14 and B14 in Table 1). But nevertheless, chiral segregation is removed. The dominance of steric effects of Br and CH3 leads to the loss of polar order and to the lowest LC phase stability for these compounds.

3.6 Effects of replacing the azo groups by ester groups

Aiming to investigate the effects of replacing the azo linking groups in compounds A14 by ester groups, compounds E14, F14 and G14 were synthesized. As shown in Scheme 3, the meso-phase exhibited by E14 is enantiotropic with a relatively wide LC range and much higher transition temperatures than found for A14. The texture of the LC phase (Fig. S6c†) is similar to those observed for modulated smectic phases of bent-core mesogens.44 On applying a triangular wave electric field of 160 Vpp to E14 in a 6 μm ITO cell, two polarization reversal peaks can be clearly observed in the switching current response curve (see Fig. S22†) indicating antiferroelectric switching. The spontaneous polarization value, \( P_s = 310 \text{nC cm}^{-2} \) at 120 °C is only about one half of that usually observed for SmCPA phases (~600–800 in the B2 phases) and is in the typical range as observed for modulated SmCPA phases. By changing the direction of the applied electric field (Fig. S23†), no change in the texture could be observed, confirming that the switching process in this mesophase takes place by collective rotation around the long axis of the molecules. This mode of switching is also in line with a modulated structure of this SmCPA phase (SmCPA); for a nondistorted flat SmCPA phase a switching on a cone would be more likely.

In XRD measurements of compound E14 (Fig. S18†) several equally spaced sharp reflections can be found in the small angle region, indicating a lamellar structure with a \( d \)-value of 4.83 nm. The diffuse scattering in the wide angle region shows four intensity maxima beside the equator, from which a tilt angle of the molecules of \( \sim 28^\circ \) could be calculated. As the extinction crosses are approximately parallel to the polarizers (Fig. S6c†) and an equal intensity of the wide angle scattering is found (Fig. S18a and b†) the tilt correlation should be antclinic. Though there are indications of layer modulations or undulations from other experimental data, these are not visible in the XRD patterns. Either the modulation wavelength is very long, so that the layer reflections are not resolved into separate reflections, or the reflections with \( h \) and \( k \neq 0 \) are too weak to be detected with the used XRD setup.

Replacing the terminal alkoxy chains in E14 by alkyl chains in compound F14 (see Scheme 3, for DSC traces see Fig. S11a†) leads to a compound with about 20 K lower clearing temperature which can also be assigned as SmCPA based on the observed textures and measured \( P_s \) values (\( P_s = 300 \text{nC cm}^{-2} \) at 95 °C, see Fig. S24†). The major difference between the two compounds is the inclination of the dark extinctions upon applying an electric field (Fig. S25†), indicating that the switching process in this case takes place on a cone; so probably the modulation wavelength of F14 is larger than for E14.

Inverting the direction of the terminal ester group in compound G14 (see Scheme 3) further reduces the transition temperatures, which are now similar to those of the azobenzene derivative A14 (for DSC traces see Fig. S11b†). Also in this case the texture is similar to those of some modulated smectic phases (Fig. S6d†). The position of the extinction crosses is inclined with the orientation of the polarizers and thus indicates a synclinic tilted organization of the molecules. In electrooptic studies (160 Vpp in a 6 μm ITO cell) no current response can be detected. This might be due to a shorter modulation wavelength than in the case of E14, which is known to suppress polar switching or would require very high voltages. Also the observation of a uniform synclinic tilt would be in line with a B_{1rev}-like modulated SmC phase with an oblique lattice. However, it was not possible to further confirm the proposed phase structure by XRD due to the rapid crystallization. Overall, replacing the azobenzene wings by terephthalates (E14, F14) or benzoates (G14) removes the DC phases and leads to polar and apolar smectic phases with a significant tendency for layer modulation or undulation.

4. Conclusions and summary

New bent-core liquid crystalline materials combining 4-methyldoresorcinol with azobenzene wings were synthesized and investigated. The short homologues (\( n = 6–8 \)) exhibit intercalated smectic phases (Bn phases) which upon increasing the chain length (\( n = 9–20 \)) convert to DC phases and for the longest homologue (\( n = 22 \)) only a crystalline phase was observed. For compound A20 formation of the optically isotropic DC phase is in competition with formation of a low birefringent crystalline conglomerate (Cr\( ^{[*]} \)) and the observed phase type is strongly
dependent on the cooling rate. It appears that formation of the Cr\(\text{II}\) phase is favored by chain crystallization, whereas in the isotropic DC phases core crystallization is dominating. Based on the XRD patterns and high viscosity the DC phases of compounds A\(\text{n}\) belong to the soft crystalline DC phases, but they are distinct from the previously reported B\(\text{I}\) type HNF phases by the absence of extended Sm\(\text{C}\) phases to several distinct types of so

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41 The electron density modulation occurs between the regions where alkyl chains are mixed with the central units of the bent cores (low electron density) and the regions with overlapping parts of the rod-like wings (high electron density).

42 Due to this overlapping of phase transition and crystallization the transition enthalpy values could not be determined for the B$_6$–DC transition.


44 The difference of the transition temperatures in the cooling curves b and d in Fig. 5c and d is mainly due to the very different cooling rate used.

45 A similar texture was recently described for mixtures of B$_4$ phases with nematic LC and was interpreted as an alignment of the helicalnanofilaments by the nematic director, we think that in our case this texture has different origin and indicates a crystalline conglomerate phase: F. Araoka, G. Sugiymam, K. Ishikawa and H. Takezoe, Adv. Funct. Mater., 2013, 23, 2701.
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