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# Polymeric watersplitting photocatalysts; a computational perspective on the water oxidation conundrum\*

Pierre Guiglion, Cristina Butchosa and Martijn A. Zwijnenburg\*

A computational scheme to predict the thermodynamic ability of photocatalysts to drive both of the watersplitting half reactions, proton reduction and water oxidation, is discussed, and applied to a number of polymeric systems to explain their apparent inability to oxidise water. We predict that the poly(pphenylene) (PPP) is thermodynamically unable to oxidise water and that PPP is hence unlikely to split water in the absence of an external electrical bias. For other polymers, however, for example carbon nitride, the lack of oxygen evolution activity appears kinetic in origin and hence a suitable co-catalyst could potentially transform them into true watersplitting photocatalysts.

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## Introduction

Photocatalytic watersplitting offers a renewable route to molecular hydrogen. Sunlight, sometimes in a combination with an electrical bias, is used to split water into molecular hydrogen and oxygen gas, where the former can be separated off and used as fuel. As only sunlight and water are used to generate this hydrogen, and as its combustion results in the formation of only water, hydrogen produced through photocatalytic watersplitting can play a crucial role in an overall greening of the economy. However, to reach this stage, photocatalysts have to be developed that are both efficient in terms of the percentage of light photons successfully converted into hydrogen, and cheap. In this paper we discuss a computational method of analysing the thermodynamic ability of materials to act as photocatalysts for watersplitting, and apply it to a class of photocatalysts that have recently attracted great attention; organic conjugated polymers.

Chemically, the overall photocatalytic watersplitting reaction is the combination of two half-reactions:1,2

$$2\mathrm{H}^{+} + 2\mathrm{e}^{-} \rightleftharpoons \mathrm{H}_{2} \tag{A}$$

$$O_2 + 4H^+ + 4e^- \rightleftharpoons 2H_2O \tag{B}$$

Half-reaction (A) runs in the forward direction, the reduction of protons to hydrogen gas, and (B) backwards, oxidation of water to oxygen gas and protons. In order for both of these half-

reactions to take place, a photocatalyst will have to provide electrons for half-reaction (A), and accept electrons, or in other words donate holes, for half-reaction (B). Experimentally, halfreaction (A) has a standard reduction potential of 0 V relative to the Standard Hydrogen Electrode (SHE) and half reaction (B) a standard reduction potential of 1.23 V. A photocatalyst needs therefore to provide at least this amount of potential to split water. In practice, generally, a larger potential (e.g. 2 V) is required to overcome kinetic barriers and energetic losses, the difference between the effective and thermodynamic potentials being the overpotential. Another requirement for a successful watersplitting photocatalyst is that the (standard) reduction potential of its charge-carriers (electrons, holes) straddle those of half-reactions (A) and (B). In other words, the (standard) reduction potential of its electrons should be more negative than that of the proton reduction half-reaction (A) and the potential of its holes more positive than that of water oxidation half-reaction (B) (see Fig. 1).

Following the discovery by Fujishima, Honda and co-workers in 1969 that a TiO<sub>2</sub> photoanode, *i.e.* using a combination of light and electrical bias, could catalyse the splitting of water,<sup>3</sup> the search for watersplitting catalysts focussed primarily upon inorganic systems<sup>1,2,4</sup> (e.g. besides TiO<sub>2</sub>; Zn<sub>1,44</sub>GeN<sub>2,08</sub>O<sub>0,38</sub> (ref. 5) and TaON<sup>6</sup>). However, in 1985 Yanagida and co-workers<sup>7</sup> widened the scope of possible photocatalysts by demonstrating that also an organic conjugated polymer, poly(p-phenylene), could successfully catalyse half-reaction (A) under ultraviolet light.

Organic conjugated polymers offer the long-term vista of solution processable photocatalysts that can be optimized through exploiting the unrivalled synthetic versatility offered by organic chemistry. Also, in contrast to many of their inorganic counterparts, they are based on earth abundant elements. However, until recently, the progress of research into polymeric

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Christopher Ingold Laboratories, Department of Chemistry, University College London, 20 Gordon Street, London WC1H 0AJ, UK. E-mail: m.zwijnenburg@ucl.ac.uk

<sup>†</sup> Electronic supplementary information (ESI) available: Potentials at different pH values; comparison of vertical, adiabatic, and free-energy corrected potentials for PPP-7: effect of basis-set for PPP-7 and information about PPP conjugation length. See DOI: 10.1039/c4ta02044h



**Fig. 1** Scheme showing how the (standard) reduction potentials of the ideal photocatalyst straddle the proton reduction and water oxidation potentials. Experimental potential values are given relative to the Standard Hydrogen Electrode (pH = 0). In the scheme IP refers to the photocatalyst's ground-state ionisation potential (the energetic cost of extracting an electron from the top of the photocatalyst's valence band), EA to the ground-state electron affinity (the energy released upon adding an electron to the bottom of the photocatalyst's conduction band), IP\* the excited-state ionisation potential, and EA\* the excited-state electron affinity.

watersplitting catalysts was very slow compared with that of their inorganic analogues, possibly due to perceived stability issues. This all changed in 2008 with the discovery by Antonietti and co-workers<sup>8</sup> that carbon nitride polymers could act as a photocatalyst for both the (A) and (B) half-reactions, although, for the moment, not concurrently. Since this report, there has been a steady stream of publications on polymer systems for photocatalytic watersplitting, including (doped) carbon nitrides,<sup>9-16</sup> poly(azomethine),<sup>17</sup> polyimides,<sup>18</sup> and polymeric disulfides.<sup>19</sup>

Currently, most of these polymeric photocatalysts only catalyse the proton reduction half-reaction (A) in the presence of a sacrificial electron donor (*e.g.* methanol or triethylamine) and thus, for the moment, cannot split water as such. Moreover, the one polymeric system in the literature that is reported to split pure water,<sup>13</sup> a carbon nitride polymer with polypyrrole nanoparticles on its surface, produces hydrogen and hydrogen peroxide instead of hydrogen and oxygen.

The lack of experimental activity for the water oxidation halfreaction (B) could in principle be thermodynamic in nature, *e.g.* because the (standard) reduction potential of the polymers holes is not sufficiently positive, or alternatively a kinetic issue. In the latter case, a co-catalyst that either lowers the kinetic barriers for water oxidation (*i.e.* reduces the required overpotential) or prevents electron-hole recombination, might turn a polymer that only catalyses half-reaction (A) into one that splits pure water. In contrast, if the lack of activity for reaction (B) is due to thermodynamics, a possible route towards true watersplitting would be to use the polymer as a photocathode in combination with an electrical bias and a suitable counter electrode, or as part of a Z-scheme.<sup>20,21</sup>

In order to resolve if the issue with water oxidation for a given polymer is kinetic or thermodynamic in nature, we develop an approach that not only considers the free electron and holes but also the bound exciton (excited electron–hole pair). We also explicitly consider the effect of the environment and the nuclear relaxation associated with localising an excited electron, hole, or exciton (adiabatic potentials *versus* vertical potentials). We use our approach here primarily to rationalise the lack of water oxidation activity in the original poly(*p*-phenylene) (PPP) photocatalyst<sup>7,22</sup> of Yanagida and co-workers, but will also briefly touch upon other polymer systems, including carbon nitrides. We will demonstrate that the holes in PPP thermodynamically cannot drive the oxidation of water, but also suggest that for other systems the problem must be kinetic in nature and should be resolvable with the choice of a suitable co-catalyst.

### Theoretical approach

In the following section we will outline an approach to predict computationally the thermodynamic ability of a photocatalyst to drive the reduction of protons and the oxidation of water. We will use this approach later on to analyse a number of polymeric watersplitting photocatalysts.

#### Modelling the polymer

When considering the ability of a photocatalyst to drive the reduction of protons and the oxidation of water or alternative sacrificial electron donors, there are four redox half-reactions to consider. These half-reactions, written in line with convention as reduction reactions, are:

$$P^+ + e^- \rightleftharpoons P^*$$
 (C)

$$P^* + e^- \rightleftharpoons P^-$$
 (D)

$$P + e^- \rightleftharpoons P^-$$
 (E)

$$P^+ + e^- \rightleftharpoons P$$
 (F)

here P is the neutral photocatalyst, P\* the excited photocatalyst (*i.e.* the exciton, a bound excited electron–hole pair), and  $P^-/P^+$  the photocatalyst with a free electron in the conduction band or free hole in the valence band respectively. Free refers here to the fact that the charge carrier in  $P^-/P^+$  does not form part of a neutral exciton. In the remainder of the paper we will refer to the latter three simply as exciton, free electron and free hole.

In half-reactions (C) and (E) the exciton and free electron act as a reductant; they donate an electron and the half-reaction will run in the opposite direction to that shown above. In the other two half-reactions the exciton and free hole will act as an oxidant; accepting electrons. Finally, the free electrons and holes responsible for half-reactions (E) and (F) could either be formed as a by-product of half-reactions (C) and (D) or directly by thermal or field ionisation of the exciton:

$$\mathbf{P}^* + \mathbf{P} \to \mathbf{P}^+ + \mathbf{P}^- \tag{G}$$

The free energies of half-reactions (C)–(F) and reaction (G) then are:

$$\Delta G(C) = G(P^*) - G(P^+) = -IP^*$$
 (1)

$$\Delta G(D) = G(P^{-}) - G(P^{*}) = -EA^{*}$$
(2)

$$\Delta G(\mathbf{E}) = G(\mathbf{P}^{-}) - G(\mathbf{P}) = -\mathbf{E}\mathbf{A}$$
(3)

$$\Delta G(\mathbf{F}) = G(\mathbf{P}) - G(\mathbf{P}^+) = -\mathbf{I}\mathbf{P} \tag{4}$$

$$\Delta G(\mathbf{G}) = (G(\mathbf{P}^+) + G(\mathbf{P}^-)) - (G(\mathbf{P}^*) + G(\mathbf{P}))$$
(5)

 $\Delta G(\mathbf{E})$  and  $\Delta G(\mathbf{F})$  are equal to negative of the common definition of adiabatic electron affinity and ionisation potential. Similarly,  $\Delta G(\mathbf{C})$  and  $\Delta G(\mathbf{D})$  can be thought of as the negative of the excited state ionisation potential and electron affinity,  $-\mathbf{IP}^*$  and  $-\mathbf{EA}^*$  respectively (see Fig. 1).

Finally we can calculate the (standard) reduction potentials of the half-reactions *via*:

$$E^{0}(x) = -\frac{\Delta G(x)}{nF} \tag{6}$$

here *n* is the number of electrons involved in the half-reaction and *F* the Faraday constant. For the polymer we will label the respective potentials as IP, IP\*, EA, and EA\*.

The Gibbs free energies of each relevant species can be considered as a sum of three contributions:

$$G(x) = U(x) + G_{\rm vib}(x) + G_{\rm sol}(x)$$
(7)

here *U* is the electronic energy,  $G_{\rm vib}$  the contribution to the free energy from vibration, rotation and translation, and  $G_{\rm sol}$  the free energy of solvation. One can consider approximations to eqn (7) where either or both of the latter terms are ignored. Below, we will comment on the effect of such an approximation.

Another approximation is to calculate eqn (1)–(5) using the ground state geometry of the neutral photocatalyst for all species; ignoring nuclear relaxation. This would be the so-called vertical approximation, yielding vertical potentials, which can be compared with their full adiabatic counterparts. The vertical approximation is not only a numerical simplification but also physically meaningful. Contrasting vertical and adiabatic values allows one to distinguish what would happen if electron transfer was respectively fast or slow compared with nuclear relaxation.

Now, as alluded to in the introduction, for a photocatalyst to be able to thermodynamically drive both the reduction of protons and the oxidation of water, the potentials of half-reactions (D) and (F) (the potentials EA\* and IP) should be more positive than the  $O_2/H_2O$  reduction potential and the potentials of half-reactions (C) and (E) (the potentials IP\* and EA) more negative than the H<sup>+</sup>/H<sub>2</sub> reduction potential (see Fig. 1). For either half-reaction to occur at an appreciable rate, like with any electrochemical reaction, an excess overpotential is usually required; *i.e.* the potentials should be even more positive and negative respectively.

# Modelling the potentials of water and sacrificial electron donors

Standard reduction potentials for the reduction of protons, the 4-electron oxidation of water, and the 2-electron oxidation of the

sacrificial electron donors methanol and triethylamine,<sup>23</sup> can be calculated from their respective half-reactions (A), (B), and:

$$CH_2O + 2H^+ + 2e^- \rightarrow CH_3OH$$
 (H)

$$\begin{split} \text{NH}(\text{CH}_2\text{CH}_3)_2 + \text{CH}_3\text{CHO} + 2\text{H}^+ + 2\text{e}^- \rightarrow \\ & \text{N}(\text{CH}_2\text{CH}_3)_3 + \text{H}_2\text{O} \quad \text{(I)} \end{split}$$

All these half-reactions involve one or more protons. Calculating the free energy of a proton, however, is rather complicated.<sup>24,25</sup> Following work of others, we thus use the experimentally determined absolute value of the standard hydrogen electrode for the potential of reaction (A) (4.44 V).<sup>26</sup> We determine the proton free-energy ( $G(H^+)$ ) for calculating the potentials of the other half-reactions ((B), (H), (I)) *via*:

$$G(\mathrm{H}^{+}) = \frac{1}{2}G(\mathrm{H}_{2}) - \Delta G(\mathrm{SHE})$$
(8)

where  $\Delta G(\text{SHE})$  is the free energy of the standard hydrogen electrode (-4.44 eV).

#### Computational details

To calculate the photocatalyst potentials outlined above, we use a combination of DFT,<sup>27,28</sup> for the ground (free) energies including those of the cation and anion, and TD-DFT,<sup>29</sup> for the excited state (free) energies. We use a molecular rather than a periodic approach<sup>30-32</sup> as this conveniently gives us access to the vacuum reference state and allow us to study cation and anions (P<sup>+</sup>, P<sup>-</sup>) without having to introduce additional approximations, such as a neutralising background charge. The molecular approach is also the natural description of the amorphous polymeric photocatalysts studied below, with excited states delocalised over a finite number of polymer units.

Our calculations consist effectively of four major steps. First, we perform a conformational search using an interatomic potential to find the lowest energy conformers. Second, we optimise the geometries of these conformers using DFT for its neutral (P), cationic (P<sup>+</sup>) and anionic state (P<sup>-</sup>). Third, we optimise the geometry of the conformer in its lowest singlet excited state (S1) using TD-DFT (P<sup>\*</sup>). Fourth, we calculate the vibrational spectra for all four minimum energy geometries (P, P<sup>+</sup>, P<sup>-</sup> and P<sup>\*</sup>) to determine the vibrational contribution to the free energy;  $G_{vib}(x)$  in eqn (7). A similar set-up is used to calculate the standard reduction potentials of water and the sacrificial electron donors, except for the lack of a conformer search and no need of TD-DFT calculations.

The effect of the solvent, and the environment in general, is included in all calculations, except where explicitly stated, by using the COSMO dielectric continuum solvation model,<sup>33,34</sup> allowing us to estimate the  $G_{sol}(x)$  term in eqn (7). We have considered both single point COSMO calculations on the gas phase minimum energy structures and full COSMO geometry optimisations, except in the case of TD-DFT calculations, where no COSMO gradients are available in the code we use, and for which hence only the former option is available. Within the COSMO model, the properties of the environment are characterised by its relative dielectric permittivity ( $\varepsilon$ ). Calculations for the polymers were performed for a range of  $\varepsilon$  values ( $\varepsilon = 1, 5, 30$  and 80.1), while the standard reduction potentials of water and the sacrificial electron donors were only calculated for the case of  $\varepsilon = 80.1$ ; solvation in water.

For the initial conformational search, the OPLS-2005 force-field<sup>35</sup> and the low-mode sampling algorithm<sup>36</sup> as implemented in MacroModel 9.9 (ref. 37) were employed. We typically used a combination of 10 000 search steps and minimum and maximum low-mode move distances of 3 and 6 Å respectively. All the structures located within an energy window of 100 kJ mol<sup>-1</sup> relative to the lowest energy conformer were saved.

All the DFT and TD-DFT calculations employed the B3LYP<sup>38-41</sup> hybrid Exchange–Correlation (XC) functional and the Turbomole 6.5 code.<sup>42–44</sup> The standard basis-set used was the double-ζ DZP<sup>45</sup> basis set, but for selected calculations also the larger def2-TZVP<sup>46</sup> basis-set was employed. In all TD-DFT calculations, the Tamm–Dancoff<sup>47</sup> approximation was used.

Finally, we calculated for selected systems Peach's  $\Lambda$  diagnostic.<sup>48</sup> The  $\Lambda$  diagnostic characterises the overlap between the occupied and unoccupied orbitals involved with an excitation, and the likeliness that this excitation is wrongly described in TD-DFT due to it having a charge-transfer (CT-) nature. The  $\Lambda$  scale ranges from 0 (no overlap, CT-excitation) to 1 (full overlap, fully local excitation), and for excitations with  $\Lambda > 0.3$ , TD-B3LYP has been found to normally not suffer from any CT-problems.<sup>48</sup> The  $\Lambda$  values obtained, typically 0.4–0.8, suggest that CT-problems are unlikely to be an issue in the TD-B3LYP excited state description of any of the systems studied here.

#### Results and discussion

We will now discuss the results of the application of the computational approach introduced above to organic polymeric watersplitting photocatalysts.

#### PPP

In the follow-up to the original paper of Yanagida and coworkers,<sup>7</sup> Shibata *et al.*<sup>22</sup> estimate that the photocatalytically active PPP material consists approximately of *p*-phenylene chains of 7 and 11 phenylene units (see below). Hence in our calculations, we primarily focus on these molecules, hereafter referred to as PPP-7 and PPP-11. Fig. 2 shows a picture of the DFT optimised structure of the lowest energy conformer of PPP-7. To probe the effect of chain length we will also contrast the properties of these longer chains with those of the *p*-phenylene dimer, PPP-2.

Before discussing our prediction for the (standard) reduction potentials of the exciton and the free charge carriers, we first



Fig. 2 B3LYP optimised structure of PPP-7.



Fig. 3 The (TD-)B3LYP predicted IP, EA, IP\* and EA\* adiabatic potential values of PPP-7 at pH 0 as function of the dielectric permittivity of the embedding medium (water oxidation and proton reduction potentials calculated with  $\varepsilon = 80.1$ ).

compare the predicted absorption on-set and luminescence signal of PPP-7 and PPP-11 with experimental data for PPP powder samples from the literature. Different authors<sup>22,49</sup> report slightly different powder absorption spectra for PPP. These differences arise probably from slight variations in the PPP chain-length distributions obtained during synthesis, and from the experimental difficulty in characterising this chain-length distribution due to the poor solubility of PPP oligomers and polymers in common solvents. In the case of the PPP samples of Shibata and co-workers,<sup>22</sup> the fact that both the PPP-7 and PPP-11 samples have a similar absorption on-set (see Fig. 2B in their paper) and the fact that the fluorescence spectrum they obtain for PPP-11 is bimodal (see their Fig. 3) suggest that both samples most likely contain a distribution of PPP chain lengths. The experimental labels PPP-7 and PPP-11, while probably representative, are hence likely to be a slight simplification of the true complexity of the experimental samples.

When comparing experimental spectra with TD-DFT predicted excitation energies we make, following our previous work,<sup>50–52</sup> the implicit assumption that the top of the first peak or shoulder in the absorption spectrum equals the experimental vertical absorption on-set, and that all absorption before this peak arises from vibrational broadening. As can be seen from Table 1, upon making this assumption, TD-B3LYP yields a good match to the experimental data. In line with the literature, adding a dielectric embedding to model the polymer matrix around the chains (assumed to have  $\varepsilon = 5$ ) changes the results only marginally.

Effect of environment. While the effect of dielectric embedding is small in the case of the predicted optical spectra, this is not the case for the calculated reduction potentials of the exciton and the free charge carriers. Focusing first on the standard reduction potentials of the free electrons (EA), Fig. 3 shows that in PPP-7 the EA potential becomes more positive by approximately 1 V when going from the gas phase ( $\varepsilon = 1$ ) to a PPP chain surrounded by water ( $\varepsilon = 80.1$ ). The change is far

Table 1Comparison of the experimental and TD-B3LYP predictedoptical properties of PPP-7 and PPP-11. All values given in nanometreand  $\varepsilon = 5$  TD-DFT COSMO results shown in parentheses

	Absorption on-set	Fluorescence	
TD-B3LYP PPP-7 TD-B3LYP PPP-11 Experiment	$\begin{array}{c} 340 \ (350) \\ 360 \ (370) \\ \sim 360^a 400^b \end{array}$	$\begin{array}{c} 430 \ (440) \\ 450 \ (460) \\ \sim 460/490^c \end{array}$	

 $^a$  From ref. 49 for PPP chains of on 7 units or more.  $^b$  From ref. 22 for PPP-7 and 11 (based on top of plateau in Fig. 2B).  $^c$  From ref. 22 for PPP-11 (Fig. 3).

from linear with respect to the relative dielectric permittivity. The predicted standard reduction potential in a methanol environment ( $\varepsilon = 30$ ), the solvent used by Shibata and coworkers, is very similar to that predicted for water, while the  $\varepsilon = 5$  result lies numerically much closer to that obtained for water than that predicted for the gas phase. The standard reduction potential of the free holes (IP) becomes more negative upon embedding PPP, but again the shift is in the order of 1 V. As a result of these shifts the thermodynamic ability of the polymer, or photocatalyst in general, will change with the environment it is embedded in.

The standard reduction potentials involving the exciton (EA<sup>\*</sup> and IP<sup>\*</sup>) show similar behaviour. Upon increasing the relative dielectric permittivity of the environment, the standard reduction potential of the reaction where the exciton donates an electron (IP<sup>\*</sup>), becomes more negative and the standard reduction potential of the reaction where the exciton accepts an electron (EA<sup>\*</sup>) becomes more positive. The difference in potential between EA and IP<sup>\*</sup> and between IP and EA<sup>\*</sup> becomes progressively smaller, approaching zero for water (0.2 eV). For PPP-7 embedded in water, less than 10  $k_{\rm B}T$  of additional energy needs to be provided to ionise the exciton into free charge carriers (compared with more than 100  $k_{\rm B}T$  for the gas phase). Splitting the exciton, required for chemistry involving the free charge carriers, is thus predicted to be much easier in a high dielectric permittivity environment.

The large shifts in predicted potentials with changes in the relative dielectric permittivity of the environment in which the PPP polymer is embedded make physical sense. All potentials involve charged species, the free charge carriers, and those charged species are to a much larger degree stabilised energetically by the dielectric embedding than their neutral counterparts. The predicted adiabatic potentials in Fig. 3 neglect the contribution of  $G_{\text{vib}}$  in eqn (7). Data including  $G_{\text{vib}}$  can be found in the ESI (section ESI-1†), showing that neglecting  $G_{\text{vib}}$  generally results in changes of the order of 0.1 V in the predicted potentials. Ignoring nuclear relaxation, using vertical rather than adiabatic potentials, would introduce a larger error (0.2–0.3 V, see ESI-1†). In the remainder of this paper, all polymer potentials discussed will be adiabatic potentials calculated for an aqueous environment ( $\varepsilon = 80.1$ ), where  $G_{\text{vib}}$  is neglected.

Effect of PPP chain length. Fig. 4 compares the potentials predicted for PPP-7, PPP-11 and the PPP-2 dimer, in water.



Fig. 4 The (TD-)B3LYP predicted IP, EA, IP\* and EA\* adiabatic potential values of PPP-2, PPP-7 and PPP-11 in water ( $\varepsilon$  = 80.1) at pH 0.

Clearly the differences between PPP-7 and PPP-11 are relatively small, while, in contrast, the potentials of PPP-2 are significantly different. Overall, the potentials in which the polymer accepts electrons (IP and EA\*) become more negative with chain length, while potentials in which the polymer donates electrons (EA and IP\*) become more positive. These trend are clearly linked to the fact that PPP is a conjugated polymer, with a conjugation length<sup>53</sup> of 22 units as calculated with TD-B3LYP (see section ESI-2 of the ESI<sup>†</sup>).

The thermodynamic ability of PPP to split water. Using these potentials, we can now shed light on the ability of the different PPP oligomers to split water. The potentials in Fig. 4 are strictly speaking for a solution of pH 0, because we calculate  $G(H^+)$  from the experimental SHE potential. We can use the Nernst equation to correct these results, where the polymer potentials relative to vacuum stay fixed, but all the water and sacrificial electron donor potentials that involve H<sup>+</sup> shift by 0.059 V per pH unit, to experimentally more relevant pH values. Fig. 5 and 6 show the situation for pH values of 7 (neutral water) and 10 (the likely pH of the methanol-triethylamine-water solutions used



Fig. 5 The (TD-)B3LYP predicted IP, EA, IP\* and EA\* adiabatic potential values of PPP-2, PPP-7 and PPP-11 in water ( $\varepsilon = 80.1$ ) at pH 7 (all values relative to SHE). Besides the proton reduction and water oxidation potentials also the methanol and triethylamine 2-electron oxidation potentials are shown (in blue and purple respectively).



**Fig. 6** The (TD-)B3LYP predicted IP, EA, IP\* and EA\* adiabatic potential values of PPP-2, PPP-7 and PPP-11 in water ( $\varepsilon = 80.1$ ) at pH 10 (all values relative to SHE). Besides the proton reduction and water oxidation potentials also the methanol and triethylamine 2-electron oxidation potentials are shown (in blue and purple respectively).

in the work of Shibata and co-workers, see section ESI-3 of the ESI<sup>†</sup> for the numerical values of the predicted potentials at the different pH values). It is clear from the figures that for the pH range studied, there is a strong thermodynamic driving force for proton reduction by both the free electron and the exciton. These results suggest that the exciton does not need to be split in order for photocatalysis to take place, as the exciton itself can thermodynamically reduce protons. This is an important observation, since in polymers there is less likely to be a space charge layer to split excitons through field ionisation. The situation for water oxidation is substantially different. At pH 0, water oxidation by both the free hole and the exciton is endothermic, while at pH 7 and 10 there is only a marginal driving force for the oxidation reaction to proceed. Based on these results, pure watersplitting is thus unlikely to happen in the absence of some external electrical bias. Alternatively, PPP might find use as part of a Z-scheme.<sup>20,21</sup>

Fig. 5 and 6 also include the predicted potentials for the 2-electron oxidation of methanol and triethylamine (reactions (H) and (I)). Clearly there is a thermodynamic driving force for the oxidation of both by PPP-7 and PPP-11. The driving force is largest for triethylamine, in line with the results of Shibata and co-workers, where its use gave the highest hydrogen yield. One of the expected products of the 2-electron oxidation of triethylamine (ethanol) is indeed observed by Shibata and co-workers to be produced in conjunction with hydrogen,<sup>22</sup> while the other (diethylamine) was not measured, as it is unstable under alkaline conditions. The success of triethylamine as a sacrificial electron donor is probably due to its more negative potential than that of water, combined with the fact it involves a 2- rather than a 4-electron reaction.

All results discussed here were obtained using the DZP basisset. We recalculated some of the potentials for the polymer and all of the solution reaction potentials (reactions (A), (B), (H) and (I)) with the larger def2-TZVP basis and found the effect of increasing the basis-set generally small (see Table 2 and section ESI-4 of the ESI<sup>+</sup>). The most noticeable change was an even  $\begin{array}{lll} \textbf{Table 2} & \text{Basis-set effects on adiabatic and free energy } (G_{\text{vib}}) \text{ corrected} \\ \text{standard reduction potentials of water, hydrogen peroxide and sacrificial electron donors (triethylamine and methanol). Calculated using \\ \text{B3LYP (all values in V, pH}=0) \end{array}$ 

	DZP	def2-TZVP	def2-QZVP
O <sub>2</sub> /H <sub>2</sub> O	1.05	1.21	1.26
$H_2O/H_2O_2$	1.64	1.85	1.91
TEA	0.03	0.09	
MeOH	0.29	0.25	

better agreement of the calculated standard reduction potential of water oxidation (1.05 V for DZP and 1.21 V for def2-TZVP) with its experimental value. Finally, while we neglect  $G_{vib}$  for the polymer, we always include it when calculating the solution reaction potentials. Due to the structural differences between reactants and products, the effect of neglecting  $G_{vib}$  would be substantially bigger here.

#### **Beyond PPP**

Whereas for PPP, in line with our predictions, water oxidation has never been observed, other photocatalysts do oxidise water when using a sacrificial electron acceptor other than protons. Here we discuss some initial results on one such material; carbon nitride. The exact atomic structure of the amorphous/ semi-crystalline carbon nitride  $CN_xH_y$  samples used in watersplitting is unknown. The active material might be built from linked triazine or heptazine building blocks, might have a layered graphitic-like structure or consist of linear chains, and could be polymeric or oligomeric. We hence performed calculations on cluster models representative of different possible material structures, focussing on those structures build from heptazine (see Fig. 7).

Fig. 8 shows the potentials calculated for the different carbon nitride cluster models using the same set-up as PPP (see section ESI-5 of the ESI† for the potentials at pH = 0). All carbon nitride models are limited to three heptazine units, but calculations on a tetramer, discussed in the ESI (see section ESI-5 of the ESI†), demonstrate that at least for the linear structure,



Fig. 7 The B3LYP optimised structures of the three carbon nitride cluster models considered; (A) linear trimer, (B) 3-coordinated nitrogen, and (C) 3-ring (atoms represented as black spheres indicate where the cluster model would connect to the remainder of a linear polymer (A) or graphitic structure (B & C)).





Fig. 8 The (TD-)B3LYP predicted IP, EA, IP\* and EA\* adiabatic potential values of the different carbon nitride trimers in water ( $\varepsilon$  = 80.1) at pH 7 (all values relative to SHE).



Fig. 9 B3LYP optimised structure of PPy-7

enlarging the cluster model results only in minor changes in the predicted potentials.

The predicted potentials for the carbon nitride cluster models are significantly different from those for PPP. Now there is not only a clear driving force for proton reduction, but also for water oxidation. While more work needs to be done, *e.g.* on the effect of stacking,<sup>52</sup> our results strongly suggest that with a suitable co-catalyst such materials should be able to photocatalyse both reactions and split pure water into hydrogen and oxygen.

The reason that no carbon nitride photocatalyst has as yet been observed experimentally to split pure water into hydrogen and oxygen must be related to the fact that water oxidation to oxygen is a 4-electron reaction, and that therefore it is likely to be outcompeted by electron-hole recombination in the absence of some mechanism of keeping electron and holes apart. This idea is supported by the fact that in the only experiment in the literature where pure water is split using a polypyrrole co-catalyst,<sup>13</sup> hydrogen peroxide rather than oxygen is formed. The oxidation of water to hydrogen peroxide, while thermodynamically much less favourable than the oxidation of water to oxygen, as it involves the larger potential of 1.64 V (overpotential of 0.4-0.6 V), only requires 2 instead of 4 electrons. Clearly, future work on carbon nitride photocatalysts should thus focus on finding suitable co-catalysts and related mechanisms of keeping electrons and holes apart.

Beyond carbon nitrides, focussing on other nitrogen-containing conjugated polymers might be worthwhile. Calculations on a heptamer of poly(pyridine-2,5-diyl)<sup>54,55</sup> (PPy-7, see Fig. 9), the pyridine equivalent of PPP-7, suggest that the presence of nitrogen in the backbone of the polymer also in this case leads



Fig. 10 A comparison between the (TD-)B3LYP predicted IP, EA, IP\* and EA\* adiabatic potential values of PPP-7 and its PPy-7 equivalent in water ( $\varepsilon = 80.1$ ) at pH 7 (all values relative to SHE).

to a positive shift of the IP and EA\* potentials, and thus to a larger thermodynamic driving force for water oxidation (see Fig. 10, and section ESI-6 of the ESI<sup>†</sup>). PPy has only been observed to catalyse the proton reduction reaction experimentally<sup>54,55</sup> in the presence of triethylamine as sacrificial electron donor.

Our calculations, however, predict a significant overpotential (0.6 V at pH 7), implying that the lack of water oxidation activity could also for PPy be resolved through the addition of a suitable co-catalyst. In summary, we thus believe that nitrogen substitution is a universal method of shifting the water oxidation potential in the desired direction.

#### Conclusions

Using a newly developed computational approach, we probed the thermodynamic ability of several polymeric watersplitting photocatalysts to drive both the reduction of protons and the oxidation of water. In the case of poly(p-phenylene), our calculations strongly suggests that this material is thermodynamically unable to oxidise water and hence split pure water. For other polymers studied, including carbon nitrides, any issue with water oxidation appears kinetic in nature. We believe that for these materials, the development of suitable co-catalysts that minimises electron-hole recombination and maximises water oxidation kinetics, will result in them being transformed into true watersplitting photocatalysts. Finally, we discuss that incorporation of nitrogen in the polymer backbone appears to offer a general route towards polymers with the ability to oxidise water, and we hence suggest that the study of other nitrogen containing polymers beyond carbon nitrides as photocatalysts might prove very fruitful.

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