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Interfacial mechanisms in active emulsions

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Active emulsions, *i.e.*, emulsions whose droplets perform self-propelled motion, are of tremendous interest for mimicking collective phenomena in biological populations such as phytoplankton and bacterial colonies, but also for experimentally studying rheology, pattern formation, and phase transitions in systems far from thermal equilibrium. For fuelling such systems, molecular processes involving the surfactants which stabilize the emulsions are a straightforward concept. We outline and compare two different types of reactions, one which chemically modifies the surfactant molecules, the other which transfers them into a different colloidal state. While in the first case symmetry breaking follows a standard linear instability, the second case turns out to be more complex. Depending on the dissolution pathway, there is either an intrinsically nonlinear instability, or no symmetry breaking at all (and hence no locomotion).

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1 Introduction

1.1 Non-equilibrium collective behaviour

Large scale patterns emerging in crowds of interacting self-driven elements are known from a wide range of biological systems, like a school of fish, a host of sparrows, an army of ants, or a bacterial colony. The complexity of the active elements thereby varies considerably, and so do their mutual interactions. The latter can be of a purely physical nature, like hard core repulsion or hydrodynamic interaction, or genuinely biological, like olfactory and visual signals or chemotaxis, which are present in many microbial settings. Striking similarities in the swarming behavior of widely different types of active elements have spurred a significant recent increase in research activity towards understanding the basic mechanisms of pattern and texture formation in such systems. While theoretical work has been concentrating on active elements which were greatly simplified in their shape and interactions,^{1–10} most experiments so far have been performed with bacterial colonies.^{3,11–16} This is not only due to the particular importance of understanding the collective behavior of micro-organisms, but also because these are the only relatively simple active elements which can be obtained in sufficient number. Even a bacterium, however, is much more complex than the model elements used so far in theories and simulations. Consequently, it is not yet clear which aspects of their collective behavior are due to physical interactions, and which trace down to more complex biological signalling.

The significance of understanding these processes has become increasingly important over the last decades. Along

with the upsurge of concern about future climate and sustainability on our planet, it has been recognized that the ecology, transport, and biodiversity of pelagic phytoplankton plays a pivotal role in the transfer of energy into the biosphere. Long standing problems like the ‘paradox of the plankton’,¹⁷ *i.e.*, the unexplained large biodiversity of the marine phytoplankton, are thought to be intimately related to the observed inhomogeneous distribution of plankton species^{19,20} (*cf.* Fig. 1). Candidate mechanisms contributing to this phenomenon include the interplay between self-propelled motion of the organisms, buoyancy, and advection. Investigations thus need to take into account Langmuir circulation,²¹ flotation and buoyancy effects,²² gyrotaxis,²³ impact of motility on the fluid rheology,^{1,24–26} turbulence-induced segregation effects,^{27,28} and circadian cycles of the phytoplankton species.²⁹

It is clear that a detailed understanding of these processes is an enormous task involving many length and time scales. As ocean currents are characterized by high Reynolds numbers, the most demanding step is the understanding of turbulent advection and its interplay with the self-propelled motion of the plankton organisms.^{30,31} Large scale simulations of plankton transport in the oceans are considering only two-dimensional (2D) turbulence for the sake of numerical tractability. However, in order to investigate under which circumstances such approaches are justified, a detailed understanding of the 3D problem at smaller scales is indispensable. This must include the swarming behavior of the plankton in the turbulent flow as well as the back-action of the swarming plankton on the flow due to buoyancy.³² It will be extremely difficult to perform reliable computer simulations of these systems since the hydrodynamic interactions between active particles decay only algebraically away from individual swimmers. Hence there is no reliable cut-off criterion by which the range of mutual

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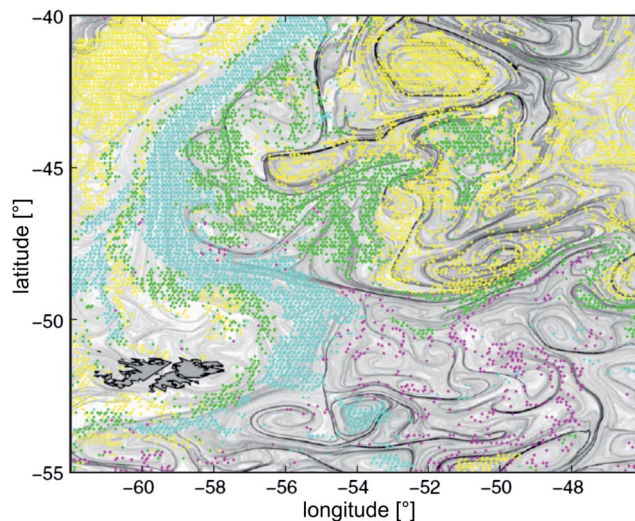


Fig. 1 Dominant phytoplankton types observed during the spring bloom of November 2001 at the confluence of the Brazil and Malvinas currents. Yellow: *Prochlorococcus*, *Synechococcus*, and nano-eukaryotes. Green: diatoms. Magenta: *Phaeocystis*. Cyan: coccolithophorides. Overlaid grey levels represent the local Lyapunov exponents of the flow field, as derived from altimetry¹⁸ (reproduced with permission from the National Academy of Sciences of the USA. PHYSAT image taken at 9 km resolution. The dark grey structure to the lower left, with black outline, are the Falkland islands).

interactions can be limited, at least none which can be proven to leave all collective effects unchanged.

Consequently, it is of vital importance to come up with well-controlled model systems which allow the study of these effects experimentally, and which can be used as benchmarks for validating computer simulations. From a fundamental point of view, it would be generally desirable to perform experiments with artificial active elements the properties and interactions of which can be well controlled and adequately described by simple physical models. These might lead to novel ideas for overarching descriptions of collective behavior far from thermal equilibrium. Such attempts may be inspired by phase transition concepts,³³ which are frequently used to describe transitions between different types of collective motion in swarming entities.^{34–40} Furthermore, it has been shown that the rheological properties of dense suspensions of actively moving objects are quite unusual and can differ pronouncedly from those of their ‘passive’ counterparts.^{1,24–26} We see that there is a plethora of interesting effects and novel concepts to explore. In the present paper, we will discuss design concepts for individual active particles which lend themselves to model experiments on the wide range of phenomena outlined above.

1.2 Active emulsions as model systems

For the design of suitable model systems, organisms such as cyano-bacteria, *paramecium*, and *volvox* are particularly inspiring. They belong to a class of swimmers referred to as squirmers, which are characterized by tangential motion of the cell surface^{5,41,42} driving their locomotion. Squirming is particularly appealing for studying the hydrodynamics of microscale

swimming, since the velocities in the near and far field around such a swimming organism can be described analytically,^{6,43–45} and are similar to the flow fields around moving spherical objects. Such squirming organisms may thus possibly be modelled by self-propelling liquid droplets, which is what we pursue in the present paper.

Before we go into the matter, let us outline what we should expect from a suitable model squirmer. There are three important requirements. First of all, there should be no interaction of individual squirmers with their ‘exhaust’ materials, neither their own or those of their interaction partners. Since every driving mechanism must be dissipative, it is clear that the medium is necessarily changed in some way during the locomotion. The impact of this change on the locomotion itself must be kept as small as possible, *i.e.*, the locomotion velocity must be independent of the local concentration of any reaction products or other exhaust species. Second, the motion should be as long lived as possible, such that non-equilibrium steady states of collective behavior can be reached. Since the diameter of a single squirmer provides the only obvious inherent length scale of the system (there may be less obvious ones, which are discussed below), it suggests itself to consider as a figure of merit the total distance travelled by the squirmer until fuel runs out, divided by its diameter. This will be referred to below as the *cruising range*. Third, the droplet shape should remain spherical in order to avoid unnecessary complication of the system and the involved interactions. This is naturally taken care of by interfacial tension, if this is not too far reduced by surfactants.

A suspension of actively moving droplets in a second, immiscible fluid may be legitimately called an *active emulsion*. We mention here that we do not distinguish between *emulsions* and *inverse emulsions*, by not referring specifically to which phase is aqueous and which is not. All we require is that both liquid phases be sufficiently immiscible. In terms of the desired model character of the system, droplets (as opposed to solid particles, like colloids) are ideal in the sense that each one provides a reservoir for carrying some kind of ‘fuel’. Hence they do not rely on energy supply from external fields and thus have the potential of truly autonomous motion, thus resembling, *e.g.*, self-propelling plankton species or motile bacteria. At the same time, interfacial tension keeps their shape close to spherical, and thus well defined.

This spatial symmetry, however, comes as well with the inherent drawback that there is no preferred direction along which locomotion should proceed. Hence we need a mechanism of spontaneous symmetry breaking in order to obtain actively motile droplets, and hence an active emulsion.⁴⁶ In the present paper, we will discuss two examples of active emulsions which represent different routes to symmetry breaking. One proceeds by a linear dynamic instability, the other by a dynamic nucleation process originating from fluctuations.

2 Interfacial stress as a driving force

2.1 The Marangoni effect

A suitable driving mechanism for active droplets is the Marangoni effect. It can be presented easily by considering what





Fig. 2 The so-called 'tears of wine', clearly visible in the shadow of the glass (left). They form as a result of gradients in the surface tension of the fluid, which in turn are due to variations in composition (*i.e.*, alcohol concentration). The generated force is strong enough to easily overcome gravity.⁴⁸

happens if the excess free energy of an interface, *i.e.*, the interfacial tension, γ , varies along this interface. Since γ has the dimension of energy per unit area, the gradient of γ , taken along the interface, has the dimension of a stress. If the media adjacent to the interface are viscous liquids, it is clear that this stress can only be compensated for by viscous stress in the liquids. Hence a lateral variation of interfacial tension is intimately connected to viscous shear flow in the adjacent media. This is called Marangoni flow, or the Marangoni effect, which induces a current at the interface in the direction of *increasing interfacial tension*. One of its most well-known occurrences is the thermo-capillary effect, where one exploits the temperature dependence of interfacial tension. By imposing suitable temperature gradients, gradients in γ can be induced by virtue of the temperature dependence of the latter, and liquids can be transported in a well-controlled manner.⁴⁷ Perhaps the best known example of Marangoni flow is shown in Fig. 2. The different rates of evaporation of alcohol and water give rise to gradients in composition, and hence in surface tension. The resulting stresses are large enough to exceed gravity and shift some of the liquid several millimeters upwards along the glass surface.

The reason why Marangoni effects are particularly promising for transport processes, in particular at small scales, becomes apparent if one considers the typical scales of the involved quantities. For interfaces between water and oil, for instance, interfacial tensions are on the order of several milli-newtons per meter, while viscosities are several milli-pascal seconds. Dividing both quantities with respect to each other yields the characteristic velocity of transport, the so-called capillary velocity, which is on the order of several meters per second. Hence for the required locomotion velocities of just a few microns per second, Marangoni effects might provide enough drive already at minute lateral variations in interfacial tension.

2.2 Flow fields near droplets

Let us now discuss the typical flow fields we expect to deal with. For a single spherical droplet of radius R moving at a velocity V with respect to an infinite bath of surrounding suspension, the

velocity field is obtained as a solution to the Stokes equation with appropriate boundary conditions. In the rest frame of the droplet, it can be written as⁴⁹

$$v_r = \left[1 - \frac{2 + 3\mu}{2(1 + \mu)} \frac{R}{r} + \frac{\mu}{2(1 + \mu)} \left(\frac{R}{r} \right)^3 \right] V \cos \theta \quad (1)$$

for the radial and

$$v_\theta = \left[1 - \frac{2 + 3\mu}{4(1 + \mu)} \frac{R}{r} - \frac{\mu}{4(1 + \mu)} \left(\frac{R}{r} \right)^3 \right] V \sin \theta \quad (2)$$

for the polar component if the polar axis coincides with the direction of motion, and μ is the ratio of the viscosity inside the droplet, η_d , divided by the viscosity of the surrounding liquid, η_s . The flow velocity decays algebraically away from the droplet surface into the surrounding liquid. On the droplet surface we have $v_r = 0$, and the tangential velocity is

$$u = v_\theta(r = R) = -V \frac{\sin \theta}{2(1 + \mu)} \quad (3)$$

This represents a flow which has maximal velocity at the equator of the droplet and two stagnation points, one at each of its poles. The viscous drag force is given by⁴⁹

$$F_{\text{visc}} = 2\pi R \eta_s V \frac{2 + 3\mu}{1 + \mu} \quad (4)$$

which for a solid sphere $\mu \rightarrow \infty$ reduces to the well known result $F_{\text{visc}} = 6\pi R \eta_s V$. If the viscosities are equal, we find $F_{\text{visc}} = 5\pi R \eta_s V$.

If the droplet of radius R moves with a velocity V , the rate of energy dissipation due to the flow along the surface is of order $2\pi R V \Delta\gamma$, where $\Delta\gamma$ is the total variation of interfacial tension along the surface. If we demand this to be equal to the dissipation from the viscous resistance, $F_{\text{visc}} V s = 5\pi R \eta_s V^2$, we find

$$\text{Ca} = \frac{\eta_s V}{\gamma} \approx \frac{2}{5} \frac{\Delta\gamma}{\gamma} \quad (5)$$

which means that a quite small relative variation of interfacial tension is sufficient to induce locomotion velocities of many microns per second. Note that the droplet radius cancels out. The quantity Ca introduced in eqn (5) is called the capillary number, and will obviously be always very small as compared to unity in our study. Hence, since $\Delta\gamma \ll \gamma$ in this case, the droplet shape remains very close to spherical due to the (almost) spatially constant interfacial tension.

In an emulsion, the interface will be laden with some surfactant, which is necessary to stabilize the emulsion against coalescence of droplets. This interfacial layer is in equilibrium with the number density of surfactant molecules dissolved in the surrounding liquid, as sketched in Fig. 3a. Its binding energy leads to a reduction of the interfacial tension, γ , of the droplet surface. It thus suggests itself to try to introduce a spatial variation of the interfacial tension (and thus Marangoni stresses) by controlling the distribution of surfactant along the droplet surface.

If the droplet moves solely as a consequence of such stresses, with no external force exerted upon it, the external flow field



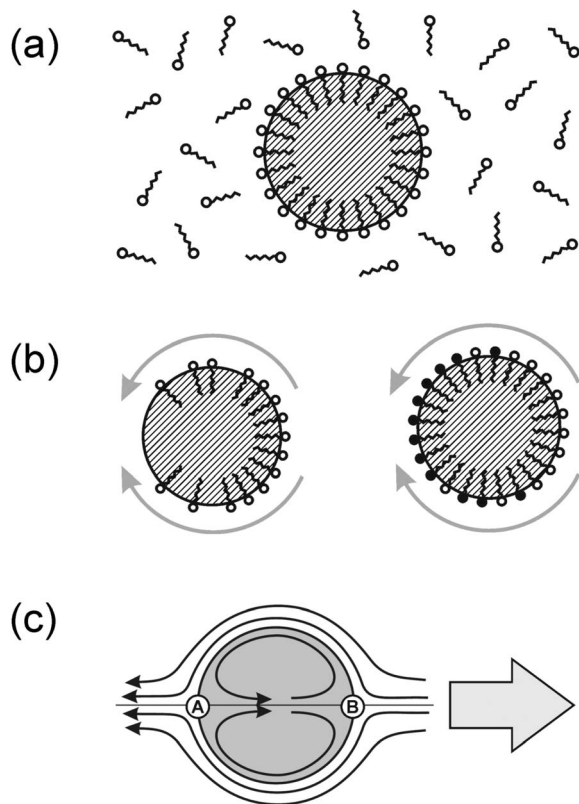


Fig. 3 (a) The surfactant used to stabilize the emulsion adsorbs to the liquid interface, forming a mono-molecular layer of areal density c . This layer is in equilibrium with the surrounding surfactant concentration, ρ_s , and leads to a substantial reduction of the interfacial tension, γ . (b) Two ways of generating Marangoni stresses along the droplet surface. Left: the surfactant density has been made inhomogeneous. Right: the surfactant density is spatially constant, but a chemical reaction has reduced its surface activity (molecules labelled in black) in an inhomogeneous way. In both cases, the result is a Marangoni stress towards the region with higher surface tension (grey arrows). The induced motion of the droplets point into the opposite direction. (c) Flow pattern emerging in the situations sketched in (b). The large arrow to the right indicates the motion of the droplet with respect to the surrounding liquid. Of the two stagnation points A and B, only B is being supplied with fresh surfactant by advection.

differs from eqn (1) and (2), which have been derived for spherical objects moving due to, *e.g.*, a gravitational force. In order to obtain the correct flow profile, we subtract the external force solution which vanishes at the sphere surface and consider the simplest mode of tangential flow, prescribing $u(\theta) \propto \sin \theta$ as in eqn (3) as the boundary condition. This may be regarded as modelling, *e.g.*, the action of cilia on the surface of a spherical microbe (squid). In this rather simple case, we find⁴⁴

$$v_r = \left[1 - \left(\frac{R}{r} \right)^3 \right] V \cos \theta \quad (6)$$

for the radial and

$$v_\theta = \left[1 + \frac{1}{2} \left(\frac{R}{r} \right)^3 \right] V \sin \theta \quad (7)$$

for the polar component of the velocity field. The tangential velocity at the surface is

$$u = \frac{3}{2} V \sin \theta. \quad (8)$$

As long as the areal density of surfactant molecules, c , is constant over the droplet surface, there will be no gradient in interfacial tension and thus no Marangoni stress. If, however, the droplet starts to move with respect to the surrounding liquid, the coverage will change according to

$$\frac{\partial c}{\partial t} = k(c_0 - c) + \nabla(D_i \nabla c - uc) \quad (9)$$

where k is the escape rate of surfactant molecules into the surrounding liquid, D_i is the diffusivity of the surfactant within the surface layer, and c_0 the equilibrium surfactant coverage. The result of this process is that there will be less surfactant at the leading end of the drop than at the trailing end. This in turn results in a Marangoni stress pointing towards the depleted leading end, as indicated by the grey arrows in Fig. 3b to the left. Since this stress is opposed to the flow field, Marangoni stress impedes the motion of droplets in surfactant solutions.⁵⁰ In order to introduce locomotion, this effect needs not only to be overcome, but even reversed. Below we will discuss ways how this can be achieved.

As Fig. 3b indicates, there are mainly two distinct ways of using the surfactant layer for the generation of Marangoni stresses. Either one induces a gradient in the surfactant coverage (left), or a spatially inhomogeneous chemical reaction transforms the surfactant into some other surfactant with different efficiency (right). Combinations of both processes may as well be discussed, but we will restrict the present treatment to the two 'pure' cases sketched in Fig. 3b. The general scheme can be outlined as follows. Some non-equilibrium process is needed which consumes the (pristine) surfactant from the surface. The corresponding flow pattern, which arises due to Marangoni stresses and is sketched in Fig. 3c, advects fresh surfactant to the leading edge stagnation point (B) and its vicinity. This keeps the interfacial tension low, sustaining the Marangoni stress, and thereby the flow pattern. If this is achieved, the result closely models what is commonly called a squirmers: a body which procures a finite streaming velocity along its surface and thereby induces locomotion with respect to the surrounding fluid. Although this general scheme appears quite straightforward, we will see that real systems may exhibit substantial ramifications. In what follows, we demonstrate this by comparing two active emulsion systems, the first of which closely follows the outlined scheme, while the second one is more complex.

3 Schemes utilizing chemical reactions

3.1 Experimental realization

There have been numerous examples of chemical reaction schemes inducing droplet locomotion.^{51–53} They all have in



common that they affect the surfactant and thereby the interfacial tension (right panel of Fig. 3b). In our experiments, we prepared aqueous droplets with typical diameters of few tens of microns in a continuous liquid phase consisting of squalane, with mono-olein (MO) as a surfactant.⁵⁴ The surfactant concentration has been chosen well above the critical concentration for micelle formation (CMC) in order to reduce the 'exhaust sensitivity' of the system (see below). As a fuel, we added a formulation to the droplets which leads to a steady release of bromine (50 mM sulphuric acid, 28 mM sodium bromate, 400 mM malonic acid, and 2.7 mM ferroin). The bromine diffuses to the droplet surface and spontaneously reacts with the C=C double bond in the tail group of the MO, thus changing its properties as a surfactant.^{54,55} While the tension of the MO-laden interface between water and squalane is 1.3 mN m^{-1} , it increases to about 3 mN m^{-1} for brominated MO (brMO).⁵⁸

We observed that the aqueous droplets undergo spontaneous locomotion, with a speed on the order of a few microns per second which does not seem to depend systematically on the droplet size. It persists for several minutes while slowly decaying, and finally comes to rest. In order to determine the flow profile around a squirming drop, we added small fluorescent colloids to the suspension and performed particle image velocimetry (PIV) using a standard setup (ILA GmbH, Germany). The result as directly obtained in the laboratory frame is displayed in the left panel of Fig. 4. In the right panel, the proper motion of the droplet has been subtracted, such that the velocity profile in the frame of reference of the droplet becomes apparent. Qualitatively, we see that the flow profile indeed resembles a field as expected for the lowest order mode, as expressed in eqn (6) and (7).

3.2 Properties of squirming droplets

Fig. 5 shows the dependence of the droplet velocity as a function of the MO concentration in the oil phase. Note that the critical micelle concentration (CMC) for MO in squalane is about 1 mM. Hence we are well in the range of a micellar solution, and the

overwhelming majority of the surfactant is stored in micelles. After an initial increase, the velocity saturates at higher surfactant concentrations. At such high concentrations, it is likely that the process of disintegration of micelles near the droplet surface becomes the rate limiting step, which is independent of concentration and thus leads to a constant velocity.

This latter property provides another key feature of our system which makes it particularly suited for experimental studies of collective motion. During an experiment, the concentration of brMO gradually builds up from the 'exhaust' of the many squirmers in a poorly controllable and spatially inhomogeneous fashion. Since the majority of the brMO becomes trapped in the MO micelles, its effect is mainly to reduce the effective concentration of MO in the oil. Fig. 5 tells us that by choosing a high surfactant concentration, where there is almost no sensitivity of the squirmer velocity on the surfactant density, we can also minimize the sensitivity of the velocity to the concentration of brMO, thereby minimizing any unwanted cross talk between the squirmers.

While the velocity does not depend noticeably on the surfactant concentration if this is large enough, it should depend strongly on the supply of bromine. That this is indeed the case can be demonstrated by means of a simple modification of the experiment. The reader may have recognized that the formulation added to the aqueous droplets is very similar to the Belouzhov-Zhabotinsky-solution, which is capable of exhibiting oscillatory behavior.^{56,57} We can indeed introduce such

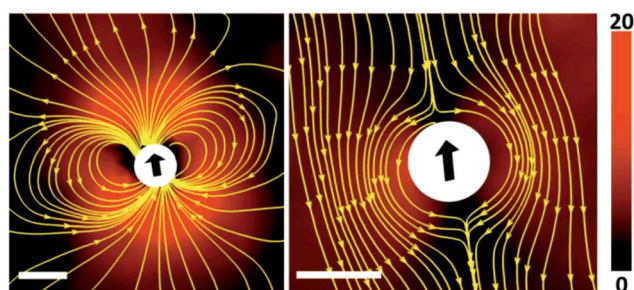


Fig. 4 The velocity field around a squirmer droplet as measured by means of PIV (image taken with permission from ref. 58). The length of the scale bar is 100 microns, the magnitude of the velocity is color coded (cf. color scale to the right, in units of microns per second). Left panel: Velocity field in the laboratory frame of reference, as obtained by PIV. Right panel: Velocity field in the rest frame of the droplet. Yellow lines are stream lines of the flow.

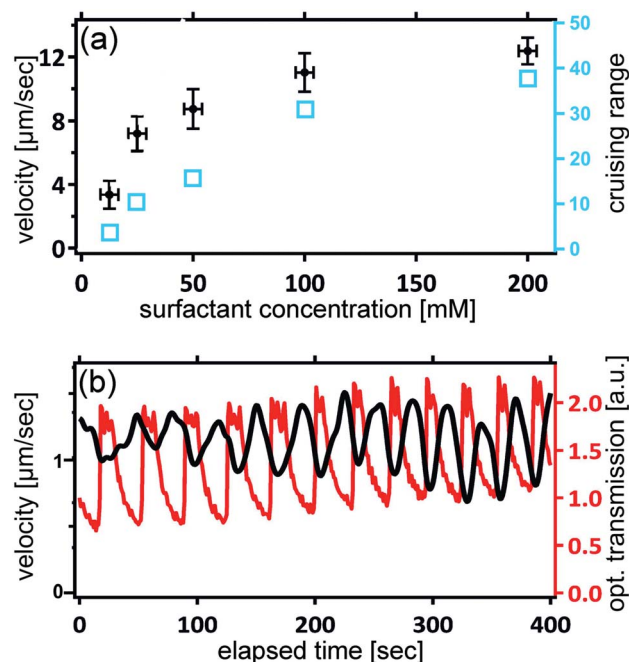


Fig. 5 (a) Squirmer velocity as a function of surfactant concentration (black). Each point represents an average of 50 different squirmer droplets, each of diameter 80 microns. Superimposed in blue are the corresponding cruising ranges (total distance travelled, in units of the droplet diameter). (b) Traces of locomotion speed (black) and optical transmission (red) for droplets with Belouzhov-Zhabotinsky oscillations taking place in their interior.



oscillations by properly adjusting the relative concentrations of the constituents. The iron ions from the ferroin provide a means for assessing the oscillations optically, since they strongly affect the optical transmission of the droplets. Fig. 5b shows traces of the optical transmission superimposed to the velocity of a single droplet. We clearly see that the velocity changes in registry with the chemical oscillations, demonstrating the close connection between the bromine release rate and the locomotion velocity.

3.3 Mechanism of locomotion

In order to discuss the basic mechanism responsible for the observed droplet locomotion, we follow a line of argument put forward earlier⁵⁴ which has meanwhile been shown to be rather universal.⁴⁵ The total coverage, c , of the droplet surface with the MO, either brominated or not, is assumed to be roughly constant and in equilibrium with the micellar phase in the oil. The brominated fractional coverage shall be called b . If the droplet makes a movement due to, say, a thermal fluctuation, such movement will be accompanied by some tangential velocity field $u(\theta, \phi)$ with non-vanishing divergence, $\nabla u \neq 0$. Since the integral of the divergence must vanish by virtue of Gauss' law, there will be regions of positive and regions of negative divergence. Let us consider a region with positive divergence. The layer of adsorbed surfactant will be diluted here, and thus be replenished from the surrounding suspension. As this provides predominantly fresh surfactant, it is clear that the bromination density will decrease in regions with positive ∇u . Consequently, there will be a Marangoni stress pointing away from this region, thus tending to further enhance the divergent flow. We see that this situation leads to a linear instability, which we will briefly discuss in what follows.

Let us consider, in the rest frame of the droplet, an axisymmetric flow field, $u(\theta)$, along the droplet surface. The equation of motion for b is

$$\frac{\partial b}{\partial t} = k(b_0 - b) + \nabla(D_i \nabla b - ub) \quad (10)$$

which is equivalent to eqn (9), but for the brominated subspecies only. The numerical value of k will be similar as above, and we do not introduce a new symbol here. b_0 is the equilibrium coverage with brominated mono-olein (brMO). It is determined by the bromine supply from inside the droplet and the rate constant, k , of escape of brMO into the oil phase. The first term on the rhs of eqn (10) describes the balance between bromination and brMO escape. The second term describes the change in the bromination density of the surfactant layer due to transport along the droplet surface. D_i is the diffusivity of the surfactant within the interface.

The droplet motion and the surface flow, $u(\theta)$, are accompanied by a flow pattern within the droplet as well as in the neighboring oil, which can be calculated analytically once $u(\theta)$ is known.^{50,59} The corresponding viscous tangential stress exerted on the drop surface must be balanced by the Marangoni stress, $\nabla \gamma(\theta) = M \nabla b(\theta)$, where

$$M = d\gamma/db \quad (11)$$

is the Marangoni coefficient of the system. Expanding the bromination density in spherical harmonics,

$$b(\theta) = \sum_{m=0}^{\infty} b_m P_m(\cos \theta) \quad (12)$$

we can express the velocity field^{50,59} at the interface as

$$u(\theta) = \frac{M}{2\eta_s(1+\mu)\sin \theta} \sum_{m=1}^{\infty} \frac{m(m+1)b_m C_{m+1}^{-1/2}(\cos \theta)}{2m+1} \quad (13)$$

where C_n^α denote Gegenbauer polynomials. Inserting this into eqn (10) and exploiting the orthogonality relations of Gegenbauer and Legendre polynomials, we obtain

$$\frac{db_m}{dt} = \left[\frac{mMb_0}{2\eta_s(1+\mu)R} - m(m+1)\frac{D_i}{R^2} - k \right] b_m \quad (14)$$

for all $m > 0$. We see that the different modes decouple, as far as linear stability is concerned. As long as b_0 is small enough, the expression in brackets is negative, and the resting state is stable against fluctuations. However, when b_0 exceeds a critical value, the resting state is unstable, and the droplet spontaneously starts to move.

It is of interest which of the spherical harmonics becomes unstable first when b_0 is gradually increased. Instability occurs when the expression in brackets in eqn (14) becomes positive. It is straightforward to see that for sufficiently small kR^2/D_i , this happens first for the lowest mode at $m = 1$. As k becomes larger, the system is 'stiffer' concerning its propensity to keep the coverage close to its equilibrium value, b_0 . The driving term must then be increased, and one may anticipate that this favors the development of higher order modes. If we ask at which value of kR^2/D_i the m -th and the $(m+1)$ -st mode become unstable at the same drive, we find that the result is simply $m(m+2)$. In other words, for given rate and diffusion constants, we find that the largest mode index m obeying

$$m \leq \sqrt{1 + \frac{kR^2}{D_i}} - 1 \quad (15)$$

is the index of the mode which becomes unstable first. For $k < 3D_i/R^2$ this is always the lowest mode ($m = 1$), which corresponds to the flow field of eqn (8).

The nonlinear term ub in the equation of motion for $b(\theta)$, eqn (10), shows that the linear stability analysis we have pursued so far is not expected to reveal a complete picture of the process. After some finite time the bromination profile across the droplet surface will not be anymore determined by the fastest growing mode as suggested by eqn (14). Instead, it will acquire a complex shape which has recently been evaluated by means of computer simulation.⁶⁰ It was found that the steady state is indeed characterized by contributions from several spherical harmonics. The most important ones are the two lowest order modes, i.e., the coefficients b_1 and b_2 . They largely determine the behavior of the squirmer, in particular as far as its hydrodynamic interaction with other squirmers is concerned. For $\text{sgn}(b_2) = \text{sgn}(b_1)$ the droplet behaves as a 'puller', the flow field of which is reminiscent of swimmers like *Chlamydomonas reinhardtii*. For $\text{sgn}(b_2) \neq \text{sgn}(b_1)$, however, it rather behaves as



a 'pusher', similar to *Escherichia coli*. At high driving, bifurcations to autonomous oscillations are found, which lead to periodic switching between pusher and puller behavior.⁶⁰

In most cases, the leading b_1 -term dominates the flow strongly enough that the flow fields as determined by PIV look qualitatively similar. However, the corresponding behavior of the swimmer can differ substantially. Hence we see that this system exhibits a quite rich behavior, which has by far not been explored or exploited yet. It can be controlled by adjustment of a few parameters, which makes it well suitable for forthcoming experiments, *e.g.*, on collective behavior of squirmer-type swimmers.

3.4 Fingerprints of non-equilibrium

Aside from the self-sustained motion of our active droplets, there is some fluctuation in the direction, resulting in locomotion paths resembling persistent random walks.⁵⁴ It is important to show clear evidence that this random motion is fundamentally different from brownian thermal motion, which would never be able to lead to collective behavior different from what is well-known for equilibrium fluids. A straightforward demonstration of non-equilibrium is shown in Fig. 6. A micro-fluidic device has been set up consisting of a chamber which is divided into two compartments by a geometrically asymmetric dividing wall with a few openings. The wall has the shape of arrow-head wall pieces with some space in between, as shown in Fig. 6. The width of the walls is approximately 100 microns. Initially, the density of droplets is equal in both the upper and the lower compartment, as shown in the top figure.

If the motion of the droplets were identical to a random thermal motion, there would be no net flux between the two compartments, by virtue of detailed balance. In the bottom figure, the trajectories of all particles, taken during ten minutes, are overlaid, those of particles starting in the lower compartment in red (left), and those of particles starting in the upper compartment in blue (right). Clearly, there are many more droplets moving from the lower to the upper compartment than

vice versa. This effect of geometrically driven rectification clearly shows the strongly non-equilibrium character of this system.

4 Schemes utilizing phase transformations

An obvious drawback of the system discussed above is that due to the fuel being supplied from inside the droplets, the cruising range is necessarily quite limited. Unless the concentration of chemicals in the droplets can be raised dramatically, the fuel supply scales as the third power of the droplet size. Since the dimensionless cruising range (as the figure of merit of the system) is defined as the maximum travel distance divided by the droplet size, it scales as the square of the droplet size. Hence it is naturally limited for systems of small droplets, which are desirable for maximizing droplet number and minimizing influences from, *e.g.*, gravity. We therefore discuss now a different scheme which derives its power supply from the suspension outside the droplet. At the same time, it is interesting from a fundamental point of view since the symmetry breaking process does not proceed through a linear instability here.

4.1 Experimental realization

It is well known that droplets of oil may undergo self-sustained locomotion or shape transformations when placed in a solution of a suitable surfactant.⁶¹ In our experiments, we used 4-pentyl-4'-cyano-biphenyl (5CB) as the oil and tetradecyl-trimethyl-ammonium bromide (TTAB) as the surfactant. The liquid crystal (LC) character of 5CB will prove very useful for the visualization of the velocity field. If the surfactant concentration is well above the CMC, the stable phase is a micro-emulsion consisting of micelles in each of which there is a small amount of LC (swollen micelles). This can be confirmed by neutron scattering (*cf.* Fig. 7a), which shows that the micelles filled with LC are just a little larger than the empty micelles. The overall structure of the fluid (*i.e.*, suspended micelles) is not changed.

It is observed that the dissolution process, by which the LC drop gradually shrinks until it vanishes completely in the solution, is accompanied by spontaneous locomotion of this drop. Typical shrinking rates are about $0.1 \mu\text{m s}^{-1}$ (ref. 62) and rather independent of droplet size. Since the typical speed is of order $10 \mu\text{m s}^{-1}$ as before, the cruising range of this system is of the order of one hundred.

Fig. 7b shows the locomotion velocity of 50 micron diameter droplets as a function of the TTAB concentration. Again, we find an initial increase which at higher concentrations levels off, thus providing a regime with potentially vanishing dependence on exhaust concentration. Below a concentration of 5 weight percent, no locomotion is observed. This is still well above the CMC. Fig. 7c shows that the velocity field around the droplet, as revealed by PIV again, is qualitatively very similar to what was observed with the chemically driven squirmers (*cf.* Fig. 4a; yellow lines are again the stream lines of the flow).

The fact that 5CB is in the nematic state at room temperature can be exploited to study the velocity field inside the droplets at least qualitatively. The interplay between the director field and

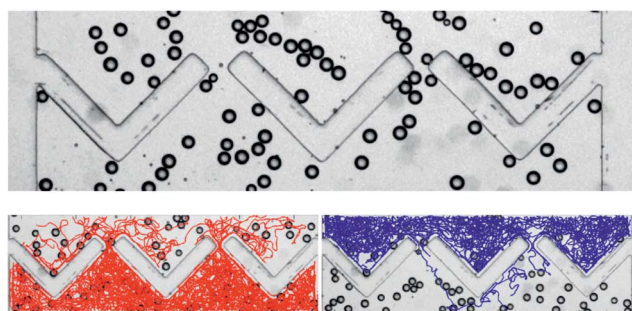


Fig. 6 Geometry-driven rectification as a fingerprint of non-equilibrium. Top: the active droplets are deposited in a micro-fluidic chamber which is divided into two compartments by a geometrically asymmetric divide. If the droplet motion were thermal, there would be no net flux between the lower and top compartment. Bottom: to each particle its trajectory is attached; red trajectories go from the lower to the upper compartment (left), blue trajectories go from the upper to the lower compartment (right).



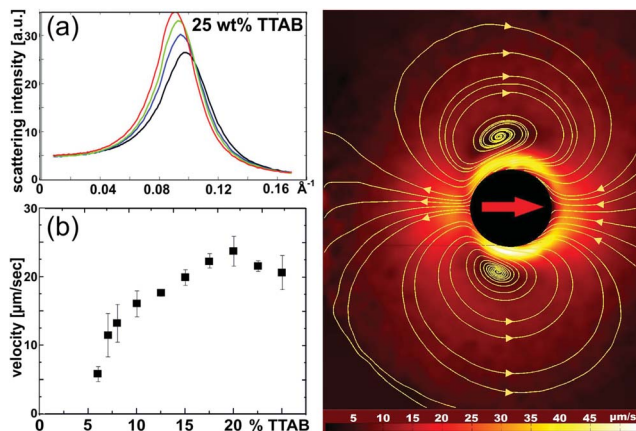


Fig. 7 Squirmer LC droplet in a surfactant (TTAB) solution. (a) Neutron scattering data show a pronounced peak corresponding to the structure factor of micelles. The addition of LC leads to swelling and a slight deformation of micelles, but no qualitative phase change. Black, blue, green, and red curves correspond to 0, 1, 2, and 3 weight percent of 5CB, respectively. (b) Locomotion velocity sets in at a TTAB concentration of several weight percent, well above the CMC. It levels off at high TTAB concentrations. (c) Velocity field around an LC squirmer (in laboratory frame) as revealed by PIV. The yellow lines are stream lines of the flow.

the velocity field tends to align their axes of symmetry with respect to each other.^{63,64} It is interesting to follow the ‘impact’ of two moving droplets with an optical microscope under crossed polarizers, as shown in Fig. 8. Initially, the droplets move towards each other, and the point defect associated with the director field can be discerned in each droplet at its edge, pointed towards the other droplet (*i.e.*, at its leading edge of motion). As the droplets come close to each other, they stop and turn their director fields slowly around, turning around a horizontal axis perpendicular to the microfluidic channel they are confined to. After this process they withdraw from the region of impact, and the point defects can be seen again at the respective leading edges of motion.

In ensembles of many droplets, their hydrodynamic interaction gives rise to a wealth of collective phenomena. As Fig. 9 shows, they tend to arrange into crystalline rafts (left panel), which may take off against buoyancy into the third dimension (right panel). This appears to be due to the convective currents induced by the superposition of individual flow fields of the squirmers, but this needs further confirmation. What we want to stress here is that the quasi-planar arrangement of the raft tends to remain intact even after losing contact with the container bottom.

It is interesting to note that the state of the LC constituting the droplet considerably affects this behavior. If the sample is heated above the nematic–isotropic phase transition temperature, the tendency to form crystalline rafts is strongly reduced and the rafts start to disintegrate.

4.2 Mechanism of locomotion

Let us now elaborate possible mechanisms which may be at the basis of this locomotion. Since there is now only one type of

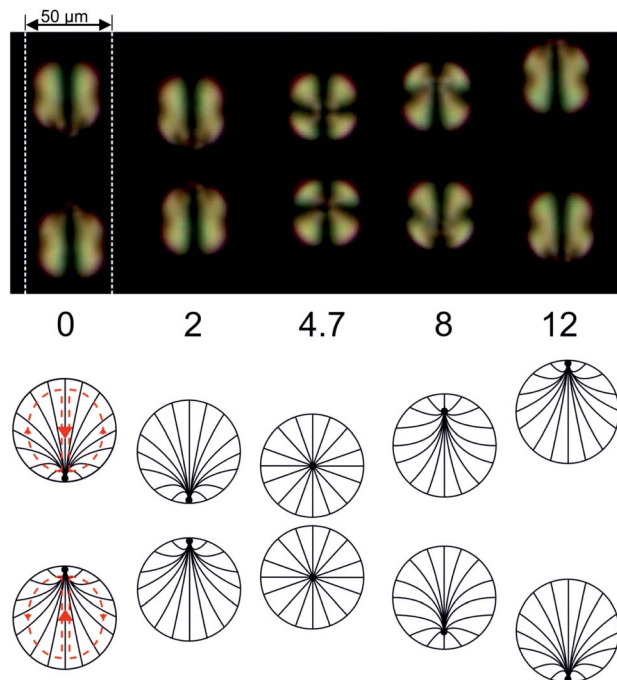


Fig. 8 Optical micrograph of a pair of LC (5CB) squirmer droplets approaching each other in a microfluidic channel (indicated by the white dashed lines), taken under crossed polarizers. The numbers at the bottom indicate the elapsed time in seconds. The director field aligns with the velocity field, such that the latter can be directly observed. In the vicinity of the ‘impact’ at $t = 4.7$ s, the velocity field gradually turns around in both droplets. Before the impact, the point defects which can be discerned at the droplet perimeters are facing each other. After the impact, they point into opposing directions.

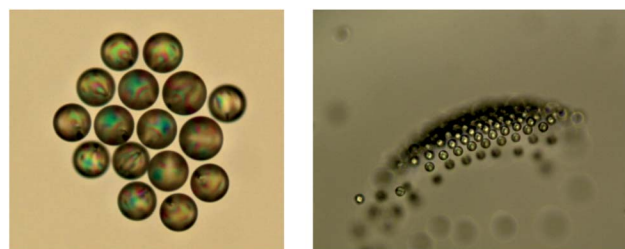


Fig. 9 Snapshots of collective motion of squirmer LC droplets. In the right panel, part of the raft has moved out of focus, which shows that it propagates in three dimensions as an integral structure. Such dynamic crystalline rafts seem to be more stable when the droplets are in the nematic state. According to our observations, the back-action of the nematic director field onto the flow pattern is sufficient to affect the hydrodynamic interaction, and thereby the collective behavior. The droplet size is approximately 50 microns.

surfactant involved, the only possible source of Marangoni stresses is a lateral variation of surface coverage with surfactant molecules. If the surrounding suspension contains the surfactant at concentrations exceeding the CMC, as is the case in our experiments, such variations are expected to be rather small. In order to gain some insight into the magnitude of effects we should expect, we start with a consideration of the surfactant concentration profiles normal to the droplet surface.

Subsequently, we will discuss how these profiles may be affected by advection, and how a self-sustained lateral gradient in surfactant density (and hence surface tension) may arise.

The fluid outside the LC drop consists of water, surfactant, and possibly some dissolved LC molecules. If there are empty surfactant micelles (*i.e.*, containing no LC), whose number density shall be called n_e , the number density of the free surfactant molecules, ρ_s , is equal to the CMC in equilibrium. If for some reason ρ_s falls below the CMC in some region, micelles will disassociate until ρ_s is replenished to the CMC again. There may be also micelles filled with LC (swollen micelles), the number density of which we call n_f . Because of the presence of the internal interface between the surfactant and the LC inside these micelles, there is no such direct exchange equilibrium with the free surfactant phase: in order to have surfactant molecules be dissolved from the filled micelles, ρ_s would have to drop quite substantially below the CMC.

This is in line with the fact that already well below the CMC there is an almost complete monolayer of surfactant molecules at the interface between the LC drop and the aqueous phase. This was shown experimentally⁶⁵ for a quite similar system, namely the interface between 8CB (4-octyl-4'-cyanobiphenyl) and water with CTAB (hexadecyltrimethylammonium bromide) as surfactant. The CMC of CTAB in water is about 1 mM, but already at CTAB concentrations around 0.001 mM, the anchoring of the LC at the LC/water interface is strongly affected, which suggests that a large fraction of the interface is already covered with CTAB molecules. Above about 0.05 mM, no change in anchoring strength is anymore observed. These findings underpin the strong affinity of the surfactant to the LC/water interface, and suggest that the surfactant density which would be in equilibrium with LC-filled micelles is far below the CMC, due to the internal LC interface present in the filled micelles. It is to be expected that this is qualitatively the case as well in all similar systems.

In what follows, we will consider the densities of surfactant molecules either free in solution (ρ_s), bound in empty micelles (ρ_e), or bound in LC-filled micelles (ρ_f). These can be expressed using the average number of surfactant molecules in an empty micelle, N_e , and the average number of surfactant molecules in an LC-filled (swollen) micelle, N_f . The number densities of surfactant molecules bound in micelles are then $\rho_e = N_e n_e$ and $\rho_f = N_f n_f$, respectively.

There are mainly two mechanisms to be considered for solubilization into a micellar phase,^{66–70} which are sketched in Fig. 10. Either the micelles are filled directly at the droplet interface, *via* a local process in which the micelle and the interface interact. This is called the *micellar pathway*. Or the solute is present in some small concentration in the bulk aqueous phase, and enters the micelles from there. This is called the *molecular pathway*.

4.2.1 Micellar pathway. Let us assume for a moment that the solubility of the LC in the aqueous phase is negligible. Hence the solubilization must take place directly at the interface, and the molecular pathway is excluded. Empty micelles impinging on the interface are spontaneously filled with a few LC molecules. Their (average) number per filled micelle shall be

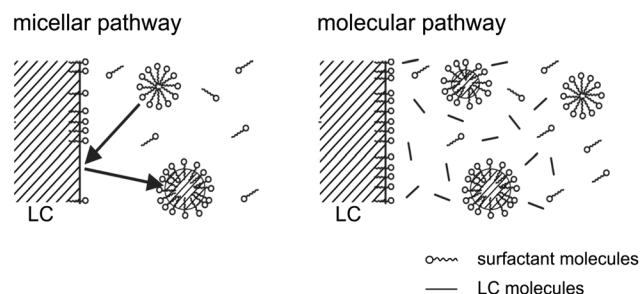


Fig. 10 Closeup view of the droplet surface, showing the micellar and molecular pathways of solubilizing the liquid crystal (LC) in the micellar suspension surrounding the droplet. In the micellar pathway, micelles are filled with LC when they impinge on the LC/water interface. In the molecular pathway, freely diffusing LC molecules are trapped in the suspended micelles.

called q below. Assuming that micelles may become filled, but are neither destroyed nor generated at the interface, we have

$$D_e \partial_z n_e(z)|_{z=0} = -D_f \partial_z n_f(z)|_{z=0} \quad (16)$$

or, in terms of the surfactant molecule number densities,

$$\frac{D_e}{N_e} \partial_z \rho_e|_{z=0} = -\frac{D_f}{N_f} \partial_z \rho_f|_{z=0}. \quad (17)$$

D_e and D_f denote the diffusivities of the empty and filled micelles, respectively.

It is important to appreciate that since $N_f > N_e$, there will be additional surfactant molecules needed to fill a micelle. Since these need to come from the immediate vicinity of the interface, the surfactant layer at this interface will be depleted. As a consequence, the equilibrium between this surfactant layer and the surrounding free surfactant molecules is disturbed. Hence there will be surfactant molecules from the surrounding liquid adsorbing at the interface in order to replenish the surfactant layer. This leads to a dynamic equilibrium between the surfactant flowing diffusively towards the interface and excess surfactant bound in filled micelles which diffuse away from the interface. The current of free surfactant molecules towards the interface must be accompanied by a density gradient, such that the density of free surfactant will be less than the CMC close to the interface. This is sketched in Fig. 11a. Consequently, empty micelles, which are at equilibrium only with surfactant at the CMC, will disassociate in this domain, trying to replenish the free surfactant phase.

We will now discuss to what density profiles these processes give rise, considering stationary quantities throughout. If the current of free surfactant molecules towards the interface is j_s , it must be accompanied by a gradient in the density according to

$$j_s(z=0) = -D_s \partial_z \rho_s(z)|_{z=0}, \quad (18)$$

where D_s is the diffusivity of free surfactant molecules. Far away from the interface, ρ_s is equal to the CMC, and its gradient vanishes. Hence there must be a zone of surfactant sources close to the interface to compensate for the non-zero second derivative of the density. These sources are just the empty



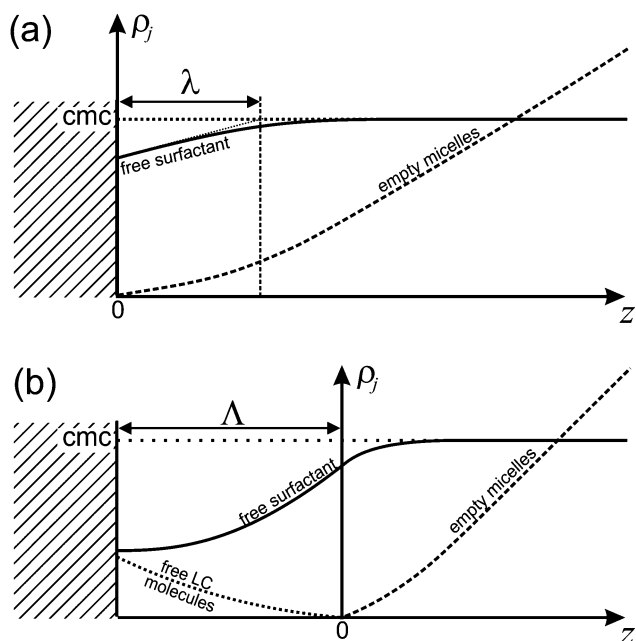


Fig. 11 Concentration profiles in the diffusive steady state for the two cases discussed. (a) Micellar pathway. The intersection of the (thin dotted) tangent to the free surfactant concentration profile at $z = 0$ defines the thickness of the diffusive boundary layer, λ . (b) Molecular pathway. The zone where the formation of filled micelles takes place extends over the 'reactive' boundary layer with thickness Λ .

micelles which disassociate due to the reduced surfactant density in their immediate vicinity. Since the equilibrium between the empty micelles and the surfactant at the CMC is a dynamic one, we can assume that the rate of micelle dissolution is just proportional to the deviation of the surfactant concentration from the CMC. Denoting the latter by ρ_M , we have

$$D_e \partial_{zz} \rho_e = -D_s \partial_{zz} \rho_s = \frac{1}{\tau} \left(1 - \frac{\rho_s}{\rho_M} \right) \rho_e, \quad (19)$$

where τ is the life time of an empty micelle in distilled water ($\rho_s = 0$), which is in the millisecond range.^{72,73} We also can extract a natural length scale of the system,

$$l = \sqrt{\tau D_s} \quad (20)$$

which corresponds to the distance over which a free micelle would be able to diffuse in pure water before it is dissolved. We expect this to be well below one micron.

Let us consider the deviation of the surfactant density from the CMC in the form

$$f(z) = 1 - \frac{\rho_s}{\rho_M} \quad (21)$$

such that $f(z) \in [0, 1] \forall z \geq 0$. The first equality in eqn (19) can be integrated twice, which by combination with eqn (21) yields

$$\frac{\rho_e}{\rho_M} = \alpha + \beta z + \kappa f(z), \quad (22)$$

where α , β , and $\kappa = D_s/D_e$ are constants. The second equality in eqn (19) then leads to a differential equation for $f(z)$,

$$f'' = \frac{1}{l^2} f(\alpha + \beta z + \kappa f) \quad (23)$$

where the prime denotes differentiation with respect to z . The form of eqn (21) shows that we will have to find asymptotically vanishing solutions, $f(z \rightarrow +\infty) = 0$.

Inspection of eqn (22) shows that far from the interface ($f(z) \approx 0$), the density of empty micelles (and hence of the surfactant bound in them) follows a linear profile with slope β . If C_+ is the surfactant concentration in excess of the CMC (in other words, the total surfactant concentration is $\rho_M + C_+$) far away from the interface, we simply have

$$\beta = \frac{C_+}{\rho_M} L^{-1} \quad (24)$$

where L is a macroscopic length determined from the system geometry. For a spherical drop with radius R at rest in an infinite bath of suspension, we have $L = R$.

In order to find an expression for β , we note that there is no net current of surfactant wherever $\rho_s = \rho_M = \text{const}$, which is the case far away from the interface. Hence all surfactant transport towards the interface region is carried by the empty micelles, and all transport of surfactant away from the interface region is carried by the filled micelles. Far away from the interface, we therefore have

$$\frac{D_e}{\rho_M} \partial_z \rho_e = D_e \beta = -\frac{D_f}{\rho_M} \partial_z \rho_f. \quad (25)$$

Since there is no source nor sink for filled micelles away from the interface, ρ_f must be strictly linear everywhere. Hence its gradient is the same immediately at the interface. We can then combine eqn (17), (22) and (25) to find

$$D_e \beta \left(1 - \frac{N_f}{N_e} \right) = \frac{N_f}{N_e} D_s f'(0), \quad (26)$$

and hence

$$f'(0) = \frac{N_e - N_f}{\kappa N_f} \beta, \quad (27)$$

which is negative since $N_f > N_e$. Together with the solutions of eqn (23), eqn (27) provides a relation between β (which can be taken as a measure for the overall rate of the dissolution process), and $f(0)$, which determines the coverage, c , of the interface with surfactant.

We can gain an upper estimate for $f(0)$ from inspection of eqn (23). Since empty micelles are converted into filled ones at the interface, we have $\rho_e(0) = 0$, and therefore $\alpha = -\kappa f(0)$. Expanding $\rho_e(z)$ in a Taylor series and neglecting terms higher than linear, we find

$$\frac{\rho_e}{\rho_M} \approx [\beta + \kappa f'(0)]z = \frac{N_e}{N_f} \beta z. \quad (28)$$

Rewriting now eqn (23) in terms of the auxiliary variable

$$y = \left(\frac{N_e \beta}{N_f l^2} \right)^{1/3} z \quad (29)$$



we obtain

$$\frac{d^2}{dy^2}f = yf \quad (30)$$

which is of Airy type and has the solution⁷⁴ $f(y) \propto \text{Ai}(y)$. For non-negative argument, $\text{Ai}(y)$ is positive, starts at $y = 0$ with a negative slope, and decays monotonically to zero for large y . Using⁷⁴ $\text{Ai}(0) = 0.3550$ and $\text{Ai}'(0) = -0.2588$, we can directly derive the thickness of the boundary layer, λ . We find

$$\frac{\lambda}{l} = 1.372 \left(\frac{N_f}{N_e} \right)^{1/3} (\beta l)^{-1/3} \quad (31)$$

$(-\text{Ai}(0)/\text{Ai}'(0) = 1.372)$. For the depletion of free surfactant close to the interface, we have $f(0) = \lambda f'(0)$, and therefore with (27)

$$f(0) = 1.372 \frac{N_f - N_e}{\kappa N_f} \left(\frac{N_f}{N_e} \right)^{1/3} (\beta l)^{2/3}. \quad (32)$$

Inserting numbers, we realize that $f(0)$ will be small as compared to unity.

In order to estimate the consequences such depletion will have on the coverage of the interface with surfactant, we assume that the surfactant density at the interface follows a Langmuir isotherm,⁷¹ such that

$$c(\rho_s) = c_{\text{ML}} \frac{\rho_s}{\rho_L + \rho_s}, \quad (33)$$

where c_{ML} corresponds to a completely filled monolayer. ρ_L denotes the 'Langmuir' surfactant density which is in equilibrium with a half-filled surfactant monolayer at the interface, $c/c_{\text{ML}} = 0.5$. We have seen above in Section 4.2 that $\rho_L \ll \rho_M$. Since $\rho_s(0) = \rho_M[1 - f(0)]$ and $f(0)$ is small, we can expand c and find

$$\frac{c}{c_{\text{ML}}} \approx 1 - \frac{\rho_L}{\rho_M} - \frac{\rho_L}{\rho_M} f(0). \quad (34)$$

Qualitatively, we see from eqn (32) and (34) that increasing β results in a decrease of surfactant coverage and hence in an increase of the interfacial tension. It is quite counter-intuitive that the surfactant coverage should decrease when the rate of advection of fresh surfactant (*i.e.*, of empty micelles) increases. The obvious reason is that the filling of the micelles itself consumes surfactant, thus providing a sink, not a source. We conclude that the micellar pathway cannot give rise to locomotion in this system.

4.2.2 Molecular pathway. If there is appreciable solubility of the LC in the aqueous phase, empty micelles may be filled from dissolved LC while they are freely suspended in the solution, away from the interface. This process is particularly interesting to consider for ionic surfactants (such as ours, see Section 4.1), since the charge of the surfactant monolayer adsorbed at the interface repels the empty micelles and thus makes a micellar process quite unlikely. The situation is then roughly as sketched in Fig. 11b. If we assume for simplicity that

micelles are filled within some more or less narrow region away from the interface, the density of *empty* micelles is zero within a boundary layer of finite thickness close to the interface. In this case, we may expect that the density of free surfactant can be considerably smaller close to the interface than in the case of micellar solubilization. Hence the depletion of the surfactant layer may be substantially stronger.

If we now define the plane of zero z not to be at the interface but within the region where the first LC molecules enter the micelles, we can use all equations derived above for the domain of positive z . We estimate the profiles for $z < 0$ by assuming that micelles keep absorbing LC molecules and, concomitantly, free surfactant molecules, throughout the boundary layer left of the z -axis at a roughly constant rate. The density profile of the LC molecules, ρ_{LC} , acquires then a parabolic shape, with the boundary conditions

$$\partial_z \rho_{\text{LC}}|_{z=0} = -\frac{D_e}{D_{\text{LC}}} \partial_z \rho_e|_{z=0} \quad (35)$$

and

$$\partial_z \rho_{\text{LC}}|_{z=-\Lambda} = q \partial_z \rho_{\text{LC}}|_{z=0} \quad (36)$$

q is the number of LC molecules in a filled micelle and D_{LC} is their diffusivity in the aqueous phase. The rate of dissolution of LC can be written as⁶⁹

$$D_{\text{LC}} \partial_z \rho_{\text{LC}}|_{z=-\Lambda} = -h[\rho_0 - \rho_{\text{LC}}(-\Lambda)] \quad (37)$$

where ρ_0 is the equilibrium solubility of the LC in water, and h is the corresponding rate constant of dissolution. It is then straightforward to derive

$$\Lambda = \frac{2}{1+q} \left[\frac{D_{\text{LC}}}{D_e} N_f \frac{\rho_0}{\rho_M} \beta^{-1} - \frac{q D_{\text{LC}}}{h} \right]. \quad (38)$$

For small β , $\Lambda \gg \lambda$, since the latter scales as $\beta^{-1/3}$ (*cf.* eqn (31)) while $\Lambda \propto \beta^{-1}$. With increasing β , Λ becomes smaller and finally vanishes at a finite dissolution rate.

For the depletion of surfactant close to the interface, we use the boundary condition that $\partial_z \rho_s = 0$ at the interface ($z = -\Lambda$), and that

$$D_s \partial_{zz} = \frac{N_f - N_e}{q} D_{\text{LC}} \partial_{zz} \rho_{\text{LC}} \quad (39)$$

everywhere within the boundary layer for negative z . This finally yields for the depletion of surfactant with respect to ρ_M (*i.e.*, the CMC) at the interface, $\Delta \rho_s = \rho_M - \rho_s(-\Lambda)$. We obtain, using eqn (32),

$$\frac{\Delta \rho_s}{\rho_M} = \frac{N_f - N_e}{\kappa N_f} \left[1.372 \left(\frac{N_f}{N_e} \right)^{1/3} (\beta l)^{2/3} + P \left(\frac{lh \rho_0}{D_e \rho_e q} - \beta l \right) \right] \quad (40)$$

with

$$P = \frac{D_{\text{LC}}}{lh} \frac{(q-1)}{(q+1)}. \quad (41)$$



The latter represents the ‘background’ depletion which is obtained when $\beta = 0$, *i.e.*, when the surfactant concentration in the suspension equals the CMC. The first term on the rhs of eqn (40) stems from the profile for positive z , while the second term represents the region of negative z . The main difference with respect to our findings for the micellar pathway is that we have now, under certain conditions, a *decrease of the depletion*, and hence of surface tension, with increasing driving parameter, β . As opposed to the simple picture outlined at the end of Section 2, this is not due to the balance of advected ‘fresh’ surfactant, but entirely due to the change in the boundary layer thickness, Δ .

4.3 The role of advection

So far we have not identified the mechanism which breaks the symmetry of the homogeneous surfactant distribution on the droplet surface. What we have learned, however, is that the concentration profiles of the diffusing species exhibit strong non-trivial spatial variations only within a boundary layer with a thickness very small as compared to the size of the droplet. We will see how we can exploit this knowledge in what follows. As opposed to the chemically reactive scheme discussed in Section 3, we have now to include advection in the model in an explicit way. It is clear that a combination of diffusion and advection leads to equations one can never hope to solve analytically. However, we may try nevertheless to envisage the principal processes which are expected to be relevant. We will consider a droplet moving at a certain velocity, V , and estimate the effect of the corresponding distortion of the concentration profiles on the surfactant depletion at the droplet surface. We will then use the results obtained above to see whether this gives rise to Marangoni stresses which are sufficient to maintain the droplet motion.

The flow field around the moving droplet has been given in eqn (8). On the axis of symmetry and close to the surface, we can write down the advective flow velocity outside the droplet quite generally from the local divergence of the tangential flow field at the surface. Since the three dimensional flow must be source free, we have $\text{div} v = \partial_r v_r + \nabla u = 0$, and hence

$$\nabla u = \frac{V}{3R} \quad (42)$$

which is readily seen from eqn (6) at $\theta = 0$. For any such spot on the drop where the tangential field is divergent ($\nabla u > 0$), the velocity along the radial axis above the divergent spot (z) is given to linear order in z by

$$v_z = -z \nabla u = \frac{-Vz}{3R}. \quad (43)$$

Let us now explore the consequences of this radial flow on the distribution of empty micelles along the symmetry axis. For the rate of change of their density, we have

$$\partial_t \rho_e = D_e \partial_{zz} \rho_e + \frac{Vz}{3R} \partial_z \rho_e. \quad (44)$$

We consider the stationary situation, hence

$$\partial_{zz} \rho_e = \frac{-Vz}{3D_e R} \partial_z \rho_e. \quad (45)$$

This is straightforward to solve, with boundary conditions $\rho_e(0) = 0$ and $\rho_e(z \rightarrow \infty) = C_+$. The result is

$$\rho_e(z) = C_+ \operatorname{erf} \left[z \sqrt{\frac{V}{6D_e R}} \right] \quad (46)$$

where for $\operatorname{erf}(x)$ we use the definition

$$\operatorname{erf}(x) = \frac{2}{\sqrt{\pi}} \int_0^x e^{-z^2} dz. \quad (47)$$

Close to the surface, this gives rise to an extra normal current of empty micelles, which can be treated as an increment to the driving parameter β . By combining eqn (24) with the derivative of eqn (46), this increment can be derived to be

$$\delta\beta = \frac{C_+}{\rho_M} \sqrt{\frac{2V}{3\pi D_e R}} \quad (48)$$

Hence we have infinite ‘sensitivity’ of β to small drift velocities, V . In other words, any small drift will induce a divergent tangential velocity field on the droplet surface ($\nabla u \neq 0$) which is sufficient to maintain this motion, even to accelerate it. This instability is not linear, and different modes in terms of spherical harmonics will not decouple as in eqn (14). Nevertheless, we can conclude that the system is unstable with respect to fluctuations whenever the slope of $\Delta\rho_s(\beta)$ is negative.

In order to discuss this in some detail, we plot the depletion according to eqn (40) qualitatively as a function of the driving parameter, β . This is shown in Fig. 12. For small β , the depletion increases, similar to what we found for the micellar pathway. This leads to an increase of the local interfacial tension, and thus to a Marangoni stress pointing towards the considered area. Consequently, the system is *dynamically stable* under these

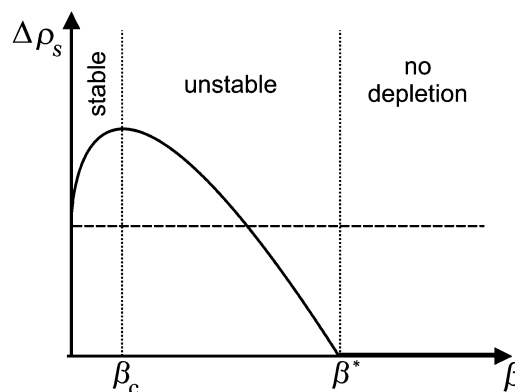


Fig. 12 Qualitative variation of the depletion of free surfactant close to the interface, as a function of driving parameter for the molecular pathway. Depending on the slope of the curve, we have either a stable or an unstable situation. For large driving ($\beta > \beta^*$), the surface is saturated with surfactant, such that there is no depletion.



conditions, and there will be no symmetry breaking and hence no locomotion.

If, however, the surfactant concentration is sufficient to locate the system to the right of the maximum ($\beta > \beta_c$), any fluctuation leading to a divergent tangential velocity field (*i.e.*, $\nabla u > 0$) will *decrease* the depletion and hence decrease the interfacial tension in that area. This results in a Marangoni stress pointing away from this area, thus further enhancing the flow velocity. The first result we can note down is that there must be a minimum concentration of surfactant, corresponding to the maximum of $\Delta\rho_s$, below which there will be no locomotion. We find β_c by demanding the derivative of (40) to vanish. This yields

$$\frac{C_+^{\text{crit}}}{\rho_M} = R\beta_c = 0.765 \frac{N_f}{N_e} \left[\frac{hl(q+1)}{D_{LC}(q-1)} \right]^3 \frac{R}{l}. \quad (49)$$

This corresponds to a surfactant concentration which is above the CMC, as the latter corresponds to $\beta = 0$ (*cf.* eqn (24)). This is indeed in accordance with the sharp threshold we observe for the onset of locomotion, well beyond the CMC (*cf.* Fig. 7b).

The spot where the divergent velocity field has developed will take the role of the leading edge of motion, and the depletion decreases further while the droplet velocity increases. The latter will saturate when it has reached a value corresponding to the total variation in surface tension, as we have estimated above (*cf.* eqn (5)). This value will be independent of surfactant concentration. If the latter is increased well beyond the maximum at β_c , the total variation of interfacial tension along the droplet surface will decrease, since there is no depletion anymore for $\beta > \beta^*$. Hence the droplet velocity will as well decrease again. All this is in accordance with the data shown in Fig. 7b.

At all stages of the symmetry breaking process, the spatial variation of the surface tension should be represented by a quite sharp kink rather than a simple spherical harmonic. This is in stark contrast to the system discussed in Section 3, where the symmetry breaking proceeds *via* linear instability of low-order spherical harmonics.

5 Conclusions

Active emulsions, *i.e.*, emulsions the droplets of which perform autonomous locomotion, are promising model systems for the study of collective behavior far from thermal equilibrium. However, although the individual droplet is considerably simpler than the active species it may model, such as a bacterium or plankton cell, understanding and controlling its mechanism of locomotion sometimes poses a considerable task. In the case of chemically driven droplets, the emergence of locomotion seems to be largely understood. We have discussed that experiments, analytical theory, and numerical simulations have reached good agreement. In contrast, in the conceptually much simpler class of systems which are driven by physico-chemical dissolution processes (formation of a microemulsion), the details of the mechanism are still far from being

understood. We have tried here to outline a first sketch of a theory of locomotion in such systems. It is worthwhile to note that according to this model, a molecular pathway is required for locomotion to occur, while a purely micellar pathway of dissolution does not lead to any such process. Experiments and simulations will be necessary to corroborate the picture put forward here.

What is of practical interest for simulations is that nontrivial spatial density variations of the involved species (surfactant molecules and micelles) are to be expected only within a very narrow region close to the interface. Hence in simulations one only needs to consider the densities of the involved species outside this boundary layer, where they behave as passive scalars. Furthermore, it seems that only a single such field, namely the density of empty micelles, needs to be considered. As opposed to locomotion of droplets containing reactants which affect the surfactant directly,⁵⁴ one needs to discuss the full advection dynamics to reproduce the dynamic instability leading to symmetry breaking and locomotion.

Abbreviations

b	Fraction of brominated surfactant at the interface
b_m	m -th order spherical harmonic of b
C_+	Surfactant concentration in excess of the CMC
C_+^{crit}	Critical value of C_+ at which locomotion sets in
Ca	Capillary number, eqn (5)
c	Surfactant density at the droplet surface, eqn (9)
D_j	Diffusivity of species j
h	Rate constant of dissolution of LC into water, eqn (37)
k	Rate constant of dissolution of surfactant, eqn (9)
l	Intrinsic length scale, eqn (20)
m	Mode index of spherical harmonics
M	Marangoni coefficient, eqn (11)
N_e	Number of surfactant molecules in an empty micelle
N_f	Number of surfactant molecules in a filled micelle
q	Number of LC molecules per filled micelle
r	Radial coordinate
R	Radius of droplet
u	Tangential flow velocity along droplet surface
v	3D flow velocity field, eqn (6) and (7)
V	Velocity of the droplet in the laboratory frame
β	Normalized surfactant micelle concentration, eqn (24)
γ	Interfacial tension
η_j	Viscosity of fluid j
θ	Polar angle in spherical coordinates
κ	Ratio of diffusivities, eqn (22)
λ	Thickness of 'micellar' boundary layer, eqn (31)
λ	Thickness of 'molecular' boundary layer, eqn (38)
μ	Ratio of viscosities, eqn (1) and (2)
ρ_s	Density of free surfactant
ρ_e	Density of surfactant bound in empty micelles
ρ_f	Density of surfactant bound in filled micelles
ρ_L	'Langmuir' surfactant density, eqn (33)
ρ_M	Critical micelle concentration (CMC)
$\Delta\rho_s$	Surfactant depletion at interface
ρ_m	Density of surfactant bound in micelles



- τ Lifetime of empty micelle in pure water
 ∂_y Partial derivative with respect to the variable y
 ∇ Nabla operator

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