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## The study of polyplex formation and stability by time-resolved fluorescence spectroscopy of SYBR Green I-stained DNA

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Polyplexes are nanoparticles formed by the self-assembly of DNA/RNA and cationic polymers specifically designed to deliver exogenous genetic material to cells by a process called transfection. There is a general consensus that a subtle balance between sufficient extracellular protection and intracellular release of nucleic acids is a key factor for successful gene delivery. Therefore, there is a strong need to develop suitable tools and techniques for enabling the monitoring of the stability of polyplexes in the biological environment they face during transfection. In this work we propose time-resolved fluorescence spectroscopy in combination with SYBR Green I-DNA dye as a reliable tool for the in-depth characterization of the DNA/vector complexation state. As a proof of concept, we provide essential information on the assembly and disassembly of complexes formed between DNA and each of three cationic polymers, namely a novel promising chitosan-*graft*-branched polyethylenimine copolymer (Chi-*g*-bPEI), one of its building block 2 kDa bPEI and the gold standard transfectant 25 kDa bPEI. Our results highlight the higher information content provided by the time-resolved studies of SYBR Green I/DNA, as compared to conventional steady state measurements of ethidium bromide/DNA that enabled us to draw relationships among fluorescence lifetime, polyplex structural changes and transfection efficiency.

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## Introduction

The recent complete mapping of the human genome and sequencing of genes by the Human Genome Project (HGP) have opened new perspectives towards understanding the aetiology and the pathophysiology of human diseases.<sup>1</sup> Concomitant advances in materials science and biotechnology have enabled the development of systems for the delivery of thera-

peutic nucleic acids, and paved the way for gene therapy strategies to treat a variety of diseases.

Although the ease of synthesis, relatively low cost and high safety make cationic polymers attractive and valuable tools for the delivery of nucleic acids to cells,<sup>2</sup> their widespread use in clinical trials has mainly been hampered by transfection efficiency concerns. These gene delivery vectors are inherently cationic at physiological pH, spontaneously self-organizing with polyanionic nucleic acids in nano- and microparticles named polyplexes.<sup>3,4</sup> In this scenario, there is a growing consensus that a better understanding of the processes leading to DNA complexation/release and finally to effective polyfection represents a big step forward in the rational design of effective polymeric gene vectors.

Polyethylenimine (PEI) is considered as the gold standard gene carrier among polymeric non-viral vectors. It exists in either linear (lPEI) or branched (bPEI) form and, although conflicting results are reported in the literature, several authors have pointed out the superior transfection efficiency of bPEI.<sup>5</sup>

Both the transfection efficiency and cytotoxicity of PEIs are strictly related to their molecular weight ( $M_w$ ): high  $M_w$  (HMW) PEIs not only lead to enhanced transfection but also induce undesirable cytotoxicity.<sup>6,7</sup> Recently, aiming to reduce the toxic

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side effects of HMW PEIs while preserving their superior transfection ability, low  $M_w$  (LMW) bPEI has been grafted onto medium  $M_w$  (MMW) chitosan, to obtain chitosan-*graft*-bPEI (Chi-*g*-bPEI) copolymers featuring lower cytotoxicity and equal or higher transfection efficiency than that of the gold standard transfectant 25 kDa bPEI.<sup>8–10</sup> However, the reason(s) why these polymers display such different behaviours has been overlooked thus far.

Due to the excellent sensitivity of fluorescence compared to other optical techniques and to the large variety of fluorescent intercalating dyes available in the market, spectrofluorimetric steady-state analyses of DNA-dyes such as ethidium bromide (EtBr) are usually performed in fluorophore-exclusion assays to investigate the DNA-condensation capability of gene delivery vectors.<sup>11–13</sup> However, this technique does not allow discrimination between the expulsion of the dye from the DNA double helix and possible changes in its fluorescence quantum yield due to *e.g.* DNA rearrangement internal to polyplexes.

Recently, the use of time-resolved fluorescence spectroscopy techniques in combination with the DNA-intercalant EtBr was proposed as a feasible analytical tool to study the state of nucleic acids within polyplexes.<sup>14–17</sup> Time-resolved techniques indeed allow disentangling the fluorescence amplitude and lifetime, thus providing additional information with respect to popular steady-state analyses. In fact, the lifetime of fluorescent DNA-dyes, and of every fluorophore in general, is strongly dependent on the microenvironment and, hence, on the binding strength between the polymer and the DNA in the case of polyplexes. Transfection effectiveness of polyplexes arises from the suitable balance between the extracellular stability and the ability to release their cargo inside the cells. Therefore, a direct measurement of the DNA complexation state in the different environments resembling those encountered by polyplexes in living systems is fundamental to characterize their behaviour.

To the best of our knowledge the present work is the first to present the use of SYBR Green I in combination with time-resolved fluorescence spectroscopy to investigate the DNA condensation state in polyplexes. This issue is also of particular importance to the large segment of the research focused on gene regulation and theranostic applications. Furthermore, due to its superior safety profile and fluorescence properties, SYBR Green I has superseded EtBr in most laboratories soon after its launch on the market. We have thus evaluated the fluorescence decay of SYBR Green I/DNA in polyplexes during the assembly and disassembly steps in biological media, comparing the behaviour of three different cationic polymers: 2 kDa bPEI, 25 kDa bPEI and a Chi-*g*-bPEI copolymer. Overall, the main aim of our study was to find out the possible relationship among the optical behaviour, physicochemical properties and transfection profiles of cationic polymers.

The strong fluorescence signal of SYBR Green I/DNA and its high information content together with the increasingly widespread use of time-resolved fluorescence spectroscopy and the typical advantages of optical techniques, such as high sensitivity, speed to follow dynamic processes, easy-to-use instru-

mentation and cost-effectiveness, make us confident in the routine use of the technique described herein in (bio)chemical laboratories for the development of non-viral gene delivery vectors.

## Results and discussion

Recently, time-resolved fluorescence in combination with EtBr was used to compare the DNA-complexation behaviour of various polymeric gene delivery vectors.<sup>14,16</sup> Unfortunately, possibly owing to the too low signal of EtBr, fluorescence measurements had to be performed at a very high DNA concentration (300  $\mu\text{M}$ , corresponding to  $\approx 0.1 \mu\text{g} \mu\text{L}^{-1}$ ) far above those generally used *in vitro* (on the order of 1–10  $\text{ng} \mu\text{L}^{-1}$  of DNA or even lower),<sup>10,18</sup> and obviously *in vivo*, in gene delivery assays, inasmuch as certain suspensions were reported to be cloudy.

In light of this drawback, we introduced herein the use of SYBR Green I as the DNA-dye for time-resolved fluorescence spectroscopy experiments on polyplexes. Both the fluorescence quantum yield and fluorescence enhancement in going from the SYBR Green I free dye to the double-stranded DNA (dsDNA) intercalated dye are much higher than for EtBr,<sup>19</sup> thus allowing the study of the DNA behaviour in polyplexes at realistic concentrations. Specifically, we compared the DNA-complexation behaviour of a Chi-*g*-bPEI copolymer with a degree of grafting of 6.5% onto those of 2 kDa and 25 kDa bPEI, to find out the possible relationship with the physicochemical and biological properties of the corresponding polyplexes. It is worth recalling that 2 kDa bPEI is the transfection-active building block of the Chi-*g*-bPEI copolymer, while 25 kDa bPEI is a gold standard transfectant.

### Time-resolved fluorescence of polyplexes in the presence of SYBR Green I

Preliminary measurements were carried out in order to estimate the fluorescence lifetime of SYBR Green I in the absence and in the presence of DNA. Free SYBR Green I displayed an extremely low signal and a short temporal decay, below the temporal resolution of the system ( $\approx 200$  ps). The low quantum yield of free SYBR Green I is in good agreement with a previous report by Cosa and co-workers.<sup>19</sup> Instead, no significant increase of the fluorescence signal was detected upon mixing SYBR Green I with each polymer investigated (data not shown). On the other hand a tremendous increase in fluorescence intensity was noted when SYBR Green I was kept in the presence of plain DNA, the decay showing a mono-exponential behaviour with a lifetime of *ca.* 5.5 ns.

Fig. 1 shows the time-resolved fluorescence curves for Chi-*g*-bPEI polyplexes prepared at different nitrogen to phosphate ratios (N/P) and diluted in 10 mM Hepes pH 7. The wavelength selected in these and all the forthcoming results was  $530 \pm 10$  nm, which is close to the maximum emission of SYBR Green I. This choice was motivated by the experimental evidence that unlike EtBr that showed temporal and spectral vari-



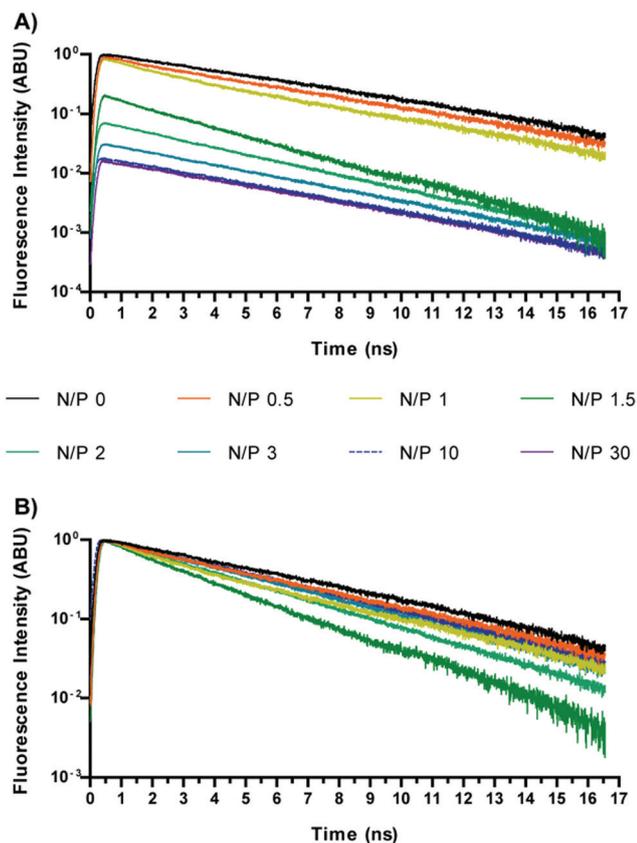


Fig. 1 Time-resolved profiles of DNA stained with SYBR Green I and complexed with Chi-g-bPEI at increasing nitrogen to phosphate ratios (N/Ps; from 0 to 30); (A) not normalized data; (B) normalized data.

ations following DNA condensation by polymers,<sup>14</sup> no change occurred in the emission spectrum of SYBR Green I upon variation of the N/P (not shown). This aspect supports the approach of focusing on the lifetime of SYBR Green I to get insight into the polyplex conformation and stability. As expected, we also observed a strong reduction in the SYBR Green I fluorescence amplitude upon increasing N/P (Fig. 1), owing to the progressive removal of the dye from nucleic acids. Most importantly, we observed a change in the fluorescence lifetime, indicating the variation of the quantum yield of the dye still bound to the DNA. A similar behaviour was also observed for 2 kDa and 25 kDa bPEI, as shown in Fig. 2.

In order to quantify the fluorescence amplitudes and lifetimes, the time profiles corresponding to different N/Ps were generally best-fitted to a bi-exponential function, except for some cases in which the fitting procedure converged towards a single exponential decay. Fluorescence amplitudes and lifetime values as a function of the N/P for the three polymers are reported in Fig. 2.

The temporal behaviour of the emitted fluorescence was anomalous for  $N/P \leq 2$ . Indeed, the curves showed a bi-exponential profile and/or a strong reduction of longer lifetimes. Upon increasing the N/P, the temporal profiles always went back to a mono-exponential function and the fluorescence lifetimes began to increase, though they never reached the

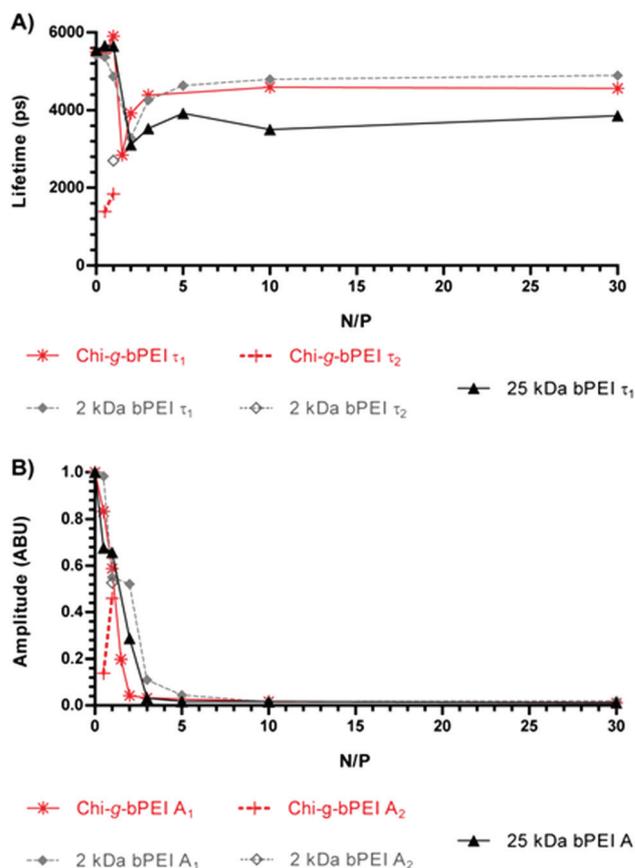


Fig. 2 (A) Fluorescence lifetime and (B) amplitude of SYBR Green I/DNA for Chi-g-bPEI-, 2 kDa bPEI- and 25 kDa bPEI-based polyplexes at varying nitrogen to phosphate ratios (N/Ps). Empty markers refer to the second components in the case of the bi-exponential temporal profile. Fluorescence amplitudes are normalized to the N/P 0 value.

maximum value recorded in the presence of naked DNA (N/P 0). Due to the strong dependence of the fluorescence lifetime on the microenvironment,<sup>20–22</sup> these changes can be related to different conformational states of the DNA as a function of the N/P. Furthermore, even at high N/P, SYBR Green I was not completely expelled from the double helix and a reduced but significant amount of fluorescent dye was still adsorbed or laid in close proximity to the nucleic acids, representing a valuable marker to unravel the conformation of polyplexes and to study their stability in biological environments. In fact, although to a lesser extent, the lifetime changes observed for those N/Ps identified as the most effective in transfection experiments ( $N/P \geq 10$ , Fig. 4) pointed out dynamic variations in the polyplex structure.<sup>23</sup> Of note, transfections were carried out with the most widely used standard, *i.e.* the luciferase-encoding plasmid and transfection efficiency expressed as relative luciferase units per milligram of cell protein lysate (RLU  $\text{mg}^{-1}$  of proteins).<sup>10,24</sup>

In an attempt to shed light on the possible relationship between the fluorescence lifetime and the polyplex structure, the average hydrodynamic diameter ( $D_H$ ) (Fig. 3A) and  $\zeta$ -potential ( $\zeta_p$ ) (Fig. 3B) of the polyplexes at varying N/Ps were



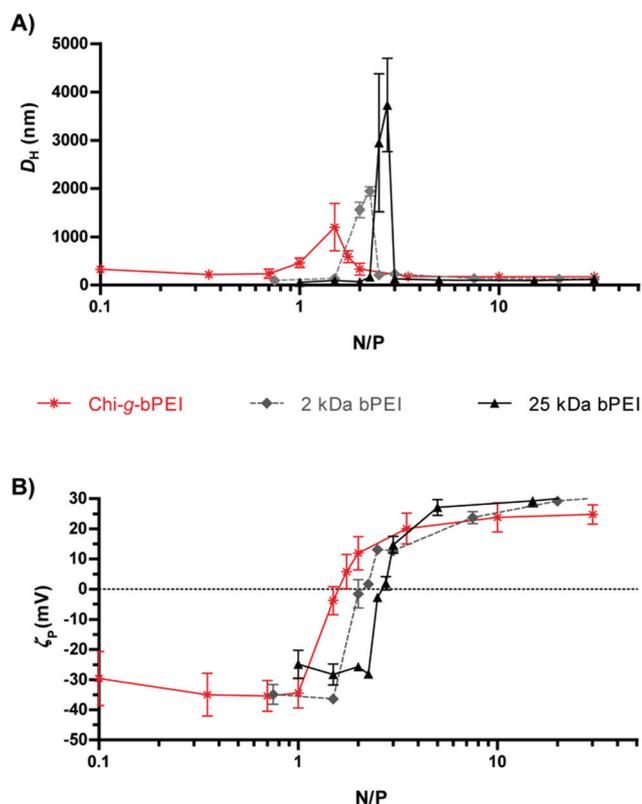


Fig. 3 (A) Size (average hydrodynamic diameter,  $D_H$ ) and (B) surface charge ( $\zeta$ -potential,  $\zeta_p$ ) of Chi-*g*-bPEI, 2 kDa and 25 kDa bPEI at varying nitrogen to phosphate ratios (N/Ps).

measured by dynamic light scattering (DLS) and laser Doppler micro-electrophoresis, respectively. At low N/Ps ( $N/P < 1.5$ ) the surface charge of DNA/Chi-*g*-bPEI complexes was negative probably because of the exposure of the phosphates on the outside of polyplexes (*i.e.* ineffective DNA shielding). At N/P 1.5 Chi-*g*-bPEI-based polyplexes displayed a  $\zeta_p$  close to neutrality and their biggest size ( $D_H \approx 1200$  nm); this can be ascribed to aggregation of complexes in the absence of net electrostatic repulsive forces.<sup>9,10</sup> An increase in fluorescence quenching would be expected in such a condition and this is in good agreement with time-resolved fluorescence measurements. In particular, we observed a bi-exponential behaviour showing a fluorescence lifetime reduction of the longer component and the appearance of a second short component, which can reasonably be ascribed to the aggregation of the complexes. Moreover, as the N/P was further augmented, the electrostatic repulsive forces prevented the aggregation of polyplexes and caused the total embedding of the DNA in nanometric polyplexes. Afterwards, the size of the particles remained fairly constant while the fluorescence lifetime of SYBR Green I was still changing so that we could appreciate different DNA conformational states.

Although dimensionally different, polyplexes constituted of 2 kDa and 25 kDa bPEI showed qualitatively similar profiles with an anomalous behaviour of the fluorescence lifetime in correspondence with the null surface potential (0 mV) (Fig. 3B)

and with their respective maxima in size (Fig. 3A) ( $N/P \approx 2$ ). At  $N/P > 2$ , the fluorescence lifetimes of 2 kDa bPEI and Chi-*g*-bPEI complexes were instead higher compared to that of 25 kDa bPEI (Fig. 2A). Hence, the latter is possibly characterized by a higher DNA binding strength. This could account for the reduction of the fluorescence quantum yield (and consequently of the lifetime) and for the greater displacement of the SYBR Green I from the DNA. However, its removal was never complete, as shown by the higher fluorescence intensity and longer lifetime compared to that of the free SYBR Green I dye.

Fig. 4 shows the transfection efficiency for all polyplexes in complete DMEM (defined in the Experimental section). Although all are similar in size and surface charge (Fig. 3), the polymer/DNA complexes showed quite different transfection behaviours, as evidenced by the measurement of luciferase activity. In light of these findings we can speculate that the dimensions and the  $\zeta_p$  of polyplexes *per se* are poorly predictive of the transfection outcome.<sup>25</sup> In contrast, each kind of polyplex displayed a peculiar lifetime value that highlighted polymer-specific DNA arrangements.

Concerning the cytotoxicity of gene delivery vectors, similar levels were observed for Chi-*g*-bPEI- and 2 kDa bPEI-based polyplexes for all the N/Ps tested, apart from N/P 5 ( $p < 0.05$ ). Irrespective of the N/P, the cytotoxicity of plasmid DNA (pDNA)/25 kDa bPEI complexes was instead the highest.

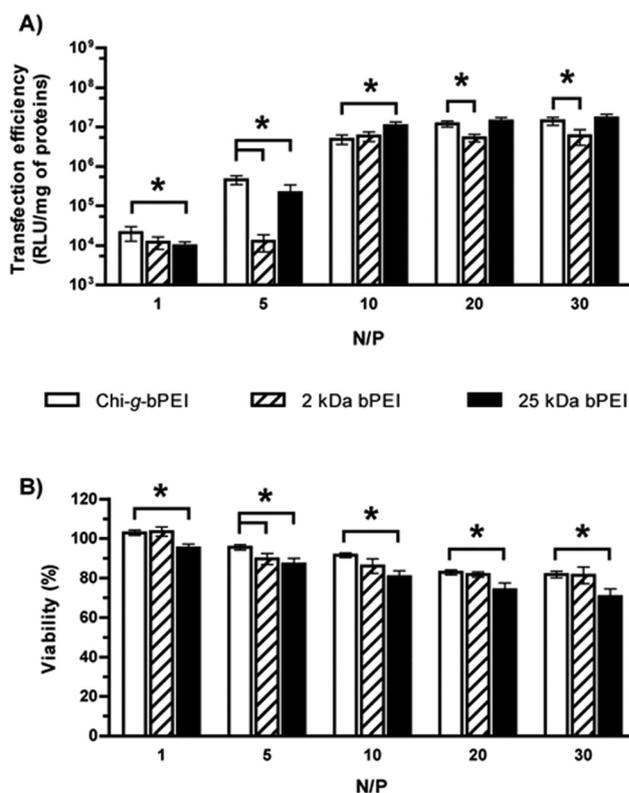


Fig. 4 (A) Transfection efficiency and (B) viability of HeLa cells transfected in complete DMEM with Chi-*g*-bPEI, 2 kDa and 25 kDa bPEI polyplexes at varying nitrogen to phosphate ratios (N/Ps). \* $p < 0.05$ ;  $n \geq 4$ .



Interestingly, the fluorescence lifetime value of SYBR Green I/DNA in the presence of the copolymer at  $N/P > 3$  was between those of LMW and HMW bPEI-complexes and closer to that of 2 kDa bPEI. Hence, the lifetime of Chi-g-bPEI seemed to be mainly influenced by the presence of 2 kDa bPEI blocks, although a lifetime change due to its conformation and/or to its more intricate structure was also evident. This observation was further evidenced at high  $N/P$  by the larger polyplex dimension (*i.e.* weaker condensation) in the case of the copolymer in comparison with bPEIs and it would account for its noteworthy performance in transfection.<sup>9</sup>

### Polyplex assembly

In order to gain better insight into the process of polyplex formation, a dynamical time-resolved fluorescence measurement was carried out. The time course of the polyplex assembly lasted for  $\approx 75$  min. After monitoring SYBR Green I/DNA ( $N/P$  0) for 1 min, each polymer was injected into the cuvette to give polyplexes at  $N/P$  30; the final DNA concentration was kept constant in all the experiments ( $0.02 \mu\text{g } \mu\text{L}^{-1}$ ).  $N/P$  30 was chosen owing to the high transfection efficiency of every polymer under these conditions (Fig. 4A). The sampling was carried out every 12 s for the first 12 min, while in the remaining time-lapse the sampling interval was extended to 120 s. Time-resolved profiles always showed a mono-exponential temporal behaviour, as shown in Fig. 5.

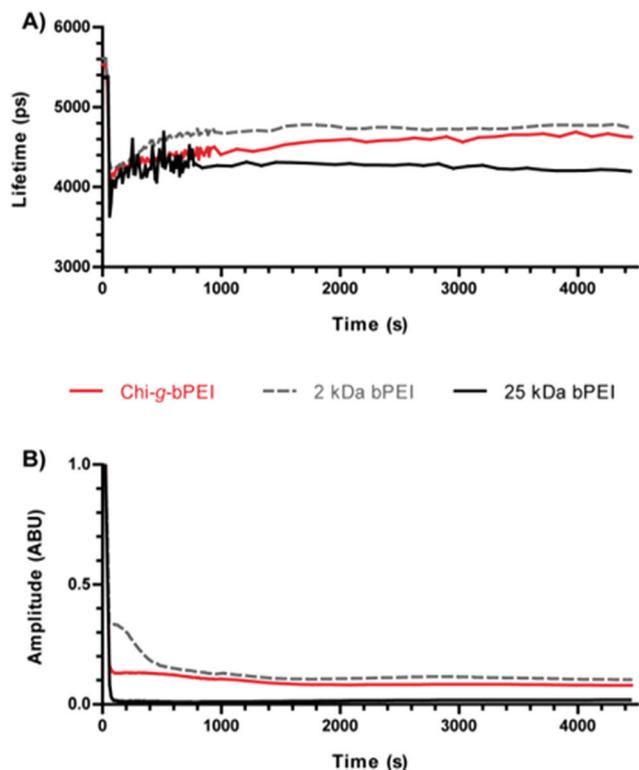


Fig. 5 (A) Fluorescence lifetime and (B) amplitude of SYBR Green I/DNA as a function of time for Chi-g-bPEI, 2 kDa and 25 kDa bPEI polyplexes at a nitrogen to phosphate ratio ( $N/P$ ) of 30.

Once every polymer was added to the SYBR Green I/DNA, a dramatic reduction of the fluorescence amplitude was observed: 25 kDa bPEI showed the most drastic decrease to very low residual fluorescence levels ( $\approx 2\%$ ), while 2 kDa bPEI and Chi-g-bPEI exhibited significant  $\approx 10\%$  and  $7\%$  residual fluorescence signals, respectively, even after long-lasting observation (Fig. 5B). Moreover, we observed variations in the fluorescence lifetime over 1500 s for both bPEIs and 2000 s for Chi-g-bPEI, followed by plateauing (Fig. 5A). Since the lifetime variations can be explained with conformational changes of polyplexes, these results pointed out that bPEIs and Chi-g-bPEI complexes take at least 25 and 35 min, respectively, to mature to the final conformation.

For every kind of polyplex studied the addition of the polymer to the SYBR Green I/DNA led to an early but significant reduction of the fluorescence lifetime that decreased from  $\approx 5.5$  ns in the absence of transfectant ( $N/P$  0) to 4.1 ns for Chi-g-bPEI, 4.2 ns for 2 kDa bPEI and 3.7 ns for 25 kDa bPEI. Interestingly, these intermediate states showed lifetime values similar to those observed at  $N/P$  1.5–2. This would be explained by the transient formation of unstable aggregates during the early phases of polyplex maturation characterized by a neutral net electrostatic charge. Afterwards, the lifetime increased until reaching a plateau, in agreement with our findings reported in the previous section. The three polyplexes showed different time constant kinetics while joining the plateau phase: Chi-g-bPEI did display the slowest time constant, while 25 kDa bPEI showed the fastest one. It is worth noting that although the copolymer and its building block 2 kDa bPEI exhibited similar lifetimes at the plateau, they were characterized by different time constants while approaching it (Fig. 5A). This could be ascribed to the more complex chemical structure of the former, thus allowing many diverse intermediate states. Moreover, we observed that both the fluorescence amplitude and the lifetime of 25 kDa bPEI-based complexes were characterized by the highest decrease and the fastest kinetics compared to the other polyplexes. These aspects confirmed our previous findings on the highest binding strength of 25 kDa for the DNA.

### Polyplex disassembly

In the previous section we showed the polymer/DNA complexation dynamics. The reverse process, *i.e.* the polyplex disassembly in the presence of anionic competitors, can profitably be studied to mimic the DNA release and it can thus provide some reliable information on the polyplex stability/instability in the biological environment.<sup>20</sup> In order to do so, these experiments were carried out by adding heparin to polyplexes at equilibrium, diluted in 10 mM HEPES pH 7 to reflect the actual working concentration. Polyanionic heparin was selected as a suitable model molecule for glycoconjugates such as proteoglycans (PG) and glycosaminoglycans (GAG) that are abundantly present in serum, on the exofacial side of the plasmalemma and dispersed throughout the extracellular space (ECS) and that all compete with the nucleic acids for cationic polymers, possibly leading to polyplex disruption and eventually trigger-



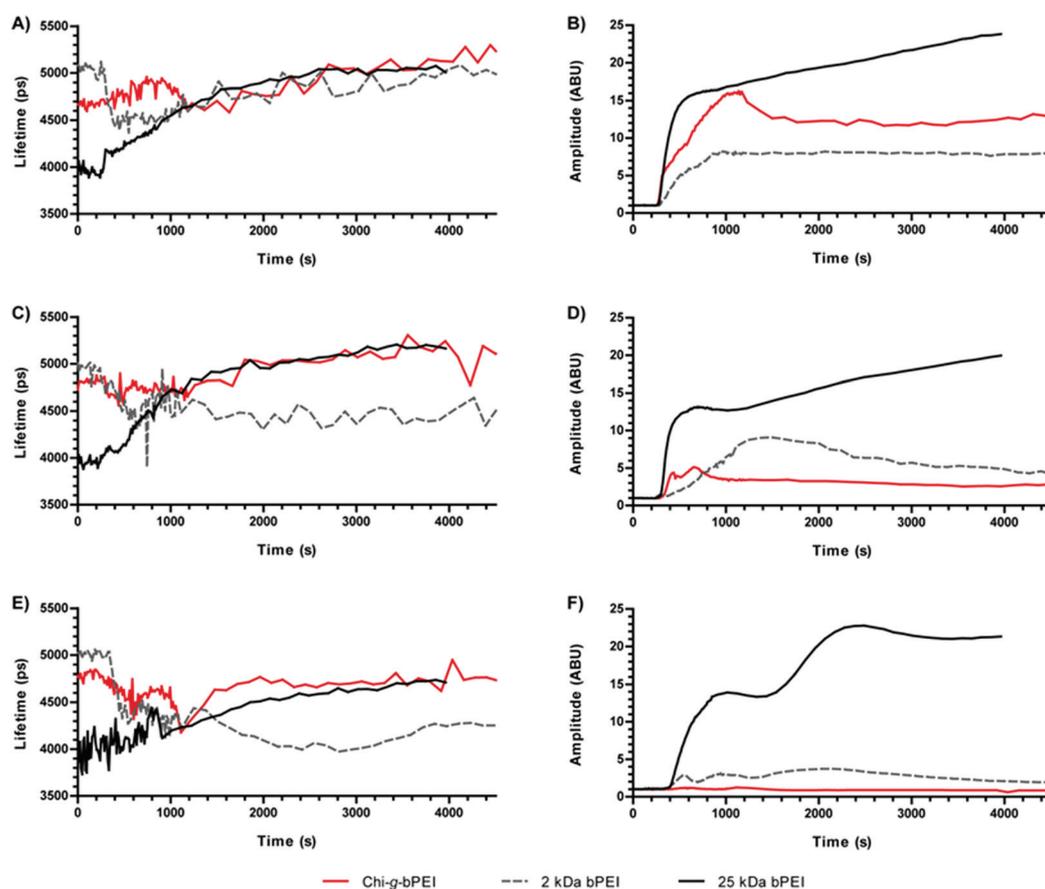
ing their disassembly. By monitoring the disintegration process, these measurements aimed at monitoring the polyplex stability under conditions resembling the cellular and tissue environments. In order to modulate the anionic strength of the medium, three different heparin concentrations were used, as reported in the Experimental section.

Similar to the previous section, the decomplexation process was dynamically monitored over a  $\approx 75$  min period upon the addition of heparin to each polyplex suspension (at 120 s). In the initial 16 min the sampling interval was 12 s, while it was lengthened to every 120 s thereafter. In concomitance with changes in the sampling rate, the laser intensity was reduced to compensate for the increased signal and data were rescaled accordingly. Fig. 6 shows the temporal behaviour of fluorescence amplitudes and lifetimes at the three different heparin concentrations. Polyplexes were characterized by an increase in the fluorescence amplitude due to the competitive effect of heparin which caused their disassembly and the release of the DNA that became thus accessible to the SYBR Green I in the solution. In order to easily compare these variations, we have normalized the amplitudes before the addition of heparin. 25 kDa bPEI-based complexes exhibited lower resistance to heparin than 2 kDa bPEI and Chi-g-bPEI poly-

plexes, as evidenced by the huge increase in fluorescence amplitude even after the addition of the lowest amount of heparin ( $5 \mu\text{g}$  of heparin  $\mu\text{g}^{-1}$  of DNA) as previously reported.<sup>11</sup> Importantly, under these conditions, heparin failed to cause any significant release of DNA from Chi-g-bPEI-polyplexes. This highlighted their greatest stability in an extracellular-mimicking environment (Fig. 6F).

The addition of heparin to polyplexes at the equilibrium induced changes that implied variations in their structures. Upon heparin addition, the fluorescence decays of 2 kDa bPEI- and the copolymer-based polyplexes showed the appearance of a bi-exponential behaviour, in particular at high heparin/DNA ratios, while 25 kDa bPEI preserved a mono-exponential profile during the time-lapse of the measurement. Interestingly, these behaviours are analogous to those observed for polyplexes prepared at low N/Ps (Fig. 2).

Comparing the fluorescence lifetimes at varying heparin concentrations, it is interesting to observe that at the highest heparin concentration ( $10 \mu\text{g}$  of heparin  $\mu\text{g}^{-1}$  of pDNA) all the three polyplexes converged to the same lifetime value of  $\approx 5.1$  ns, close to that of N/P 0 ( $\approx 5.5$  ns) (Fig. 6A), confirming the release of DNA shown by the increase in amplitude (Fig. 6B).



**Fig. 6** Fluorescence lifetimes (A, C, E) and amplitudes (B, D, F) of SYBR Green I/DNA as a function of time for polyplexes prepared at a nitrogen to phosphate ratio (N/P) of 30 with Chi-g-bPEI-, 2 kDa bPEI- and 25 kDa bPEI in the presence of heparin at final heparin/pDNA ratios of 10 (A and B), 7.5 (C and D) and  $5 \mu\text{g}$  of heparin  $\mu\text{g}^{-1}$  of DNA (E and F).



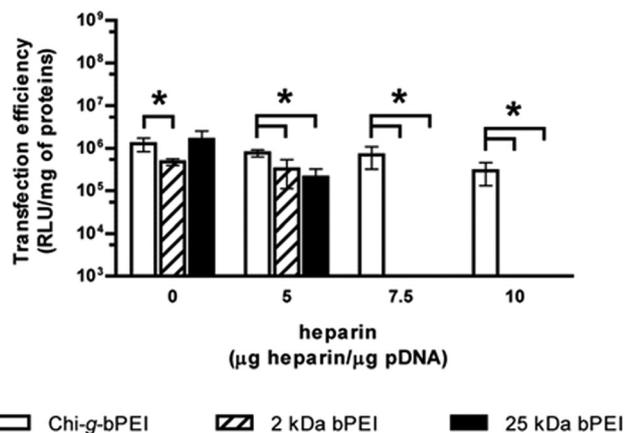


Fig. 7 Transfection efficiency in complete DMEM of Chi-g-bPEI, 2 kDa and 25 kDa bPEI at a nitrogen to phosphate ratio (N/P) of 30 after pre-incubation of polyplexes for 30 min in 10 mM Hepes pH 7 containing different concentrations of heparin (10, 7.5 and 5  $\mu\text{g}$  of heparin  $\mu\text{g}^{-1}$  of pDNA). \* $p < 0.05$ ;  $n \geq 4$ .

Instead, at lower heparin concentrations (7.5 and 5  $\mu\text{g}$  of heparin  $\mu\text{g}^{-1}$  of DNA) the three polyplexes showed very different behaviours, while in the case of 25 kDa bPEI the fluorescence lifetime always increased upon heparin injection, for 2 kDa bPEI-based polyplexes it abruptly decreased toward values similar to those observed in the initial phases of polyplex formation, thus indicating only partial disassembly. It is noteworthy that Chi-g-bPEI-polyplexes were the most stable: the fluorescence amplitude underwent the smallest variation and also the lifetime showed minor changes that highlighted the stable conformation of the polyplexes formed.

In order to find out the possible relationship between these optical parameters and the transfection behaviour, HeLa cells underwent transfection with 2 kDa, 25 kDa bPEI, and Chi-g-bPEI at N/P 30 after preincubation for 30 min in 10 mM Hepes pH 7 containing different concentrations of heparin. As shown in Fig. 7, preincubation of 2 kDa and 25 kDa bPEI-polyplexes with the lowest polyanion concentration (5  $\mu\text{g}$  of heparin  $\mu\text{g}^{-1}$  of DNA) drastically reduced the transfection efficiency while at higher concentrations the sulphated glycosaminoglycan completely blunted transgene expression. In sharp contrast, the transfection efficiency of Chi-g-bPEI-based polyplexes was only slightly affected by the preincubation with the same amounts of heparin as before and still remained elevated at the highest concentration, confirming the superior stability of the copolymer-based polyplexes.

## Conclusions

In conclusion, in this work we demonstrated that the fluorescence lifetime of the SYBR Green I intercalated within DNA provides valuable information on the formation/disassembly processes of polyplexes. In this regard, the high fluorescence quantum yield and fluorescence enhancement in going from

SYBR Green I free dye to dye-DNA enabled easy monitoring of the condensation state of DNA in polyplexes and a strict evaluation of their stability at working concentrations.

By an in-depth analysis of the assembly and the disassembly steps of 2 kDa bPEI-, 25 kDa bPEI-, and Chi-g-bPEI-based polyplexes we identified relationships among the optical behaviour, their physicochemical properties and their transfection efficiencies and we shed light on the excellent transfection properties of Chi-g-bPEI-based polyplexes.<sup>9,10</sup> Of note, fluorescence lifetime measurements of SYBR Green I/DNA provided some useful information that goes beyond the simple evaluation of the DNA-complexation ability of a given polymer and the characterization of the polyplex size and surface charge, giving insight into the evolution and the stability of polyplexes in biological media. This information that cannot be obtained by steady state measurements carried out using conventional spectrofluorimeters is extremely useful because this and other studies have demonstrated a direct relationship between the polyplex stability and the transfection performance of non-viral gene vectors both *in vitro* and *in vivo*.<sup>26–28</sup>

Future studies will be carried out on other polyplexes and also on lipoplexes to investigate further the potentiality of the use of time-resolved fluorescence spectroscopy in combination with SYBR Green I as a powerful tool for the in-depth characterization of non-viral transfectants. Furthermore, given the increasing use of SYBR Green I in (bio)chemical laboratories and the rapid development and decreasing cost of commercial fluorescence lifetime benchtop spectrofluorimeters, time-resolved fluorescence spectroscopy coupled to SYBR Green I-stained DNA has the potential to become a widespread technique for the development and characterization of non-viral gene delivery vectors and in general for studies involving changes in the condensation state of DNA.

## Experimental

### Materials

Luciferase Assay System and the pDNA encoding for the modified firefly luciferase pGL3-Control Vector (5.2 kb) were purchased from Promega (Italy). BCA Protein Assay Kit was from Pierce Chemical (IL, USA). HeLa cells (human cervix carcinoma, CCL-2.2) were purchased from the American Type Culture Collection (ATCC, VA, USA). MMW chitosan ( $M_w$  1.9–3.1  $\times 10^5$ ; deacetylation degree: 75–85%; Brookfield viscosity: 200–800 cP, 1% in 1%  $\text{CH}_3\text{COOH}$ ), 2 kDa bPEI ( $M_n \approx 1.8 \times 10^3$ ,  $M_w \approx 2.0 \times 10^3$ ), 25 kDa bPEI ( $M_n \approx 1.0 \times 10^4$ ,  $M_w \approx 2.5 \times 10^4$ ) and all other chemicals were from Sigma-Aldrich (Italy) if not differently specified. The use of a cationic polymer based on PEI for transfection is covered by US Patent 6,013,240, European Patent 0,770,140, and foreign equivalents, for which Polyplus-transfection™ is the worldwide exclusive licensee. SYBR Green I ( $10^4\times$  in DMSO) is an unsymmetrical cyanine dye which is an ultrasensitive stain of dsDNA ( $\lambda_{\text{ex}} = 490 \text{ nm}$ ,  $\lambda_{\text{em}} = 530 \text{ nm}$ ).



## Methods

### Synthesis and characterization of the Chi-g-bPEI copolymer.

The Chi-g-bPEI copolymer studied in this work was synthesized following the two-step procedure previously reported by Pezzoli *et al.*<sup>10</sup> with minor modifications. Briefly, MMW chitosan was oxidized by treatment with a slight excess of  $\text{KIO}_4$  in sodium acetate buffer (pH 4.4) for 48 h at room temperature (r.t.). 10% v/v ethylene glycol was added to quench the reaction and the solution was purified by a two-step dialysis (Spectra/Por membrane: MWCO =  $1.0 \times 10^4$ ) against 0.2 M NaCl (pH 4.5) and milli-Q water (pH 4.5). The resulting oxidized chitosan was then treated with 2 equivalents of 2 kDa bPEI for 48 h at 4 °C under stirring, before the addition of  $\text{NaBH}_4$ . The resulting solution was dialyzed as above and finally freeze-dried. Intermediates and Chi-g-bPEI copolymers were analysed by  $^1\text{H}$  NMR. The amine content and the degree of grafting of bPEI onto chitosan were determined by the 2,4,6-trinitrobenzene sulfonic acid (TNBSA) assay as previously reported.<sup>10</sup> The degree of grafting, expressed as a percentage of average chitosan GlcN units grafted with bPEI, was 6.5%.

**Preparation of polyplexes.** Polyplex solutions were prepared at r.t. by adding pGL3 to polymers/copolymer in 10 mM Hepes buffer (pH 7.0) at the desired concentrations, yielding different N/Ps at a final DNA concentration of  $0.02 \mu\text{g} \mu\text{L}^{-1}$ . The resulting mixtures were further incubated for 30 min at r.t.

**Fluorescence lifetime spectroscopy.** The experimental set-up, shown in Fig. 8, basically consisted of a laser source, an optical system and Time Correlated Single Photon Counting (TCSPC)-based detection apparatus.<sup>29</sup> The excitation light was emitted by a pulsed supercontinuum source (SuperK Extreme NKT Photonics, Denmark) which generated pulses of about 10 ps (FWHM) over the wavelength range 400–2400 nm at a repetition rate of 40 MHz. The selection of the desired wavelength

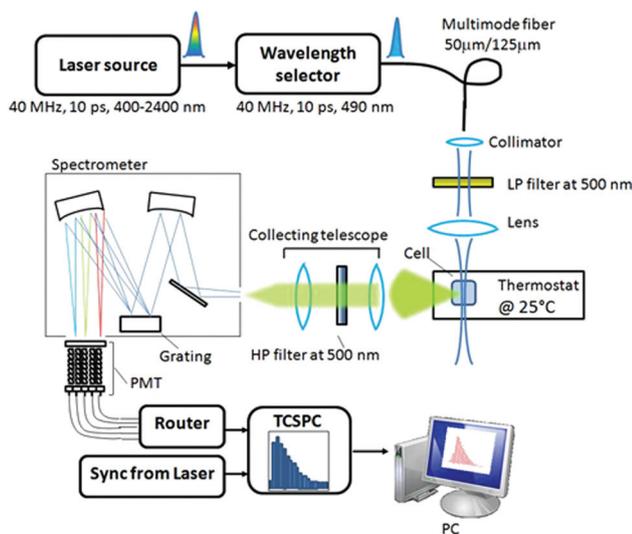
(in our case the absorption peak of SYBR Green I at 490 nm) was carried out by means of an Acousto-Optic Tunable Filter (SuperK Select NKT Photonics, Denmark) followed by a 10 nm bandpass filter centred at 490 nm. The excitation pulse was focused into a quartz small-size cuvette ( $3 \times 3 \times 20 \text{ mm}^3$ ) used to limit the required sample amount to 30  $\mu\text{L}$ . The cuvette was thermostated at 25 °C to reduce the influence of r.t. variations.

The fluorescence signal was collected orthogonally to the excitation by a lens system and focused on the entrance slit of an imaging spectrometer (SP-2150i Princeton Instruments, United States). In order to separate the fluorescence signal from the scattered excitation light a high-pass filter with a cut-off at 500 nm was inserted in the optical path between the sample and the spectrometer. The latter was based on a grating with 1200 lines  $\text{mm}^{-1}$  and a wavelength blaze at 600 nm. The spectrally dispersed fluorescence light was finally imaged onto a 32-channel photomultiplier tube (H7260 Hamamatsu, Japan). Due to the size of the single photocathode channel (about 1 mm) and the dispersion properties of the spectrometer, a resolution of *ca.* 4.5 nm per channel was obtained. The detection system relied on the TCSPC technique performed by a data acquisition board (SPC-130 Becker and Hickl, Germany) and a home-made router. The Instrumental Response Function (IRF) was about 200 ps wide (FWHM), as measured by substituting the fluorescent sample with water and detecting the scattered laser light. For fluorescence measurements, pGL3 was mixed with SYBR Green I in 10 mM Hepes buffer pH 7.0 (final concentrations:  $0.05 \mu\text{g} \mu\text{L}^{-1}$  of pGL3;  $20\times$  SYBR Green I) prior to the addition to the polymer solution. Then polyplexes were prepared as described above and diluted 1 : 9 in 10 mM Hepes buffer pH 7.0.

To live-monitor the formation of polyplexes the SYBR Green I/pGL3 suspension was placed in the measurement cuvette and fluorescence signal acquisition was started. After 1 min the polymer solution was added without interrupting the acquisition. The signal was recorded every 12 s in the first 12 min while the time-lapse was extended to 120 s thereafter. The final DNA concentration in the polyplex solution was  $0.02 \mu\text{g} \mu\text{L}^{-1}$ .

To investigate the polymer/DNA disassembly, polyplexes were prepared in the presence of SYBR Green I as described above, hence diluted 1 : 9 in 10 mM Hepes buffer pH 7.0, and the fluorescence was recorded for 120 s. Afterwards, without interrupting the acquisition, a concentrated solution of heparin in 10 mM Hepes was added dropwise. The signal was recorded every 12 s in the first 16 min and then extended to every 120 s for a total duration of  $\approx 75$  min. The final concentrations of heparin were  $0.020 \mu\text{g} \mu\text{L}^{-1}$ ,  $0.015 \mu\text{g} \mu\text{L}^{-1}$  and  $0.010 \mu\text{g} \mu\text{L}^{-1}$ , corresponding to 10, 7.5 and 5  $\mu\text{g}$  of heparin  $\mu\text{g}^{-1}$  of pDNA, respectively.

**Data analysis.** Data analyses were carried out separately for each of the 32 spectral bands. In order to retrieve the fluorescence amplitude and lifetime values of each spectral component, the temporal decay curves were fitted by a non-linear least squares interpolation (Curve Fitting Tool cftool in the Matlab™ environment) with a bi-exponential theoretical function. As shown in the following, we observed that a bi-exponen-



**Fig. 8** Experimental set-up of fluorescence lifetime measurements. HP: high-pass; LP: low-pass; PMT: photomultiplier tube; TCSPC: Time-Correlated Single Photon Counting.



tial fitting function is sufficient to properly model the lifetime variations according to the changes in the chemical parameters. Moreover in many cases the fitting procedure converged towards mono-exponential behaviour.

#### Measurement of the size and surface charge of polyplexes.

The  $D_H$  and the  $\zeta_P$  of polyplexes were measured by DLS and laser Doppler micro-electrophoresis using a Zetasizer Nano ZS instrument (Malvern, UK), fitted with a 633 nm laser at a fixed scattering angle of  $173^\circ$ . Polyplexes were prepared as described above; 50  $\mu\text{L}$  of each polyplex suspension (containing 1  $\mu\text{g}$  of pGL3) were further diluted 1:10 in 10 mM Hepes buffer pH 7.0 and equilibrated at 25  $^\circ\text{C}$  prior to measurements.

**Cell culture and transfection.** HeLa cells were cultured under a humidified atmosphere of 5%  $\text{CO}_2$  at 37  $^\circ\text{C}$  in Dulbecco's Modified Eagle Medium (DMEM) supplemented with 10% fetal bovine serum (FBS), 10 mM Hepes buffer, 1 mM sodium pyruvate, 100  $\text{U mL}^{-1}$  penicillin, 0.1  $\text{mg mL}^{-1}$  streptomycin and 2 mM glutamine (referred to as "complete DMEM"). For transfection experiments, cells were seeded in 96-well cell culture plates at a density of  $2 \times 10^4$  cells  $\text{cm}^{-2}$ . 24 h after seeding, cells were rinsed in PBS and polyplexes were prepared and added to cells in a final volume of 64  $\mu\text{L}$  per well of complete DMEM. 24 h post-transfection the AlamarBlue cell viability assay (Life Technologies, Italy) was performed to evaluate the cytotoxicity of polyplexes. Cytotoxicity was defined as 100% – viability [%]. Results were expressed as % relative to the untreated controls. Transfection efficiency was evaluated in terms of luciferase activity, measured by means of the Luciferase Assay System using the GENios Plus reader (Tecan, Italy) after cell lysis with the Cell Culture Lysis Reagent (Promega, Italy). Luciferase activity of each sample was thus normalized to the protein content of each sample, as determined by the bicinchoninic acid (BCA) assay. Results were finally expressed as RLU  $\text{mg}^{-1}$  of proteins, according to manufacturer's guidelines.

For transfection experiments in the presence of heparin, HeLa cells were transfected with 2 kDa bPEI, 25 kDa bPEI and Chi-g-bPEI at N/P 30 after preincubation for 30 min (i) in plain 10 mM Hepes pH 7 or (ii) in 10 mM Hepes pH 7 containing different concentrations of heparin (0.02  $\mu\text{g mL}^{-1}$ , 0.015  $\mu\text{g mL}^{-1}$  and 0.01  $\mu\text{g mL}^{-1}$ , corresponding to 10, 7.5 and 5  $\mu\text{g}$  of heparin  $\mu\text{g}^{-1}$  of pDNA, respectively). 24 h after transfection, the Alamar Blue assay was performed while the luciferase activity was tested as described above.

**Statistical analysis.** Statistical analysis was carried out using GraphPad version 5.04 (GraphPad Software, CA, USA). Comparison among groups was performed by one-way ANOVA followed by Bonferroni's post hoc test. The significance was retained when  $p < 0.05$ . Data are expressed as mean  $\pm$  standard deviation (SD).

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